# Learning Circuits with Few Negations

Boolean functions are not that monoton(ous).

Clément Canonne

LIAFA - 2015

Introduction Generalizing monotone functions:  $\mathcal{C}^n_t$ . Learning  $\mathcal{C}^n_t$ : Upper bound. Learning  $\mathcal{C}^n_t$ : Lower bound. Conclusion and Open Problem(s).

# Introduction

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Goal: fixed, known class of Boolean functions  $C \subseteq 2^{\{0,1\}^n}$ , and unknown  $f \in C$ . How to learn f efficiently, i.e. output a hypothesis  $\hat{f} \simeq f$ ?

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Many flavors:

With membership queries: Can we approximately learn f (in Hamming distance, with high probability) from queries of the form  $x? \leadsto f(x)$ 

$$\Pr_{x \sim \{0,1\}^n} [f(x) \neq \hat{f}(x)] \le \varepsilon \tag{w.h.p.}$$

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**PAC-learning:** unknown underlying distribution D on  $\{0,1\}^n$ . Can we approximately learn f (with high probability) from random examples  $\langle x, f(x) \rangle$  – where each x is a *sample* drawn independently from D?

$$\Pr_{x \sim D}[f(x) \neq \hat{f}(x)] \le \varepsilon \tag{w.h.p.}$$

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uniform PAC learning ≤ learning with queries

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For circuit complexity theorists:

**Definition.** A Boolean function  $f: \{0,1\}^n \to \{0,1\}$  is monotone if it is computed by a Boolean circuit with no negations (only AND and OR gates).

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For people with a twisted mind:

**Definition.** A Boolean function  $f: \{0,1\}^n \to \{0,1\}$  is monotone if  $f(0^n) \le f(1^n)$ , and f changes value at most once on any increasing chain from  $0^n$  to  $1^n$ .

(These definitions are equivalent.)

#### Examples.

The majority function (1 iff at least half the votes are positive): more votes cannot make a candidate lose. The s-clique function (1 iff the input graph contains a clique of size s): more edges cannot remove a clique.

The dictator function (1 iff  $x_1 = 1$ ): more voters have no influence anyway.

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#### Can we learn them?

Learning the class  $C^n$  of monotone Boolean functions from uniform examples (to error  $\varepsilon$ ) can be done in time  $2^{\tilde{O}(\sqrt{n}/\varepsilon)}$ . [BT96]

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Learning the class  $C^n$  from membership queries (to error  $\frac{1}{\sqrt{n}\log n}$ ) requires query complexity  $2^{\Omega(n)}$ . [BT96]



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#### Are we done here?

## Outline of the talk

Introduction Generalizing monotone functions:  $\mathcal{C}_t^n$ . Learning  $\mathcal{C}_t^n$ : Upper bound. Learning  $\mathcal{C}_t^n$ : Lower bound. Conclusion and Open Problem(s).

#### Introduction

Generalizing monotone functions:  $\mathcal{C}_t^n$ .

Learning  $C_t^n$ : Upper bound.

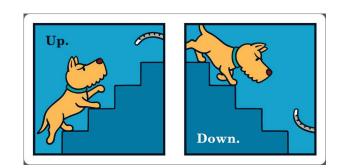
Learning  $C_t^n$ : Lower bound.

Conclusion and Open Problem(s).

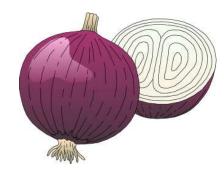
#### Plan in more detail

Introduction Generalizing monotone functions:  $\mathcal{C}_t^n$ . Learning  $\mathcal{C}_t^n$ : Upper bound. Learning  $\mathcal{C}_t^n$ : Lower bound. Conclusion and Open Problem(s).

 $\blacksquare$  Generalizing monotone functions to "k-alternating:" two views, reconcilied by Markov's Theorem.



■ A structural theorem: characterizing these new functions as combination of simpler ones  $\rightsquigarrow$  upper bound on learning k-alternating functions, almost "for free."



 $\blacksquare$  Lower bound: a succession and combination thereof (from monotone... to monotone to k-alternating: hardness amplification)



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Generalizing monotone functions:  $\mathcal{C}_t^n$ .

Introduction Generalizing monotone functions:  $\mathcal{C}_t^n$ . Learning  $\mathcal{C}_t^n$ : Upper bound. Learning  $\mathcal{C}_t^n$ : Lower bound. Conclusion and Open Problem(s).

For circuit complexity theorists:

**Definition.** A Boolean function  $f: \{0,1\}^n \to \{0,1\}$  has inversion complexity t if it can be computed by a Boolean circuit with t negations (besides AND and OR gates), but no less.

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For people with a twisted mind:

**Definition.** A Boolean function  $f: \{0,1\}^n \to \{0,1\}$  is k-alternating if f changes value at most k times on any increasing chain from  $0^n$  to  $1^n$ .

(Analysis of Boolean functions enthusiasts, stay with us?)

#### Examples.

The "not-too-many" function (1 iff between 40% and 60% of the votes are positive): more votes can harm a candidate.

The s-clique-but-no-Hamiltonian function (1 iff the input graph contains a clique of size s, but no Hamiltonian cycle): more edges can make things worse.

The Highlander function (1 iff exactly one of the  $x_i$ 's is 1): there shall be only one.

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But are these definitions the same? Related?

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But are these definitions the same? Related?

**Theorem 4** (Markov's Theorem [Mar57]). Let  $f: \{0,1\}^n \to \{0,1\}$  be a function which is not identically 0. Then f is k-alternating if and only if it has inversion complexity  $O(\log k)$ .

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**Theorem 7** (Markov's Theorem [Mar57]). Let  $f: \{0,1\}^n \to \{0,1\}$  be a function which is not identically 0. Then f is k-alternating if and only if it has inversion complexity  $O(\log k)$ .

A refinement of this characterization:

**Theorem 8.** If f is k-alternating, then it can be written  $f(x) = h(m_1(x), \ldots, m_k(x))$ , where each  $m_i(x)$  is monotone and h is either the parity function or its negation. Conversely, any function of this form is k-alternating.

Corollary 9. Every  $f \in C_t^n$  can be expressed as  $f = h(m_1, \dots, m_T)$  where h is either Parity<sub>T</sub> or its negation, each  $m_i : \{0, 1\}^n \to \{0, 1\}$  is monotone, and  $T = O(2^t)$ .

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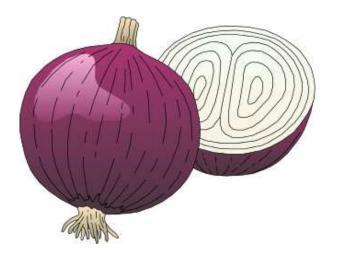
**Theorem 10** (Markov's Theorem [Mar57]). Let  $f: \{0,1\}^n \to \{0,1\}$  be a function which is not identically 0. Then f is k-alternating if and only if it has inversion complexity  $O(\log k)$ .

A refinement of this characterization:

**Theorem 11.** If f is k-alternating, then it can be written  $f(x) = h(m_1(x), \dots, m_k(x))$ , where each  $m_i(x)$  is monotone and h is either the parity function or its negation. Conversely, any function of this form is k-alternating.

Corollary 12. Every  $f \in C_t^n$  can be expressed as  $f = h(m_1, \dots, m_T)$  where h is either  $Parity_T$  or its negation, each  $m_i : \{0,1\}^n \to \{0,1\}$  is monotone, and  $T = O(2^t)$ .

Proof (and interpretation). the  $m_i$ 's are successive nested layers:



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Learning  $C_t^n$ : Upper bound.

### Influence, Low-Degree Algorithm, and a Can of Soup



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**Theorem 13.** There is a uniform-distribution learning algorithm which learns any unknown  $f \in C_t^n$  from random examples to error  $\varepsilon$  in time  $n^{O(2^t\sqrt{n}/\varepsilon)}$ .

### Influence, Low-Degree Algorithm, and a Can of Soup

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**Theorem 15.** There is a uniform-distribution learning algorithm which learns any unknown  $f \in C_t^n$  from random examples to error  $\varepsilon$  in time  $n^{O(2^t\sqrt{n}/\varepsilon)}$ . (Recall the  $n^{O(\sqrt{n}/\varepsilon)}$  for monotone functions, i.e. t=0.)

#### Influence, Low-Degree Algorithm, and a Can of Soup



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**Theorem 17.** There is a uniform-distribution learning algorithm which learns any unknown  $f \in C_t^n$  from random examples to error  $\varepsilon$  in time  $n^{O(2^t\sqrt{n}/\varepsilon)}$ . (Recall the  $n^{O(\sqrt{n}/\varepsilon)}$  for monotone functions, i.e. t=0.)

*Proof.* Recall the *influence* of a Boolean functions is defined as

$$\mathbf{Inf}[f] = \sum_{i=1}^{n} \mathbf{Inf}_i[f], \quad \text{where} \quad \mathbf{Inf}_i[f] = \Pr_{x \in \{0,1\}^n}[f(x) \neq f(x^{\oplus i})]$$

and that monotone functions each have total influence at most  $\sqrt{n}$ . Moreover, we can learn functions with good Fourier concentration:

**Theorem 18** (Low-Degree Algorithm ([LMN93])). Let C be a class of Boolean functions such that for  $\varepsilon > 0$  and  $\tau = \tau(\varepsilon, n)$ ,

$$\sum_{|S| > \tau} \hat{f}(S)^2 \le \varepsilon$$

for any  $f \in \mathcal{C}$ . Then  $\mathcal{C}$  can be learned from uniform random examples in time poly $(n^{\tau}, 1/\varepsilon)$ .

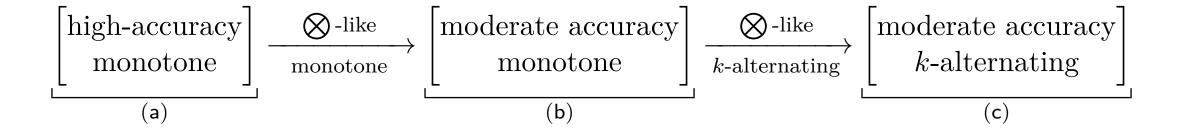
Combining the decomposition theorem, a union bound, some massaging, and the above, k-alternating functions have total influence at most  $k\sqrt{n}$ , and we get the theorem.

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Learning  $C_t^n$ : Lower bound.

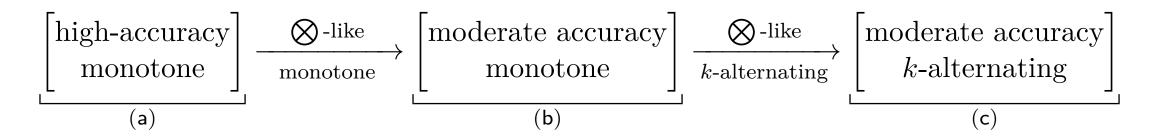
## Three-step program

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(a) Monotone functions are hard to learn well. (A simple extension of [BT96].)

Learning monotone functions to (very small) error  $\frac{1}{\sqrt{n}}$  requires  $2^{Cn}$  queries, for some absolute C > 0.

(b) Monotone functions are hard to learn, period. (Hardness amplification and the previous result.)

Learning monotone functions to (almost any) error  $\varepsilon$  requires  $2^{\Omega(\sqrt{n}/\varepsilon)}$  queries.

(c) k-alternating functions are hard to learn, too! (Hardness amplification again – and a truncated parity.)

Learning k-alternating functions to (almost any) error  $\varepsilon$  requires  $2^{\Omega(k\sqrt{n}/\varepsilon)}$  queries.

## In more detail: tools for (b) and (c) – bear with me

Introduction Generalizing monotone functions:  $\mathcal{C}_t^n$ . Learning  $\mathcal{C}_t^n$ : Upper bound. Learning  $\mathcal{C}_t^n$ : Lower bound. Conclusion and Open Problem(s).

**Definition** (Composition). For  $f: \{0,1\}^m \to \{0,1\}$  and  $g: \{0,1\}^r \to \{0,1\}$ ,  $g \otimes f$  is the function on n = mr variables defined by

$$(g \otimes f)(x) \stackrel{\text{def}}{=} g(f(x_1, \dots, x_m), \dots, f(x_{(r-1)m+1}, \dots, x_{rm}))$$

For any  $g: \{0,1\}^r \to \{0,1\}$  and  $\mathcal{F}_m \subseteq 2^{\{0,1\}^m}$ ,  $g \otimes \mathcal{F}_m = \{g \otimes f: f \in \mathcal{F}_m\}$  and  $g \otimes \mathcal{F} = \{g \otimes \mathcal{F}_m\}_{m \geq 1}$ .

**Definition** (Noise stability). For  $f: \{0,1\}^n \to \{0,1\}$ , the noise stability of f at  $\eta \in [-1,1]$  is

$$\operatorname{Stab}_{\eta}(f) \stackrel{\text{def}}{=} 1 - 2 \Pr[f(x) \neq f(y)]$$

where  $x \sim \{0,1\}^n$ , and x and y are  $\eta$ -correlated.

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**Definition** (Bias and expected bias). The bias of a Boolean function  $h: \{0,1\}^n \to \{0,1\}$  is bias $(h) \stackrel{\text{def}}{=} \max(\Pr[h=1], \Pr[h=0])$  while the expected bias of h at  $\delta$  is defined as

$$\operatorname{ExpBias}_{\delta}(h) \stackrel{\operatorname{def}}{=} \mathbb{E}_{\rho}[\operatorname{bias}(h_{\rho})]$$

where  $\rho$  is a random  $\delta$ -restriction on n coordinates.

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where  $\rho$  is a random  $\delta$ -restriction on n coordinates.

**Theorem 21** (Theorem 12 of [FLS11]). Fix  $g: \{0,1\}^r \to \{0,1\}$ , and let  $\mathcal{F}$  be a class of m-variable functions with "very small bias." Let A be a membership query algorithm that learns  $g \otimes \mathcal{F}$  to accuracy  $\operatorname{ExpBias}_{\gamma}(g) + \epsilon$  using  $T(m, r, 1/\epsilon, 1/\gamma)$  queries. Then there is a membership query algorithm to learn  $\mathcal{F}$  to accuracy  $1 - \gamma$ , using  $O(T \cdot \operatorname{poly}(m, r, 1/\epsilon, 1/\gamma))$  membership queries.

## In more detail: step (b)

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**Theorem 22.** There exists a class  $\mathcal{H}_n$  of balanced n-variable monotone Boolean functions such that for any  $\varepsilon \in [1/n^{1/6}, .49]$ , learning  $\mathcal{H}_n$  to error  $\varepsilon$  requires  $2^{\Omega(\sqrt{n}/\varepsilon)}$  membership queries.

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#### Sketch.

• Choose suitable  $m, r = \omega(1)$  such that mr = n.

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**Theorem 24.** There exists a class  $\mathcal{H}_n$  of balanced n-variable monotone Boolean functions such that for any  $\varepsilon \in [1/n^{1/6}, .49]$ , learning  $\mathcal{H}_n$  to error  $\varepsilon$  requires  $2^{\Omega(\sqrt{n}/\varepsilon)}$  membership queries.

- $\blacksquare$  Choose suitable  $m, r = \omega(1)$  such that mr = n.
- Take the "Mossel-O'Donnell function"  $g_r$  [MO03] (a balanced monotone function minimally stable under very small noise)  $(Why? We want \operatorname{ExpBias}_{\gamma}(g_r) + \epsilon' \leq 1 \varepsilon, \ and \ less \ stable \ means \ smaller \ expected \ bias)$

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**Theorem 25.** There exists a class  $\mathcal{H}_n$  of balanced n-variable monotone Boolean functions such that for any  $\varepsilon \in [1/n^{1/6}, .49]$ , learning  $\mathcal{H}_n$  to error  $\varepsilon$  requires  $2^{\Omega(\sqrt{n}/\varepsilon)}$  membership queries.

- Choose suitable  $m, r = \omega(1)$  such that mr = n.
- Take the "Mossel-O'Donnell function"  $g_r$  [MO03] (a balanced monotone function minimally stable under very small noise)  $(Why? We \ want \ ExpBias_{\gamma}(g_r) + \epsilon' \le 1 \varepsilon, \ and \ less \ stable \ means \ smaller \ expected \ bias)$
- $\blacksquare$  Apply the hardness amplification theorem on  $g_r \otimes \mathcal{G}_m$ ,  $\mathcal{G}_m$  being the "hard class of monotone functions" from Step (a).

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**Theorem 26.** There exists a class  $\mathcal{H}_n$  of balanced n-variable monotone Boolean functions such that for any  $\varepsilon \in [1/n^{1/6}, .49]$ , learning  $\mathcal{H}_n$  to error  $\varepsilon$  requires  $2^{\Omega(\sqrt{n}/\varepsilon)}$  membership queries.

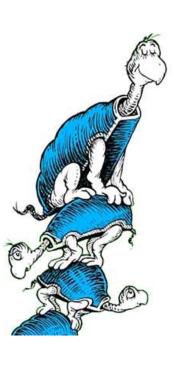
- Choose suitable  $m, r = \omega(1)$  such that mr = n.
- Take the "Mossel-O'Donnell function"  $g_r$  [MO03] (a balanced monotone function minimally stable under very small noise)  $(Why? We \ want \ ExpBias_{\gamma}(g_r) + \epsilon' \le 1 \varepsilon, \ and \ less \ stable \ means \ smaller \ expected \ bias)$
- $\blacksquare$  Apply the hardness amplification theorem on  $g_r \otimes \mathcal{G}_m$ ,  $\mathcal{G}_m$  being the "hard class of monotone functions" from Step (a).
- Hope all the constants and parameters work out.

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**Theorem 27.** There exists a class  $\mathcal{H}_n$  of balanced n-variable monotone Boolean functions such that for any  $\varepsilon \in [1/n^{1/6}, .49]$ , learning  $\mathcal{H}_n$  to error  $\varepsilon$  requires  $2^{\Omega(\sqrt{n}/\varepsilon)}$  membership queries.

#### Sketch.

- Choose suitable  $m, r = \omega(1)$  such that mr = n.
- Take the "Mossel-O'Donnell function"  $g_r$  [MO03] (a balanced monotone function minimally stable under very small noise)  $(Why? We \ want \ ExpBias_{\gamma}(g_r) + \epsilon' \le 1 \varepsilon, \ and \ less \ stable \ means \ smaller \ expected \ bias)$
- Apply the hardness amplification theorem on  $g_r \otimes \mathcal{G}_m$ ,  $\mathcal{G}_m$  being the "hard class of monotone functions" from Step (a).
- Hope all the constants and parameters work out.



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**Theorem 28.** For any function  $k: \mathbb{N} \to \mathbb{N}$ , there exists a class  $\mathcal{H}^{(k)}$  of balanced k(n)-alternating Boolean functions (on n variables) such that, for any n sufficiently large and  $\varepsilon > 0$  such that (i)  $2 \le k < n^{1/14}$ , and (ii)  $k^{7/3}/n^{1/6} \le \varepsilon \le .49$ , learning  $\mathcal{H}^{(k)}$  to accuracy  $1 - \varepsilon$  requires  $2^{\Omega(k\sqrt{n}/\varepsilon)}$  membership queries.

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**Theorem 29.** For any function  $k: \mathbb{N} \to \mathbb{N}$ , there exists a class  $\mathcal{H}^{(k)}$  of balanced k(n)-alternating Boolean functions (on n variables) such that, for any n sufficiently large and  $\varepsilon > 0$  such that (i)  $2 \le k < n^{1/14}$ , and (ii)  $k^{7/3}/n^{1/6} \le \varepsilon \le .49$ , learning  $\mathcal{H}^{(k)}$  to accuracy  $1 - \varepsilon$  requires  $2^{\Omega(k\sqrt{n}/\varepsilon)}$  membership queries.

#### Sketch.

• Choose suitable  $m, r = \omega(1)$  such that mr = n and  $r \approx k^2$ .

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**Theorem 30.** For any function  $k: \mathbb{N} \to \mathbb{N}$ , there exists a class  $\mathcal{H}^{(k)}$  of balanced k(n)-alternating Boolean functions (on n variables) such that, for any n sufficiently large and  $\varepsilon > 0$  such that (i)  $2 \le k < n^{1/14}$ , and (ii)  $k^{7/3}/n^{1/6} \le \varepsilon \le .49$ , learning  $\mathcal{H}^{(k)}$  to accuracy  $1 - \varepsilon$  requires  $2^{\Omega(k\sqrt{n}/\varepsilon)}$  membership queries.

- Choose suitable  $m, r = \omega(1)$  such that mr = n and  $r \approx k^2$ .
- Take  $\mathsf{Parity}_{k,r}$ , the "k-Truncated  $\mathsf{Parity}$  function on r variables" as combining function, in lieu of the previous  $g_r$ .

  (Why? We want our function to be k-alternating, very little stable, and  $r \approx k^2$  instead of k is a technicality)

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**Theorem 31.** For any function  $k: \mathbb{N} \to \mathbb{N}$ , there exists a class  $\mathcal{H}^{(k)}$  of balanced k(n)-alternating Boolean functions (on n variables) such that, for any n sufficiently large and  $\varepsilon > 0$  such that (i)  $2 \le k < n^{1/14}$ , and (ii)  $k^{7/3}/n^{1/6} \le \varepsilon \le .49$ , learning  $\mathcal{H}^{(k)}$  to accuracy  $1 - \varepsilon$  requires  $2^{\Omega(k\sqrt{n}/\varepsilon)}$  membership queries.

- Choose suitable  $m, r = \omega(1)$  such that mr = n and  $r \approx k^2$ .
- Take  $\mathsf{Parity}_{k,r}$ , the "k-Truncated  $\mathsf{Parity}$  function on r variables" as combining function, in lieu of the previous  $g_r$ .

  (Why? We want our function to be k-alternating, very little stable, and  $r \approx k^2$  instead of k is a technicality)
- Apply the hardness amplification theorem on  $\mathsf{Parity}_{k,r} \otimes \mathcal{H}_m$ ,  $\mathcal{H}_m$  being the "hard class of monotone functions" from Step (b).

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**Theorem 32.** For any function  $k: \mathbb{N} \to \mathbb{N}$ , there exists a class  $\mathcal{H}^{(k)}$  of balanced k(n)-alternating Boolean functions (on n variables) such that, for any n sufficiently large and  $\varepsilon > 0$  such that (i)  $2 \le k < n^{1/14}$ , and (ii)  $k^{7/3}/n^{1/6} \le \varepsilon \le .49$ , learning  $\mathcal{H}^{(k)}$  to accuracy  $1 - \varepsilon$  requires  $2^{\Omega(k\sqrt{n}/\varepsilon)}$  membership queries.

- Choose suitable  $m, r = \omega(1)$  such that mr = n and  $r \approx k^2$ .
- Take  $\mathsf{Parity}_{k,r}$ , the "k-Truncated  $\mathsf{Parity}$  function on r variables" as combining function, in lieu of the previous  $g_r$ .

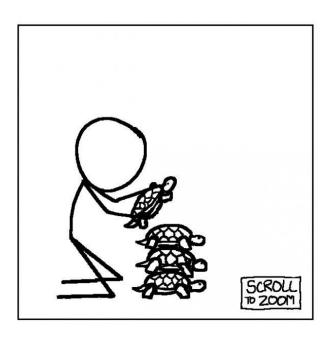
  (Why? We want our function to be k-alternating, very little stable, and  $r \approx k^2$  instead of k is a technicality)
- Apply the hardness amplification theorem on  $\mathsf{Parity}_{k,r} \otimes \mathcal{H}_m$ ,  $\mathcal{H}_m$  being the "hard class of monotone functions" from Step (b).
- Really hope all the constants and parameters work out.

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**Theorem 33.** For any function  $k: \mathbb{N} \to \mathbb{N}$ , there exists a class  $\mathcal{H}^{(k)}$  of balanced k(n)-alternating Boolean functions (on n variables) such that, for any n sufficiently large and  $\varepsilon > 0$  such that (i)  $2 \le k < n^{1/14}$ , and (ii)  $k^{7/3}/n^{1/6} \le \varepsilon \le .49$ , learning  $\mathcal{H}^{(k)}$  to accuracy  $1 - \varepsilon$  requires  $2^{\Omega(k\sqrt{n}/\varepsilon)}$  membership queries.

- Choose suitable  $m, r = \omega(1)$  such that mr = n and  $r \approx k^2$ .
- Take  $\mathsf{Parity}_{k,r}$ , the "k-Truncated  $\mathsf{Parity}$  function on r variables" as combining function, in lieu of the previous  $g_r$ .

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- Apply the hardness amplification theorem on  $\mathsf{Parity}_{k,r} \otimes \mathcal{H}_m$ ,  $\mathcal{H}_m$  being the "hard class of monotone functions" from Step (b).
- Really hope all the constants and parameters work out.



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## Conclusion and Open Problem(s).

## Open problems

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Weak Learning: can one learn  $C_t^n$  to error  $\frac{1}{2} - \frac{1}{\text{poly}(n)}$  ("barely better than random") in polynomial time? (Related) Fourier spectrum: Can we get any further understanding of the Fourier spectrum of k-alternating functions?

Concrete example:

Let f, g be monotone Boolean functions, and  $h = \mathsf{Parity}(f, g)$ . Can we prove

$$\sum_{|S| \le 2} \hat{h}(S)^2 \ge \frac{1}{\text{poly}(n)}?$$

Or even  $\sum_{|S| \le 2} \hat{h}(S)^2 > 0$ ?

## Thank you.

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# Any question?

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