

Computer Communication Laboratory

Research Seminar

Resource Allocation for Optical IRS-Assisted Multi-UAVs Networks

Student: DANG Dinh Khanh – m5282002

Supervisor: Professor Anh T. PHAM



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Outline of Presentation

I. Research Background

- The FSO-based HAP networks
- The optical IRS

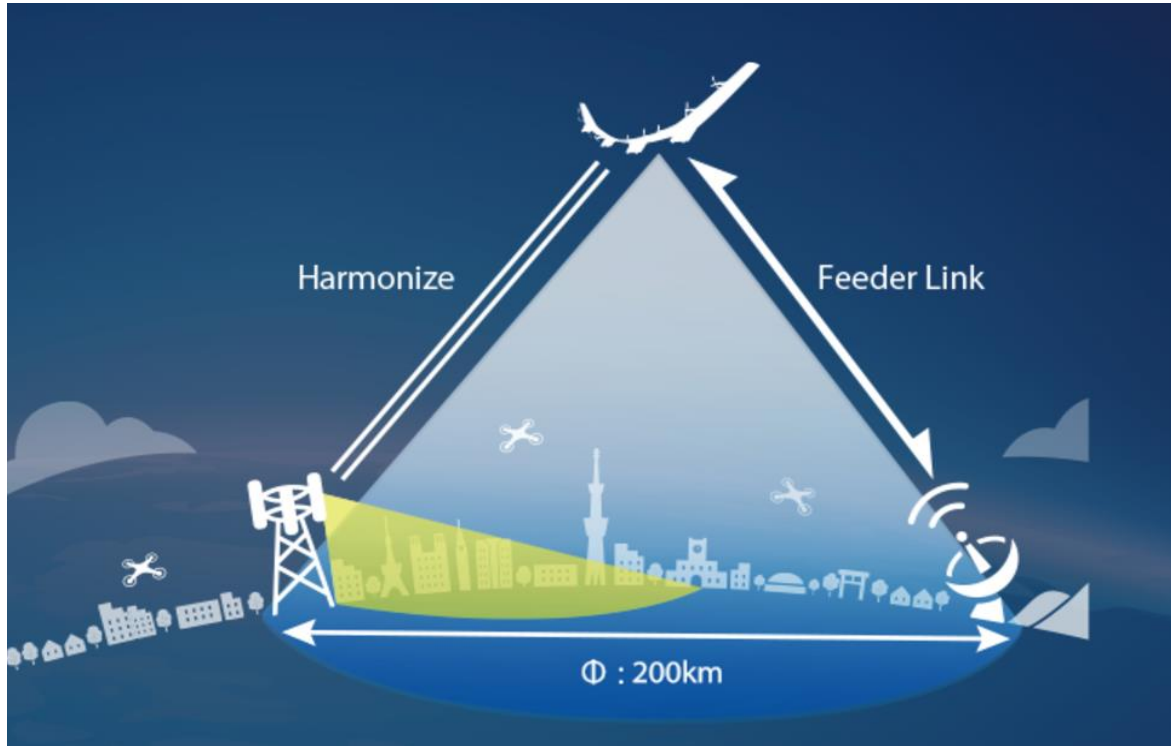
II. System Model

III. Problem Statement and Goal

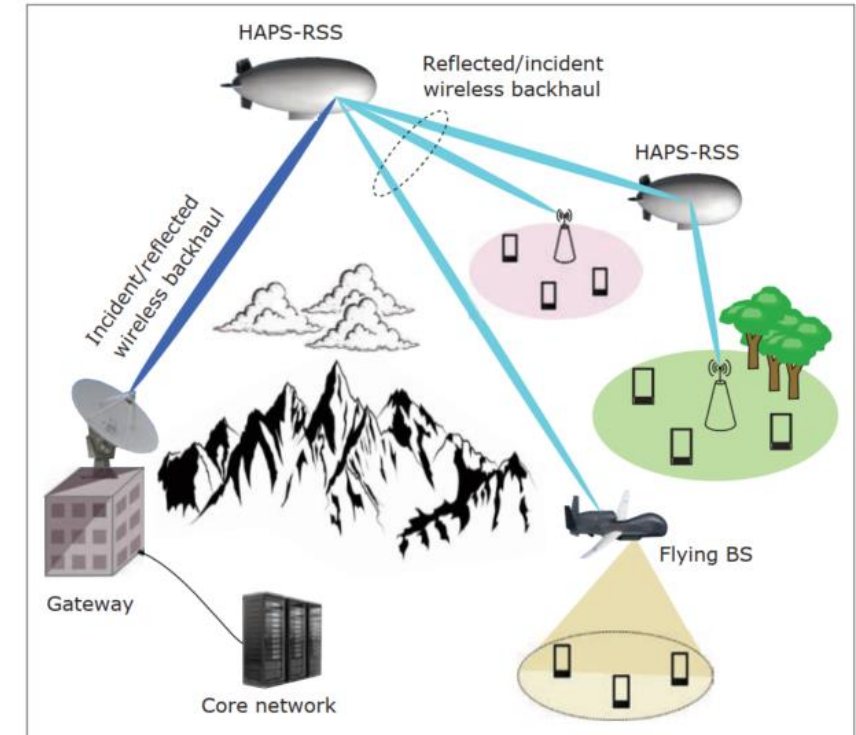
IV. Resource Allocation

1. Research Background: the FSO-based HAP networks

In recent years, the success of various projects involving HAPs (e.g., SoftBank's Sunslider in Japan) has emerged potential of using HAP to support a wide coverage and provide internet from space.



Internet from space by **high altitude platform (HAP)** by SoftBank [1]



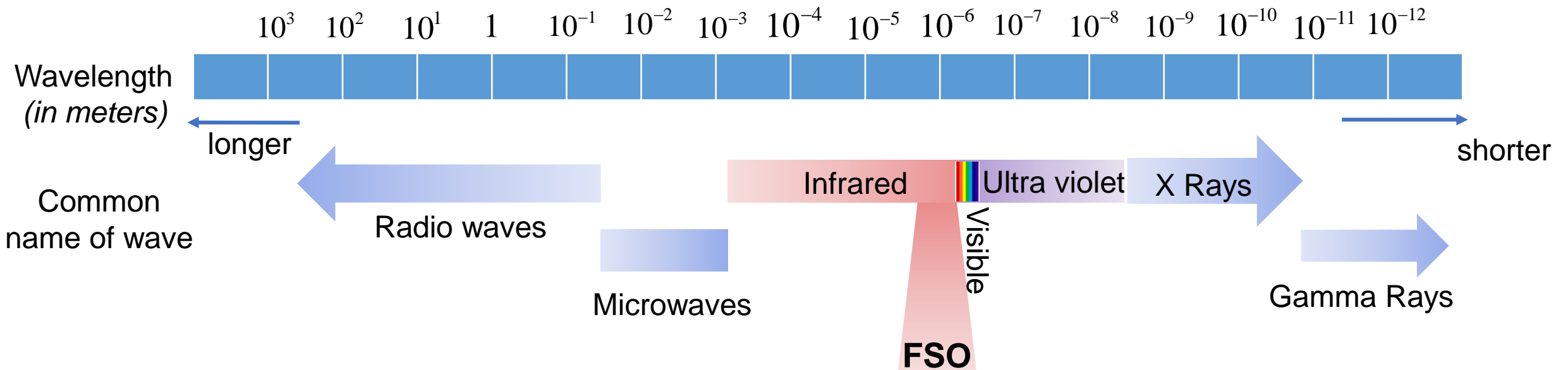
Backhauling support isolated users in remote rural areas using HAP

- Moreover, HAPs can serve **as a relay node** between core network other base stations to provide connectivity for end-users in rural/remote areas.

1. Research Background: FSO communication

❖ Free Space Optical (FSO) communications

- Using near infrared frequency bands ($200\text{-}400\text{ THz}$) to transmit data.
- High-speed connection (Gbps and even Tbps)
- Free-license bandwidth



➡ The FSO-based HAP system can provide **high data-rate and wide coverage**.

➡ However, using HAP as a relay can lead to *power consumption* and *hardware complexity*.

1. Research Background: the optical IRS

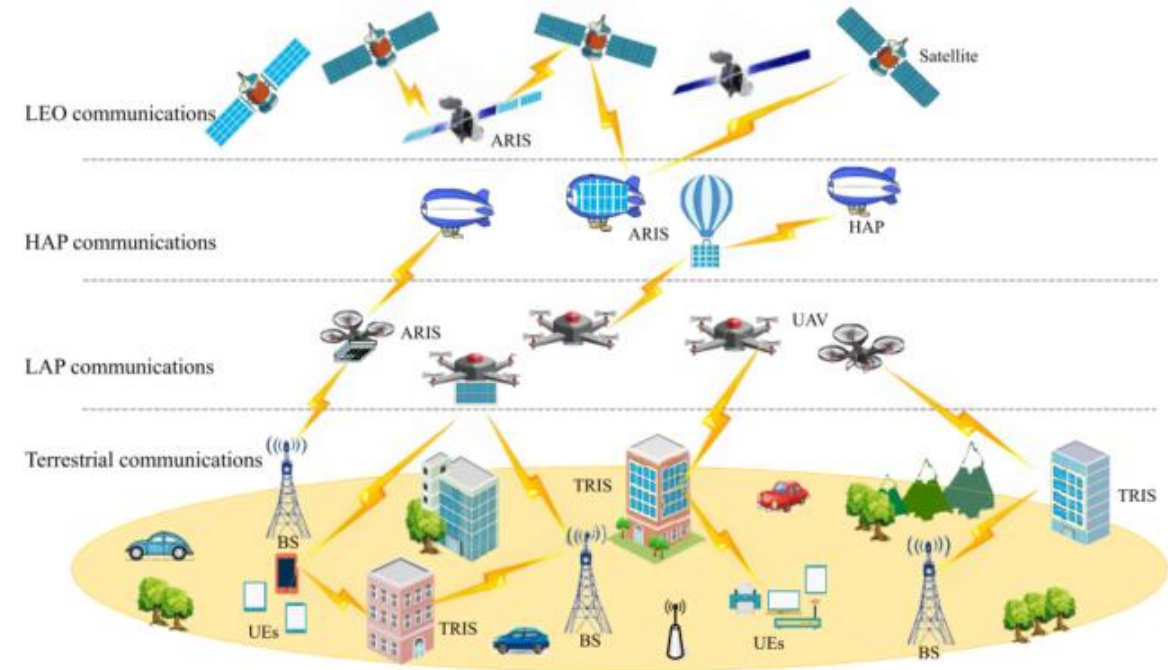
Recently, many studies have developed *Intelligent reflecting surface (IRS)* as a *green and alternative solution* of conventional relay networks.

❖ Optical Intelligent Reflecting Surface (OIRS):

- A surface is used to *reflect signal* in a *controlled way*.
- Comprises: an *array of mirrors* or *metamaterial elements*.
- *Nearly-passive*: only low power is used for the microcontroller to *control every OIRS element* to “reflect” incident laser beam *into the expected direction*.

❖ Advantages

- *Low energy consumption*.
- *Light payload, reduce hardware complexity compared to relay*
- *Extend coverage and avoid blockage*.

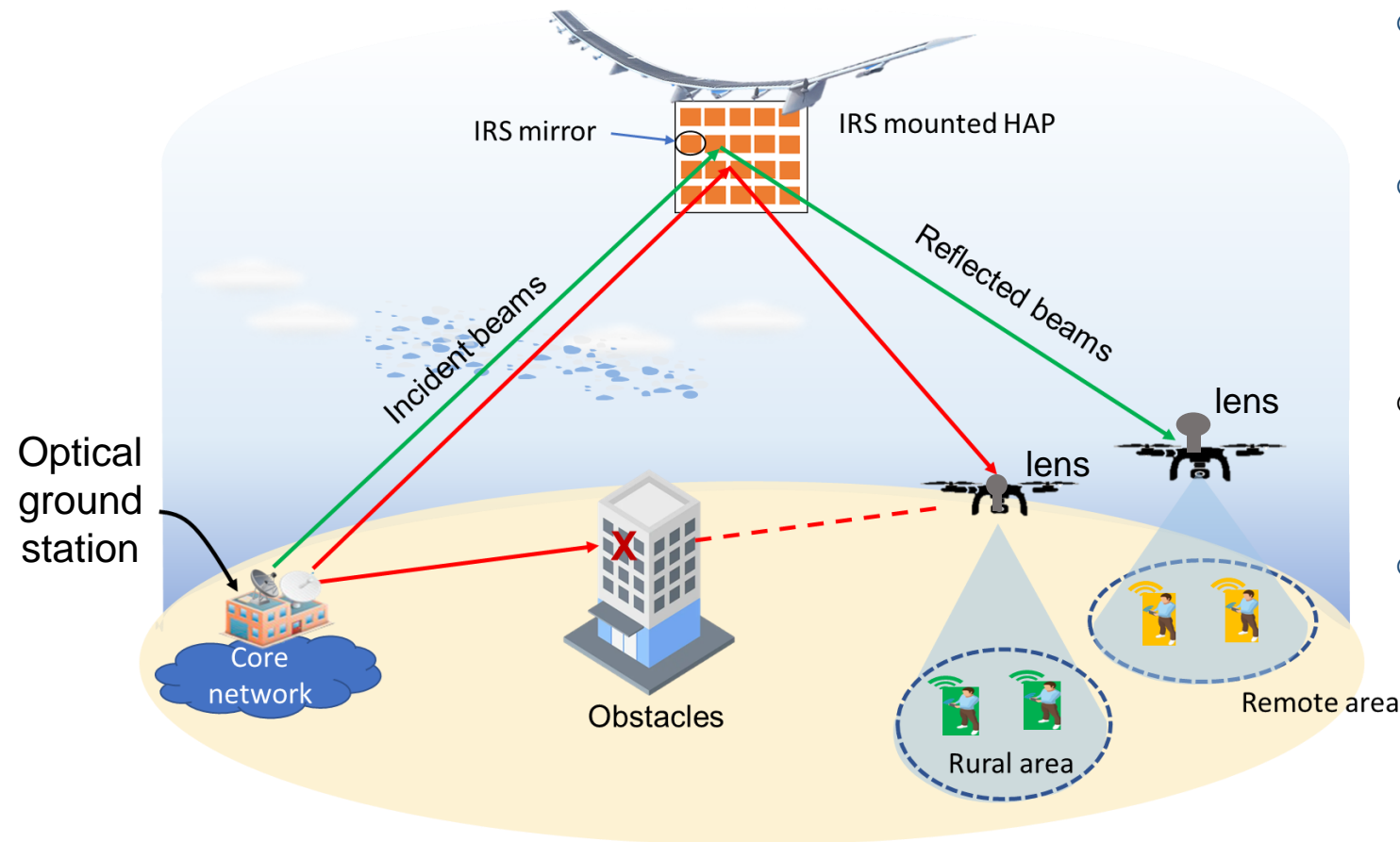


The operation concept of IRS [1]



OIRS can be equipped in HAP to reflect the FSO signal.

2. System Model



FSO-based HAP-Assisted Multi-UAVs with IRS

❖ System description:

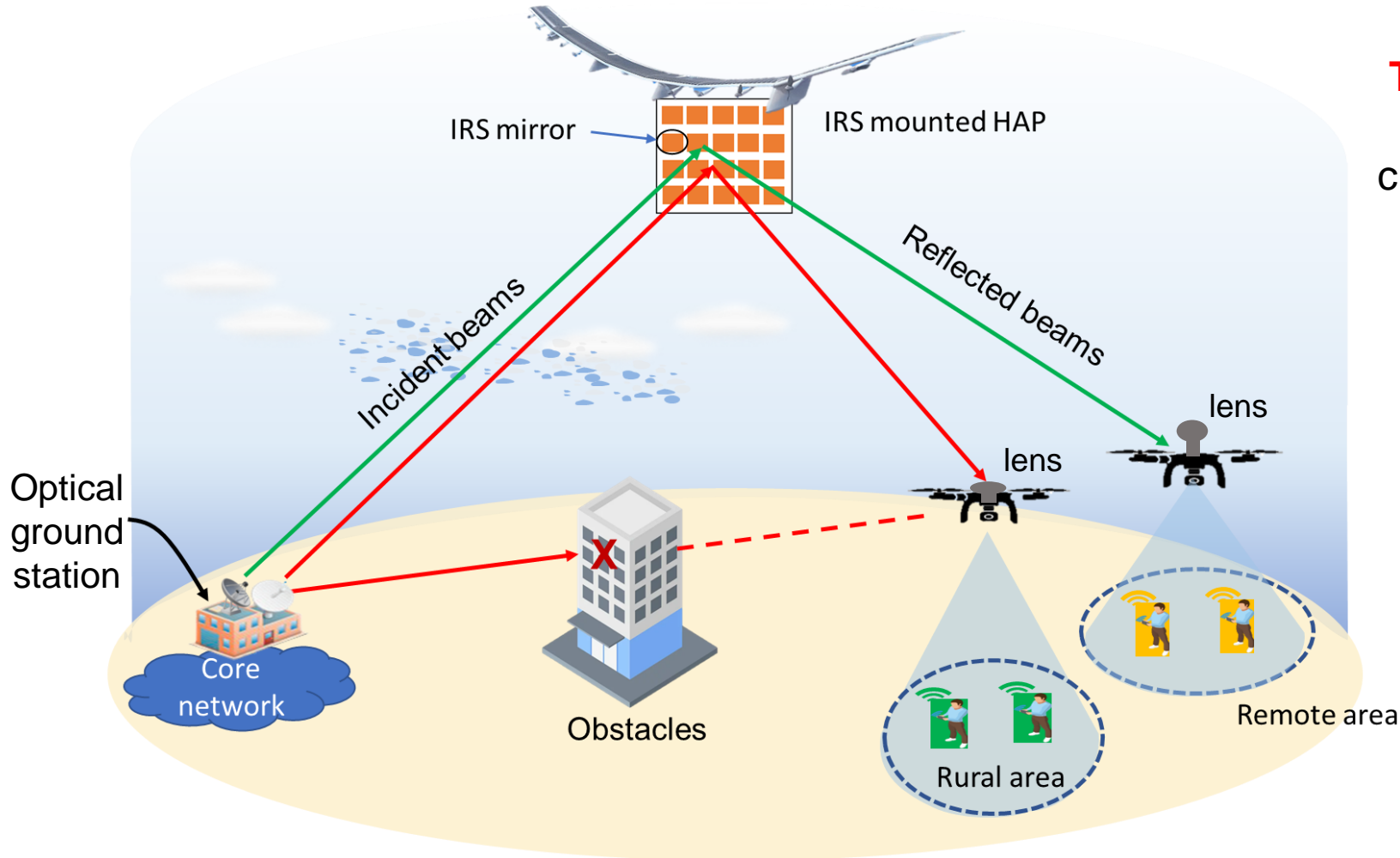
- **1. Source:** multiple laser sources in the optical ground station.
- **2.** One OIRS (an array of mirrors) mounted HAP.

$$\sum irs = L_x \times L_y$$

- Each mirror can rotate independently with angle θ to reflect the beam to expected users.
- **3. Destinations:** multiple UAVs mounted base stations in rural/remote areas.

❖ **Application:** provide the internet connection to rural/remote areas where ground base stations are unavailable or assumed to be blockage connection.

3. Problem Statement and Goal



Limited OIRS Resources

The **limited OIRS size** mounted in HAP restricts the number of OIRS elements, creating **critical issues** in **sharing these elements** among multiple UAVs.



Resource Allocation
for OIRS to support
multiple UAVs

Given:

- Different channel conditions
- Maintain targeted Quality of Service (QoS) among all UAVs

3. Problem Statement and Goal

□ Goal:

Resource Allocation for OIRS to support multiple UAVs based on channel conditions to *maximize the total achievable rate* while *maintaining targeted QoS* (minimum achievable rate) among all UAVs.

□ Steps:

- **Step 1:** Analyze *the impact of IRS element to channel condition*.

$$h = f(h_a, h_t, h_{gml}) = f\left(\sum irs\right)$$

How much the received power grows by increasing the number of IRS elements (mirrors)?

- **Step 2:** Calculate the *minimum number of IRS elements* to satisfy the targeted QoS.

$$\text{Achievable rate} = f(SNR) = f(P_{received}) = f(h) = f\left(\sum irs\right)$$

How many required IRS elements to achieve a targeted QoS?

- **Step 3:** Apply algorithm, i.e., exhausted search to *allocate the remaining IRS elements* to maximize the total achievable rate.

How to allocate the remaining IRS elements to maximize total rate?

4. Step 1: Channel modeling for optical IRS

Composite Channel model: $h = \eta \times h_p \times h_t \times h_{gml}$

- ❑ **IRS reflection coefficient:** imperfect reflection of IRS, η
- ❑ **Atmospheric loss:** laser beam energy loss due to absorption and scattering.

$$h_p = \exp(-\zeta(L_1 + L_2))$$

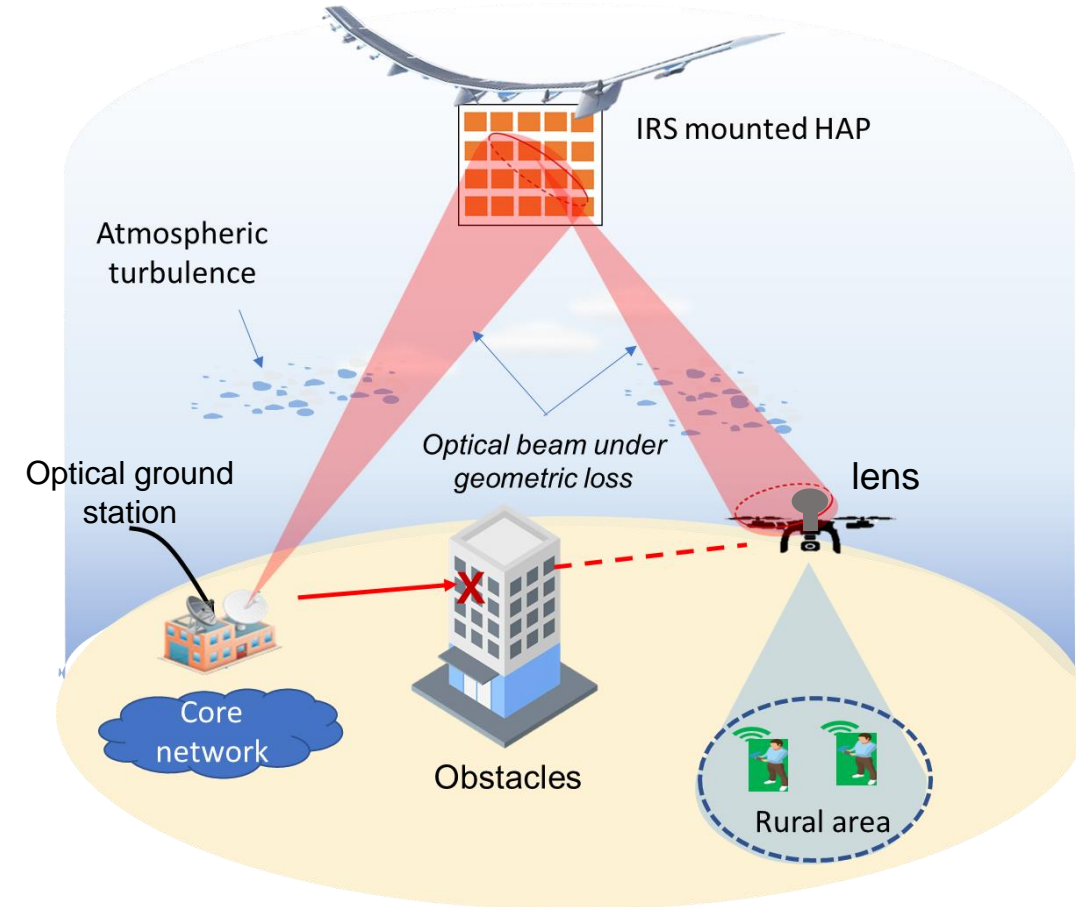
ζ : attenuation coefficient

L_1, L_2 : distance between GS-IRS, IRS-UAV

- ❑ **Atmospheric turbulence:** random variation of temperature and pressure of atmospheric cause the scintillation effect.

$$\left. \begin{array}{l} h_{t,1} \sim GG(\alpha_1, \beta_1) \\ h_{t,2} \sim GG(\alpha_2, \beta_2) \end{array} \right\} h_t = h_{t,1} \times h_{t,2} \approx GG$$

- ❑ **Geometric loss:** deterministic geometric loss due to the divergence of laser beam along the transmission path.



4. Step 1: Channel modeling for optical IRS

□ **Geometric loss:** $h_{gml} = \frac{\text{Received power at lens}}{\text{Total power}}$

$\sum irs = L_x \times L_y$: area of IRS

A_{in} : area of incident beam footprint

A_{rx} : area of reflection beam footprint radius

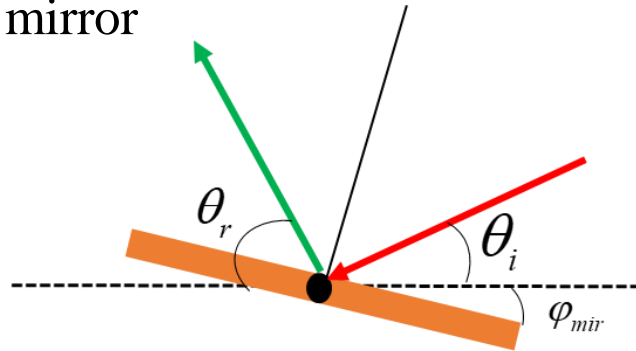
$\sum lens$: area of receiver lens

θ_i : angle between xy-plane and incident beam

θ_r : angle between xy-plane and reflected beam

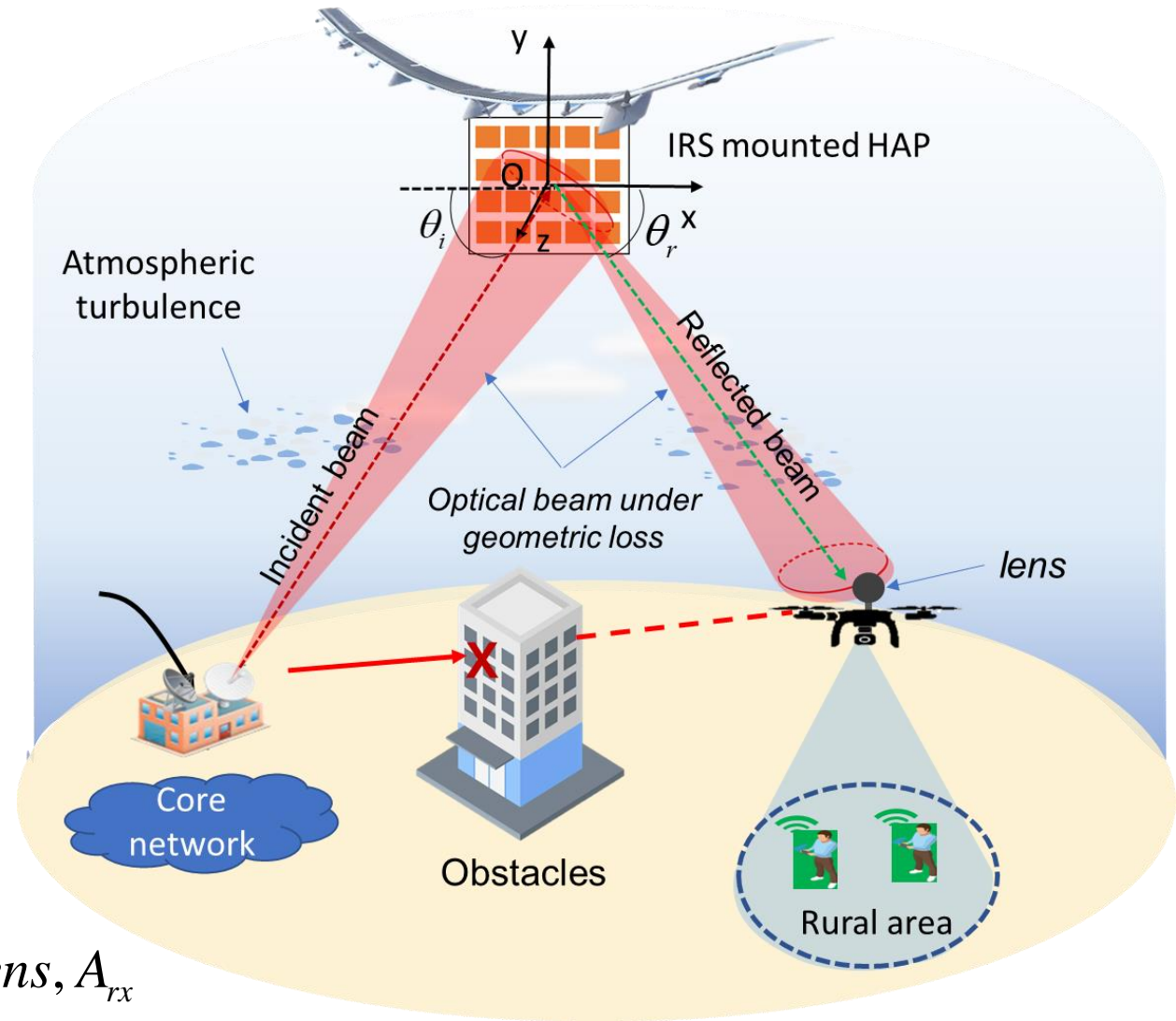
φ_{mir} : elevation angle of mirror

$$\varphi_{mir} = \frac{|\theta_i - \theta_r|}{2}$$



□ Based on the relationship between $\sum irs, A_{in}, \sum lens, A_{rx}$

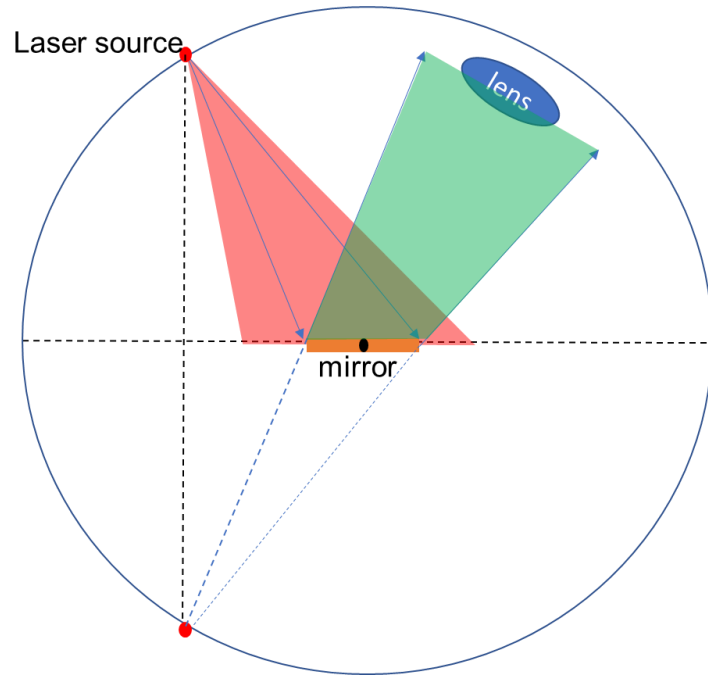
➡ The geometric loss fluctuates by three regimes.



4. Step 1: Channel modeling for optical IRS

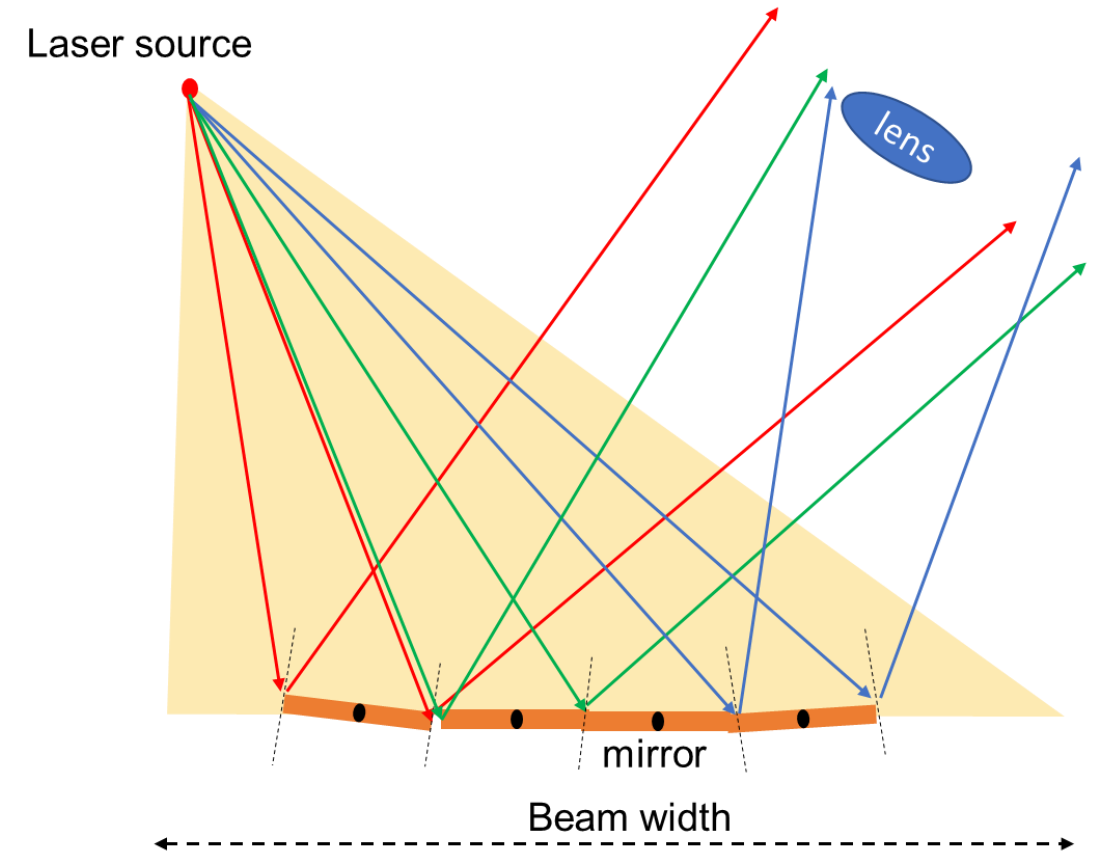
□ **Geometric loss** Regime 1: $\sum irs \ll A_{in}, \sum lens \ll A_{rx}$

$$h_{gml,1} = \frac{16\pi^2 \left(\sum irs\right)^2 |\sin \theta_i|}{\lambda^4} \times g_{LS} \times g_{PD}$$



- The IRS, lens is much smaller than beam footprints.
- Beam can be approximated by a plane wave.
- Increase the IRS size, the received power increases.

➡ $h_{gml} \sim \left(\sum irs\right)^2$: quadratic power scaling regime



- As increase the size of IRS, beam becomes narrower that introduces the coherent superposition of reflected signal (beamforming gain).

4. Step 1: Channel modeling for optical IRS

□ Geometric loss

Regime 2: $\sum irs \ll A_{in}, \sum lens \gg A_{rx}$

$$h_{gml,2} = \frac{4\pi \sum irs |\sin \theta_i|}{\lambda^2} \times g_{LS}$$

➡ $h_{gml} \sim (\sum irs)$: linear power scaling regime

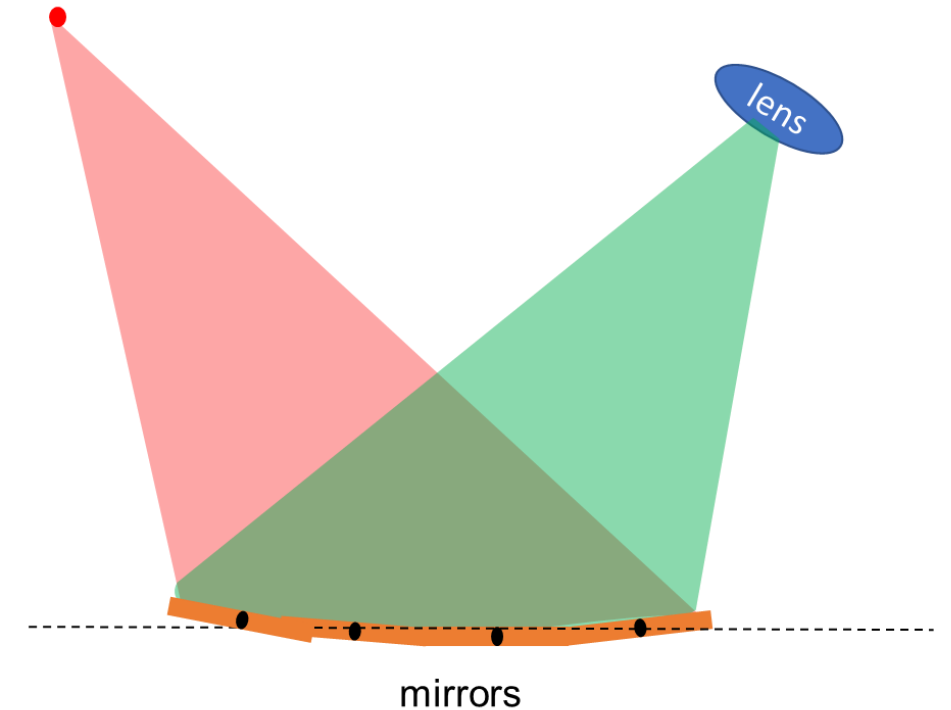
Regime 3: $\sum irs \gg A_{in}, \sum lens \gg A_{rx}$

$$h_{gml,3} = \left[\text{erf} \left(\sqrt{\frac{\pi}{2}} \frac{a}{\omega_{rx}} \right) \right]^2$$

- Total incident power is reflected by IRS and captured by lens.
- Lens size is a limiting factor for the received power.

➡ $h_{gml} \not\propto (\sum irs)$: Saturated power scaling regime

Laser source



- As the size of IRS increases, beamforming gain of IRS saturates and *cannot* further increase the received power.
- Increasing the IRS size, the received power increases only linearly.

4. Step 1: Channel modeling for optical IRS

□ What are the boundaries among three regimes ?

- The boundary between quadratic regime and linear regime can be determined by

$$h_{gml,1} \leq h_{gml,2}$$
$$\Rightarrow \sum irs \leq \frac{\lambda^2 L_2^2}{\pi a^2 |\sin(\theta_r)|} = S_1$$

- The boundary between linear regime and saturated regime can be determined by

$$h_{gml,2} \leq h_{gml,3}$$
$$\Rightarrow \sum irs \leq \frac{h_{gml,3} \lambda^2 L_2^2}{2\pi |\sin(\theta_i)|} = S_2$$

- Consequently, the geometric loss scales with IRS size as follow: $h_{gml} = \begin{cases} h_{gml,1} & \text{if } \sum irs < S_1, \\ h_{gml,2} & \text{if } S_1 \leq \sum irs \leq S_2, \\ h_{gml,3} & \text{if } \sum irs > S_2. \end{cases}$

4. Step 1: Channel modeling for optical IRS

□ Geometric loss

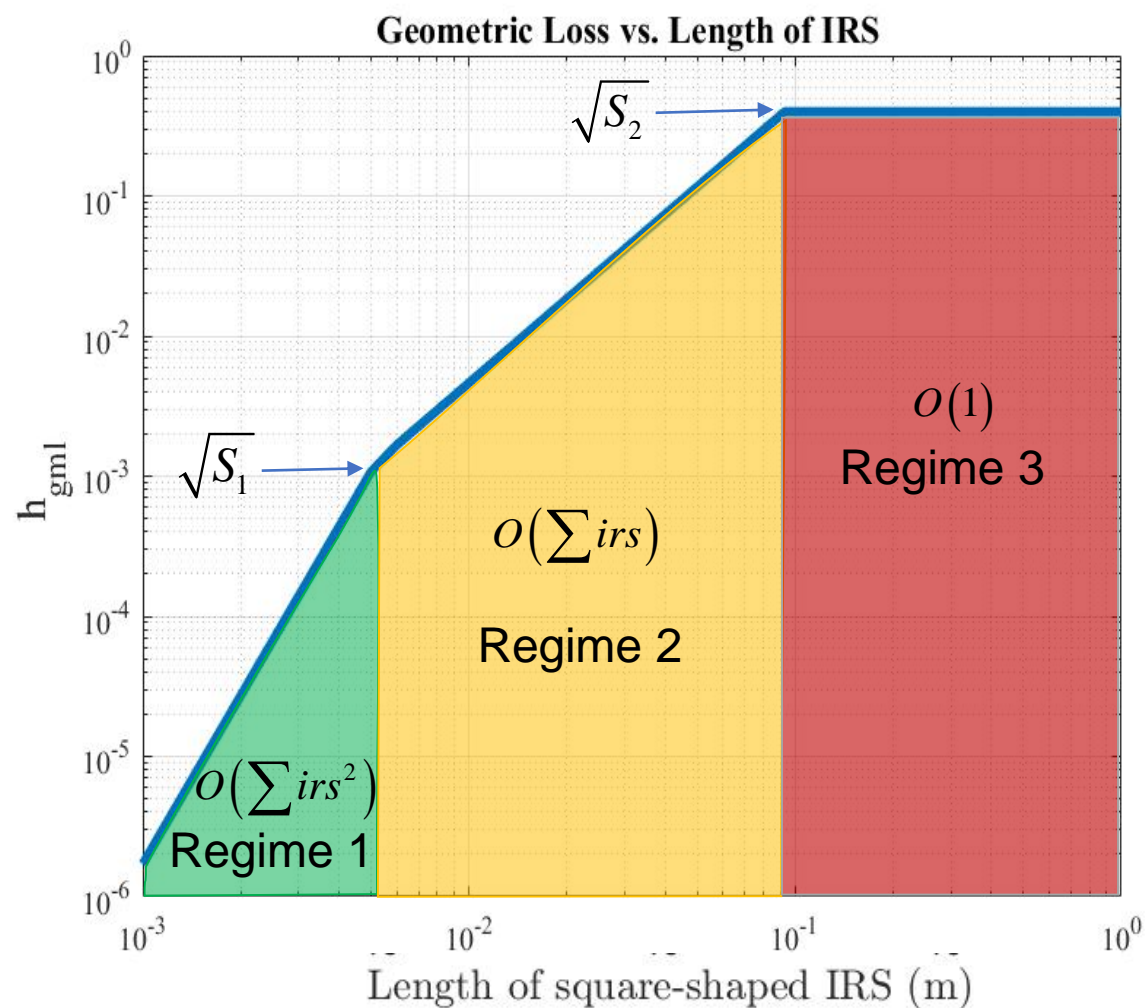


Figure 1

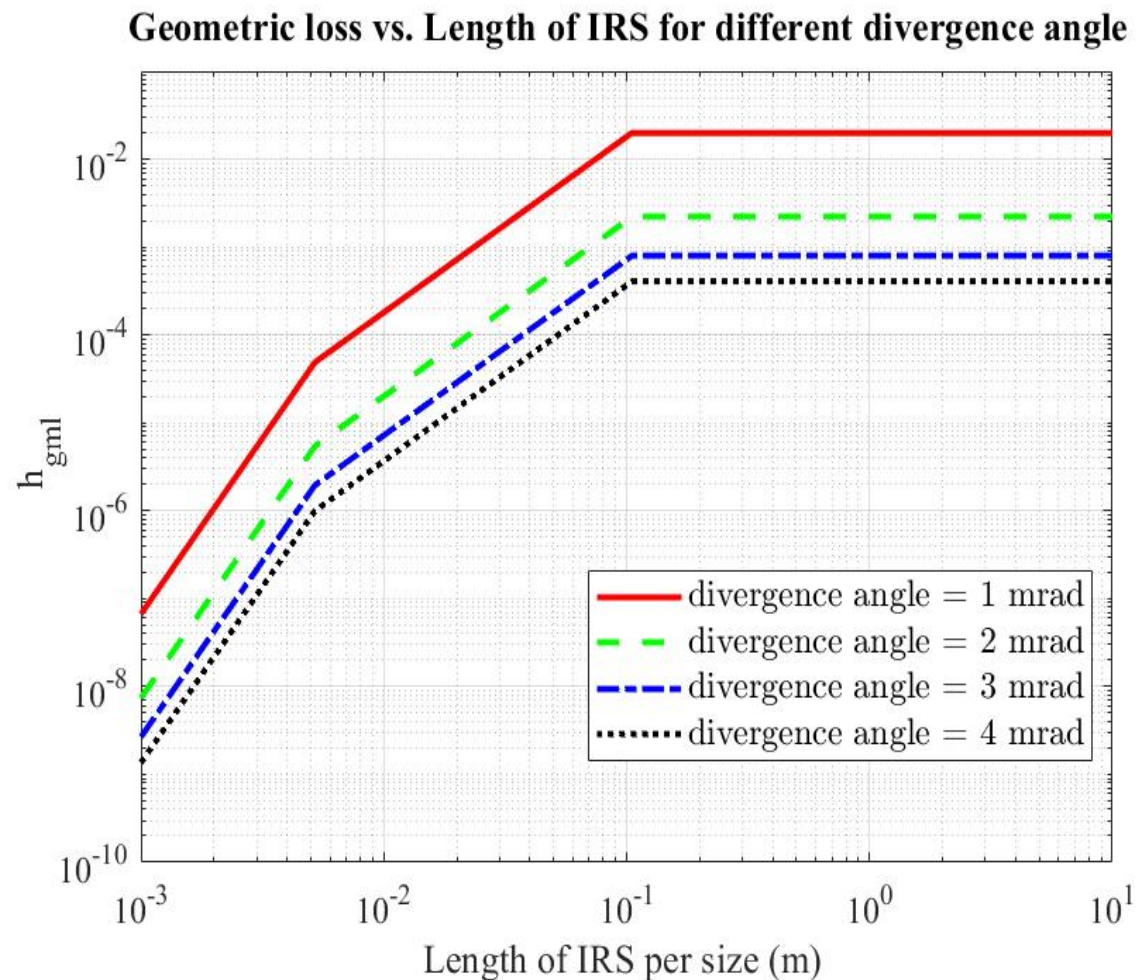
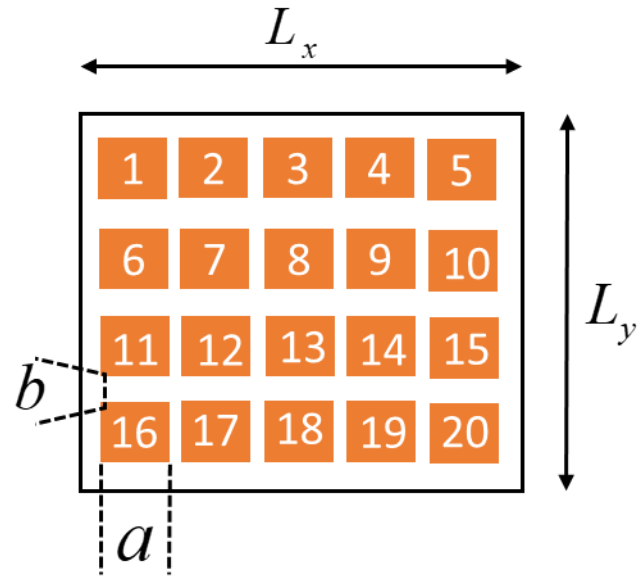


Figure 2

4. Step 2: Calculate the minimum number of IRS elements



$$P_{received} = P_{transmit} h_a h_p h_{gml}$$

$$h_{gml} = f\left(\sum irs\right) = f(L_x \times L_y)$$

$$L_x = L_y = n \times a + (n+1) \times b$$

$$n = \left\lceil \frac{L_x - b}{(a+b)} \right\rceil$$

➡ $\tau_i = f(n) \geq 1 \text{ Gbps}$ (targeted QoS)

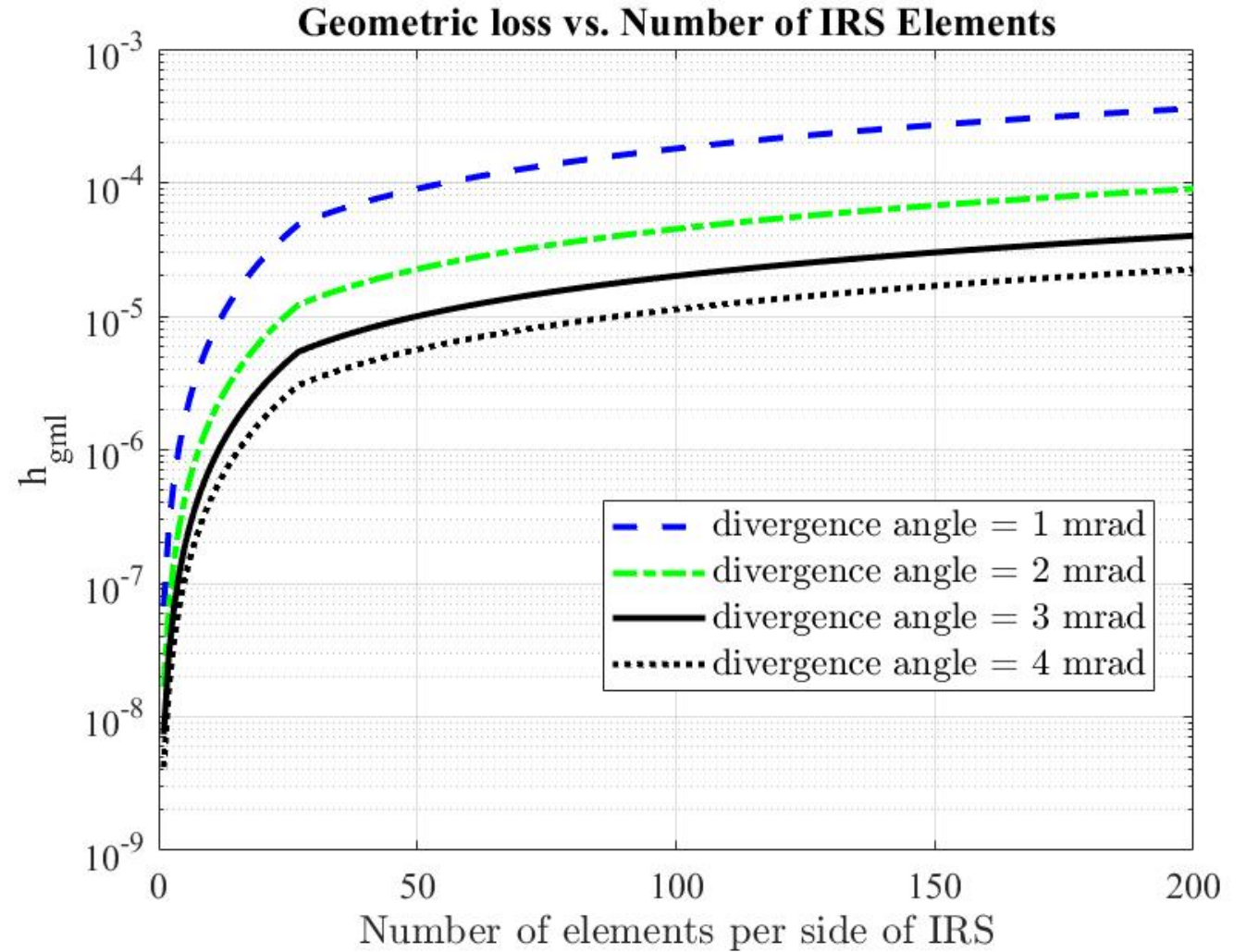


Figure 3

THANK YOU FOR LISTENING!