Communication with Imperfect Shared Randomness

(Joint work with Venkatesan Guruswami (CMU), Raghu Meka (UCLA) and Madhu Sudan (MSR))

Who? When? Clément Canonne (Columbia University)

January 12, 2015

There is a world outside of n

Context

There is Alice, Bob, what they communicate and what they don't have to.

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The

$$f: \{0,1\}^n \times \{0,1\}^n \to \{0,1\}$$
,

they compute; the protocol

П

they use; from which

$$D_x, D_y$$

their inputs come; what is blue and what red means.

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This talk: Shared randomness in communication complexity as "context"

Recall: Randomness in Communication Complexity

Equality testing

I have $x \in \{0,1\}^n$, you have $y \in \{0,1\}^n$, are they equal?

Complexity?

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- Deterministic $det(EQ) = \Theta(n)$
- Private randomness private(EQ) = $\Theta(\log n)$
- Shared randomness psr(EQ) = O(1)

(Recall Newman's Theorem:

$$private(P) \le psr(P) + O(\log n).$$

This work

Randomness and uncertainty

ISR (Imperfectly Shared Randomness) What if the randomness ("context") was not perfectly in sync?

To compute f(x, y):

- Alice: has access to $r \in \{\pm 1\}^*$, gets input $x \in \{0, 1\}^n$
- Bob: has access to $s \in \{\pm 1\}^*$, gets input $y \in \{0,1\}^n$ w/ $r \sim_{\rho} s$: s is obtained by perturbing each bit of r independently

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Randomness and uncertainty

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Studied (independently) by [BGI14] (different focus: "referee model"; more general correlations).

ISR: general relations

For every P with $x, y \in \{0, 1\}^n$ and $0 \le \rho \le \rho' \le 1$,

$$psr(P) \le isr_{\rho'}(P) \le isr_{\rho}(P)$$

 $\le private(P) \le psr(P) + O(\log n).$

(also true for one-way: $\mathsf{psr}^{\mathrm{ow}}, \mathsf{isr}^{\mathrm{ow}}_{\rho}, \mathsf{private}^{\mathrm{ow}})$

 \rightsquigarrow but for many problems, $\log n$ is already huge.

Rest of the talk

- A first example: the COMPRESSION problem
- 2 General upperbound on ISR in terms of PSR
- 3 Strong lower bound: Alice, Bob, Charlie and Dana.

First result: Uncertain Compression

Compression with uncertain priors Alice has P, gets $m \sim P$; Bob knows $Q \simeq P$, wants m.

Previous work

$$P = Q$$

$$P \simeq_{\Delta} Q$$

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$$H(P)$$
 (Huffman coding)
 $H(P) + 2\Delta$ [JKKS11] (w/ shared

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 [JKKS11] (w/ shared randomness)

$$O(H(P) + \Delta + \log \log N)$$
 [HS14] (deterministic)

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$$P = Q$$
 $H(P)$ (Huffman coding)
 $P \simeq_{\Delta} Q$ $H(P) + 2\Delta$ [JKKS11] (w/ shared randomness)
 $P \simeq_{\Delta} Q$ $O(H(P) + \Delta + \log \log N)$ [HS14] (deterministic)

For all
$$\epsilon > 0$$
, $\operatorname{isr}^{\operatorname{ow}}_{\rho} \left(\operatorname{COMPRESS}_{\Delta} \right) \leq \frac{1+\epsilon}{1-h(\frac{1-\rho}{2})} (H(P) + 2\Delta + O(1))$ "natural protocol"

General upperbound

It's inner products all the way down!

Theorem

$$\forall \rho > 0, \ \exists c < \infty \ \text{such that} \ \forall k, \ \text{we have}$$

$$\mathsf{PSR}(k) \subseteq \mathsf{ISR}^{\mathrm{ow}}_{\rho}(c^k).$$

Proof. (Outline)

- Define GAPINNERPRODUCT, "complete" for PSR(k) (see strategies as X_R , $Y_R\{0,1\}^{2^k}$; use Newman's Theorem to bound # R's);
- Show there exists a (Gaussian-based) isr protocol for GAPINNERPRODUCT, with $O_{\rho}(4^k)$ bits of comm.

General upperbound

Can we do better?

For problems in $PSR^{ow}(k)$?

For ISR_{ρ} ?

$$\mathsf{PSR}^{\mathrm{ow}}(k) \subseteq \mathsf{ISR}^{\mathrm{ow}}_{\rho}(c^{o(k)})$$
?

$$\mathsf{PSR}(\omega(k)) \subseteq \mathsf{ISR}_{\rho}(c^k)$$
?

General upperbound

Can we do better?

For problems in $PSR^{ow}(k)$?

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Answer

 $\mathsf{PSR}^{\mathrm{ow}}(k) \subseteq \mathsf{ISR}^{\mathrm{ow}}_{\rho}(c^{o(k)})$?

 $\mathsf{PSR}(\omega(k)) \subseteq \mathsf{ISR}_{\rho}(c^k)$?

No.

Strong converse: lower bound

It's as good as it gets.

Theorem

$$orall k$$
, $\exists P=(P_n)_{n\in\mathbb{N}}$ s.t. $\mathsf{psr}^{\mathrm{ow}}(P)\leq k$, yet $orall
ho<1$ $\mathsf{isr}_{
ho}(P)=2^{\Omega_{
ho}(k)}$.

Proof.

(High-level)

- Define SparseGapInnerProduct, relaxation of GapInnerProduct.
- Show it has as $O(\log q)$ -bit one-way psr protocol (Alice uses the shared randomness to send *one* coordinate to Bob)
- isr lower bound: argue that for any (fixed)* strategy of Alice and Bob using less that \sqrt{q} bits, either (a) something impossible happens in the Boolean world, or (b) something impossible happens in the Gaussian world.

Strong converse: lower bound

Two-pronged impossibility: more on the prongs.

- Case (a) The strategies $(f_r, g_s)_{r,s}$ have common high-influence variable: Charlie and Dana can use Alice and Bob's protocol to *distill randomness*.
- Case (b) If no such variable: with a new Invariance Principle¹, Charlie and Dana can apply Alice and Bob's protocol "transferred to the Gaussian world" to solve (yet another) problem, Gaussian Inner Product.

¹In the spirit of [Mos10]

Conclusions

Summary

- Dealing with more realistic situations: Alice, Bob, and what they do not know about each other;
- comes into play when n is **huge** (Newman's Theorem becomes loose);
- show general and tight relations and reductions in this model, with both upper and lower bounds.
- a new invariance theorem, and use in comm. complexity.

Conclusions

Summary

- Dealing with more realistic situations: Alice, Bob, and what they do not know about each other;
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 - a new invariance theorem, and use in comm. complexity.

What about...

- more general forms of correlations?
- cases where even randomness is expensive? (minimize its use)
 - one-sided error?

Thank you.

(Questions?)



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Theorem (Our Invariance Principle)

Fix any two parameters $p_1, p_2 \in (-1,1)$. For all $\varepsilon \in (0,1]$, $\ell \in \mathbb{N}$, $\theta_0 \in [0,1)$, and closed convex sets $K_1, K_2 \subseteq [0,1]^\ell$ there exist $\tau > 0$ and mappings

$$T_1: \{f: \{+1, -1\}^n \to K_1\} \to \{F: \mathbb{R}^n \to K_1\}$$

 $T_2: \{g: \{+1, -1\}^n \to K_2\} \to \{G: \mathbb{R}^n \to K_2\}$

such that for all $\theta \in [-\theta_0, \theta_0]$, if f, g satisfy

$$\max_{i \in [n]} \min \left(\max_{j \in [\ell]} \inf_{i}(d) f_j, \max_{j \in [\ell]} \inf_{i}(d) g_j \right) \leq \tau$$

then, for $F = T_1(f)$ and $G = T_2(g)$, we have where $N = N_{p_1,p_2,\theta}$ and G is the Gaussian distribution which matches the first and second-order moments of N.

Theorem (Invariance Theorem of [GHM+11])

Let (Ω, μ) be a finite prob. space with each prob. at least $\alpha \leq 1/2$. Let $b = |\Omega|$ and $\mathcal{L} = \{\chi_0 = 1, \chi_1, \chi_2, \dots, \chi_{b-1}\}$ be a basis for r.v.'s over Ω . Let $\Upsilon = \{\xi_0 = 1, \xi_1, \dots, \xi_{b-1}\}$ be an ensemble of real-valued Gaussian r.v.'s with 1^{st} and 2^{nd} moments matching those of the χ_i 's; and $h = (h_1, h_2, \dots, h_t) \colon \Omega^n \to \mathbb{R}^t$ s.t.

$$\mathsf{Inf}_i(h_\ell) \leq \tau, \qquad \mathsf{Var}(h_\ell) \leq 1$$

for all $i \in [n]$ and $\ell \in [t]$. For $\eta \in (0,1)$, let H_{ℓ} ($\ell \in [t]$) be the multilinear polynomial associated with $T_{1-\eta}h_{\ell}$ w.r.t. \mathcal{L} . If $\Psi \colon \mathbb{R}^t \to \mathbb{R}$ is Λ -Lipschitz (w.r.t. the L_2 -norm), then

$$\begin{split} \left| \mathbb{E} \Big[\Psi \big(H_1(\mathcal{L}^n), \cdots, H_t(\mathcal{L}^n) \big) \Big] - \mathbb{E} \Big[\Psi \big(H_1(\Upsilon^n), \cdots, H_t(\Upsilon^n) \big) \Big] \right| \\ & \leq C(t) \cdot \Lambda \cdot \tau^{\frac{n}{18} \log \frac{1}{\alpha}} = o_{\tau}(1) \end{split}$$

for some constant C = C(t).

Strong converse: lower bound

Two-pronged impossibility, first prong.

Case (a)

The strategies $(f_r, g_s)_{r,s}$ have common high-influence variable (recall the one-way psr protocol).

But then, two players Charlie and Dana can* leverage this strategies to win an agreement distillation game:

Definition (Agreement distillation)

Charlie and Dana have no inputs. Their goal is to output w_C and w_D satisfying:

$$\Pr[w_C = w_D] \ge \gamma;$$

$$H_{\infty}(w_C), H_{\infty}(w_D) > \kappa.$$

But this requires $\Omega(\kappa) - \log(1/\gamma)$ bits of communication (via [BM10, Theorem 1]).

Strong converse: lower bound

Two-pronged impossibility, second prong.

Case (b)

 $f_r\colon\{0,1\}^n\to \mathcal{K}_A\subset [0,1]^{2^k},\ g_s\colon\{0,1\}^n\to \mathcal{K}_B\subset [0,1]^{2^k}$ have no common high-influence variable. We then show that this implies $k=2^{\Omega(\sqrt{q})}$, by using an *Invariance Principle* (in the spirit of [Mos10]) to "go to the Gaussian world": if f,g are low-degree polynomials with no common influential variable, then

$$\mathbb{E}_{(x,y)\sim N^{\otimes n}}\left[\langle f(x),g(y)\rangle\right]\simeq \mathbb{E}_{(X,Y)\sim\mathcal{G}^{\otimes n}}\left[\langle F(X),G(Y)\rangle\right]$$

and Charlie and Dana can use this solve (yet another) problem, the Gaussian Inner Product (GaussianCorrelation $_{\mathcal{E}}$).

But...

a reduction to DISJOINTNESS shows that (even with psr) this requires $\Omega(1/\xi)$ bits of communication.