# Landsat 8 Collection 1 (C1) Land Surface Reflectance Code (LaSRC) Product Guide

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#### **Executive Summary**

This document describes relevant characteristics of the Collection 1 (C1) Landsat 8 (L8) Level 2 (L2) Surface Reflectance (SR), Top of Atmosphere (TOA) Reflectance, and TOA Brightness Temperature (BT) products to facilitate their use in the land remote sensing community.

SR and TOA Reflectance are derived from C1 L8 Operational Land Imager (OLI) Level 1 (L1) data; TOA BT is derived from C1 L8 OLI and Thermal Infrared Sensor (TIRS) L1 data using the Landsat Collection 1 Land Surface Reflectance Code (LaSRC).

Information about SR processing of C1 Landsat 4-5 Thematic Mapper (TM) and C1 Landsat 7 Enhanced Thematic Mapper Plus (ETM+) data can be found in the <u>Landsat 4-7 Collection 1 Ecosystem Disturbance Adaptive Processing System (LEDAPS)</u>
Product Guide.

Other processing options, such as spectral indices, format conversion, spatial subset, and/or coordinate system reprojection are described in other product guides and web pages.

This document is under Landsat Satellites Data System (LSDS) Configuration Control Board (CCB) control. Please submit changes to this document, as well as supportive material justifying the proposed changes, via Change Request (CR) to the Process and Change Management Tool.

## **Document History**

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#### 1.1 Background

Landsat satellite data have been produced, archived, and distributed by the U.S. Geological Survey (USGS) since 1972. Users rely upon these data for conducting historical studies of land surface change but have shouldered the burden of post-production processing to create applications-ready data sets. To alleviate this burden, the USGS has initiated an effort to produce a collection of Landsat Science Products to support land surface change studies. These products include terrestrial variables such as Surface Reflectance (SR), Provisional Surface Temperature (ST), Burned Area (BA), Fractional Snow Covered Area (fSCA), and Dynamic Surface Water Extent (DSWE) that are suitable for monitoring, assessing, and predicting land surface change over time.

Landsat 8 (L8) Collection 1 (C1) SR products are generated from specialized software called Land Surface Reflectance Code (LaSRC). The original LaSRC algorithm was developed by Dr. Eric Vermote, National Aeronautics and Space Administration (NASA) Goddard Space Flight Center (GSFC) and was modified by staff at USGS Earth Resources Observation and Science (EROS) Center. LaSRC generates Top of Atmosphere (TOA) Reflectance and TOA Brightness Temperature (BT) using calibration parameters from the metadata. Atmospheric correction routines are then applied to Landsat TOA Reflectance data, using auxiliary input data such as water vapor and ozone retrieved from Moderate Resolution Imaging Spectroradiometer (MODIS), and digital elevation derived from the Earth Topography Five Minute Grid (ETOP05) to generate SR. The result is delivered as the C1 Landsat SR product.

Visit the C1 LaSRC Release Notes for more information pertaining to algorithm updates.



Figure 1-1. Example of LaSRC Atmospheric Correction: Left, Top of Atmosphere Reflectance Image: Right, Surface Reflectance Image

Figure 1-1 shows a comparison of a TOA Reflectance composite (Bands 4,3,2), and a SR composite image of Seattle, WA, using data acquired by Landsat 8 (Path 47 Row 27) on October 14, 2013. Both images are linearly scaled from  $\rho$ =0.0 to 0.15.

The C1 LaSRC algorithm is distinctly different from the algorithm used to process C1 Landsat 4-5 Thematic Mapper (TM) and Landsat 7 Enhanced Thematic Mapper Plus (ETM+) L1 data to SR, known as the Landsat Ecosystem Disturbance Adaptive Processing System (LEDAPS). Details of these differences are described in Table 1-1.

Parameter	LEDAPS	LaSRC		
Instrument	Landsat 4–5, Landsat 7	Landsat 8 OLI/TIRS		
(Original) research grant	NASA GSFC, MEaSUREs (Masek)	NASA GSFC		
Global coverage	Yes	Yes		
TOA Reflectance	Visible (Bands 1–5,7)	Visible (Bands 1–7, 9)		
TOA Brightness Temperature	Thermal (Band 6)	Thermal (Bands 10 & 11 TIRS)		
SR	Visible (1–5, 7) bands	Visible (Bands 1–7) (OLI only)		
Thermal bands used in SR processing?	Yes (Brightness temperature Band 6 is used in cloud estimation)	No		
Radiative transfer model	6S	Internal algorithm		
Thermal correction level	TOA only	TOA only		
Thermal band units	Kelvin	Kelvin		
Pressure	NCEP Grid	Surface pressure is calculated internally based on the elevation		
Water vapor	NCEP Grid	MODIS CMA		
Air temperature	NCEP Grid	Not used		
DEM	ETOPO5	ETOPO5		
Ozone	OMI/TOMS	MODIS CMG Coarse resolution ozone		
АОТ	Correlation between chlorophyll absorption and bound water absorption of scene	Internal algorithm		
Sun angle	Scene center from input metadata	Scene center from input metadata		
View zenith angle	From input metadata	Hard-coded to 0		
Undesirable zenith angle	SR not processed when solar zenith	SR not processed when solar zenith		
correction	angle > 76 degrees	angle > 76 degrees		
Pan band processed?	No	No		
XML metadata?	Yes	Yes		
Brightness temperature calculated	Yes (Band 6 TM/ETM+)	Yes (Bands 10 & 11 TIRS)		
Cloud mask	Internal algorithm; CFmask	Internal algorithm; CFmask		
Data format	INT16	INT16		
Fill values	-9999	-9999		
QA bands	Cloud Adjacent cloud Cloud shadow DDV Fill	Cloud Adjacent cloud Cloud shadow Aerosols Cirrus		
	Land water Snow Atmospheric opacity	Aerosol Interpolation Flag		

6S = Second Simulation of a Satellite Signal in the Solar Spectrum, AOT = Aerosol Optical Thickness, CFMask = C Version of Function Of Mask, CMA = Climate Modeling Grid - Aerosol, CMG = Climate Modeling Grid, DDV = Dark Dense Vegetation, DEM = Digital Elevation Model, ETM+ = Enhanced Thematic Mapper Plus, ETOPO5 = The Earth Topography Five Minute Grid, GSFC = Goddard Space Flight Center, INT = Integer, LaSRC = Land Surface Reflectance Code, LEDAPS = Landsat Ecosystem Disturbance Adaptive Processing System, MEaSUREs = Making Earth Science Data Records for Use in Research Environments, MODIS = Moderate Resolution Imaging Spectroradiometer, NA = Not Applicable, NASA = National Aeronautics and Space Administration, NCEP = National Centers for Environmental Prediction, OLI = Operational Land Imager, OMI = Ozone Monitoring Instrument, QA = Quality Assessment, SR = Surface Reflectance, TIRS = Thermal Infrared Sensor, TM = Thematic Mapper, TOA = Top of Atmosphere Reflectance, TOMS = Total Ozone Mapping Spectrometer, XML = Extensible Markup Language

Table 1-1. Differences Between Collection 1 Landsat 4–7 and Land Surface Reflectance Algorithms

#### 1.2 Document Organization

This document contains the following sections:

- Section 1 provides an introduction
- Section 2 describes known issues
- Section 3 provides an explanation of caveats and constraints
- Section 4 provides details on product access
- Section 5 provides details on product packaging
- Section 6 provides an explanation of product characteristics
- Section 7 provides auxiliary data
- Section 8 provides document citation information
- Section 9 provides document acknowledgements
- Section 10 provides User Services contact information
- Appendix A provides Collection 1 Level 2 default file characteristics
- Appendix B provides example metadata files
- Appendix C provides a list of acronyms
- Appendix D provides the document change history
- The References section contains a list of reference documents

#### Section 2 Known Issues

The missing Climate Modeling Grid (CMG) aerosol values can cause a "blockiness" artifact in SR products. To prevent this, LaSRC interpolates missing aerosol grid values to fit continuously within the Landsat grid cells. While making the resulting data product appear consistent, interpolated values are not direct measurements. Therefore, a Quality Assessment (QA) band (sr\_aerosol) is provided with the SR product that shows whether the aerosol was a valid retrieval, or it was interpolated from the surrounding grid points.

Previous interpolation issues along coastal water bodies led us to implement a land/water mask to better identify coastal waters, since aerosols were not being retrieved over coastal waters. This resulted in significant blockiness along the coastal areas. Given the change in the new version of LaSRC to use a separate algorithm for pixels identified as water, the coastal water mask has been removed from the processing stream.

However, some low-radiance speckling still appears over water in some SR bands. Shown in Figure 2-1, the speckling exists over water in Band 7 (shortwave infrared 2). The images were created using Landsat 8 data (Path 13, Row 10) acquired on March 30, 2013.

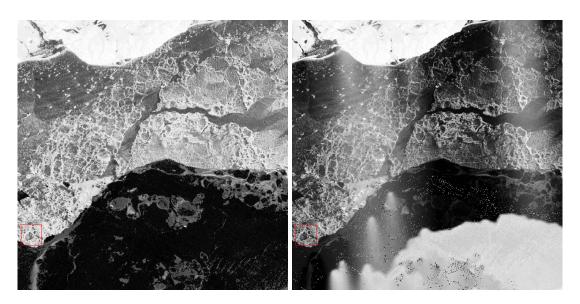


Figure 2-1. Example of Speckling in Surface Reflectance Bands (right), with Top of Atmosphere (TOA) Reflectance (left) Shown for Reference

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#### **Section 3** Caveats and Constraints

- 1. SR can be processed on Landsat Collection 1 scenes within 3-5 days of acquisition.
- 2. Corrections from OLI Bands 1 and 2 (coastal aerosol and blue bands, respectively) should not be used for analysis, as they are already used within the algorithm to perform aerosol inversion tests, making them potentially unreliable.
- 3. The following date range apply to the availability of Landsat archive for SR processing, with the exceptions noted in #4 below:
  - L8 OLI/ TIRS: February 2013 to within one week of present
- 4. L8 data cannot be processed to SR between specific dates. More information pertaining to the auxiliary data characteristics and availability is shown in Section 7. The most recent information regarding data gaps can be found in the "Caveats and Constraints" section of <a href="https://www.usgs.gov/land-resources/nli/landsat/landsat/surface-reflectance">https://www.usgs.gov/land-resources/nli/landsat/landsat/surface-reflectance</a>.
- 5. Aerosol retrieval is attempted over all pixels, though a separate routine is used for pixels flagged by LaSRC as water. These conditions are detailed in the Aerosol QA band (Section 6.1.2).
- 6. SR cannot be run on L8 Pre-Worldwide Reference System 2 (WRS-2) scenes. More information about Pre-WRS-2 scenes can be found at <a href="https://www.usgs.gov/land-resources/nli/landsat/landsat-8">https://www.usgs.gov/land-resources/nli/landsat/landsat-8</a>.
- 7. TOA Reflectance data are derived using per-pixel solar illumination angles generated from the angle coefficient file. Previously, the scene center solar illumination angle from the Metadata text file extension (MTL) file was used. This will impact the SR products, as they are derived from TOA Reflectance.
  - This should ideally improve the accuracy of the TOA Reflectance and subsequent SR corrections.
  - Scene center solar illumination and sensor view angles (i.e., not per-pixel) are still used in the SR processing, as the Lookup Table routines are called on a grid that is spatially coarser than the resolution of the Landsat data, therefore not necessitating per-pixel angle information.
- 8. L8 Real-Time (RT) Collection 1 data can be processed to SR once the auxiliary data become available. Note that RT data will not have finalized geometric or radiometric processing, so the follow-on processing to place the data in Tier 1 (T1) or Tier 2 (T2) categories (approx. 14 days after acquisition) will likely be different than the RT data. See <a href="https://www.usgs.gov/land-resources/nli/landsat/landsat-collection-1">https://www.usgs.gov/land-resources/nli/landsat/landsat-collection-1</a> for more information on the differences between RT and T1/T2 data sets.
- 9. L8 TIRS-only data (LT08) cannot be processed to TOA Brightness Temperature.
- 10. SR is not run on scenes with a solar zenith angle of greater than 76°. The primary physical issues with retrieving SR from high solar zenith angles (low sun angle) include:

- Solar elevation varies more near the poles [1], especially when relying upon sunsynchronous observations.
- Lower solar elevations at high latitudes results in longer atmospheric paths (i.e., more scattering) [1].
- The degree of uncertainty in SR retrieval greatly increases, from being negligible to highly inaccurate, at solar zenith angle > 76 degrees.

References: [1] Campbell, J.W., & Aarup, T. (1989). Photosynthetically available radiation at high latitudes. Limnology and Oceanography, 34(8), 1490-1499. <a href="http://dx.doi.org/10.4319/lo.1989.34.8.1490">http://dx.doi.org/10.4319/lo.1989.34.8.1490</a>.

- 11. For reasons mentioned above, users are cautioned against processing data acquired over high latitudes (> 65°) to SR.
- 12. Users are cautioned against using pixels flagged as high aerosol content. See Section 6.1.2 for details.
- 13. There are additional adverse conditions that can affect the efficacy of L8 SR retrievals, such as:
  - Hyper-arid or snow-covered regions
  - Low sun angle conditions
  - Coastal regions where land area is small relative to adjacent water
  - Areas with extensive cloud contamination
- 14.L8 OLI Band 8 (panchromatic band) is not processed to TOA reflectance or SR.

#### Section 4 Product Access

Processing requests for L8 SR products can be submitted through <u>EarthExplorer</u> (EE), under the "Data Sets" tab > "Landsat" > "Landsat Collection 1 Level 2 (On Demand)", then "Landsat 8 OLI/TIRS C1 Level 2".

The USGS Earth Resources Observation and Science (EROS) Center Science Processing Architecture (ESPA) On-Demand Interface (<a href="https://espa.cr.usgs.gov/">https://espa.cr.usgs.gov/</a>) offers SR, in addition to Input L1 Products and Metadata, TOA Reflectance, TOA Brightness Temperature, NDVI, NDMI, NBR, NBR2, SAVI, MSAVI, and EVI data products. Services such as reprojection, spatial subsetting, and pixel resizing are also available.

Additional information about ESPA's spectral indices and service processing options for L4–8 can be found on the <u>Landsat Surface Reflectance Spectral Indices</u> page and in the <u>ESPA On-Demand Interface User Guide</u>.

#### **Product Packaging** Section 5

Landsat C1 SR products are supplied in a gzip file (.tar.gz). Unzipping this file produces a tarball (.tar), which will "untar" to a Georeferenced Tagged Image File Format (GeoTIFF; .tif) file. The filenames are structured as the L1 product identifier (productID) appended with the suffix " sr " followed by a band designation to denote the SR transformation.

Following are the components of a typical C1SR file:

ext

```
LXSS_LLLL_PPPRRR_YYYYMMDD_yyyymmdd_CX_TX_prod_band.ext
(e.g., LC08_L1TP_039037 20150728 20160918 01 T1 sr band1.tif)
```

```
L
      Landsat
Χ
      Sensor ("O" = OLI; "T" = TIRS; "C" = OLI/TIRS)
SS
      Satellite ("08" = Landsat 8)
LLLL Processing correction level ("L1TP" = Precision Terrain; "L1GT" =
      Systematic Terrain; "L1GS" = Systematic)
PPP Path
RRR Row
YYYY Year of acquisition
      Month of acquisition
MM
      Day of acquisition
DD
yyyy Year of L1 processing
      Month of L1 processing
mm
      Day of L1 processing
dd
CX
      Collection number ("01")
      Collection category ("RT" = Real-Time; "T1" = Tier 1; "T2" = Tier 2)
TX
prod Product, such as "toa", "bt", or "sr"
band Band, such as "band<1-11>," "qa," or spectral index.
      File format extension, such as "tif", "tfw", "xml", "hdf", "hdr", "nc", or "img"
```

#### **Section 6 Product Characteristics**

Landsat Collection 1 L1 data are described on <a href="https://www.usgs.gov/land-resources/nli/landsat/landsat-level-1-processing-details">https://www.usgs.gov/land-resources/nli/landsat/landsat-level-1-processing-details</a>. The characteristics of C1 L2 SR, TOA Reflectance, and TOA BT products are described in the following sections.

#### 6.1 SR Specifications

L8 SR products are generated at 30-meter spatial resolution on a Universal Transverse Mercator (UTM) or Polar Stereographic (PS) mapping grid. The default file format is GeoTIFF, but options for delivery in Hierarchical Data Format – Earth Observing System – 2 (HDF-EOS-2; .hdf), Network Common Data Form (.NetCDF) or Exelis Visual Information Solutions (ENVI) binary (.img) are available through the ESPA Ordering Interface. More information on output formats can be found in the ESPA On Demand Interface User Guide.

L8 SR are delivered in files named with the productID and appended with "\_sr\_" followed by a band designation. All packages include Extensible Markup Language (xml)-based metadata.

The SR bands are delivered in separate, condition-specific files, with the exception of the Aerosol QA band, which is delivered in a single bit-packed layer (For more details on Aerosol QA band see Section 6.1.2). Table 6-1 lists the specifications for the bands included in a SR data file. Table 6-2 describes the bit assignments for the pixel\_qa band. The pixel\_qa bit values are given in Table 6-3, and shown in greater detail in Table 6-4.

Band Designation	Band Name	Data Type	Units	Range	Valid Range	Fill Value	Saturate Value	Scale Factor
ProductID_sr_band1	Band 1	INT16	Reflectance	-2000 – 16000	0 - 10000	-9999	20000	0.0001
ProductID_sr_band2	Band 2	INT16	Reflectance	-2000 – 16000	0 - 10000	-9999	20000	0.0001
ProductID_sr_band3	Band 3	INT16	Reflectance	-2000 – 16000	0 - 10000	-9999	20000	0.0001
ProductID_sr_band4	Band 4	INT16	Reflectance	-2000 – 16000	0 - 10000	-9999	20000	0.0001
ProductID_sr_band5	Band 5	INT16	Reflectance	-2000 – 16000	0 - 10000	-9999	20000	0.0001
ProductID_sr_band6	Band 6	INT16	Reflectance	-2000 – 16000	0 - 10000	-9999	20000	0.0001
ProductID_sr_band7	Band 7	INT16	Reflectance	-2000 – 16000	0 - 10000	-9999	20000	0.0001
ProductID_pixel_qa	Level 2 Pixel Quality Band	UINT16	Bit Index	0-32768	0-32768	1 (bit 0)	NA	NA
ProductID_sr_aerosol	Aerosol QA	UINT8	Bit Index	0 - 255	0 - 255	NA	NA	NA
ProductID_radsat_qa	Radiometric Saturation QA	UINT16	Bit Index	0-32768	0-3839	1 (bit 0)	NA	NA
ProductID_MTL.txt	Level 1 Metadata file	NA	NA	NA	NA	NA	NA	NA

Band Designation	Band Name	Data Type	Units	Range	Valid Range	Fill Value	Saturate Value	Scale Factor
ProductID_ANG.txt	Level 1 Angle Coefficient file	NA	NA	NA	NA	NA	NA	NA

INT16 = 16-bit signed integer, UINT8 = 8-bit unsigned integer, QA = quality assessment, CFMask = C version of Function of Mask, NA = not applicable

Table 6-1. Surface Reflectance Specifications

Bit	Bit Value	Cumulative Sum	Attribute
0	1	1	Fill
1	2	3	Clear
2	4	7	Water
3	8	15	Cloud Shadow
4	16	31	Snow
5	32	63	Cloud
6	64	127	Cloud Confidence 00 = None 01 = Low
7	128	255	10 = Medium 11 = High
8	256	511	Cirrus Confidence 00 = Not set 01 = low from OLI Band 9 reflectance
9	512	1023	10 = medium from OLI Band 9 reflectance (Not used) 11 = high from OLI Band 9 reflectance
10	1024	2047	Terrain Occlusion
11	2048	4095	Unused
12	4096	8191	Unused
13	8192	16383	Unused
14	16384	32767	Unused
15	32768	65535	Unused

Table 6-2. Landsat 8 Pixel Quality Assessment (pixel\_qa) Bit Index

Attribute	Pixel Value
Fill	1
Clear	322, 386, 834, 898, 1346
Water	324, 388, 836, 900, 1348
Cloud shadow	328, 392, 840, 904, 1350
Snow/ice	336, 368, 400, 432, 848, 880, 912, 944, 1352
Cloud	352, 368, 416, 432, 480, 864, 880, 928, 944, 992
Low confidence cloud	322, 324, 328, 336, 352, 368, 834, 836, 840, 848, 864, 880
Medium confidence cloud	386, 388, 392, 400, 416, 432, 898, 900, 904, 928, 944
High confidence cloud	480, 992
Low confidence cirrus	322, 324, 328, 336, 352, 368, 386, 388, 392, 400, 416, 432, 480
High confidence cirrus	834, 836, 840, 848, 864, 880, 898, 900, 904, 912, 928, 944, 992
Terrain occlusion	1346, 1348, 1350, 1352

Table 6-3. Landsat 8 Pixel Quality Assessment (pixel\_qa) Values

Pixel Value	Fill	Clear	Water	Cloud Shadow	Snow	Cloud	Cloud Confidence	Cirrus Confidence	Terrain Occlusion	Pixel Description
1	Yes	No	No	No	No	No	None	None	No	Fill value
322	No	Yes	No	No	No	No	Low	Low	No	Clear terrain, low- confidence cloud, low- confidence cirrus
324	No	No	Yes	No	No	No	Low	Low	No	Water, low- confidence cloud, low- confidence cirrus
328	No	No	No	Yes	No	No	Low	Low	No	Cloud shadow, low- confidence cloud, low- confidence cirrus
336	No	No	No	No	Yes	No	Low	Low	No	Snow/ice, low- confidence cloud, low- confidence cirrus
352	No	No	No	No	No	Yes	Low	Low	No	Cloud, low- confidence cloud, low- confidence cirrus
368	No	No	No	No	Yes	Yes	Low	Low	No	Snow/ice, cloud, low- confidence cloud, low confidence cirrus
386	No	Yes	No	No	No	No	Medium	Low	No	Clear terrain, medium- confidence cloud, low- confidence cirrus
388	No	No	Yes	No	No	No	Medium	Low	No	Water, medium- confidence cloud, low- confidence cirrus
392	No	No	No	Yes	No	No	Medium	Low	No	Cloud shadow, medium- confidence cloud, low- confidence cirrus

Pixel Value	Fill	Clear	Water	Cloud Shadow	Snow	Cloud	Cloud Confidence	Cirrus Confidence	Terrain Occlusion	Pixel Description
400	No	No	No	No	Yes	No	Medium	Low	No	Snow/ice, medium- confidence cloud, low- confidence cirrus
416	No	No	No	No	No	Yes	Medium	Low	No	Cloud, medium- confidence cloud, low- confidence cirrus
432	No	No	No	No	Yes	Yes	Medium	Low	No	Snow/ice, cloud, medium- confidence cloud, low- confidence cirrus
480	No	No	No	No	No	Yes	High	Low	No	Cloud, high- confidence cloud, low- confidence cirrus
834	No	Yes	No	No	No	No	Low	High	No	Clear terrain, low- confidence cloud, high- confidence cirrus
836	No	No	Yes	No	No	No	Low	High	No	Water, low- confidence cloud, high- confidence cirrus
840	No	No	No	Yes	No	No	Low	High	No	Cloud shadow, low- confidence cloud, high- confidence cirrus
848	No	No	No	No	Yes	No	Low	High	No	Snow/ice, low- confidence cloud, high- confidence cirrus
864	No	No	No	No	No	Yes	Low	High	No	Cloud, low- confidence cloud, high- confidence cirrus
880	No	No	No	No	Yes	Yes	Low	High	No	Cloud, snow/ice, low conf. cloud, high conf. cirrus

Pixel Value	Fill	Clear	Water	Cloud Shadow	Snow	Cloud	Cloud Confidence	Cirrus Confidence	Terrain Occlusion	Pixel Description
898	No	Yes	No	No	No	No	Medium	High	No	Clear terrain, medium- confidence cloud, high- confidence cirrus
900	No	No	Yes	No	No	No	Medium	High	No	Water, medium- confidence cloud, high- confidence cirrus
904	No	No	No	Yes	No	No	Medium	High	No	Cloud shadow, medium- confidence cloud, high- confidence cirrus
912	No	No	No	No	Yes	No	Medium	High	No	Snow/ice, medium- confidence cloud, high- confidence cirrus
928	No	No	No	No	No	Yes	Medium	High	No	Cloud, medium- confidence cloud, high- confidence cirrus
944	No	No	No	No	Yes	Yes	Medium	High	No	Cloud, snow/ice, medium conf. cloud, high conf. cirrus
992	No	No	No	No	No	Yes	High	High	No	Cloud, high- confidence cloud, high- confidence cirrus
1346	No	Yes	No	No	No	No	Low	Low	Yes	Clear terrain, terrain occluded
1348	No	No	Yes	No	No	No	Low	Low	Yes	Water, terrain occluded
1350	No	Yes	Yes	No	No	No	Low	Low	Yes	Clear, water, terrain occluded
1352	No	No	No	Yes	No	No	Low	Low	Yes	Cloud shadow, terrain occluded

Table 6-4. Landsat 8 Pixel Quality Assessment (pixel\_qa) Value Interpretations

#### 6.1.1 Radiometric Saturation Quality Assessment Band

The Radiometric Saturation Quality Assessment (radsat\_qa) band is a bit packed representation of which sensor bands were saturated during data capture, yielding unusable data. Table 6-5 displays the interpretation of possible pixel values expected in the radsat\_qa band after its bits are unpacked. For example, a pixel value of 1024 indicates that TIRS Band 10 is saturated.

Saturation in L8 is not common. When saturation does occur, it happens over impervious surfaces in the optical bands, or volcanoes and wild land fires in the shortwave infrared (SWIR) and thermal bands. Saturation can be found in two forms: one, saturated pixels can show as the maximum unsigned 16-bit value of 65535; or two, pixel values can "roll over" to the low end of the valid range (not necessarily just a value of 0), which is called oversaturation. Oversaturation will not occur with the TIRS thermal bands. The L8 radsat\_qa band will flag only the saturation cases. Table 6-5 describes the bit assignments for the radsat\_qa band.

Bit	Bit Value	Cumulative Sum	Description				
Bits are numbered from right to left (bit 1 = LSB, bit 11 = MSB)							
0	1	1	Data Fill Flag (0 valid data, 1 invalid data)				
1	2	3	Band 1 Data Saturation Flag (0 valid data, 1 saturated data)				
2	4	7	Band 2 Data Saturation Flag (0 valid data, 1 saturated data)				
3	8	15	Band 3 Data Saturation Flag (0 valid data, 1 saturated data)				
4	16	31	Band 4 Data Saturation Flag (0 valid data, 1 saturated data)				
5	32	63	Band 5 Data Saturation Flag (0 valid data, 1 saturated data)				
6	64	127	Band 6 Data Saturation Flag (0 valid data, 1 saturated data)				
7	128	255	Band 7 Data Saturation Flag (0 valid data, 1 saturated data)				
8	NA	NA	Not used				
9	512	1023	Band 9 Data Saturation Flag (0 valid data, 1 saturated data)				
10	1024	2047	Band 10 Data Saturation Flag (0 valid data, 1 saturated data)				
11	2048	4095	Band 11 Data Saturation Flag (0 valid data, 1 saturated data)				
LSB = Least Signi	ificant Bit, MSB = N	Nost Significant Bit					

Table 6-5. Landsat 8 Radiometric Saturation Quality Assessment (radsat\_qa) Bit Index

#### 6.1.2 Aerosol Quality Assessment Band

Aerosol retrieval is a critical component in the atmospheric correction calculations used in generating SR for L8. The Internal SR Aerosol Quality Assessment (sr\_aerosol) band is delivered with the SR product to provide low-level detail about the factors that may have influenced the final product result (as shown in Table 6-6). The sr\_aerosol bit values are given in Table 6-7, and shown in greater detail in Table 6-8.

Bit	Bit Value	Cumulative Sum	Attribute
0	1	1	Fill
1	2	3	Valid Aerosol Retrieval (center pixel of 3x3 pixel window)
2	4	7	Water Pixel (or water pixel was used in the fill-the-window interpolation)
3	8	15	Cloud or Cirrus
4	16	31	Cloud Shadow

Bit	Bit Value	Cumulative Sum	Attribute
5	32	63	Non-center window pixel for which aerosol was interpolated from
5	32	03	surrounding 3x3 window center pixels
			Aerosol Level
6	64	127	00 = Climatology
			01 = Low
7	128	255	10 = Medium
/	128	28 255	11 = High

Table 6-6. Landsat 8 Internal Surface Reflectance Aerosol Quality Assessment (sr\_aerosol) Bit Index

Note that pixels classified as high aerosol content are not recommended for use.

Attribute	Pixel Value
Fill	1
Valid Aerosol Retrieval (center pixel of 3x3 window)	2, 66, 130, 194
Water Pixel (or water pixel was used in the fill-the- window interpolation)	4, 68, 100, 132, 164, 196, 228
Cloud or Cirrus	8, 72, 136, 200
Cloud Shadow	16, 80, 144, 208
Non-center window pixel for which aerosol was interpolated from surrounding 3x3 center pixels	32, 96, 100, 160, 164, 224, 228
Low-level aerosol	66, 68, 72, 80, 96, 100
Medium-level aerosol	130, 132, 136, 144, 160, 164
High-level aerosol	194, 196, 200, 208, 224, 228

Table 6-7. Landsat 8 sr\_aerosol Values

Pixel Value	Fill	Aerosol Retrieval – Valid (center of 3x3 window)	Water	Cloud/ Cirrus	Cloud Shadow	Aerosol Retrieval – Interpolated (non-center of 3x3 window)	Aerosol	Pixel Description
1	Yes	No	No	No	No	No	NA	Fill
2	No	Yes	No	No	No	No	Climatology	Valid aerosol retrieval
4	No	No	Yes	No	No	No	Climatology	Water
8	No	No	No	Yes	No	No	Climatology	Cloud/cirrus
16	No	No	No	No	Yes	No	Climatology	Cloud shadow
32	No	No	No	No	No	Yes	Climatology	Aerosol interpolated
66	No	Yes	No	No	No	No	Low	Valid aerosol ret., low aerosol
68	No	No	Yes	No	No	No	Low	Water, low aerosol
72	No	No	No	Yes	No	No	Low	Cloud/cirrus, low aerosol
80	No	No	No	No	Yes	No	Low	Cloud shadow, low aerosol
96	No	No	No	No	No	Yes	Low	Aerosol interpolated, low aerosol
100	No	No	Yes	No	No	Yes	Low	Water pixel used in

Pixel Value	Fill	Aerosol Retrieval – Valid (center of 3x3 window)	Water	Cloud/ Cirrus	Cloud Shadow	Aerosol Retrieval – Interpolated (non-center of 3x3 window)	Aerosol	Pixel Description
								interpolation, aerosol interpolated, low aerosol
130	No	Yes	No	No	No	No	Medium	Valid aerosol retrieval, medium aerosol
132	No	No	Yes	No	No	No	Medium	Water, medium aerosol
136	No	No	No	Yes	No	No	Medium	Cloud/cirrus, medium aerosol
144	No	No	No	No	Yes	No	Medium	Cloud shadow, medium aerosol
160	No	No	No	No	No	Yes	Medium	Aerosol interpolated, medium aerosol
164	No	No	Yes	No	No	Yes	Medium	Water pixel used in interpolation, aerosol interpolated, medium aerosol
194	No	Yes	No	No	No	No	High	Valid aerosol retrieval, high aerosol
196	No	No	Yes	No	No	No	High	Water, high aerosol
200	No	No	No	Yes	No	No	High	Cloud/cirrus, high aerosol
208	No	No	No	No	Yes	No	High	Cloud shadow, high aerosol
224	No	No	No	No	No	Yes	High	Aerosol interpolated, high aerosol
228	No	No	Yes	No	No	Yes	High	Water pixel used in interpolation, aerosol interpolated, high aerosol

Table 6-8. Landsat 8 sr\_aerosol Value Interpretations

#### 6.1.3 Surface Reflectance Metadata

Each L8 SR order will be accompanied by an xml-based metadata file. The metadata fields included in the xml are listed in Appendix A.

#### 6.1.4 Angle Coefficient Files

Each L8 SR product contains an angle coefficient file ("\_ANG.txt"). This file consists of angle coefficients that can be used as input into a set of tools to generate per-pixel solar and sensor azimuth and zenith angle values. For more details on Angle Coefficient Files

see <a href="https://www.usgs.gov/land-resources/nli/landsat/solar-illumination-and-sensor-viewing-angle-coefficient-files">https://www.usgs.gov/land-resources/nli/landsat/solar-illumination-and-sensor-viewing-angle-coefficient-files</a>.

#### 6.1.5 Surface Reflectance Special Notes

Metadata are included to help define the orientation of PS scenes acquired in ascending orbit over Antarctica. Whether on a descending or ascending orbit path, the first pixels acquired in a Landsat scene comprise the upper portion of an image. As Landsat crosses the southern polar region, it views the southern latitudes first and progresses north. This places pixels in southern latitudes in the upper part of the image so that it appears to the user that south is up, and north is down. The <corner> field in the metadata xml clarifies the upper left and lower right corners of the scene.

#### 6.2 Cloud and Cloud Shadow Specifications

The L2 Pixel Quality Assessment band (pixel\_qa; Table 6-2) is populated using information from the L1 QA band, specifically Cloud Confidence, Cloud Shadow, and Snow/Ice flags derived from the CFMask algorithm. In order to support science data products using L2 as input, water values are re-calculated, and high-confidence cloud pixels are dilated, making pixel\_qa comparable to the legacy CFMask bands.

The algorithm underlying bqa and pixel\_qa bands, CFMask, was originally developed at Boston University in a Matrix Laboratory (MATLAB) environment to automate cloud, cloud shadow, and snow masking for Landsat TM and ETM+ images. The MATLAB Function of Mask (Fmask) was subsequently translated into open source C code at the USGS EROS Center, where it is implemented as the C version of Fmask, or CFMask (<a href="https://github.com/USGS-EROS/espa-cloud-masking">https://github.com/USGS-EROS/espa-cloud-masking</a>).

#### 6.2.1 CFMask Algorithm Known Issues

- 1. The cloud indicators in the CFMask algorithm are known to report erroneous cloud conditions when temperature differentials are either too large or too small. For example, a warm cloud over extremely cold ground may not calculate enough difference in temperature to identify the cloud. Conversely, residual ice surrounded by unusually warm ground can potentially be identified as cloud.
- 2. CFMask may have issues over bright targets such as building tops, beaches, snow/ice, sand dunes and/or salt lakes.
- 3. Optically thin clouds will always be challenging to identify and have a chance of being omitted by CFMask.

## 6.3 Top of Atmosphere (TOA) Reflectance & TOA Brightness Temperature Specifications

#### 6.3.1 TOA Reflectance - Bands 1-7, 9 Specifications

Calibration coefficients are applied to Landsat Digital Numbers (DN) to derive the TOA Reflectance component, using per-pixel solar angles derived from Band 4 (closest to center of focal plane.) All files appended with "\_toa\_" are related to TOA Reflectance. The "\_toa\_" packages contain TOA Reflectance and bit-packed quality information for Landsat Bands 1, 2, 3, 4, 5, 6, 7, and 9. The associated header and metadata files present the same kind of information as described for Surface Reflectance, but these are specific to TOA Reflectance processing. Valid data ranges for TOA Reflectance bands are similar to those for Surface Reflectance. Note: TOA Reflectance is not processed for thermal Bands 10 and 11 but can be ordered separately as TOA Brightness Temperature (see Section 6.3.2).

The pixel\_qa and radsat\_qa bands are delivered with all TOA Reflectance products.

Table 6-9 lists the data type, units, value range, fill value, saturation value, and scale factor for the TOA Reflectance product bands.

Band Designation	Band Name	Data Type	Units	Range	Valid Range	Fill Value	Saturate Value	Scale Factor
toa_band1	Band 1 Reflectance	INT16	Reflectance	-2000 – 16000	0 – 10000	-9999	20000	0.0001
toa_band2	Band 2 Reflectance	INT16	Reflectance	-2000 – 16000	0 – 10000	-9999	20000	0.0001
toa_band3	Band 3 Reflectance	INT16	Reflectance	-2000 – 16000	0 – 10000	-9999	20000	0.0001
toa_band4	Band 4 Reflectance	INT16	Reflectance	-2000 – 16000	0 – 10000	-9999	20000	0.0001
toa_band5	Band 5 Reflectance	INT16	Reflectance	-2000 – 16000	0 – 10000	-9999	20000	0.0001
toa_band6	Band 6 Reflectance	INT16	Reflectance	-2000 – 16000	0 – 10000	-9999	20000	0.0001
toa_band7	Band 7 Reflectance	INT16	Reflectance	-2000 – 16000	0 – 10000	-9999	20000	0.0001
toa_band9	Band 9 Reflectance	INT16	Reflectance	-2000 – 16000	0 – 10000	-9999	20000	0.0001
pixel_qa	Level 2 Pixel Quality Band	UINT16	Bit Index	0- 32768	0- 32768	1 (bit 0)	NA	NA
radsat_qa	Radiometric Saturation Band	UINT16	Bit Index	0- 32768	0-3839	1 (bit 0)	NA	NA
solar_azimuth_band4	Solar Azimuth Angles Band 4	INT16	Degrees	-32768 - 32767	-18000 - 18000	-32768	NA	0.0100
solar_zenith_band4	Solar Zenith Angles Band 4	INT16	Degrees	-32768 - 32767	0 – 9000	-32768	NA	0.0100

sensor_zenith_band4	Sensor Azimuth Angles Band 4	INT16	Degrees	-32768 - 32767	0 - 9000	-32768	NA	0.0100
sensor_azimuth_band4	Sensor Zenith Angles Band 4	INT16	Degrees	-32768 - 32767	-18000 - 18000	-32768	NA	0.0100

INT16 = 16-bit signed integer, UINT8 = 8-bit unsigned integer, TOA = top of atmosphere, QA = quality assessment, NA = not applicable

Table 6-9. Top of Atmosphere Reflectance – Bands 1-7, 9 Specifications

#### 6.3.2 TOA Brightness Temperature - Bands 10–11 Specifications

Bands 10–11 TOA Brightness Temperature is derived from TOA radiance and two thermal constants, as described on <a href="https://www.usgs.gov/land-resources/nli/landsat/using-usgs-landsat-level-1-data-product">https://www.usgs.gov/land-resources/nli/landsat/using-usgs-landsat-level-1-data-product</a>. A QA band is also provided with this output product. The associated header and metadata files present the same kind of information as described for SR, but they are specific to TOA BT processing. Specifications for TOA BT bands are similar to those for SR, but with a higher minimum value. Table 6-10 lists the data type, units, value range, fill value, saturation value, and scale factor for the TOA BT product bands.

Band Designation	Band Name	Data Type	Units	Range	Valid Range	Fill Value	Saturate Value	Scale Factor
bt_band10	Band 10 TOA Brightness Temperature	INT16	Brightness Temperature (Kelvin)	-100 - 16000	0- 10000	-9999	20000	0.1
bt_band11	Band 11 TOA Brightness Temperature	INT16	Brightness Temperature (Kelvin)	-100 - 16000	0- 10000	-9999	20000	0.1
pixel_qa	Level 2 Pixel Quality Band	UINT16	Bit Index	0- 32768	0- 32768	1 (bit 0)	NA	NA
radsat_qa	Radiometric Saturation Band	UINT16	Bit Index	0- 32768	0-3839	1 (bit 0)	NA	NA

INT16 = 16-bit signed integer, UINT8 = 8-bit unsigned integer, TOA = top of atmosphere, QA = quality assessment, NA = not applicable

Table 6-10. Top of Atmosphere Brightness Temperature – Bands 10–11 Specifications

#### 6.3.3 TOA Reflectance Special Notes

Metadata are included to help define the orientation of PS scenes acquired in ascending orbit over Antarctica. Whether on a descending or ascending orbit path, the first pixels acquired in a Landsat scene comprise the upper portion of an image. As Landsat crosses the southern polar region, it views the southern latitudes first and progresses north. This places pixels in southern latitudes in the upper part of the image so that it appears to the user that south is up and north is down. The <corner> field in the metadata xml clarifies the upper left and lower right corners of the scene.

#### Section 7 Auxiliary Data

The atmosphere between the satellite and the Earth's surface is composed of different gases that potentially absorb and/or scatter both incoming and reflected sunlight. These gases are primarily aerosols, water vapor and ozone, all of which are partially modulated by the local air temperature. The Landsat instruments do not contain onboard sensors to measure these conditions, so this information is obtained through other observations, known as auxiliary data. For LaSRC, auxiliary data are assimilated from satellite observations from the MODIS instruments aboard the Terra and Aqua satellites. LaSRC uses Terra MODIS as the primary source of auxiliary data and fills the gaps with the Aqua MODIS data. Both spatial and temporal interpolations are performed to fit this auxiliary data within the ground area imaged and time of the Landsat image acquisition. This information is derived from multiple data sources, which have their own unique properties, as described in Table 7-1.

Missing data range(s) are periodically updated in this guide; the most up-to-date information regarding data gaps is in the "Caveats and Constraints" section of <a href="https://www.usgs.gov/land-resources/nli/landsat/landsat-surface-reflectance">https://www.usgs.gov/land-resources/nli/landsat/landsat-surface-reflectance</a>.

Data	Product	Source	Version	Instrument	Grid	Date Begin	Date End	Backup	Backup Begin	Backup End	Missing Range(s)	Additional Missing Data & Date(s)
Ozone	MOD/ MYD09 CMG	https://la dsweb.m odaps.eo sdis.nas a.gov	v006	Terra & Aqua	0.05° x 0.05°	5/4/2002	Present	NA	NA	NA	2/19/2016 – 2/27/2016*	NA
Water Vapor	MOD/ MYD09 CMG											

AOT = Aerosol Optical Thickness, MODIS = Moderate Resolution Imaging Spectroradiometer, MOD = MODIS Terra, MYD = MODIS Aqua

Table 7-1. Auxiliary Data Used in LaSRC Processing

<sup>\*</sup> Indicates missing Terra-MODIS data that are all filled with Aqua-MODIS data and interpolated by surface reflectance code.

#### **Section 8 Citation Information**

There are no restrictions on the use of Landsat data and associated products. It is not a requirement of data use, but the following citation may be used in publication or presentation materials to acknowledge the USGS as the data source and to credit the original research.

Landsat data and associated products courtesy of the U.S. Geological Survey.

Vermote, E., Justice, C., Claverie, M., & Franch, B. (2016). Preliminary analysis of the performance of the Landsat 8/OLI land surface reflectance product. Remote Sensing of Environment. <a href="http://dx.doi.org/10.1016/j.rse.2016.04.008">http://dx.doi.org/10.1016/j.rse.2016.04.008</a>.

Reprints or citations of papers or oral presentations based on USGS data are welcome to help the USGS stay informed of how data are being used. These can be sent to User Services provided in Section 10.

### Section 9 Acknowledgments

The original LaSRC algorithm was developed by Dr. Eric Vermote, NASA Goddard Space Flight Center (GSFC).

#### **Section 10 User Services**

Landsat data and associated products and interfaces are supported by User Services staff at the USGS EROS. Any questions or comments can be directed to USGS EROS Customer Services:

Email: <a href="mailto:custserv@usgs.gov">custserv@usgs.gov</a>

Phone: 1-605-594-6151

Phone (toll-free): 1-800-252-4547

User support is available Monday through Friday from 8:00 a.m. – 4:00 p.m. Central Time. Inquiries received outside of these hours will be addressed during the next business day.

## **Appendix A** Collection 1 Level 2 Default File Characteristics

Description	Example File Size (Kbytes)	Example File Name
Source Level 1 Bands (11)	123,220	LC08_L1TP_043031_20130628_20170309_01_T1_b*.tif
Source Level 1 Panchromatic Band	492,688	LC08_L1TP_043031_20130628_20170309_01_T1_b8.tif
Source Level 1 Metadata	9	LC08_L1TP_043031_20130628_20170309_01_T1_MTL.txt
Level 1 QA Band	123,220	LC08_L1TP_043031_20130628_20170309_01_T1_bqa.tif
Angle Band Coefficients	115	LC08_L1TP_043031_20130628_20170309_01_T1_ANG.txt
TOA Reflectance Bands (8)	123,220	LC08_L1TP_043031_20130628_20170309_01_T1_toa_band*.tif
TOA Brightness Temperature Bands (2)	123,220	LC08_L1TP_043031_20130628_20170309_01_T1_bt_band*.tif
Surface Reflectance Bands (7)	123,220	LC08_L1TP_043031_20130628_20170309_01_T1_sr_band*.tif
Surface Reflectance Aerosol QA Band	61,642	LC08_L1TP_043031_20130628_20170309_01_T1_sr_aerosol.tif
Level 2 Pixel QA	123,220	LC08_L1TP_043031_20130628_20170309_01_T1_pixel_qa.tif
Radiometric Saturation QA Band	123,220	LC08_L1TP_043031_20130628_20170309_01_T1_radsat_qa.tif
Sensor Azimuth Band	123,220	LC08_L1TP_043031_20130628_20170309_01_T1_sensor_azim uth_band4.tif
Sensor Zenith Band	123,220	LC08_L1TP_043031_20130628_20170309_01_T1_sensor_zenit h_band4.tif
Solar Azimuth Band 123,220		LC08_L1TP_043031_20130628_20170309_01_T1_solar_azimut h_band4.tif
Solar Zenith Band	123,220	LC08_L1TP_043031_20130628_20170309_01_T1_solar_zenith_ band4.tif
Level 2 Metadata	31	LC08_L1TP_043031_20130628_201703091_01_T1.xml

Table A-1. Collection 1 Level 2 Default File Characteristics

#### Appendix B Example XML Metadata Files

#### Example of global XML metadata:

```
<global metadata>
   <data provider>USGS/EROS</data provider>
   <satellite>LANDSAT 8</satellite>
   <instrument>OLI_TIRS</instrument>
   <acquisition_date>2016-02-16</acquisition_date>
   <scene center time>15:53:20.5617680Z</scene center time>
   <level1 production date>2017-02-24T09:05:28Z</level1 production date>
   <solar angles zenith="53.636494" azimuth="149.867767" units="degrees"/>
   <earth sun distance>0.987885/earth sun distance>
   <wrs system="2" path="16" row="35"/>
   <duct id>LC08 L1TP 016035 20160216 20170224 01 T1/product id>
<lpgs metadata file>LC08 L1TP 016035 20160216 20170224 01 T1 MTL.txt/lpgs metadata fi
   <corner location="UL" latitude="37.097550" longitude="-80.522860"/>
   <corner location="LR" latitude="34.966100" longitude="-78.035160"/>
   <bounding coordinates>
       <west>-80.535510</west>
       <east>-77.955460
       <north>37.097683</north>
       <south>34.965964</south>
   </bounding coordinates>
   <corner point location="UL" x="542400.000000" y="4105800.000000"/>
       <corner point location="LR" x="770700.000000" y="3873300.000000"/>
       <grid origin>CENTER</prid origin>
       <utm_proj_params>
           <zone_code>17</zone code>
       </utm_proj_params>
   </projection information>
    <orientation angle>0.000000</orientation angle>
</global metadata>
```

#### Example of per-band XML metadata:

## Appendix C Acronyms

ANG Angle band coefficients file extension AOT Aerosol Optical Thickness BA Burned Area BT Brightness Temperature C1 Landsat Collection 1 CFMask C version of Function of Mask (USGS EROS) CMA Climate Modeling Grid - Aerosols CMG Climate Modeling Grid DDV Dark Dense Vegetation DEM Digital Elevation Model DN Digital Number DSWE Dynamic Surface Water Extent EE EarthExplorer ENVI Exelis Visual Information Solutions EROS Earth Resources Observation and Science ESPA EROS Eience Processing Architecture ETM+ Enhanced Thematic Mapper Plus ETOPO5 The Earth Topography Five Minute Grid EVI Enhanced Vegetation Index Fmask Function of Mask (Boston University) fSCA Fractional Snow Covered Area GeoTIFF Georeferenced Tagged Image File Format GSFC Goddard Space Flight Center HDF-EOS2 Hierarchical Data Format – Earth Observing System (version 2) HDR Header INT Integer INT16 16-bit signed integer L1 Level 1 L1GS Level 1 Geometric Systemic L1GT Level 1 Terrain Precision L4 Landsat 4 L8 Landsat 4 L8 Landsat 8 LaSRC Land Surface Reflectance Code LEDAPS Landsat Product Generation System LPGS Least Significant Bit m meter	6S	Second Simulation of a Satellite Signal in the Solar Spectrum
AOT Aerosol Optical Thickness BA Burned Area BT Brightness Temperature C1 Landsat Collection 1 CFMask C version of Function of Mask (USGS EROS) CMA Climate Modeling Grid - Aerosols CMG Climate Modeling Grid - Aerosols CMG Climate Modeling Grid DDV Dark Dense Vegetation DEM Digital Elevation Model DN Digital Information Solutions DEM Dynamic Surface Water Extent EE EarthExplorer ENVI Exelis Visual Information Solutions EROS Earth Resources Observation and Science ESPA EROS Science Processing Architecture ETH+ Enhanced Thematic Mapper Plus ETOPO5 The Earth Topography Five Minute Grid EVI Enhanced Vegetation Index Fmask Function of Mask (Boston University) fSCA Fractional Snow Covered Area GeoTIFF Georeferenced Taggade Image File Format GSFC Goddard Space Flight Center HDF-EOS2 Hierarchical Data Format – Earth Observing System (version 2) HDR Header INT Integer INT16 16-bit signed integer L1 Level 1 Level 1 Sevel 1 Geometric Systemic L1GT Level 1 Stremin Terrain L1TP Level 1 Terrain Precision L4 Landsat 4 L8 Landsat 8 LaSRC Land Surface Reflectance Code LEDAPS Landsat Product Generation System LSB Least Significant Bit m meter		
BA Burned Area BT Brightness Temperature C1 Landsat Collection 1 CFMask C version of Function of Mask (USGS EROS)  CMA Climate Modeling Grid - Aerosols CMG Climate Modeling Grid DDV Dark Dense Vegetation DEM Digital Elevation Model DN Digital Number DSWE Dynamic Surface Water Extent EE Earth Explorer ENVI Exelis Visual Information Solutions EROS Earth Resources Observation and Science ESPA EROS Science Processing Architecture ETM+ Enhanced Thematic Mapper Plus ETOPO5 The Earth Topography Five Minute Grid EVI Enhanced Vegetation Index Fmask Function of Mask (Boston University) fSCA Fractional Snow Covered Area GeoTIFF Georeferenced Tagged Image File Format GSFC Goddard Space Flight Center HDF-EOS2 Hierarchical Data Format – Earth Observing System (version 2) HDR Header INT Integer INT16 16-bit signed integer L1 Level 1 Level 1 Geometric Systemic L1GT Level 1 Systemic Terrain L1TP Level 1 Terrain Precision L4 Landsat 4 La Landsat 4 La Landsat 8 LaSRC Land Surface Reflectance Code LEDAPS Landsat Product Generation System LPGS Landsat Product Generation System LPGS Landsat Product Generation System LSB Least Significant Bit m meter		
BT Brightness Temperature C1 Landsat Collection 1 CFMask C version of Function of Mask (USGS EROS) CMA Climate Modeling Grid - Aerosols CMG Climate Modeling Grid DDV Dark Dense Vegetation DEM Digital Elevation Model DN Digital Number DSWE Dynamic Surface Water Extent EE Earth Explorer ENVI Exelis Visual Information Solutions EROS Earth Resources Observation and Science ESPA EROS Science Processing Architecture ETM+ Enhanced Thematic Mapper Plus ETOPO5 The Earth Topography Five Minute Grid EVI Enhanced Vegetation Index Fmask Function of Mask (Boston University) fSCA Fractional Snow Covered Area GeoTIFF Georeferenced Tagged Image File Format GSFC Goddard Space Flight Center HDF-EOS2 Hierarchical Data Format – Earth Observing System (version 2) HDR Header INT Integer INT16 16-bit signed integer L1 Level 1 Level 1 Geometric Systemic L1GT Level 1 Systemic Terrain L1TP Level 1 Terrain Precision L4 Landsat 4 L8 Landsat 8 LaSRC Land Surface Reflectance Code LEDAPS Landsat Product Generation System LPGS Least Significant Bit m meter		·
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CFMask C version of Function of Mask (USGS EROS)  CMA Climate Modeling Grid - Aerosols  CMG Climate Modeling Grid  DDV Dark Dense Vegetation  DEM Digital Elevation Model  DN Digital Number  DSWE Dynamic Surface Water Extent  EE Earth Explorer  ENVI Exelis Visual Information Solutions  EROS Earth Resources Observation and Science  ESPA EROS Science Processing Architecture  ETM+ Enhanced Thematic Mapper Plus  ETOPO5 The Earth Topography Five Minute Grid  EVI Enhanced Vegetation Index  Fmask Function of Mask (Boston University)  fSCA Fractional Snow Covered Area  GeoTIFF Georeferenced Tagged Image File Format  GSFC Goddard Space Flight Center  HDF-EOS2 Hierarchical Data Format – Earth Observing System (version 2)  HDR Header  INT Integer  INT16 16-bit signed integer  L1 Level 1  L1GS Level 1 Geometric Systemic  L1GT Level 1 Systemic Terrain  L1TP Level 1 Terrain Precision  L4 Landsat 4  L8 Landsat 8  LaSRC Land Surface Reflectance Code  LEDAPS Landsat Product Generation System  Meter		
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m meter		
		<del>                                     </del>
	MATLAB	Matrix Laboratory

MEaSUREs	Making Earth System Data Records for Use in Research		
MOD	Environments		
MODIC	MODIS Terra		
MODIS	Moderate Resolution Imaging Spectroradiometer		
MSAVI	Modified Soil Adjusted Vegetation Index		
MSB	Most Significant Bit		
MTL	Metadata text file extension		
MYD	MODIS Aqua		
NA	Not Applicable		
NASA	National Aeronautics and Space Administration		
NBR	Normalized Burn Ratio		
NBR2	Normalized Burn Ratio 2		
NetCDF	Network Common Data Form		
NCEP	National Centers for Environmental Prediction		
NDMI	Normalized Difference Moisture Index		
NDVI	Normalized Difference Vegetation Index		
OLI	Operational Land Imager		
OMI	Ozone Monitoring Instrument		
pixel_qa	Pixel Quality Assessment		
PS	Polar Stereographic		
QA	Quality Assessment		
radsat_qa	Radiometric Saturation Quality Assessment		
RT	Real-Time		
SAVI	Soil Adjusted Vegetation Index		
SR	Surface Reflectance		
ST	Provisional Surface Temperature		
SWIR	Shortwave Infrared		
T1	Tier 1		
T2	Tier 2		
TIRS	Thermal Infrared Sensor		
TM	Thematic Mapper		
TOA	Top of Atmosphere		
TOMS	Total Ozone Mapping Spectrometer		
UINT	Unsigned Integer		
UINT8	8-bit Unsigned Integer		
USGS	U.S. Geological Survey		
UTM	Universal Transverse Mercator		
WRS-2	Worldwide Reference System 2		
xml	Extensible Markup Language		
AIII	- Extension manap Language		

## Appendix D Document Change History

Document Version	Publication Date	Change Description
Version 1.0	12/18/2014	Initial Draft
Version 1.1	01/09/2015	Addition of "Known Issues" section.
Version 1.2	03/04/2015	Update to "Known Issues" section with additional information concerning improvements to aerosol retrieval. Update to aerosol bit value descriptions in Table 7-C. Corrected error in Bands 10-11 Brightness Temperature table.
Version 1.3	05/13/2015	Update to "Known Issues" section with additional information concerning improvements to land/water masking. Addition of provisional CFmask cloud confidence band.
Version 1.4	06/08/2015	Clarification of Bands 10-11 Brightness Temperature output.
Version 1.5	07/16/2015	Fixed broken reference.
Version 1.6	09/02/2015	Removed incorrect "_bt" file naming convention from Brightness Temperature description.
Version 1.7	9/21/2015	Added details to caveat describing high latitudes.
Version 1.8	12/01/2015	Added details about TIRS zero-fill data. Added changes to location of SR products on EE. Corrected minor typos and revised the formatting of citations.
Version 1.9	02/10/2016	Edited instances where "shadow" should be "cloud shadow" (in reference to CFmask).
Version 2.0	03/01/2016	Fixed broken L8 QA Band hyperlink. Updated source code links to Github pages.
Version 2.1	05/10/2016	Updates to "Known Issues" and "Caveats and Constraints" sections. Added citation for manuscript describing L8SR's algorithm creation and initial analysis.
Version 3.0	07/01/2016	Changed name from "L8SR" to "LaSRC". Fixed nearly all "blockiness" by interpolating missing aerosol data points. A new aerosol interpolation QA band (sr_ipflag) is now provided to show where aerosols have been interpolated versus actual observations. Reflectance is now retrieved over all pixels except those contaminated with cirrus. Added date restriction caveat for when MODIS Terra was in safe mode.
Version 3.1	08/23/2016	Added missing auxiliary data gaps dates.
Version 3.2	09/08/2016	Changed cloud confidence bits to actual representation – "low", "medium" and "high".

<b>Document Version</b>	Publication Date	Change Description
Version 3.3	10/11/2016	Added specifics on Known Issues, added NetCDF file format.
Version 3.4	12/07/2016	Replaced links to Landsat Missions Website
Version 3.5	03/10/2017	Some level of aerosol retrieval is now attempted for all pixels, and a special routine is used for water pixels ("Known Issues"; "Caveats & Constraints".) Addition of Collection 1 products. Addition of ancillary data chart. "sr_ipflag" and "sr_cloud" have now been replaced with "sr_aerosol" for Pre-Collection and C1. For C1: addition of radiometric saturation (radsat_qa) and pixel quality (pixel_qa) band; removal of cfmask and cfmask_conf bands, unless ordered manually in ESPA. Added caveat stating that TIRS-only (LT8 or LT08) data cannot be processed to Brightness Temperature.
Version 3.6	03/31/2017	Removal of "Provisional" status for all C1 datasets. Updated angle band zenith valid range to 0-9000; angle band azimuth valid range -18000 – 18000; all angle band nodata to -32768. Removed 'L8SR' from Appendix (name no longer used.) Added speckling noise caveat.
Version 3.7	04/06/2017	Removal of Pre-Collection Landsat information.
Version 3.8	05/09/2017	Updated pixel_qa description, added  "terrain occlusion" bit, added detailed tables  with pixel_qa values. Fixed typo –  "sr_aerosol" was listed incorrected as  "sr_aerosol_qa". Added CFMask bands'  discontinuation date (02 June 2017).
Version 3.9	06/02/2017	Added interpretation tables for sr_aerosol band. Changed table and figure designation from number-letter (e.g., 8-A) to number-number (e.g., 8-1). Updated radsat_qa description. Updated TOA Reflectance description, stating TOA is created using per-pixel angles from band 4 (formerly scene center angle.) Updated table names.
Version 4.0	06/07/2017	Corrected typographical errors.
Version 4.1	10/05/2017	Aerosol retrieval is now performed for a 3x3 pixel window versus each pixel. Clouds, water, and cloud shadows are assigned the median aerosol value for clear land pixels. Updated sr_aerosol table with new bit descriptions. Pixels in the pixel_qa band which are high confidence cirrus or terrain occluded no longer unset the clear bit. Given that these pixels are now clear, they

<b>Document Version</b>	Publication Date	Change Description
		could be flagged as water or snow. Updated pixel_qa table. Replaced the terms high level and higher level products with science data products.
Version 4.2	12/04/2017	Added "top of atmosphere" before "brightness temperature" to clarify that BT products are not atmospherically corrected.
Version 4.3	03/30/2018	Correct Product Characteristics table 7.1; remove Solar/Sensor Azimuth/Zenith band information; Add info/reference to L-1 ANG.txt and MTL.txt files that are delivered with SR product.
Version 1.0 LSDS-1368	10/22/2018	Updated Table 1-1 to clarify LEDAPS and LaSRC differences; Updated example Surface Reflectance image; Corrected texts that implied thermal bands were used in LaSRC Surface Reflectance and TOA Reflectance calculation; Corrected the pixel-qa value interpretations in Table 7-4; Corrected the minimum TOA Reflectance in Table 7-9; Removed the incorrect missing dates in Table 8-1.
Version 2.0 LSDS-1368	05/02/2019	Updated Landsat website URL references; Removed the Product Options section since it was mostly about ESPA interface; Corrected the source of DEM.
Version 3.0 LSDS-1368	08/04/2020	Corrected LaSRC name from "Landsat 8" to "Land" Surface Reflectance Code; other minor reference/acronym corrections and cleanup.

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