- 1. True or False? (you must justify your claim using a limit argument, induction or some other method.)
  - (a)  $5(3^n) \in O(3(5^n))$

Solution: True

$$\lim_{n\to\infty}\frac{5(3^n)}{3(5^n)}=\frac{5}{3}\lim_{n\to\infty}\left(\frac{3}{5}\right)^n=0$$

(b)  $(n^6 + 2n + 1)^2 \in O((3n^3 + 4n^2)^4)$ 

Solution: True

$$\lim_{n \to \infty} \frac{(n^6 + 2n + 1)^2}{(3n^3 + 4n^2)^4} = \lim_{n \to \infty} \frac{n^{12}}{3n^{12}} = \frac{1}{3}$$

(c)  $\log(n^{10}) \in \Omega(\log(n))$ 

Solution: True

$$\lim_{n \to \infty} \frac{\log(n^{10})}{\log(n)} = \lim_{n \to \infty} \frac{10\log(n)}{\log(n)} = 10$$

(d)  $\sum_{i=1}^{n} i^k \in \Omega(n^{k+1})$  for any fixed positive integer k.

Solution: True

By integral calculus, we have that for any n > 1,

$$\sum_{i=1}^{n} i^{k} > \int_{0}^{n} x^{k} dx$$

$$\sum_{i=1}^{n} i^{k} > \frac{x^{k+1}}{k+1} \Big|_{0}^{n}$$

$$\sum_{i=1}^{n} i^{k} > \frac{n^{k+1}}{k+1} = \left(\frac{1}{k+1}\right) n^{k+1}$$

The inequality shows that  $\sum_{i=1}^{n} i^k > \left(\frac{1}{k+1}\right) n^{k+1}$  so  $\sum_{i=1}^{n} i^k = \Omega\left(n^{k+1}\right)$ 

(e)  $\log n! \in \Omega(n \log n)$ 

## **Solution:**

We can rewrite  $\log n! = \sum_{i=1}^{n} \log(i)$ . Clearly, this summation is greater than the only the last half of its terms:

$$\log n! = \sum_{i=1}^{n} \log(i) > \sum_{i=n/2}^{n} \log(i).$$

Then each term in this sum must be greater than  $\log(n/2)$ , so:

$$\log n! > \sum_{i=n/2}^{n} \log(n/2) = n/2 \log(n/2).$$

We can rewrite this as:

$$n/2\log(n/2) = n/2(\log n - \log 2) = \Omega(n\log n)$$

and since  $\log n! > n/2 \log(n/2)$ , then  $\log n! = \Omega(n \log n)$ .

2. The following sequence of numbers:  $T_0, T_1, ...,$  are defined by

$$T_0 = 1, T_1 = 1, \qquad T_n = 2T_{n-1} + 2T_{n-2}$$

Prove that:

- (a)  $T_n = O(3^n)$
- (b)  $T_n = \Omega(2^n)$

## Solution:

(a) Claim:  $T_n \leq 3^n$  for all  $n \geq 0$ .  $T_0 = 1, 3^0 = 1$  $T_1 = 1, 3^1 = 3$ 

Suppose that for some arbitrary integer  $n \ge 1$ , that  $T_k \le 3^k$  for all  $0 \le k < n$ .

$$T_{n} = 2T_{n-1} + 2T_{n-2}$$

$$\leq 2(3^{n-1} + 3^{n-2})$$

$$\leq 2(3^{n-2})(3+1)$$

$$= 8(3^{n-2})$$

$$\leq 9(3^{n-2})$$

$$= 3^{n}$$

(b) Claim:  $T_n \ge 2^n$  for all  $n \ge 2$ .  $T_2 = 4, 2^2 = 4$ 

 $T_3 = 10, 2^3 = 8$ 

Suppose that for some arbitrary integer  $n \geq XXXX$ , that  $T_k \geq 2^k$  for all  $0 \leq k < n$ . Then:

$$T_{n} = 2T_{n-1} + 2T_{n-2}$$

$$\geq 2(2^{n-1} + 2^{n-2})$$

$$\geq 2(2^{n-2} + 2^{n-2})$$

$$= 4(2^{n-2})$$

$$= 2^{n}$$

3. The indegree of a vertex u is the number of incoming edges into u, i.e., edges of the form (v, u) for some vertex v.

Consider the following algorithm that takes the adjacency list  $A[v_1, v_2, \dots, v_n]$  of a directed graph Gas input and outputs an array containing all indegrees.

(An adjacency list  $A[v_1, v_2, \dots, v_n]$  is an array indexed by the vertices in the graph. Each entry  $A[v_i]$ contains the list of neighbors of  $v_i$ .)

**procedure**  $Indegree(A[v_1, v_2, \dots, v_n])$ initialize an array  $ID[v_1, \ldots, v_n]$  to 0 for each  $i = 1 \dots n$ : for each  $u \in A[v_i]$ : increment ID[u]

(a) Prove the correctness of this algorithm by proving the loop invariant:

At the iteration, ID[u] is the number of incoming edges to u from  $\{v_1, \ldots, v_t\}$ .

**Base Case:** Before the first iteration (t = 0), the array ID is initialized to 0 which is the indegree to all vertices from the empty set.

**Inductive Hypothesis:** Suppose after t-1 iterations for some t with t>0 that ID[u]is equal to the number of incoming edges to u from  $\{v_1, \ldots, v_{t-1}\}$ . Show what happens after t iterations.

**Inductive Step:** During the t iteration, i = t, so the inner for loop considers all outgoing neighbors of  $v_t$ . The array value ID[u] of each outgoing neighbor u of  $v_t$  will be incremented during this iteration. So along with the loop invariant being true after t-1 iterations, it will be true that ID[u] is equal to the number of incoming edges to u from  $\{v_1, \ldots, v_t\}$  so the loop invariant remains true.

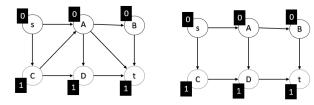
(b) Analyze the runtime of this algorithm assuming that G has n vertices and m edges.

Inside each outer iteration, the algorithm loops through each neighbor of  $v_i$  which is equal to  $outdegree(v_i)$  iterations of the inner loop. So, over the course of the entire algorithm, there are  $\sum_{v \in V} outdegree(v) = m$  many times we increment ID(u). Along with the initialization of the array which takes O(n) time, the entire algorithm will take O(n+m) time.

## 4. Consider the following problem:

Given a directed graph G with vertex weights  $w_v \in \{0,1\}$  (in other words, each vertex is either labeled with 0 or 1), and vertices s and t, Determine if there is a (not necessarily simple) path in G from s to t such that the binary sequence of vertex weights in the path is of the form  $(01)^i$  for any  $i \geq 1$ . (I believe if any path exists, a simple path will exist as well.)

For example, the algorithm should output TRUE for the first graph because of the path  $s \to C \to A \to t$ . The algorithm should output FALSE for the second graph because there is no path from s to t with a sequence of  $(01)^i$ .



Consider the following algorithm that claims to solve this problem:

**Algorithm Description:** First check to see if s is labeled with 0 and t is labeled with 1. If they are not then return FALSE. Otherwise proceed to the next step.

Create a graph G' by removing all edges that go from a 0-labeled vertex to a 0-labeled vertex and by removing all edges that go from a 1-labeled vertex to a 1-labeled vertex.

Run graphsearch on G' starting from s. If t is visited then return TRUE. Otherwise return FALSE

Either prove this algorithm is correct or disprove it with a counter-example.

(NOTE: graphsearch is an algorithm that takes a graph G and a vertex s and returns a list of vertices that are reachable from s by a directed path in G. You do not have to prove the correctness of graphsearch, we will do this in class.)

This algorithm is correct.

## Justification of correctness:

- $(\leftarrow)$  Suppose that there is a path from s to t such that the binary sequence of the vertex weights in the path is of the form  $(01)^i$ . Then that means that s is labeled with 0 and t is labeled with 1 so the first conditional is satisfied. Then since the path is alternating from 0 to 1 to 0 and so on, there will never be any edges in this path that go from 0 to 0 or 1 to 1. Therefore, this path will also be in G'. So when graphsearch is performed on G' starting at s, t will be visited and the algorithm will return TRUE.
- $(\rightarrow)$  Suppose the algorithm outputs TRUE, then it must be the case that s is labeled with 0 and t is labeled with 1. Furthermore, there must be a path from s to t in G'. Since G' does not have any 0 to 0 or 1 to 1 edges, all paths in G' must be alternating. Therefore this path in G' from s to t corresponds to an alternating path of the form  $(01)^i$ .