# Communication with Imperfect Shared Randomness

(Joint work with Venkatesan Guruswami (CMU), Raghu Meka (?) and Madhu Sudan (MSR))

Who?

Clément Canonne (Columbia University)

When?

November 19, 2014

There is a world outside of n

#### Context

There is Alice, Bob, what they communicate and what they don't have to.

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The

$$f: \{0,1\}^n \times \{0,1\}^n \to \{0,1\}$$
,

they compute; the protocol

П

they use; from which

$$D_x, D_y$$

their inputs come; what is blue and what red means.

But context is not perfect...

Noise, misunderstandings, false assumptions Context is almost never perfectly shared.

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- My periwinkle is your orchid.
- the printer on the 5<sup>th</sup> floor of Columbia is not *exactly* the model my laptop has a driver for.
- what precisely is a "French baguette" around here?

What about randomness?

### Equality testing

I have  $x \in \{0,1\}^n$ , you have  $y \in \{0,1\}^n$ , are they equal?

### Complexity?

- Deterministic det-cc(EQ) =  $\Theta(n)$
- Private randomness private- $cc(EQ) = \Theta(\log n)$ 
  - Shared randomness psr-cc(EQ) = O(1)

(Recall Newman's Theorem:

$$private-cc(P) \le psr-cc(P) + O(\log n).$$

#### This work

Randomness and uncertainty

ISR (Imperfectly Shared Randomness)

What if the randomness ("context") was not perfectly in sync?

To compute f(x, y):

- Alice: has access to  $r \in \{\pm 1\}^*$ , gets input  $x \in \{0,1\}^n$
- Bob: has access to  $s \in \{\pm 1\}^*$ , gets input  $y \in \{0,1\}^n$  w/  $r \sim_{\rho} s$ :  $\mathbb{E} r_i = \mathbb{E} s_i = 0$ ,  $\mathbb{E} r_i s_i = \rho$ ,  $(r_i, s_i) \perp (r_j, s_j)$ .

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Studied (independently) by [BGI14] (different focus: "referee model"; more general correlations).

### ISR: general relations

For every P with 
$$x, y \in \{0, 1\}^n$$
 and  $0 \le \rho \le \rho' \le 1$ ,

$$psr-cc(P) \le isr-cc_{\rho'}(P) \le isr-cc_{\rho}(P)$$
  
  $\le private-cc(P) \le psr-cc(P) + O(\log n).$ 

(also true for one-way:  $\mathsf{psr\text{-}cc^{ow}}, \mathsf{isr\text{-}cc^{ow}_{\rho}}, \mathsf{private\text{-}cc^{ow}})$ 

 $\rightsquigarrow$  but for many problems,  $\log n$  is already huge.

#### Rest of the talk

- 1 A first example: the COMPRESSION problem
- 2 General upperbound on ISR in terms of PSR
- 3 Strong lower bound: Alice, Bob, Charlie and Dana.

# First result: Compression

Compression with uncertain priors Alice has P, gets  $m \sim P$ ; Bob knows  $Q \simeq P$ , wants m.

Previous work

$$P = Q$$

$$P \simeq_{\Delta} Q$$

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H(P) (Huffman coding)  $P \simeq_{\Lambda} Q$   $H(P) + 2\Delta$  [JKKS11] (w/ shared randomness)  $O(H(P) + \Delta + \log \log N)$  [HS14] (deterministic)

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For all 
$$\epsilon > 0$$
, 
$$\text{isr-cc}_{\rho}^{\text{ow}} \big( \text{Compress}_{\Delta} \big) \leq \frac{1+\epsilon}{1-h(\frac{1-\rho}{2})} \big( H(P) + 2\Delta + O(1) \big)$$
 "natural protocol"

### General upperbound

It's inner products all the way down!

Theorem

$$\forall \rho > 0$$
,  $\exists c < \infty$  such that  $\forall k$ , we have

$$\mathsf{PSR}\text{-}\mathsf{CC}(k) \subseteq \mathsf{ISR}\text{-}\mathsf{CC}^{\mathrm{ow}}_{\rho}(c^k)$$
.

Proof. (Outline)

- Define GapInnerProduct, "complete" for PSR-CC(k) (see strategies as  $X_R$ ,  $Y_R\{0,1\}^{2^k}$ ; use Newman's Theorem to bound # R's);
- Show there exists a (Gaussian-based) isr protocol for GAPINNERPRODUCT, with  $O_{\rho}(4^k)$  bits of comm.

### General upperbound

Can we do better?

For problems in PSR-CC $^{ow}(k)$ ?

For ISR-CC $_{\rho}$ ?

 $\mathsf{PSR\text{-}CC^{ow}}(k) \subseteq \mathsf{ISR\text{-}CC^{ow}_{\rho}}(c^{o(k)})?$ 

 $\mathsf{PSR\text{-}CC}(\omega(k)) \subseteq \mathsf{ISR\text{-}CC}_{\rho}(c^k)?$ 

### General upperbound

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Answer

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 $\mathsf{PSR\text{-}CC}(\omega(k)) \subseteq \mathsf{ISR\text{-}CC}_{\rho}(c^k)?$ 

No.

### Strong converse: lower bound

It's as good as it gets.

Theorem

$$orall k$$
,  $\exists P=(P_n)_{n\in\mathbb{N}}$  s.t.  $\operatorname{psr-cc^{ow}}(P)\leq k$ ,  $\operatorname{yet} orall 
ho<1$   $\operatorname{isr-cc}_{
ho}(P)=2^{\Omega_{
ho}(k)}$  .

#### Proof.

(High-level)

- Define SparseGapInnerProduct, relaxation of GapInnerProduct.
- Show it has as  $O(\log q)$ -bit one-way psr protocol (Alice uses the shared randomness to send *one* coordinate to Bob)
- isr lower bound: argue that for any (fixed)\* strategy of Alice and Bob using less that  $\sqrt{q}$  bits, either (a) something impossible happens in the Boolean world, or (b) something impossible happens in the Gaussian world.

### Strong converse: lower bound

Two-pronged impossibility, first prong.

Case (a)

The strategies  $(f_r, g_s)_{r,s}$  have common high-influence variable (recall the one-way psr protocol).

But then, two players Charlie and Dana can\* leverage this strategies to win an agreement distillation game:

Definition (Agreement distillation)

Charlie and Dana have no inputs. Their goal is to output  $w_C$  and  $w_D$  satisfying:

$$\Pr[w_C = w_D] \ge \gamma;$$
  

$$H_{\infty}(w_C), H_{\infty}(w_D) > \kappa.$$

But this requires  $\Omega(\kappa) - \log(1/\gamma)$  bits of communication (via [BM10, Theorem 1]).

### Strong converse: lower bound

Two-pronged impossibility, second prong.

Case (b)

 $f_r\colon\{0,1\}^n\to \mathcal{K}_A\subset [0,1]^{2^k},\ g_s\colon\{0,1\}^n\to \mathcal{K}_B\subset [0,1]^{2^k}$  have no common high-influence variable. We then show that this implies  $k=2^{\Omega(\sqrt{q})}$ , by using an *Invariance Principle* (in the spirit of [Mos10]) to "go to the Gaussian world": if f,g are low-degree polynomials with no common influential variable, then

$$\mathbb{E}_{(x,y) \sim N^{\otimes n}} \left[ \langle f(x), g(y) \rangle \right] \simeq \mathbb{E}_{(X,Y) \sim \mathcal{G}^{\otimes n}} \left[ \langle F(X), G(Y) \rangle \right]$$

and Charlie and Dana can use this solve (yet another) problem, the Gaussian Inner Product (GaussianCorrelation $_{\mathcal{E}}$ ).

But..

a reduction to DISJOINTNESS shows that (even with psr) this requires  $\Omega 1/\xi$  bits of communication.

#### Conclusions

#### Summary

- Dealing with more realistic situations: Alice, Bob, and what they do not know about each other;
- comes into play when n is **huge** (Newman's Theorem becomes loose);
- show general and tight relations and reductions in this model, with both upper and lower bounds.
- a new invariance theorem, and use in comm. complexity.

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  - show general and tight relations and reductions in this model, with both upper and lower bounds.
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#### What about...

- more general forms of correlations?
- cases where even randomness is expensive? (minimize its use)
  - one-sided error?

# Thank you.

(Questions?)

### Theorem (Our Invariance Principle)

Fix any two parameters  $p_1, p_2 \in (-1,1)$ . For all  $\varepsilon \in (0,1]$ ,  $\ell \in \mathbb{N}$ ,  $\theta_0 \in [0,1)$ , and closed convex sets  $K_1, K_2 \subseteq [0,1]^\ell$  there exist  $\tau > 0$  and mappings

$$T_1: \{f: \{+1, -1\}^n \to K_1\} \to \{F: \mathbb{R}^n \to K_1\}$$
  
 $T_2: \{g: \{+1, -1\}^n \to K_2\} \to \{G: \mathbb{R}^n \to K_2\}$ 

such that for all  $\theta \in [-\theta_0, \theta_0]$ , if f, g satisfy

$$\max_{i \in [n]} \min \left( \max_{j \in [\ell]} \inf_{i}(d) f_j, \max_{j \in [\ell]} \inf_{i}(d) g_j \right) \leq \tau$$

then, for  $F = T_1(f)$  and  $G = T_2(g)$ , we have where  $N = N_{p_1,p_2,\theta}$  and  $\mathcal{G}$  is the Gaussian distribution which matches the first and second-order moments of N.

Theorem (Invariance Theorem of [GHM<sup>+</sup>11]) Let  $(\Omega, \mu)$  be a finite prob. space with each prob. at least  $\alpha \leq 1/2$ . Let  $b = |\Omega|$  and  $\mathcal{L} = \{\chi_0 = 1, \chi_1, \chi_2, \dots, \chi_{b-1}\}$  be a basis for r.v.'s over  $\Omega$ . Let  $\Upsilon = \{\xi_0 = 1, \xi_1, \dots, \xi_{b-1}\}$  be an ensemble of real-valued Gaussian r.v.'s with  $1^{st}$  and  $2^{nd}$  moments matching those of the  $\chi_i$ 's; and  $h = (h_1, h_2, \dots, h_t) \colon \Omega^n \to \mathbb{R}^t$  s.t.

$$\operatorname{Inf}_i(h_\ell) \leq \tau, \qquad \operatorname{Var}(h_\ell) \leq 1$$

for all  $i \in [n]$  and  $\ell \in [t]$ . For  $\eta \in (0,1)$ , let  $H_{\ell}$  ( $\ell \in [t]$ ) be the multilinear polynomial associated with  $T_{1-\eta}h_{\ell}$  w.r.t.  $\mathcal{L}$ . If  $\Psi \colon \mathbb{R}^t \to \mathbb{R}$  is  $\Lambda$ -Lipschitz (w.r.t. the  $L_2$ -norm), then

$$\begin{split} \left| \mathbb{E} \Big[ \Psi \big( H_1(\mathcal{L}^n), \cdots, H_t(\mathcal{L}^n) \big) \Big] - \mathbb{E} \Big[ \Psi \big( H_1(\Upsilon^n), \cdots, H_t(\Upsilon^n) \big) \Big] \right| \\ & \leq C(t) \cdot \Lambda \cdot \tau^{\frac{n}{18} \log \frac{1}{\alpha}} = o_{\tau}(1) \end{split}$$

for some constant C = C(t).



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