

# Cover Page for Proposal Submitted to the National Aeronautics and Space Administration

#### **NASA Proposal Number**

#### TBD on Submit

#### NASA PROCEDURE FOR HANDLING PROPOSALS

This proposal shall be used and disclosed for evaluation purposes only, and a copy of this Government notice shall be applied to any reproduction or abstract thereof. Any authorized restrictive notices that the submitter places on this proposal shall also be strictly complied with. Disclosure of this proposal for any reason outside the Government evaluation purposes shall be made only to the extent authorized by the Government.

				SE	CTION I -	Proposal Ir	nformation					
Principal Investigator E-mail Address Phone Number								lumber				
					physics.gatech.edu				65	650-867-3984		
Street Address (1) Street Address (2)												
837 State Street												
City State / Province Postal Code						Code			Country Code			
Atlanta				GA				30332	-0430			US
Proposal Title : Chemic	Proposal Title: Chemical Enrichment from the First Stars in the Universe											
Providence												
Proposed Start Date Proposed End Date Total Budget Year 1 Budget Year 2 Budget							-		Year 3 Budget			
08 / 15 / 2020		08 / 15	5 / 2023		135,000.00		45,000.00		45,0	00.00		45,000.00
				SEC	TION II - A	Application	Information					
NASA Program Announc	ement N	umber	NASA Progra	m Announ	cement Title	<b>:</b>						
NNH19ZDA001N-FI							Space Science					
For Consideration By NA					n, or the orga	anization to w	hich an unsolicited	d proposa	al is submi	tted)		
NASA, Headquarter	s , Scie	ence Mi										
Date Submitted			Submission M		0.1	Grants	s.gov Application I	dentifier		Applicant I	Propos	sal Identifier
			Electronic S									
Type of Application		Predec	essor Award N	umber	Other Fe	ederal Agencie	es to Which Propo	sal Has E	Been Subn	nitted		
New												
International Participation	1	Type of	International F	'articipatior	n							
No				0-10VII								
			SE	CHONI	II - Submit	tting Organ	ization Informa	tion				
DUNS Number	CAGE		Employer Ide	ntification N	Number (EIN	N or TIN)	Organization Ty	ype				
097394084	1G47						8H		1			
Organization Name (Star									Compar	ny Division		
Georgia Tech Resea	rch Co	rporati	ion						5			
Organization DBA Name OFFICE OF SPON	CODE	n nna	CDAMC						Division	Number		
Street Address (1)	SUKE	D PKO	GKANIS			Stroot	Address (2)					
926 DALNEY ST N	W					Sileet	Address (2)					
City	**			State /	Province			Postal	Code			Country Code
ATLANTA				GA	i iovilioc	30318					USA	
			SE		/ - Propos	al Point of 0	Contact Inform					
Namo			- 05		Email Add					ם	hono N	Number
John Wise						ress physics.gat	ech edu				Phone Number 404-894-5208	
JUHH WISC				SECTIO	J	1 7 8	d Authorizatio	n			· U-1-0	77-3400
							u-Aumonzado					
Certification of Comp			•									
By submitting the proposal ide proposer if there is no proposi				ummary in re	esponse to thi	s Research Ann	ouncement, the Auth	orizing Off	ricial of the p	proposing org	anızatıc	on (or the individual
certifies that the	statement	ts made in	this proposal are	true and cor	mplete to the b	est of his/her kr	nowledge;					
	Ü		. ,				made as a result of t	this propos	sal; and			
confirms complia  Willful provision of false inform			ns, rules, and stip al and/or its suppo				der an ensuina awar	d. is a crim	ninal offense	(U.S. Code	Title 18	3. Section 1001).
Authorized Organizationa			• • • • • • • • • • • • • • • • • • • •			ail Address		. ,		1 -		Number
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AOR Signature (Must hav	re AOR's	original	signature. Do r	ot sign "fo	r" AOR.)					Date		
FORM NRESS-300 Version 4.0 Oct-15-19												

PI Name : John Wise	NASA Proposal Number
Organization Name : Georgia Tech Research Corporation	TBD on Submit

Proposal Title : Chemical Enrichment from the First Stars in the Universe

SECTION VI - Team Members							
Team Member Role	Team Member Name	Contact Phone	E-mail Address				
PI	John Wise	650-867-3984	jwise@physics.gatech.edu				
Organization/Business Relationsh	nip	Cage Code	DUNS#				
Georgia Tech Research Corporation		1G474	097394084				
International Participation	U.S. Government Agency	'	Total Funds Requested				
No			0.00				
Team Member Role	Team Member Name	Contact Phone	E-mail Address				
Graduate/Undergraduate	Corey Brummel-Smith	850-321-6566	cdbs3@gatech.edu				
Student							
Organization/Business Relationsh	nip	Cage Code	DUNS#				
Georgia Tech Research Corporation		1G474	097394084				
International Participation	U.S. Government Agency		Total Funds Requested				
No			0.00				

PI Name : John Wise

Organization Name : Georgia Tech Research Corporation

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#### **SECTION VII - Project Summary**

The first stars in the universe are important in understanding our cosmic origins, the formation of galaxies, and the evolution of the early universe as a whole, but studying them observationally is not currently possible. All we have are clues that they left in the second generation of stars, by enriching them with metals from their supernovae. However, the details of how subsequent generations become chemically enriched are not well understood. Since the descendants of the first stars may be enriched by multiple progenitors, it is often impossible to infer precise progenitor masses and metal yields. This proposal addresses these problems using two sets of numerical simulations to identify quantitative connections between theoretical first-generation stars and their metal-poor descendants. Each project studies different enrichment scenarios, which together will give a more complete understanding of the first stars in the universe. This proposal has three primary objectives,

- 1) Investigate the role of triggered star formation in the chemical enrichment of second-generation stars. This process can likely form stars enriched with metals from a single progenitor. It could also explain the formation of the lowest metallicity stars observed. We will test this with numerical simulations where a supernova from a first-generation star crushes a nearby cloud of primordial gas into gravitational collapse. The results of this work will allow us to determine the properties of the progenitors of real metal-poor stars formed through triggered star formation. This formation mechanism can avoid difficulties associated with multi-progenitor enrichment when it comes to inferring properties of the first stars.
- 2) Determine a distribution for the number of progenitors contributing to the enrichment of metal-poor star clusters. To do so, we will simulate a more likely scenario of metal-poor star formation with chemical enrichment from multiple progenitors. Using cosmological simulations, we will form many first-generation stars in realistic environments. Unlike any similar simulations, we will track the metals produced by each first-generation star and follow these elements as they collapse into protostellar clouds. With this new approach, we will determine exactly how many progenitors seed the second-generation stars, and the fraction of metals coming from each progenitor.
- 3) Constrain the initial mass function of the first stars in the universe. We will do so by running multiple realizations of the cosmological simulation described above. Each one will use a different probability distribution function for the masses of the first-generation stars. This will affect the metal yields of the first stars and influence the metalicity of the second-generation stars. By comparing our simulated metal-poor stars with those observed in the Milky Way, we will be able to put constraints on the masses and metal yields of the first stars.

These simulations will be run with the astrophysics simulation code Enzo. Enzo is well suited to this work because it can efficiently simulate the relevant physical processes. Enzo is able to simulate large cosmological volumes at high resolution by adaptively refining regions of interest such as dark matter halos hosting star-forming regions.

Ultimately, this work will provide a deeper understanding of the transition away from a metal-free universe, and allow us to constrain the properties of the first stars. This work will provide useful predictions for NASA's upcoming missions with the James Webb Space Telescope (JWST). JWST will be able to peer deep into the young universe and observe the formation environments of the second-generation stars. Our simulations will not only help guide these missions and aid in the interpretation of the JWST data, but they also will allow us to predict how young stellar nurseries are chemically enriched by the first stars in the universe.

PI Name: John Wise NASA Proposal Number TBD on Submit Organization Name: Georgia Tech Research Corporation Proposal Title: Chemical Enrichment from the First Stars in the Universe **SECTION VIII - Other Project Information Proprietary Information** Is proprietary/privileged information included in this application? **International Collaboration** Does this project involve activities outside the U.S. or partnership with International Collaborators? Equipment Co-Investigator Collaborator Facilities Principal Investigator No No No No Explanation: **NASA Civil Servant Project Personnel** Are NASA civil servant personnel participating as team members on this project (include funded and unfunded)? No Fiscal Year Fiscal Year Fiscal Year Fiscal Year Fiscal Year Fiscal Year Number of FTEs Number of FTEs

PI Name : John Wise		NASA Proposal Number
Organization Name : Georgia Tech Research Corporation		TBD on Submit
Proposal Title : Chemical Enrichment from the First Stars in the Universe		
	I - Other Project Information	
	vironmental Impact	
Does this project have an actual or potential impact on the environment? ${ m No}$	environmental assessment (EA) or an n performed?	
Environmental Impact Explanation:		
Exemption/EA/EIS Explanation:		

PI Name : John Wise	NASA Proposal Number
Organization Name : Georgia Tech Research Corporation	TBD on Submit
Proposal Title : Chemical Enrichment from the First Stars in the Universe	
SECTION VIII - Other Project Information	
Historical Site/Object Impact	
Does this project have the potential to affect historic, archeological, or traditional cultural sites (such as Native American burial (such as an historic aircraft or spacecraft)?  No	or ceremonial grounds) or historic objects
Explanation:	

PI Name : John Wise	NASA Proposal Number
Organization Name : Georgia Tech Research Corporation	TBD on Submit

Proposal Title: Chemical Enrichment from the First Stars in the Universe

#### **SECTION IX - Program Specific Data**

Question 1 : Short Title:

Answer: Chemical Enrichment from the First Stars

Question 2 : Type of institution:

Answer: Educational Organization

Question 3: Will any funding be provided to a federal government organization including NASA Centers, JPL, other Federal agencies, government laboratories, or Federally Funded Research and Development Centers (FFRDCs)?

Answer: No

Question 4: Is this Federal government organization a different organization from the proposing (PI) organization?

Answer: N/A

Question 5: Does this proposal include the use of NASA-provided high end computing (HEC)?

Answer: No

**Question 6: HEC Request Number** 

Answer:

**Question 7: Research Category:** 

Answer: 1) Theory/computer modeling

**Question 8 : Flight Services** 

Answer: No

Question 9: Data Management Plan (Part 1)

Answer:

The Enzo code is fully open-source and freely available. All modifications to Enzo will be propagated to the public code repository as development progresses. The project website for Enzo is located at http://enzo-project.org and the main source code repository is located at https://github.com/enzo-project/enzo-dev. Releases and licensing of the software produced by this project will be in accordance with the code's current license.

The simulations in this proposal will generate approximately 100 datasets per simulation. Each dataset includes grid cell and particle information for the entire simulation volume. Enzo datasets use the Hierarchical Data Format (HDF5). Over the three year project period, the simulations will generate approximately 140 TB of snapshot data. This will be stored at the PACE facilities at Georgia Tech while being analyzed and then will be migrated to data hosting projects that utilize the National Data Service, such as the yt Hub (https://hub.yt/) and The Whole Tale (http://wholetale.org/). These have been used in our research group as a reliable service for hosting Enzo data. These projects will provide functional access to hosted data through Jupyter notebooks, allowing researchers to analyze the data without having to store them locally. The hosted data will be publicly released at the end of the funding period of the FINESST award (August 2023.) I, Corey Brummel-Smith (FI), will upload these data to these hosting facilities. I have experience using yt Hub and will learn more about using The Whole Tale from my Dr. Wise (PI). The PI has more experience using these services.

Question 10 : Data Management Plan (Part 2)
Answer:
Question 11: Team members not confirmed via NSPIRES
Answer:
Question 12: Does this proposal contain information and/or data that are subject to U.S. export control laws and regulations including Export Administration Regulations (EAR) and International Traffic in Arms Regulations (ITAR)?
Answer: No
Question 13: I have identified the export-controlled material in this proposal.
Answer: N/A
Question 14: I acknowledge that the inclusion of such material in this proposal may complicate the government's ability to evaluate the proposal.
Answer: N/A
Question 15: Does the proposed work include any involvement with collaborators in China or with Chinese organizations, or does the proposed work include activities in China?
Answer: No
The National Environmental Policy Act (NEPA) obligates NASA to consider the potential environmental effects of proposed projects, including those that NASA funds which are implemented by grantees. The majority of grant-related activities are categorically excluded

The National Environmental Policy Act (NEPA) obligates NASA to consider the potential environmental effects of proposed projects, including those that NASA funds which are implemented by grantees. The majority of grant-related activities are categorically excluded as research and development projects that do not pose adverse environmental impacts. These are covered by a NASA Grants Record of Environmental Consideration (REC) available athttps://www.nasa.gov/nepa/grants. The following questions enable NASA to confirm that your proposed activity falls within this blanket REC. Proposals that are not covered will require additional NEPA analysis if selected (e.g., filling out an Environmental Checklist) or the completion of NASA's Executive Order (EO) 12114 Checklist for an activity to be conducted abroad. "Yes" responses are not selection criteria, however, if a "Yes" response is marked, proposers should consider NEPA and/or EO compliance in cost and schedule estimates.

Question 16: Would the proposal involve any activity that includes:a.Construction of new facilities or modification to the footprint of an existing-facility, orb.Ground disturbance (e.g., excavation, clearing of trees, installation of equipment, etc.), orc.Outdoor discharges of FORM NRESS-300 Version 4.0 Oct-15-19

water (e.g., waste water runoff), air emissions (e.g., ozone-depletingsubstances) or generation of noise exceeding 115 dBA (excluding those associated with aircraftoperations)?
Answer: No
Question 17: Would the proposal involve any field activity that would:a.Release equipment (e.g., dropsondes, sensors, etc.) or chemicals (e.g., dyes, tracers, etc.) into the air, bodies of water or on the ground, orb.Release a parachute or use equipment that would not be recovered, orc.Involve equipment or a payload that contains hazardous (e.g., petroleum, hypergols, oxidizers, solid propellants, etc.) or radioactive materials?
Answer: No
Question 18: Would the proposal involve the launch of a payload, equipment, or instrument (e.g., via launchvehicle, sounding rocket, balloon, etc.)?
Answer: No
Question 19: Would the proposal involve any activity to be conducted outside the United States or its territories excluding travel for meetings or conferences?
Answer: No
Question 20 : Comments
Answer:
Question 21 : Does this proposal contain a citizen science component?
Answer: No
Question 22 : Scientific Area of Support
Answer: Astrophysics Research (ASTRO20)
Question 23 : Astrophysics Research
Answers :
Physics of the Cosmos
Cosmic Origins
Question 24 : FI's Graduate Degree Type:
Answer: Ph.D.
Question 25: FI's Estimated Month/Year for Completion of the Graduate Degree Program

**Answer: August 2023** 

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	SECTION X - Budge	et		
	Cumulative Budge	et		
		Funds Requ	ested (\$)	
Budget Cost Category	Year 1 (\$)	Year 2 (\$)	Year 3 (\$)	Total Project (\$)
A. Direct Labor - Key Personnel	0.00	0.00	0.00	0.0
B. Direct Labor - Other Personnel	0.00	0.00	0.00	0.0
Total Number Other Personnel	0	0	0	
Total Direct Labor Costs (A+B)	0.00	0.00	0.00	0.0
C. Direct Costs - Equipment	0.00	0.00	0.00	0.0
D. Direct Costs - Travel	0.00	0.00	0.00	0.0
Domestic Travel	0.00	0.00	0.00	0.0
Foreign Travel	0.00	0.00	0.00	0.0
E. Direct Costs - Participant/Trainee Support Costs	45,000.00	45,000.00	45,000.00	135,000.0
Tuition/Fees/Health Insurance	7,170.00	7,170.00	7,170.00	21,510.0
Stipends	35,000.00	35,000.00	35,000.00	105,000.0
Travel	2,830.00	2,830.00	2,830.00	8,490.0
Subsistence	0.00	0.00	0.00	0.0
Other	0.00	0.00	0.00	0.0
Number of Participants/Trainees				
F. Other Direct Costs	0.00	0.00	0.00	0.0
Materials and Supplies	0.00	0.00	0.00	0.0
Publication Costs	0.00	0.00	0.00	0.0
Consultant Services	0.00	0.00	0.00	0.0
ADP/Computer Services	0.00	0.00	0.00	0.0
Subawards/Consortium/Contractual Costs	0.00	0.00	0.00	0.0
Equipment or Facility Rental/User Fees	0.00	0.00	0.00	0.0
Alterations and Renovations	0.00	0.00	0.00	0.0
Other	0.00	0.00	0.00	0.0
G. Total Direct Costs (A+B+C+D+E+F)	45,000.00	45,000.00	45,000.00	135,000.0
H. Indirect Costs	0.00	0.00	0.00	0.0
I. Total Direct and Indirect Costs (G+H)	45,000.00	45,000.00	45,000.00	135,000.0
J. Fee	0.00	0.00	0.00	0.0
K. Total Cost (I+J)	45,000.00	45,000.00	45,000.00	135,000.0
Total Cumulative Budget				135,000.0

PI Name : John Wise NASA Proposal Number TBD on Submit Organization Name: Georgia Tech Research Corporation Proposal Title: Chemical Enrichment from the First Stars in the Universe **SECTION X - Budget** Start Date : End Date : Budget Type : Budget Period : Project 08 / 15 / 2020 08 / 14 / 2021 A. Direct Labor - Key Personnel Funds Cal. Months Acad. Months **Base** Summ. Requested Fringe Project Role Name Requested (\$) Salary (\$) Salary (\$) Benefits (\$) Months ΡI 0.00 Wise, John 0.00 0.00 0.000.00Total Key Personnel Costs **B. Direct Labor - Other Personnel** Number of Requested Fringe Funds Cal. Months Project Role Acad. Months Summ. Months Requested (\$) Personnel Salary (\$) Benefits (\$) 0 Total Number Other Personnel Total Other Personnel Costs 0.000.00 Total Direct Labor Costs (Salary, Wages, Fringe Benefits) (A+B)

PI Name: John Wise NASA Proposal Number TBD on Submit Organization Name: Georgia Tech Research Corporation Proposal Title: Chemical Enrichment from the First Stars in the Universe **SECTION X - Budget** Start Date : End Date : Budget Type : Budget Period : Project 08 / 15 / 2020 08 / 14 / 2021 C. Direct Costs - Equipment Equipment Item Description Funds Requested (\$) Item No. 0.00 **Total Equipment Costs** D. Direct Costs - Travel Funds Requested (\$) 0.001. Domestic Travel (Including U.S. Territories and Possessions) 0.002. Foreign Travel (Including Canada and Mexico) **Total Travel Costs** 0.00E. Direct Costs - Participant/Trainee Support Costs Funds Requested (\$) 7,170.00 1. Tuition/Fees/Health Insurance 2. Stipends 35,000.00 2,830.00 3. Travel 0.00 4. Subsistence 45,000.00 Number of Participants/Trainees: Total Participant/Trainee Support Costs

PI Name : John Wise				N/	ASA Prop	oosal Number
Organization Name : Georgia Tech Re	esearch Corporation	1		Т	BD o	n Submit
Proposal Title : Chemical Enrichment from	ı the First Stars in the Un	niverse				
		SECTION X - Budge	t			
Start Date : 08 / 15 / 2020	End Date : 08 / 14 / 2021	Budget Ty <b>Project</b>	pe :	Budget 1	Period :	
		F. Other Direct Costs	S			
					Fur	nds Requested (\$)
1. Materials and Supplies						0.00
2. Publication Costs						0.00
3. Consultant Services						0.00
4. ADP/Computer Services						0.00
5. Subawards/Consortium/Contractual Co	sts					0.00
6. Equipment or Facility Rental/User Fees						0.00
7. Alterations and Renovations						0.00
8. Other:						0.00
9. Other:						0.00
0. Other:						0.00
				er Direct Costs		0.00
		G. Total Direct Costs	<b>S</b>			
					Fu	nds Requested (\$)
		Total Dii	rect Costs (A+B+0	C+D+E+F)		45,000.00
		H. Indirect Costs				
			Indirect Cost Rate (%)	Indirect Cost		Funds Requested (\$)
			0.00		0.00	0.00
			0.00		0.00	0.00
			0.00		0.00	0.00
			0.00		0.00	0.00
			0.00		0.00	0.00
			0.00		0.00	0.00
			0.00		0.00	0.00
			0.00		0.00	0.00
			0.00		0.00	0.00
0			0.00	To Coll to all to	0.00	0.00
Cognizant Federal Agency:		I. Direct and Indirect Co	nete:	Total Indire	ect Costs	0.00
		i. Direct and manect Co	7515		Fur	nds Requested (\$)
		Total Dire	at and Indirect Ca	oto (C LU)	- T UI	45,000.00
			ect and Indirect Co	isis (G+n)		
		J. Fee				
					Fur	nds Requested (\$)
				Fee		0.00
		K. Total Cost				
					Fur	nds Requested (\$)
			Total Cost with	Fee (I+J)		45,000.00

PI Name : John Wise NASA Proposal Number TBD on Submit Organization Name: Georgia Tech Research Corporation Proposal Title: Chemical Enrichment from the First Stars in the Universe **SECTION X - Budget** Start Date : End Date : Budget Type : Budget Period : Project 08 / 15 / 2021 08 / 14 / 2022 A. Direct Labor - Key Personnel Funds Cal. Months Acad. Months **Base** Summ. Requested Fringe Project Role Name Requested (\$) Salary (\$) Salary (\$) Benefits (\$) Months ΡI 0.00 Wise, John 0.00 0.00 0.000.00Total Key Personnel Costs **B. Direct Labor - Other Personnel** Number of Requested Fringe Funds Cal. Months Project Role Acad. Months Summ. Months Requested (\$) Personnel Salary (\$) Benefits (\$) 0 Total Number Other Personnel Total Other Personnel Costs 0.000.00 Total Direct Labor Costs (Salary, Wages, Fringe Benefits) (A+B)

PI Name: John Wise NASA Proposal Number TBD on Submit Organization Name: Georgia Tech Research Corporation Proposal Title: Chemical Enrichment from the First Stars in the Universe **SECTION X - Budget** Start Date : End Date : Budget Type : Budget Period : Project 2 08 / 15 / 2021 08 / 14 / 2022 C. Direct Costs - Equipment Equipment Item Description Funds Requested (\$) Item No. 0.00 **Total Equipment Costs** D. Direct Costs - Travel Funds Requested (\$) 1. Domestic Travel (Including U.S. Territories and Possessions) 0.000.002. Foreign Travel (Including Canada and Mexico) **Total Travel Costs** 0.00E. Direct Costs - Participant/Trainee Support Costs Funds Requested (\$) 7,170.00 1. Tuition/Fees/Health Insurance 2. Stipends 35,000.00 2,830.00 3. Travel 0.00 4. Subsistence 45,000.00 Number of Participants/Trainees: Total Participant/Trainee Support Costs

PI Name : John Wise				N/	ASA Prop	oosal Number
Organization Name : Georgia Tech Re	esearch Corporation	l		Т	BD o	n Submit
Proposal Title : Chemical Enrichment from	the First Stars in the Un	iverse				
		SECTION X - Budge	t			
Start Date : 08 / 15 / 2021	End Date : 08 / 14 / 2022	Budget Ty <b>Project</b>	pe:	Budget 2	Period :	
		F. Other Direct Costs	5			
					Fur	nds Requested (\$)
Materials and Supplies						0.00
2. Publication Costs						0.00
3. Consultant Services						0.00
4. ADP/Computer Services						0.00
5. Subawards/Consortium/Contractual Co						0.00
6. Equipment or Facility Rental/User Fees						0.00
7. Alterations and Renovations						0.00
8. Other:						0.00
9. Other:						0.00
0. Other:						0.00
		0.7.10.10.1		er Direct Costs		0.00
		G. Total Direct Costs			Eu	nds Requested (\$)
		Tatal Di	and Conta (A   D	).D.E.E.	ı u	45,000.00
			rect Costs (A+B+0	J+D+E+F)		43,000.00
		H. Indirect Costs	1 1 10 15 1 (0)		- (2)	5 1 5 1 1(0)
			Indirect Cost Rate (%)	Indirect Cost		Funds Requested (\$)
			0.00		0.00	0.00
			0.00		0.00	0.00
			0.00		0.00	0.00
			0.00		0.00	0.00
			0.00		0.00	0.00
			0.00		0.00	0.00
			0.00		0.00	0.00
			0.00		0.00	0.00
			0.00		0.00	0.00
Cognizant Federal Agency:				Total Indire		0.00
		I. Direct and Indirect Co	osts			
					Fur	nds Requested (\$)
		Total Dire	ect and Indirect Co	sts (G+H)		45,000.00
		J. Fee				
					Fur	nds Requested (\$)
				Fee		0.00
		K. Total Cost				
		II. Total 003t			Fur	nds Requested (\$)
			Total Cost with	Fee (I± I)		45,000.00
			iotai Oost Will	1100(110)		,

PI Name : John Wise NASA Proposal Number TBD on Submit Organization Name: Georgia Tech Research Corporation Proposal Title: Chemical Enrichment from the First Stars in the Universe **SECTION X - Budget** Start Date : End Date : Budget Type : Budget Period : Project 08 / 15 / 2022 08 / 15 / 2023 A. Direct Labor - Key Personnel Funds Cal. Months Acad. Months **Base** Summ. Requested Fringe Project Role Name Requested (\$) Salary (\$) Salary (\$) Benefits (\$) Months ΡI 0.00 Wise, John 0.00 0.00 0.000.00Total Key Personnel Costs **B. Direct Labor - Other Personnel** Number of Requested Fringe Funds Cal. Months Project Role Acad. Months Summ. Months Requested (\$) Personnel Salary (\$) Benefits (\$) 0 Total Number Other Personnel Total Other Personnel Costs 0.000.00 Total Direct Labor Costs (Salary, Wages, Fringe Benefits) (A+B)

PI Name: John Wise NASA Proposal Number TBD on Submit Organization Name: Georgia Tech Research Corporation Proposal Title: Chemical Enrichment from the First Stars in the Universe **SECTION X - Budget** Start Date : End Date : Budget Type : Budget Period : Project 3 08 / 15 / 2022 08 / 15 / 2023 C. Direct Costs - Equipment Equipment Item Description Funds Requested (\$) Item No. 0.00 **Total Equipment Costs** D. Direct Costs - Travel Funds Requested (\$) 0.001. Domestic Travel (Including U.S. Territories and Possessions) 0.002. Foreign Travel (Including Canada and Mexico) **Total Travel Costs** 0.00E. Direct Costs - Participant/Trainee Support Costs Funds Requested (\$) 7,170.00 1. Tuition/Fees/Health Insurance 2. Stipends 35,000.00 2,830.00 3. Travel 0.00 4. Subsistence 45,000.00 Number of Participants/Trainees: Total Participant/Trainee Support Costs

PI Name : John Wise				N/	ASA Prop	A Proposal Number D on Submit		
Organization Name : Georgia Tech Re	esearch Corporation			T	BD or	า Submit		
Proposal Title : Chemical Enrichment fron	the First Stars in the Uni	iverse						
		SECTION X - Budget	t					
Start Date : 08 / 15 / 2022	End Date : 08 / 15 / 2023	Budget Tyl Project	pe:	Budget 3	Period :			
		F. Other Direct Costs	5					
					Fur	nds Requested (\$)		
1. Materials and Supplies						0.00		
2. Publication Costs						0.00		
3. Consultant Services						0.00		
4. ADP/Computer Services						0.00		
5. Subawards/Consortium/Contractual Co	sts					0.00		
6. Equipment or Facility Rental/User Fees						0.00		
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As I studied physics throughout undergrad I became possessed with a curiosity to understand the nature of the universe on astronomical scales. Through studying physics and computer science, I became increasingly interested in computational physics simulations, and their power to investigate the complex, non-linear, problems in modern astrophysics such as star formation and the evolution of the universe as a whole. This set me on a path to pursue my goal of obtaining a Ph.D. in physics, and take the necessary steps to become a professor and conduct research at a university.

My drive to learn about numerical simulations led me to my first research position with Dr. David Collins at Florida State University (FSU), where I was introduced to Enzo: An Adaptive Mesh Refinement Code for Astrophysics. Using Enzo simulations, I investigated the magnetic field structure in turbulent molecular clouds to explain how magnetic fields can modulate and suppress star formation. Another key component of this research was studying how magnetic field structure affects the polarization of foreground dust emission, which is important for understanding the polarization of the cosmic microwave background and the cosmology of our universe (Clark et al., 2015). This research helped me develop the technical skills necessary to succeed as a researcher and computational physicist. For this research, the Center for Undergraduate Research and Academic Engagement at FSU awarded me with a grant and invited me to present my work at the President's Showcase of Undergraduate Research Excellence. This allowed me to hone my ability to speak about physics and astronomy with a non-technical audience; a skill which will benefit me in the future as I strive toward my goal of becoming a professor and science communicator.

At the end of my undergraduate career, I searched for graduate schools that would allow me to dive deeper into my quest to become a computational astrophysicist. This led me to work with Dr. John Wise in the Computational Cosmology Group at the Center for Relativistic Astrophysics at Georgia Tech. My research with John began by investigating what appeared to be a triggered star formation event in one of his previous Enzo simulations. I discovered a couple of major problems in the simulations. To fix the bugs, I needed to learn about the inner workings of Enzo in much greater detail. Over the last two years, I have learned an incredible amount about the Enzo code; from the details of interpolation and flux correction, to how to choose optimal parameters for certain simulations. Knowledge of these details is important, not only to fix problems as they arise but also to know how to design new simulations, such as the ones in this proposal. I have attended two Enzo developer workshops where I have contributed to the codebase, reviewed new code modifications, and helped teach new users how to use and edit the code. I am now a contributing author on the most recent Enzo publication, which documents recent changes to the code (Brummel-Smith et al., 2019).

In December of 2018, I applied to the Kavli Summer Program in Astrophysics (KSPA), hosted at the Center for Computational Astrophysics (CCA). I was selected to attend this summer school along with 16 other distinguished graduate students from all around the world. With my mentors, Daisuke Nagai, Yuan Li, and Irina Zhuravleva, we worked on a project where I created and analyzed mock x-ray images of simulated galaxy clusters. By comparing mock observations of simulated clusters, and real observations of existing ones, we were able to test the effectiveness of our observational techniques in understanding feedback physics from active galactic nuclei (AGN). This unique project helped bridge a gap between simulations and observations. Most importantly, this work has led to an ongoing collaboration, and we have now extended this project far beyond the scope of what we were able to achieve at the KSPA. In

the following year, because of my work at the KSPA, one of Daisuke's collaborators, requested my help in preparing a White Paper for The Decadal Survey on Astronomy and Astrophysics. I created mock x-ray images of AGN feedback in a simulated galaxy cluster using different telescope instrument models. With these images, we demonstrated how a next-generation telescope with an effective area larger than the Chandra observatory, would allow us to probe the physics of AGN feedback on smaller scales than ever before. Specifically, we showed we would be able to resolve shock waves and other perturbations that would otherwise be hidden in Chandra images with a realistic exposure time (Ruszkowski et al., 2019).

In the winter of 2018, I traveled to the Kavli Institute for the Physics and Mathematics of the Universe in Tokyo Japan to attend the conference "Stellar Archeology as a Time Machine for the first stars." There I presented my research on metal-transport from a supernova into a nearby molecular cloud found in one of Dr. Wise's simulations of the formation of the first stars. This research is what sparked my idea to study triggered star formation in a systematic and controlled way. Not only was this a great opportunity to share my research with others, but it also allowed me to meet many experts in the field of stellar archeology and the first stars and continue to develop a professional network. At the conference, I learned a lot of valuable information about the state of the field that has helped guide the development of my research plan for this proposal.

All Ph.D. students enrolled in the School of Physics at Georgia Tech are required to be a teaching assistant (TA) for the undergraduate physics courses in their first year. For many, this is a tedious distraction from coursework and research, but for me, this was an eye-opening and fun endeavor. I taught recitation sections where students would solve context-rich physics problems in small groups. My job was to help students when they had trouble and did not understand concepts taught in their lectures. I did this by asking thought-provoking questions to help guide them without giving away answers. I never knew how much I would enjoy teaching and sparking the students' interest in physics. There is something about spreading the knowledge that I love with others that brings me joy and satisfaction. This is one of the primary reasons why I want to become a physics or astronomy professor in the future.

These experiences have strengthened my drive to become a professor and continue researching unsolved problems in astronomy, such as, what were the properties of the first stars and galaxies, and how can we learn about them today? Through graduate schooling and research experience, I've developed the professional and technical skills necessary to succeed as a computational physicist and achieve these goals.

#### Graduate study timeline:

I began my graduate studies in Fall 2017. As is the norm for most physics grad students on the Ph.D. track at Georgia Tech, I took the core physics courses in my first year. In Spring 2018, I joined Dr. Wise's Computational Cosmology group and also received my M.S. in physics from Georgia Tech. In the next two years, I continued research with Dr. Wise while taking one to two astrophysics courses per semester. I will select a Ph.D. committee and give my thesis proposal at the end of my third year (Summer 2020). Upon passing the thesis proposal exam, I will be admitted as a Ph.D. candidate. I will then continue research until I complete my dissertation. On average, students in the School of Physics take approximately six years to complete their Ph.D. Using this as an estimate, I expect to graduate in Spring 2023.

#### 1 Introduction

The elements that comprise everything we see around us, in fact, nearly everything from the second row of the periodic table down, did not exist before the formation of the first stars. Understanding the first stars is not just important for understanding our cosmic origins; they are crucial in understanding the formation of galaxies throughout cosmic time, and the evolution of the early universe as a whole. The difficulty is when we look toward the edge of the observable universe, all these first stars are too faint, and closer to home, they are already dead. Thus, studying them observationally is not currently possible. These, so-called, Population III (Pop III) stars are generally thought to be much more massive than regular stars, on the order of 100  $M_{\odot}$ , with some possibly as large as 1000  $M_{\odot}$ . The only connection we have to them are the clues they have imprinted on the second generation of stars, by enriching them with their metals. When Pop III stars explode, they enrich the environment with metals formed in their cores and their supernovae, whose remnants can later collapse to form new, metalpoor (Pop II) stars (Chiaki & Wise, 2019). Pop II stars have more typical masses, living longer lives and may still exist today in places like the galactic halo and ultra-faint dwarf galaxies (UFDGs) (Kirby et al., 2008). One of the goals of stellar archeology is to infer the properties of the first stars by observing the distribution and metallicities of their long-lived descendants. However, since individual Pop II stars may be enriched by multiple Pop III progenitors, it is often impossible to infer the precise masses and metallicities of the first stars. We will address this problem with two separate types of numerical simulations. Each type of simulation will provide a unique connection between Pop III and Pop II stars. In the first project, we will investigate whether supernova induced star formation can produce second-generation, metal-poor stars enriched by a single progenitor. In the second project, we will track metals from multiple Pop III stars in cosmological simulations, and determine exactly how many Pop III stars enrich the second-generation stars. Ultimately, this work will provide a deeper understanding of the transition away from a metal-free universe, and allow us to constrain the masses, metallicities, and distribution of the first stars.

#### **Carbon Enhanced Metal-Poor Stars**

In some cases, the metallicity of the second stars could be set entirely by the yields of the Pop III stars. The stellar life-cycle produces more metals with each successive generation, and thus, today, we see stars with a metal abundance orders of magnitude larger than that of the stars in the early universe. Since the overall metal abundance of the universe continually grows over time, metallicity is related to stellar age, making metal-poor stars the fossils of the early universe, and within their chemical composition, they hold the secrets of the first stars. Carbon-enhanced metal-poor (CEMP) stars are of particular interest because of their iron deficiency, showing they exist early before Type-Ia supernovae provide the bulk of the iron content in galaxies. These stars are divided into three groups based on their iron and carbon abundances. Group II and III stars have lower metallicity than Group I and are comprised of CEMP-no stars, which are CEMP stars with no overabundance of s-process elements (Maeder & Meynet, 2015). CEMP-no stars are of particular interest to us because a detailed analysis of their chemical composition strongly supports the hypothesis that these stars formed out of pregalactic clouds enriched by the first-generation stars (Yoon et al., 2016). However, the details of their formation and their connection to the first stars is not fully understood. Yoon

et al. (2016) also point out that more than one class of progenitors for Group II and Group III stars exist, and yet-to-be suggested sites of star formation and metal enrichment may account for the contrasts between Group II and III. In our first project, we will investigate a new formation channel, fueled by triggered star formation, that could help explain the origins and differences between Group II and Group III CEMP-no stars. In project 2, we will investigate whether these differences arise from the number of Pop III progenitors.

#### 2 Proposed Work

The overall goal of our two projects will be to use numerical simulations to track metals from the supernova remnants of individual Pop III stars, all the way to their final resting place in the stellar nurseries of the second-generation stars. Our proposed work will provide a quantitative connection between the first stars and their metal-poor descendants, which will allow us to constrain the properties of actual Pop III stars, from observations of CEMP stars and the metal-poor galaxies in which they live. Each project investigates different spatial and temporal scales. In project 1, we focus on a particular star formation mechanism involving a single Pop III star in its local parsec-scale environment. In project 2, we study how metals from many Pop III stars are transported throughout the universe on cosmological scales.

# Project 1: Supernova Induced Formation of the Second Stars

**Motivation:** Simulations indicate that the typical formation channel for the second-generation stars is the internal enrichment (IE) scenario (Chiaki & Wise, 2019). IE occurs when a Pop III star explodes, enriching its host dark matter halo with metals for the first time, and the supernova remnant collapses to form new stars in tens of Myrs, which is much longer than the lives of massive Pop III stars. For reference, a 40  $M_{\odot}$  Pop III star has a main sequence lifetime of 3.86 Myr (Schaerer, 2002). This means it is possible and - maybe even likely - that Pop II stars will be seeded by multiple Pop III progenitors, making it difficult to infer the metal yields and masses of the individual Pop III stars. However, if all the metals in a cluster of Pop II stars were to come from a single progenitor, one could infer the yields and mass of the progenitor by measuring the metallicity of the cluster. Enrichment by a single progenitor could happen by triggered star formation. If a Pop III star explodes in close proximity to a molecular cloud, it could mechanically crush it into gravitational collapse, or heat the surrounding environment enough for the increased pressure to induce gravitational instability. A diagram of this is shown in Figure 1. After the cloud becomes gravitationally unstable, stars will form in roughly the free-fall time of the cloud. This would typically be on the order of millions of years rather than several tens of millions. Therefore, there would not be enough time for other Pop III stars to contaminate the protostellar cloud.

**Research plan:** We will study triggered star formation and its role in the formation of metal-poor stars using numerical hydrodynamic simulations with radiative and supernova feedback. These simulations will be run with Enzo: an adaptive mesh refinement (AMR) code for astrophysics (Bryan et al., 2014). Enzo is an excellent code to use because it solves the ideal magneto-hydrodynamic equations for the gas density, energy, momentum, and magnetic fields, while also simulating the effects of stellar radiation feedback and radiative cooling. Enzo is also integrated with the Grackle chemistry and cooling library (Smith et al., 2017), which will allow us to model the formation and destruction of  $H_2$ , as well as many other chemical species that affect the heating and cooling rates of the chemically-enriched gas. All of these processes

are important to accurately model star formation in a physically realistic manner.

By systematically studying triggered star formation, we can determine the conditions necessary for this to occur in the early universe, and most importantly, identify a causal link between the mass and yields of individual progenitor Pop III stars and the observable properties of their metal-poor descendants. We will run at least 36 realizations of these simulations spanning a parameter space consisting of the mass of the progenitor, the mass of the molecular cloud, and the distance between the cloud and progenitor. This will allow us to determine the optimal conditions for triggered star forma-

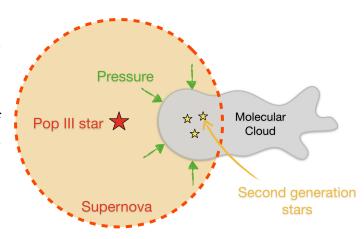


Figure 1: Triggered star formation diagram.

tion. From these results, we can easily verify our claim that this formation channel occurs on a shorter timescale than typical IE. The most important part of this project will involve studying how metals from the supernova remnant mix into the cloud, which will determine the metallicity of the second-generation stars.

Feasibility and previous work: Multiple studies have shown that triggered star formation via cloud crushing is possible under certain conditions (Melioli et al., 2006; Leão et al., 2009), though none have specifically investigated the transfer of metals into the star-forming region. We can, however, gain useful insight from these existing simulations. Melioli et al. (2006) analytically determined when triggered star formation can occur in the parameter space of supernova radius and cloud density. They confirmed their results with hydrodynamical simulations. We will use these results as a guide for constructing our parameter space, but also test cases that would, according to their model, not induce star formation. Not only will this test the robustness and reproducibility of their results, but it may also reveal important differences that could arise from different simulation codes, grid resolutions, cooling models, and explosion energies. Another important difference is our prestellar cloud will be initially metal-free, whereas theirs had an abundance of heavy metals.

**Analysis:** We will track the gravitational collapse – or lack thereof – and determine when star formation occurs by performing various analyses in post-processing. The simplest way to approximately determine when the cloud becomes gravitationally unstable is to measure the ratio of the enclosed mass to the Bonnor-Ebert (BE) mass. It is important to use the BE mass, rather than the Jeans mass because the hot supernova remnant will provide external pressure on the cool molecular cloud. This pressure acts to decrease the critical mass necessary for gravitational collapse leading to the formation of stars.

With each of these simulations, we will analyze the metal transport from the supernova remnant into the cloud, to determine the metallicity of the second-generation stars. We will investigate how the distribution of metallicity as a function of density and radius evolves over time. Once the mixing timescale becomes longer than the free-fall time of the cloud, metals will no longer efficiently mix into the core, and thus, this will determine the resultant metallicity of the subsequent stars.

**Outcome:** If metals do not efficiently mix into the cloud, this could potentially explain how the lowest metallicity, CEMP-no stars in Group III formed, and allow us to estimate the properties of their progenitors. If metals mix in more efficiently, this could potentially explain the origin of the higher metallicity CEMP-no stars in Group II. Either way, if the conditions for triggered star formation can be achieved in the early universe, **these simulations will help explain the existence of the CEMP-no stars via a new formation channel.** These simulations will also provide a direct link between the properties of second-generation stars and *individual* Pop III progenitors. If and when we observe metal-poor stars indicative of a single enrichment event, this would suggest that they could be products of triggered star formation. The results of this work will allow us to determine the properties of the stars that created them.

While triggered star formation could produce Pop II stars enriched by a single progenitor, it is certainly not the only formation channel for metal-poor stars in the local universe. To better determine the properties of the first stars, we must also understand the metal enrichment and the formation of second-generation stars enriched by multiple progenitors.

## Project 2: Tracking Metals from Pop III Stars in Cosmological Simulations

**Goal:** With this project, we will, for the first time, provide a direct connection between multiple progenitors and their metal-poor descendants by tracking the metals that are uniquely tagged from the supernovae of individual population III stars. Not only will this allow us to report *exactly* how many Pop III stars enrich a given Pop II star cluster, but we will also know the relative fractions that come from each progenitor. Since we will be able to tell the exact mass and metal yields of each Pop III star, we will be able to make predictions about the properties of the progenitors of the actual CEMP-no stars observed in the halo of our galaxy and UFDGs.

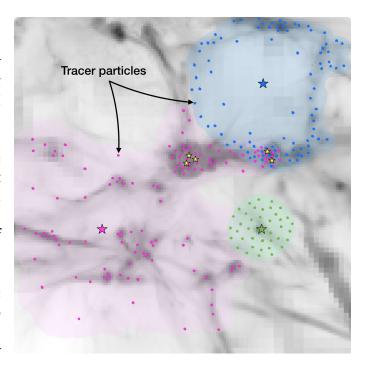
Research plan: To model the star formation process in a realistic, self-consistent manner, we will run a suite of cosmological simulations initialized using MUSIC (Hahn & Abel, 2011), with the most recent cosmological parameters Planck Collaboration et al. (2018). MUSIC allows us to easily initialize "zoom-in" simulations that will highly resolve the galaxies in a large cosmological volume. We will use Enzo for the reasons described in Section 2. Another benefit of this code is by using AMR we can focus the bulk of the computational resources on high-density dark matter halos and star-forming clouds.

However, resolving objects the size of individual stars in such a simulation is not computationally tenable. We, therefore, use a sub-grid model for star formation and feedback. Since the turbulent mixing timescale is typically longer than the free-fall timescale in our simulations, all stars in a cluster will have approximately the same metallicity. Since this proposal is primarily focused on metal enrichment, it is not necessary to resolve individual stars. Stars in the simulation are represented as point particles, advected in a Lagrangian manner, in contrast to the advection of the fluid fields which is done on an Eulerian grid. An important feature of these star particles is they inject energy and momentum back into the grid via heat and radiation pressure. The stars also produce ionizing Lyman-Werner radiation capable of dissociating  $H_2$  molecules (Safranek-Shrader et al., 2012). The stars will continue their feedback until they have exhausted their time on the main sequence, at which point they produce a supernova. Unlike previous simulations of Pop III stars (e.g. Smith et al., 2015; Chiaki & Wise, 2019), we will vary the explosion energy depending on the mass of the progenitor. This allows us to simulate different types of explosions from faint supernovae to hypernovae, which produce different metal yields. The lifetimes of the Pop III stars will be determined from Schaerer (2002)

and the supernova metal yields will be taken from Nomoto et al. (2006) and Heger & Woosley (2010).

When Pop III stars form, their mass will be randomly sampled from an initial mass function (IMF). Since the IMF of the first stars is unknown, we will run four simulations with different IMFs. The functional form will be a power-law with a slope of -1.3 and an exponential cutoff below a characteristic mass (Wise et al., 2012). Our fiducial model will use a characteristic mass of  $40~M_{\odot}$  and only allow core-collapse supernovae. Each additional simulation will have a different characteristic mass and include other types of supernovae (faint, jet, and pair-instability). This will allow us to put better constraints on the true IMF.

When the Pop III stars explode, energy, momentum, and metals are deposited onto the grid in a spherical region around the star. However, unlike any previous cosmology simulation involving the chemical connection between Pop III stars and UFDG progenitors, we will inject tracer particles into the supernovae. These massless tracer particles will have identifiers unique to the Pop III star that produced them. They will be advected with the gas flow from the supernovae, and thus, will track the proliferation of the metals from their source. Over time, these particles will collapse with the metals into high-density regions where the second-generation stars will form. Due to the unique identifiers of the tracer particles, we will be able to tell exactly which Pop III stars produce the metals that enrich the second-generation stars, and how many progenitors they have. A qualitative illustration of this is shown in figure 2. This is of great importance because it will provide a direct connection between the first and second stars. With a large enough sample of Pop III stars in the simulation, we will be able to make statistically significant conclusions about how often metal-poor stars



**Figure 2:** Qualitative illustration of metal tracer particles (colored points). Black and white colors represent gas density in an example cosmological simulation. Blue, pink, and green highlighted regions represent metal enrichment from the supernova remnants of Pop III stars (blue, pink and green stars). Gold stars represent potential sites of second-generation star formation. No stars are actually present in the example simulation.

are enriched by one or more progenitors and the fraction of metals coming from each. To ensure we have a sufficient number of dark matter halos and Pop III stars, we will choose a box size of 1 co-moving Mpc, a root grid resolution of 256³, with a maximum of 12 levels of refinement. This gives a minimum cell size of 0.1 proper pc. Given this simulation domain, we would expect on the order of 500 Pop III stars to form. For the sake of argument, if one assumes a second-generation star-forming region has, at most, 5 distinct progenitors, this leaves

a minimum of 100 individual sites to investigate the formation of metal-poor stars.

**Outcome:** Not only will these simulations allow us to study the number of progenitors seeding the second stars, but they will also allow us to determine where the progenitors lived. Pop II stars are either enriched internally by progenitors within the same halo or externally by progenitors in a neighboring halo. Our tracer particles will show which of these channels occurs more frequently, and the differences in metal enrichment between the two scenarios. By including multiple types of supernovae with different metal yields, we can determine how Group II and Group III CEMP-no stars are formed, and why there are two distinct groups.

#### **Access to Resources**

Our computational cosmology group has access to the PACE high-performance computing cluster at Georgia Tech, with 8 dedicated nodes, each with 28 cores, for our use only. We also have access to shared queues with 40,000 cores. These resources will be available to run our simulations and perform the necessary analyses.

#### **Project Timeline**

Each project is estimated to take approximately 1.5 years and produce at least one publication. In the first year, I will develop and run the triggered star formation simulation suite, set up a parallelized pipeline for efficient data analysis of many simulations simultaneously, and begin writing our first paper once the analysis is complete. In the second year, I will finish the first paper, develop the metal tracer particles in Enzo, generate the initial conditions for the cosmology simulations, run them, and begin to analyze the data. In the third year, I will finish analyzing the data for project 2, make publication-ready plots, and write our second paper.

#### Relevance to NASA's Science Mission Directive

Together, the two projects put forth in this proposal will address the question of how we can relate the properties of the second-generation stars with first-generation, Population III stars. Additionally, this work has the power to explain the different formation channels and conditions that lead to the origin of Group II and Group III CEMP-no stars. On a more fundamental level, our simulations will provide a deep connection between the metal-free universe and the one we see today, enriched by metals from the first stars. Both of these projects are in direct alignment with NASA's Science Mission Directive for the "Cosmic Origins" section of the Astrophysics Research Program. We share the same goals of discovering how stars form within galaxies and discovering how these complex systems create and shape the structure and composition of the universe on all scales. Our cosmology simulations address these questions on the largest scales, and our triggered star formation simulations investigate these questions on smaller scales within individual galaxies. The timing of this research is optimal because our simulations and analysis will explicitly support NASA's future missions with the upcoming James Webb Space Telescope (JWST). JWST will be able to see deeper into the young, metal-poor universe than any previous telescope and study the environments in which the second-generation stars form. Our simulations will provide predictions for what JWST will see and aid in the interpretations of the observations. Specifically, we can make predictions about the metal content and distribution of galaxies in the early universe. Broadly speaking, since the first metals formed in the first stars, this research will bring us one step closer to understanding one of NASA's most fundamental questions, how did we get here?

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#### Acknowledgements

This proposal was written entirely by me, Corey Brummel-Smith (FI). I received suggestions from my advisor Dr. John Wise (PI) and the professor of my grant writing class, Dr. Simon Sponberg, in the Georgia Tech School of Physics. I also allowed a few of my peers to look over my proposal and I took their feedback into account. I edited the text based on the suggestions of the persons above which helped me improve the grammar, clarity, and structure of the proposal.

January 2020

#### Curriculum Vitae

#### **Corey Brummel-Smith**

Graduate Student and Research Assistant School of Physics, Georgia Institute of Technology <a href="https://cbrummelsmith.github.io/">https://cbrummelsmith.github.io/</a>

#### I. Earned Degrees

B.S.	Physics & Astrophysics, magna cum laude	2011-2017	Florida State University,
			Tallahassee, Florida
M.S.	Physics	2017-2018	Georgia Institute of
			Technology, Atlanta, Georgia

#### **II. Employment History**

2017	Research Assistant, Department of Physics, College of Sciences,		
	Florida State University (Advisor: Dr. David Collins)		
2017-2018	Teaching Assistant, School of Physics, Georgia Tech		
2018-present	Research Assistant, Center for Relativistic Astrophysics, School of Physics,		
	Georgia Tech (Advisor: Dr. John Wise)		

#### III. Honors and Awards

2017-2019	President's Fellowship, Georgia Tech Foundation
November 2018	School of Physics Travel Award, Georgia Tech
2017	Phi Beta Kappa Honor Society
2016	IDEA Grant, Center for Undergraduate Research and Academic Engagement,
	Florida State University
2016	Invitation to join Sigma Pi Sigma, National Physics Honor Society
Fall 2013, Summe	er 2014, Spring 2015, Fall 2016 Presidents List (4.0 GPA)
Fall 2014	Golden Key Honor Society
2011-2016	Bright Futures Medallion Scholarship, Florida Department of Education

# IV. Research, Scholarship, and Creative Activities

#### A. Published Journal Articles

1. Brummel-Smith, C., Bryan, G., Butsky, I., Corlies, L., Emerick, A., Forbes, J., Fujimoto, Y., Goldbaum, N., Grete, P., Hummels, C., Kim, J.-h., Koh, D., Li, M., Li, Y., Li, X., OShea, B., Peeples, M., Regan, J., Salem, M., Schmidt, W., Simpson, C., Smith, B., Tumlinson, J., Turk, M., Wise, J., Abel, T., Bordner, J., Cen, R., Collins, D., Crosby, B., Edelmann, P., Hahn, O., Harkness, R., Harper-Clark, E., Kong, S., Kritsuk, A., Kuhlen, M., Larrue, J., Lee, E., Meece, G., Norman, M., Oishi, J., Paschos, P., Peruta, C., Razoumov, A., Reynolds, D., Silvia, D., Skillman, S., Skory, S., So, G., Tasker, E., Wagner, R., Wang, P., Xu, H., & Zhao, F. 2019, "ENZO: An Adaptive Mesh Refinement Code for Astrophysics (Version 2.6)," *The Journal of Open Source Software*, 4, 1636. (Author list is alphabetical.)

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2. M. Ruszkowski, D. Nagai, I. Zhuravleva, C. Brummel-Smith, Y. Li, E. Hodges-Kluck, H.-Y. K. Yang, K. Basu, J. Chluba, E. Churazov, M. Donahue, A. Fabian, C.-A. Faucher-Giguère, M. Gas-pari, J. Hlavacek-Larrondo, M. McDonald, B. McNamara, P. Nulsen, T. Mroczkowski, R. Mushotzky, C. Reynolds, A. Vikhlinin, M. Voit, N. Werner, J. ZuHone, and E. Zweibel, "Supermassive Black Hole Feedback," *The Bulletin of the American Astronomical Society*, vol. 51, p. 326, May 2019.

#### **B.** Other Publications and Creative Products

1. C. Brummel-Smith, Y. Li, D. Nagai, I. Zhuravleva, "Nature of AGN Feedback: Testing Numerical Models with Chandra Observations," *Kavli Summer Program in Astrophysics Archives*, 2018, <a href="https://kspa.soe.ucsc.edu/sites/default/files/Brummel-Smith%20Report.pdf">https://kspa.soe.ucsc.edu/sites/default/files/Brummel-Smith%20Report.pdf</a>

#### C. Presentations

#### **Invited presentations at universities & institutes:**

- 1. (08/2018) "Nature of AGN Feedback: Testing Numerical Models with Chandra Observations" Kavli Summer Program in Astrophysics. Center for Computational Astrophysics at Flatiron Institute, New York, NY
- 2. (09/2016) "Magnetic Field Alignment in Simulated Molecular Clouds" President's Showcase of Undergraduate Research Excellence. Tallahassee, FL

## **Contributed presentations at conferences:**

1. (12/2018) Poster - "Children of the First Star: Birth from a Population III Supernova" Stellar Archaeology, Tokyo, Japan

#### D. Other Scholarly and Creative Accomplishments

Summer 2018 Kavli Summer Program in Astrophysics Fellow
Center for Computational Astrophysics at Flatiron Institute, New York, NY

#### V. Teaching

# A. Courses Taught (last 6 years)

Fall 2017 PHY 2211 Introductory Physics Recitation Spring 2018 PHY 2211 Introductory Physics Recitation

#### VI. Service

#### A. Public and Community Service

2018-present Astronomy and computational astrophysics demos for STEM groups:

- Stempower at Georgia Tech
- Science Olympiad

2016-2017 Astronomy Club of Florida State University, Senior Leader:

- Founding member of the first astronomy club at FSU
- Taught undergraduates how use telescopes
- Gave advice on how to get involved with undergraduate research

# Biographical Sketch: John H. Wise

School of Physics and Center for Relativistic Astrophysics Georgia Institute of Technology, Atlanta, GA 30332 Phone: 404-894-5208, Email: jwise@physics.gatech.edu

# **Professional Preparation**

Georgia Institute of Technology	Atlanta, GA	Physics	B.S.	2001
Stanford University	Stanford, CA	Physics	Ph.D.	2007
NASA Goddard Space Flight Center	Greenbelt, MD	Astrophysics	Postdoc	2007 - 2009
Princeton University	Princeton, NJ	Astrophysics	Postdoc	2009 - 2011

# **Professional History**

Georgia Institute of Technology Associate Professor 2016 – present Georgia Institute of Technology Assistant Professor 2011 – 2016

#### **Related Products**

- 1. **Wise, J. H.**, Regan, J. A., O'Shea, B. W., Norman, M. L., Downes, T. P, Xu, H. 2019, "Formation of massive black holes in rapidly growing pre-galactic gas clouds," Nature, 566, 85
- 2. Chiaki, G., **Wise, J. H.** 2019, "Seeding the second star: enrichment from population III, dust evolution, and cloud collapse," MNRAS, 482, 3933
- 3. Barrow, K. S. S., **Wise, J. H.**, Aykutalp, A., O'Shea, B. W., Norman, M. L., Xu, H. 2018, "First light II: Emission line extinction, population III stars, and X-ray binaries," MNRAS, 474, 2617
- 4. Regan, J. A., Visbal, E., **Wise, J. H.**, Haiman, Z., Johansson, P. H., Bryan, G. L. 2017, "Rapid formation of massive black holes in close proximity to embryonic protogalaxies," Nature Astronomy, 1, 75
- 5. Xu, H., Wise, J. H., Norman, M. L., Ahn, K. O'Shea, B. W. 2016, "Galaxy Properties and UV Escape Fraction during the Epoch of Reionization: Results from the Renaissance Simulations," ApJ, 833, 84

# **Other Significant Products**

- 1. Bryan, G. B. for the **Enzo Collaboration**, 2014, "Enzo: An Adaptive Mesh Refinement Code for Astrophysics," Astrophysical Journal Supplemental, 211, 19
- 2. **Wise, J. H.** & Abel, T. 2011, "Enzo+Moray: radiation hydrodynamics adaptive mesh refinement simulations with adaptive ray tracing," Monthly Notices of the Royal Astronomical Society, 414, 3458-3491

- 3. **Wise, J. H.**, Turk, M. J., Norman, M. L., & Abel, T. 2012, "The Birth of a Galaxy: Primordial Metal Enrichment and Stellar Populations," Astrophysical Journal, 745, 50-59
- 4. **Wise, J. H.**, Abel, T., Turk, M. J., Norman, M. L., & Smith, B. D. 2012, "The Birth of a Galaxy II. The Role of Radiation Pressure," Monthly Notices of the Royal Astronomical Society, 427, 311-329
- 5. Xu, H., **Wise, J. H.**, Norman, M. L. 2013, "Population III Stars and Remnants in High Redshift Galaxies," Astrophysical Journal, 773, 83

# **Synergistic Activities**

- **Courses developed:** "Physics of the Interstellar Medium" for undergraduate students astrophysical applications of quantum mechanics, statistical mechanics, electromagnetism, and classical mechanics. "Cosmology and Galaxy Formation" for graduate students a theoretical approach to understanding the large-scale structure of the universe and the dynamics of galaxies. In addition to these classes, nine undergraduate students, who have performed analysis of numerical simulations of galaxy formation, have been supervised.
- **Public visualizations:** Featured in the space shows "Journey to the Stars" at the American Museum of Natural History, "Life: A Cosmic Story" at the California Academy of Sciences, and "Solar Superstorms" produced by the National Center for Supercomputing Applications. Produced an award-winning visualization "The First Stars" that was featured in an exhibit at the Alder Planetarium.
- Outreach talks: Lead Outreach Demonstrator for the CRA Visualization Laboratory (2012 present); "Baby Galaxies: The First Steps to the Milky Way," Hokudai University, Sapporo, Japan (24 Oct 2013) and Georgia Institute of Technology, Atlanta, GA (18 Nov 2013); "Computing the Origins of our Milky Way," TEDxDouglasville, Douglasville, GA (04 Apr 2015); "Computing the Origins of Galaxies," Augusta Astronomy Club (08 Feb 2019) and Dahlonega Science Festival (02 Mar 2019).
- **Community code development:** One of the lead contributors to the publicly-available cosmology code, ENZO. Contributor to the publicly-available analysis and visualization toolkit, YT.
- **Service:** Wise has served on several review panels for national and international agencies and as a referee for the Astrophysical Journal, Science, Astronomy & Astrophysics, and the Monthly Notices of the Royal Astronomical Society. Wise has assisted with presenting research at the Supercomputing conferences from 2007 to 2009 with Stanford University and NASA.

## Georgia Tech Individual Development Plan (IDP) Self-Assessment Form

The aim of this form is to help you think through your long-term career and degree completion goals. Complete each section below (insofar as it is relevant to you), then share it with your advisor(s) for discussion and development. Ideally, you will use this to collaboratively create a document that clearly identifies both your current and long term professional goals, with advice and input from your advisor.

Drawing on the <u>Core Competencies</u> identified by the <u>National Postdoc Association</u>, this form is split into the following four sections:

- I. <u>Career Exploration and Development</u>
- II. <u>Degree Completion</u> (graduate students only)
- III. Knowledge Development
- IV. <u>Professional Skillsets</u>

You may end up focusing most of your attention on only a few areas at a time, but it is good practice to reflect on each area in order to help you (and your advisor) identify the best goals and activities for you over the coming months and years.

# 1. Conduct self-assessment & identify areas for progress 4. Implement plan, track progress, and revise plan as necessary 2. Discuss self-assessment, resources, and opportunities with advisor 3. Set goals for upcoming year & share with advisor

# The IDP Process

Use this document as **one part of an ongoing plan for your professional development**: we recommend that you revisit it at least once a year, to revise and adjust your goals and future plans. We recommend that you retain old versions of this document in order to chart your progress, but use the most recent version as a starting place for creating your plan each year. In addition, it is helpful to use this document as a tool for progress checks throughout the year (e.g. mid-semester check-in, and end-of-semester review).

For more IDP-related resources, please visit <a href="http://www.ctl.gatech.edu/resources/best-practices/IDPs">http://www.ctl.gatech.edu/resources/best-practices/IDPs</a>.

Career Exploration & Development			
Examples	exploring career options, developing skills specific to career of interest, meeting with professionals who already do the job you would like to do, obtaining a certification, developing teaching skills, etc.		
Career(s) of interest	Academia: Post-doc, tenure track professor, tenured professor in astronomy or physics, educator. Non-academia: data scientist, programmer, software developer/engineer, science-communicator		
Areas of well- established knowledge & understanding	What it takes to become a professor (publications, successful post-doc positions, recognized and respected in one's field, etc.) Physics and astronomy Programming		
Skills/ knowledge to acquire or improve upon	<ul> <li>Writing scientific papers.</li> <li>Designing new research projects</li> <li>How to get non-academia jobs.</li> <li>Discover what career I would want to pursue if I don't stay in academia.</li> </ul>		
Specific goals for the next year			
Ways your advisor & others can help you accomplish these goals	<ul> <li>Provide useful comments and suggestions when writing my paper.</li> <li>Be open to discussing non-academia jobs.</li> <li>Critique my ideas for research projects.</li> </ul>		
Degree Completion (graduate students only)			
Examples	courses, exams, reviews, specific steps toward dissertation completion, etc.		
Completed degree requirements	<ul> <li>Core physics courses</li> <li>2 advanced physics courses</li> <li>2 of 3 astrophysics courses (minor)</li> <li>Responsible Conduct of Research training</li> </ul>		

	i		
Remaining degree requirements	<ul> <li>1 astrophysics course (finished by end of Spring 2020)</li> <li>Thesis proposal</li> <li>Annual reviews with my thesis committee</li> <li>Thesis defense</li> </ul>		
Specific goals for the next year	<ul> <li>Complete thesis proposal.</li> <li>Complete triggered star formation simulations and a write paper about them.</li> <li>Develop Pop III tracer particle simulations.</li> <li>Write detailed research notes.</li> </ul>		
Ways your advisor & others can help you accomplish these goals	<ul> <li>Weekly meetings with my advisor to discuss questions about developing and running Enzo simulations and data analysis.</li> <li>Help me set specific weekly goals in our meetings so I have small sub tasks to accomplish each week.</li> <li>Discuss my work with peers to practice communication and get a different perspective on solving problems that arise.</li> </ul>		
	Discipline-Specific Research & Publication Ethics Conceptual Knowledge		
Examples	detailed knowledge of specific research area, existing work on a specific research question, research advances within the discipline, broad based and cross-disciplinary knowledge acquisition, etc.	compliance with regulations, policies, and guidelines related to: authorship, ethical conduct of research, proper writing practices, conflicts of interest, data ownership and sharing, working with human/animal subjects, etc.	
Areas of well- established knowledge & understanding	<ul> <li>How stars and supernovae are modeled in Enzo</li> <li>Gravitational collapse leading to star formation</li> <li>General knowledge of how Enzo works</li> </ul>	<ul> <li>I completed our school's Responsible Conduct of Research course which covered a broad range of research ethics.</li> <li>Generally, in our field, authors are ranked by the amount they contribute to the research and paper writing.</li> <li>Georgia Tech owns all of the data we produce in our research.</li> <li>How to avoid predatory journals</li> </ul>	

Skills/ knowledge to acquire or improve upon	<ul> <li>Understanding the physics of radiation transport physics and how it is implemented in Enzo</li> <li>A more complete knowledge of the literature on Pop III stars and the early universe</li> <li>Understand the existing tracer particles in Enzo so I can modify them for the simulation in this proposal</li> <li>Better understand Riemann solvers</li> </ul>	<ul> <li>Understand the specific regulations and expectations of the journals we decide to submit my future papers to</li> <li>Differences between the common journals in our field</li> </ul>
Specific goals for the next year	<ul> <li>Develop the simulations presented in this proposal</li> <li>Do a more complete literature review of Pop III stars (this will be necessary for my thesis proposal)</li> <li>Study the radiative transport code in Enzo</li> </ul>	- Look up the regulations and expectations of the journals we decide to submit future papers to
Ways your advisor & others can help you accomplish these goals	<ul> <li>Give suggestions of important papers for understanding Pop III stars and the early universe</li> <li>Meet with me to discuss details of the simulations and how to solve problems as they arise.</li> </ul>	- Discuss the differences between different journals and how to decide which to submit to
	Research Skills	Communication Skills
Examples	research techniques, experimental design, identifying appropriate research questions, data collection, management, & analysis, developing a literature review, etc.	presenting your work to colleagues and to the public, writing articles, grant proposals, and job application materials, etc.

Strengths & main accomplishment s over the last year	<ul> <li>Using Enzo to run astrophysical simulations</li> <li>Using python and yt for data visualization and analysis</li> <li>General programming skills (especially in Python)</li> <li>Made a personal website</li> <li>Developed ideas for two new research projects</li> </ul>	<ul> <li>l've given multiple presentations for my classes and a group meeting.</li> <li>I feel I am decent at creating and giving presentations.</li> <li>I shared my research at a conference at the Kavli IPMU in Japan.</li> </ul>
Skills/ knowledge to acquire or improve upon	<ul> <li>Using better debugging practices and tools</li> <li>Using MUSIC to generate initial conditions for cosmological simulations</li> </ul>	<ul> <li>Communicate with my advisor and collaborators more</li> <li>Learn more details on how to write a good scientific paper</li> </ul>
Specific goals for the next year	<ul> <li>Create a data analysis pipeline for the triggered star formation simulations</li> <li>Figure out how to inject tracer particles into the simulation when a Pop III star explodes</li> <li>Use gdb more when debugging</li> <li>Generate initial conditions for cosmology simulations</li> </ul>	<ul> <li>Present my triggered star formation results at a conference</li> <li>Give practice talks at a group meeting and our weekly journal-club</li> <li>Give my advisor more frequent updates on Slack</li> <li>Write the triggered star formation paper and submit it to a journal</li> </ul>
Ways your advisor & others can help you accomplish these goals	<ul> <li>My advisor and a colleague of mine have experience using MUSIC so they can show me how to use it.</li> <li>Discuss tracer particles with my advisor and go through the code I write together</li> </ul>	<ul> <li>Discuss the paper writing process with my advisor and colleagues</li> <li>Have my advisor give me constructive criticism on my presentations and writing</li> </ul>

	Leadership & Management Skills	Professionalism
Examples	contributing new ideas, mentoring & training others, providing feedback to students and peers, project management, running meetings, helping others identify their goals, developing long-term strategic vision, etc.	collegiality, respecting and enhancing the intellectual contributions of others, punctuality, participating in partnerships with external organizations and funding/review panels, networking, etc.
Strengths & main accomplishment s over the last year	<ul> <li>I regularly have discussions with the president of the Graduate Association of Physicists to discuss new ways to improve the quality of life for graduate students.</li> <li>I often help peers with research and coding related problems.</li> <li>I host public outreach events for science camps and students of all ages to teach and stimulate interest in astronomy and astrophysics.</li> </ul>	<ul> <li>I regularly attend group meetings and individual meetings with my advisor.</li> <li>I schedule online video conferences with collaborators.</li> </ul>
Skills/ knowledge to acquire or improve upon	- Learn how to get involved with student mentoring	- Better prepare for meetings so I have more articulate and well-thought-out questions and topics for discussion

Specific goals for the next year	<ul> <li>Continue public education outreach events and incorporate new simulations into our demos</li> <li>Help my research colleague learn C++ and how to modify the Enzo code</li> <li>Be available for members of my research group when they need help with Linux, Enzo, or yt, or anything else</li> <li>Attend mentoring sessions for undergrad and grad students</li> </ul>	<ul> <li>Update personal website and CV as I complete new research, publish papers, and attend conferences.</li> <li>Attend a career fair to explore non-academia related jobs</li> <li>Spend more time preparing for meetings</li> </ul>
Ways your advisor & others can help you accomplish these goals	<ul> <li>Many of my colleagues have done student mentoring in the past so I will ask for their help to get involved myself.</li> </ul>	- Get feedback from my advisor on my professionalism and what he thinks I should improve on

#### **Budget Timeline:**

Start date: August 17, 2020 (Beginning of fall semester, year 1) End date: July 31, 2023 (End of summer semester, year 3)

#### Aug. 2020 - July. 2021 (year 1)

- Develop and test triggered star formation simulations in Enzo for Project 1
- Run and maintain triggered star formation simulation suite on PACE at Georgia Tech.
- Begin data analysis on triggered star formation simulations.
  - Generate a pipeline for efficient analysis as new simulations are completed.
- Finish data analysis.
- Create publication-ready plots.
- Build a story for triggered star formation paper.
- Begin writing triggered star formation paper.

# Aug. 2021 - Jul. 2022 (year 2)

- Finish writing triggered star formation paper.
- Submit the paper to a journal by the end of the semester.
- Project 1 complete.
- Develop and test the metal tracer particles in Enzo for Project 2.
- Generate initial conditions for the cosmology simulation.
- Revise and resubmit triggered star formation paper if necessary.
- Run fiducial cosmology simulation with metal tracer particles and core-collapse supernovae only.
- Begin data analysis (Locate regions enriched by metals from Pop III stars)

#### Aug. 2022 - July. 2023 (year 3)

- Run additional cosmology simulations with different initial mass functions and also include faint, jet, and pair-instability supernovae.
- Continue data analysis (study where Pop II stars form and how they are enriched with Pop III metals)
- Create publication-ready plots.
- Build a story for second paper.
- Begin writing second paper.
- Finish second paper.
- Submit the paper to a journal by the end of the semester.
- Organize data from both projects and make publicly available.

#### **Budget Narrative:**

This grant will cover the costs of the FI's graduate student stipend, travel expenses, and student fees for the fall, spring, and summer semesters of the three years of support from the FINESST grant. These funds will allow the FI to make a living wage, complete the projects described above, and aid in his professional development. This budget includes fees associated with attending conferences and workshops to develop the skills and professional experience necessary for him to grow and succeed as a Ph.D. student and professional scientist. In the past, the PI has paid for travel expenses so the FI can attend conferences and workshops. We include these expenses in the FINESST budget. At conferences, the FI will present the research described in the projects above. In the budget, we also include the fee for Georgia Tech's mandatory health insurance.

#### **Itemized Budget:**

Student stipend: \$35,000/year

Tuition, fees, and health insurance (6.2% of stipend & \$5K tuition remission): \$7,170/year

Travel expenses: \$2,830/year

Total yearly budget: \$45,000

Total FINESST budget (3 years): \$135,000