

Day 25 – Randomize Algorithms

CSE21 Fall 2018

December 3, 2018

<https://sites.google.com/ucsd.edu/cse21fa18/>

Selection Problem: HOW

Given list of distinct integers a_1, a_2, \dots, a_n and integer i , $1 \leq i \leq n$, find the i^{th} smallest element in the array.

What algorithm would you choose if $i=1$?

Sort $\rightarrow O(n \log n)$

Linear Search $\rightarrow O(n)$

What algorithm would you choose in general? Can sorting help?

Algorithm: first sort list and then step through to find i^{th} smallest. What's its runtime?

A. $\Theta(1)$

B. $\Theta(n)$

C. $\Theta(n \log n)$

D. $\Theta(n^2)$

E. None of the above

$\Theta(n \log n)$ time

$\Theta(n)$ time

$\Theta(n \log n)$

Selection Problem: HOW

Given list of distinct integers a_1, a_2, \dots, a_n and integer i , $1 \leq i \leq n$, find the i^{th} smallest element in the array.

What algorithm would you choose in general? Different strategy ...

- Pick random list element called “pivot.”
- Partition list into those smaller than pivot, those bigger than pivot.
- Using i and size of partition sets, determine in which set to continue looking.

Selection Problem: HOW

- Pick random list element called “pivot.”
- Partition list into those smaller than pivot, those bigger than pivot.
- Using i and size of partition sets, determine in which set to continue looking.

Ex. 17, 42, 3, 8, 19, 21, 2 $i = 3$ Random pivot: 17

Smaller than 17: 3, 8, 2 Bigger than 17: 42, 19, 21

Has 3 elements so third smallest must be in this set

New list: 3, 8, 2 $i = 3$ Random pivot: 8

Smaller than 8: 3, 2 Bigger than 8:

Has 2 elements so third smallest must be "next" element, i.e. 8

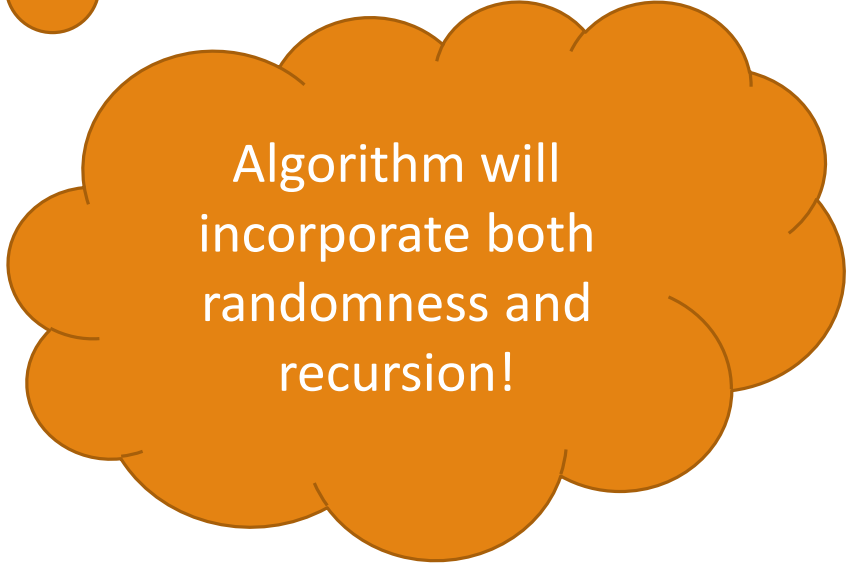
Return 8 compare to original list: 17, 42, 3, 8, 19, 21, 2

Selection Problem: HOW

Given list of distinct integers $A = a_1, a_2, \dots, a_n$ and integer i , $1 \leq i \leq n$,

RandSelect(A,i)

1. If $n=1$ return a_1 (Base case)
2. Initialize lists S and B .
3. Pick integer j uniformly at random from 1 to n . \rightarrow pivot
4. For each index k from 1 to n (except j):
 - 5. if $a_k < a_j$, add a_k to the list S .
 - 6. if $a_k > a_j$, add a_k to the list B . $\left. \begin{array}{l} \text{separate} \\ \text{the elements} \\ \text{into } S, B \end{array} \right\}$
7. Let s be the size of S . $\left. \begin{array}{l} \text{compare } |S| \text{ to } i \end{array} \right\}$
8. If $s = i-1$, return a_j .
9. If $s \geq i$, return RandSelect(S , i).
10. If $s < i$, return RandSelect(B , $i-(s+1)$).



Algorithm will incorporate both randomness and recursion!

Selection Problem: WHEN

Given list of distinct integers $A = a_1, a_2, \dots, a_n$ and integer i , $1 \leq i \leq n$,

RandSelect(A,i)

1. If $n=1$ return a_1
2. Initialize lists S and B .
3. Pick integer j uniformly at random from 1 to n .
4. For each index k from 1 to n (except j):
5. if $a_k < a_j$, add a_k to the list S .
6. if $a_k > a_j$, add a_k to the list B .
7. Let s be the size of S .
8. If $s = i-1$, return a_j .
9. If $s \geq i$, return **RandSelect(S, i)**.
10. If $s < i$, return **RandSelect(B, i-(s+1))**.

(Hoare algorithm)

What input gives the best-case performance of this algorithm?

- A. When element we're looking for is the first in list.
- B. When element we're looking for is i^{th} in list.
- C. When element we're looking for is in the middle of the list.
- D. When element we're looking for is last in list.
- ☒ E. None of the above.

performance depends on more than the input!

Selection Problem: WHEN

Given list of distinct integers $A = a_1, a_2, \dots, a_n$ and integer i , $1 \leq i \leq n$,

RandSelect(A,i)

1. If $n=1$ return a_1
2. Initialize lists S and B .
3. Pick integer j uniformly at random from 1 to n .
4. For each index k from 1 to n (except j):
5. if $a_k < a_j$, add a_k to the list S .
6. if $a_k > a_j$, add a_k to the list B .
7. Let s be the size of S .
8. If $s = i-1$, return a_j .
9. If $s \geq i$, return **RandSelect(S, i)**.
10. If $s < i$, return **RandSelect(B, i-(s+1))**.

const. time

$\Theta(n)$

Minimum time if we happen to pick pivot which is the i^{th} smallest list element.

In this case, what's the runtime?

- A. $\Theta(1)$
- B. $\Theta(n)$
- C. $\Theta(n \log n)$
- D. $\Theta(n^2)$
- E. None of the above

Selection Problem: WHEN

How can we give a time analysis for an algorithm that is allowed to pick and then use random numbers?

$T(x)$: a random variable that represents the runtime of the algorithm on input x

Compute the **worst-case expected time**

$$ET(n) = \max_{x, |x| \leq n} E(T(x))$$

worst case over all inputs of size n

average runtime incorporating
random choices in the algorithm

Recurrence equation ... unravelling ... $\Theta(n)$

Selection Problem: WHEN

Recurrence equation ... unravelling ... $\Theta(n)$ - how?

$$\begin{array}{ccccccc} n & + & \frac{n}{2} & + & \frac{n}{4} & + & \frac{n}{8} + \frac{n}{16} + \dots = 2n \\ \swarrow & & \downarrow & & \downarrow & & \downarrow \\ \text{first pass through} & & \text{on average} & & \text{on average} & & \Theta(n) \\ \text{the algorithm} & & \text{for 2nd} & & \text{for} & & \\ & & \text{pass} & & \text{3rd pass} & & \end{array}$$
$$\sum_{i=0}^{\infty} \frac{1}{2^i} = 2$$

Situation so far:

- Sort then search takes worst-case $\Theta(n \log n)$
- Randomized selection takes worst-case expected time $\Theta(n)$

Element Distinctness: WHAT

Given list of positive integers a_1, a_2, \dots, a_n decide whether all the numbers are distinct or whether there is a *repetition*, i.e. two positions i, j with $1 \leq i < j \leq n$ such that $a_i = a_j$.

What algorithm would you choose in general? Can sorting help?

Algorithm: first sort list and then step through to find duplicates.

What's its runtime?

- A. $\Theta(1)$
- B. $\Theta(n)$
- C. $\Theta(n \log n)$
- D. $\Theta(n^2)$
- E. None of the above

How much memory does it require?

- A. $\Theta(1)$
- B. $\Theta(n)$
- C. $\Theta(n \log n)$
- D. $\Theta(n^2)$
- E. None of the above

compare all pairs
of elements
 $\hookrightarrow \Theta(n^2)$

sort then "linear"
search
 $\hookrightarrow \Theta(n \log n)$
no additional
memory

Element Distinctness: HOW

Given list of positive integers a_1, a_2, \dots, a_n decide whether all the numbers are distinct or whether there is a *repetition*, i.e. two positions i, j with $1 \leq i < j \leq n$ such that $a_i = a_j$.

What algorithm would you choose in general? What if we had unlimited memory?

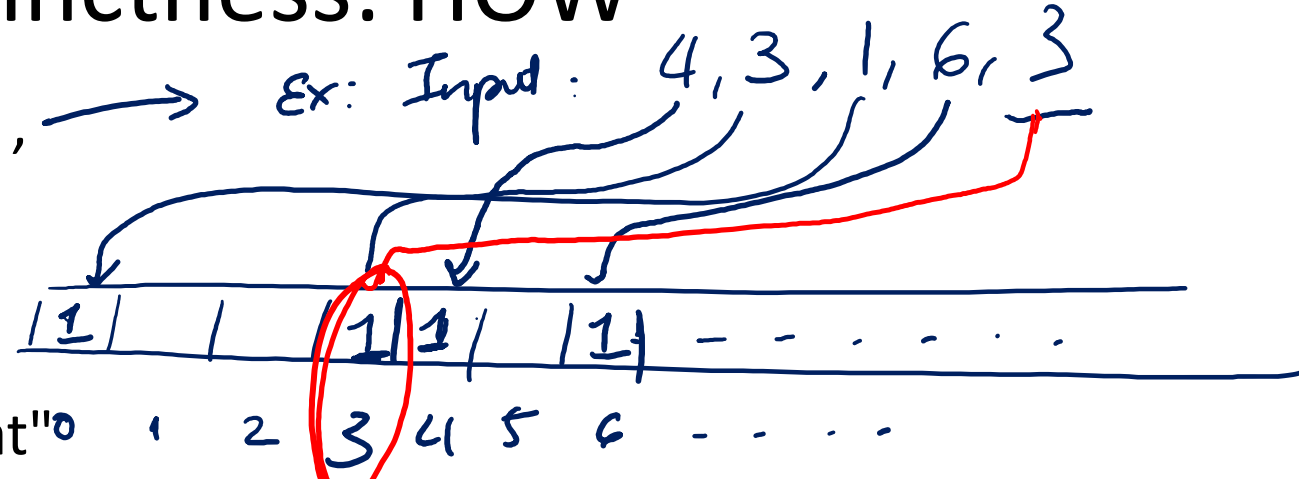
Element Distinctness: HOW

Given list of positive integers $A = a_1, a_2, \dots, a_n$,

Ex: Input: 4, 3, 1, 6, 3

UnlimitedMemoryDistinctness(A)

1. For $i = 1$ to n ,
2. If $M[a_i] = 1$ then return "Found repeat"
3. Else $M[a_i] := 1$
4. Return "Distinct elements"



M is an array of memory locations

This is memory location indexed by a_i

What's the runtime of this algorithm?

- A. $\Theta(1)$
- B. $\Theta(n)$
- C. $\Theta(n \log n)$
- D. $\Theta(n^2)$
- E. None of the above

Memory here is decided
by the maximum
value in your list.

Element Distinctness: HOW

Given list of positive integers $A = a_1, a_2, \dots, a_n$,

UnlimitedMemoryDistinctness(A)

1. For $i = 1$ to n ,
2. If $M[a_i] \neq 1$ then return "Found repeat"
3. Else $M[a_i] := 1$
4. Return "Distinct elements"

M is an array of memory locations
This is memory location indexed by a_i

What's the runtime of this algorithm?

- A. $\Theta(1)$
- B. $\Theta(n)$
- C. $\Theta(n \log n)$
- D. $\Theta(n^2)$
- E. None of the above

What's the memory use of this algorithm?

- A. $\Theta(1)$
- B. $\Theta(n)$
- C. $\Theta(n \log n)$
- D. $\Theta(n^2)$
- E. None of the above

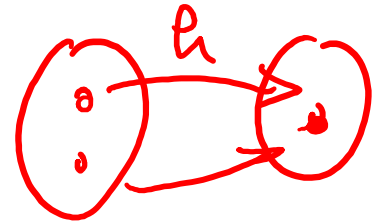
Element Distinctness: HOW

To simulate having more memory locations: use **Virtual Memory**.

Define **hash function**

$h: \{ \text{desired memory locations} \} \rightarrow \{ \text{actual memory locations} \}$

- Typically we want more memory than we have, so h is **not one-to-one**.
- How to implement h ?
 - CSE 12, CSE 100.
- Here, let's use hash functions in an algorithm for Element Distinctness.



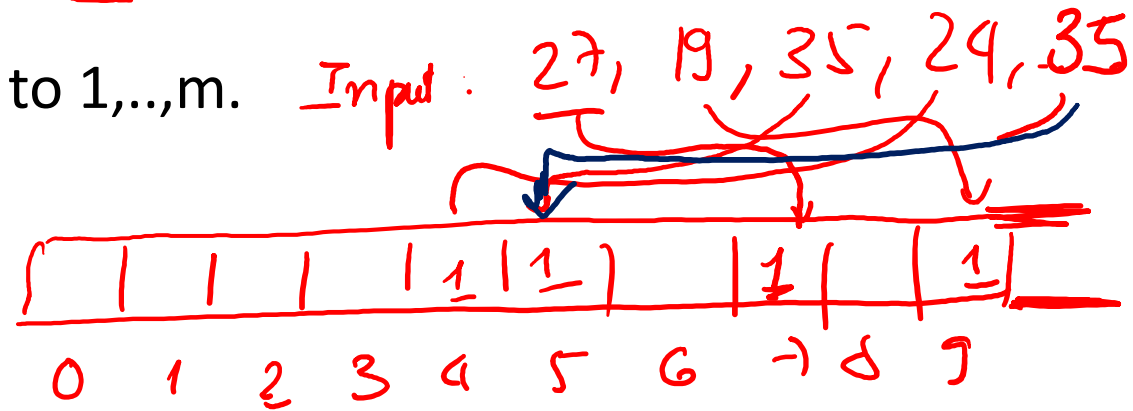
Element Distinctness: HOW

Given list of positive integers $A = a_1, a_2, \dots, a_n$, and m memory locations available

HashDistinctness(A, m)

1. Initialize array $M[1, \dots, m]$ to all 0s. -
2. Pick a hash function h from all positive integers to $1, \dots, m$.
3. For $i = 1$ to n ,
4. If $M[h(a_i)] = 1$ then return "Found repeat"
5. Else $M[h(a_i)] := 1$
6. Return "Distinct elements"

ex: h is mod 10



Element Distinctness: HOW

Given list of positive integers $A = a_1, a_2, \dots, a_n$, and m memory locations available

HashDistinctness(A, m)

1. Initialize array $M[1, \dots, m]$ to all 0s.
2. Pick a hash function h from all positive integers to $1, \dots, m$.
3. For $i = 1$ to n ,
4. If $M[h(a_i)] = 1$ then return "Found repeat"
5. Else $M[h(a_i)] := 1$
6. Return "Distinct elements"

. If $n < m \rightarrow$ fine.

. If $n > m$?

linear?

infinite?

memory
use
depends m

What's the runtime of this algorithm?

- A. $\Theta(1)$
- B. $\Theta(n)$
- C. $\Theta(n \log n)$
- D. $\Theta(n^2)$
- E. None of the above

What's the memory use of this algorithm?

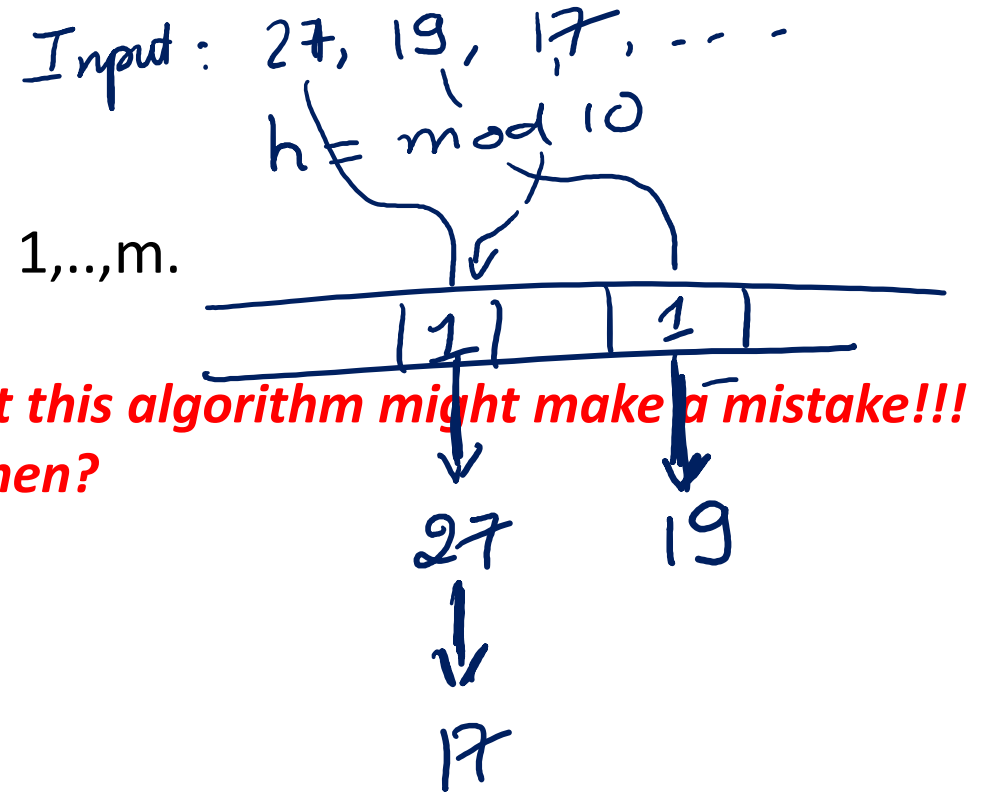
- A. $\Theta(1)$
- B. $\Theta(n)$
- C. $\Theta(n \log n)$
- D. $\Theta(n^2)$
- E. None of the above

Element Distinctness: WHY

Given list of positive integers $A = a_1, a_2, \dots, a_n$, and m memory locations available

HashDistinctness(A, m)

1. Initialize array $M[1, \dots, m]$ to all 0s.
2. Pick a hash function h from all positive integers to $1, \dots, m$.
3. For $i = 1$ to n ,
4. If $M[h(a_i)] = 1$ then return "Found repeat"
5. Else $M[h(a_i)] := 1$
6. Return "Distinct elements"



Correctness: Goal is

If there is a repetition, algorithm finds it ✓

If there is no repetition, algorithm reports "Distinct elements"



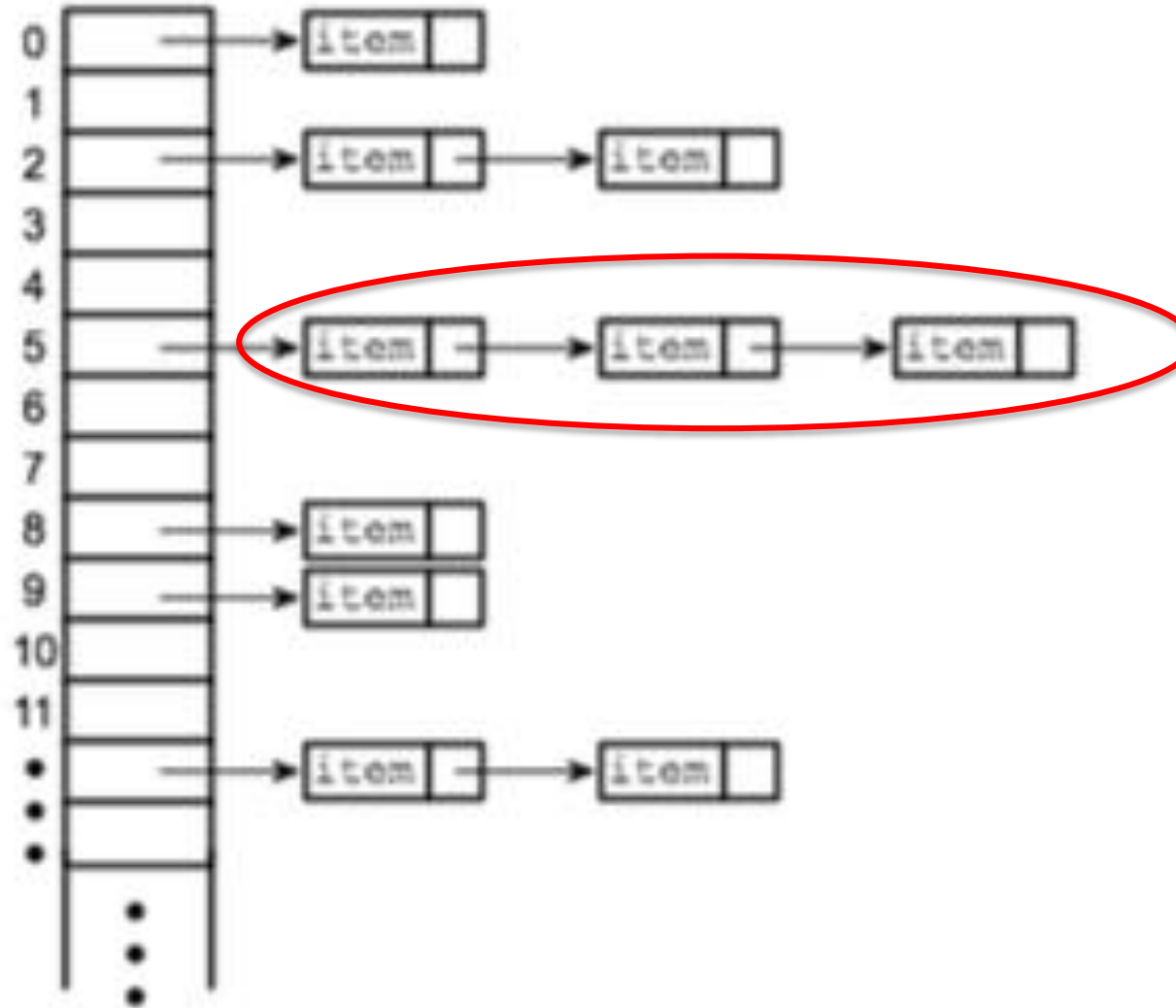
Hash Collisions

Resolving collisions with chaining

Hash Table

Each memory location holds a pointer to a linked list, initially empty.

Each linked list records the items that map to that memory location.



Collision means there is more than one item in this linked list

Element Distinctness: WHY

Given list of positive integers $A = a_1, a_2, \dots, a_n$, and m memory locations available

ChainHashDistinctness(A, m)

1. Initialize array $M[1, \dots, m]$ to **null lists**.
2. Pick a hash function **h** from all positive integers to $1, \dots, m$.
3. For $i = 1$ to n ,
4. **For each element j in $M[h(a_i)]$,**
5. **If $a_j = a_i$ then return "Found repeat"**
6. **Append a_i to the tail of the list $M[h(a_i)]$**
7. Return "Distinct elements"

Correctness: Goal is

If there is a repetition, algorithm finds it



If there is no repetition, algorithm reports "Distinct elements"

