Rethinking False Spring Risk

- 2 Authors:
- ³ C. J. Chamberlain ^{1,2}, B. I. Cook ³, I. Garcia de Cortazar Atauri ⁴ & E. M. Wolkovich ^{1,2,5}
- 4 Author affiliations:
- ⁵ Arnold Arboretum of Harvard University, 1300 Centre Street, Boston, Massachusetts, USA;
- ⁶ Organismic & Evolutionary Biology, Harvard University, 26 Oxford Street, Cambridge, Massachusetts, USA;
- ⁷ NASA Goddard Institute for Space Studies, New York, New York, USA;
- ⁸ French National Institute for Agricultural Research, INRA, US1116 AgroClim, F-84914 Avignon, France
- ⁵ Forest & Conservation Sciences, Faculty of Forestry, University of British Columbia, 2424 Main Mall, Van-
- 10 couver, BC V6T 1Z4
- *Corresponding author: 248.953.0189; cchamberlain@g.harvard.edu
- 13 Keywords: false spring, phenology, freezing tolerance, climate change, forest communities
- 14 Paper type: Opinion

12

16

15 Counts: Total word count for the main body of the text: 2488; Abstract: 119; 4 figures (all in color).

Abstract

Temperate plants are at risk of being exposed to late spring freezes — often called false springs — which can be damaging ecologically and economically. As climate change may alter the prevalence and severity of false springs, our ability to accurately forecast such events has become more critical. Currently, many false spring studies simplify the ecological and physiological information needed for accurate predictions of the level of plant damage from late spring freezes. Here we review the complexity of factors driving a plant's false spring risk. We highlight how species, life stage, and habitat differences contribute to the damage potential of false springs. Integrating these complexities could rapidly advance forecasting of false spring events in climate change and ecological studies.

The Complexities of Spring Freeze

Plants from temperate environments time their growth each spring to follow rising temperatures alongside increasing light and soil resource availability. While tracking spring resource availability, individuals that budburst before the last freeze date are at risk of leaf loss, damaged wood tissue, and slowed canopy development [1, 2]. These damaging late spring freezes are also known as false springs, and are widely documented to result in adverse ecological and economic consequences [3, 4].

Climate change is expected to cause an increase in damage from false spring events due to earlier spring 32 onset and potentially greater fluctuations in temperature in some regions [5, 6]. Already, multiple studies have documented false springs in recent years [1, 7, 8, 9] and some have linked these events to climate change [4, 10, 11, 12, 13]. This increasing interest in false springs has led to a growing body of research investigating the effects on temperate forests. For this research to produce accurate predictions, however, researchers need methods that properly evaluate the effects of false springs across diverse species and climate regimes.

38 Measuring False Spring

- ³⁹ Current metrics for estimating false springs events are generally simple, often requiring an estimate for the
- 40 start of biological 'spring' (i.e. budburst) and whether temperatures below a particular threshold occurred
- in the following week. Such estimates inherently assume consistency of damage across species, functional
- 42 group, life stages, and other climatic regimes, ignoring that such factors can greatly impact plants' false
- 43 spring risk. As a result, such indices may lead to inaccurate estimates and predictions, slowing our progress
- 44 in understanding false spring events and how they may shift with climate change.
- 45 In this paper we highlight the complexity of factors driving a plant's false spring risk and provide a road map
- 46 for improved metrics. We show how location within a forest or canopy, interspecific variation in avoidance
- 47 and tolerance strategies, freeze temperature thresholds, and regional effects unhinge simple metrics of false
- 48 spring. We argue that a new approach that integrates these and other crucial factors would help accurately
- 49 determine current false spring damage and improve predictions of spring freeze risk under a changing climate
- while potentially providing novel insights to how plants respond to and are shaped by spring frost.

Defining False Spring: An example in one temperate plant commu-

$_{\scriptscriptstyle{52}}$ $\operatorname{\mathbf{nity}}$

- 53 Temperate forest plants experience elevated risk of frost damage during the spring due to the stochastic timing
- of frosts. Freezing temperatures following a warm spell can result in plant damage or even death [14, 15].
- 55 Many temperate species exhibit flexible spring phenologies, which help them minimize spring freezing risk,
- but freeze damage can still occur. Once buds exit the dormancy phase, they are less freeze tolerant and less
- resistant to ice formation [16, 17, 18]. An effective and consistent definition of false spring would accurately
- determine the amount and type of ice formation to properly evaluate the level of damage that could occur.
- There are several definitions currently used to define a false spring. A common definition describes a false

spring as having two phases: rapid vegetative growth prior to a freeze and a post freeze setback [1]. Other definitions instill more precise temporal parameters, specific to certain regions [e.g., in 8, false spring for the Midwestern United States is defined as a warmer than average March, a freezing April, and enough growing degree days between budburst and the last freeze date]. A widely used definition integrates a mathematical equation to quantify a false spring event. This equation, known as a False Spring Index (FSI), signifies the likelihood of damage to occur from a late spring freeze. Currently, FSI is evaluated annually by the day of budburst and the day of last spring freeze [often calculated at -2.2°C, 19] through the simple equation [20]:

$$FSI = Day \text{ of } Year(LastSpringFreeze) - Day \text{ of } Year(Budburst)$$
 (1)

- Negative values indicate no risk situations, whereas a damaging FSI is currently defined to be 7 or more days
 between budburst and the last freeze date (Equation 1) [21]. This 7 day threshold captures the reality that
 leaf tissue is at high risk of damage from frost in the period after budburst, with later vegetative phases (e.g.,
 full leafout) being more resistant to such damage.
- To demonstrate how the FSI definition works, we applied it to data from the Harvard Forest Long-term Ecological Research program in Massachusetts. We used three separate methodologies to calculate spring onset: long-term ground observational data [22], PhenoCam data from Harvard Forest [23], and USA National Phenology Network's (USA-NPN) Extended Spring Index (SI-x) data [24]. These spring onset values were then inputted into the FSI equation (Equation 1) to calculate FSI from 2008 to 2014 (Figure 1).
- Each methodology rendered different FSI values, suggesting different false spring damage for the same site and same year. For most years, the observational FSI and PhenoCam FSI are about 10-15 days lower than the SI-x data. This is especially important for 2008, when the SI-x data indicates a false spring year, whereas the other two datasets do not. In 2012, the observational data and PhenoCam data diverge slightly and the PhenoCam FSI is over 30 days less than the SI-x value.
- The reason for these discrepancies is that each method evaluates spring onset by integrating different attributes such as age, species or functional group. Spring phenology in temperate forests typically progresses by functional group: understory species and young trees tend to initiate budburst first, whereas larger canopy

species start later in the season [25, 12]. The different FSI values determined in Figure 1 exemplify the differences in functional group spring onset dates and illustrate variations in forest demography and phenology. While the SI-x data (based on observations of early-active shrub species, including lilac, Syringa vulgaris) may best capture understory dynamics, the PhenoCam and observational FSI data integrate over larger canopy species. Such differences are visible each year, as the canopy-related metrics show lower risk, but are especially apparent in 2012. In 2012, a false spring event was reported through many regions of the US due to warm temperatures occurring in March [26]. These high temperatures would most likely be too early for larger canopy species to initiate budburst but they would have affected smaller understory species, as is seen by the high risk of the SI-x FSI in Figure 1. Yet, in contrast to our three metrics of spring onset for one site, most FSI work currently ignores variation 93 across functional groups — instead using one metric of spring onset and assuming it applies to the whole community of plants [20, 21, 10, 27]. The risk of a false spring varies across habitats and with species composition since spring onset is not consistent across functional groups. Therefore, one spring onset date cannot be used as an effective proxy for all species. False spring studies should first assess the forest demographics and functional groups relevant to the study question in order to effectively estimate the date of spring onset. However, as we outline below, considering different functional groups is unlikely to be enough for robust

Plant Physiology and Diversity versus the Current False Spring

various interspecific avoidance and tolerance strategies that species have evolved against false springs.

predictions. It is also crucial to integrate species differences within functional groups and to consider the

Definition

Plants have evolved to minimize false spring damage through two strategies: avoidance and tolerance. Many temperate forest plants utilize various morphological strategies to be more frost tolerant: some have toothed leaves to increase 'packability' in winter buds, which permits more rapid leafout [28] and minimizes the

buffer against spring frosts [29, 30]. These strategies are probably only a few of the many ways plants work 108 to morphologically avoid frost damage, and more studies are needed to investigate the interplay between 109 morphological traits and false spring tolerance. 110 Rather than being more tolerant of spring freezing temperatures, some temperate forest species have evolved 111 to avoid frosts via their phenologies. Effective avoidance strategies require well-timed spring phenologies. 112 Most temperate deciduous tree species optimize growth and minimize spring freeze damage by using three 113 cues to initiate budburst: low winter temperatures (chilling), warm spring temperatures, and increasing pho-114 toperiods [31]. The evolution of these three cues and their interactions has permitted temperate plant species 115 to occupy more northern ecological niches [32] and decrease the risk of false spring damage [33]. One avoid-116 ance strategy, for example, is the interaction between over-winter chilling and spring forcing temperatures. 117 Warm temperatures earlier in the winter will not result in early budburst due to insufficient chilling [34]. 118 Likewise, photoperiod sensitivity is a common false spring avoidance strategy: species that respond strongly 119 to photoperiod cues in addition to warm spring temperatures are unlikely to have large advances in budburst 120 with warming, and thus may evade false spring events as warming continues [35]. 121

exposure time of less resistant tissues. Other species have young leaves with more trichomes to act as a

Defining Vegetative Risk

107

Phenology and frost tolerance are intertwined — with important variation occurring across different phenological phases. Flowering and fruiting are generally more sensitive to false spring events than vegetative
phases [7, 17], but false spring events that occur during the vegetative growth phenophases may impose the
greatest freezing threat to deciduous plant species. Plants will suffer greater long-term effects from the loss of
photosynthetic tissue, which could impact multiple years of growth, reproduction, and canopy development
[36, 37]. However, there is high variability in defining a damaging temperature threshold across species,
including between agricultural and ecological studies (Figure 2).

There is also important variation within certain phenological phases. Most notably, within the vegetative 130 phases of spring leafout, plants that have initiated budburst but have not fully leafed out are more likely to 131 sustain damage from a false spring than individuals past the leafout phase. This is because freezing tolerance 132 is lowest after budburst begins until the leaf is fully unfolded [38]. Therefore, the rate of budburst and the 133 length of time between budburst and leafout is essential for predicting the level of damage from a false spring 134 event. We will refer to the timing between these phenophases — budburst to leafout — as the duration of 135 vegetative risk (Figure 3). The duration of vegetative risk can be extended if a freezing event occurs during 136 the phenophases between budburst and full leafout [7], which could result in exposure to multiple frost events 137 in one season. 138

139 How Species Phenological Cues Shape Vegetative Risk

140

across species. For temperate species, the three major cues (winter chilling temperatures, spring warm 141 temperatures and photoperiod) that control budburst [31] probably play a dominant role. Most phenological 142 studies currently focus on one phenophase (i.e. budburst or leafout) but, in order to examine false spring 143 risk, it is crucial to examine the effects of the three phenological cues and their interactions on the duration of 144 vegetative risk (i.e. both budburst and leafout). Thus, to advance our understanding of false springs, studies 145 should measure the response to the three major cues and to also focus on the single versus interactive effects 146 of those cues on multiple phenophases. Such cues may provide a starting point for predicting how climate change will alter the duration of vegetative 148 risk. Robust predictions will require much more information, especially the emissions scenario realized over 149 coming decades [39], but one potential outcome is that higher temperatures will increase forcing and decrease 150 chilling in many locations. We used data from a recent study that manipulated the three cues and measured 151 budburst and leafout [40]. We then we calculated the effects of the three major cues and their interactions on 152 the duration of vegative risk using a classical experimental approach (Figure 4A) (i.e. with increased forcing

Predictions of false spring critically depend on understanding what controls the duration of vegetative risk

and increased photoperiod, the duration of vegetative risk decreases). But in order to assess the effects of climate change, we should look at the effects of increased forcing, decreased photoperiod and decreased chilling (Figure 4A). Under this scenario, experimental results suggest a 2-5 day increase in duration of vegetative risk with increased forcing but decreased chilling and a 7-10 day increase in duration of vegetative risk with increased forcing and decreased photoperiod. These cue interactions could thus expose at-risk plants to more intense false spring events or even multiple events in one year.

Considering the interaction of cues and climate change further complicates understanding species future 160 vulnerabilities to false spring events. Most species are expected to begin leafout earlier in the season with 161 warming spring temperatures but some species may have the opposite response due to less winter chilling or 162 decreased photoperiod cues [41, 42, 12]. Individuals that initiate budburst earlier in the spring may attempt 163 to limit freezing risk by decreasing the duration of vegetative risk in order to minimize the exposure of 164 less frost tolerant phenophases [7]. But with a changing climate and thus shifts in phenological cues, this 165 relationship may change [43]. Further studies are essential to understand the interplay between chilling, forcing, and photoperiod cues and the duration of vegetative risk, especially for species occupying ecological 167 niches more susceptible to false spring events.

Predictable Regional Differences in Climate, Species Responses and False Spring Risk

Robust predictions must consider the full interplay of species cues and a specific location's climate. Climate
and thus false spring risk vary across regions. For example, consider five different regions within a temperate climate (Figure S1). Some regions may experience harsher winters and greater temperature variability
throughout the year, and these more variable regions often have a much higher risk of false spring than
others (i.e. compare Maine versus Lyon in Figure S1). Understanding and integrating such spatiotemporal
effects and regional differences when investigating false spring risk and duration of vegetative risk would help
improve predictions as climate change progresses. Such differences depend both on the local climate, the

local species and the cues for that species at that location, as a single species may have varying cues across space. Therefore, based on cues alone, different regions may have different durations of vegetative risk for the same species [44, 45, 46]. Studies also show that different species within the same location can exhibit different sensitivities to the three cues [34, 47], further amplifying the myriad of climatic and phenological shifts that determine false spring risk in a region.

How a single species phenological cues varies across space is not yet well predicted. Some studies have

investigated how phenological cues for budburst vary across space, including variation across populations, 184 by using latitudinal gradients [48, 49]. Fewer, however, have integrated distance from the coast [but see 185 50, 51, 52 or regional effects. Some studies indicate that populations further inland will initiate budburst 186 first, whereas those closer to the coast will initiate budburst later in the season and that the distance from 187 the coast is a stronger indicator of budburst timing than latitude [50]. It is therefore important to recognize 188 climate regime extremes (e.g. seasonal trends, annual minima and annual maxima) across regions to better 189 understand the interplay between duration of vegetative risk and climatic variation. The climatic implications 190 of advancing forcing temperatures could potentially lead to earlier dates of budburst and enhance the risk of 191 frost. These shifts in climatic regimes could vary in intensity across regions (i.e. regions currently at high-risk 192 of false spring damage could become low-risk regions over time).

Concluding Remarks and Future Perspectives

Temperate forest trees are most at risk to frost damage in the spring due to the stochasticity of spring freezes.

With warm temperatures advancing in the spring but last spring freeze dates advancing at a slower rate,
there could be more damaging false spring events in the future, especially in high-risk regions [1, 5, 53]. Current equations for evaluating false spring damage (e.g. Equation 1) largely simplify the myriad complexities
involved in assessing false spring damage and risks. More studies aimed at understanding relationships between species avoidance and tolerance strategies, climatic regimes, and physiological cue interactions with the
duration of vegetative risk would improve predictions (see 'Outstanding Questions'). Additionally, research

to establish temperature thresholds for damage across functional types and phenophases will help effectively predict false spring risk in the future. An integrated approach to assessing past and future spring freeze damage would provide novel insights into plant strategies, and offer more robust predictions as climate change progresses, which is essential for mitigating the adverse ecological and economic effects of false springs.

${f Acknowledgments}$

We thank D. Buonaiuto, W. Daly, A. Ettinger, and I. Morales-Castilla for comments and insights that improved the manuscript.

209 References

- [1] Gu, L., Hanson, P. J., Post, W. M., Kaiser, D. P., Yang, B., Nemani, R., Pallardy, S. G., and Meyers, T.

 The 2007 Eastern US Spring Freeze: Increased Cold Damage in a Warming World. *BioScience* 58(3),

 253 (2008).
- [2] Hufkens, K., Friedl, M. A., Keenan, T. F., Sonnentag, O., Bailey, A., O'Keefe, J., and Richardson, A. D. Ecological impacts of a widespread frost event following early spring leaf-out. *Global Change Biology*18(7), 2365–2377 (2012).
- 216 [3] Knudson, W. The Economic Impact of the Spring's Weather on the Fruit and Vegetable Sectors. The

 Strategic Marketing Institute Working Paper 0 (2012).
- ²¹⁸ [4] Ault, T. R., Henebry, G. M., de Beurs, K. M., Schwartz, M. D., Betancourt, J. L., and Moore, D.

 The False Spring of 2012, Earliest in North American Record. *Eos, Transactions American Geophysical Union* 94(20), 181–182 (2013).
- [5] Inouye, D. W. Effects of climate change on phenology, frost damage, and floral abundance of montane wildflowers. *Ecology* **89**(2), 353–362 (2008).

- ²²³ [6] Martin, M., Gavazov, K., Körner, C., Hattenschwiler, S., and Rixen, C. Reduced early growing season freezing resistance in alpine treeline plants under elevated atmospheric CO_2 . Global Change Biology ²²⁴ **16**(3), 1057–1070, Mar (2010).
- ²²⁶ [7] Augspurger, C. K. Spring 2007 warmth and frost: Phenology, damage and refoliation in a temperate deciduous forest. *Functional Ecology* **23**(6), 1031–1039 (2009).
- [8] Augspurger, C. K. Reconstructing patterns of temperature, phenology, and frost damage over 124 years:

 Spring damage risk is increasing. *Ecology* **94**(1), 41–50 (2013).
- ²³⁰ [9] Menzel, A., Helm, R., and Zang, C. Patterns of late spring frost leaf damage and recovery in a european beech (fagus sylvatica l.) stand in south-eastern germany based on repeated digital photographs. Frontiers in Plant Science 6, 110 (2015).
- [10] Allstadt, A. J., Vavrus, S. J., Heglund, P. J., Pidgeon, A. M., Wayne, E., and Radeloff, V. C. Spring
 plant phenology and false springs in the conterminous U. S. during the 21st century. *Environmental Research Letters (submitted)* 10(October), 104008 (2015).
- [11] Muffler, L., Beierkuhnlein, C., Aas, G., Jentsch, A., Schweiger, A. H., Zohner, C., and Kreyling, J.
 Distribution ranges and spring phenology explain late frost sensitivity in 170 woody plants from the
 northern hemisphere. Global Ecology and Biogeography 25(9), 1061–1071, May (2016).
- ²³⁹ [12] Xin, Q. A risk-benefit model to simulate vegetation spring onset in response to multi-decadal climate variability: Theoretical basis and applications from the field to the Northern Hemisphere. *Agriculture* and Forest Meteorology 228-229, 139–163 (2016).
- ²⁴² [13] Vitra, A., Lenz, A., and Vitasse, Y. Frost hardening and dehardening potential in temperate trees from
 ²⁴³ winter to budburst. New Phytologist **216**(1), 113–123, Jul (2017).
- ²⁴⁴ [14] Ludlum, D. M. Early American Winters: 1604-1820. Number 3. Boston: American Meteorological Society, (1968).

- [15] Mock, C. J., Mojzisek, J., McWaters, M., Chenoweth, M., and Stahle, D. W. The winter of 1827–1828
 over eastern North America: a season of extraordinary climatic anomalies, societal impacts, and false
 spring. Climatic Change 83(1-2), 87–115, Feb (2007).
- [16] Taschler, D., Beikircher, B., and Neuner, G. Frost resistance and ice nucleation in leaves of five woody timberline species measured in situ during shoot expansion. *Tree Physiology* **24**, 331–337 (2004).
- ²⁵¹ [17] Lenz, A., Hoch, G., Vitasse, Y., and Körner, C. European deciduous trees exhibit similar safety margins against damage by spring freeze events along elevational gradients. *New Phytologist* **200**(4), 1166–1175 (2013).
- ²⁵⁴ [18] Vitasse, Y., Lenz, A., and Körner, C. The interaction between freezing tolerance and phenology in temperate deciduous trees. *Frontiers in Plant Science* **5**(October), 541 (2014).
- [19] Schwartz, M. D. Assessing the onset of spring: A climatological perspective. Physical Geography 14(6),
 536–550 (1993).
- ²⁵⁸ [20] Marino, G. P., Kaiser, D. P., Gu, L., and Ricciuto, D. M. Reconstruction of false spring occurrences over the southeastern United States, 1901–2007: an increasing risk of spring freeze damage? *Environmental* Research Letters **6**(2), 24015 (2011).
- [21] Peterson, A. G. and Abatzoglou, J. T. Observed changes in false springs over the contiguous United
 States. Geophysical Research Letters 41(6), 2156–2162 (2014).
- ²⁶³ [22] O'Keefe, J. Phenology of Woody Species at Harvard Forest since 1990. Technical report, (2014).
- ²⁶⁴ [23] Richardson, A. D. PhenoCam images and canopy phenology at Harvard Forest since 2008, (2015).
- ²⁶⁵ [24] USA-NPN. USA National Phenology Network Extended Spring Indices, (2016).
- 266 [25] Richardson, A. and O'Keefe, J. Phenological differences between understory and overstory: a case
 267 study using the long-term harvard forest records, 87–117. A. Noormets (Ed.), Phenology of Ecosystem
 268 Processes, Springer, New York (2009).

- ²⁶⁹ [26] Ault, T. R., Schwartz, M. D., Zurita-Milla, R., Weltzin, J. F., and Betancourt, J. L. Trends and natural variability of spring onset in the coterminous united states as evaluated by a new gridded dataset of spring indices. *Journal of Climate* **28**(21), 8363–8378 (2015).
- ²⁷² [27] Mehdipoor, H. and Zurita-Milla, E. I.-V. R. Continental-scale monitoring and mapping of false spring:

 A cloud computing solution. University of Leeds, (2017).
- ²⁷⁴ [28] Edwards, E. J., Chatelet, D. S., Spriggs, E. L., Johnson, E. S., Schlutius, C., and Donoghue, M. J.

 Correlation, causation, and the evolution of leaf teeth: A reply to Givnish and Kriebel. *Am J Bot*104(4), 509–515, Apr (2017).
- ²⁷⁷ [29] Prozherina, N., Freiwald, V., Rousi, M., and Oksanen, E. Interactive effect of springtime frost and elevated ozone on early growth, foliar injuries and leaf structure of birch (*Betula pendula*). *New Phytologist* ²⁷⁹ **159**(3), 623–636, Jun (2003).
- [30] Agrawal, A. A., Conner, J. K., and Stinchcombe, J. R. Evolution of plant resistance and tolerance to frost damage. *Ecology Letters* **7**(12), 1199–1208, Dec (2004).
- ²⁸² [31] Chuine, I. Why does phenology drive species distribution? *Philosophical Transactions of the Royal*²⁸³ Society B: Biological Sciences **365**(1555), 3149–3160, Sep (2010).
- ²⁸⁴ [32] Kollas, C., Körner, C., and Randin, C. F. Spring frost and growing season length co-control the cold ²⁸⁵ range limits of broad-leaved trees. *Journal of Biogeography* **41**(4), 773–783 (2014).
- ²⁸⁶ [33] Charrier, G., Bonhomme, M., Lacointe, A., and Améglio, T. Are budburst dates, dormancy and cold acclimation in walnut trees (juglans regia l.) under mainly genotypic or environmental control? *International Journal of Biometeorology* **55**(6), 763–774, Nov (2011).
- [34] Basler, D. and Körner, C. Photoperiod sensitivity of bud burst in 14 temperate forest tree species.
 Agricultural and Forest Meteorology 165, 73-81 (2012).
- [35] Basler, D. and Korner, C. Photoperiod and temperature responses of bud swelling and bud burst in four temperate forest tree species. *Tree Physiology* **34**(4), 377–388, Apr (2014).

- ²⁹³ [36] Vitasse, Y., Lenz, A., Hoch, G., and Körner, C. Earlier leaf-out rather than difference in freezing ²⁹⁴ resistance puts juvenile trees at greater risk of damage than adult trees. *Journal of Ecology* **102**(4), ²⁹⁵ 981–988 (2014).
- ²⁹⁶ [37] Xie, Y., Wang, X., and Silander, J. A. Deciduous forest responses to temperature, precipitation, and drought imply complex climate change impacts. *Proceedings of the National Academy of Sciences* ²⁹⁸ **112**(44), 13585–13590, Oct (2015).
- [38] Lenz, A., Hoch, G., Körner, C., and Vitasse, Y. Convergence of leaf-out towards minimum risk of freezing damage in temperate trees. Functional Ecology 30, 1–11 (2016).
- [39] IPCC. Climate change 2014: mitigation of climate change, volume 3. Cambridge University Press, (2015).
- ³⁰³ [40] Flynn, D. F. B. and Wolkovich, E. M. Temperature and photoperiod drive spring phenology across all species in a temperate forest community. *New Phytologist* **0**, Jun (2018).
- [41] Cleland, E., Chiariello, N., Loarie, S., Mooney, H., and Field, C. Diverse responses of phenology to global changes in a grassland ecosystem. *PNAS* **103**(37), 13740–13744 (2006).
- ³⁰⁷ [42] Fu, Y. H., Zhao, H., Piao, S., Peaucelle, M., Peng, S., Zhou, G., Ciais, P., Huang, M., Menzel, A.,
 ³⁰⁸ Peñuelas, J., and et al. Declining global warming effects on the phenology of spring leaf unfolding.

 Nature **526**(7571), 104–107, Sep (2015).
- [43] Dolezal, J., Dvorsky, M., Kopecky, M., Liancourt, P., Hiiesalu, I., Macek, M., Altman, J., Chlumska, Z.,
 Rehakova, K., Capkova, K., and et al. Vegetation dynamics at the upper elevational limit of vascular
 plants in himalaya. Scientific Reports 6(1), May (2016).
- ³¹³ [44] Partanen, J. Dependence of photoperiodic response of growth cessation on the stage of development in *Picea abies* and *Betula pendula* seedlings. *Forest Ecology and Management* **188**(1-3), 137–148, Feb (2004).

- ³¹⁶ [45] Vihera-aarnio, A., Hakkinen, R., and Junttila, O. Critical night length for bud set and its variation in two photoperiodic ecotypes of *Betula pendula*. *Tree Physiology* **26**, 1013–1018 (2006).
- [46] Caffarra, A. and Donnelly, A. The ecological significance of phenology in four different tree species:

 Effects of light and temperature on bud burst. *International Journal of Biometeorology* **55**(5), 711–721

 (2011).
- ³²¹ [47] Laube, J., Sparks, T. H., Estrella, N., Höfler, J., Ankerst, D. P., and Menzel, A. Chilling outweighs

 photoperiod in preventing precocious spring development. *Global Change Biology* **20**(1), 170–182, Oct

 (2013).
- ³²⁴ [48] Zohner, C. M., Benito, B. M., Svenning, J.-C., and Renner, S. S. Day length unlikely to constrain climatedriven shifts in leaf-out times of northern woody plants. *Nature Climate Change* **6**(12), 1120–1123, Oct

 (2016).
- Gauzere, J., Delzon, S., Davi, H., Bonhomme, M., Garcia de Cortazar-Atauri, I., and Chuine, I. Integrating interactive effects of chilling and photoperiod in phenological process-based models. A case study with two European tree species: Fagus sylvatica and Quercus petraea. Agricultural and Forest Meteorology 244-255, 9-20 (2017).
- [50] Myking, T. and Skroppa, T. Variation in phenology and height increment of northern *Ulmus glabra* populations: Implications for conservation. *Scandinavian Journal of Forest Research* **22**, 369–374 (2007).
- [51] Harrington, C. A. and Gould, P. J. Tradeoffs between chilling and forcing in satisfying dormancy requirements for pacific northwest tree species. Frontiers in Plant Science 6, Mar (2015).
- [52] Aitken, S. N. and Bemmels, J. B. Time to get moving: assisted gene flow of forest trees. Evolutionary
 Applications 9(1), 271–290, Aug (2015).
- ³³⁷ [53] Liu, Q., Piao, S., Janssens, I. A., Fu, Y., Peng, S., Lian, X., Ciais, P., Myneni, R. B., Peñuelas, J., and
 Wang, T. Extension of the growing season increases vegetation exposure to frost. *Nature Communications*³³⁹ **9**(1), Jan (2018).

- [54] Soudani, K., Hmimina, G., Delpierre, N., Pontailler, J.-Y., Aubinet, M., Bonal, D., Caquet, B., de Grandcourt, A., Burban, B., Flechard, C., and et al. Ground-based network of ndvi measurements for tracking
 temporal dynamics of canopy structure and vegetation phenology in different biomes. Remote Sensing
 of Environment 123, 234–245, Aug (2012).
- White, M. A., De Beurs, K. M., Didan, K., Inouye, D. W., Richardson, A. D., Jensen, O. P., O'Keefe,
 J., Zhang, G., Nemani, R. R., Van Leeuwen, W. J. D., and Al., E. Intercomparison, interpretation, and
 assessment of spring phenology in north america estimated from remote sensing for 1982-2006. Global
 Change Biology 15(10), 2335-2359, Oct (2009).
- [56] Schaber, J. and Badeck, F.-W. Plant phenology in germany over the 20th century. Regional Environmental Change **5**(1), 37–46, Jan (2005).
- [57] Barker, D., Loveys, B., Egerton, J., Gorton, H., Williams, W., and Ball, M. Co2 enrichment predisposes
 foliage of a eucalypt to freezing injury and reduces spring growth. *Plant, Cell and Environment* 28,
 1506–1515 (2005).
- ³⁵³ [58] Sánchez, B., Rasmussen, A., and Porter, J. R. Temperatures and the growth and development of maize and rice: a review. *Global Change Biology* **20**(2), 408–417, Dec (2013).
- [59] Longstroth, M. Protect blueberries from spring freezes by using sprinklers. url, (2012).
- [60] Barlow, K., Christy, B., O'Leary, G., Riffkin, P., and Nuttall, J. Simulating the impact of extreme heat
 and frost events on wheat crop production: A review. Field Crops Research 171, 109–119 (2015).
- ³⁵⁸ [61] Longstroth, M. Assessing frost and freeze damage to flowers and buds of fruit trees. url, (2013).

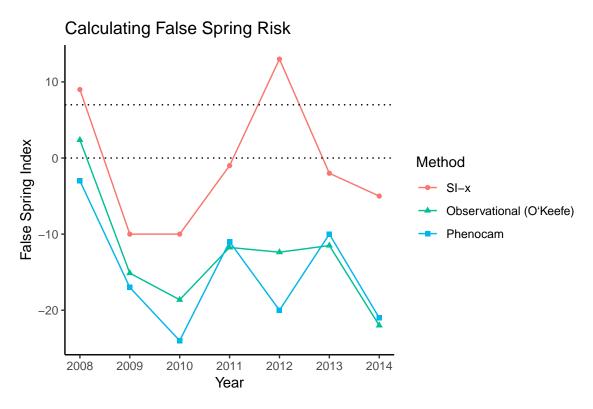


Figure 1: FSI values from 2008 to 2014 vary across methologies. To calculate spring onset, we used the USA-NPN Extended Spring Index tool for the USA-NPN FSI values, which are in red (USA-NPN, 2016), long-term ground observational data for the observed FSI values, which are in green (O'Keefe, 2014), and near-surface remote-sensing canopy data for the PhenoCam FSI values, which are in blue (Richardson, 2015). The dotted line at y=0 indicates a boundary between a likely false spring event or not, with positive numbers indicating a false spring likely occurred and negative numbers indicating a false spring most likely did not occur. The dotted line at y=7 indicates the 7 day threshold frequently used in false spring definitions, which suggests years with FSI values greater than 7 very likely had false spring events.

Descrepancies in Defining **False Spring Temperatures** All species - soft freeze (Augspurger, 2013) -All species (Peterson & Abatzoglou, 2014) All species - hard freeze (Schwartz, 1993)) Fagus sylvatica - 50% (Lenz et al., 2016) Sector Eucalyptus pauciflora(Barker et al., 2005) Agronomic Acer pseudoplatanus - 50% (Lenz et al., 2016) Tilia platyphyllos- 50% (Lenz et al., 2016) **Ecological** Sorbus aucuparia - 50% (Lenz et al., 2016) Prunus avium- 50% (Lenz et al., 2016) Rice - 100% (Sanchez et al., 2013) Phase All species (Cannell & Smith, 1986) Both Corn - 100% (Sanchez et al., 2013) Vaccinium spp.(Longstroth, 2012) Floral Wheat - 10 to 90% (Barlow et al., 2015) Vegetative Wheat - 100% (Barlow et al., 2015) Rosaceae - 10% (Longstroth, 2013) Rosaceae-90% (Longstroth, 2013) Wheat - 100% (Sanchez et al., 2013) 10 -20 -10 Temperature Threshold °C

Figure 2: A comparison of damaging spring freezing temperature thresholds across ecological and agronomic studies. Each study is listed on the y axis along with the taxonomic group of focus. Next to the species name is the freezing definition used within that study (e.g. 100% is 100% lethality). Each point is the best estimate recorded for the temperature threshold with standard deviation if indicated in the study.

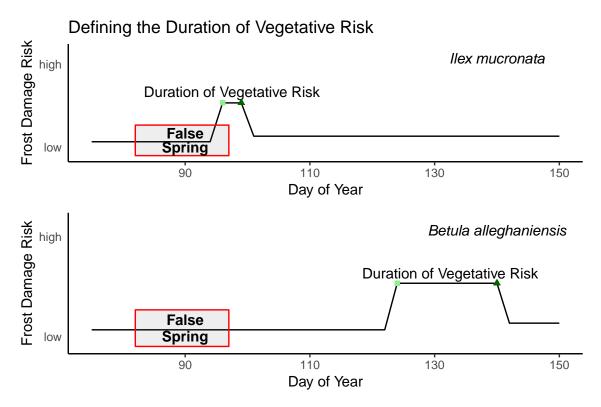


Figure 3: Differences in spring phenology and false spring risk across two species: *Ilex mucronata* (L.) and *Betula alleghaniensis* (Marsh.). We mapped a hypothetical false spring event based on historical weather data and long-term observational phenological data collected at Harvard Forest (O'Keefe, 2014). In this scenario, *Ilex mucronata*, which budbursts early and generally has a short period between budburst (light green squares) and leafout (dark green triangles), would be exposed to a false spring event during it's duration of vegetative risk (i.e. from budburst to leafout), whereas *Betula alleghaniensis* would avoid it entirely, due to later budburst. Budburst is indicated by the light green squares and leafout is indicated by the dark green triangles.

Effects of Climate Change on the Duration of Vegetative Risk

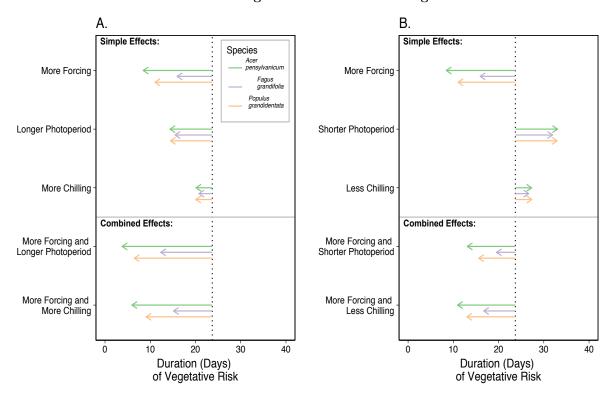


Figure 4: How major cues of spring phenology alter vegetative risk. Panel (A) demonstrates the more classical approach to assessing phenological cues and their interactions, which indicates fewer days between budburst and leafout with increasing forcing, chilling, and photoperiod. However, in climate change studies, this not realistic nor predicted. In panel (B) we demonstrate the predicted shifts in climate by showing the duration in vegatitive risk with increased forcing, decreased chilling and shorter photoperiods and their interactions. To calculate the interaction, for example, we added the effect of forcing, photoperiod and the interaction effect of forcing and photoperiod for each species.