

> restart

> assume(z ≥ 0)

Computing the growth constant for the DL model, caterpillar with 2 leaves

K2 = Cat(CatCat+2Cat) where Cat is Catalan with no constant term

> Catx := $\frac{(1 - \sqrt{1 - 4 \cdot x})}{2}$

$$Catx := \frac{1}{2} - \frac{1}{2} \sqrt{1 - 4x} \quad (1)$$

> series(Catx, x, 6)

$$x + x^2 + 2x^3 + 5x^4 + 14x^5 + O(x^6) \quad (2)$$

> Catz := $\frac{(1 - \sqrt{1 - 4 \cdot z})}{2}$

$$Catz := \frac{1}{2} - \frac{1}{2} \sqrt{1 - 4z} \quad (3)$$

> Cat2z := Catz·Catz + 2·Catz

$$Cat2z := \left(\frac{1}{2} - \frac{1}{2} \sqrt{1 - 4z} \right)^2 + 1 - \sqrt{1 - 4z} \quad (4)$$

> series(Cat2z, z)

$$2z + 3z^2 + 6z^3 + 15z^4 + 42z^5 + O(z^6) \quad (5)$$

> DL_HIST := simplify(subs(x = Cat2z, Catx))

$$DL_HIST := \frac{1}{2} - \frac{1}{2} \sqrt{-5 + 4z + 6\sqrt{1 - 4z}} \quad (6)$$

> evalf(discont(DL_HIST, z))

$$\{-6.604101966, 0.104101966, 0.2500000000\} \quad (7)$$

> series(DL_HIST, z = 0, 10)

$$2z + 7z^2 + 34z^3 + 200z^4 + 1318z^5 + 9354z^6 + 69864z^7 + 541323z^8 + 4310950z^9 + O(z^{10}) \quad (8)$$

> # Growth constant

$$\text{evalf}\left(\frac{1}{0.104101966}\right)$$

$$9.605966519 \quad (9)$$

Caterpillar with 3 leaves

K3 = Ca(K2Cat+Cat+K2)

> Cat3z := Catz·DL_HIST + Catz + DL_HIST

$$Cat3z := \left(\frac{1}{2} - \frac{1}{2} \sqrt{1 - 4z} \right) \left(\frac{1}{2} - \frac{1}{2} \sqrt{-5 + 4z + 6\sqrt{1 - 4z}} \right) + 1 - \frac{1}{2} \sqrt{1 - 4z} - \frac{1}{2} \sqrt{-5 + 4z + 6\sqrt{1 - 4z}} \quad (10)$$

> series(Cat3z, z, 10)

$$(11)$$

$$3 z\sim + 10 z\sim^2 + 45 z\sim^3 + 250 z\sim^4 + 1590 z\sim^5 + 11045 z\sim^6 + 81420 z\sim^7 + 625640 z\sim^8 + 4954875 z\sim^9 + O(z\sim^{10}) \quad (11)$$

$$\begin{aligned} &> DL_HIST_CAT3 := simplify(subs(x = Cat3z, Catx)) \\ DL_HIST_CAT3 &:= \frac{1}{2} \end{aligned} \quad (12)$$

$$-\frac{1}{2} \left(-\sqrt{1-4z\sim} \sqrt{-5+4z\sim+6\sqrt{1-4z\sim}} + 3\sqrt{-5+4z\sim+6\sqrt{1-4z\sim}} + 3\sqrt{1-4z\sim} - 4 \right)^{1/2}$$

$$\begin{aligned} &> evalf(discont(DL_HIST_CAT3, z)) \\ &\quad \{-6.604101966, 0.06360191820, 0.104101966, 0.2500000000\} \end{aligned} \quad (13)$$

$$\begin{aligned} &> series(DL_HIST_CAT3, z = 0, 10) \\ 3 z\sim + 19 z\sim^2 + 159 z\sim^3 + 1565 z\sim^4 + 17022 z\sim^5 + 197928 z\sim^6 + 2413494 z\sim^7 \\ &\quad + 30490089 z\sim^8 + 395828145 z\sim^9 + O(z\sim^{10}) \end{aligned} \quad (14)$$

$$\begin{aligned} &> evalf\left(\frac{1}{0.06360191820}\right) \\ &\quad 15.72279623 \end{aligned} \quad (15)$$

Caterpillar with two leaves in the DLT model.

A DLT Caterpillar is a duplication tree, followed by either a single lineage (that can transfer to the other extinct lineage), and there are two choices for this, or two parallel lineages that can transfer between them.

For a given lineage, the evolution is like a duplication tree but each internal node can be either a duplication or a HGT. So the equation for this is $CTz = z + 2 CTz^2$.

Then for the whole caterpillar, we have $Cat(CTz^2 + 2CTz)$

$$\begin{aligned} &> solve(CTz - z - 2 \cdot CTz^2, CTz) \\ &\quad \frac{1}{4} + \frac{1}{4} \sqrt{1-8z\sim}, \frac{1}{4} - \frac{1}{4} \sqrt{1-8z\sim} \end{aligned} \quad (16)$$

$$\begin{aligned} &> CTz := \frac{1}{4} - \frac{1}{4} \sqrt{1-8z\sim} \\ &\quad CTz := \frac{1}{4} - \frac{1}{4} \sqrt{1-8z\sim} \end{aligned} \quad (17)$$

$$\begin{aligned} &> series(CTz, z, 10) \\ z\sim + 2 z\sim^2 + 8 z\sim^3 + 40 z\sim^4 + 224 z\sim^5 + 1344 z\sim^6 + 8448 z\sim^7 + 54912 z\sim^8 \\ &\quad + 366080 z\sim^9 + O(z\sim^{10}) \end{aligned} \quad (18)$$

$$\begin{aligned} &> Cat4z := CTz \cdot CTz + 2 \cdot CTz \\ &\quad Cat4z := \left(\frac{1}{4} - \frac{1}{4} \sqrt{1-8z\sim} \right)^2 + \frac{1}{2} - \frac{1}{2} \sqrt{1-8z\sim} \end{aligned} \quad (19)$$

$$\begin{aligned} &> DLT_HIST := simplify(subs(x = Cat4z, Catx)) \\ &\quad \end{aligned} \quad (20)$$

$$DLT_HIST := \frac{1}{2} - \frac{1}{4} \sqrt{-6 + 10 \sqrt{1 - 8 z} + 8 z} \quad (20)$$

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> series(DLT_HIST, z = 0, 10)
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$$2 z + 9 z^2 + 56 z^3 + 405 z^4 + 3188 z^5 + 26538 z^6 + 230016 z^7 + 2056109 z^8 + 18834460 z^9 + O(z^{10}) \quad (21)$$

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> evalf(discont(DLT_HIST, z))
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$$\{-11.09016994, 0.090169942, 0.1250000000\} \quad (22)$$

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> evalf(1/0.090169942)
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$$11.09017016 \quad (23)$$