

# UAV-assisted Secure Uplink Communications in Satellite-supported IoT: Secrecy Fairness Approach

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**Abstract**—The escalating growth of the Internet of things (IoT) has intensified the demand for dependable and efficient communication networks to accommodate the massive data volumes produced by interconnected devices. Satellite networks have emerged as a promising alternative, particularly in remote and underserved regions where terrestrial communication infrastructures are inadequate. Nevertheless, guaranteeing secure uplink communications in satellite-based IoT networks is a daunting task due to similar satellite channels and limited resources at IoT nodes. In this paper, we explore the potential of unmanned aerial vehicle (UAV) to improve the secrecy performance of uplink transmissions in satellite-supported IoT networks. Specifically, we first introduce a framework for UAV-aided secure uplink communications, presuming a secure UAV-to-satellite connection. To mitigate the risks of ground eavesdroppers intercepting uplink transmissions, we develop a max-min secrecy rate optimization problem with uplink power constraints. To address this non-convex problem, a streamlined two-stage optimization approach is proposed. In inner stage, we combine uplink power allocation and UAV beamforming and propose a successive convex approximation (SCA) based joint optimization algorithm to address them. In outer stage, we propose an synergized bisection and coordinate descent algorithm to optimize UAV positioning. Convergence is attained by alternating iterations between these two stages. Particularly, the secrecy fairness among IoT users is reached by solving the max-min problem. Additionally, we offer a complexity analysis of the proposed algorithm and validate the efficacy of the presented approach through comprehensive simulation results.

**Index Terms**—IoT, satellite, UAV, secure uplink, secrecy rate.

## I. INTRODUCTION

**T**he exponential growth of the Internet of Things (IoT) has resulted in the substantial rise in interconnected devices, producing vast amounts of data that necessitate efficient and dependable communication networks for their transmission [1], [2]. Satellite networks have surfaced as a feasible option for IoT implementations, particularly in remote and underserved regions where terrestrial communication infrastructures

are insufficient or absent [3]–[5]. Satellite communication systems provide several essential advantages for IoT applications, such as extensive coverage, uninterrupted connectivity, and resilience to disasters and infrastructure breakdowns [6], [7].

Recently, Low Earth Orbit (LEO) satellite networks have gained significant interest in IoT domains due to their low latency and improved signal quality compared to Geostationary Earth Orbit (GEO) satellites [8]. The growing interest in LEO satellite networks is further fueled by the ongoing deployment of satellite constellations, e.g., SpaceX's Starlink and OneWeb etc., which aim to provide ubiquitous global connectivity [9]. However, the integration of satellite networks into the IoT ecosystem presents several challenges, particularly in terms of ensuring secure and reliable uplink communications [10]–[12].

Physical layer security plays a crucial role in protecting sensitive data transmitted by IoT devices from eavesdropping and other cyber threats [13], [14]. Whereas traditional cryptographic techniques depend on both key management and computational ability, and may not be suitable for resource-constrained IoT devices [15], [16]. Physical layer security techniques, as key-free security approaches, exploit the inherent characteristics of the wireless communication channels to ensure data confidentiality and integrity without relying on complex encryption algorithms [17], [18]. However, implementing secure communications in satellite-supported IoT networks is challenging due to the long propagation delays, high mobility, and varying channel conditions associated with satellite communications [19], [20]. Moreover, for the uplink transmission, the resource usage of individual nodes is subject to limitations, e.g., power and antennas. This factor complicates the deployment of intricate signal processing techniques. Consequently, this scenario poses substantial challenges towards establishing secure transmission. [21]

Unmanned aerial vehicles (UAVs), as the aerial reinforcement, have been the versatile and cost-effective tool to enhance wireless communication systems, including satellite-supported IoT networks [22], [23]. Their flexibility in deployment and ability to provide line-of-sight (LoS) connections make them well-suited for supporting secure uplink communications. By acting as aerial relay nodes, UAVs can enhance the physical layer security and extend the coverage of satellite communication systems, thereby improving the overall performance and robustness of IoT networks [24], [25]. However, the related work on secure uplink transmission is still relatively lacking. Considering the inherent mobility of UAVs, the resource limitations of IoT nodes, and the complexities arising from uplink

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interference, achieving secure uplink transmission presents significant challenges in the wireless security domain. These pressing concerns have served as the catalyst for the rigorous and scholarly investigation conducted in this paper.

Departing from existing researches on uplink physical layer secure transmission, this study does not consider methods reliant on interference machinery or relay selection [21], [26], [27]. Instead, we utilize a lower-cost approach, taking into account the similarity of line-of-sight channels in satellite-to-ground and air-to-ground scenarios. The primary issue addressed here is secure uplink transmission under extremely harsh conditions, focusing on low energy consumption and cost-effectiveness for physical network nodes. Additionally, the problem of fairness among uplink nodes is also considered. Particularly, the potential of UAV-assisted secure uplink communications in satellite-supported IoT networks is studied. We propose a novel framework that leverages the inherent advantages of UAV to realize the secure transmissions in the uplink of from IoT users to LEO satellite. To combat the interception of uplink signals from IoT users by ground-based eavesdropper (Eve), we employ a UAV to securely relay the uplink transmissions. A max-min optimization problem is formulated to improve the secrecy rate performance among IoT data uplinks, considering the energy constraints of IoT users by incorporating uplink power as a limiting factor. Then the max-min secrecy fairness is realized among uplink transmissions through joint optimization of UAV placement, uplink power allocation of IoT users, and UAV beamforming. In addition, main contributions of this work are as follows:

- We establish a framework for secure uplink transmissions in satellite-supported IoT networks using UAV-assisted communication, assuming a secure UAV-to-satellite link. To tackle challenges arising from potential ground Eve intercepting uplink transmissions of IoT users, we formulate a max-min secrecy rate optimization problem, aiming to improve the overall secrecy performance among simultaneous secure uplink transmissions while constraining the uplink transmission power.
- To tackle the non-convex max-min uplink secrecy rate problem, we introduce a streamlined two-stage optimization approach. In the inner stage, we mathematically consolidate uplink power allocation and UAV beamforming, and propose an SCA-based algorithm for their joint optimization. In the outer stage, we propose the synergized bisection and coordinate descent algorithm to optimize UAV placement. Ultimately, convergence is achieved through alternating iterations between these two stages.
- The impact of uplink transmission power from IoT users on secrecy rate is analyzed, and it is revealed that the max-min problem attains its solution when the secrecy fairness among uplink transmissions is realized. Also we provide complexity analysis of proposed algorithms. Moreover, effectiveness of the proposed approach is substantiated through extensive simulation results.

The remainder of this paper is organized as follows. In Section II, we provide an overview of the satellite networks for

IoT applications and discuss the challenges and opportunities associated with implementing secure uplink transmissions in satellite-supported IoT networks. We also introduce the concept of UAV-assisted secure uplink communications and explain its potential benefits for IoT networks. Section III presents system model and formulates the max-min problem by comprehensive considering the uplink power allocation, UAV beamforming, and UAV placement. In Section IV, we propose the algorithm of joint optimization of UAV placement, UAV beamforming, and uplink power allocation to solve the max-min problem and provide some discussions. Section V presents the simulation results and performance evaluation, followed by the conclusion and future research directions in Section VI.

## II. RELATED WORK

In this section, we discuss the current research progress in secure uplink communication for satellite-supported IoT networks. Satellite networks have attracted growing interest due to their potential for IoT communication, particularly in remote and underserved areas where terrestrial communication infrastructures are insufficient [28]. Various aspects of satellite-based IoT networks, including network architecture, resource allocation, and protocol design, have been explored by researchers [28], [29]. Among these aspects, the security of data transmission has become a vital concern. Recent research has emphasized cryptographic techniques, key management, and secure routing to protect the confidentiality and integrity of data transmitted over satellite networks [29], [30]. However, physical layer security, especially in uplink communication, has not been extensively investigated and remains a relatively unexplored area.

The incorporation of UAVs into satellite-supported IoT networks has shown promising results in enhancing the security of satellite-to-ground communication [31]. Stochastic analysis has been utilized to examine cooperative satellite-UAV communications, considering aerial relays to ensure a secure satellite-UAV link [32]. In [33], a two-layer Stackelberg game model has been suggested to counter full-duplex (FD) eavesdropping and jamming attacks, where malicious eavesdropping attacks are resisted by an optimal cooperative UAV transmits jamming signals. Multi-beam satellite is considered in [18] which addresses the challenge of enhancing the legitimate user's secrecy rate within a designated beam while ensuring the common communication performance for users in surrounding beams. Besides, a UAV is introduced to leveraged to act as the relay to reinforce secure satellite beam and to serve as a jammer that purposefully creates artificial noise (AN) to thwart eavesdropping attempts. Considering energy consumption limitations at UAV, analysis of ergodic capacity and achievable secrecy rate have been given in [34] for the downlink of satellite-terrestrial communications, with the UAV employing maximum-ratio combining (MRC) to receive satellite signals and enhance transmission capacity while simultaneously combating eavesdropping.

Considering computation capability and secure transmission, a double-edge secure offloading approach has been

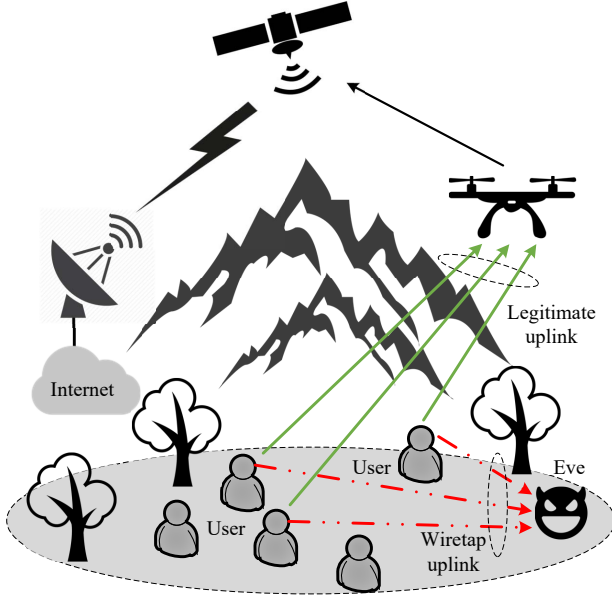


Fig. 1. UAV-assisted secure uplink communications in satellite-supported IoT.

presented in [35] for space-air-aqua integrated networks. This scheme involves UAVs securely relaying offloading for maritime mobile users and deploying jamming UAVs to protect the offloading process by determining transmit power and UAV trajectories. For power-limited or battery-free IoT devices, a secure structure has been proposed in [36] to support UAV-assisted IoT networks. This strategy includes trajectory planning for UAVs to minimize energy consumption across multiple clusters to maximize secrecy performance. In scenarios where confidential messages are transmitted to a mobile user by a UAV and AN is emitted by a cooperative UAV to deter eavesdroppers, the challenge of maximizing secrecy rates is tackled in [37]. A joint design for UAVs' 3D trajectories and time allocation is employed, taking into account practical constraints such as speed, collision avoidance, positioning error, and energy harvesting. To maximize average secrecy rates for both uplink and downlink in air-to-ground transmissions, a joint optimization framework is proposed in [38], incorporating the UAV trajectory and the transmission power of legitimate user. Besides, to maximize the average worst-case secrecy rate among UAV downlink transmissions, a joint optimization of the UAV trajectory, beamforming of intelligent reflective surface, and transmission power of legitimate users, is proposed in [39]. By employing the UAV as a relay between cluster users and terrestrial base stations, the secrecy energy efficiency is maximized by jointly adjusting the uplink transmission powers and the UAV's position [40].

*Notations:*  $\text{Tr}(\cdot)$  denotes the trace of a matrix.  $\text{rank}(\cdot)$  denotes the rank of a matrix.  $\triangleq$  means the redefinition.  $\mathbb{C}^{a \times b}$  denotes a complex space of  $a \times b$ .  $(\cdot)^\dagger$  denotes the Hermitian transpose.  $\mathcal{N}(\mu, \delta^2)$  denotes the normal distribution with mean  $\mu$  and variance  $\delta^2$ .  $\|\cdot\|$  stands for the Euclidean norm of a vector. Other notations can be found in Table I.

TABLE I  
NOTATIONS AND DEFINITIONS

| Notation   | Definition  |
|--|---|
| $M$  | Number of IoT users within the UAV's coverage area                            |
| $\mathcal{M}$  | Set of IoT user index   |
| $\text{IU}_m$  | The $m^{\text{th}}$ IoT user within the UAV coverage                          |
| $\mathbf{g}_{m,u}^\dagger \in \mathbb{C}^{1 \times N}$ | The legitimate wiretap channel from $\text{IU}_m$ to UAV                      |
| $\mathbf{g}_{m,e}^\dagger \in \mathbb{C}^{1 \times N}$ | The wiretap channel from $\text{IU}_m$ to UAV                                 |
| $p_m$  | The transmission power of $\text{IU}_m$                                       |
| $D_{m \rightarrow u}$                                  | The distance from $\text{IU}_m$ to UAV  |
| $\mathbf{w}_m \in \mathbb{C}^{N \times 1}$             | The beamforming vector at UAV receiver  |
| $R_m$  | The secrecy rate of transmission from $\text{IU}_m$ to UAV                    |
| $\gamma_{m,u}$   | The received SINR of transmission from $\text{IU}_m$ to UAV                   |
| $\gamma_{e,m}$   | The received SINR at for wiretapping $\text{IU}_m$                            |
| $p_{\text{LoS}}$                                       | The LoS probability   |
| $p_{\text{NLoS}}$                                      | The non-LoS probability   |
| $(x_u, y_u, h_u)$                                      | The 3D coordinates of UAV   |
| $(x_m, y_m)$   | The 2D horizontal coordinates of IoT user                                     |
| $\mathbf{g}_{\text{LoS}} \in \mathbb{C}^{N \times 1}$  | The LoS component of ground-to-UAV channel                                    |
| $\mathbf{g}_{\text{Ray}} \in \mathbb{C}^{N \times 1}$  | The NLoS Rayleigh fading component  |
| $\mathbf{W}_m$   | UAV beamforming matrix ( $\mathbf{W}_m = \mathbf{w}_m^\dagger \mathbf{w}_m$ ) |

### III. SYSTEM MODEL AND PROBLEM FORMULATION

We investigate UAV-assisted secure uplink communications in satellite-supported IoT networks, as illustrated in Fig. 1. We focus on a remote area where multiple IoT devices are located within the coverage of a satellite communication, which provides backhaul connectivity to the Internet. In the uplink, IoT devices transmit confidential information to the satellite, which subsequently relays the data to Internet servers via the backhaul. To enhance the secrecy performance of uplink transmissions from IoT devices to the satellite, we propose utilizing a UAV as an aerial relay to assist the implement of physical layer security. Within the UAV's coverage area, we assume that  $M$  IoT devices are distributed following a 2D Poisson Point Process (PPP) with intensity  $\lambda_b$  and are denoted by the set  $\Phi_b$  [41]. These IoT devices are subject to random activation, while an eavesdropper (Eve) within the same coverage area which aims to intercept the uplink transmissions. The proposed UAV-assisted secure uplink communication system seeks to protect the data transmitted by IoT devices from being compromised by the eavesdropper. By leveraging the inherent advantages of the UAV in terms of mobility and line-of-sight (LoS) connections, the system aims to improve the physical layer security of uplink communications, ensuring data confidentiality and integrity for IoT devices in satellite-supported networks.

#### A. Channel Models

In this paper, we follow the empirical uplink channel of satellite [42], [43], and the channel power gain at satellite is modeled as

$$H = \beta_{\text{EIRP}} l_{\text{air}} C_l \zeta, \quad (1)$$

where the  $\beta_{EIRP}$  is the uplink EIRP,  $l_{air}$  denotes the air absorption attenuation induced by the resonance of gas and water vapor in satellite-to-ground links, and  $l$  denotes the free-space path gain which is calculated as

$$l(\theta) = \frac{l_0}{d^2} = \frac{l_0}{r^2 + (r + h^{so})^2 - 2r(r + h^{so})\cos\theta}, \quad (2)$$

where  $l_0 = c^2/(4\pi f)^2$  denotes the path gain with  $c$  being the speed of light and  $f$  being the center carrier frequency. Besides,  $\zeta$  denotes small-scale fading which experiences a mixed Gaussian distribution, which is written as

$$\zeta [\text{dB}] \sim p_{LoS} \mathcal{N}(-\mu_{LoS}, \delta_{LoS}^2) + p_{NLoS} \mathcal{N}(-\mu_{NLoS}, \delta_{NLoS}^2), \quad (3)$$

where  $p_{LoS}$  and  $p_{NLoS}$  are the LoS and non-LoS probabilities respectively, and  $\mathcal{N}(-\mu_{LoS}, \delta_{LoS}^2)$  and  $\mathcal{N}(-\mu_{NLoS}, \delta_{NLoS}^2)$  are normal distributions with mean  $\mu_{LoS}$ ,  $\mu_{NLoS}$  and variance  $\delta_{LoS}^2$ ,  $\delta_{NLoS}^2$ , respectively. Particularly,  $p_{LoS} + p_{NLoS} = 1$  and the probability of the LoS in (3) can be calculated as

$$p_{LoS} = \exp(-\ell \cot \phi) = \exp\left(-\frac{\ell \sin \theta}{\cos \theta - \theta'}\right), \quad (4)$$

where  $\ell$  denotes the propagation environment parameter and  $\phi$  is the elevation angle between satellite and user.

We focus primarily on the secure transmission from IoT nodes to the UAV relay in the uplink. Additionally, we assume that the security of the link between the UAV relay and the satellite, which is responsible for forwarding secure information, can be guaranteed. Particularly, the channel from IoT user  $m$  (IU<sub>m</sub>) to the UAV can be modeled as [44]

$$\mathbf{g}_m = \frac{\sqrt{g_0}}{D_{m \rightarrow u}} \left( \sqrt{\frac{K}{K+1}} \mathbf{g}_{LoS} + \sqrt{\frac{1}{K+1}} \mathbf{g}_{Ray} \right), \quad (5)$$

where  $g_0$  represents the channel power gain from the ground source to the aerial destination at a reference distance of 1 meter, and  $D_{m \rightarrow u}$  denotes the distance between IU<sub>m</sub> and UAV, defining as

$$D_{m \rightarrow u} = \sqrt{(x_u - x_m)^2 + (y_u - y_m)^2 + h_u^2}, \quad (6)$$

where  $(x_u, y_u, h_u)$  is the 3D coordinates of UAV and  $(x_m, y_m)$  is the 2D horizontal coordinates of IoT user. Whereas, the small-scale fading adopts Rician channel model, where  $K$  is the Rician factor ( $K_B = 10\log_{10}(K)$  in dB),  $\mathbf{g}_{LoS} \in \mathbb{C}^{N \times 1}$  denotes LoS component, and  $\mathbf{g}_{Ray} \in \mathbb{C}^{N \times 1}$  represents the NLoS Rayleigh fading component.

Besides, the channel between IoT users and ground Eve is modeled as a Nakagami- $m$  fading channel. This channel model characterizes the signal propagation through various fading environments and captures the fluctuations in signal strength due to multipath propagation, shadowing, and other factors [45]. The Nakagami- $m$  fading channel model is versatile, as it can represent different fading conditions by adjusting the  $m$  parameter. A higher value of  $m$  indicates less severe fading, whereas a lower value represents a more severe fading environment.

## B. Signal Models

In the uplink, we consider IoT nodes operating in the same frequency band, and the signal received by the UAV from IoT users can be represented as

$$y_u = \sum_{\mathcal{M}} \mathbf{g}_{m,u}^\dagger \mathbf{w}_m \sqrt{p_m} s_m + n_m, \quad (7)$$

where  $p_m$  denotes the uplink transmission power of IU<sub>m</sub>,  $\mathbf{g}_{m,u}^\dagger \in \mathbb{C}^{1 \times N}$  denotes the channel state information (CSI) from IU<sub>m</sub> to UAV,  $\mathbf{w}_m \in \mathbb{C}^{N \times 1}$  is the beamforming vector at UAV for shaping the signal from IU<sub>m</sub>,  $s_m$  contains the confident information expected to be delivered to the satellite backhaul network,  $n_m$  is the noise received by UAV.

Based on our considered eavesdropping model, due to the openness of wireless channel and the ground Eve operating in the same frequency band as the IoT nodes, the received signal by the Eve can be represented as

$$y_e = \sum_{\mathcal{M}} \mathbf{g}_{m,e}^\dagger \mathbf{w}'_m \sqrt{p_m} s_m + n_e, \quad (8)$$

where  $\mathbf{g}_{m,e}^\dagger \in \mathbb{C}^{1 \times N}$  denotes the wiretap channel from IU<sub>m</sub> to Eve,  $\mathbf{w}'_m \in \mathbb{C}^{N \times 1}$  is the beamforming vector at Eve, and  $n_e$  denotes the noise received by UAV and Eve, respectively.

From (7)–(8), it can be seen that the uplink signal of the IoT user has co-channel interference, and the signal received by the Eve also experiences co-channel interference among users. Based on this, we calculate the uplink SINRs of IU<sub>m</sub> at UAV and Eve, respectively, which are obtained as

$$\gamma_{m,u} = \frac{p_m \|\mathbf{g}_{m,u}^\dagger \mathbf{w}_m\|^2}{\sum_{i \neq m, i \in \mathcal{M}} p_i \|\mathbf{g}_{i,u}^\dagger \mathbf{w}_i\|^2 + \delta_m^2}, \quad (9)$$

$$\gamma_{e,m} = \frac{p_m \|\mathbf{g}_{m,e}^\dagger \mathbf{w}'_m\|^2}{\sum_{i \neq m, i \in \mathcal{M}} p_i \|\mathbf{g}_{i,e}^\dagger \mathbf{w}'_i\|^2 + \delta_e^2}, \quad (10)$$

where the  $\delta_m^2$  and  $\delta_e^2$  denote the noise power received by IU<sub>m</sub> and Eve.

## C. Problem Formulation

Using (9) and (10), the secrecy rate of transmission from IU<sub>m</sub> to UAV is obtained as

$$R_m = [\log_2(1 + \gamma_{m,u}) - \log_2(1 + \gamma_{e,m})]^+. \quad (11)$$

To enhance the uplink secrecy rate from IoT users to UAV and guarantee the secrecy fairness, we devise a problem formulation aimed at maximizing the minimum secrecy rate across uplink transmissions, which can be mathematically expressed as

$$\mathcal{P}1 : \quad \text{MaxMin}_{\{x_u, y_u, p_m, \mathbf{w}_m\}} \{R_m\} \quad (12)$$

$$\text{s.t.:} \quad \sum_{m \in \mathcal{M}} p_m \leq \mathcal{Q}, \quad (12a)$$

$$0 \leq p_m \leq P_{\max}, \quad (12b)$$

$$\|\mathbf{w}_m\| = 1, \quad (12c)$$

where (12a) constrains the sum power of IoT users with a predefined  $Q$ , (12b) represents the constrained-power of  $IU_m$ , and (12c) constrains the beamforming at UAV. The formulated problem  $\mathcal{P}1$  aims at uplink secure fairness by jointly optimizing the uplink power allocation, the UAV's position and the beamforming at the UAV's receiving end.

In addition, the formulated problem  $\mathcal{P}1$  exhibits non-convexity due to three key factors: (i) The secrecy rate (11), calculating the difference between two logarithmic functions, inherently creates non-convexity; (ii) The Max-Min objective, targeting to maximize the minimum secrecy rate across uplink transmissions, adds complexity to the problem due to the need for multi-objective optimization; (iii) Power constraints (12a and 12b) and the unit-norm beamforming constraint (12c) further enhance non-convexity. Due to the problem's non-convexity, conventional convex optimization falls short. Thus, advanced mathematical simplifications and optimization techniques are imperative, warranting meticulous scrutiny of potential local optima.

#### IV. JOINT OPTIMIZATION OF UAV PLACEMENT, UAV BEAMFORMING, AND UPLINK POWER ALLOCATION

In this section, we propose an approach that jointly optimize the UAV placement and the power allocation of IoT users to improve the secrecy rate performance of IoT users for the uplink transmissions. Since the formulated problem in (12) is non-convex and entails situations where multiple optimization variables are multiplied, in addition to the presence of numerous quadratic optimization variables, we initially undertake a transformation and simplification of the original problem. Specifically, we recast the original problem as a two-stage solution problem. In the first stage, we jointly optimize the uplink power allocation for IoT nodes and UAV beamforming. Subsequently, in the second stage, the placement of UAV is also optimized. Finally, the optimization of these two stages is iteratively alternated until performance converges.

For facilitating the derivation of formulas, the secrecy rate in (11) is further represented as shown in (13), where the following replacements are adopted ,

$$\mathbf{G}_{m,u} = D_{m \rightarrow u}^2 \mathbf{g}_{m,u} \mathbf{g}_{m,u}^\dagger, \quad (14)$$

$$\mathbf{G}_{m,e} = D_{m \rightarrow u}^2 \mathbf{G}_{m,e} \mathbf{G}_{m,e}^\dagger. \quad (15)$$

$$\mathbf{W}_m = \mathbf{w}_m^\dagger \mathbf{w}_m. \quad (16)$$

Based on (13), the inter-user interference can have a significant impact on the achievable secrecy rate for each user

in a multi-user network with an eavesdropper. This is because the interference power increases as the transmission power of each IoT user increases, and also as the number of users in the network increases. In addition, changes in the position of the UAV can also have an impact on the security rate performance.

##### A. Uplink Power Allocation and UAV Beamforming

We assume that the UAV updates its beamforming once after its position changes, and the uplink power allocation of the IoT users is optimized at the same time. Therefore, in order to solve the original problem, in the first stage, we assume that when the UAV placement is fixed at a certain position, the original problem is simplified into a joint power allocation and beamforming optimization problem. Particularly, we first define an arbitrary variable  $\varphi$  with  $\varphi \leq R_m, m \in \mathcal{M}$  to reformulate  $\mathcal{P}1$  as

$$\mathcal{P}2: \quad \text{Max}_{\{p_m, \mathbf{W}_m\}} \varphi \quad (17)$$

$$\text{s.t.:} \quad (12a), (12b), \quad (17a)$$

$$\varphi \leq R_m, m \in \mathcal{M}, \quad (17b)$$

$$\text{Tr}(\mathbf{W}_m) = 1, \quad (17c)$$

$$\mathbf{W}_m \succ \mathbf{0}. \quad (17d)$$

In  $\mathcal{P}2$ , constraints (12a) and (12b) are retained from the original problem. The constraint condition (17b) is satisfied to simplify the max-min problem present in the single-objective function. Moreover, combining constraints (17c) and (17d) together is equivalent to constraint (12c). Based on (16),  $\text{rank}(\mathbf{W}_m) = 1$  is held. To address non-convexity,  $\mathcal{P}2$  applies Semi-Definite Relaxation (SDR) to handle the rank-1 constraint of UAV beamforming matrix [46], easing problem-solving.

Particularly, based on  $\mathcal{P}2$ , we can draw some interesting findings as follows.

**Theorem 1.** *Considering spectrum sharing among IoT nodes, when the maximum transmission power for the uplink is specified, given a specific receiver position and receiver beam direction, the uplink secrecy rate of IoT user monotonously increases as its power allocation.*

*Proof.* we take derivative of the secrecy rate in (13) is calculated as

$$\frac{\partial R_m}{\partial p_m} = \log_2 e \left( \frac{\alpha A_m}{p_m A_m + \alpha} - \frac{\beta B_m}{p_m B_m + \beta} \right), \quad (18)$$

$$\begin{aligned} R_m &= \log_2 \left( 1 + \frac{p_m \|\mathbf{g}_{m,u}^\dagger \mathbf{w}_m\|^2}{\sum_{i \neq m, i \in \mathcal{M}} p_i \|\mathbf{g}_{i,u}^\dagger \mathbf{w}_i\|^2 + \delta_{m \rightarrow u}^2} \right) - \log_2 \left( 1 + \frac{p_m \|\mathbf{G}_{m,e}^\dagger \mathbf{w}'_m\|^2}{\sum_{i \neq m, i \in \mathcal{M}} p_i \|\mathbf{g}_{e,i}^\dagger \mathbf{w}'_i\|^2 + \delta_e^2} \right) \\ &= \log_2 \left( 1 + \frac{p_m \text{Tr}(\mathbf{G}_{m,u} \mathbf{W}_m)}{\sum_{i \neq m, i \in \mathcal{M}} p_i \text{Tr}(\mathbf{G}_{i,u} \mathbf{W}_i) + D_{m \rightarrow u}^2} \right) - \log_2 \left( 1 + \frac{p_m \text{Tr}(\mathbf{G}_{m,e} \mathbf{W}'_m)}{\sum_{i \neq m, i \in \mathcal{M}} p_i \text{Tr}(\mathbf{G}_{e,i} \mathbf{W}'_i) + D_{m \rightarrow u}^2} \right). \end{aligned} \quad (13)$$

where  $A_m$ ,  $B_m$ ,  $\alpha$ , and  $\beta$  are defined as follows

$$A_m = \text{Tr}(\mathbf{G}_{m,u} \mathbf{W}_m), \quad (19)$$

$$B_m = \text{Tr}(\mathbf{G}_{m,e} \mathbf{W}'_m), \quad (20)$$

$$\alpha = \sum_{i \neq m, i \in M} p_i \text{Tr}(\mathbf{G}_{i,u} \mathbf{W}) + D_{m \rightarrow u}^2, \quad (21)$$

$$\beta = \sum_{i \neq m, i \in M} p_i \text{Tr}(\mathbf{G}_{e,i} \mathbf{W}'_i) + D_{m \rightarrow e}^2. \quad (22)$$

We note that  $A_m \geq B_m$  and  $\alpha \geq \beta$  due to the fact that only positive secrecy rates are feasible in our formulated problem. Therefore, we have

$$\alpha p_m A_m B_m + \alpha \beta A_m \geq \beta p_m A_m B_m + \alpha \beta B_m, \quad (23)$$

which implies that  $\frac{\partial R_m}{\partial p_m} \geq 0$ . This indicates the secrecy rate of IU<sub>m</sub> monotonically increases as its power allocation.

This concludes the proof of the theorem. ■

With the constraint in (12a), the power allocation of IoT user restricts that of other users. Thus we have the following Theorem to analyze the secrecy fairness among the IoT users for secure uplink transmissions.

**Theorem 2.** *Secrecy fairness: For feasible power allocations of IoT users, the secrecy rates of IoT users achieve the same values,  $R_m = R_i$  ( $m \neq i, m \in \mathcal{M}, i \in \mathcal{M}$ ).*

*Proof.* Consider the optimization problem in  $\mathcal{P}2$ , where the secrecy goal is reformulated to maximize  $\varphi$  subject to constraints (14a) through (14d).

Observe that constraint (14b) requires  $\varphi \leq R_m, \forall m \in \mathcal{M}$ . In the optimal solution, the goal is to maximize  $\varphi$ , which entails enlarging  $\varphi$  to the greatest possible extent while adhering to this constraint. Consequently, in the optimal solution, at least one user  $m \in \mathcal{M}$  must satisfy  $\varphi = R_m$ .

Assume there exists at least one user  $i \in \mathcal{M}$  such that  $R_m < R_i$ . Under this condition,  $\varphi$  can be augmented by reallocating power from user  $i$  to user  $m$ . This reallocation can be executed without infringing constraint (14a) and will result in a larger  $\varphi$ , as  $R_m$  increases and  $R_i$  decreases. This procedure can be iterated until  $R_m = R_i \forall m \neq i, m \in \mathcal{M}, i \in \mathcal{M}$ .

Thus, the secrecy rates of IoT users achieve the same values,  $R_m = R_i$  ( $m \neq i, m \in \mathcal{M}, i \in \mathcal{M}$ ), for feasible power allocations of IoT users.

This concludes the proof of the theorem. ■

Based on the aforementioned theorem, an iterative binary search method can be employed to determine the final power allocation. However, the objective is to jointly optimize the uplink power allocation of IoT users and UAV beamforming. Therefore, we further simplify problem  $\mathcal{P}2$  and utilize a convex approximation algorithm for its resolution. To elaborate, the original problem  $\mathcal{P}2$  aims to maximize the worst-case secrecy rate  $\varphi$  among IoT uplink transmissions while optimizing both the uplink power allocation of IoT users and the UAV beamforming. By employing the proof in the theorem, we have established that in the optimal solution, the secrecy rates of all IoT users achieve the same values, i.e.,  $R_m = R_i$  for all  $m \neq i, m \in \mathcal{M}, i \in \mathcal{M}$ , given feasible power

allocations. Specifically, with loss of generality, we first assume a group of initialized power allocations,  $\{p_m^0, m \in \mathcal{M}\}$ . Then, we simplify problem  $\mathcal{P}2$  by reformulating it into a more tractable problem, which focuses on the joint optimization of uplink power allocation of IoT users and UAV beamforming. The constraint (17b) can be reformulated as

$$\varphi \leq \log_2 e(u - mu - t + mt), \quad (24)$$

where the new introduced variables  $u, mu, t$ , and  $mt$  satisfy the following definitions

$$e^u = \sum_{i \in M} p_i \text{Tr}(\mathbf{G}_{i,u} \mathbf{W}_m) + D_{m \rightarrow u}^2, \quad (25)$$

$$e^t = \sum_{i \in M} p_i \text{Tr}(\mathbf{G}_{e,i} \mathbf{W}'_i) + D_{m \rightarrow e}^2, \quad (26)$$

$$e^{mu} = \sum_{i \neq m, i \in M} p_i \text{Tr}(\mathbf{G}_{i,u} \mathbf{W}_m) + D_{m \rightarrow u}^2, \quad (27)$$

$$e^{mt} = \sum_{i \neq m, i \in M} p_i \text{Tr}(\mathbf{G}_{e,i} \mathbf{W}'_i) + D_{m \rightarrow e}^2. \quad (28)$$

Besides, by defining  $\omega_m = p_m \mathbf{W}_m$ , the joint uplink power allocation and UAV beamforming problem is reformulated as

$$\mathcal{P}3: \text{Max}_{\omega_m} \varphi \quad (29)$$

$$\text{s.t.:} \quad \sum_{m \in M} \text{Tr}(\omega_m) \leq Q, \quad (29a)$$

$$\varphi \leq \log_2 e(u - mu - t + mt), m \in \mathcal{M}, \quad (29b)$$

$$e^u \leq \sum_{i \in M} p_i \text{Tr}(\mathbf{G}_{i,u} \mathbf{W}_i) + D_{m \rightarrow u}^2, \quad (29c)$$

$$e^{\tilde{t}}(\tilde{t} - t + 1) \geq \sum_{i \in M} p_i \text{Tr}(\mathbf{G}_{e,i} \mathbf{W}'_i) + D_{m \rightarrow e}^2, \quad (29d)$$

$$e^{\tilde{m}u}(\tilde{m}u - mu + 1) \geq \sum_{i \neq m, i \in M} p_i \text{Tr}(\mathbf{G}_{i,u} \mathbf{W}_i) + D_{m \rightarrow u}^2, \quad (29e)$$

$$e^{mt} \leq \sum_{i \neq m, i \in M} p_i \text{Tr}(\mathbf{G}_{e,i} \mathbf{W}'_i) + D_{m \rightarrow e}^2, \quad (29f)$$

$$\omega_m \succ 0. \quad (29g)$$

To address  $\mathcal{P}3$ , we propose a successive convex approximation (SCA) based joint optimization algorithm for uplink power allocation and UAV beamforming, as illustrated in Algorithm 1.

**Complexity analysis:** The complexity of the algorithm mainly comes from two aspects: the semi-definite programming (SDP) problem solved using the CVX tool and the convergence criterion.

**SDP:** The SDP problem in Step 2 of the algorithm is solved using the CVX tool. SDP problems have a complexity that is polynomial in the number of variables and constraints. Let  $n$  denote the number of variables and  $m$  denote the number of constraints in the SDP problem. The complexity of solving an SDP problem is generally in the order of  $O(n^2 m + n^3)$ . In this specific problem, the number of variables and constraints will depend on the dimensions of the matrices involved and the size of the optimization problem.

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**Algorithm 1:** SCA-based Joint Optimization Algorithm for the Uplink Power Allocation of IoT users and the UAV Beamforming

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**Require:** Initial values:  $\tilde{t}$  and  $\tilde{m}u$ . Input channel state information (CSI) of uplink channels, estimated CSI of Eve, and location information of the UAV.

**Ensure:** Optimized uplink power allocation  $p_m$  and UAV beamforming matrix  $\mathbf{W}_m$ .

- 1: Initialize  $\tilde{t}$  and  $\tilde{m}u$ ;
- 2: **repeat**
- 3:   Execute semi-definite programming (SDP) using the CVX tool.
- 4:   Update  $\tilde{t}$  and  $\tilde{m}u$ ;
- 5:   Compute the objective function  $\varphi$  using (29);
- 6: **until** convergence criterion is met
- 7: Compute  $p_m = |\omega_m|^2$ ,  $\mathbf{W}_m = \omega_m/p_m$
- 8: **return**  $p_m$  and  $\mathbf{W}_m$ ;

---

*Convergence criterion:* The algorithm iterates until a convergence criterion is met. Let  $N_{iter}$  denote the maximum number of iterations required for the algorithm to converge. The complexity of this part depends on how quickly the algorithm converges to the optimal solution, which can be influenced by factors such as the initial values of  $\tilde{t}$  and  $\tilde{m}u$ , the channel state information (CSI), and the location of the UAV.

Considering both aspects, the overall complexity of the alternating optimization algorithm is  $O(N_{iter}(n^2m + n^3))$ . Since the algorithm employs the CVX tool to solve the SDP problem.

### B. Optimization of UAV Placement

Based on the uplink power allocation parameters and UAV beamforming vectors obtained from Algorithm 1 in the first-stage, the UAV placement optimization problem inherited from the original max-min problem can be reformulated as:

$$\mathcal{P}4: \quad \text{Max}_{\{x_u, y_u\}} \varphi \quad (30)$$

$$\text{s.t.:} \quad \varphi \leq R_m, \quad (30a)$$

where the constraint in (30a) can be reformulated as

$$\log_2 \left( \frac{\alpha' + A_m + D_{m \rightarrow u}^2}{\alpha' + D_{m \rightarrow u}^2} \right) - \log_2 \left( \frac{\beta' + B_m + D_{m \rightarrow u}^2}{\beta' + D_{m \rightarrow u}^2} \right) \geq \varphi, \quad (31)$$

with

$$\begin{aligned} \alpha' &= \sum_{i \neq m, i \in M} p_i \text{Tr}(\mathbf{G}_{i,u} \mathbf{W}_i), \\ \beta' &= \sum_{i \neq m, i \in M} p_i \text{Tr}(\mathbf{G}_{e,i} \mathbf{W}_i). \end{aligned} \quad (32)$$

After simplification and analysis, (31) is further reformulated as shown in (33), at the bottom of this page.

We take a replacement of  $L_{m,u} = D_{m \rightarrow u}^2$  and and reformulate  $\mathcal{P}3$  as

$$\mathcal{P}5: \quad \text{Max}_{\{L_{m,u}\}} \varphi \quad (34)$$

$$\text{s.t.:} \quad aL_{m,u}^2 + bL_{m,u} + c \leq 0, \quad (34a)$$

$$L_{m,u} \geq 0, \quad (34b)$$

$$b^2 - 4ac > 0, \quad (34c)$$

where  $a, b$ , and  $c$  are constants. Based on (33), since  $2^\varphi - 1 \geq 0$ , the parabola with variable  $L_{m,u}$  should be at least two points of intersection with the horizontal axis of  $L_{m,u}$  and thus (34b) should be satisfied.

**Remark 1.** By carefully analyzing the simplified Problem  $\mathcal{P}5$ , we can draw an interesting finding, which are discussed as follows. Based on (34a), we have

$$L_{m,u} \leq \frac{-b + \sqrt{b^2 - 4ac}}{2a}. \quad (35)$$

Particularly, by taking the derivation of  $L_{m,u}$ , we have

$$\begin{aligned} \frac{\partial R_m}{\partial L_{m,u}} &= \log_2 e \left\{ \frac{B_m}{(\beta' + B_m + L_{m,u})(\beta' + L_{m,u})} \right. \\ &\quad \left. - \frac{A_m}{(\alpha' + A_m + L_{m,u})(\alpha' + L_{m,u})} \right\} \\ &\leq 0, \end{aligned} \quad (36)$$

which indicates that the secrecy rate of  $\text{IU}_m$  decreases monotonously as the distance between the UAV and  $\text{IU}_m$ .

To address problem  $\mathcal{P}5$  in the second stage, we introduce an iterative optimization strategy that synergizes the bisection and coordinate descent methods for optimizing the UAV's positioning, as outlined in Algorithm 1.

Algorithm 1 commences with defining the search parameters for  $\varphi$  with a lower ( $\varphi_{min}$ ) and upper ( $\varphi_{max}$ ) boundary. The algorithm repeats until it converges, assessed via a pre-established tolerance  $\epsilon$ .

Each iteration incorporates two crucial steps:

*Step 1:* The bisection approach is utilized to revise  $\varphi$ . At each iteration, the current search range's midpoint is calculated as:

$$\varphi = \frac{\varphi_{min} + \varphi_{max}}{2}. \quad (37)$$

Subsequently, coefficients  $a, b$ , and  $c$  are updated based on the new  $\varphi$  value. The problem's feasibility is then validated through the discriminant condition:

$$\Delta = b^2 - 4ac. \quad (38)$$

---


$$\begin{aligned} (2^\varphi - 1) D_{m \rightarrow u}^4 + D_{m \rightarrow u}^2 [2^\varphi (\alpha' + \beta' + B_m) - \alpha' - \beta' - A_m] + 2^\varphi (\alpha' \beta' + \alpha' B_m) &\leq \alpha' \beta' + A_m \beta' \\ \Leftrightarrow aL_{m,u}^2 + bL_{m,u} + c \leq 0; a \triangleq 2^\varphi - 1, b \triangleq 2^\varphi (\alpha' + \beta' + B_m) - \alpha' - \beta' - A_m, c \triangleq 2^\varphi (\alpha' \beta' + \alpha' B_m) - \alpha' \beta' + A_m \beta'. \end{aligned} \quad (33)$$

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**Algorithm 2:** Synergized Bisection and Coordinate Descent algorithm

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```

1 Initialization:  $\varphi_{min} = 0$ ,  $\varphi_{max}$  represents the upper
  limit, and  $\epsilon > 0$  is the tolerance;
2 while non-convergence do
3   Step 1:  $\varphi$  is updated via the bisection method:
4    $\varphi \leftarrow \frac{\varphi_{min} + \varphi_{max}}{2}$ ;  $a$ ,  $b$ , and  $c$  coefficients are
    revised based on the new  $\varphi$ ;
5   if  $b^2 - 4ac > 0$  then
6     Feasible problem: Adjust  $\varphi_{min}$  or  $\varphi_{max}$ ;
7   else
8     Infeasible problem: Modify  $\varphi_{min}$  or  $\varphi_{max}$ ;
9   Step 2: Establish the optimal  $L_{m,u}$  by minimizing
    constraint (25a) through coordinate descent;
    Refresh  $L_{m,u}$  and evaluate convergence based on
    the tolerance  $\epsilon$ ;
10 Output: Optimal values of  $\varphi$  and  $L_{m,u}$  with  $(x_u, y_u)$ .
```

---

If  $\Delta > 0$ , the problem is deemed feasible; otherwise, it's considered infeasible. Dependent on the feasibility, the search range for  $\varphi$  is updated accordingly.

*Step 2:* With the revised  $\varphi$ , the optimal  $L_{m,u}$  is ascertained by minimizing the constraint in (25a) via coordinate descent. The update rule for  $L_{m,u}$  is enforced, and the algorithm's convergence is evaluated based on the tolerance  $\epsilon$ .

Finally, the convergence can be attained by alternating iterations between these two stages optimizations in Algorithm 1 and Algorithm 2.

**Complexity analysis:** The proposed algorithm provides an efficient and effective way to find the optimal values for  $\varphi$  and  $L_{m,u}$ , which can be utilized in the optimization of UAV placement in the given communication system. The complexity of our proposed algorithm mainly includes two aspects: the bisection method used for updating  $\varphi$  and the optimization technique employed for finding the optimal value of  $L_{m,u}$ .

*Bisection method:* The bisection method is known for its logarithmic convergence rate. At each iteration, the search interval is reduced by half. Let  $N_\varphi$  denote the maximum iteration number for the bisection method to converge. Given a predefined tolerance  $\epsilon$ , the complexity of the bisection method is  $O(\log_2(\frac{\varphi_{max} - \varphi_{min}}{\epsilon}))$ .

*Optimize  $L_{m,u}$ :* The complexity of this step depends on the optimization technique used for minimizing the constraint in (25a). Coordinate descent is one possible method for solving this problem. Let  $N_{L_{m,u}}$  denote the maximum number of iterations for the optimization of  $L_{m,u}$  to converge. The complexity of this step is  $O(N_{L_{m,u}})$ , where the actual value of  $N_{L_{m,u}}$  depends on the specific convergence rate of the chosen optimization technique.

Considering both aspects, the overall complexity of the proposed algorithm is  $O(N_\varphi N_{L_{m,u}})$ .

Based on the above subsection A and B, the two-stage optimization approach is completed. In the first stage, we solve optimization problem  $\mathcal{P}3$  using Algorithm 1, obtaining the uplink power allocation and the UAV's beamforming vector. Based on these results, in the second stage, we solve

optimization problem  $\mathcal{P}5$  using Algorithm 2 to determine the position of the UAV.

The problem defined in this paper is non-convex and multi-variable, thus obtaining a global optimum solution is challenging. The problem is simplified to a bi-convex form and solved using a two-tier approach. Utilizing an alternating iterative framework, fast convergence is achieved. Although each tier achieves optimal solutions, the overall outcome is considered near-optimal.

## V. PERFORMANCE EVALUATIONS

In this section, various simulations are conducted to assess the secrecy performance of uplink transmissions for IoT users. The simulation parameters are configured as follows: IoT users are distributed according to a 2D PPP within an area with a radius of 100 meters. The initial position of the UAV is set in 3D coordinates as (0m, 0m, 100m). The imperfect channel state information (CSI) has an estimation error of  $\|v\| = 0.2$ , such that  $\hat{\mathbf{g}}_{m,e}^\dagger = \mathbf{g}_{m,e}^\dagger + v$ . The channel power gains from IoT users to the UAV in the uplink and to Eve at a reference distance of 1m are -40dB and -38.6dB, respectively. The Ricean factor for the channel from IoT users to the UAV is set at 10dB. The Nakagami- $m$  parameters for the wiretap channel from IoT users to the ground-based Eve are set to ( $m=2$ ,  $\Omega=1$ ). Additionally, specific parameters are introduced for each individual simulation, e.g., the maximum transmission power  $P_S$ , the number of UAV receive antennas  $N$ , the number of IoT users within coverage of UAV is set to  $M$ , the height of UAV  $h_u$ . The number of receive antennas of Eve is same as  $N$ . For the benchmarks, we adopt two variants of the proposed, which are respectively labeled as "Fixed PA and ZF-BF & placement opt.", representing the approach that optimizes only the UAV's position with a fixed power allocation using equal division and the UAV beamforming using Zero-Forcing beamforming associated to the eavesdropping channel, and "Fixed location & joint PA and BF opt.", representing the approach that fixes the UAV's location while jointly optimizing the uplink power allocation and the UAV's beamforming. Besides, we draw from established optimization strategies in relevant research, formulating an "Alternating SDP and Dinkelbach Optimization". Semidefinite Programming (SDP) is frequently employed for power allocation and beamforming, whilst Dinkelbach's method is regularly utilized for planning drone trajectories [47], [48]. As a benchmark, we amalgamate these techniques to address the secure fairness problem.

Fig. 2 shows the influence of the maximum transmission power on the minimum secrecy rate. As observed, the secrecy rate performance increases with the maximum transmission power, which is consistent with Theorem 1. According to Theorem 1, allocating more power to IoT users as the maximum transmission power increases results in improved secrecy rate performance. Besides, the proposed joint optimization approach involving UAV placement, IoT user power allocation, and UAV beamforming demonstrates superior performance compared to the evaluated benchmarks. Comparing the curves in Fig. 2, the following observations can be made: (1) The presence of channel estimation error can degrade the maximum secrecy rate performance; (2) Optimizing only the UAV



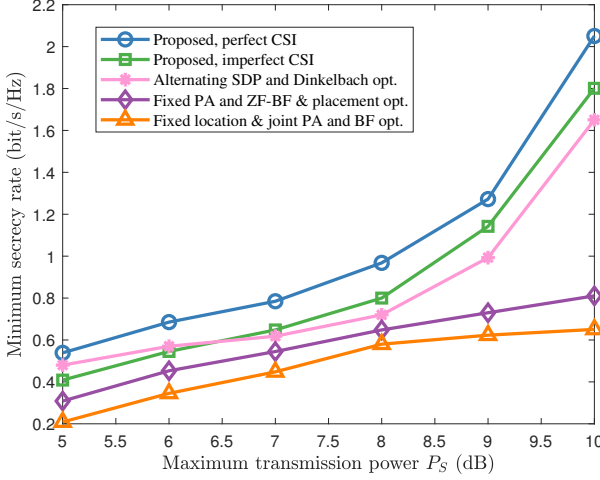


Fig. 2. Maximum transmission power  $P_s$  Vs. the minimum secrecy rate. ( $N = 4$ ,  $M = 4$ ,  $h_u = 100\text{m}$ )

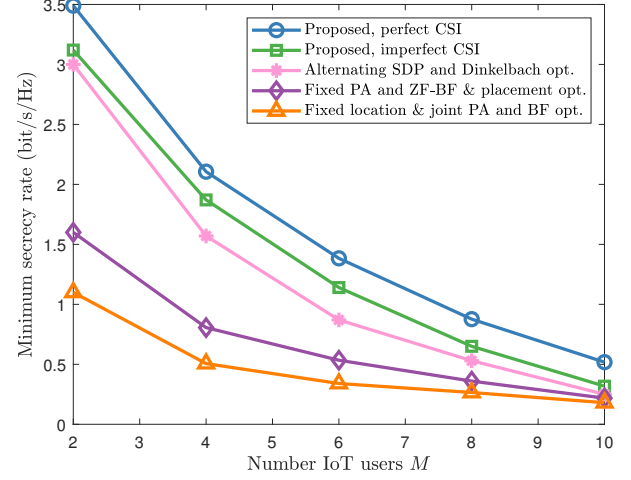


Fig. 4. Number IoT users  $M$  Vs. the minimum secrecy rate. ( $N = 4$ ,  $P_s = 10\text{dB}$ ,  $h_u = 100\text{m}$ )

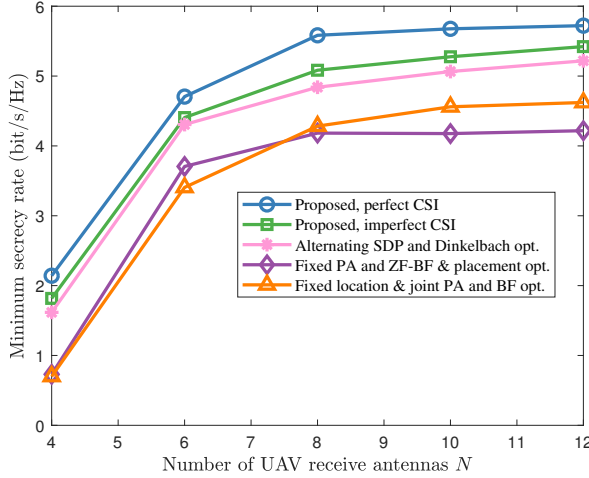


Fig. 3. Number of UAV receive antennas  $N$  Vs. the minimum secrecy rate. ( $M = 4$ ,  $P_s = 10\text{dB}$ ,  $h_u = 100\text{m}$ )

placement, while using fixed power allocation and Zero-Forcing (ZF)-based UAV beamforming, could lead to leakage of legitimate signals due to ZF-based beamforming; (3) As the maximum transmission power increases, the optimal UAV placement contributes more significantly to security enhancement than the optimal power allocation and beamforming strategies.

Fig. 3 shows the effect of UAV receive antenna number on the minimum secrecy rate. As  $N$  increases, the secrecy rate performance exhibits an improvement. This enhancement can be attributed to the increased capacity of the UAV to effectively shape the uplink signal beams from IoT users with a higher number of antennas, enabling better discrimination between inter-user interference and minimizing energy leakage of valuable signals. The proposed approach presented in this paper demonstrates a notable superiority over the examined benchmarks. A detailed comparisons of the curves in Fig. 3 reveals that the presence of channel estimation error results

in a decline in secrecy rate performance. Furthermore, when an adequate number of antennas are utilized, optimizing the uplink power allocation and UAV beamforming contributes more significantly to the improvement of the secrecy rate compared to the optimization of the UAV deployment location.

Fig. 4 shows the impact of the increasing number of uplink IoT users on the minimum secrecy rate performance, which demonstrates a decline as the user count grows. This outcome can be ascribed to the escalation of inter-user interference stemming from the expanding number of IoT users, leading to a consequent reduction in the SINR at the UAV receiver. Furthermore, the proposed approach consistently outperforms the other benchmark approaches, and channel errors contribute to a diminished secrecy rate performance. In large-scale IoT applications with a substantial user population, the joint optimization of UAV placement, uplink power allocation, and UAV beamforming can more proficiently safeguard the overall secrecy rate performance for IoT users.

Fig. 5 shows the impact of UAV deployment height on the minimum secrecy rate, highlighting a decrease in the secrecy rate performance as the UAV deployment height. This decline can be attributed to the exacerbation of air-to-ground channel fading due to the heightened UAV position, which undermines the quality of legitimate signal reception. Consequently, the main channel capacity of the uplink from IoT users is reduced while the eavesdropping channel remains unaltered, leading to diminished secrecy rate performance. Once again, Fig. 5 demonstrates that the proposed joint optimization of UAV placement, uplink power allocation, and UAV beamforming outperforms other benchmark approaches. Channel estimation errors can also contribute to the degradation of the maximum secrecy rate performance. Furthermore, the alteration in UAV height does not impact the significance of UAV deployment optimization over uplink power allocation and UAV beamforming optimization in terms of enhancing secrecy rate performance.

Fig. 6 shows the convergence performance of the joint

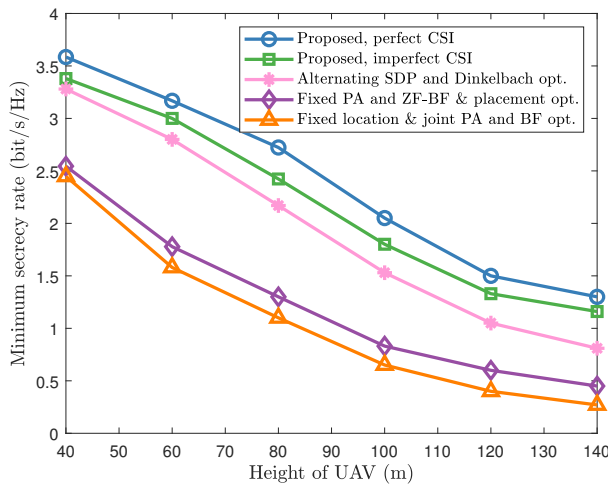


Fig. 5. UAV deployment height  $h_u$  Vs. the minimum secrecy rate. ( $N = 4$ ,  $M = 4$ ,  $P_s = 10\text{dB}$ )

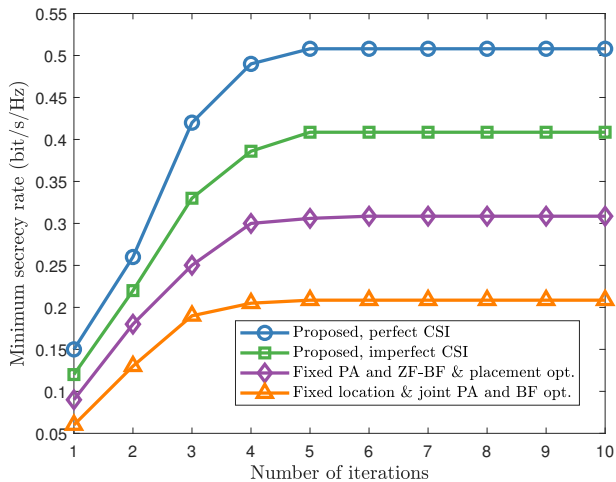


Fig. 6. Convergence analysis. ( $N = 4$ ,  $M = 4$ ,  $P_s = 5\text{dB}$ ,  $h_u = 100\text{m}$ )

optimization algorithm for UAV placement, uplink power allocation, and UAV beamforming. The convergence performance of the algorithm is evaluated by simulating the number of iterations of the alternating optimization and the corresponding minimum secrecy rate performance. Comparing the curves in the figure, it can be seen that our proposed method, despite jointly optimizing multiple dimensions, does not significantly increase the number of iterations, and the alternating optimization using Algorithm 1 and Algorithm 2 can quickly converge.

## VI. CONCLUSION

This paper has explored the use of UAV for enhancing the uplink secrecy rate performance in satellite-supported IoT, and the secrecy fairness among IoT users is realized. A framework is proposed that optimizes UAV placement, uplink power allocation, and UAV beamforming to ensure secure uplink transmissions while considering the energy constraints of IoT users. The non-convex max-min uplink secrecy rate problem

is addressed using a two-stage optimization approach, which includes SCA-based algorithm for jointly optimizing uplink power allocation of IoT users and UAV beamforming, and synergized bisection and coordinate descent algorithm for optimizing UAV placement. In addition, numerical results verify the effectiveness of our proposed approach. Future research directions include investigating the impact of mobility on the proposed framework and optimizing the trade-off between security and energy efficiency.

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