

Mechanical systems dynamic with nonlinearities

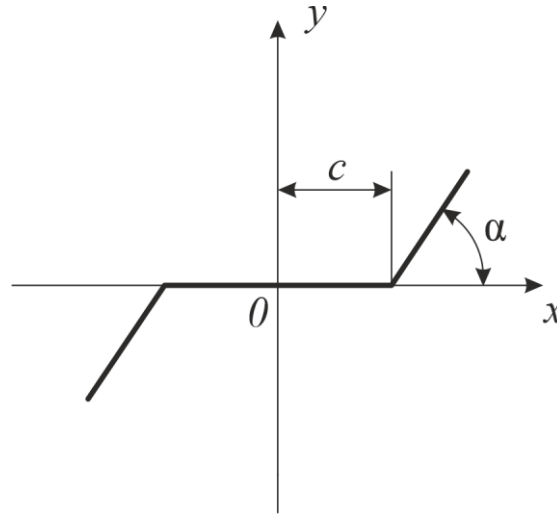
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Deadband

□ mechanical elements in transformers

$$y = \begin{cases} 0 & |x| \leq c \\ k(x - c) & x > c \\ k(x + c) & x < -c \end{cases}$$

$$k = \operatorname{tg} \alpha$$

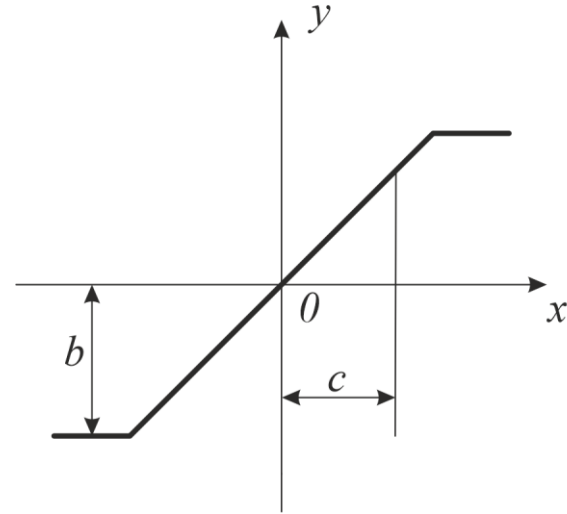


Saturation zone

□ transformers, transistors, amplifiers

$$y = \begin{cases} kx & |x| \leq c \\ b & x > c \\ -b & x < -c \end{cases}$$

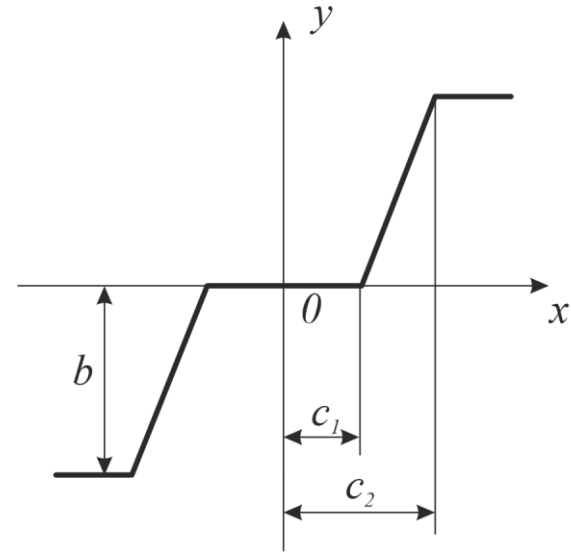
$$k = \frac{b}{c}$$



Deadband and Saturation zone

□ hydrostatic and pneumatic transformer elements

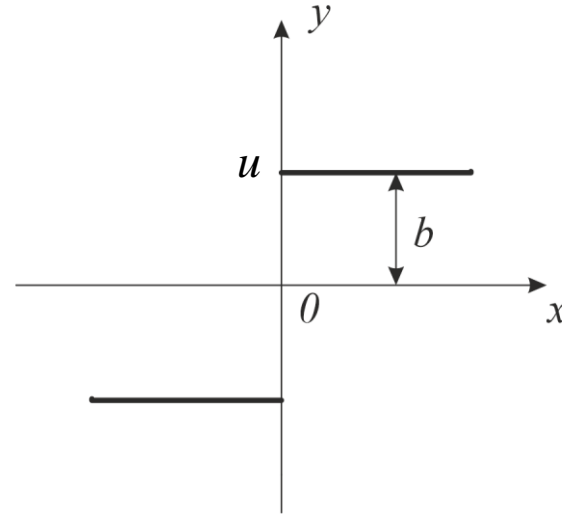
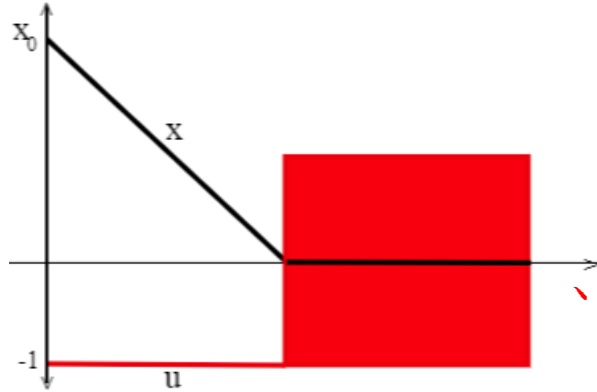
$$y = \begin{cases} -b & x \leq -c_2 \\ k(x + c_1) & -c_2 < x < -c_1 \\ 0 & |x| \leq c_1 \\ k(x - c_1) & c_1 < x < c_2 \\ b & x \geq c_2 \end{cases}$$



$$k = \frac{b}{c_2 - c_1}$$

Ideal relay

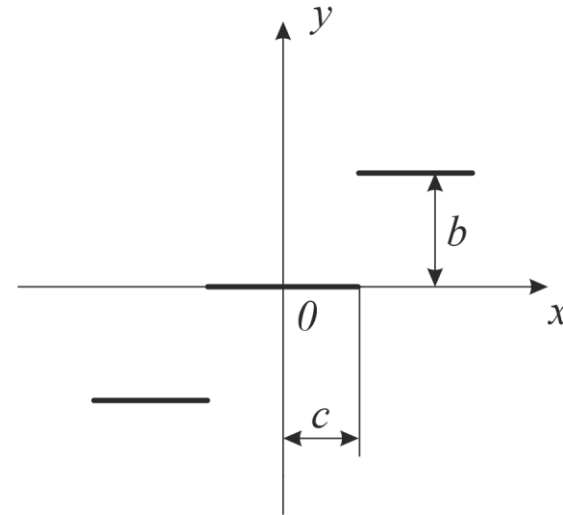
- discontinuous switching, delay in switching



$$y = \begin{cases} b & x \geq 0 \\ -b & x < 0 \end{cases}$$

Ideal relay with deadband zone

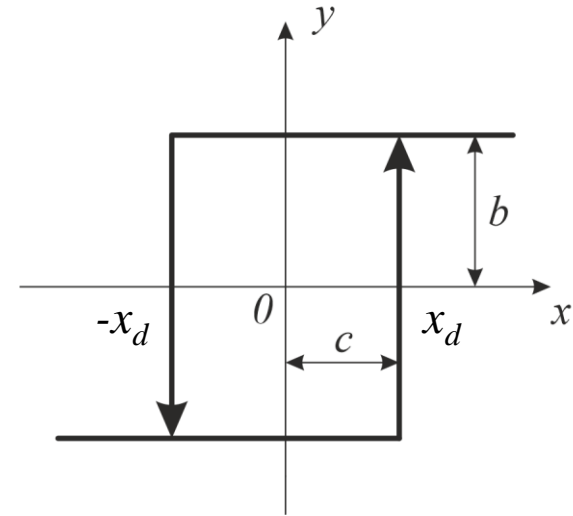
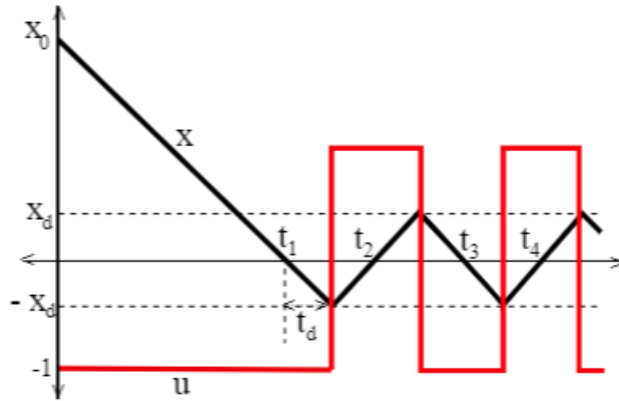
□ electromagnetic devices



$$y = \begin{cases} 0 & |x| \leq c \\ b & x > c \\ -b & x < -c \end{cases}$$

Relay with delay

□ discontinuous switching, delay in switching

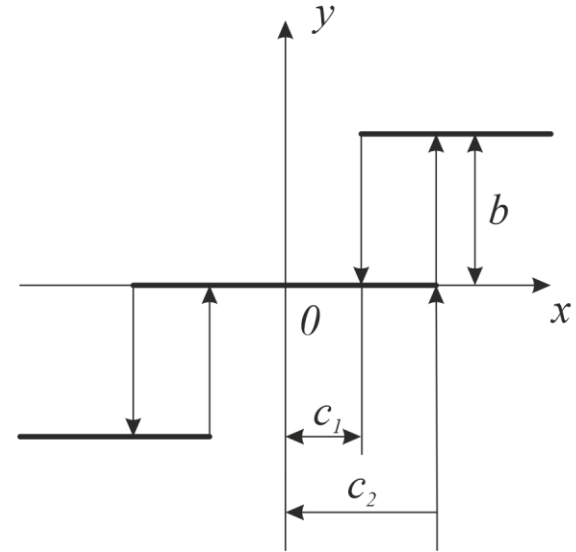


$$y = \begin{cases} -b & x \leq c, \dot{x} > 0 \\ b & x > c, \dot{x} > 0 \\ b & x \geq -c, \dot{x} < 0 \\ -b & x < -c, \dot{x} < 0 \end{cases}$$

Relay with delay and deadband zone

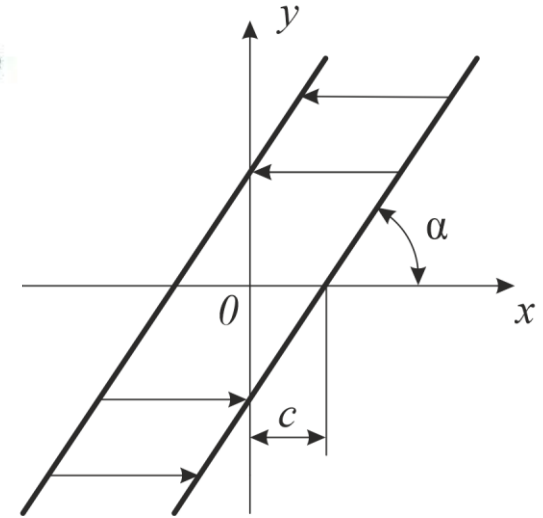
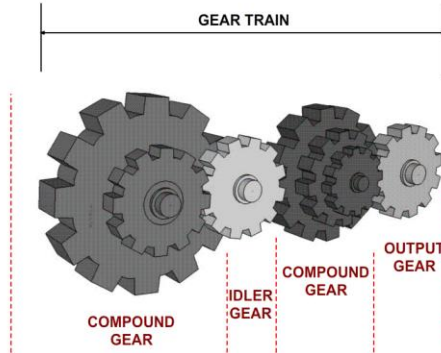
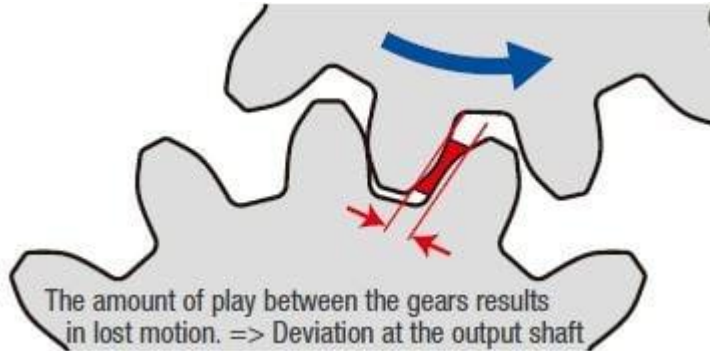
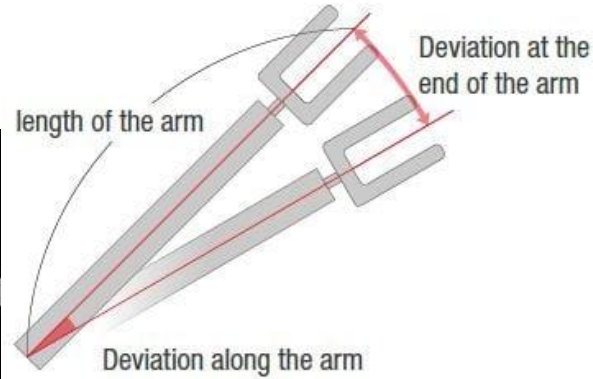
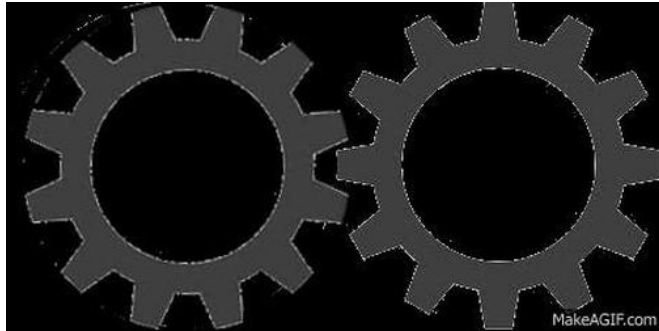
□ discontinuous switching, delay in switching

$$y = \begin{cases} -b & x \leq -c_1, \dot{x} > 0 \\ 0 & -c_1 < x < c_2, \dot{x} > 0 \\ b & x \geq c_2, \dot{x} > 0 \\ b & x \geq c_1, \dot{x} < 0 \\ 0 & -c_2 < x < c_1, \dot{x} < 0 \\ -b & x \leq -c_2, \dot{x} < 0 \end{cases}$$



Backlash or dry friction

□ mechanical gear

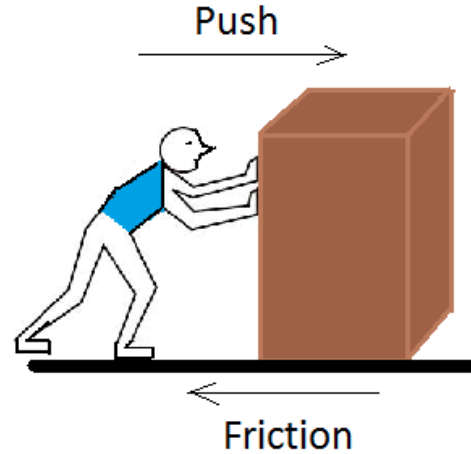


$$y = \begin{cases} k(x - c) & \dot{x} > 0 \\ k(x + c) & \dot{x} < 0 \end{cases}$$

$$k = \tan \alpha$$

Friction Nonlinearity

1. Static Friction
2. Dynamic Friction
3. Limiting Friction

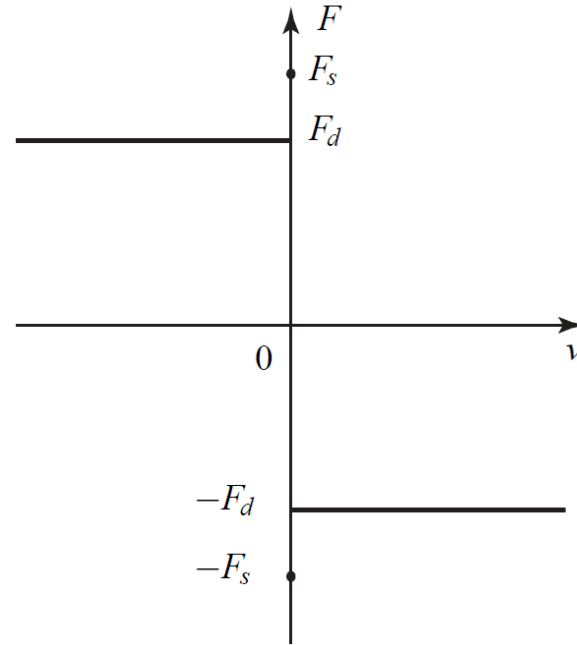


$$F \begin{cases} \leq \mu_s F_n & \rightarrow v = 0 \\ = -\mu_d F_n \operatorname{sgn}(v) & \rightarrow v \neq 0 \end{cases}$$

$$F_d = \mu_d F_n$$

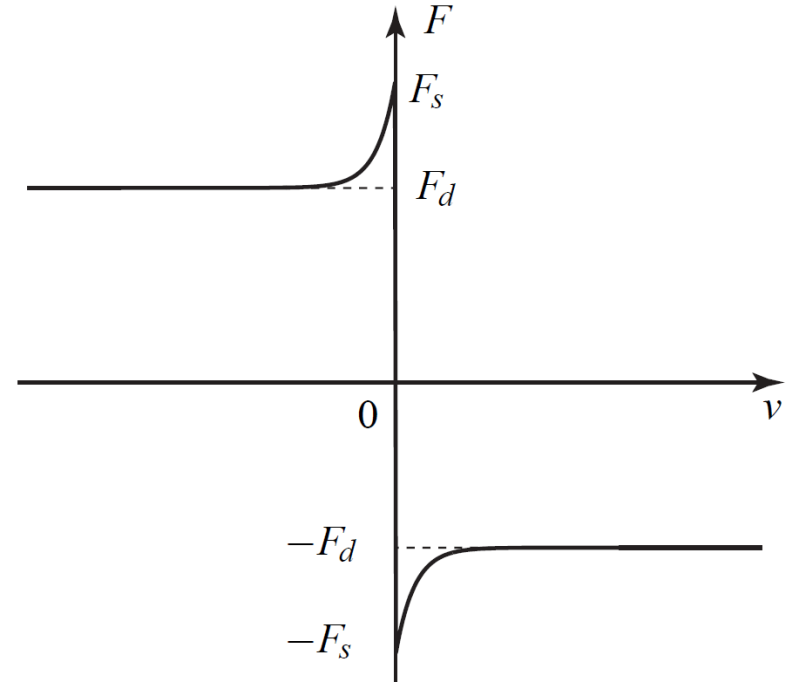
$$F_s = \mu_s F_n$$

$$F = -F_d \frac{v}{|v|}$$



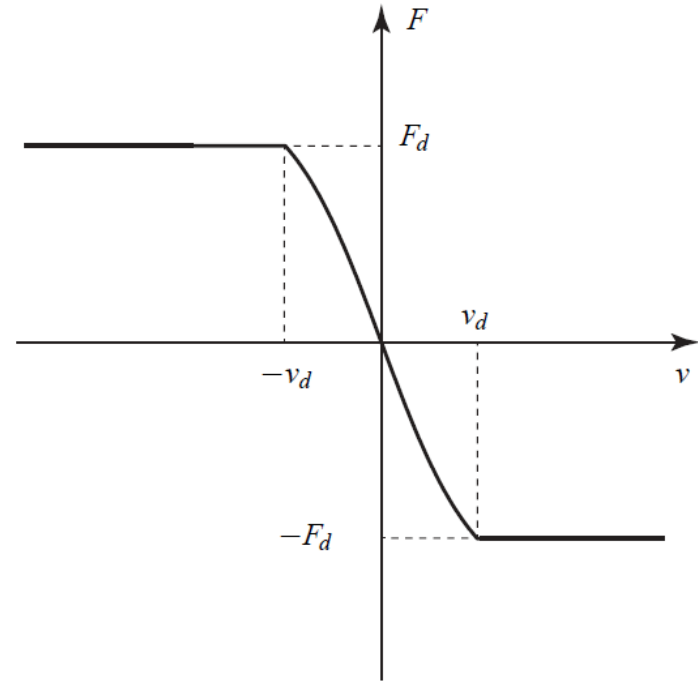
The Coulomb friction force model

$$F = -F_d - (F_s - F_d)e^{-c|v|} \operatorname{sgn}(v)$$

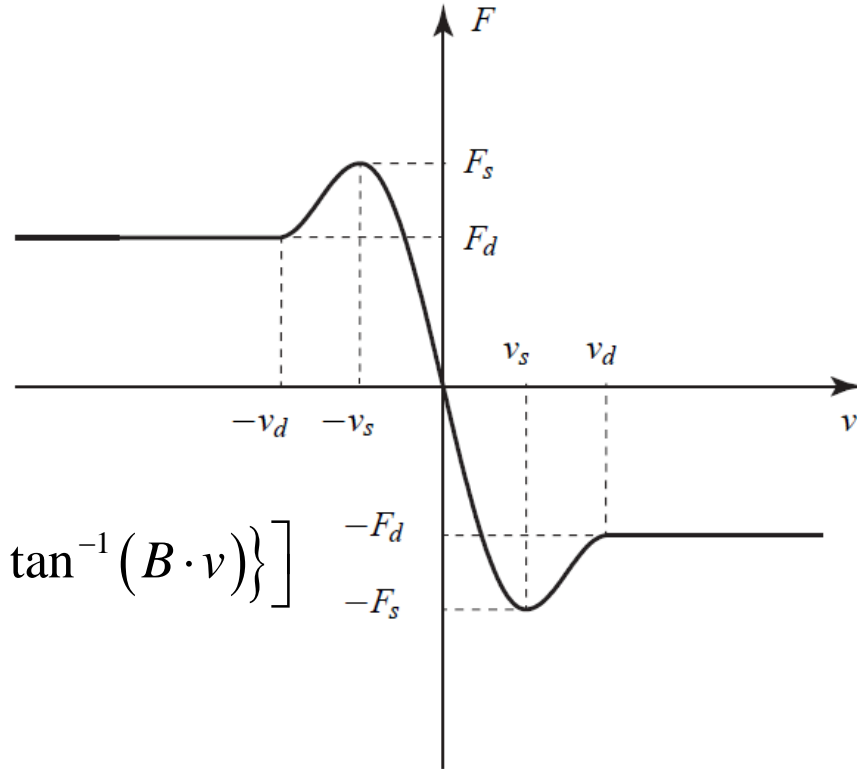


Benson exponential friction model

$$F = -F_d \tanh\left(\frac{v}{v_d}\right)$$



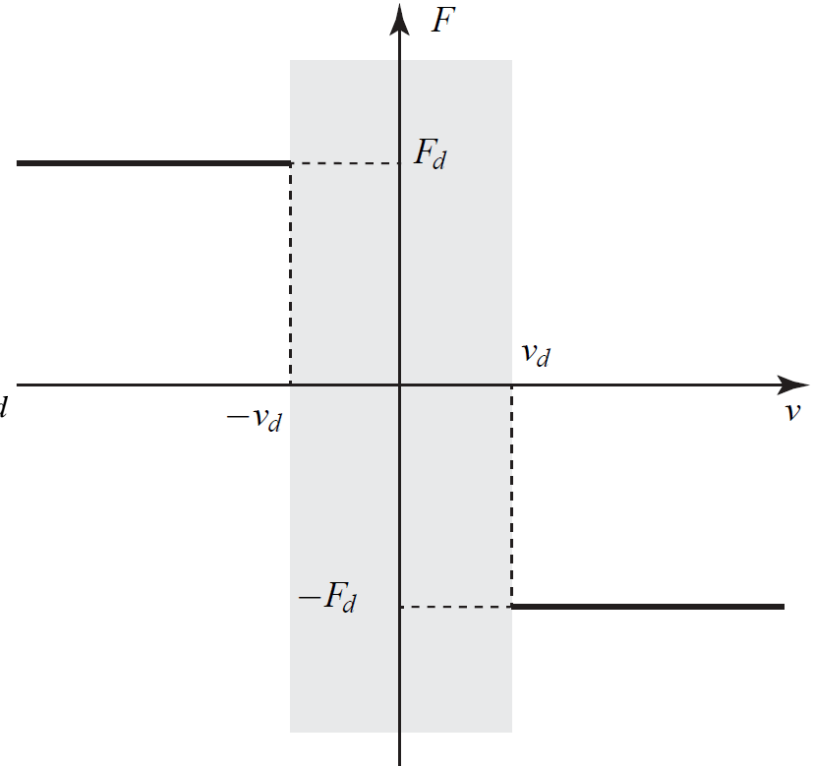
Smooth Coulomb friction model



$$F = -F_s \sin \left[C \tan^{-1} (B \cdot v) - E \left\{ (B \cdot v) - \tan^{-1} (B \cdot v) \right\} \right]$$

Velocity-based friction model

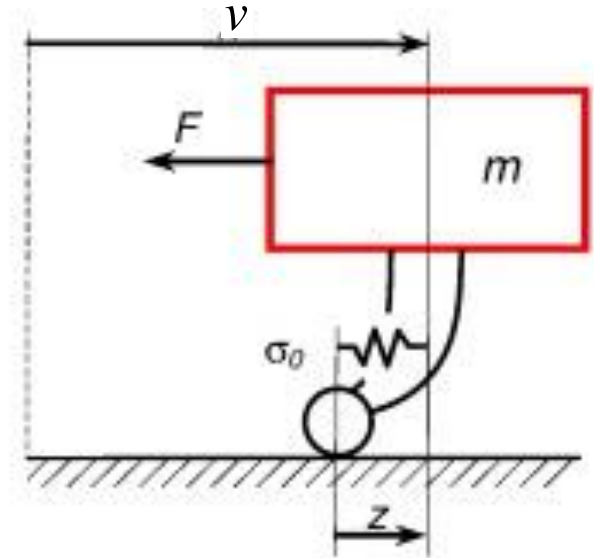
$$F = \begin{cases} -\min(\max(-F_s, F_{ext}), F_s) \rightarrow |v| \leq v_d \\ -F_d \operatorname{sgn}(v) \end{cases}$$



Karnopp friction model

$$F = -\sigma_0 z$$

$$\dot{z} = v \cdot \left(1 - \frac{\sigma_0 z}{F_d} \operatorname{sgn}(v) \right)^\alpha$$



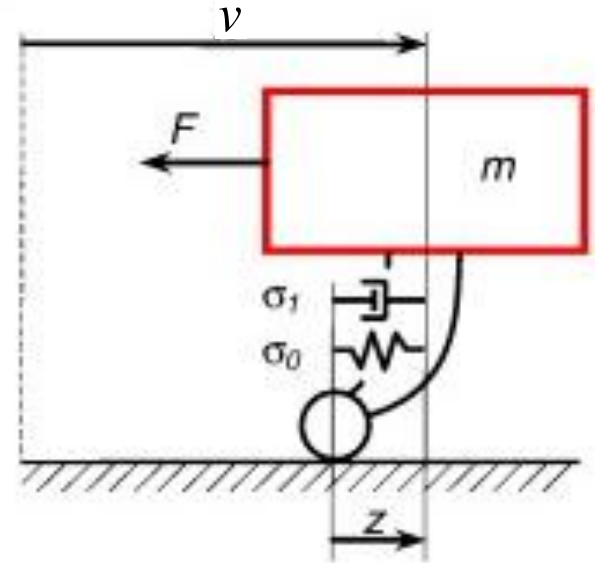
The bristle analogy in the Dahl model

$$F = \sigma_0 z + \sigma_1 \dot{z} + \sigma_2 \dot{z}$$

$$\dot{z} = v \cdot \left(1 - \frac{\sigma_0 z}{g(v)} \operatorname{sgn}(v) \right)$$

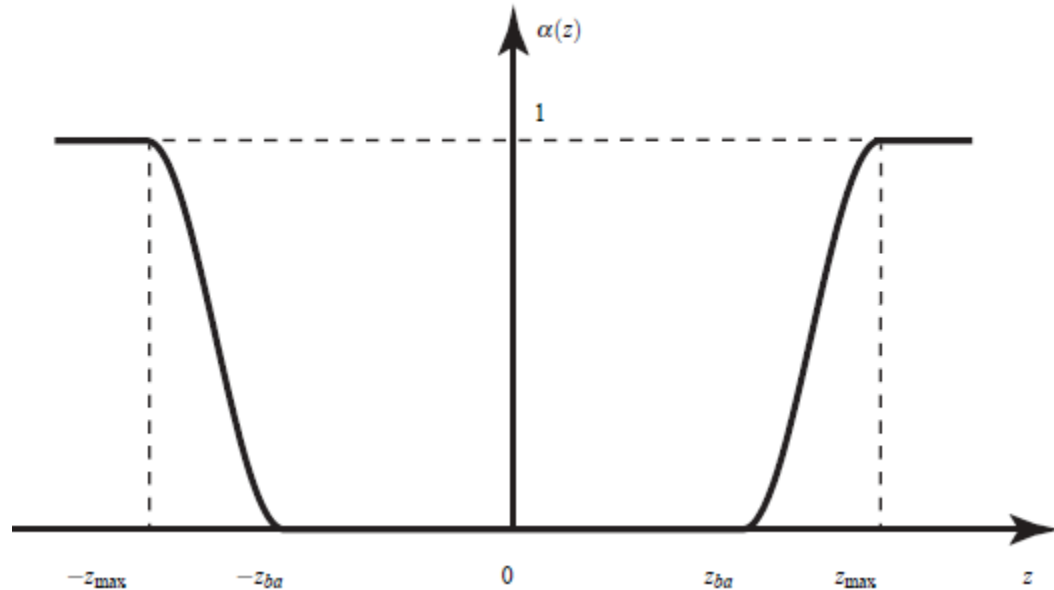
$$g(v) = F_d + (F_s - F_d) e^{-\left(\frac{v}{v_{Stribeck}} \right)^\gamma}$$

$$F = \left(F_d + (F_s - F_d) e^{-\left(\frac{v}{v_{Stribeck}} \right)^\gamma} \right) \operatorname{sgn}(v) + \sigma_2 v$$



$$F = \sigma_0 z + \sigma_1 \dot{z} + \sigma_2 z$$

$$\dot{z} = v \cdot \left(1 - \alpha(z, v) \frac{\sigma z}{g(v)} \operatorname{sgn}(v) \right)$$



Elasto-plastic friction model $\alpha(z)$ parameter in case of $\operatorname{sgn}(v) = \operatorname{sgn}(\dot{z})$

$$\alpha(z, v) = \begin{cases} 0 \\ \frac{1}{2} \left(1 + \sin \left(\pi \frac{z - \frac{1}{2}(z_{\max} + z_{ba})}{z_{\max} - z_{ba}} \right) \right) \\ 1 \end{cases} \rightarrow z_{ba} \leq \begin{cases} |z| < z_{ba} \\ |z| < z_{\max} \\ |z| \geq z_{\max} \end{cases}$$

$$z_{\max} = \frac{g(v)}{\sigma_0}$$

Otherwise, if $\text{sgn}(v) \neq \text{sgn}(\dot{z})$ then $\alpha(z, v) = 0$

$$F = F_{stiction} + F_{sliding}$$

$$F_{stiction} = -(1 - \beta) F_s \operatorname{sgn}(\Delta)$$

$$F_{sliding} = -F_d \operatorname{sgn}(v)$$

$$\operatorname{step}(|x|, x_0, h_0, x_1, h_1) =$$

$$= \begin{cases} h_0 \\ h_0 + (h_1 - h_0) \left(\frac{x - x_0}{x_1 - x_0} \right)^2 \left(3 - 2 \left(\frac{x - x_0}{x_1 - x_0} \right) \right) \rightarrow x_0 < \begin{cases} x \leq x_0 \\ x_0 < |x| < x_1 \\ x \geq x_1 \end{cases} \\ h_1 \end{cases}$$



State	Sliding	Stiction
v	$ v > v_t$	$0 \leq v \leq v_t$
β	1	$\operatorname{step}(v , -v_t, -1, v_t, 1)$
F_s	0	$\operatorname{step}(F_s , -\Delta_{\max}, -F_s, \Delta_{\max}, F_s)$
F_d	F_d	$\operatorname{step}(F_d , -v_t, -F_d, v_t, F_d)$
F	$F_{sliding}$	$F_{stiction} + F_{sliding}$

 x_0
 0
 x_1
 x

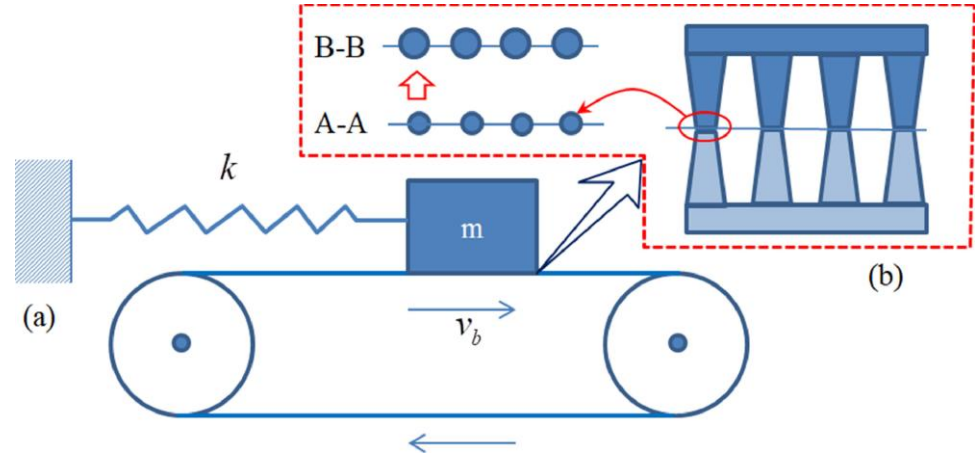
Behaviour of the step function
 $y = \operatorname{step}(|x|, x_0, h_0, x_1, h_1)$

$$F_{br} = \sigma_0 z + \sigma_1 \dot{z}$$

$$\dot{z} = s \dot{z}_{st} + (1 - s) \dot{z}_{sl}$$

$$s = e^{-v^2 / v_{Stribeck}^2}$$

$$\begin{cases} \dot{z}_{st} = v \\ \dot{z}_{sl} = \frac{1}{\sigma_1} F_c - \frac{\sigma_0}{\sigma_1} z \end{cases}$$



Model of mass block traveling on a belt and model of contact surfaces as bristles with variation of true contact area from the beginning A-A to the end B-B of dwell-time interval.

$$F_c = F_d \cdot \text{dir}(v, v_t)$$

$$\text{dir}(v, v_t) = \begin{cases} \frac{v}{|v|} & \rightarrow |v| \geq v_t \\ \frac{v}{v_t} \left[\frac{3}{2} \frac{|v|}{v_t} - \frac{1}{2} \left(\frac{|v|}{v_t} \right)^3 \right] & \rightarrow |v| < v_t \end{cases}$$

$$F_{\max} = F_d + (F_s - F_d) s_{dw}$$

$$\dot{s}_{dw} = \begin{cases} \frac{1}{\tau_{dw}} (s - s_{dw}) \rightarrow (s - s_{dw}) \geq 0 \\ \frac{1}{\tau_{br}} (s - s_{dw}) \rightarrow (s - s_{dw}) < 0 \end{cases}$$

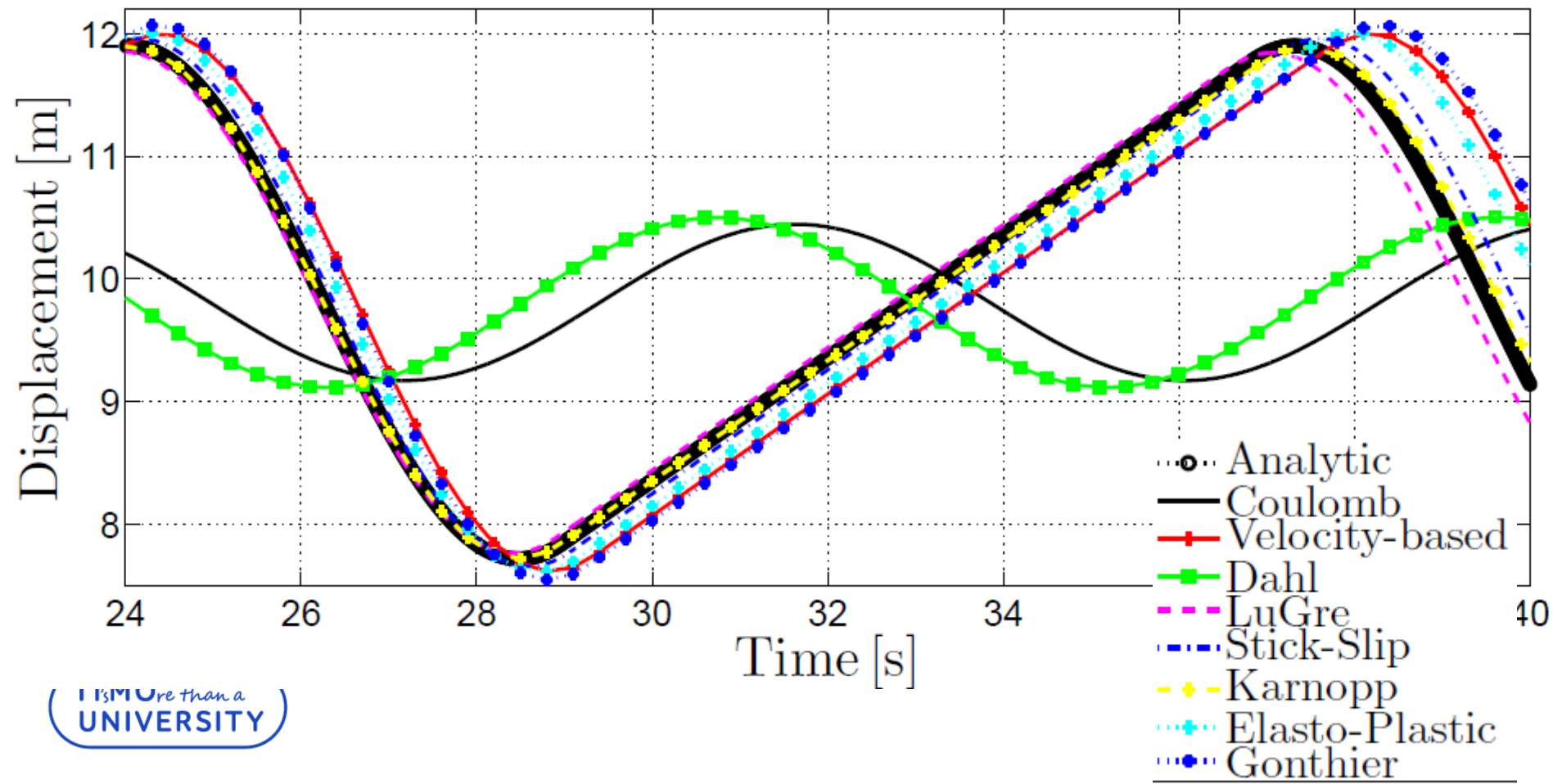
$$\tau_{br} = \sigma_1 / \sigma_0$$

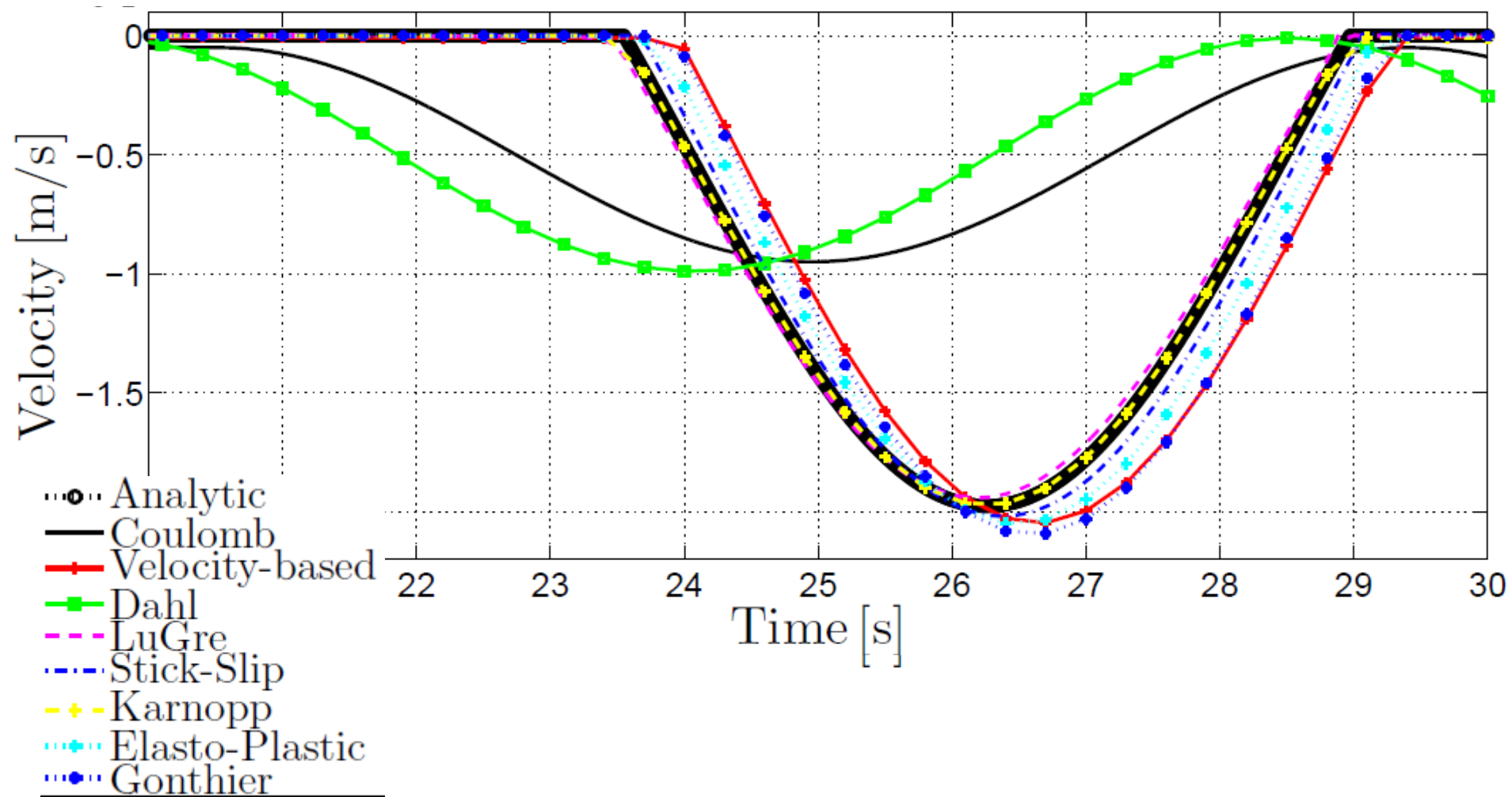
$$F_{\max} = -\text{sat}(F_{br}, F_{\max}) - \sigma_2 v$$

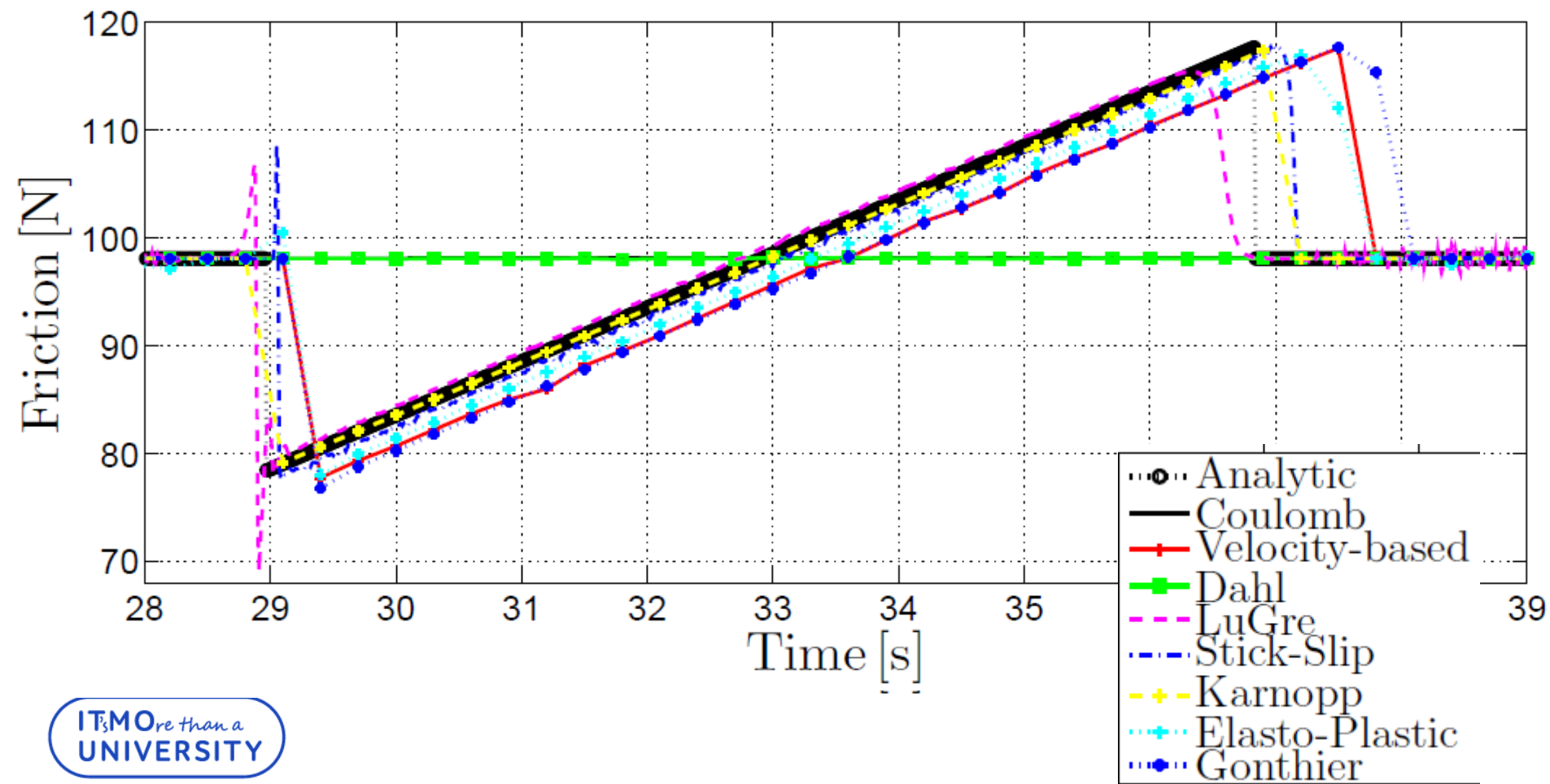
$$\text{sat}(F_{br}, F_{\max}) = \begin{cases} F_{br} & \rightarrow |F_{br}| \leq F_{\max} \\ \frac{F_{br}}{|F_{br}|} F_{\max} & \rightarrow |F_{br}| > F_{\max} \end{cases}$$

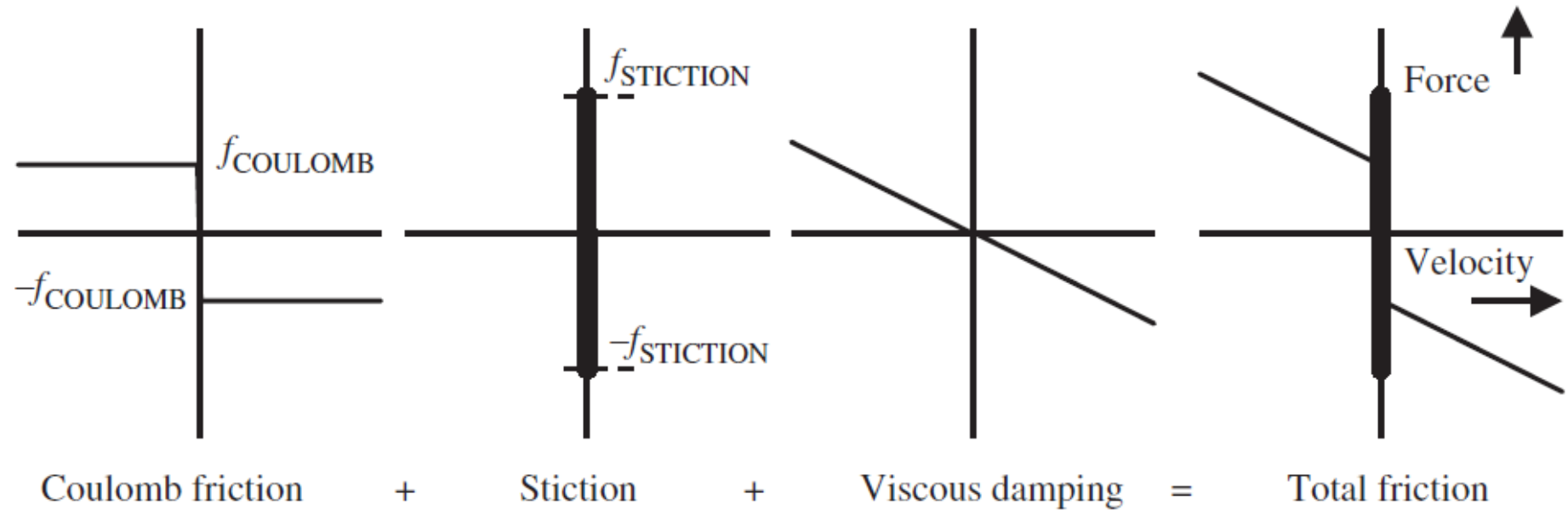
Summary of model parameters and state variables

Model	Parameters	State variable
Coulomb	F_d, v_d	
Velocity based	F_d, F_s, v_d, v_s	
Karnopp	F_d, F_s, v_d	
Stick-slip	$F_d, F_s, v_t, \Delta_{\max}$	
Dahl	F_d, σ_0, α	z
LuGre	$F_d, F_s, \sigma_0, \sigma_1, \sigma_2, v_{\text{Stribeck}}, \gamma$	z
Elasto-plastic	$F_d, F_s, \sigma_0, \sigma_1, \sigma_2, v_{\text{Stribeck}}, \gamma, z_{ba}$	z
Gonthier	$F_d, F_s, \sigma_0, \sigma_1, \sigma_2, v_{\text{Stribeck}}, v_t, \tau_{dw}$	z, s_{dw}

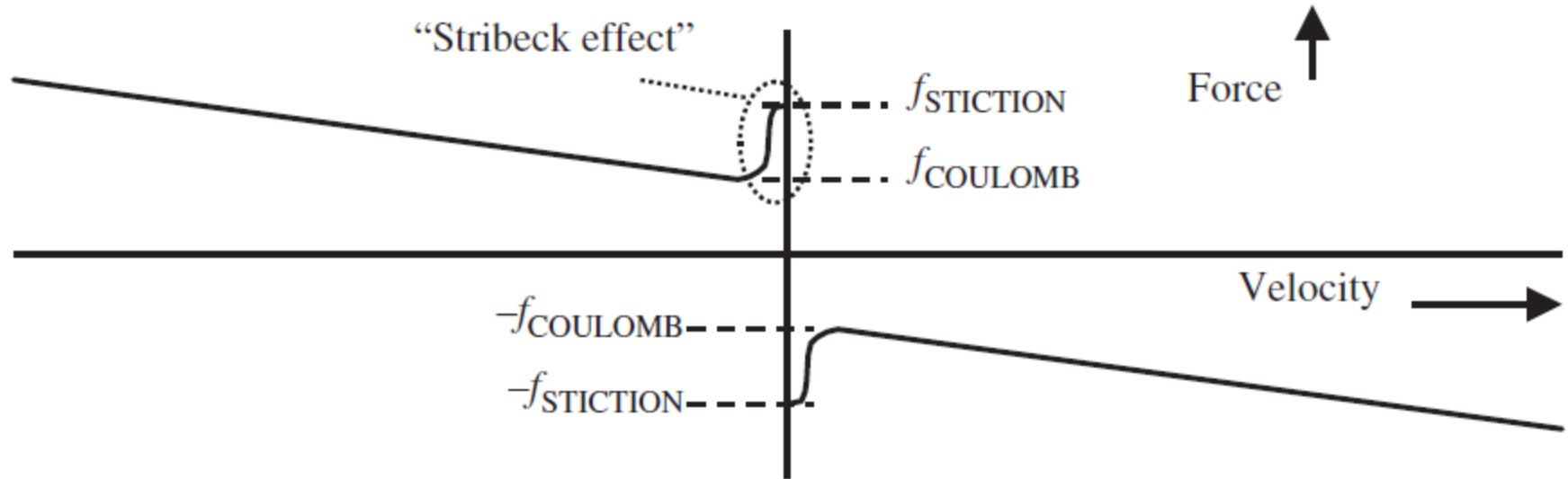




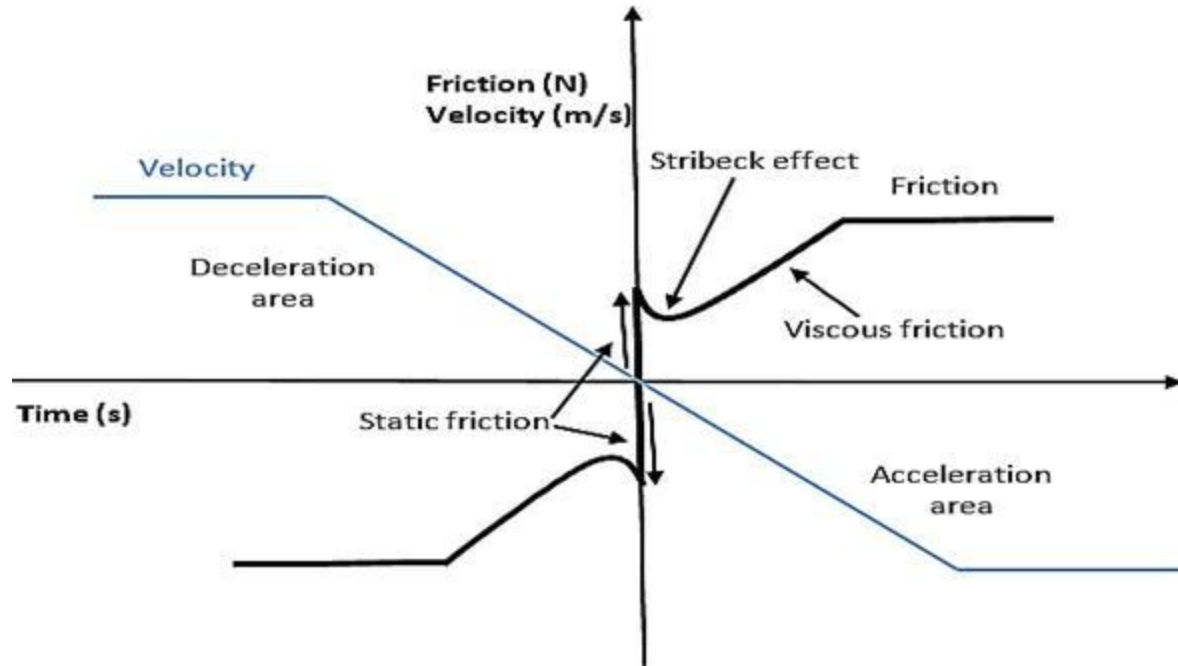


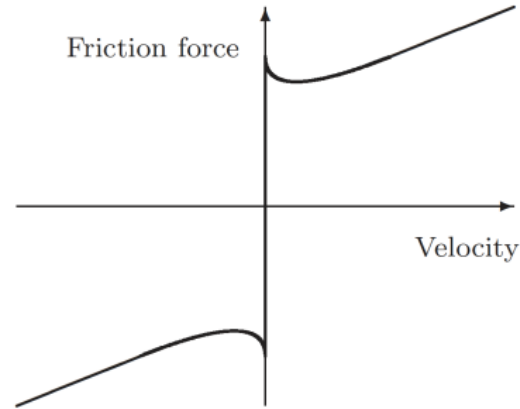
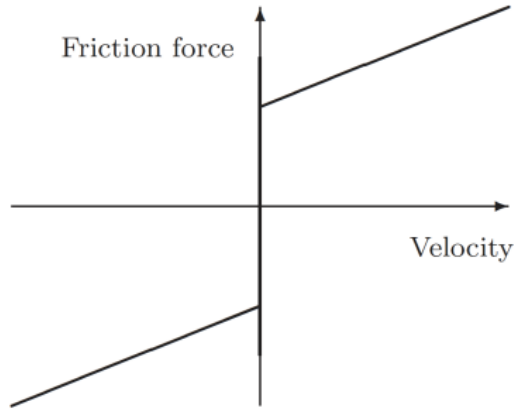


model of friction



Frictional model recognizing the Stribeck effect

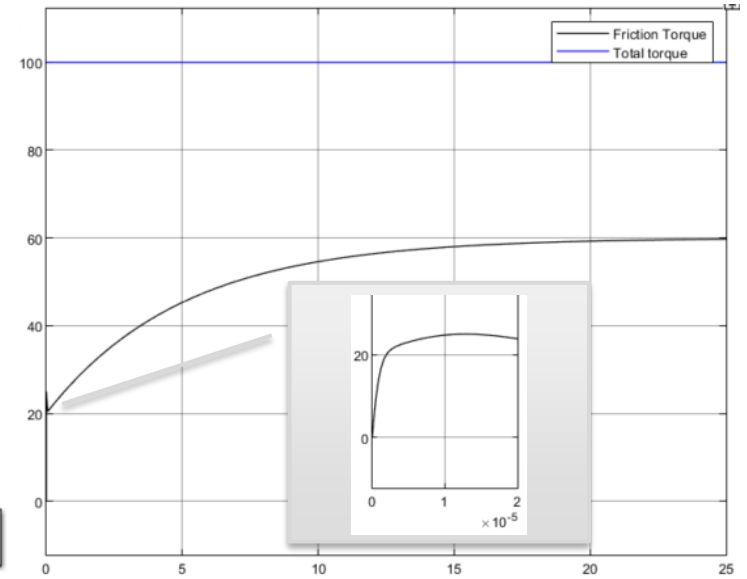
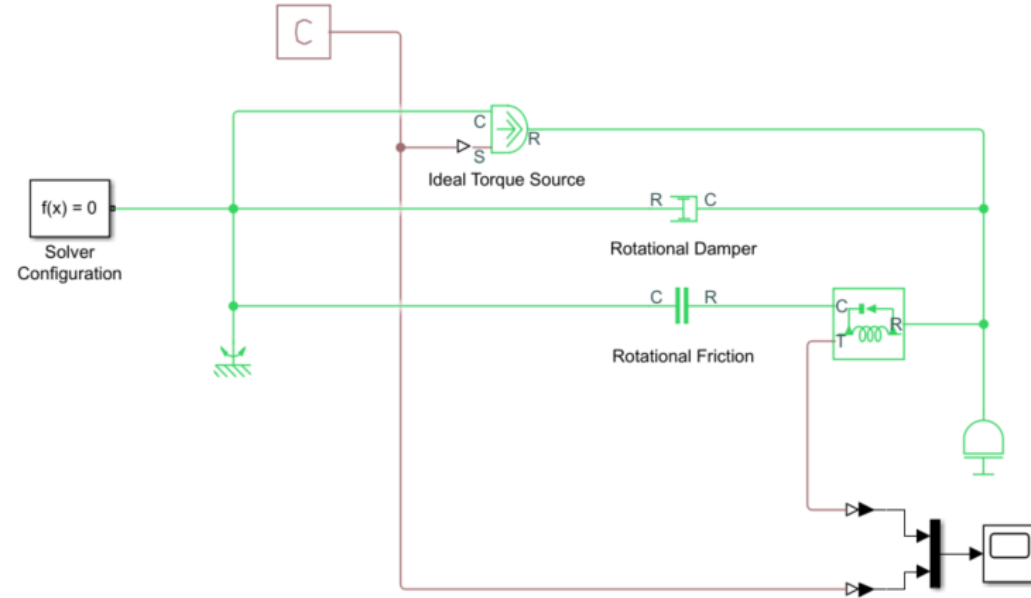


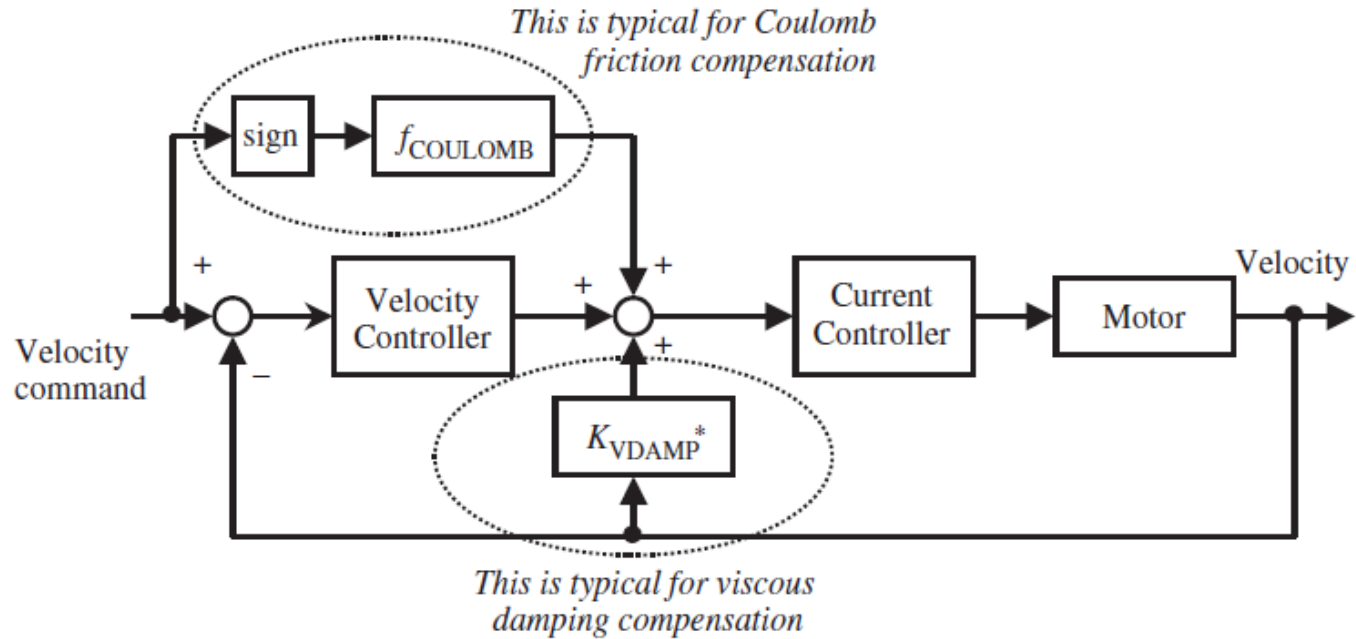


Models of friction force versus angular velocity.

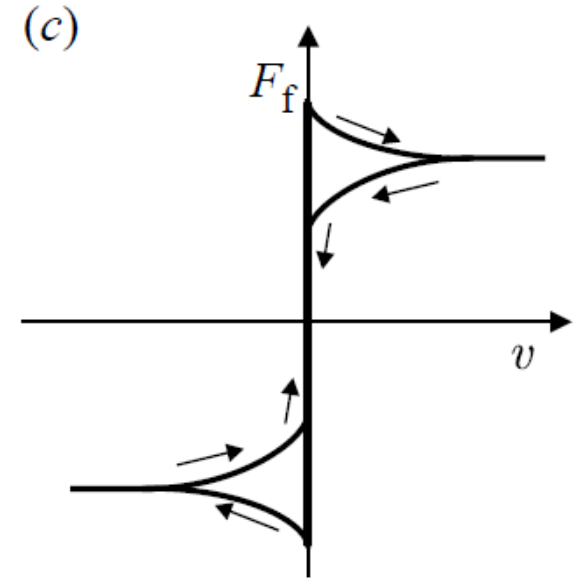
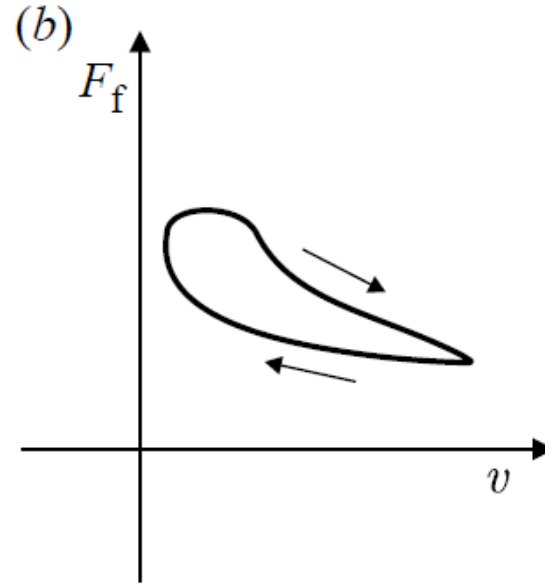
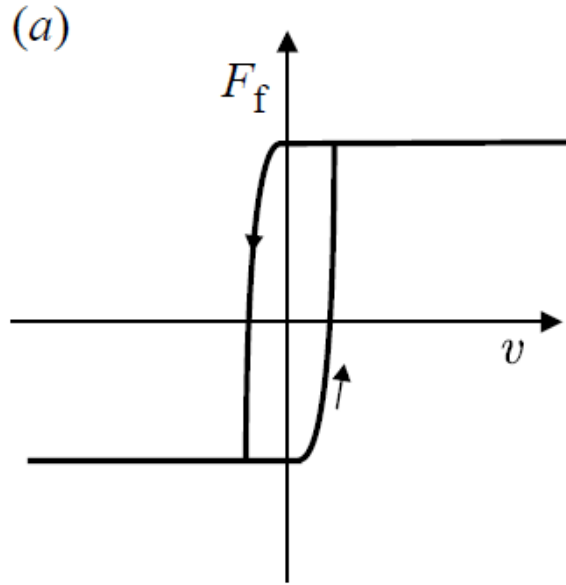
(left) Static, Coulomb and viscous friction model.

(right) Negative viscous, Coulomb and viscous friction model (Stribeck).



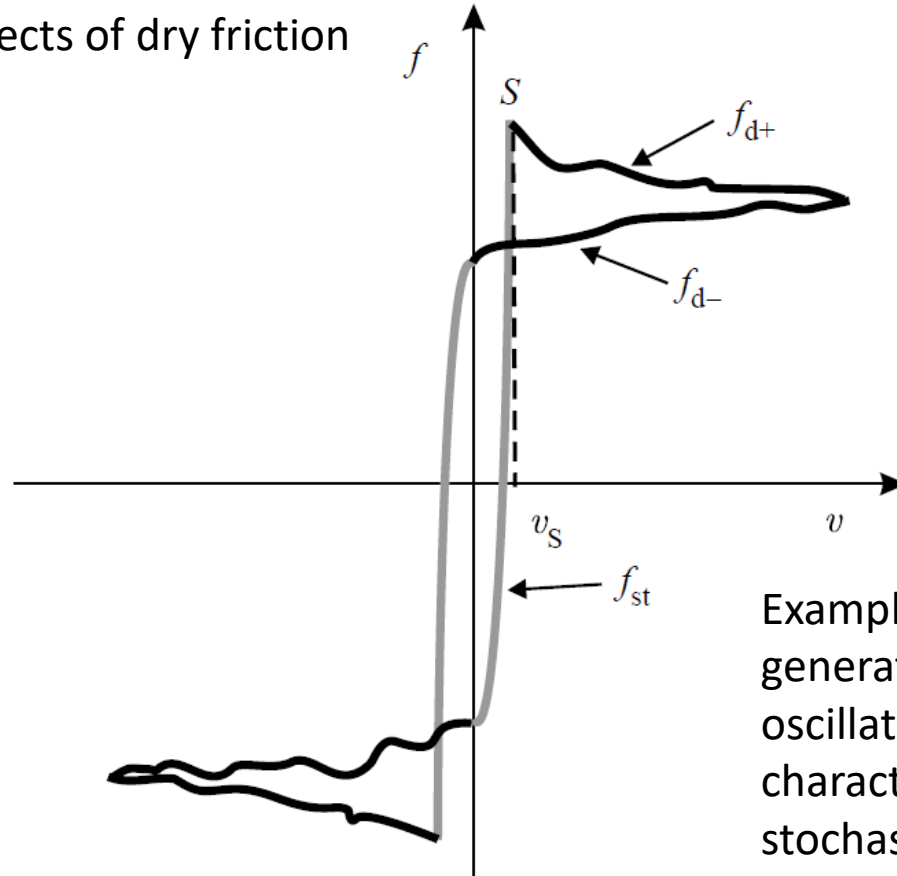


Typical compensation techniques for Coulomb friction and viscous damping



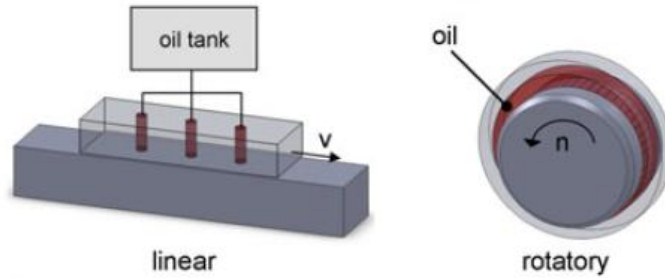
(a) Hysteretic effects of dry friction: contact compliance, (b) frictional memory and (c) non-reversible friction characteristic

Hysteretic effects of dry friction

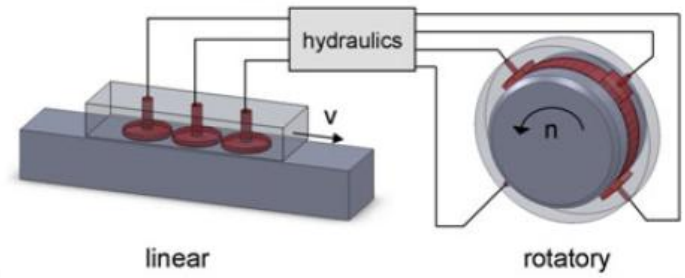


Example of dry friction characteristic generated during one cycle of oscillation. The perturbations of the characteristic's curves due to a stochastic component are visible.

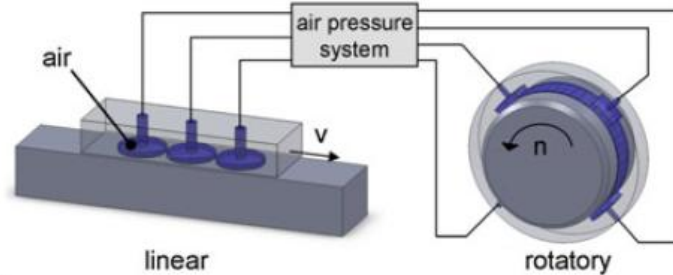
Hydrodynamic Bearing



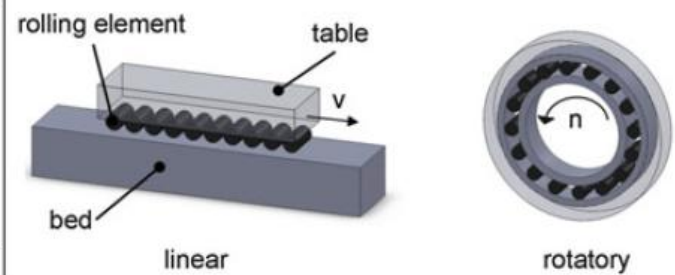
Hydrostatic Bearing



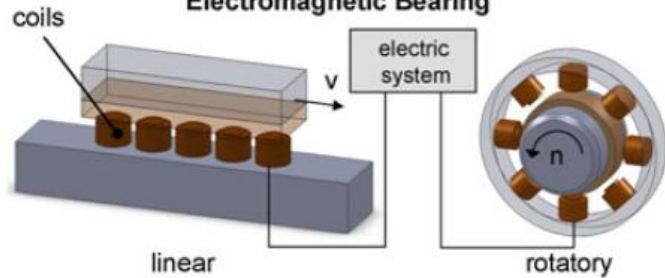
Aerostatic Bearing



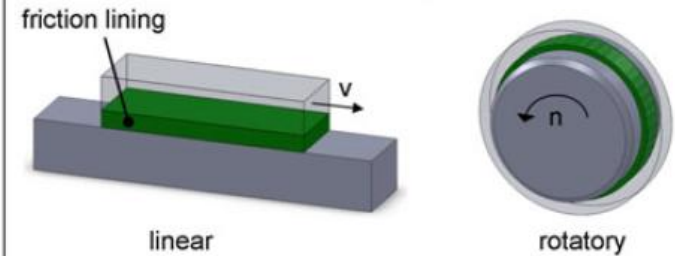
Rolling Bearing



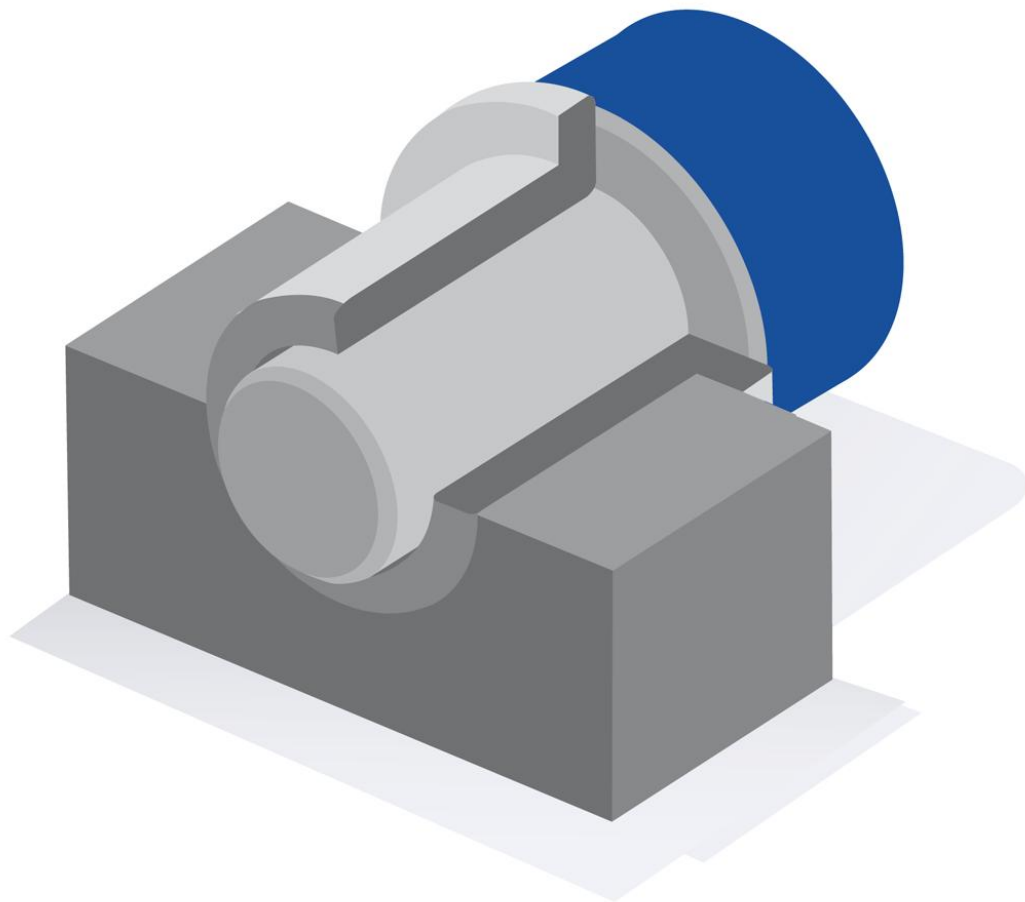
Electromagnetic Bearing

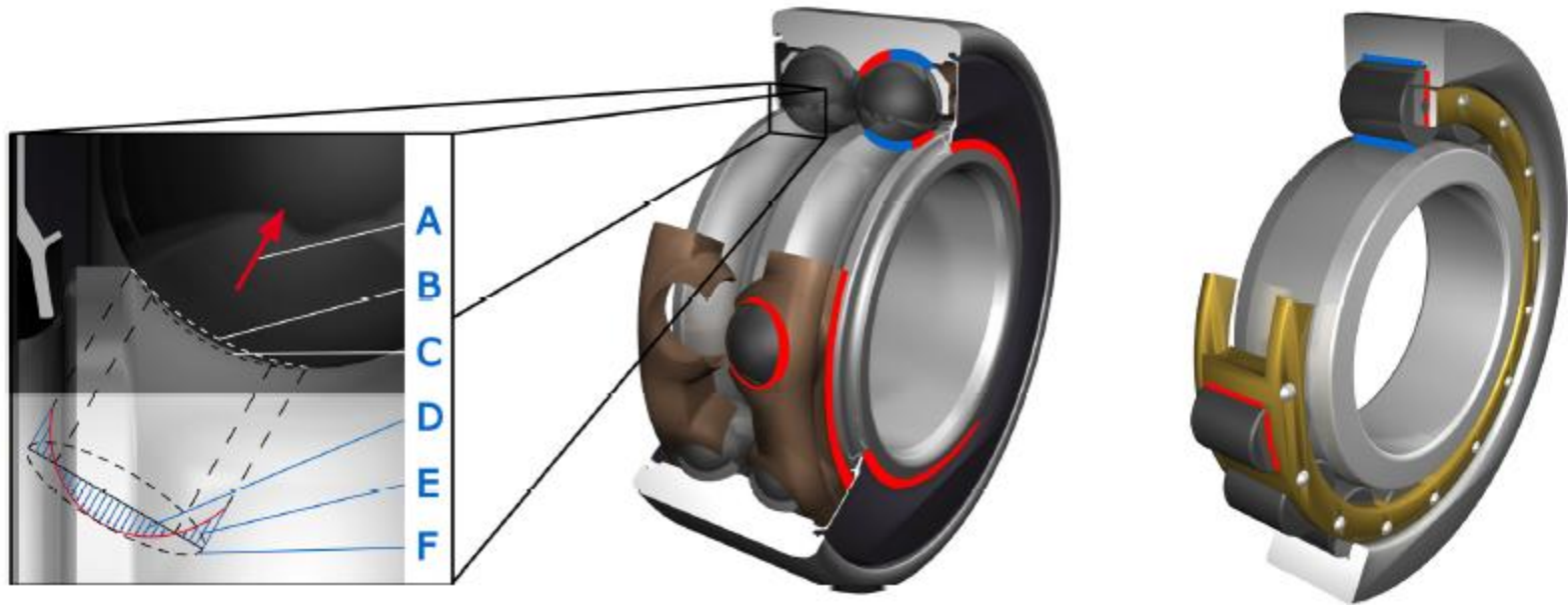


Slide Bearing



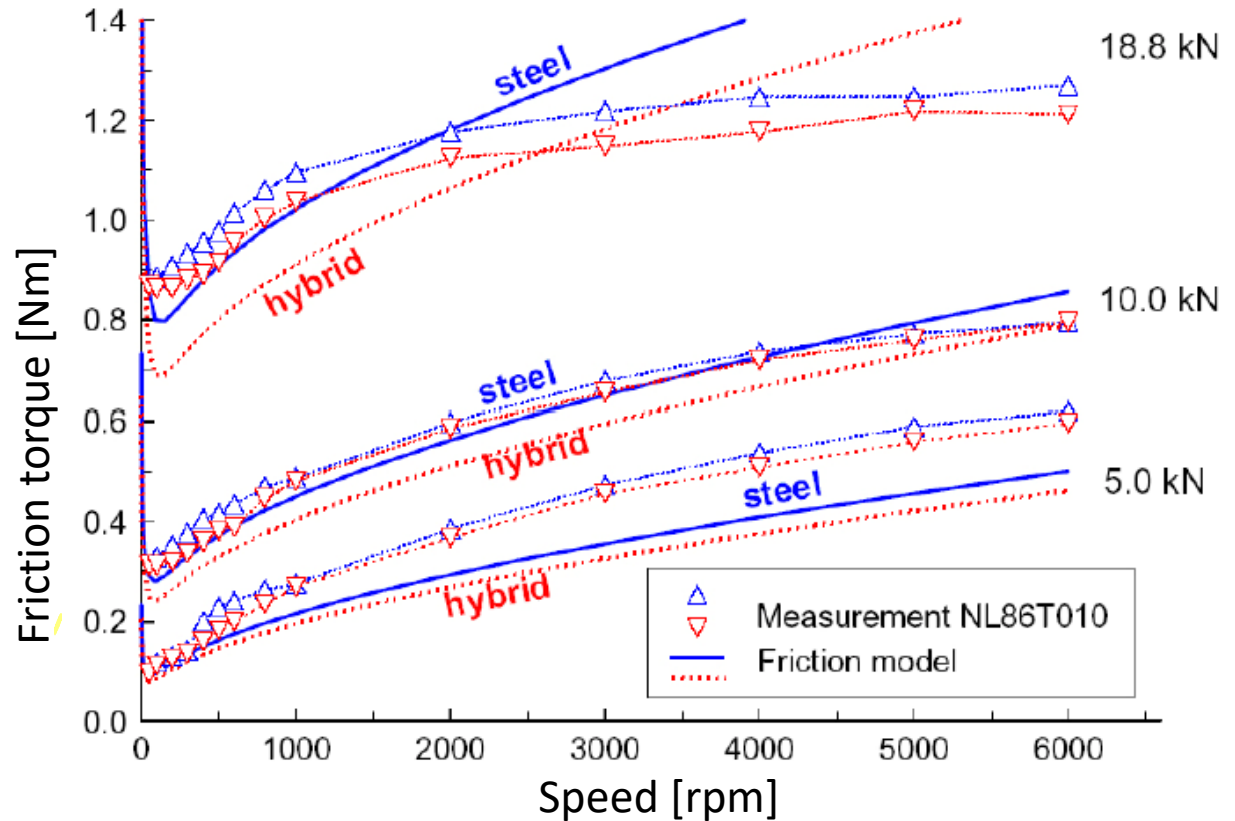
General bearing types





Rolling bearings and their sources of friction

$$F = F_{rf} + F_{sl} + F_{seal} + F_{drag}$$

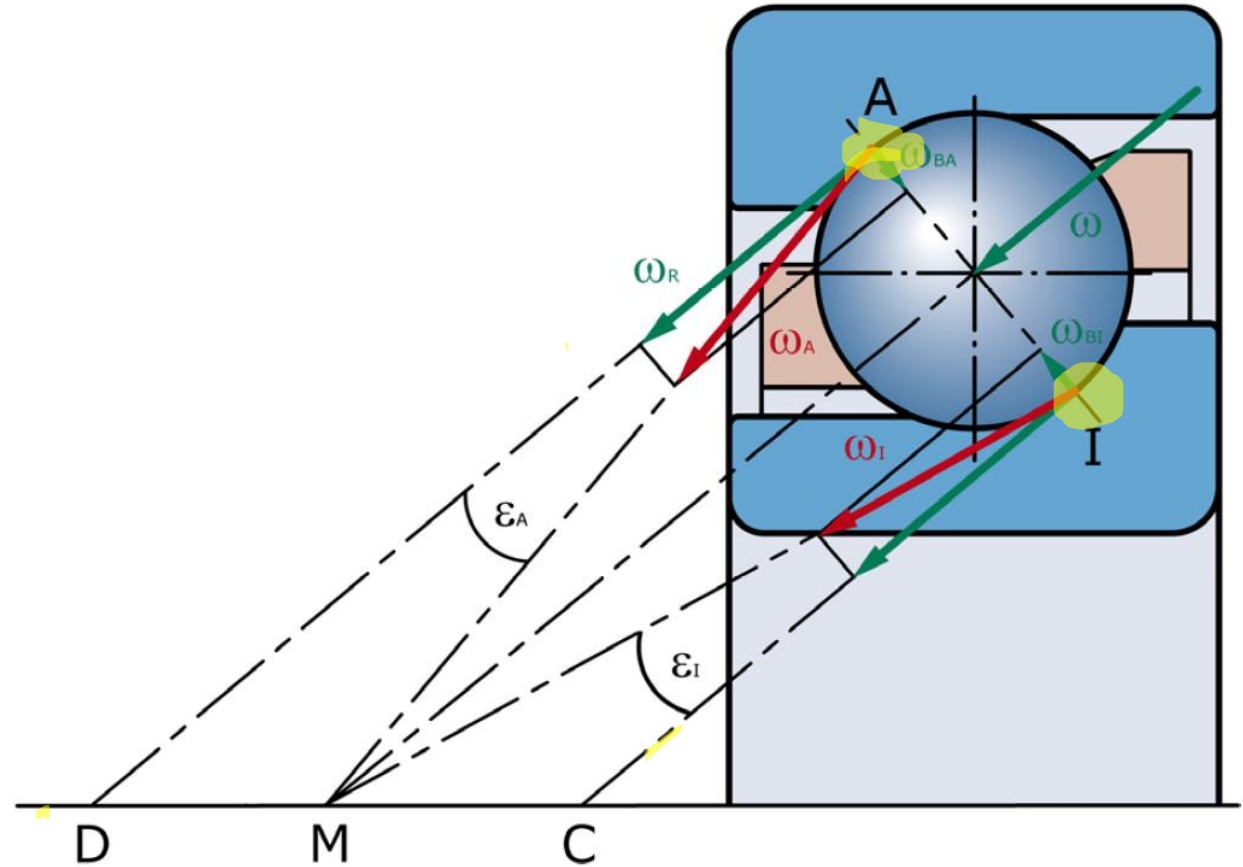


$$\omega_R = \omega_A \cos \varepsilon_A$$

$$\omega_B = \omega_A \sin \varepsilon_A$$

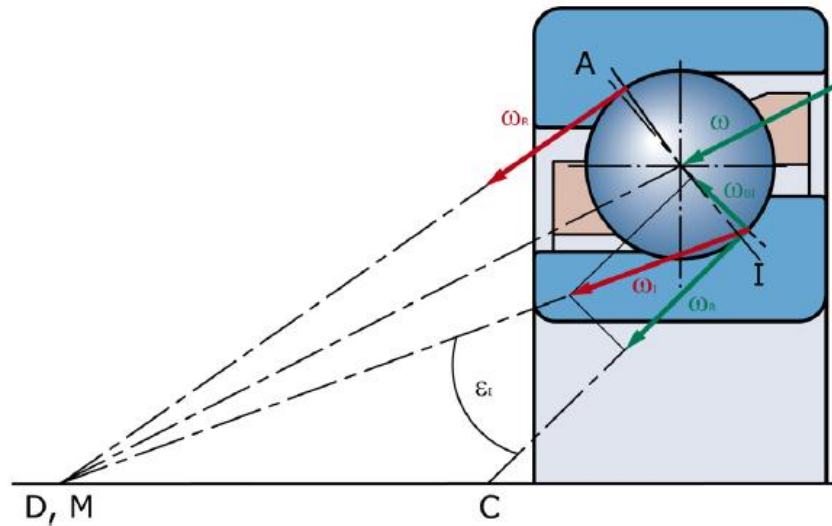
$$\varepsilon = \frac{\omega_B}{\omega_R}$$

$$\tan \varepsilon = 0$$

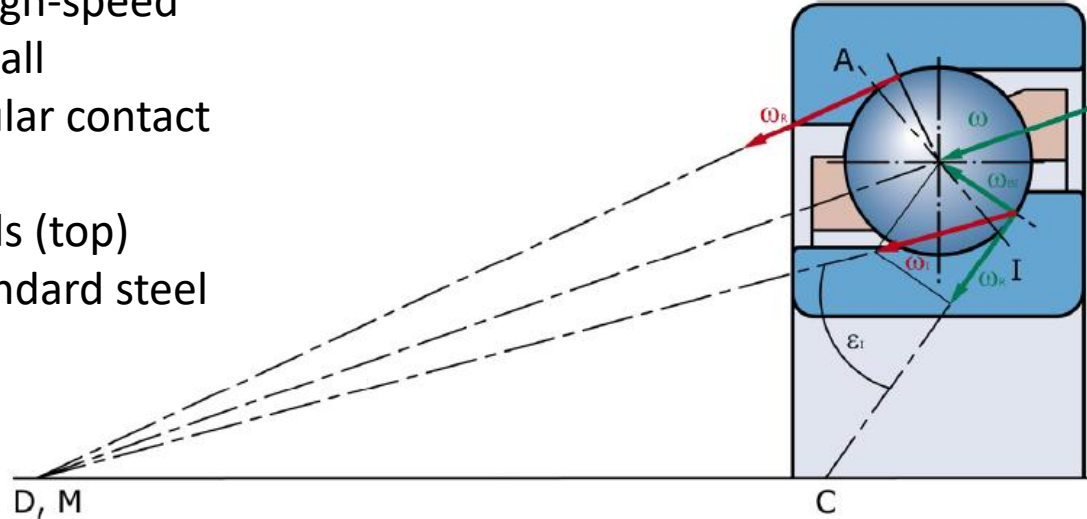


Kinematics of an angular contact ball bearing, in general

Hybrid Bearing



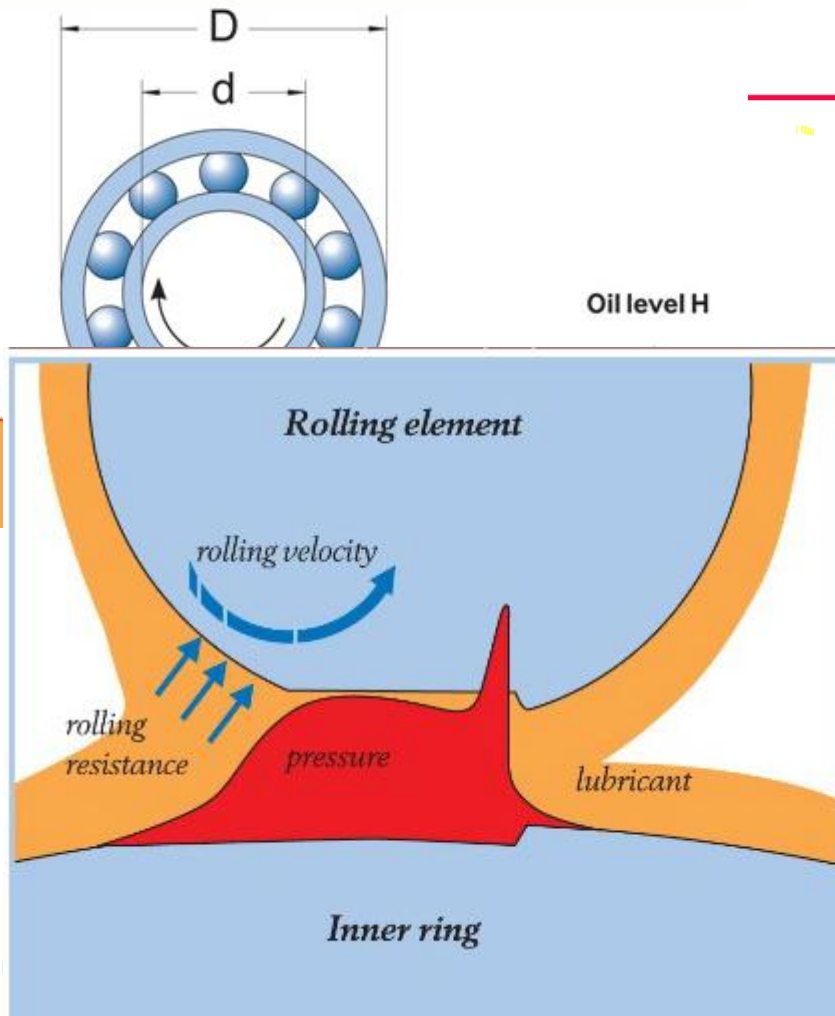
Standard Bearing

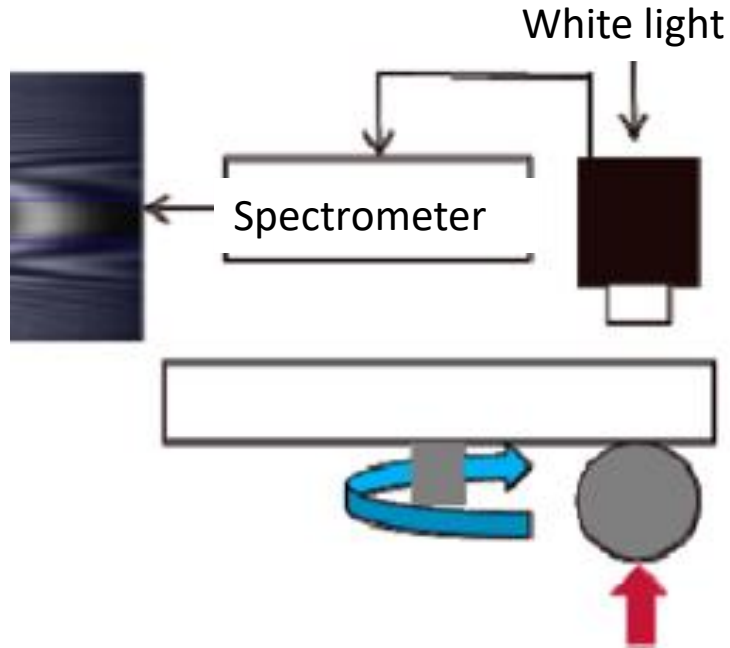
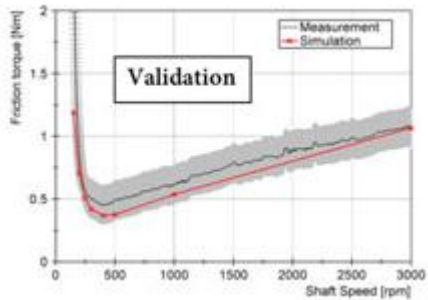
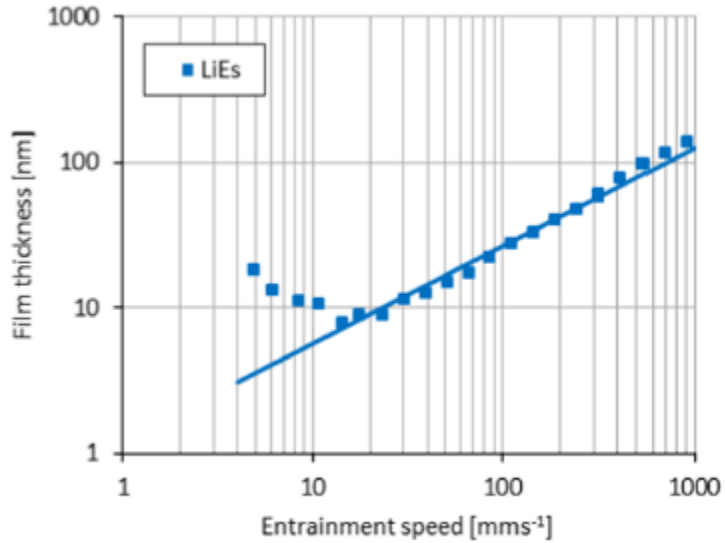


$$\epsilon_{I_silicon_nitride} < \epsilon_{I_steel}$$

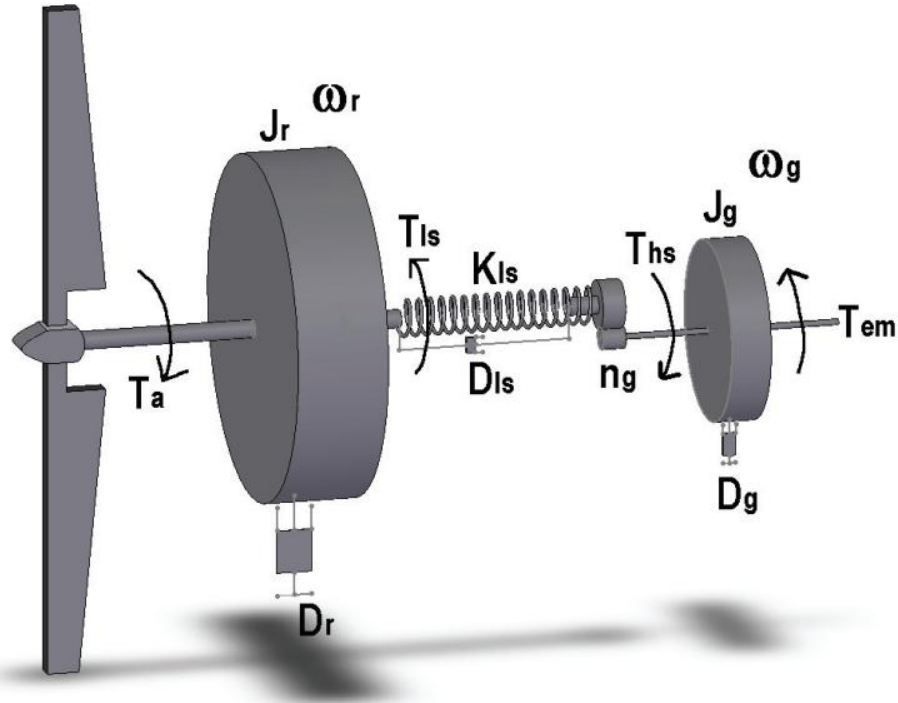
$$\epsilon = \frac{\omega_B}{\omega_R}$$

Kinematics for high-speed
with outer ring ball
guidance in angular contact
ball bearings
with ceramic balls (top)
compared to standard steel
balls (bottom)

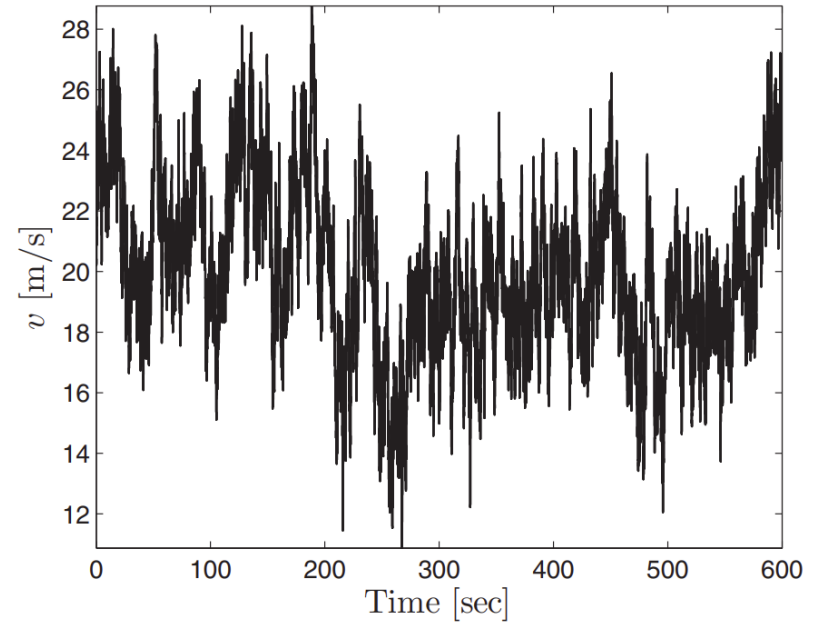




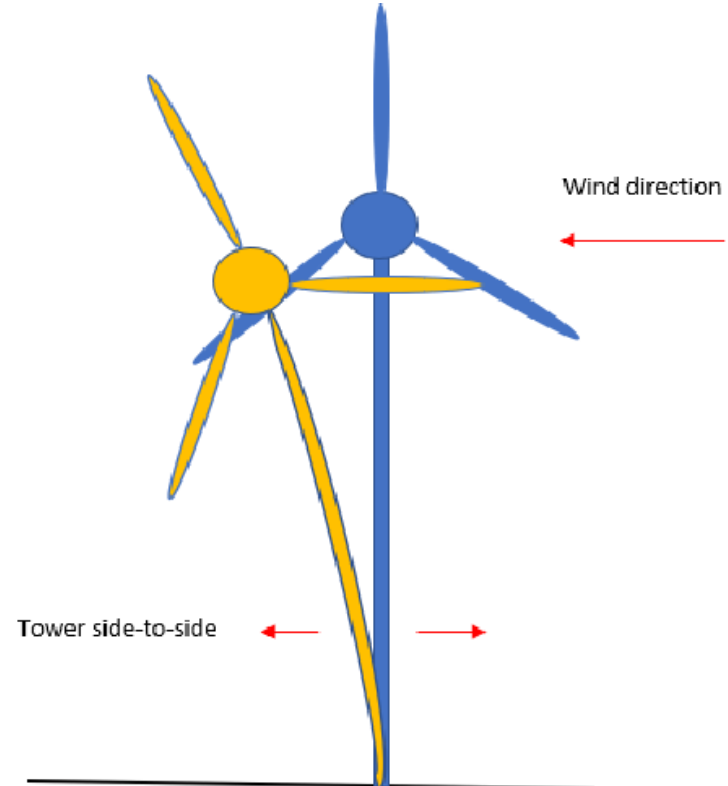
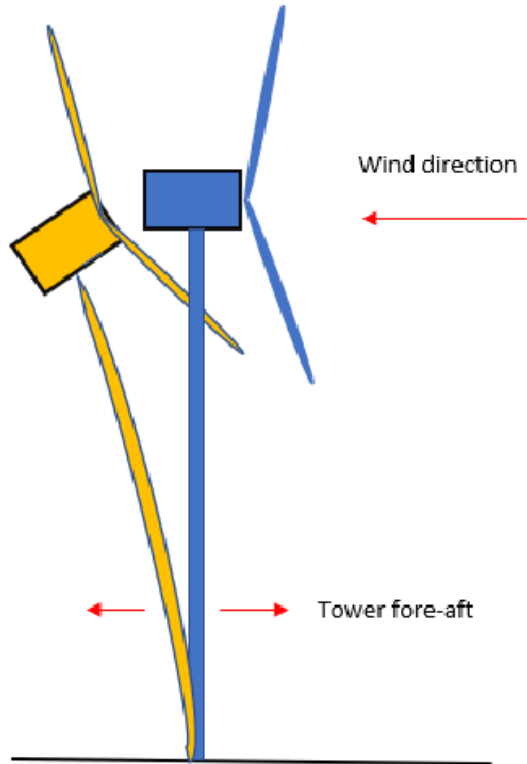
Schematic representation of film thickness



Two-mass wind turbine model



Wind speed profile of 20 m/s mean value



Converting transfer function to state space

$$\frac{d^n y}{dt^n} + a_{n-1} \frac{d^{n-1} y}{dt^{n-1}} + \dots + a_1 \frac{dy}{dt} + a_0 y = b \cdot u$$

for state-space variables we choose output y ($n-1$) derivatives

$$\begin{aligned} x_1 &= y \\ x_2 &= \dot{y} \\ x_3 &= \ddot{y} \\ &\vdots \\ x_n &= \frac{d^{n-1} y}{dt^{n-1}} \end{aligned}$$

take
derivative
 \Rightarrow

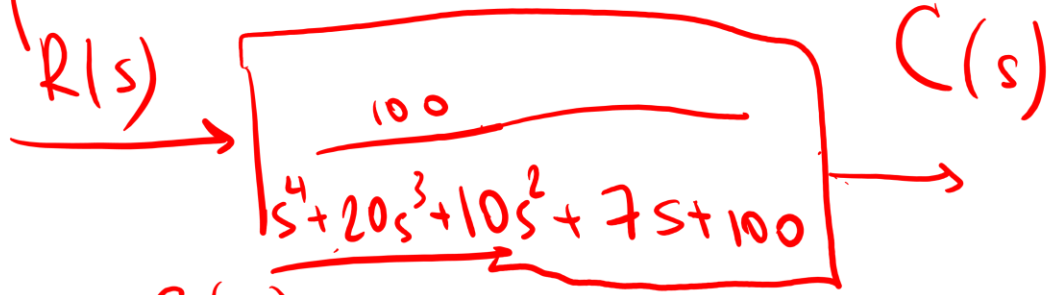
$$\begin{aligned} \dot{x}_1 &= \dot{y} = x_2 \\ \dot{x}_2 &= \ddot{y} = x_3 \\ \dot{x}_3 &= \dddot{y} = x_4 \\ &\vdots \\ \dot{x}_n &= \frac{d^n y}{dt^n} \end{aligned}$$

$$\begin{cases} \dot{x}_1 = x_2 \\ \dot{x}_2 = x_3 \\ \dot{x}_3 = x_4 \\ \vdots \\ \dot{x}_n = -a_n x_1 - a_1 x_2 - \dots - a_{n-1} x_n + b_0 u \end{cases}$$

$$\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \dot{x}_3 \\ \dot{x}_4 \\ \vdots \\ \dot{x}_n \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 & 0 & \dots & 0 \\ 0 & 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 0 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ -a_n & -a_1 & -a_2 & \dots & -a_{n-1} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ \vdots \\ x_n \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 0 \\ \vdots \\ b_0 \end{bmatrix} u$$

example

$R(s)$



output
input

$$\frac{C(s)}{R(s)} = \frac{100}{s^4 + 20s^3 + 10s^2 + 7s + 100}$$

denominator

$$(s^4 + 20s^3 + 10s^2 + 7s + 100) C(s) = 100 \cdot R(s)$$

diff. equation \rightarrow take \mathcal{L}^{-1}

$$c^4 + 20c^3 + 10c^2 + 7c + 100c = 100r$$

$$x_1 = c$$

$$x_2 = \dot{c}$$

$$x_3 = \ddot{c}$$

$$x_4 = \dddot{c}$$

take
derivatives
 \Rightarrow

$$\dot{x}_1 = \dot{c} = x_2$$

$$\dot{x}_2 = \ddot{c} = x_3$$

$$\dot{x}_3 = \dddot{c} = x_4$$

$$\dot{x}_4 = c^4 = -20x_4 - 10x_3 - 7x_2 - 100 + 100r$$

matrix form

$$\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \dot{x}_3 \\ \dot{x}_4 \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -100 & -7 & -10 & -20 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 0 \\ 100 \end{bmatrix} r$$

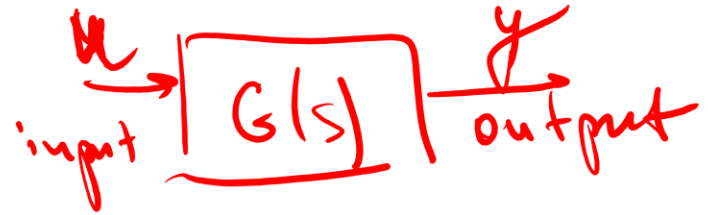
$$Y = \begin{bmatrix} 1 & 0 & 0 & 0 \end{bmatrix} \cdot \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix}$$

$C = x_3$
 output

(2×2) matrix

Converting state space to transfer function

$$\begin{cases} \dot{\bar{X}} = \bar{A} \bar{X} + \bar{B} \bar{U} & \textcircled{1} \\ \bar{Y} = \bar{C} \bar{X} & \textcircled{2} \end{cases}$$



take Laplace eq $\textcircled{1}$ with init cond = 0

$$s \cdot \bar{X}(s) = \bar{A} \bar{X}(s) + \bar{B} \bar{U}(s)$$

$\leftarrow \text{I} \cdot s \bar{X}(s)$

\bar{I} diagonal $\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$

$$(s\bar{I} - \bar{A}) \cdot \bar{X}(s) = \bar{B} \bar{U}(s)$$

solve for $\bar{X}(s)$

$$\bar{X}(s) = (s\bar{I} - \bar{A})^{-1} \bar{B} \bar{U}(s) \quad (3)$$

take Laplace of (2)

$$\bar{Y}(s) = \bar{C} \bar{X}(s) \quad (4)$$

transfer function $G(s) = \frac{\bar{Y}(s)}{\bar{U}(s)} \Rightarrow$

$$(3) \xrightarrow{\text{into}} (4) \quad \bar{Y}(s) = \bar{C} \left[(s\bar{I} - \bar{A})^{-1} \bar{B} \bar{U}(s) \right]$$

$$\bar{G}(s) \Rightarrow \bar{G}(s) \cdot \bar{u}(s) = \bar{y}(s)$$

$$\Rightarrow \frac{\bar{y}(s)}{\bar{u}(s)} = \bar{G}(s) = \underbrace{\bar{c} (s\bar{I} - \bar{A})^{-1} \bar{B}}_{\text{matrix}}$$

example : find TF

$$\dot{x} = \begin{bmatrix} -3 & 1 \\ -2 & 0 \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \end{bmatrix} u; \quad y = \begin{bmatrix} 1 & 0 \end{bmatrix} x$$

$\quad \quad \quad A \quad \quad \quad B \quad \quad \quad C$

$$s\bar{I} - \bar{A} = \begin{bmatrix} s & 0 \\ 0 & s \end{bmatrix} - \begin{bmatrix} -3 & -1 \\ -2 & 0 \end{bmatrix} = \begin{bmatrix} s+3 & -1 \\ 2 & s \end{bmatrix}$$

$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$

$$G(s) = \bar{C} (s\bar{I} - \bar{A})^{-1} \bar{B}$$

$$(s\bar{I} - \bar{A})^{-1} = \begin{bmatrix} \frac{s}{s^2 + 3s + 2} & \frac{1}{s^2 + 3s + 2} \\ \frac{-2}{s^2 + 3s + 2} & \frac{s+3}{s^2 + 3s + 2} \end{bmatrix}$$

$$G(s) = \begin{bmatrix} 1 & 0 \end{bmatrix} \begin{bmatrix} \frac{s}{s^2+3s+2} \\ \frac{-2}{s^2+3s+2} \\ \dots \end{bmatrix} \begin{bmatrix} \frac{1}{s^2+3s+2} \\ \frac{s+3}{s^2+3s+2} \\ \dots \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

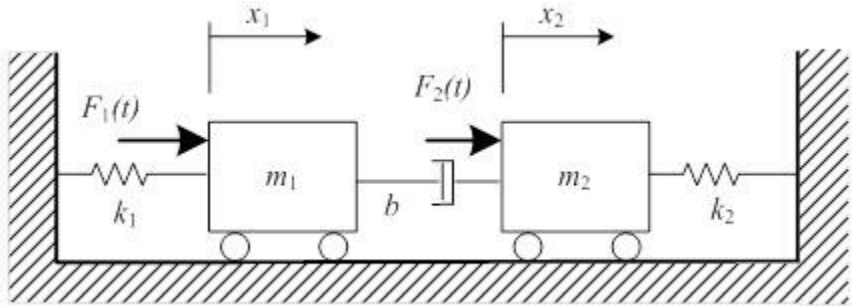
first

$$G(s) = \begin{bmatrix} 1 & 0 \end{bmatrix} \cdot \begin{bmatrix} \frac{1}{s^2+3s+2} \\ \frac{s+3}{s^2+3s+2} \end{bmatrix} = \frac{1}{s^2+3s+2} = \frac{1}{(s+2)(s+1)}$$

answer

Test 4

system with two masses, two springs, a damper, and two forces, as shown



Your HDU number.....

Your Name.....

1) The equations of motion

ADD your answer

2) The state-space equations

(can be developed from these with the states being the original coordinates as well as their derivatives - in other words, the positions and velocities of the masses.)

ADD your answer

3) Matrices C and D. Choose the outputs to be the position of each mass.

(Note that the third and fourth states are the velocity of mass 1 and mass 2, respectively.)

$$\begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \text{ADD your answer}$$

Thank you!

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