# Word Embeddings

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# Questions/discussion reading assignment: Chomsky

- If language is hard to understand and grasp, why do we use simple models? Shouldn't we just use more complex ones since they take more variables into account?
- Why is the algorithmic modeling culture so small compared to the data modeling culture?
- Why does Chomsky believe that childhood lasts 10<sup>8</sup> seconds, so only about three years and two months?
- How could one combine the statistical with the theoretical approach to NLP? Would this be possible with word vectors?
- What is the difference between "accurately modelling the world" and "providing insight". Do we want to provide insight, or do we want to find a model representing 100% of the world?

# Questions/discussion reading assignment: Chomsky

- I noticed that Markov models were often mentioned. I wonder what exactly these are and how they work.
- One concern I have with data-driven modeling is that it will be limited by the use of existing/old data. Therefore theories become essential to distinguish the significance of the result. To what extent is theory used in the modeling process, and how much do they rely on each other?
  - On the gap between computational and theoretical linguistics https://www.virtual2021.eacl.org/plenary\_session\_ keynote\_by\_marco\_baroni.html

# Questions/discussion reading assignment: Smith

- How does this approach compare to other approaches covered in class? Is this the best method? Does the best method even exist when it comes to Text Mining?
- I'm not sure that I understood very well how this works in practice, specifically the part about defining and assigning the different dimensions to the vectors.
- What exactly is the computational bottleneck here?
  - Computation time and GPU memory
- we keep using cosine similarity calculations, is it also possible to use sin or tangent?
  - Vector length

# Questions/discussion reading assignment: Smith

- How does the standard benchmark process on these developed models work?
- What exactly is the relationship between "contextual word vectors" and language models, whether the vectors are the outputs, the inputs of the language model or something different.
- Can word distributional vectors and their applications such as Brown clustering be used to provide insight/ help recover lost languages?
- Is it really possible that we'll ever make a language modelling system that could be better at using language than a human?

# Assignments

- Reading assignment Word2Vec: 13/03
- Assignment 2 (word embeddings): 18/03

## Overview

- Recap on Word Vectors
- Word2Vec
- GloVe
- 4 Word2Vec Expanded

## **Recap on Word Vectors**

## **Vector Semantics**

"The meaning of a word is its use in the language." Wittgenstein, 1953.

- Vector semantics combines two intuitions:
  - ▶ **Distributional approach**: define a word by the contexts it occurs into.
  - ▶ **Vectorization**: use vectors to represent word meaning.
- Feature engineering for NLP: word vectors are used as features for other tasks.
- (Word) vectors are usually referred as (word) **embeddings** in modern neural network literature.

#### Co-occurrences

```
...ound and sonic power of a [new electric
                                              guitar
                                                      played through] a guitar amp has play...
                          ...[Some electric
                                              guitar
                                                      models featurel piezoelectric pickups...
                                 ...[Playing
                                              guitar
                                                      with a] pick produces a bright sound ...
...ings, he is known for [playing fretless
                                              guitar
                                                      in his] performances...
                ...the neck of [a classical
                                              guitar
                                                      is tool wide and the normal position ...
...t in the centre of Bristol [playing the
                                                      , I was] punched in the head while, a...
                                              piano
...r in Houston, Texanstagram [playing the
                                              piano
                                                      in his] flooded home after Hurrican H...
... some supplies, he stopped to [play the
                                              piano
                                                      that was] sitting in knee-high water ...
...te and one black, who [played classical
                                              piano
                                                      together]...
                     ...The [first electric
                                              pianos
                                                      from thel late 1920s used metal strin...
...technologies, for example [the electric
                                               car
                                                      and thel integration of mobile commun...
...study had each driver of [each electric
                                               car
                                                      drive unimpeded], perform a task whil...
...Honda to commence testing of [their new
                                               car
                                                      and the American was no doubt more t...
...mary design considerations for [the new
                                                      were "safety] innovations, performanc...
                                               car
...would be possible if almost [all private
                                                      requiring drivers], which are not in ...
                                               cars
... who donate to groups [providing private
                                                      scholarships have] written pieces att...
                                              school
... that students participating [in private
                                              school
                                                      choice programs] graduate high school...
...s in the establishment of this [new high
                                              school.
                                                       , named the | Gavirate Business School...
         ...Anna heads into her [final high
                                              school
                                                      vear beforel university wanting somet...
... but he can prevent them from [playing at school]
```

## Word-Context matrix

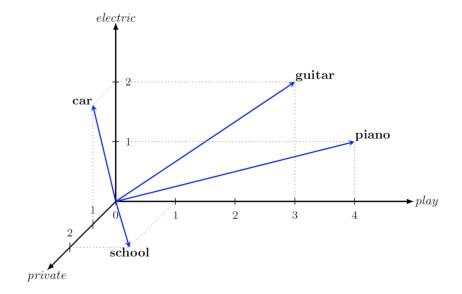
- We have a set of words V and a set of contexts they occur into C, taken from our corpus of documents. X in this case is a  $|V| \times |C|$  matrix with word occurrences in contexts.
- The most intuitive context are co-occurrences with other words in V, within a certain **window**. In this case, X would be a  $|V| \times |V|$  matrix.

	aardvark	 computer	data	pinch	result	sugar	
apricot	0	 0	0	1	0	1	
pineapple	0	 0	0	1	0	1	
digital	0	 2	1	0	1	0	
information	0	 1	6	0	4	0	

**Figure 6.5** Co-occurrence vectors for four words, computed from the Brown corpus, showing only six of the dimensions (hand-picked for pedagogical purposes). The vector for the word *digital* is outlined in red. Note that a real vector would have vastly more dimensions and thus be much sparser.

Credit: J&M. ch. 6.

## **Vectors**



## Families of vectors

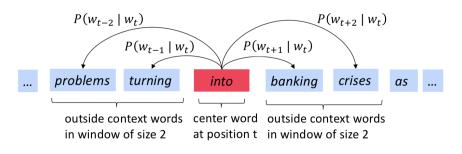
- **Sparse vectors**: many zero values and high-dimensional spaces. E.g., weighted co-occurrence matrices.
- Dense vectors: no zero values and comparatively smaller-dimensional spaces.
  - Dimensionality reduction (Singular Value Decomposition, Random indexing, Non-negative matrix factorization).
  - ▶ **Neural-network inspired** (Word2Vec, GloVe, BERT and many more): we start today.

#### Word2Vec

#### Intuition

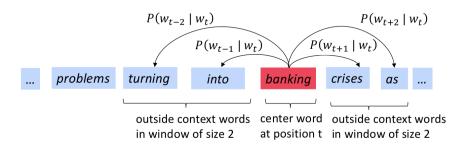
- Word2Vec: a framework for learning dense word vectors.
- Idea:
  - 1 We have a large corpus of text.
  - We want each word in the vocabulary to be represented by a vector.
  - 3 We can go through the corpus and establish a *context o* for every *center/focus word c*, using a certain window/span.
  - We use the similarity of the word vectors c and o to calculate the probability of context words o given c.
  - We keep adjusting word vectors until our predictions are good.

## Words in context



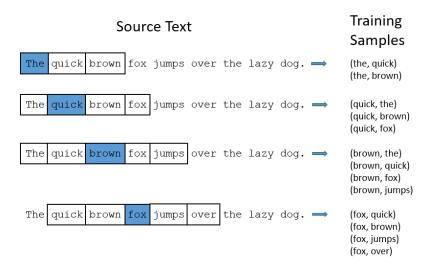
Credit: Stanford CS224N.

## Words in context



Credit: Stanford CS224N.

## Words in context as data



# $\label{lem:composition} \begin{tabular}{ll} $$ Credit: $http: $$ //mccormickml. $com/2016/04/19/word2vec-tutorial-the-skip-gram-model. $$ $$ $$$

## The model

 Our task, for every c (center), o (context) pair, is to estimate high probabilities for:

$$p(w_o|w_c)$$

- The model parameters are the word embeddings w.
- For each word position t = 1...T, we predict context words within a windows of size m, given the center word  $w_t$  (at each position):

$$L(\boldsymbol{w}) = \prod_{t=1}^{T} \prod_{-m \leq j \leq m; j \neq 0} p(\boldsymbol{w}_{t+j} | \boldsymbol{w}_{t})$$

• L(w) is the likelihood.

## The model

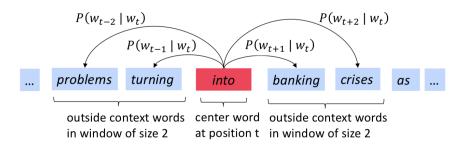
• Loss function is the negative log likelihood:

$$\mathcal{L}(\boldsymbol{w}) = -\frac{1}{T}logL(\boldsymbol{w}) = -\frac{1}{T}\sum_{t=1}^{T}\sum_{-m \leq j \leq m; j \neq 0} logp(\boldsymbol{w}_{t+j}|\boldsymbol{w}_t)$$

- Minimizing the loss is equivalent to maximizing the likelihood.
- How to calculate  $p(\mathbf{w}_{t+i}|\mathbf{w}_t)$ ? Use two vectors for each word:
  - $\triangleright$   $v_w$  when w is a center word
  - $\triangleright$   $u_w$  when w is a context word
  - ▶ It is not likely that a word occurs in its own context
- Use the softmax (generalization of the sigmoid) to predict the probabilities of a c (center), o (context) pair:

$$p(o|c) = \frac{exp(u_o^T v_c)}{\sum_{w \in V} exp(u_w^T v_c)}$$

# Example

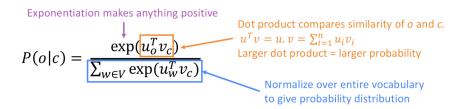


#### We learn to predict:

- $p(u_{problems}|v_{into})$
- $p(u_{turning}|v_{into})$
- $p(u_{banking}|v_{into})$
- $p(u_{crises}|v_{into})$
- •

Credit: Stanford CS224N.

## Softmax



- The softmax maps any value to a probability distribution.
- It amplifies large values (max) but still gives non-zero probabilities to small values (soft).

Credit: Stanford CS224N.

# Training via SGD

- Parameters: our word embeddings, **two per word**.
- Usually, these vectors have length d within 50-1000, thus  $d \ll |V|$ .
- Use gradient descent to optimize and find a minimum of the loss.

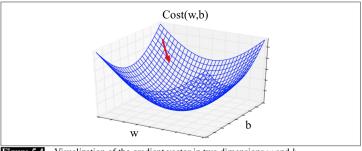


Figure 5.4 Visualization of the gradient vector in two dimensions w and b.

Credit: J&M, ch. 5.

# Training via SGD

- Let us ignore for a moment the normalization term  $\frac{1}{T}$  and the external summations, which are straightforward.
- Let us take the first (partial) derivative w.r.t.  $v_c$  (similarly, you can do this for  $u_o$ ):

$$\frac{\partial}{\partial v_c} log \frac{exp(u_o^T v_c)}{\sum_{w \in V} exp(u_w^T v_c)} = u_o - \sum_{x \in V} \frac{exp(u_x^T v_c)}{\sum_{w \in V} exp(u_w^T v_c)} \cdot u_x$$
$$= u_o - \sum_{x \in V} p(x|c) \cdot u_x$$

• Thus the derivative w.r.t. the central word vector  $v_c$  is the vector for the current context word  $u_o$ , minus the weighted average of the model's current representations of other possible contexts (expected context vector of the model).

# Optimization

- Summing over entire vocabulary for each gradient descent update is computationally expensive
- Subsampling frequent words
  - Word pair "the", "fox" is not very informative
  - Delete highly frequent words from training text with a probability depending on their frequency
- Negative Sampling
  - Instead of adjusting all weights not occurring in the context of a word, only adjust some of them
  - Randomly select according to unigram probability

## **Variants**

#### Doc2Vec

- ▶ Numeric representation of documents, rather than words
- Word2Vec, but also add document ID as feature vector for prediction
- Obtain document vectors for e.g. information retrieval

#### Sent2Vec

- ▶ Numeric representation of sentences, rather than words
- Average of Word2Vec word vectors for a sentence
  - ★ Includes n-gram embeddings rather than just unigram embeddings

#### Nonce2Vec

- Extension of Word2Vec for training unknown/low-frequency words into an existing Word2Vec model
- ▶ Incremental learning: more cognitively plausible
- ► Includes parameter decay, e.g. a high learning rate for the first example which decreases for further examples

## Final words on Word2Vec

- After having trained the model, we typically use the vectors  $v_w$  or the average of  $v_w$  and  $u_w$ . We use two vectors as a kind of trick to make the derivation (and thus training) simpler.
- What we discussed is called the Skip-gram model.
- Alternatively, we can predict the center word using the context words: this is called the Continuous Bag Of Words model (CBOW).
- Note that the training objective was to predict context words (or to predict the center word), however, this was not the task
  - We just use it as a method for obtaining word vectors
- Thus, we cannot evaluate just by seeing how well the model achieves the training objective

## GloVe

#### So far

- So far we have seen count-based approaches to word vectors:
  - Make use of corpus statistics.
  - Very fast training (just count..).
  - **3** Sensitive to large counts.
  - Mostly only capture word similarity.
- And approaches based on prediction tasks (Word2Vec):
  - Can capture more complex patterns.
  - @ Generate better performance as features for other tasks.
  - On not make use of corpus statistics.

#### Intuition

- GloVe key idea: capture ratios of co-occurrence probabilities as linear meaning components in a vector space.
- Estimated over a whole corpus

	x = solid	x = gas	x = water	x = random
P(x ice)	large	small	large	small
P(x steam)	small	large	large	small
$\frac{P(x \text{ice})}{P(x \text{steam})}$	large	small	~1	~1

Credit: Stanford CS224N.

#### Intuition

- GloVe key idea: capture ratios of co-occurrence probabilities as linear meaning components in a vector space.
- Learn a log-linear model as follows:

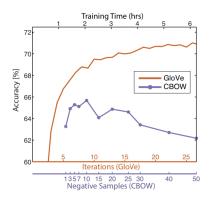
$$w_i \cdot w_j = log(P(i|j))$$

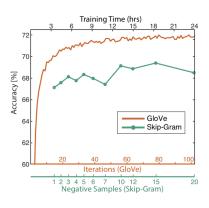
Able to capture vector differences for ratios:

$$w_x \cdot (w_a - w_b) = log\left(\frac{P(x|a)}{P(x|b)}\right)$$

Check the (excellent) paper for more details.

# Comparison with Word2Vec





Credit: Stanford CS224N.

## References

- Stanford CS224N classes 1 and 2: http://web.stanford.edu/class/cs224n/index.html.
- Good tutorial http://mccormickml.com/2016/04/19/ word2vec-tutorial-the-skip-gram-model.
- Original Word2Vec paper https://arxiv.org/pdf/1301.3781.pdf.
- Negative sampling paper http://papers.nips.cc/paper/ 5021-distributed-representations-of-words-and-phrases-and pdf.
- GloVe https://nlp.stanford.edu/pubs/glove.pdf.
- Evaluation of word embeddings: https://www.aclweb.org/anthology/D15-1036.

Note: there is much more. Ask me if you are interested.

Word2Vec Expanded (optional)

## Derivation for softmax

• First, we need some notable derivatives:

$$\frac{\partial log(x)}{\partial x} = \frac{1}{x}$$

$$\frac{\partial exp(x)}{\partial x} = exp(x)$$

$$\frac{\partial f(g(x))}{\partial x} = \frac{\partial f}{\partial g} \cdot \frac{\partial g}{\partial x} \rightarrow \text{chain rule}$$

## Derivation for softmax

• We can divide in two parts:

$$\frac{\partial}{\partial v_c} log \frac{exp(u_o^T v_c)}{\sum_{w \in V} exp(u_w^T v_c)} = \frac{\partial}{\partial v_c} log exp(u_o^T v_c) - \frac{\partial}{\partial v_c} log \sum_{w \in V} exp(u_w^T v_c)$$

• First part:

$$\frac{\partial}{\partial v_c} logexp(u_o^T v_c) = u_o$$

Second part:

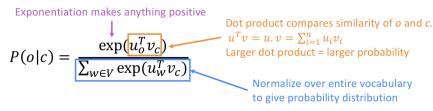
$$\begin{split} \frac{\partial}{\partial v_c} log \sum_{w \in V} exp(u_w^T v_c) &= \frac{1}{\sum_{w \in V} exp(u_w^T v_c)} \cdot \frac{\partial}{\partial v_c} \sum_{x \in V} exp(u_x^T v_c) \\ &= \frac{\sum_{x \in V} exp(u_x^T v_c) u_x}{\sum_{w \in V} exp(u_w^T v_c)} \end{split}$$

## Derivation for softmax

Combine:

$$\frac{\partial}{\partial v_c} log \frac{exp(u_o^T v_c)}{\sum_{w \in V} exp(u_w^T v_c)} = u_o - \frac{\sum_{x \in V} exp(u_x^T v_c) u_x}{\sum_{w \in V} exp(u_w^T v_c)}$$
$$= u_o - \sum_{x \in V} p(x|c) u_x$$

## Noise-contrastive estimation



- Normalizing over the entire vocabulary is very expensive.
- Idea: let us just sample some negative examples (collocations absent in the data), and train a binary logistic regression classifier to distinguish between positive (real) and negative (fake) pairs.
- For every center-context pair, also sample *K* negative pairs. The center-context pair is going to be a positive datapoint, the negative pairs are negative datapoints.
- The logistic classifier then uses the same dot product of vectors as features, and a cross-entropy loss.

Credit: Stanford CS224N.