

CAPITAL AT RISK. There are risks to all forms of investing, particularly in unregulated, complex, non-readily realisable and/or non-transferrable securities like bonds, loan notes and unquoted equity investments. Forecasts are not guarantees and performance may vary. Tax treatment depends on individual circumstances and may change. These investments are NOT covered by the Financial Services Compensation Scheme (FSCS) and clients will NOT have access to the Financial Ombudsman Service (FOS). All investors should seek independent professional advice before deciding to invest. Please read our risk warning before deciding to invest.

SELF CERTIFICATION – DECLARE YOURSELF NOW

AS PART OF OUR REGULATORY OBLIGATION TO THE FINANCIAL SERVICES AND MARKETS ACT 2000, YOU ARE REQUIRED TO DECLARE THE TYPE OF INVESTOR THAT YOU ARE. REGISTERING DOES NOT COMMIT YOU TO ANY INVESTMENT.

STATEMENT FOR SELF-CERTIFIED SOPHISTICATED INVESTOR

I declare that I am a self-certified sophisticated investor for the purposes of the restriction on promotion of speculative illiquid securities. I understand that this means:

- (i) I can receive promotional communications made by a person who is authorised by the Financial Conduct Authority which relate to investment activity in speculative illiquid securities;
- (ii) the investments to which the promotions will relate may expose me to a significant risk of losing all of the property invested.

I am a self-certified sophisticated investor because at least one of the following applies:

- (a) I am a member of a network or syndicate of business angels and have been so for at least the last six months prior to the date below;
- (b) I have made more than one investment in an unlisted company in the two years prior to the date below;
- (c) I am working, or have worked in the two years prior to the date below, in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises;
- (d) I am currently, or have been in the two years prior to the date below, a director of a company with an annual turnover of at least £1 million.

I accept that the investments to which the promotions will relate may expose me to a significant risk of losing all of the money or other property invested. I am aware that it is open to me seek advice from someone who specialises in advising on speculative illiquid securities.

Date:

Your legal names:

Your address:

Sign: