ENRICHED LAWVERE THEORIES
FOR OPERATIONAL SEMANTICS

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ABSTRACT. We forgot the abstract.

1. INTRODUCTION

Formal systems are sometimes defined without intrinsic connection to how they actually operate in practice. For example, Lawvere theories [12] are an excellent formalism for describing algebraic structures obeying equational laws, but they do not specify how to compute in such a structure, for example taking a complex expression and simplifying it using rewrite rules. Recall that a Lawvere theory is a category with finite products $\mathcal{T}$ generated by a single object t, for "type", and morphisms $t^n \to t$ representing n-ary operations, with commutative diagrams specifying equations. There is a Lawvere theory for groups, a Lawvere theory for rings, and so on. We can specify algebraic structures of a given kind in some category $\mathcal{C}$ with finite products by a power-preserving functor $\mu \colon \mathcal{T} \to \mathcal{C}$. This is a simple and elegant form of *denotational* semantics. However, Lawvere theories know nothing of *operational* semantics. Our goal here is to address this using "enriched" Lawvere theories [9].

In a Lawvere theory the objects are types and the morphisms are terms; the problem is that there are no rewrites between terms, only equations. In operational semantics, program behavior is often specified by labelled transition systems, or labelled directed graphs [20]. The edges of such a graph can be seen as rewrites:

$$(\lambda x.x + x\ \ 2) \xrightarrow{\ \beta\ } 2 + 2 \xrightarrow{\ +\ } 4$$

To bring rewrites into Lawvere theories we need a structure with types, terms, and also rewrites between terms. This suggests using an enhanced Lawvere theory where instead of merely a *set* of morphisms between objects one has a *graph* or perhaps a *category*. Enriched Lawvere theories are perfectly suited for this purpose.

Using enriched Lawvere theories for operational semantics has been explored in the past. For example, category-enriched theories have been studied by Seely [23], and poset-enriched ones by Ghani and Lüth [15]. Here we allow quite general enrichments, to incorporate these approaches in a common framework. We focus attention on graph-enriched Lawvere theories, which have a clear connection to the original idea of operational semantics:

$$
\begin{array}{rl}
\text{sorts} & : \text{generating object } t \\
\text{term constructors} & : \text{generating morphisms } t^n \to t \\
\text{structural congruence} & : \text{commuting diagrams} \\
* \quad \text{rewrite rules} & : \text{generating hom-edges} \quad *
\end{array}
$$

However, there are many other useful enriching categories. For any enriching category $\mathcal{V}$, a $\mathcal{V}$-**theory** is a $\mathcal{V}$-enriched Lawvere theory with natural number arities (see §4). Better yet, there are functors between these which allow the seamless translation between different kinds of operational and denotational semantics. There is a *spectrum* of enriching categories which allow us to examine the semantics of term calculi at various levels of detail:

- **Graphs**: Gph-theories represent "small-step" operational semantics
  — a hom-graph edge represents a *single term* rewrite.
- **Categories**: Cat-theories represent "big-step" operational semantics
  — composition generates morphisms representing *big-step* rewrites.
- **Posets**: Pos-theories represent "full-step" operational semantics:
  — a hom-poset boolean represents the *existence* of a big-step rewrite.
- **Sets**: Set-theories represent denotational semantics
  — a hom-set element represents an *equivalence class* of the symmetric closure of the big-step relation.

Here we take Gph to be the category of reflexive graphs, Set^R, where R is the category with two objects v and e, two morphisms $s, t \colon e \to v$, and a morphism $i \colon v \to e$ obeying $si = ti = 1_v$. Thus, every vertex has a distinguished self-loop, which is needed for the "free category" functor to be a valid change-of-semantics (§6). We could also handle labelled transition systems by a simple augmentation of this theory, but for simplicity we do not consider these.

In section §2, we review Lawvere theories as a more explicit, but equivalent, presentation of finitary monads. In §3, we recall the basics of enrichment, and especially the theory of powers. In §4 we give the central definition of $\mathcal{V}$-theory, from Lucyshyn-Wright [14], which allows us to parametrize our theory by a monoidal subcategory of arities.

In §5 we discuss how functors between enriching categories induce change-of-base 2-functors between their 2-categories of enriched categories, and in §6 we show that product-preserving functors induce *change-of-semantics*: that is, they map theories to theories and models to models. In §7 we show that models of all possible theories with all possible enrichments can be assimilated into one category using the Grothendieck construction.

Finally in §8 we bring all the strands together by demonstrating these concepts with the SKI-combinator calculus, introducing the idea of developing actual programming languages with enriched Lawvere theories.

2. Lawvere Theories

Computer science loves monads, but they are widely regarded as somewhat mysterious. They are almost too elegant; it is difficult to "grok" how they work before working with them extensively. This is ironic, because most are equivalent to something more intuitive: Lawvere theories.

The "theory of monoids" can be defined without any reference to sets:

$$\mathrm{Th(Mon)}$$

$$
\begin{array}{rl}
\text{an object} & M \\
\text{an identity element} & e\colon 1 \to M \\
\text{and multiplication} & m\colon M^2 \to M \\
\text{with associativity} & m \circ (m \times M) = m \circ (M \times m) \\
\text{and unitality} & e \circ M = M = M \circ e
\end{array}
$$

Lawvere theories formalize this idea. They were originally called "finite product" theories: a skeleton N of the category of finite sets FinSet is the free category with finite coproducts on 1 — every finite set is equal to the disjoint union of copies of $\{*\}$; conversely, $\mathrm{N^{op}}$ is the free category with finite products on 1. So, a category with finite products $\mathcal{T}$ equipped with a strictly (finite) product-preserving bijective-on-objects functor $\tau\colon \mathrm{N^{op}} \to \mathcal{T}$ is essentially a category generated by one object $\tau(1) = M$ and n-ary operations $M^n \to M$, as well as the projection and diagonal morphisms of finite products. Lawvere theories form a category Law, with strictly product-preserving functors $f\colon \mathcal{T} \to \mathcal{T}'$ such that $f\tau = \tau'$.

The abstraction of this definition is powerful: the syntax encapsulates the algebraic theory, independently of semantics, and then M can be realized as almost any formal object. We will call a finite product-preserving functor "cartesian" for efficiency: for another category with finite products $\mathcal{C}$, a **model** of the Lawvere theory in $\mathcal{C}$ is a cartesian functor $\mu\colon \mathcal{T} \to \mathcal{C}$. By the "free" property above, this functor is determined by $\mu(\tau(1)) = \mu(M) = X \in \mathcal{C}$. The models of $\mathcal{T}$ in $\mathcal{C}$ form a category $\mathrm{Mod}(\mathcal{T}, \mathcal{C})$, in which the morphisms are natural transformations. The general theory can be thereby modelled in many useful ways. For example, ordinary groups are models $\mathcal{T}_{\mathrm{Grp}} \to \mathrm{Set}$, while models $\mathcal{T}_{\mathrm{Grp}} \to \mathrm{Top}$ are topological groups.

For completeness, it is worthwhile to explicate the *presentation* of a Lawvere theory: after all, we are proclaiming their utility in everyday programming. How exactly does the above "sketch" of $\mathrm{Th(Mon)}$ produce a category? It is precisely analogous to the presentation of an algebra by generators and relations, though here we consider the "many-object" generalization: we form the *free category with finite products* on the presentation, $\mathrm{FC}_{fp}(\mathrm{Th(Mon)}) =: \mathcal{T}$ —

$$
\frac{a \,,\, b : \mathcal{T}}{a \times b : \mathcal{T}}
\qquad
\frac{f \,:\, a \to b \,,\, g \,:\, c \to d}{f \times g \,:\, a \times b \,\to\, c \times d}
\qquad
\frac{h : d \to a \,,\, k : e \to b}{\langle h, k \rangle \,:\, e \to a \times b}
$$

$$
\frac{a \times b : \mathcal{T}}{\pi_1 : a \times b \to a \,\,,\,\, \pi_2 : a \times b \to b}
\qquad
\frac{\langle h, k \rangle \,:\, e \to a \times b}{\pi_1 \langle h, k \rangle \equiv h \,\,,\,\, \pi_2 \langle h, k \rangle \equiv k}
$$

From the presentation above, this generates a category the objects of which are powers of t, and the morphisms of which are composites of products of the morphisms in Th(Mon), projections, deletions, symmetries and diagonals which constitute the cartesian structure of $\mathrm{FC}_{fp}(\mathrm{Th(Mon)})$. A detailed account of this idea for any class of limits, called "sketches", is given in [3].

Lawvere theories and *finitary monads* provide complementary representations of algebraic structures and computation, as discussed by Hyland and Power in [7], and they were proven to be equivalent by Linton in [13]. For every Lawvere theory $\mathcal{T}$, there is an adjunction:

$$\mathrm{Set} \underset{U}{\overset{F}{\rightleftarrows}} \mathrm{Mod}(\mathcal{T}, \mathrm{Set})$$

The underlying set functor

$$U \colon \mathrm{Mod}(\mathcal{T}, \mathrm{Set}) \to \mathrm{Set}$$

sends each model μ to the image of the generating object in Set, $X = \mu(\tau(1))$. Its left adjoint, the free model functor

$$F \colon \mathrm{Set} \to \mathrm{Mod}(\mathcal{T}, \mathrm{Set}),$$

sends each finite set n to the representable functor $\mathcal{T}(|n|, -) \colon \mathcal{T} \to \mathrm{Set}$, and in general any set X to the functor that maps $t^n \in \mathcal{T}$ to the set of all n-ary operations on X: $\{f(x_1, ..., x_n) | f \in \mathcal{T}(n, 1), x_i \in X\}$. These give an adjunction

$$\mathrm{Mod}(F(n), \mu) = \mathrm{Mod}(\mathcal{T}(|n|, -), \mu) \cong \mu(n) \cong \mu(1)^n = \mathrm{Set}(n, U(\mu))$$

where the left isomorphism arises from the Yoneda lemma, and the right isomorphism from the product preservation of μ.

This adjunction induces a monad T on Set:

$$(1) \qquad T(X) = \int^{n \in \mathrm{N}} X^n \times \mathcal{T}(n, 1).$$

The integral here is a coend, essentially a coproduct quotiented by the equations of the theory and the equations induced by the cartesian structure of the category.

Conversely, for a monad T on Set, its Kleisli category $\mathcal{K}(T)$ is the category of all free algebras of the monad, which has all coproducts. There is a "comparison" functor $k \colon \mathrm{Set} \to \mathcal{K}(T)$ which is the identity on objects and preserves coproducts (because it is a right adjoint). Thus,

$$k^{\mathrm{op}} \colon \mathrm{Set}^{\mathrm{op}} \to \mathcal{K}(T)^{\mathrm{op}}$$

is a cartesian functor, and restricting its domain to N^{op} forms the canonical Lawvere theory corresponding to the monad. This restriction is what limits the equivalence to finitary monads (§3). For more details see [3, 12, 18].

The correspondence of Lawvere theories and finitary monads forms an equivalence between the category of Lawvere theories and the category of finitary monads on Set, as well as the categories of models and algebras for every corresponding pair $(\mathcal{T}, T)$:

$$\begin{array}{ccc} \mathrm{Law} & \simeq & \mathrm{Mnd}_f \\ \mathrm{Mod}(\mathcal{T}) & \simeq & \mathrm{Alg}(T) \end{array}$$

This generalizes to arbitrary "locally finitely presentable" modelling categories $\mathcal{C}$ (§3). The previous references suffice; we do not need further details.

3. Enrichment

We want to generalize hom-sets to hom-*objects*, to equip theories of formal languages with higher-dimensional rewrites.

Let $(\mathcal{V}, \otimes, I)$ be a monoidal category [16], the "enriching" category.

A $\mathcal{V}$-**category** or $\mathcal{V}$-enriched category $\mathcal{C}$ is:

$$
\begin{aligned}
\text{a collection of objects} \quad & Obj(\mathcal{C}) \\
\text{a hom-object function} \quad & \mathcal{C}(-,-) \colon Obj(\mathcal{C}) \times Obj(\mathcal{C}) \to Obj(\mathcal{V}) \\
\text{composition morphisms} \quad & \circ_{a,b,c} \colon \mathcal{C}(b,c) \otimes \mathcal{C}(a,b) \to \mathcal{C}(a,c) \quad \forall a,b,c \in Obj(\mathcal{C}) \\
\text{identity elements} \quad & i_a \colon I \to \mathcal{C}(a,a) \quad \forall a \in Obj(\mathcal{C})
\end{aligned}
$$

such that composition is associative and unital.

A $\mathcal{V}$-**functor** $F \colon \mathcal{C} \to \mathcal{D}$ is:

$$
\begin{aligned}
\text{a function} \quad & F_0 \colon Obj(\mathcal{C}) \to Obj(\mathcal{D}) \\
\text{hom-morphisms} \quad & F_{ab} \colon \mathcal{C}(a,b) \to \mathcal{D}(F_0(a), F_0(b)) \quad \forall a,b \in \mathcal{C}
\end{aligned}
$$

such that F is compatible with composition and identity.

A $\mathcal{V}$-**natural transformation** $\alpha \colon F \Rightarrow G$ is:

$$
\text{a family} \quad \alpha_a \colon I \to \mathcal{D}(F_0(a), G_0(a)) \quad \forall a \in Obj(\mathcal{C})
$$

such that α is "natural" in a. Hence there is a 2-category $\mathcal{V}\mathrm{Cat}$ of $\mathcal{V}$-categories, $\mathcal{V}$-functors, and $\mathcal{V}$-natural transformations. See [9] for reference.

Let $\mathcal{V}$ be a *closed symmetric monoidal category*, providing

$$
\begin{aligned}
\text{internal hom} \quad & [-,-] \colon \mathcal{V}^{\mathrm{op}} \otimes \mathcal{V} \to \mathcal{V} \\
\text{symmetry braiding} \quad & \tau_{a,b} \colon a \otimes b \cong b \otimes a \quad \forall a,b \in Obj(\mathcal{C}) \\
\text{tensor-hom adjunction} \quad & \mathcal{V}(a \otimes b, c) \cong \mathcal{V}(a, [b,c]) \quad \forall a,b,c \in Obj(\mathcal{V})
\end{aligned}
$$

Then $\mathcal{V}$ is itself a $\mathcal{V}$-category, denoted $\tilde{\mathcal{V}}$, with internal hom as the hom-object function. The tensor-hom adjunction is called "currying" in computer science; the counit is evaluation.

These adjoints generalize to *actions* of $\mathcal{V}$ on a $\mathcal{V}$-category $\mathcal{C}$: **power** and **copower** are $\mathcal{V}$-functors:

$$
\begin{aligned}
\odot \colon \quad & \mathcal{V} \otimes \mathcal{C} \to \mathcal{C} \\
\pitchfork \colon \quad & \mathcal{V}^{\mathrm{op}} \otimes \mathcal{C} \to \mathcal{C}
\end{aligned}
$$

such that for $x \in Obj(\mathcal{V})$ and $a,b \in Obj(\mathcal{C})$, there is the adjunction (provided the objects exist):

$$
(2) \qquad \mathcal{C}(a \odot x, b) \cong [x, \mathcal{C}(a,b)] \cong \mathcal{C}(a, x \pitchfork b)
$$

and $\mathcal{C}$ is $\mathcal{V}$-*powered* or *copowered* if all powers or copowers exist.

These are the two basic forms of *enriched limit* and *colimit*, which are not especially intuitive, but they are direct generalizations of familiar ideas in the category of sets. In Set, the power is the "exponential" function set and the copower is the product. To generalize this to an action on other Set-categories, note that:

$$
X \pitchfork Y = \quad Y^X \quad \cong \prod_{x \in X} Y
$$

$$
X \odot Y = \quad X \times Y \quad \cong \sum_{y \in Y} X
$$

So, categories are canonically Set-powered or copowered by indexed products or coproducts of copies of an object, provided that these exist. (We will use exponential notation $b^n := n \pitchfork b$, and denote the unit I by 1, because the enriching categories under consideration are cartesian, and we will only power by "natural numbers". Similarly, we will use $n \cdot -$ to denote the n-fold coproduct.)

There are just a few more technicalities. Given a $\mathcal{V}$-category $\mathcal{C}$, one often considers the Yoneda embedding into the $\mathcal{V}$-presheaf category $[\mathcal{C}^{\mathrm{op}}, \mathcal{V}]$, and it is important to know whether certain subcategories are representable; generally, some properties of $\mathcal{C}$ depend on a condition of "finitude" [1]. A category is **locally finitely presentable** if it is the category of models for a "sketch", a theory with not only products but general limits, and an object is "finite" if its representable functor is **finitary**, or preserves filtered colimits.

A $\mathcal{V}$-category $\mathcal{C}$ is **locally finitely presentable** if its underlying category $\mathcal{C}_0$ is locally finitely presentable, $\mathcal{C}$ has finite powers, and $(-)^x \colon \mathcal{C}_0 \to \mathcal{C}_0$ is finitary for all finitely presentable x. The details are not crucial: all categories to be considered are locally finitely presentable. Denote by $\mathcal{V}_f$ the subcategory of $\mathcal{V}$ of finite objects: in Gph, these are simply graphs with finitely many vertices and edges.

Even though the definition of Lawvere theory seems to be all about products, it is actually about *powers*, because these constitute the *arities* of the operations. These become greatly generalized in the enriched case, because whereas the only finite objects in Set are *finite sets*, there are more complex finite objects in any other enriching $\mathcal{V}$. However in the next section, we will discuss the difficulties of this generality.

4. ENRICHED LAWVERE THEORIES

Power introduced the notion of enriched Lawvere theory about twenty years ago, "in seeking a general account of what have been called notions of computation", while studying 2-monads on Cat [21]. The original definition is as follows: for a symmetric monoidal closed category $(\mathcal{V}, \otimes, I)$, a $\mathcal{V}$-*enriched Lawvere theory* is a finitely-powered $\mathcal{V}$-category $\mathcal{T}$, equipped with a strictly power-preserving identity-on-objects $\mathcal{V}$-functor

$$\tau \colon \mathcal{V}_f^{\mathrm{op}} \to \mathcal{T}$$

A *model* of a $\mathcal{V}$-theory is a $\mathcal{V}$-functor $\mu \colon \mathcal{T} \to \mathcal{V}$ which preserves powers by objects of $\mathcal{V}_f$, and models form the $\mathcal{V}$-category $[\mathcal{T}, \mathcal{V}]_{fp}$ with morphisms being $\mathcal{V}$-natural transformations. The monadic adjunction and equivalence of §2 generalize to these theories.

However, this requires $\mathcal{T}$ to have all powers of $\mathcal{V}_f$, i.e. the theory must have arities for every finite object of $\mathcal{V}$. These *generalized arities* may be very powerful — rather than only inputting n-tuples of terms, we can operate on any finite object of terms! But despite the great potential, this idea has remained essentially dormant for decades, partly for the difficulty of intuition, but also that of presentation: while it is easy to inductively generate all n-ary operations from binary, unary, nullary ones, it is certainly more subtle to generate powers for all finite objects.

The abstract idea of "enriched sketches" has a high-level explanation in [10], and "monads with arities" have been explored in [5], but these do not yet appear to have been made computationally practical, nor popularly understood in general. What does it really mean for an operation to take in a finite graph of terms? To what subjects does this pertain primarily, and how can we learn to utilize this generality? We hope that someone brings this subject to light, so that we can expand the notion of "operation" far beyond finite sets.

For this paper, however, we only need *natural number* arities, while still retaining enrichment. A very general and useful definition of enriched algebraic theory was introduced by Lucyshyn-Wright [14], which allows for theories to be parameterized by a **system of arities**, a full monoidal

subcategory

$$j \colon \mathcal{J} \hookrightarrow \mathcal{V}.$$

Definition. A $\mathcal{V}$-enriched algebraic theory with j-arities or $\mathcal{J}$-$\mathcal{V}$ **theory** $(\mathcal{T}, \tau, j)$ is a $\mathcal{V}$-category $\mathcal{T}$ equipped with an bijective-on-objects $\mathcal{V}$-functor

$$\tau \colon \tilde{\mathcal{J}}^{\mathrm{op}} \to \mathcal{T}$$

which is "$\mathcal{J}$-power preserving", or preserves powers by objects of (the subcategory image of) $\mathcal{J}$. (While the literature uses identity-on-objects, we use a weaker definition to handle change-of-base.)

A **model** of $\mathcal{T}$ in a $\mathcal{V}$-category $\mathcal{C}$ is a $\mathcal{J}$-power preserving $\mathcal{V}$-functor

$$\mu \colon \mathcal{T} \to \mathcal{C}.$$

In the same way that the objects of a Lawvere theory are N-powers of a generating object, the objects of a $\mathcal{J}$-$\mathcal{V}$ theory are $\mathcal{J}$-powers t^J of a generating object t, for each $J \in \mathcal{J}$ - note that t itself is t^I. Just as every $n \in \mathrm{N}^{\mathrm{op}}$ is a power of $1 \in \mathrm{Set}$, every $J \in \tilde{\mathcal{J}}^{\mathrm{op}}$ is a power of the monoidal unit $I \in \mathcal{V}$, i.e. using equation 2 for $\tilde{\mathcal{J}}^{\mathrm{op}}$, $(\star \mapsto 1_J) \in [I, \tilde{\mathcal{J}}^{\mathrm{op}}(J, J)]$ is sent to the canonical isomorphism:

$$(3) \qquad\qquad J \cong I^J.$$

This is just the opposite of the usual isomorphism $J \cong J^I$. Then, since τ preserves $\mathcal{J}$-powers, this implies that every object of $\mathcal{T}$ is a power of $t = \tau(I)$.

$\mathcal{J}$-$\mathcal{V}$ theories form the category $\mathcal{V}\mathrm{Law}$, the morphisms of which are $\mathcal{J}$-power preserving $\mathcal{V}$-functors $f \colon \mathcal{T} \to \mathcal{T}'$ such that $f\tau = \tau'$. For every $\mathcal{J}$-$\mathcal{V}$ theory $\mathcal{T}$ and every $\mathcal{V}$-category $\mathcal{C}$ with $\mathcal{J}$-powers, the category of models $\mathrm{Mod}(\mathcal{T}, \mathcal{C})$ consists of $\mathcal{J}$-power preserving $\mathcal{V}$-functors $\mathcal{T} \to \mathcal{C}$ and $\mathcal{V}$-natural transformations. (Note: if $\mathcal{V}$ is a *cosmos*, i.e. complete and cocomplete, then the functor categories of $\mathcal{V}\mathrm{Cat}$ are also $\mathcal{V}$-categories, including $\mathcal{V}\mathrm{Law}$ and $\mathrm{Mod}(\mathcal{T}, \mathcal{C})$. This is potentially very useful, and the "operational" $\mathcal{V}$'s of this paper are indeed cosmoi.)

Here is an overview of the concepts:

$j\colon$	$\mathcal{J}$	$\hookrightarrow$	$\mathcal{V}$	arities
$---$	$---$	$---$	$---$	enrichment
$\tau\colon$	$\tilde{\mathcal{J}}^{\mathrm{op}}$	$\to$	$\mathcal{T}$	theory
			$\downarrow$	model
			$\mathcal{C}$	semantic $\mathcal{V}$-category

This parameterization is quite general; for example, Power's definition is the case $\mathcal{J} = \mathcal{V}_f$. A system of arities is **eleutheric** if left Kan extensions along j exist and are preserved by $\mathcal{V}(K, -)$ for all $K \in Ob(\mathcal{J})$. This is what is needed to have the essential *monadicity* theorems: Lucyshyn-Wright proved that any $\mathcal{J}$-$\mathcal{V}$ theory for an eleutheric system of arities has a category of models for $\mathcal{C} = \mathcal{V}$ which is monadic over $\mathcal{V}$. The usual kinds of arities are eleutheric: in particular, *finite cardinals*.

This will be our $\mathcal{J}$.

Let $(\mathcal{V}, \times, I_\mathcal{V})$ be a cartesian closed category with finite coproducts of $I_\mathcal{V}$. Define $\mathrm{N}_\mathcal{V}$ to be the full subcategory of finite coproducts of the unit object:

$$n_\mathcal{V} := n \cdot I_\mathcal{V}$$

which is the *copower* of $I_\mathcal{V}$ by a finite set $n \in \mathrm{N}$, characterized by the universal property

$$(4) \qquad\qquad \mathcal{V}(n_\mathcal{V}, a) = \mathcal{V}(I_\mathcal{V} \odot n, a) \cong \mathrm{Set}(n, \mathcal{V}(I_\mathcal{V}, a)).$$

For $\mathcal{J} = \mathrm{N}_\mathcal{V}$, we will call $\mathcal{J}$-$\mathcal{V}$ theories $\mathcal{V}$**-theories** for simplicity.

Because $N_{\mathcal{V}}$ is eleutheric, $\mathcal{V}$-theories correspond to $\mathcal{V}$-monads on $\mathcal{V}$, just as ordinary Lawvere theories correspond to monads on Set, and §6 will demonstrate that the arities are essentially the same — but now we have the rich "operational" information of $\mathcal{V}$, and this $\mathcal{V}$ is adaptable.

How exactly does the "free-forgetful" $\mathcal{V}$-adjunction work?

$$\mathcal{V} \underset{U}{\overset{F}{\underset{\longleftarrow}{\overset{\longrightarrow}{\perp}}}} \mathrm{Mod}(\mathcal{T}, \mathcal{V})$$

As described in [14], section 8, the **free model** of a $\mathcal{V}$-theory is the enriched generalization of the free model described in §2: it is the composite of the (opposite) theory $\tau^{\mathrm{op}} \colon N_{\mathcal{V}} \to \mathcal{T}^{\mathrm{op}}$ with the Yoneda embedding $y \colon \mathcal{T}^{\mathrm{op}} \to [\mathcal{T}, \mathcal{V}]$, sending each $n_{\mathcal{V}} \in N_{\mathcal{V}}$ to its representable $\mathcal{V}$-functor, i.e. the n-ary operations of $\mathcal{T}$:

$$N_{\mathcal{V}}^{\mathrm{op}} \;\overset{\tau}{\to}\; \mathcal{T}^{\mathrm{op}} \;\overset{y}{\to}\; [\mathcal{T}, \mathcal{V}]$$

$$n_{\mathcal{V}} \;\mapsto\; t^{n_{\mathcal{V}}} \;\mapsto\; \mathcal{T}(t^{n_{\mathcal{V}}}, -)$$

Since an object of $\mathcal{V}$ does not necessarily have a "poset of finite subobjects" over which to take a filtered colimit (as in Set), the extension of the free model to all of $\mathcal{V}$ is specified by a somewhat higher-powered generalization: the "free model" functor on $\mathcal{V}$ is the *left Kan extension* of $y\tau$ along j.

$$
\begin{array}{ccc}
N_{\mathcal{V}} & \xrightarrow{\;\;y\tau\;\;} & [\mathcal{T}, \mathcal{V}] \\
{\scriptstyle j}\searrow & \Downarrow\eta & \nearrow \\
 & \mathcal{V} & {\scriptstyle F:=\mathrm{Lan}_j y\tau}
\end{array}
$$

This is essentially the universal "best solution" to this diagram commuting; i.e. for any other functor $G : \mathcal{V} \to [\mathcal{T}, \mathcal{V}]$ and $\mathcal{V}$-natural transformation $y\tau \Rightarrow Gj$, the latter factors uniquely through the unit η.

The forgetful adjoint $U \colon [\mathcal{T}, \mathcal{V}] \to \mathcal{V}$ is still evaluation at the generating object, and hence the $\mathcal{V}$-monad has a more concrete (elementwise) formula as an enriched coend:

(5) $$T(V) = \int^{n_{\mathcal{V}} \in N_{\mathcal{V}}} V^{n_{\mathcal{V}}} \times \mathcal{T}(t^{n_{\mathcal{V}}}, t)$$

Example. Let $\mathcal{V} = \mathrm{Cat}$ and $\mathcal{T} = \mathrm{Th}(\mathrm{PsMon})$ be the Cat-theory of pseudomonoids [11]. Now rather than associativty and unitality *equations*, these have 2-isomorphisms called the **associator** and **unitors** which rewrite in the direction of a "normal form". To equate the multiple possible rewrite sequences, we need 2-dimensional coherence conditions. Here is the presentation of the 2-theory:

Th(PsMon)

sort	M	pseudomonoid
operations	$e \colon 1 \to M$	identity
	$m \colon M^2 \to M$	multiplication
rewrites	$\alpha_{MMM} \colon m \circ (m \times M) \Rightarrow m \circ (M \times m)$	associator
	$\lambda \colon e \circ M \Rightarrow M$	left unitor
	$\rho \colon M \circ e \Rightarrow M$	right unitor
coherence	$(M \times \alpha) \circ \alpha_{MmM} \circ (\alpha \times M) = \alpha_{MMm} \circ \alpha_{mMM}$	pentagon identity
	$(M \times \lambda) \circ \alpha_{M1M} = \rho \times M$	triangle identity

Models of $\mathcal{T}$ in Cat are *monoidal categories*, and the induced 2-monad on Cat is the "free monoidal category" 2-monad. Let us explore this example in more detail: a model of $\mathcal{T} = \mathrm{FC}_{fp}(\mathrm{Th}(\mathrm{PsMon}))$ is a product ($\mathrm{N_{Cat}}$-power)-preserving 2-functor $\mu : \mathcal{T} \to \mathrm{Cat}$, which sends

$$
\begin{aligned}
t &\mapsto \mathcal{C} \\
m &\mapsto \otimes: && \mathcal{C}^2 \to \mathcal{C} \\
e &\mapsto I: && 1 \to \mathcal{C} \\
\alpha &\mapsto \otimes \circ (\otimes \times 1_{\mathcal{C}}) && \Rightarrow && \otimes \circ (1_{\mathcal{C}} \times \otimes) \\
\lambda &\mapsto I \circ 1_{\mathcal{C}} && \Rightarrow && 1_{\mathcal{C}} \\
\rho &\mapsto 1_{\mathcal{C}} \circ I && \Rightarrow && 1_{\mathcal{C}}
\end{aligned}
$$

such that the coherence laws of the rewrites are preserved. Hence we have a category equipped with a tensor bifunctor $\otimes$ and a unit object I such that these operations are unital and associative up to natural isomorphism; so these models are precisely monoidal categories. In this way, 2-theories generalize equipping *set*-like objects with algebraic structure to *category*-like objects.

To form the free model on a category $\mathcal{C} \in \mathrm{Cat}$, we follow the above method: the formula for left Kan extension (writing n instead of n_{Cat} for simplicity, see §6) gives $F(\mathcal{C}): \mathcal{T} \to \mathcal{V}$ by

$$
F(\mathcal{C}) = \int^{n \in \mathrm{N_{Cat}}} \mathcal{T}(t^n, t^{(-)}) \times \mathcal{C}^n
$$

which is constructed by pairing n-ary morphisms in $\mathcal{T}$ with n-tuples of objects in $\mathcal{C}$ for all $n \in \mathrm{N_{Cat}}$, then quotienting the coproduct of these pairs by the equations of $\mathcal{T}$ and $\mathcal{C}$.

This functor is not very intuitive; but composing with the left adjoint, i.e. evaluating $F(\mathcal{C})$ at 1, gives the *free monoidal category* on $\mathcal{C}$: in the same way that the (underlying set of the) free monoid on a set X consists of all finite strings of elements of X, $F(\mathcal{C})(1)$ consists of all finite tensors of objects and morphisms of $\mathcal{C}$, and all composites of these morphisms, up to the relations induced by the (composites and tensors of the) images of the associator and unitors.

In general for each $m \in \mathrm{N_{Cat}}$, $F(\mathcal{C})(m)$ gives the category of all m-tuples of elements of $F(C)(1)$, forming the "free monoidal category on $\mathcal{C}$ with m variables", like a polynomial ring. This can be useful for imposing further relations on $F(\mathcal{C})$, analogous to Galois theory. Though pure category theorists does not usually consider free variables, perhaps this is a useful notion even outside the context of computation.

The free monoidal category can be given a computational presentation as in the judgement tables for $\mathrm{FC}_{fp}(\mathrm{Th}(\mathrm{Mon}))$ in §2, with the universal property of the product replaced with the bilinearity of the tensor product. There is surely a systematic method of generating these presentations, but it is probably still implicit in the literature for sketches.

Finally, an algebra of the monad

$$
T := F(-)(1): \mathrm{Cat} \to \mathrm{Cat} :: \mathcal{C} \mapsto \int^n \mathcal{C}^n \times \mathcal{T}(n, 1)
$$

is a category A equipped with a functor $\otimes_A: F(A)(1) \to A$ such that it is compatible with the multiplication and unit of the monad, which are the "free" tensor bifunctor and monoidal unit on A. Hence, $(A, \otimes_A)$ is precisely a monoidal category, and we have the equivalence:

$$
\mathrm{PsMon}(\mathrm{Cat}) = \mathrm{Mod}(\mathcal{T}, \mathrm{Cat}) \simeq \mathrm{Alg}(T).
$$

5. Change of Base

We now have the tools to formulate the main idea: certain $\mathcal{V}$ correspond to certain kinds of *semantics*, and changing enrichments corresponds to a *change of semantics*. We propose a general

framework in which one can translate between different forms of semantics: small-step, big-step, full-step operational semantics, and denotational semantics.

$$\text{Gph} \quad \overset{\text{FC}}{\underset{\text{UG}}{\rightleftarrows}} \perp \quad \text{Cat} \quad \overset{\text{FP}}{\underset{\text{UC}}{\rightleftarrows}} \perp \quad \text{Pos} \quad \overset{\text{FS}}{\underset{\text{UP}}{\rightleftarrows}} \perp \quad \text{Set}$$

This translation is effected by a (strong) **monoidal functor**: a functor

$$(F, \lambda, \upsilon) \colon (\mathcal{V}, \otimes_{\mathcal{V}}, I_{\mathcal{V}}) \to (\mathcal{W}, \otimes_{\mathcal{W}}, I_{\mathcal{W}})$$

which transfers the tensor and unit via the *laxor* and *unitor*

$$\lambda \colon \quad F(a) \otimes_{\mathcal{W}} F(b) \cong F(a \otimes_{\mathcal{V}} b)$$
$$\upsilon \colon \quad I_{\mathcal{W}} \cong F(I_{\mathcal{V}})$$

such that λ is natural in a, b and associative, and unital relative to υ.

This induces a **change of base** functor $F_* \colon \mathcal{V}\text{Cat} \to \mathcal{W}\text{Cat}$ [6]. This is the strange but elegant operation on enriched categories, whereby the objects remain unchanged, but the hom-objects are transformed by the functor between enriching categories. The $\mathcal{W}$-category $F_*(\mathcal{C})$ is defined as follows:

$$\begin{array}{rl}
\text{objects} & \text{Obj}(\mathcal{C}) \\
\text{hom-function} & F \circ \mathcal{C}(-, -) \\
\text{composition} & F(\circ_{a,b,c}) \circ \lambda \\
\text{identity} & F(i_a) \circ \upsilon.
\end{array}$$

If $f \colon \mathcal{C} \to \mathcal{D} \in \mathcal{V}\text{Cat}$ is a $\mathcal{V}$-functor, then $F_*(f)_{\text{obj}} = f_{\text{obj}}$ and $F_*(f)_{\text{hom}} = F \circ f_{\text{hom}}$. If $\alpha \colon f \Rightarrow g$ is a $\mathcal{V}$-natural transformation and $c \in \mathcal{C}$, then $F_*(\alpha)_c := F(\alpha_c) \circ \upsilon$.

Hence, the change of base operation forms a 2-functor (or "Cat-functor"):

$$\begin{array}{ccc}
\text{MonCat} & \xrightarrow{(-)_*} & 2\text{Cat} \\
(F \colon \mathcal{V} \to \mathcal{W}) & \mapsto & (F_* \colon \mathcal{V}\text{Cat} \to \mathcal{W}\text{Cat})
\end{array}$$

In particular, there is an important correspondence of adjunctions (if $\mathcal{V}$ has all coproducts of $I_{\mathcal{V}}$):

$$\text{Set} \quad \overset{-\odot I}{\underset{\mathcal{V}(I,-)}{\rightleftarrows}} \perp \quad \mathcal{V} \longmapsto \text{Cat} \quad \overset{(-\odot I)_*}{\underset{(\mathcal{V}(I,-))_*}{\rightleftarrows}} \perp \quad \mathcal{V}\text{Cat}.$$

Each set X is represented in $\mathcal{V}$ as the X-indexed coproduct of the unit object, and conversely each object v of $\mathcal{V}$ is represented in Set by the hom-set from the unit to v. The latter induces the "underlying (Set-)category" change of base, which forgets the enrichment. The former induces the "free $\mathcal{V}$-enrichment" change of base, whereby ordinary Set-categories are converted to $\mathcal{V}$-categories, denoted $\mathcal{C} \mapsto \tilde{\mathcal{C}}$. These form an adjunction, because 2-functors preserve adjunctions.

This is what we implicitly used in the definition of $\mathcal{V}$-theory: the arity category N "sits inside" many enriching categories under various guises: as finite discrete graphs, categories, posets, etc. For each $\mathcal{V}$ we define the arity subcategory $N_{\mathcal{V}}$ to be the full subcategory of finite coproducts (copowers) of the unit object, and this remains essentially unchanged by the change-of-base to $\tilde{N}_{\mathcal{V}}$.

We only need to show that everything is simplified by restricting to this particular $\mathcal{J}$.

6. Simplify with $N_\mathcal{V}$-arities

Most of the enriched algebraic theory literature deals with generalized arities; these will be important in time, but for present applications, we would like the benefits of enrichment with the simplicity of natural number arities. Here we provide some lemmas for this simplification. The idea is that instead of thinking about fancy enriched powers, we are justified in considering ordinary products.

Let $\mathcal{V}$ be a cartesian closed category with finite coproducts of the unit object, and let $N_\mathcal{V}$ be defined as above.

Lemma 1. The functors $[n_\mathcal{V}, -] \colon \mathcal{V} \to \mathcal{V}$ and $(-)^n \colon \mathcal{V} \to \mathcal{V}$ are naturally isomorphic, i.e. $n_\mathcal{V}$-powers in $\tilde{\mathcal{V}}$ are isomorphic to n-powers (n-fold products) in $\mathcal{V}$.

Proof. If $a, b \in \mathcal{V}$, then

$$
\begin{array}{lll}
\mathcal{V}(a, [n_\mathcal{V}, b]) & \cong \mathcal{V}(a \times n_\mathcal{V}, b) & \text{hom-tensor adjunction} \\
& = \mathcal{V}(a \times (n \cdot I_\mathcal{V}), b) & \text{definition of } n_\mathcal{V} \\
& \cong \mathcal{V}(n \cdot (a \times I_\mathcal{V}), b) & \text{distributivity} \\
& \cong \mathcal{V}(n \cdot a, b) & \text{unitality} \\
& \cong \mathcal{V}(a, b)^n & \text{cocontinuity of hom} \\
& \cong V(a, b^n) & \text{continuity of hom.}
\end{array}
$$

Each of these isomorphisms is natural in a and b; hence by the Yoneda lemma, $[n_\mathcal{V}, -] \cong (-)^n$. $\square$

So, the full sub-$\mathcal{V}$-category $\tilde{N}_\mathcal{V}$ has hom-objects which behave like the exponentiation of N:

$$
[n_\mathcal{V}, m_\mathcal{V}] \cong (m \cdot I_\mathcal{V})^n \cong (m^n)_\mathcal{V}.
$$

In $\mathcal{V}\mathrm{Cat}$, the objects of the theory $\mathcal{T}$ are $n_\mathcal{V}$-powers of a generating object s. Alas, we cannot simply say that " $s^{n_\mathcal{V}} \cong s^n$ ", because the latter does not type-check in the $\mathcal{V}$-category $\mathcal{T}$: products are characterized by a Set-enriched universal property. However, we only need:

Lemma 2. Let $\mathcal{T}$ be a $\mathcal{V}$-category with $N_\mathcal{V}$-powers, and let $s \in \mathcal{T}$. Then a hom into $s^{n_\mathcal{V}}$ is isomorphic to n homs into s:

$$
\mathcal{T}(a, s^{n_\mathcal{V}}) \cong [n_\mathcal{V}, \mathcal{T}(a, s)] \cong \mathcal{T}(a, s)^n
$$

by definition of power, and Lemma 1.

We want to know when the functor $F \colon \mathcal{V} \to \mathcal{W}$ induces a change of base $F_* \colon \mathcal{V}\mathrm{Cat} \to \mathcal{W}\mathrm{Cat}$ which "preserves enriched-theories" — if by F every $\mathcal{V}$-theory $\tau_\mathcal{V}$ gives rise to a $\mathcal{W}$-theory $\tau_\mathcal{W}$, then F is a *change of semantics*. That is, given a $\mathcal{V}$-theory

$$
\tau_\mathcal{V} \colon \tilde{N}_\mathcal{V}^{\mathrm{op}} \to \mathcal{T}
$$

we want to determine a minimal condition for the base-changed functor

$$
F_*(\tau_\mathcal{V}) \colon F_*(\tilde{N}_\mathcal{V}^{\mathrm{op}}) \to F_*(\mathcal{T})
$$

to induce a $\mathcal{W}$-theory in a canonical way. So, assuming there is a clear identification of $F_*(\tilde{N}_\mathcal{V}^{\mathrm{op}})$ and $\tilde{N}_\mathcal{W}^{\mathrm{op}}$, it suffices to require that $F_*(\tau_\mathcal{V})$ preserves $N_\mathcal{W}$-powers.

Because $F_*(-)_{\mathrm{hom}}$ is defined

$$
F_*(\mathcal{T})(a, s^{n_\mathcal{V}}) = F(\mathcal{T}(a, s^{n_\mathcal{V}})),
$$

combined with the previous lemmas, the preservation of "$N_{(-)}$-power preserving functors" by F_* is implied by the preservation of finite products by F: and since our enriching categories and base-change functors are cartesian, this is automatic.

Lemma 3. Let $F\colon \mathcal{V} \to \mathcal{W}$ be a cartesian functor, and let $N_{\mathcal{V}}$, $N_{\mathcal{W}}$ be defined as above. If $f\colon \mathcal{C} \to \mathcal{D}$ is a $\mathcal{V}$-functor which preserves $N_{\mathcal{V}}$-powers, then $F_*(f)\colon F_*(\mathcal{C}) \to F_*(\mathcal{D})$ is a $\mathcal{W}$-functor which preserves $N_{\mathcal{W}}$-powers.

Proof.

$$
\begin{array}{rcll}
F_*(\mathcal{D})(F_*(f)(a), F_*(f)(s^{n_{\mathcal{V}}})) & = & F(\mathcal{D}(f(a), f(s^{n_{\mathcal{V}}})) & \text{definition of base change} \\
& \cong & F(\mathcal{D}(f(a), f(s)^{n_{\mathcal{V}}}) & f \text{ preserves } N_{\mathcal{V}}\text{-powers} \\
& \cong & F(\mathcal{D}(f(a), f(s))^n) & \text{Lemma 2 for } \mathcal{V} \\
& \cong & F(\mathcal{D}(f(a), f(s)))^n & F \text{ cartesian} \\
& = & F_*(\mathcal{D})(f(a), f(s))^n & \text{definition of base change} \\
& \cong & F_*(\mathcal{D})(f(a), f(s)^{n_{\mathcal{W}}}) & \text{Lemma 2 for } \mathcal{W}
\end{array}
$$

$\square$

Finally, let $\tilde{n}\colon \tilde{N}_{\mathcal{W}} \to F_*(\tilde{N}_{\mathcal{V}})$ be the isomorphism which sends $n_{\mathcal{W}} \mapsto n_{\mathcal{V}}$ and is the identity on morphisms. We can then construct a $\mathcal{W}$-functor which precisely fits the definition of a $\mathcal{W}$-theory:

Theorem 4. Let $\mathcal{V}$, $\mathcal{W}$ be cartesian closed categories with finite coproducts of their unit objects, and let $F\colon \mathcal{V} \to \mathcal{W}$ be a cartesian functor. Then F is a **change of semantics**; i.e. for every $\mathcal{V}$-theory $\tau_{\mathcal{V}}\colon \tilde{N}_{\mathcal{V}}^{\mathrm{op}} \to \mathcal{T}$, the $\mathcal{W}$-functor

$$
\tau_{\mathcal{W}} := F_*(\tau_{\mathcal{V}}) \circ \tilde{n}^{\mathrm{op}}\colon \tilde{N}_{\mathcal{W}}^{\mathrm{op}} \to F_*(\mathcal{T})
$$

is a $\mathcal{W}$-theory. Moreover, F preserves *models*, i.e. for every $N_{\mathcal{V}}$-power preserving $\mathcal{V}$-functor $\mu\colon \mathcal{T} \to \mathcal{C}$, the $\mathcal{W}$-functor $F_*(\mu)$ preserves $N_{\mathcal{W}}$-powers.

Proof. The $\mathcal{W}$-functor $\tau_{\mathcal{W}}$ is bijective-on-objects because $\tau_{\mathcal{V}}$ and $\tilde{n}$ are; and it preserves $N_{\mathcal{W}}$-powers because $\tilde{N}$ does and $F_*(\tau_{\mathcal{V}})$ does by the previous lemma. This preservation is strict because $F_*(\mathcal{T})$ has the same objects as $\mathcal{T}$, so the isomorphism implies that $\tau_{\mathcal{W}}(I_{\mathcal{W}}^{n_{\mathcal{W}}}) = \tau_{\mathcal{W}}(I_{\mathcal{W}})^{n_{\mathcal{W}}}$. The preservation of models follows from the previous lemma. $\square$

Hence, any cartesian functor between cartesian closed categories constitutes a "change of semantics" — this is a simple, ubiquitous condition, which provides for a method of translating formal languages between various "modes of operation".

Moreover, this reasoning generalizes to **multisorted** $\mathcal{V}$-theories, enriched theories which have multiple sorts: given any $n \in \mathbb{N}$, the monoidal subcategory $(N_{\mathcal{V}})^n$ is also an eleutheric system of arities. At the end of §8, we give an example demonstrating why this is a very useful generalization.

Before exploring applications, we introduce two more useful kinds of translations, and demonstrate how all of this information be encapsulated in one categorical notion.

7. The category of all $\mathcal{V}$-theories

In addition to change-of-base, there are two other natural and useful translations for these theories. Let $\mathcal{V}\mathrm{Law}$ be the category of $\mathcal{V}$-theories, and let $f\colon \mathcal{T} \to \mathcal{T}'$ be a morphism of theories; this induces a "change-of-theory" functor between the respective categories of models

$$
f^*\colon \mathcal{V}\mathrm{Mod}(\mathcal{T}', \mathcal{C}) \to \mathcal{V}\mathrm{Mod}(\mathcal{T}, \mathcal{C})
$$

defined as precomposition with f. Similarly, given a cartesian functor $g\colon \mathcal{C} \to \mathcal{C}'$, this induces a "change-of-model" functor

$$g_*\colon \mathcal{V}\mathrm{Mod}(\mathcal{T}, \mathcal{C}) \to \mathcal{V}\mathrm{Mod}(\mathcal{T}, \mathcal{C}')$$

defined as postcomposition with g.

These translations, as well as change-of-base, can all be packed up nicely using the **Grothendieck construction**: given a (pseudo)functor $F\colon \mathcal{D} \to \mathrm{Cat}$, there is a functor $\bar{F}\colon \int F \to \mathcal{D}$ that encapsulates all of the categories in the image of F: the category $\int F$ consists of

$$
\begin{array}{ll}
\text{objects} & (d, x) : d \in \mathcal{D}, \ x \in F(d) \\
\text{morphisms} & (f\colon d \to d', a\colon F(f)(x) \to x') \\
\text{composition} & (f, a) \circ (f', a') = (f \circ f', a \circ F(f)(a')).
\end{array}
$$

For more details see [6, 8]. We noted in §4 that $\mathcal{V}\mathrm{Law}$ and $\mathrm{Mod}(\mathcal{T}, \mathcal{C})$ are $\mathcal{V}$-categories when $\mathcal{V}$ is a cosmos: this and other conditions imply we can use the *enriched* Grothendieck construction [4]; but we will focus on the Set-enriched case for simplicity.

This idea allows us to bring together all of the different enrichments, theories, and models into one big category. For every enriching category $\mathcal{V}$, let $\mathcal{V}\mathrm{Cat}_{np}$ be the subcategory of $\mathcal{V}\mathrm{Cat}$ of $\mathcal{V}$-categories with N-powers and N-power preserving functors; then there is a functor

$$\mathcal{V}\mathrm{Mod}\colon \mathcal{V}\mathrm{Law}^{\mathrm{op}} \times \mathcal{V}\mathrm{Cat}_{np} \to \mathrm{Cat}$$

which sends $(\mathcal{T}, \mathcal{C})$ to $\mathcal{V}\mathrm{Mod}(\mathcal{T}, \mathcal{C})$. The (bi)functoriality of $\mathcal{V}\mathrm{Mod}$ gives the contravariant change-of-theory and the covariant change-of-model above.

Using the Grothedieck construction, we obtain a category $\int \mathcal{V}\mathrm{Mod}$, with a morphism

$$((f, g), \alpha)\colon ((\mathcal{T}, \mathcal{C}), \mu) \to ((\mathcal{T}', \mathcal{C}'), \mu')$$

being finite power-preserving $\mathcal{V}$-functors $f\colon \mathcal{T} \to \mathcal{T}'$, $g\colon \mathcal{C} \to \mathcal{C}'$, and $\mathcal{V}$-natural transformation $\alpha\colon \mathcal{V}\mathrm{Mod}(f, g)(\mu) \to \mu'$.

Lemma 5. There is a functor

$$\mathrm{thy}\colon \mathrm{CCC} \to \mathrm{Cat}$$

which assigns $\mathcal{V}$ to $\int \mathcal{V}\mathrm{Mod}$ and $(F\colon \mathcal{V} \to \mathcal{W})$ to a functor $(F_*^*\colon \int \mathcal{V}\mathrm{Mod} \to \int \mathcal{W}\mathrm{Mod})$.

Proof. Given $F\colon \mathcal{V} \to \mathcal{W}$, base change $F_*\colon \mathcal{V}\mathrm{Cat} \to \mathcal{W}\mathrm{Cat}$ is a 2-functor, thereby inducing the functor $F_*^*\colon \mathcal{V}\mathrm{Mod} \to \mathcal{W}\mathrm{Mod}$ which sends a morphism $((f, g), \alpha)$ to $((F_*(f), F_*(g)), F_*(\alpha))$. Checking functoriality is left to the reader. $\square$

Thus, we can use the Grothendieck construction once more to encapsulate even the enrichment:

Theorem 6. There is a category $\mathrm{Thy} := \int \mathrm{thy}$ with a morphism

$$(F, ((f, g), \alpha))\colon (\mathcal{V}, ((\mathcal{T}, \mathcal{C}), \mu)) \to (\mathcal{W}, ((\mathcal{T}', \mathcal{C}'), \mu'))$$

being a cartesian functor F and a morphism $(f, g, \alpha)\colon F_*^*(((\mathcal{T}, \mathcal{C}), \mu)) \to ((\mathcal{T}', \mathcal{C}'), \mu')$ in $\mathcal{W}\mathrm{Mod}$.

This category assimilates a whole lot of useful information. Most importantly, there are morphisms between objects of "different kinds", something we consider often but is normally not possible in category theory. For example, in Thy there is a morphism:

$$(\mathrm{Set}, ((u_{\mathrm{Grp}}, \mathrm{Disc}), \exp))\colon (\mathrm{Set}, ((\mathcal{T}_{\mathrm{Grp}}, \mathrm{Set}), (\mathbb{R}, +, 0))) \to (\mathrm{Set}, ((\mathcal{T}_{\mathrm{Grp}}, \mathrm{Top}), (\mathbb{R}, \times, 1)))$$

There are many unexplored questions about the large, heterogeneous categories which arise from the Grothendieck construction, regarding what unusual structure may be gained, such as limits and colimits with objects of different types, or identifying "processes" in which the kinds of objects

change in an essential way. This is just a remark; for our purposes we need only recognize that enriched Lawvere theories can be assimilated into one category, which provides a unified context for change-of-base, change-of-theory, and change-of-modelling.

8. Applications

In theoretical computer science literature, enriched algebraic theories have primarily been studied in the context of "computational effects". Mike Stay and Greg Meredith have recognized that Lawvere theories can actually be utilized for the design of *programming languages* [27]. This idea comes from an important but underappreciated subject in foundations — combinatory logic.

8.1. **The SKI-combinator calculus.** The λ-calculus is an elegant formal language which is the foundation of functional computation, the model of intuitionistic logic, and the internal logic of cartesian closed categories: this is the Curry–Howard–Lambek correspondence [2].

Terms are constructed recursively by *variables*, *application*, and *abstraction*, and the basic rewrite is *beta reduction*:

$$M, N := x \mid (M\ N) \mid \lambda x.M$$

$$(\lambda x.M\ N) \Rightarrow M[N/x]$$

Despite its simplicity, there are subtle complications regarding *substitution*, or evaluation of functions. Consider the term $M = \lambda x.(\lambda y.(xy))$: if this is applied to the variable y, then $(M\ y) \Rightarrow \lambda y.(y\ y)$ — but this is not intended, because the y in M is just a placeholder, it is "bound" by whatever will be plugged in, while the y being substituted is "free", meaning it can refer to some other value or function in the program. Hence whenever a free variable is to be substituted for a bound variable, we need to rename the bound variable to prevent "variable capture" (e.g. $(My) \Rightarrow \lambda z.(y\ z)$).

This problem was noticed early in the history of mathematical foundations, even before the λ-calculus, and so Moses Schönfinkel invented **combinatory logic** [22], a basic form of logic without the red tape of variable binding, hence without functions in the usual sense. The SKI-calculus is the "variable-free" representation of the λ-calculus; λ-terms are translated via "abstraction elimination" into strings of combinators and applications. This is an important method for programming languages to minimize the subtleties of variables. A great introduction into the world of strange and powerful combinators can be found in [25].

The key insight of Stay and Meredith [26] is that Lawvere theories are by definition free of variables, and it is precisely through abstraction elimination that a programming language can be made an algebraic object. When representing a computational calculus as an Gph-theory, the general rewrite rules are simply edges in the hom-graphs $t^n \to t$, with the object t serving in place of the variable. Below is the theory of the SKI-calculus:

$$\mathbf{Th}(\boldsymbol{SKI})$$

$$
\begin{array}{rll}
\textbf{sort} & t \\
\textbf{term constructors} & S\colon & 1 \to t \\
& K\colon & 1 \to t \\
& I\colon & 1 \to t \\
& (-\ -)\colon & t^2 \to t \\
\textbf{structural congruence} & \text{n/a} \\
\textbf{rewrites} & \sigma\colon & (((S\ -)\ =)\ \equiv) \Rightarrow ((-\ \equiv)\ (=\ \equiv)) \\
& \kappa\colon & ((K\ -)\ =) \Rightarrow - \\
& \iota\colon & (I\ -) \Rightarrow -
\end{array}
$$

These rewrites are implicitly universally quantified; i.e. they apply to arbitrary subterms $-, =, \equiv$ without any variable binding involved, by using the cartesian structure of the category. They are simply edges with vertices:

$$
(((S\ -)\ =)\ \equiv)\colon \qquad t^3 \xrightarrow{l^{-1}\times t^3} 1\times t^3 \xrightarrow{S\times t^3} t^4 \xrightarrow{(-\ -)\times t^2} t^3 \xrightarrow{(-\ -)\times t} t^2 \xrightarrow{(-\ -)} t
$$
$$
\sigma \Big\Downarrow \qquad\qquad\qquad\qquad \Downarrow \qquad\qquad\qquad\qquad \Big\|
$$
$$
((-\ \equiv)\ (=\ \equiv))\colon \qquad t^3 \xrightarrow{t^2\times\Delta} t^4 \xrightarrow{t\times\tau\times t} t^4 \xrightarrow{(-\ -)\times(-\ -)} t^2 \xrightarrow{(-\ -)} t
$$

$$
((K\ -)\ =)\colon \qquad t^2 \xrightarrow{l^{-1}\times t^2} 1\times t^2 \xrightarrow{K\times t^2} t^3 \xrightarrow{(-\ -)\times t} t^2 \xrightarrow{(-\ -)} t
$$
$$
\kappa\Big\Downarrow \qquad\qquad\qquad\qquad \Downarrow \qquad\qquad\qquad\qquad \Big\|
$$
$$
-\colon \qquad t^2 \xrightarrow{\quad t\times!\quad} t\times 1 \xrightarrow{\quad r\quad} t
$$

$$
(I\ -)\colon \qquad t \xrightarrow{l^{-1}} 1\times t \xrightarrow{I\times t} t^2 \xrightarrow{(-\ -)} t
$$
$$
\iota\Big\Downarrow \qquad\qquad\qquad \Downarrow \qquad\qquad\qquad \Big\|
$$
$$
-\colon \qquad t \xrightarrow{\qquad t\qquad} t
$$

These abstract rules are evaluated on concrete terms by "plugging in" via precomposition:

$$
((KS)I)\colon \qquad 1 \xrightarrow{S\times I} t^2 \xrightarrow{((K\ -)\ =)} t
$$
$$
\kappa\circ(S\times I)\Big\Downarrow \qquad\qquad \Big\| \qquad\qquad \Downarrow \qquad\qquad \Big\|
$$
$$
S\colon \qquad 1 \xrightarrow{S\times I} t^2 \xrightarrow{\quad -\quad} t
$$

(Morphisms $1 \to t$ are the "closed" terms, meaning they have no free variables; in general morphisms $t^n \to t$ are terms with n free variables, and the same reasoning applies.)

A model of this theory is a power-preserving Gph-functor $\mu\colon \mathrm{Th}(SKI) \to \mathrm{Gph}$. This gives a graph $\mu(t)$ of all terms and rewrites in the SKI-calculus as follows:

$$
1 \cong \mu(1) \xrightarrow{\mu(S)} \mu(t) \xleftarrow{\mu((-\ -))} \mu(t^2) \cong \mu(t)^2
$$

The images of the nullary operations S, K, I are distinguished vertices of the graph $\mu(t)$, because μ preserves the terminal object which "points out" vertices. The image of the binary operation $(-\ -)$ gives for every pair of vertices $(u, v) \in \mu(t)^2$, through the isomorphism $\mu(t)^2 \cong \mu(t^2)$, a vertex $(u\ v)$

in $\mu(t)$ which is their application. In this way we get all possible terms (writing $\mu(S), \mu(K), \mu(I)$ as S, K, I for simplicity):

$$((((S \ (K \ (I \ I))) \ S) \ldots$$

The rewrites are transferred by the enrichment of the functor: rather than functions between hom-sets, the morphism component of μ consists of graph homomorphisms between hom-graphs. So,

$$\mu_{1,t} \colon \text{Th}(SKI)(1,t) \to \text{Gph}(1, \mu(t))$$

maps the "syntactic" graph of all closed terms and rewrites coherently into the "semantic" graph, meaning a rewrite in the theory $a \Rightarrow b$ is sent to a rewrite in the model $\mu(a) \Rightarrow \mu(b)$.

These rewrites in the image of μ are *graph transformations*, and this is how the model realizes the Gph-theory as an actual graph of terms and rewrites: in the same way that a transformation between two constant functors $a \Rightarrow b \colon 1 \to \mathcal{C}$ is just a morphism $a(1) \to b(1)$ in $\mathcal{C}$, a rewrite of closed terms $a \Rightarrow b \colon 1 \to \mu(t)$ corresponds to an edge in $\mu(t)$:

$$\mu((I \ S)) \ \bullet \ \xrightarrow{\ \mu(\iota)\ } \ \bullet \ \mu(S)$$

Finally, the fact that $\mu((- \ -))$ is not just a function but a graph homomorphism means that pairs of edges (rewrites) $(a \to b, c \to d)$ are sent to rewrites $(a \ b) \to (c \ d)$. This gives the full complexity of the theory: given a large term (program), there are many different ways it can be computed — and some are better than others:

$$((K \ S) \ (((S \ K) \ I) \ (I \ K))) \xrightarrow{\ \sigma\ } ((K \ S) \ ((K \ (I \ K)) \ (I \ (I \ K))))$$

$$\downarrow \iota$$

$$((K \ S) \ ((K \ K) \ (I \ (I \ K))))$$

$$\downarrow \iota$$

$$((K \ S) \ ((K \ K) \ (I \ K)))$$

$$\downarrow \iota$$

$$((K \ S) \ ((K \ K) \ K))$$

$$\kappa \downarrow \qquad \qquad \downarrow \kappa$$

$$S \xleftarrow{\qquad \kappa \qquad} ((K \ S) \ K)$$

This process is intuitive, but how do we actually define the model, as a functor, to pick out a specific graph? There are many models of $\text{Th}(SKI)$, but in particular we care about the canonical *free* model, which means that $\mu(t)$ is simply the graph of all closed terms and rewrites in the SKI-calculus. This utilizes the enriched adjunction of §4:

$$\text{Gph} \ \underset{u_{\text{Gph}}}{\overset{f_{\text{Gph}}}{\underset{\longleftarrow}{\overset{\longrightarrow}{\perp}}}} \ \text{Mod}(\text{Th}(SKI), \text{Gph})$$

Then the canonical model of closed terms and rewrites is simply the free model on the empty graph, $f_{\text{Gph}}(\emptyset)$, i.e. the $\mathcal{V}$-functor $\mathcal{T}(1,-) \colon \mathcal{T} \to \mathcal{V}$. Hence for us, the syntax and semantics of the SKI combinator calculus are unified in the model

$$\mu_{SKI}^{\text{Gph}} := \text{Th}(SKI)(1,-) \colon \text{Th}(SKI) \to \text{Gph}$$

Here we reap the benefits of the abstract construction: the graph $\mu_{SKI}^{\text{Gph}}(t)$ is the *transition system* which represents the **small-step operational semantics** of the SKI-calculus:

$$(\mu(a) \to \mu(b) \in \mu_{SKI}^{\text{Gph}}(t)) \iff (a \Rightarrow b \in \text{Th}(SKI)(1,t))$$

Interestingly, in the free model on a nonempty graph, the vertices represent designated "ground variables", and edges represent rewrites of one variable into another. This is potentially useful for "building in" a language with other basic features not intrinsic to the theory.

8.2. **Change-of-base.** Now we can succinctly characterize the transformation from small-step to **big-step**, which is found throughout the operational semantics literature. The "free category" functor $FC \colon \text{Gph} \to \text{Cat}$ gives for every graph G the category $FC(G)$ whose objects are the vertices of G, and whose morphisms are freely generated by the edges of G, i.e. sequences

$$\begin{array}{ll}
\text{objects} & \text{vertices of } G \\
\text{morphisms} & \text{finite sequences of vertices and edges } (v_1, e_1, v_2, e_2, ..., v_n) \\
\text{composition} & (v_1, e_1, v_2, e_2, ..., v_n) \circ (v_1', e_1', v_2', e_2', ..., v_n') = (v_1, e_1, ..., v_n = v_1', e_1', ..., v_n')
\end{array}$$

This functor is cartesian, because the definition of graphical product and categorical product are identical except for composition: vertices/objects are pairs of vertices/objects from each component, and same for edges/morphisms; hence the above operation fulfills the preservation isomorphism:

$$FC(G \times H) \cong FC(G) \times FC(H)$$

because they have the same objects, and a morphism of the former is a sequence of pairs, while that of the latter is the corresponding pair of sequences.

Thus FC is the change-of-semantics which induces the transitive closure of the rewrite relation, hence

$$\mu_{SKI}^{\text{Cat}} := FC_*(\mu_{SKI}^{\text{Gph}})$$

is the category which represents the big-step operational semantics of the SKI-calculus.

The same reasoning applies to the "free poset" functor $FP \colon \text{Cat} \to \text{Pos}$; it is a change-of-semantics because the product of posets is defined in the same way. This induces the lesser-known **full-step semantics**, which collapses hom-sets to subsingletons, simply asserting the existence of a rewrite sequence between terms, without distinguishing between different paths. Since there was no real algebraic information in the free category, this is simply adding the property that all the distinct paths between two terms are equal, while retaining transitivity.

Finally, we can pass to the purely abstract realm where all computation is already complete - the "free set" functor $FS \colon \text{Pos} \to \text{Set}$ collapses every connected component of the full-step poset to a point, equating every formal expression to its final value (this is cartesian because the components of a product is a product of the components). Assuming that the language is *terminating*, meaning every term has a finite sequence of possible rewrites, and *confluent*, meaning every pair of paths which branch from a term eventually rejoin, then this functor gives the **denotational semantics** of the language.

So, from this simple sequence of functors, we can translate between the main kinds of semantics for the SKI-calculus. For example, we have the following computation:
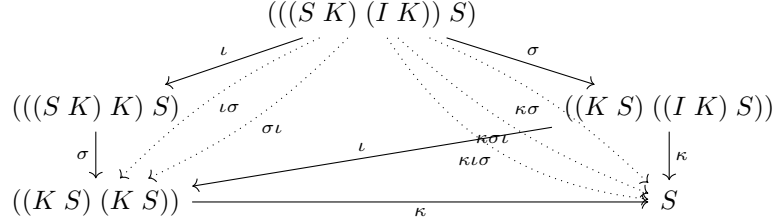
The solid arrows are the one-step rewrites of the initial Gph-theory; applying FC_* gives the dotted composites, and FP_* asserts that all composites between any two objects are equal. Finally, FS_* collapses the whole diagram to S. This is a simple demonstration of the basic stages of computation: small-step, big-step, full-step, and denotational semantics.

Of course, most interesting languages are not always terminating, confluent, nor deterministic; the "spectrum" of semantics being presented here is simply an initial proof-of-concept. We expect that there are more interesting change-of-base functors which handle these subtleties — they have likely been studied in other contexts.

8.3. **Change-of-theory: reduction contexts.** We can equip term calculi with *reduction contexts*, which determine when rewrites are valid, thus giving the language a certain **evaluation strategy**. For example, the "weak head normal form" is given by only allowing rewrites on the left-hand side of the term.

We can do this for $\mathrm{Th}(SKI)$ by adding a reduction context marker as a unary operation, and a structural congruence rule which pushes the marker to the left-hand side of an application; lastly we modify the rewrite rules to be valid only when the marker is present:

$$\mathbf{Th(\textit{SKI}+\textit{R})}$$

sort	t	
term constructors	$S, K, I\colon$	$1 \to t$
	$R\colon$	$t \to t$
	$(-\ -)\colon$	$t^2 \to t$
structural congruence	$R(x\ y) = (Rx\ y)$	
rewrites	$\sigma_r\colon$	$(((RS\ -)\ =)\ \equiv) \Rightarrow ((R-\ \equiv)\ (=\ \equiv))$
	$\kappa_r\colon$	$((RK\ -)\ =) \Rightarrow R-$
	$\iota_r\colon$	$(RI\ -) \Rightarrow R-$

The SKI-calculus is thereby equipped with "lazy evaluation", an essential paradigm in modern programming. This represents a broad potential application of equipping theories with computational methods, such as evaluation strategies.

Moreover, these equipments can be added or removed as needed: using change-of-theory, we can utilize a "free reduction" Gph-functor $f_R\colon \mathrm{Th}(SKI) \to \mathrm{Th}(SKI + R)$:

objects	t^n	$\mapsto$	t^n
hom-vertices	S, K, I	$\mapsto$	S, K, I
	$(-\ -)$	$\mapsto$	$R(-\ -)$
hom-edges	σ, κ, ι	$\mapsto$	$\sigma_r, \kappa_r, \iota_r$

This essentially interprets ordinary SKI as having every subterm be a reduction context. This is a Gph-functor because its hom component consists of graph-homomorphisms:

$$f_{n,m} \colon \mathrm{Th}(SKI)(t^n, t^m) \to \mathrm{Th}(SKI + R)(t^n, t^m)$$

which simply send each application to its postcomposition with R, and each rewrite to its "marked" correspondent; and this is all coherent: for example, even though $(((S\ x)\ y)\ z) \mapsto R(R(R(S\ x)\ y)\ z)$, the extra markers are ignored by σ_r, because they are now just a part of the lefthand terms.

So, by precomposition this induces the change of theory on categories of models:

$$f_R^* \colon \mathrm{Mod}(\mathrm{Th}(SKI + R), \mathcal{C}) \to \mathrm{Mod}(\mathrm{Th}(SKI), \mathcal{C})$$

for all semantic categories $\mathcal{C}$, which forgets the reduction contexts.

Similarly, there is a Gph-functor $u_R \colon \mathrm{Th}(SKI+R) \to \mathrm{Th}(SKI)$ which forgets reduction contexts, by sending $\sigma_r, \kappa_r, \iota_r \mapsto \sigma, \kappa, \iota$ and $R \mapsto id_t$; this latter is the only way that the marked reductions can be mapped coherently to the unmarked. However, this means that u_R^* does not give the desired change-of-theory of "freely adjoining contexts", because collapsing R to the identity eliminates the significance of the marker.

This illustrates a key aspect of categorical universal algebra: because change-of-theory is given by precomposition and is thus contravariant, *properties* (equations) and *structure* (operations) can only be removed.

This is a necessary limitation, at least in the present setup, but there are ways of working around it: of course, these abstract theories are not floating in isolation but are implemented in code. One can simply use a "maximal theory" with all pertinent structure, then selectively forget as needed.

8.4. Multisorted: the ρ-calculus.

Many algebraic theories involve multiple sorts in an essential way. In concurrency theory, *process calculi* exhibit an ontology which is fundamentally distinct from that of sequential computing — rather than simply expressing a series of terms and rewrites, these calculi represent dynamical systems of communicating processes.

The π-calculus, designed by Milner [19], consists of **names** and **processes**, or *channels* and *agents* which communicate on those channels. Far more than a sequence of instructions on a single machine, computation develops through the interaction of independent participants in a network.

This powerful idea of modern computer science is being utilized by Greg Meredith and Mike Stay to design a deeply cooperative distributive computing system, called RChain. The "R" stands for "reflective higher-order π-calculus", or ρ-**calculus**. It is like Milner's original language, with one crucial difference: "reflection" is a formal system's ability to turn code into data and vice versa. This is a powerful idea which replaces opaque, atomic variables with transparent, anatomical names, or "quoted processes" [17].

Utilizing both reflection and combinators in a theory requires special type discipline; there is a designated auxiliary sort T for combinatory terms which are analogous to "machine code", as contrasted with the sorts N and P which are to be thought of as the actual language: see [26] §7.3 for the details of the translation. The presentation below is only a fragment; it has yet to be determined how to best represent the full algebraic theory of the ρ-calculus; we expect that a true mathematical characterization of reflection will call for original and enlightening ideas.

Th(RHO)

Sorts	N	names	T	terms
	P	processes		

Operations	$0\colon$	$1 \to P$	null process	$S\colon$	$1 \to T$	combinator
	$\&\colon$	$P \to N$	code to data	$K\colon$	$1 \to T$	combinator
	$*\colon$	$N \to P$	data to code	$(-\ -)\colon$	$T^2 \to T$	application
	$!\colon$	$N \times P \to P$	send			
	$?\colon$	$N^2 \times P \to P$	receive			
	$-\mid-\colon$	$P^2 \to P$	parallel			

Equations		$(P,\ \mid,\ 0)$	commutative monoid

Rewrites	$\gamma\colon$	$x?(y).P \mid x!(z) \mid Q \Rightarrow$	$P[z/y] \mid Q$	$\sigma\colon$	$(((S\ -)\ =)\ \equiv) \Rightarrow$	$((-\ \equiv)\,(=\equiv))$
	$\epsilon\colon$	$*(\&(P)) \Rightarrow$	P	$\kappa\colon$	$((K\ -)\ =) \Rightarrow$	$-$

9. Conclusion

We have established the basics of how enriched Lawvere theories provide a framework for unifying the syntax and semantics, the structure and behavior of formal languages. Enriching theories in category-like structures reifies operational semantics by incorporating rewrites between terms; and cartesian functors between enriching categories induce change-of-semantics functors between categories of models — this simplified condition is obtained by using only finite cardinal arities.

This base-change, along with change-of-theory and change-of-modelling, can be assimilated into one category using an iterated Grothendieck construction Thy, which consists of all enriched Lawvere theories. Finally, enriched theories can be used not only for computational effects but the actual design of concrete programming languages, through the use of combinators.

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