Climate change reshapes the drivers of false spring risk across European trees

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$_{\scriptscriptstyle 16}$ Abstract

Temperate and boreal forests are at risk of late spring freezing events after budburst—also known as false springs. Research to date has generated conflicting results of whether climate change will decrease false springs, and thus reshape a fundamental factor that influences species' ranges. Conflicting results may be due to the myriad climatic and geographic factors that contribute to a plant's risk of a false spring, which—to date—no study has compared at once. Here, we assessed the effects of mean spring temperature, distance from the coast, elevation and the North Atlantic Oscillation (NAO) using PEP725 leafout data for six tree species across 11,648 sites in Europe, to determine which were the strongest predictors of false spring risk and how these predictors shifted with climate change. Across species, mean spring temperature and distance from the coast were the strongest predictors, with higher mean spring temperatures having a decreased risk in false springs (-7.6% for every 2°C increase) and sites further from the coast experiencing an increased risk in false springs (5.3% for every 150km from the coast). Elevation (2.2% for every 200m increase in elevation)

and NAO index (1.9% for every 0.3 increase) also increased false spring risk. After climate change, the major drivers of false spring risk shifted: mean spring temperature is having less of an effect on risk but false spring risk is diminishing greatly with increasing NAO indices. There was little change in elevation and distance from the coast. False spring risk did vary across the six species but, generally, risk is decreasing across all six species when considering all factors included in the study. However, there is residual effects of climate change unexplained by the model which resulted in false spring risk increasing with climate change for early-leafout species and remaining the same or decreasing with late-leafout species. Our results suggest that considering multiple spatial and climatic factors is essential for predicting false spring risk—especially given how changes in risk vary across species—and understanding the unexplained complexities of climate change across species is critical.

38 Introduction

Temperate tree and shrub species are at risk of damage from late spring freezing events after budburst, also known as false springs, and this risk may shift with climate change. With earlier springs due to warming (IPCC, 2015; Wolkovich et al., 2012), the growing season is lengthening across many regions in the northern hemisphere (Chen et al., 2005; Kukal & Irmak, 2018; Liu et al., 2006). Longer growing seasons could translate to increased plant growth, assuming such increases are not offset by tissue losses due to false springs. Last spring freeze dates are not predicted to advance at the same rate as warming (Inouye, 2008; Labe et al., 2016; Martin et al., 2010; Wypych et al., 2016b; Sgubin et al., 2018), potentially amplifying the effects of false spring events in some regions. In Germany, for example, the last freeze date has advanced by 2.6 days per decade since 1955 (Zohner et al., 2016), but budburst has advanced roughly twice as fast. Major false spring events have been recorded in recent years but studies have variously found that spring freeze damage may increase (Augspurger, 2013; Hänninen, 1991; Labe et al., 2016), remain the same (Scheifinger et al., 2003) or even decrease (Kramer, 1994; Vitra et al., 2017) with climate change. Regardless, studies have found it can take 16-38 days for trees to refoliate after a freeze (Augspurger, 2009, 2013; Gu et al., 2008; Menzel et al., 51 2015), which can detrimentally affect crucial processes such as carbon uptake and nutrient cycling (Hufkens et al., 2012; Klosterman et al., 2018; Richardson et al., 2013).

Spring freezes are one of the largest limiting factors to species ranges and have greatly shaped plant life history strategies (Kollas *et al.*, 2014). Temperate plants are exposed to freezing temperatures numerous times throughout the year, however, individuals are most at risk to damage in the spring, when freeze tolerance is lowest (Sakai & Larcher, 1987). Plants have adapted to these early spring risks through various mechanisms with one common strategy being avoidance (Vitasse et al., 2014). Many temperate species minimize freeze risk and optimize growth by using a complex mix of cues to initiate budburst: low winter temperatures (i.e., chilling), warm spring temperatures (i.e., forcing), and increasing spring daylengths (i.e., photoperiod). With climate change advancing, the interaction of these cues may shift spring phenologies both across and within species and sites, making some species less—or more—vulnerable to false springs than before. Species that leafout first each spring are especially at risk of false springs, as their budburst occurs during times of year when the risk of freeze events is relatively high. To date these species also appear to advance the most with warming (Wolkovich et al., 2012), thus, if climate change increases the prevalence of late spring freezes, we would expect these species to see major increases in false spring risk. If climate change has restructured the timing and prevalence of false springs to later in the spring, then later-leafout species may experience major increases in false spring risk with climate change.

Some research suggests false spring incidence has already begun to decline in many regions (i.e. across parts of North America and Asia), however the prevalence of spring frosts has consistently increased across Europe since 1982 (Liu et al., 2018). Furthermore, recent studies have demonstrated site-specific effects may be more closely related to false spring risk: whether via elevation, where higher elevations appear at higher risk (Ma et al., 2018; Vitra et al., 2017), or distance from the coast, where inland areas appear at higher risk (Ma et al., 2018; Wypych et al., 2016b). Improved understanding of which regional climatic factors impact false spring risk, including which factors are most crucial for predicting risk, we may be able to determine which regions are at risk currently and which regions will be more at risk in the future.

The majority of false spring studies assess the effects of one predictor (e.g. temperature, elevation or distance from the coast) on false spring prevalence, thus failing to compare how multiple factors may together shape risk. False spring risk is influenced by multiple climatic and geographic factors, which may vary across species and time. Further, because predictors can co-vary—for example, higher elevation sites are often more distant from the coast—the best predictions of false springs should examine all predictors at once.

Here we investigate the influence of known spatial and climatic factors on false spring risk (defined here as
when fell temperatures below -2.2° between estimated budburst and leafout Schwartz, 1993). We assessed
the number of false springs that occurred across 11,648 sites across Europe using observed phenological
data (754,786 observations) for six temperate, deciduous trees and combined that with daily gridded climate

data for each site that extended from 1951-2016. We focus on the major factors shown or hypothesized to influence false spring risk: mean spring temperature, elevation, distance from the coast, and a major climatic oscillation that structures European climate—the North Atlantic Oscillation (NAO). The NAO is tied to winter and spring circulation across Europe, with more positive NAO phases tending to result in higher than average winter and spring temperatures. With climate-change induced shifts, years with higher NAO indices have correlated to even earlier budburst dates since the late 1980s in some regions (Chmielewski & Rötzer, 2001), however little research has tested if more positive NAO phases also translates into more false springs. We aimed to understand which factors are the strongest predictors of false spring risk, and how the major predictors have shifted with climate change.

$_{95}$ Methods

96 Phenological Data and Calculating Vegetative Risk

which provides open access phenology records across Europe (Templ et al., 2018). Since plants are most susceptible to damage from freezing temperatures between budburst and full leafout, we selected leafout data (i.e., in Meier, 2001, BBCH 11, which is defined as the point of leaf unfolding and the first visible leaf stalk) from the PEP725 dataset. The species used in the study were Aesculus hippocastanum Poir., Alnus 101 glutinosa (L.) Gaertn., Betula pendula Roth., Fagus sylvatica Ehrh., Frazinus excelsior L., and Quercus robur 102 L. Selection criteria for the species were as follows: (1) to be temperate, deciduous species that were not 103 cultivars or used as crops, (2) there were at least 90,000 observations of BBCH 11 (leafout), (3) to represent over half of the total number of sites available (11,684), and (4) there were observations for at least 65 out of 105 the 66 years of the study (1951-2016) (Table S1). 106 Plants are generally the most freeze tolerant in the winter but this freeze tolerance greatly diminishes once 107 individuals exit the dormancy phase (i.e. processes leading to budburst) through full leaf expansion (Lenz 108 et al., 2016; Vitasse et al., 2014). Thus, for most individuals that initiate budburst and have not fully leafed 109 out before the last spring freeze are at risk of leaf tissue loss, damage to the xylem, and slowed canopy 110 development (Gu et al., 2008; Hufkens et al., 2012). To capture this 'high-risk' timeframe, we subtracted 12 111 days from the leafout date to establish a standardized estimate for day of budburst (Donnelly et al., 2017; 112

We obtained phenological data from the Pan European Phenology network (PEP725, www.pep725.edu),

Flynn & Wolkovich, 2018; USA-NPN, 2019) since the majority of the individuals were missing budburst

observations. We additionally considered a model that altered the timing between budburst and leafout for each species. For this alternate model, we calculated budburst by subtracting 11 days from leafout for Aesculus hippocastanum and Betula pendula, 12 days for Alnus glutinosa, 5 days for Fagus sylvatica, and 7 days for both Fraxinus excelsior and Quercus robur based on growth chamber experiment data from phylogenetically related species (Buerki et al., 2010; Wang et al., 2016; Hipp et al., 2017; Flynn & Wolkovich, 2018).

20 Climate Data

We collected daily gridded climate data from the European Climate Assessment & Dataset (ECA&D) and 121 used the E-OBS 0.25 degree regular latitude-longitude grid from version 16. We used the daily minimum temperature dataset to determine if a false spring occurred. False springs in this study were defined as 123 temperatures at or below -2.2°C (Schwartz, 1993) between budburst to leafout. We additionally tested this 124 model by changing the definition of a freezing temperature from -2.2°C (Schwartz, 1993) to -5°C (Lenz et al., 125 2013; Sakai & Larcher, 1987) in an additional model. In order to assess regional climatic effects we calculated 126 the mean spring temperature by using the daily mean temperature from March 1 through May 31. We used 127 this date range to best capture temperatures likely after chilling had accumulated to compare differences in 128 spring forcing temperatures across sites (Basler & Körner, 2012; Körner et al., 2016). We collected NAOindex data from the KNMI Climate Explorer CPC daily NAO time series and selected the NAO indices from 130 November until April to capture the effects of NAO on budburst for each region and then took the mean NAO index during these months (KNMI, 2018). Since the primary aim of the study is to predict false spring 132 incidence in a changing climate, we split the data: before temperature trends increased (1951-1983) and after 133 trends increased (1984-2016, Kharouba et al., 2018; Stocker et al., 2013) to represent climate change and 134 which will be referred to as the 'climate change' parameter henceforth. 135

136 Data Analysis

137 Simple regression models

We initally ran three simple regression models—following the same equation (below) but with varying responses—to assess the effects of climate change on budburst, minimum temperatures between budburst and leafout and the number of false springs across species (Equation 2).

$$\epsilon_i \sim Normal(y_i, \sigma^2)y_i = \alpha_{[i]} + \beta_{ClimateChange_{[i]}} + \beta_{Species_{[i]}} + \beta_{ClimateChange \times Species_{[i]}} + \epsilon_{[i]}$$
 (2)

141 Main Model

To best compare across the effects of each climatic and geographic variable, we scaled all of the predictors and used a z-score following the binary predictor approach (Gelman & Hill, 2006). To control for spatial 143 autocorrelation and to account for spatially structured processes independent from our regional predictors of false springs, we generate an additional 'space' parameter for the model. To generate our space parameter 145 we first extracted spatial eigenvectors corresponding to our analyses' units and selected the subset that 146 minimizes spatial autocorrelation of the residuals of a model including all predictors except for the space parameter (Diniz-Filho et al., 2012; Bauman et al., 2017) (see supplement 'Methods: Spatial parameter' for 148 more details). We then took the eigenvector subset determined from the minimization of Moran's I in the residuals (MIR approach) and regressed them against the above residuals—i.e. number of false springs vs. 150 climatic and geographical factors. Finally we used the fitted values of that regression as our space parameter, which, by definition, represents the portion of the variation in false springs that is both spatially structured 152 and independent from all other predictors in the model (e.g. average spring temperature, elevation, etc. 153 Griffith & Peres-Neto, 2006; Morales-Castilla et al., 2012). 154 To estimate the probability of false spring risk across species and our predictors we used a Bayesian modeling 155 approach. By including all parameters in the model, as well as species, we were able to distinguish the 156 strongest contributing factors to false spring risk. We fit a Bernoulli distribution model (also know as a logistic regression) using mean spring temperature (written as MST in the model equation), NAO, elevation, distance 158 from the coast, space, and climate change as predictors and all two-way interactions and species as two-way 159 interactions (Equation 1), using the brms package (Bürkner, 2017), version 2.3.1, in R (R Development Core 160 Team, 2017), version 3.3.1, and was written as follows: 161

$$y_{i} \sim Binomial(1, p) \tag{1}$$

$$logit(p) = \alpha_{[i]} + \beta_{MST_{[i]}} + \beta_{DistanceCoast_{[i]}} + \beta_{Elevation_{[i]}} + \beta_{NAO_{[i]}} + \beta_{Space_{[i]}} + \beta_{ClimateChange_{[i]}} + \beta_{Species_{[i]}}$$

$$+ \beta_{MST \times Species_{[i]}} + \beta_{DistanceCoast \times Species_{[i]}} + \beta_{Elevation \times Species_{[i]}} + \beta_{NAO \times Species_{[i]}}$$

$$+ \beta_{Space \times Species_{[i]}} + \beta_{ClimateChange \times Species_{[i]}} + \beta_{MST \times ClimateChange_{[i]}}$$

$$+ \beta_{DistanceCoast \times ClimateChange_{[i]}} + \beta_{Elevation \times ClimateChange_{[i]}}$$

$$+ \beta_{NAO \times ClimateChange_{[i]}} + \beta_{Space \times ClimateChange_{[i]}}$$

We ran four chains, each with 2,500 warm-up iterations and 4,000 sampling iterations for a total of 6,000 posterior samples for each predictor. We evaluated our model performance based on \hat{R} values that were close to one. We also evaluated effective sample size estimates, which were 1,994 or above. We additionally assessed chain convergence visually and posterior predictive checks. Due to the large number of observations in the data we used the FASRC Cannon cluster supported by the FAS Division of Science Research Computing Group at Harvard University to run the model.

Model estimates were on the logit scale (shown in all tables) and were converted to probability percentages

in all figures for easier interpretation by using the 'divide by 4' rule (Gelman & Hill, 2006) and then back converted to the original scale by multiplying by two standard deviations. We calculated overall estimates (i.e., across species) of main effects in Figure 3, Figure S3 and Figure S4 from the average of the posteriors of each effect by species. We report all estimated values in-text as mean +/- 98% uncertainty intervals, unless otherwise noted. The combined effects of climate change with all of the climatic and geographic factors across species were determined by adding all effects in the model plus species for after climate change and subtracting this from the combined effects in the model for each species after climate change. This difference was reported as the combined change in false spring risk for each species.

${f Results}$

Basic shifts in budburst and number of false springs

- There was variation in day of budburst across the six species and across geographical gradients (Figure 1).

 Betula pendula, Aesculus hippocastanum, Alnus glutinosa (Figure 1A-C) generally initiated budburst earlier
- than Fagus sylvatica, Quercus robur, Fraxinus excelsior (Figure 1D-F). Across all six species, higher latitude
- 182 sites and sites closer to the coast tended to initiate budburst later in the season (Figure 1).
- Budburst dates advanced 6.98 ± 0.15 days across species after 1983 (Table S3) and minimum temperatures
- between budburst and leafout have increased by $0.83 \pm 0.3^{\circ}$ C across species after climate change (Table S4).
- 185 This trend in advancing day of budburst for each species corresponds closely with increasing mean spring
- temperatures (Figure S1). While all species initiated budburst approximately seven days earlier (Figure 2A,
- Table S2 and Table S3), the average minimum temperature between budburst and leafout varied across the
- six species with Betula pendula and Aesculus hippocastanum experiencing the lowest minimum temperatures
- (Figure 2B), Quercus robur and Fraxinus excelsior experiencing the highest minimum temperatures, and
- Fraxinus excelsior experiencing the greatest variation (Figure 2B).
- The number of false springs increased by 1.26% ($\pm 0.05\%$) after climate change, which varied by species.
- 192 Early-leafout species (Aesculus hippocastanum, Alnus glutinosa and Betula pendula) showed an increased risk
- whereas later bursting species (Faqus sylvatica, Quercus robur and Fraxinus excelsior) showed a decrease in
- 194 risk (Table S5). These estimates, however, do not consider shifts in the climatic and geographical factors
- that may underlie this change.

The effects of climatic and geographic variation coupled with climate change on

$_{\scriptscriptstyle 197}$ false spring risk

Before climate change (1983), the effects of the climatic and geographic factors varied (Figure 3 and Table

S6), mean spring temperature had the strongest effect on false springs, with warmer spring temperatures

resulting is fewer false springs (Figure 3 and Table S6; comparable estimates come from using standardized

variables, see Methods for more details). For every 2°C increase in mean spring temperature there was a 7.6%

decrease in the probability of a false spring (-0.48 \pm 0.03 probability of false spring/standard unit). Distance

from the coast had the second biggest effect on false spring incidence. Individuals at sites further from the

coast tended to have earlier budburst dates, which corresponded to an increased risk in false springs (Figure 3 and Table S6). For every 150km away from the coast there was a 5.3% increase in risk in false springs $(0.40 \pm 0.03 \text{ probability of false spring/standard unit})$. Sites at higher elevations also had higher risks of false spring incidence—likely due to more frequent colder temperatures—with a 2.2% increase in risk for every 200m increase in elevation $(0.19 \pm 0.04 \text{ probability of false spring/standard unit})$, Figure 3 and Table S6). More positive NAO indices, which generally advance budburst, slightly heightened the risk of false spring, with every 0.3 unit increase in NAO index there was a 1.9% increased risk in false spring or 0.14 \pm 0.03 probability of false spring/standard unit (Figure 3 and Table S3).

These effects varied across species (Figure 4). While there were fewer false springs for each species with increasing mean spring temperatures, *Betula pendula* had the greatest risk of false springs and *Fraxinus excelsior* had the lowest risk (Figure 4A). There was an increased risk of false spring for all species at sites further from the coast (Figure 4B), with a sharp increase in risk for *Fraxinus excelsior* at sites further from the coast. With increasing elevation, all species had a greater risk of a false spring occurring except for *Fraxinus excelsior*—which had a slightly decreased risk at higher elevations (Figure 4C)—demonstrating inconsistent effects of elevation on a species' risk. With increasing NAO indices, the risk of false spring remained consistent for most species except *Fagus sylvatica* experienced more with higher NAO indices (Figure 4D).

After climate change, the effects of these climatic and geographic factors on false spring risk shifted (Figure 220 3). Warmer sites still tended to have lower risks of false springs but with climate change, increasing mean 221 spring temperatures had much less of an effect on false spring risk with -1.5% decrease in risk (or -0.06 \pm 0.06 222 probability of false spring/standard unit versus -7.6% or -0.48 before climate change; Figure 3 and Figure 223 S2A). Thus, mean spring temperature had less of an effect on false spring risk than before 1983. There was 224 a slightly reduced risk in false springs further from the coast after climate change (Figure 3 and Figure S2B) 225 with 0.02% increase in risk (or 0.28 \pm 0.07 probability of risk/standard unit versus 2.2% increase or 0.40 \pm 0.04 before climate change). The level of risk remained consistent before and after 1983 across elevations 227 (Figure 3 and Figure S2C), with false spring risk being higher at higher elevations. After climate change, the rate of false spring incidence largely decreased with increasing NAO indices (Figure 3 and Figure S2D) 229 now with a -30.8% decrease in risk (or -0.69 ± 0.06 probability of false spring/standard unit or versus 1.9%or 0.14 ± 0.03 before climate change). After climate change, NAO had the strongest effect on false spring 231 risk, with higher NAO indices rendering fewer false springs.

233 Given how much the climatic and geographic effects have shifted and given each species' relationship is

different with each factor, we determined the combined effects of all factors in the model for each species, which resulted in a decrease in false spring risk after climate change for all species (Table S6): 5.77% decrease in risk for Aesculus hippocastanum (or -0.23 ± 0.06 probability of risk/standard unit), 4.27% decrease in risk for Alnus glutinosa and Betula pendula (or -0.17 ± 0.09 probability of risk/standard unit), 13.8% decrease in risk for Fagus sylvatica (or -0.55 ± 0.08 probability of risk/standard unit), 18.8% decrease in risk for Fraxinus excelsior (or -0.75 ± 0.11 probability of risk/standard unit), and 16.1% decrease in risk for Quercus robur (or -0.64 ± 0.09 probability of risk/standard unit). When considering all of the climatic and geographic factors, there was a 14.6% decrease in risk across all species.

Outside of these combined factors, there is an unexplained effect of climate change—seen as the 'climate 242 change' paramter in the model—on false spring risk, which varied across species. There was a 8.8% increased risk in false springs after climate change for Aesculus hippocastanum (or 0.35 ± 0.03 probability of false 244 spring/standard unit; Figure 3, Figure 4E and Table S6). Climate change also increased false spring risk for Alnus glutinosa by 10.5%, Betula pendula by 10.3% and Fagus sylvatica by 0.8% (or a 0.42 \pm 0.08, 0.41 \pm 246 0.08 and 0.032 ± 0.08 probability of false spring/standard unit respectively; Figure 3, Figure 4E and Table S6). Climate change has decreased risk for Fraxinus excelsior by -4.3% and Quercus robur by -1.8% (or a 248 0.17 ± 0.1 and 0.07 ± 0.08 proability of false spring/standard unit respectively; Figure 3, Figure 4E and 249 Table S6). Across the six species there was a 4.0% increase in false spring risk unexplained by climatic and geographic factors overall after climate change. 251

Sensitivity of results to duration of risk and temperature thresholds

Our results remained consistent (in direction and magnitude) when we applied different rates of leafout for each species (i.e., varied the length of time between estimated budburst and leafout). Mean spring temperature (-8.1% for every 2° C or -0.5 ± 0.04 probability of risk/standard unit) and distance from the coast (5.4% increase for every 150km or 0.4 ± 0.03 probability of risk/standard unit) were the strongest predictors for false spring risk (Figure S3 and Table S7). After climate change, there was a slight increase in false spring risk at higher elevations (Figure S3 and Table S7) compared to our main findings.

Results remained generally consistent also when we applied a lower temperature threshold for defining a false spring (i.e., -5°C), though there were more shifts in the magnitude of some effects, especially those of climate change. Mean spring temperature (-11.6% for every 2° or -0.72 ± 0.07 probability of risk/standard unit) and elevation (7.4% increase in risk for every 200m or 0.63 ± 0.08 probability of risk/standard unit) were

the strongest predictors, with a weaker effect of distance from the coast (2.8%) for every 150km or 0.21 ± 0.08 probability of risk/standard unit; Figure S4 and Table S8). There was much higher risk of false springs by climate change unexplained by climatic and geographic factors included in the model (14.6%) increase or 0.58 ± 0.07 probability of risk/standard unit; Figure S4 and Table S8) and this was consistent across all six species, averaging a 10% increase or 0.4 probability of risk/standard unit.

Discussion

Overall, we found that climate change has decreased risk for all species across Central Europe, contrary to other studies (Liu et al., 2018). We did find support for how higher elevations tend to experience more false springs (Vitasse et al., 2018; Vitra et al., 2017) and sites that are generally warmer have lower risks of 271 false springs (Wypych et al., 2016a). Outside of the geographic and climatic factors considered in our study, we how found a residual effect of climate change that has increased false spring risk by 8.8% for Aesculus 273 hippocastanum, 10.5% for Alnus glutinosa, 10.3% for Betula pendula and by 0.8% for Fagus sylvatica and has decreased false spring risk by -4.3% for Frazinus excelsior and -1.8% for Quercus robur, rendering an overall 275 4.0% increased risk on average. But these average effects hide important complexities of how climatic and geographic factors that underlie false spring risk have been reshaped by climate change. Across species, we 277 find that NAO and mean spring temperature have shifted the most after 1983, resulting in different effects of climate change on species, though there has been a consistent decrease in false spring risk for each species given the combined effects of all climatic and geographic factors that contribute to false spring risk, with a 14.6% decrease in false spring risk on average. Thus, it is crucial for future studies to understand and report the combined effects of climate change with known climatic and geographic factors, plus begin to disentangle 282 the residual effects of climate change on species-level risk not yet understood.

²⁸⁴ Climatic and geographic effects on false spring risk

Past studies using single parameters for false spring events (Liu et al., 2018; Ma et al., 2018; Vitasse et al., 2018; Vitra et al., 2017; Wypych et al., 2016b) have led to contradicting predictions in future false spring risk. By integrating both climate gradients and geographical factors, we were able to disentangle the major predictors of false spring risk and merge these with species differences to determine which factors have the strongest effects on false spring risk. Mean spring temperature, distance from the coast and climate change

were the strongest predictors for false spring risk, however, NAO and elevation also affected risk, further emphasizing the need to incorporate multiple predictors.

²⁹² Climate change shifts climatic and geographic effects on risk

The strength of these effects have changed—with a significantly decreased risk of false spring with higher NAO indices and an increased risk with warmer mean spring temperatures—since the major onset of climate change, thus studying these predictors over time is essential. These changes in the effects of NAO and mean spring temperatures suggest a shifting relationship among spring warming, budburst and false spring risk. The compounding effect of high NAO with climate-change induced warming could decrease the risk of freezing temperatures occurring in those years. Whereas with warming mean spring temperatures, individuals seem to be responding more strongly to increased spring warming with climate change (Figure S1), which results in an increased risk of exposure to false springs at these locations.

Variation in risk across species

Climate change resulted in marked differences in risk between the early-leafout species versus the late-leafout 302 species. Before 1983, false spring risk was slightly higher for species initiating leafout earlier in the spring 303 but overall the risk was more consistent across species (Figure 4E). After climate change, however, the earlyleafout species (i.e., Aesculus hippocastanum, Alnus glutinosa and Betula pendula) had an increased risk, the 305 middle-leafout species—i.e. Fagus sylvatica—had a similar level of risk as before and the later-leafout species (i.e., Fraxinus excelsior and Quercus robur) had a slightly decreased risk (Figure 4E). However, this variation 307 is simply considering the residual effects of climate change not captured by the other factors included in our model (i.e., mean spring temperature, elevation, distance from the coast and NAO indices.) Further 309 exploration of the possible climatic factors not included in the model (e.g., over-winter chilling temperature shifts) influencing this effect are necessary for forecasting. 311

As seen by Figure 2C species alone is not a sufficient predictor for false spring risk, especially when considering
the combined effects of all climatic and geographic factors coupled with climate change. Simply looking at
the raw number of false springs for species suggests that *Fraxinus excelsior* and *Quercus robur* both had
similar levels of false spring risk after climate change as before (Figure 2C), however this conflicts with the
overall model output (Figure 4E). By additionally integrating climatic and regional factors—e.g., elevation,

distance from the coast—we can unravel phenological effects on the probability risk from the climatic and geographic factors that contribute to an individual's level of false spring risk, which consistently decreases across species after climate change.

Looking at our data distribution, the overall ranges of the predictors are similar across species but *Betula*pendula extends to the highest elevation and latitude and spans the greatest range of distances from the

coast, while Quercus robur experiences the greatest range of mean spring temperatures. Habitat preference

and range differences among the species could also explain some of the species-specific variation in the results.

Within our species, Betula pendula has the largest global distribution, extending the furthest north and east

into Asia. The distribution of Fraxinus excelsior extends the furthest south (into the northern region of Iran).

Due to the limited number of species available to include in the study, we were not able to investigate inter
specific differences in traits—and explain the variation seen in the results—without introducing statisitical

artefacts into such an analysis.

Forecasting false springs

Our study does not assess the intensity or severity of the false spring events observed nor does it record the amount of damage to individuals. Additionally, there is sufficient evidence that species vary in their tolerance to minimum temperature extremes (Körner et al., 2016; Lenz et al., 2013; Zhuo et al., 2018; Bennett et al., 2018). Some species or individuals may be less freeze tolerant (i.e., are damaged from higher temperatures than -2.2°C), whereas other species or individuals may be able to tolerate temperatures as low as -8.5°C (Lenz et al., 2016). For this reason, future models should ideally incorporate species-specific temperature thresholds to best capture the shifts in false spring risk of damage over time and space.

In general, it is important to consider the effects of climate change on both budburst and leafout, the timing
when individuals are most at risk to spring freeze damage (Chamberlain et al., 2019; Lenz et al., 2016) though
we found that differing rates of leafout across species had minimal effects on predicting risk whereas a lower
temperature threshold may have a broader impact on forecasts, with lower temperature thresholds (i.e., -5°C
versus -2.2°C) predicting increased risk across all six study species. It is also essential to include numerous
species since differences in leafout date did change the level of risk with climate change. Climate change is
complicating the influence of both climatic and geographic factors and additionally magnifying species-level
variation in false spring risk, plus we are still missing key components that explain this interspecific variation.
Integrating these findings into future models will provide more robust forecasts and help us begin to unravel

the complexities of climate change effects across species.

Conclusion

False spring events increased with climate change, though it was more pronounced in species that initiated budburst earlier in the season. Thus we need a better understanding of the major drivers of false spring risk, how these events are changing in duration and intensity and if there are shifts in the level of damage to individuals. Our integrated approach may help direct future modelling advancements in false spring 351 research. We show here the importance of using multiple geographic and climatic factors in predicting false spring risk and how that risk varies across species. By using phenology data to provide a better estimate for 353 budburst and leafout, predictions for false springs will be more accurate for inter-specific risk. Additionally, we demonstrate that incorporating all regional effects is more important than simply assessing budburst 355 timing across species. Individuals that initiate budburst earlier in the season are not necessarily exposed to more false springs, thus, investigating site effects is essential for false spring risk in addition to day of budburst. Our results suggest there is a heightened risk of false springs with climate change for some species and that there will be complex responses to warming in the future, which could in turn, have escalating impacts on plant community dynamics and further augment climatic shifts.

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Tables and Figures

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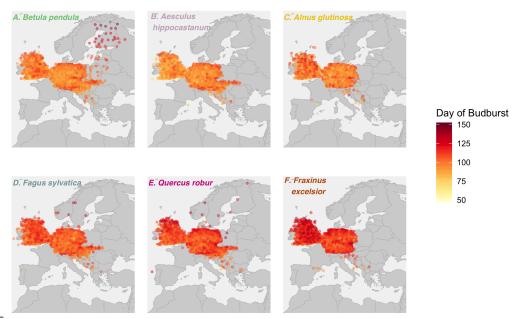


Figure 1: The average day of budburst is mapped by site for each species. Species are ordered by day of budburst starting with *Betula pendula* as the earliest budburst date to *Fraxinus excelsior*. Earlier budburst dates are yellow and later budburst dates are in red. Species names are color-coded to match figures throughout the text.

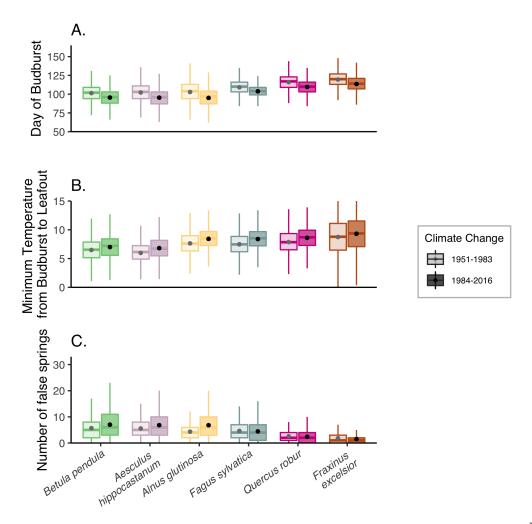


Figure 2: Budburst (A.), minimum temperatures between budburst and leafout (B.) and probability of false springs (C.) were compared before and after 1983 for each species across all sites. Box and whisker plots show the 25th and 75th percentiles (i.e., the interquartile range) with notches indicating 95% uncertainty intervals. Dots and error bars overlaid on the box and whisker plots represent the simple model regression outputs (Tables S3-S5). Species are ordered by day of budburst and are color-coded to match the other figures.

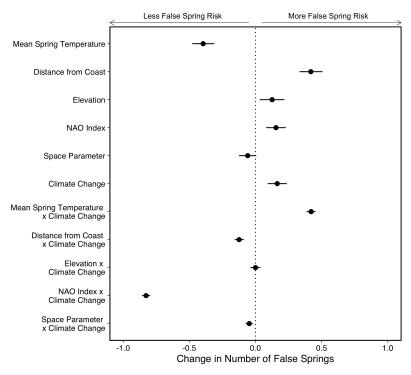


Figure 3: Effects of species, climatic and geographical predictors on false spring risk. More positive values indicate an increased probability of a false spring whereas more negative values suggest a lower probability of a false spring. Dots and lines show means and 98% uncertainty intervals. Values closer to zero have less of an effect on false springs. There were 582,211 zeros and 172,877 ones for false springs in the data.

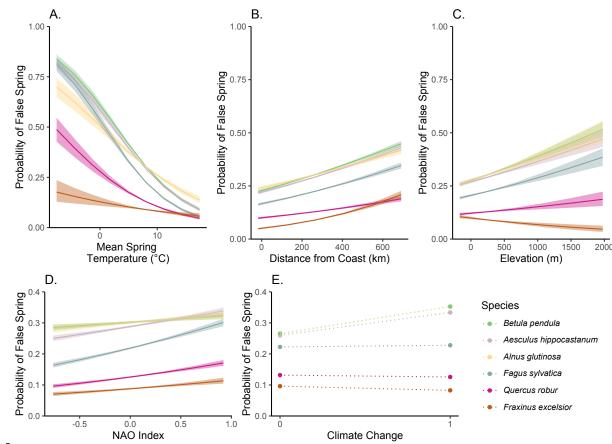


Figure 4: Species-level variation across geographic and spatial predictors (i.e., mean spring temperature (A.), distance from the coast (B.), elevation (C.), and NAO index (D.)). Lines and shading are the mean and 98% uncertainty intervals for each species. To reflect the raw data, we converted the model output back to the original scale for the x-axis in each panel.