

# Final Project

## Adaptive beamforming

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### 1. ABSTRACT

Beamforming can be seen as a spatial filter obtaining the signals from desired directions, while meanwhile suppressing the signals from unwanted directions. There are multiple ways to implement digital beamforming, which generally fall into two categories, conventional one and adaptive one.

Conventional beamforming is equivalent to using phase shift and amplitude scaling to compensate for the arrival delays of the narrowband signals from Rx antenna elements, to align the output signals as in-phase superposition. While conventional beamformers can point the main lobe to the direction of interest, it cannot effectively eliminate interference, or in other words increase SINR, and this is where adaptive beamformer such as MVDR, MPDR and LCMV comes in, by which we can both get the signals from desired directions and eliminate interference.

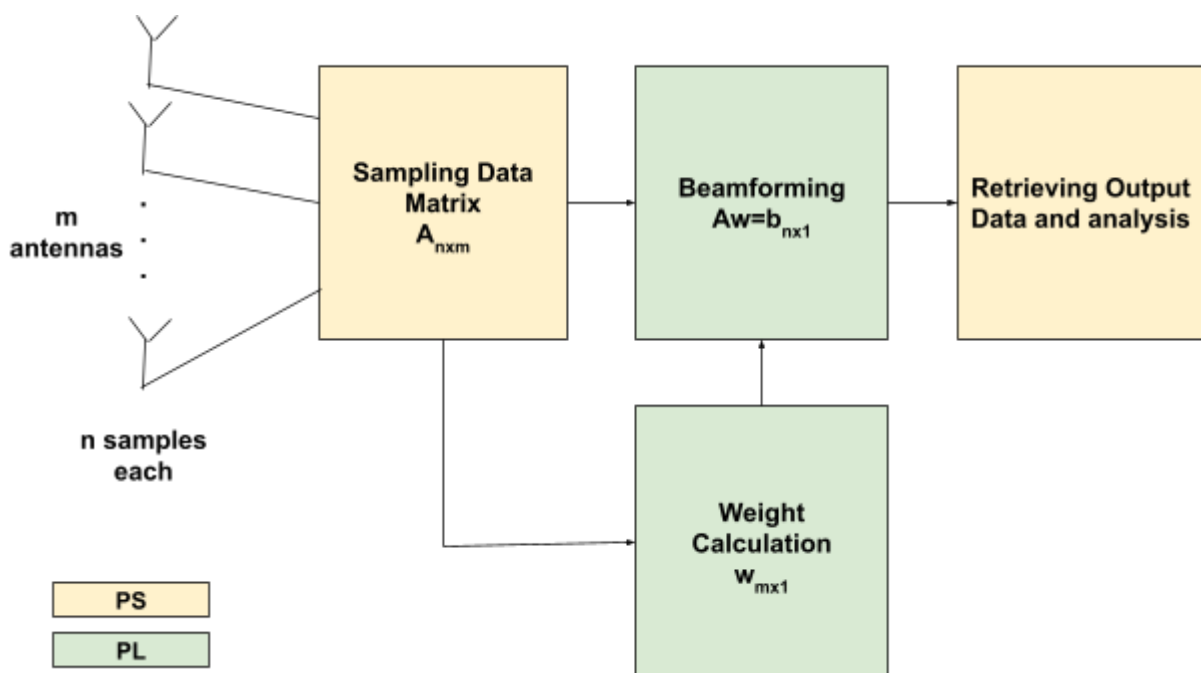


fig.1 workflow of the project

The adaptive beamforming algorithm used in this project is MPDR beamforming. QR, and Cholesky decomposition will be used, and these are the parts we want to accelerate. Besides, multiplications of matrices (sampling data matrix and the calculated weights matrix) will also be run on FPGA. Ultimately, the output sample data will be retrieved to PS for analysis.

Some other functions might be added if possible, for example, channel estimation/precoding might be added to achieve spatial multiplexing for the MIMO communication system.

target platform : U50

host program : C++/OpenCL

test data : Matlab

tasks division:

邱崇喆 : whole system (kernels & host & input processing ), theory

宋乃仁 : input/output processing & group discussion

陳佳詳 & 張耀明 : host + QRF & group discussion

## 2. Introduction

Generally, we can express the received data vector  $y$  from antennas as:

$$y = \sum_i v_i x_i + n = v_s x_s + \sum_{i \neq s} v_i x_i + n$$

, where  $v_i$  is the manifold vector and  $n$  is the channel noise, we can further express  $y$  with signal from the desired direction  $v_s x_s$ , and noise plus interference  $IN$ :

$$\begin{aligned} y &= v_s x_s + IN \\ w^\dagger y &= w^\dagger (v_s x_s + IN) \end{aligned}$$

There are two ways to extract the desired signals or to maximize SINR, MVDR beamforming and MPDR beamforming. The former try to minimize the variance or the power of noise plus interference  $E[|IN|^2]$ , while the latter try to minimize the output signal power  $E[|w^\dagger y|^2]$ .

Note that  $E[|w^\dagger y|^2] = E[w^\dagger y y^\dagger w] = w^\dagger E[y y^\dagger] w = w^\dagger R_{yy} w$ , where  $R_{yy}$  is the auto-correlation of the received data.

In this implementation we are going to adopt MPDR method with Lagrange multiplier, namely minimizing  $w^\dagger R_{yy} w$ , given a distortionless constraint or gain  $w^\dagger v_s = 1$ .

$$\min_w (w^\dagger R_{yy} w) \quad \text{subject to} \quad w^\dagger v_s = 1$$

Solving the Lagrange multiplier problem above, we can obtain the solution:

$$w_{mpdr} = \frac{R_{yy}^{-1} v_s}{v_s^\dagger R_{yy}^{-1} v_s}$$

assuming that all signals  $x_i$  are uncorrelated to each other and  $E[IN] = E[n] = 0$ , and  $p_i = E[x_i x_i^\dagger]$ , then:

$$\begin{aligned}
R_{yy} &= E[(v_s x_s + IN)(v_s^\dagger x_s^\dagger + IN^\dagger)] = p_s v_s v_s^\dagger + E[IN \cdot IN^\dagger] = p_s v_s v_s^\dagger + R_{ININ} \\
&\Rightarrow R_{ININ} = E[IN \cdot IN^\dagger] = \sum_{i \neq s} p_i v_i v_i^\dagger + E[nn^\dagger] = \sum_{i \neq s} p_i v_i v_i^\dagger + R_{nn} \\
&\Rightarrow R_{yy} = p_s v_s v_s^\dagger + R_{ININ} = p_s v_s v_s^\dagger + \sum_{i \neq s} p_i v_i v_i^\dagger + R_{nn}
\end{aligned}$$

The problems right here is that  $R_{yy}$  is based on probability or randomness, so we have to figure out some ways to estimate it. In practice, we will use Sample Matrix Inversion to estimate  $R_{yy}$ :

$$R_{yy} \sim \frac{1}{N} \sum_{n=1}^N y_n y_n^\dagger = \frac{1}{N} Y^\dagger Y$$

, where  $Y = \bar{A}$  is the sample matrix comprised of N samples each from m antennas, it's just a rearrangement of the matrix A in fig.1, and n is the index of a  $m \times 1$  sample vector of time n.

To make it more computationally viable, we can use QR decomposition  $Y = QR$ :

$$R_{yy} \sim \frac{1}{N} Y^\dagger Y = \frac{1}{N} R^\dagger Q^\dagger Q R = \frac{1}{N} R^\dagger R$$

we can exploit Cholesky decomposition by defining  $L = \frac{1}{\sqrt{N}} R^\dagger$ , then:

$$\begin{aligned}
R_{yy} &\sim LL^\dagger \\
w_{mpdr} &= \frac{R_{yy}^{-1} v_s}{v_s^\dagger R_{yy}^{-1} v_s} = \frac{L^{\dagger^{-1}} L^{-1} v_s}{v_s^\dagger L^{\dagger^{-1}} L^{-1} v_s}
\end{aligned}$$

Next, define  $LL^\dagger u = v_s$  and  $Lz = v_s$ , then we can rewrite the weight as:

$$w_{mpdr} = \frac{u}{|z|^2}$$

### 3.Tasks details

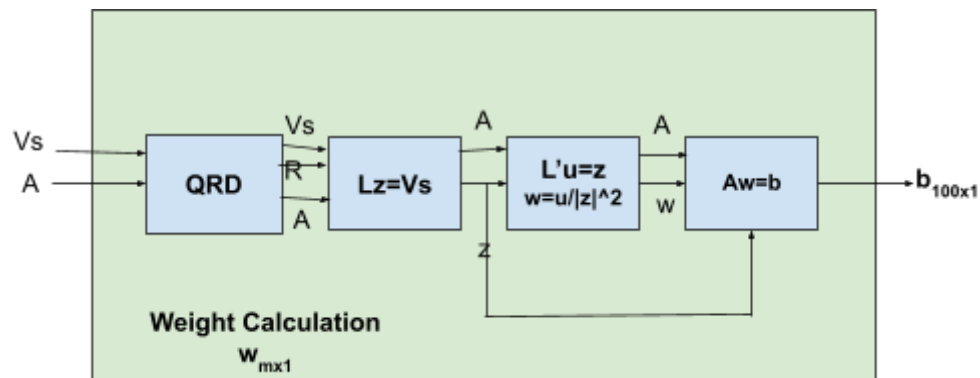


fig.2 modification of fig.1

The above task flow is in reference to the document [1]. In summary, the procedure of obtaining the weight is to calculate the following in order:

- Determine
- Calculate
- Calculate
- Solve
- Calculate
- Calculate

$$\begin{aligned}
 & v_s \\
 & Y = QR \\
 & L = \frac{1}{\sqrt{N}} R^\dagger \\
 & LL^\dagger u = v_s \quad \& \quad Lz = v_s \\
 & w_{mpdr} = \frac{u}{|z|^2} \\
 & A_{n \times m} w_{m \times 1} = b_{n \times 1}
 \end{aligned}$$

Top function:

```
1
2
3 extern "C" void Top_Kernel(
4     MATRIX_IN_T matrixA[1000],
5
6     MATRIX_IN_T Vs[10],
7     //hls::x_complex<double> matrixQ[100*100],
8     MATRIX_OUT_T matrixR[1000]
9 )
10 {
11     // extern "C" void kernel_geqrf_0(double dataA[MA*NA], double tau[NA]) {
12     // #pragma HLS INTERFACE axis port = matrixAStrm
13     // #pragma HLS INTERFACE axis port = matrixQStrm
14     // #pragma HLS INTERFACE axis port = matrixRStrm
15     #pragma HLS INTERFACE m_axi port = matrixA bundle = gmem0 offset = slave depth = 1000
16     // #pragma HLS INTERFACE m_axi port = matrixQ bundle = gmem1 offset = slave num_read_outstanding = 16 max_read_burst_length = \
17     //     32
18     #pragma HLS INTERFACE m_axi port = Vs bundle = gmem1 offset = slave depth = 10
19     #pragma HLS INTERFACE m_axi port = matrixR bundle = gmem2 offset = slave depth = 1000
20
21
22     // #pragma HLS INTERFACE s_axilite port = matrixA bundle = control
23     // #pragma HLS INTERFACE s_axilite port = Vs bundle = control
24     // #pragma HLS INTERFACE s_axilite port = matrixR bundle = control
25
26     // #pragma HLS INTERFACE s_axilite port = return bundle = control
27
28     //xf::solver::qr<0, 100, 10, hls::x_complex<double>, hls::x_complex<double>, my_qrf_traits>(matrixAStrm, matrixQStrm, matrixRStrm);
29     const unsigned int rowA = 100;
30     const unsigned int colA = 10;
31     const unsigned int rowQ = 100;
32     const unsigned int colQ = 100;
33     const unsigned int rowR = 100;
34     const unsigned int colR = 10;
35     /*
36     int k=0;
37     for (int r = 0; r < 10; r++) {
38
39         std::cout << Vs[r] << std::endl;
40     }
41     */
42     pass_dataflow(
43         matrixA,
44         //matrixQ,
45         matrixR,
46     )
47 }
48
```

The top function calls the pass\_dataflow function

pass\_dataflow:

```
void pass_dataflow(
    MATRIX_IN_T* matrixA,
    //hls::x_complex<double>* matrixQ,
    MATRIX_OUT_T* matrixR,
    MATRIX_IN_T* Vs,
    const unsigned int rowA,
    const unsigned int colA,
    const unsigned int rowQ,
    const unsigned int colQ,
    const unsigned int rowR,
    const unsigned int colR
)
{

```

```

//std::cout<< "0" <<std::endl;
static hls::stream<MATRIX_IN_T> matrixAStm;
//static hls::stream<MATRIX_OUT_T> matrixQStm;
static hls::stream<MATRIX_OUT_T> matrixRStm;
// in out stream for Vs to avoid bypass path=====
static hls::stream<MATRIX_OUT_T> qrf_A_outstream;
static hls::stream<MATRIX_OUT_T> VsStm_out1;
static hls::stream<MATRIX_OUT_T> VsStm_out2;
//=====
static hls::stream<MATRIX_IN_T> VsStm;
static hls::stream<MATRIX_IN_T> RStm;
//static hls::stream<MATRIX_IN_T> qrf_transpose_A_outstream;
//static hls::stream<MATRIX_OUT_T> matrixLstm;
//=====
//static hls::stream<MATRIX_IN_T> inhom_Vs_instream;
static hls::stream<MATRIX_IN_T> inhom_A_outstream;
static hls::stream<MATRIX_IN_T> weight_stream;

//=====

#pragma HLS stream depth=1000 variable=matrixAStm
//#pragma HLS stream depth=10000 variable=matrixQStm
#pragma HLS stream depth=100 variable=matrixRStm

#pragma HLS stream depth=1000 variable=qrf_A_outstream
#pragma HLS stream depth=10 variable=VsStm_out1
#pragma HLS stream depth=10 variable=VsStm_out2

#pragma HLS stream depth=10 variable=VsStm
#pragma HLS stream depth=100 variable=RStm

#pragma HLS stream depth=1000 variable=inhom_A_outstream
#pragma HLS stream depth=10 variable=weight_stream

//#pragma HLS stream depth=1000 variable=qrf_transpose_A_outstream
//Turn the 2Darray MatrixA sent from host to kernel by axi_master to the hls:stream type
Master2Stream(matrixA, matrixAStm,Vs,VsStm_out1, rowA, colA);

//Vitis Library QR Factorization-----
QRF(matrixAStm,matrixRStm,VsStm_out1,VsStm_out2,qrf_A_outstream);

/*qrf_transpose(qrf_A_outstream,qrf_transpose_A_outstream,matrixQStm,matrixRStm,VsStm_out2,VsStm,RStm,
rowQ, colQ, rowR, colR);*/

inhom(weight_stream,matrixRStm,VsStm_out2,qrf_A_outstream,inhom_A_outstream);

Weights_Mul(matrixR,inhom_A_outstream,weight_stream);

```

pass\_dataflow calls subfunctions needed for the kernel.

## 1) prepare input matrix A

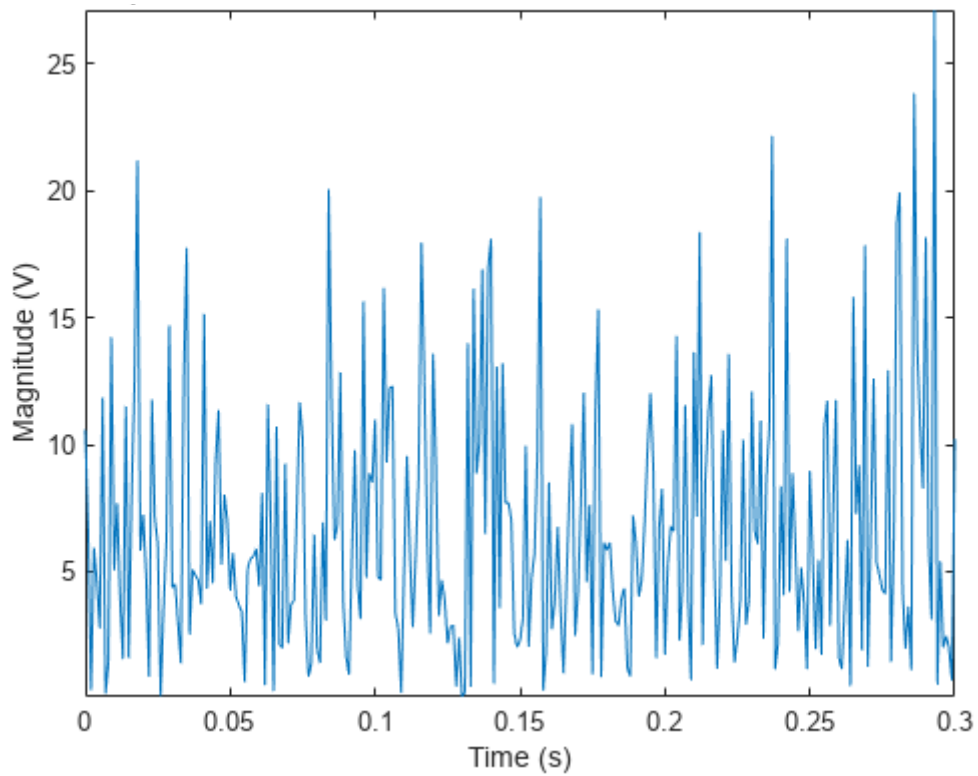
generate input data from matlab:

```
1 -2.7011055181846+-0.982986397387809i,-8.50996494364062+0.981686077384369i,-
5.41815573639443+-14.1801811178475i,-
10.8105110205246+10.9037501694024i,-9.11686858282469+6.98445727982964i,-9.1178873008493+-6.98045725017635i,-
10.8089353521239+-10.9042383130599i,-
5.42055447605449+14.1836060933795i,-8.51085875340653+-0.980218841245973i,-2.70428474570187+0.988027145426052
2 -11.6030616067212+8.39162777350578i,-15.3687000737728+-8.51004903730555i,-
12.8854105982462+-19.9536451605028i,-
18.0859655240823+17.1126639324322i,-16.4935701970758+15.4453014840139i,-16.4940968274651+-15.4418446861904i,
18.0869902377044+-17.1145920934201i,-
12.8851286592352+19.9588963280458i,-15.3734495031958+8.50857352231495i,-11.6082082996715+-8.39515930967039i
3 9.50765104587367+-16.2322230132609i,-11.3095012359225+17.0984738003847i,4.06172881742874+-24.4936265839877i,
9.86705336789868+18.407697407064i,-7.74088575611278+-2.59530676611247i,-7.74173865982427+2.59377919522869i,-
9.86862067408189+-18.4082635978814i,4.05804077722586+24.4957397470358i,-11.3045235928221+-17.0984919257579i,
9.50685038788279+16.2355079451656i
4 -15.1196823213874+35.591509379266i,-16.1997356917615+-32.7083378933329i,-
28.1093714006304+6.08676347204966i,-14.9365577190842+11.8151553652147i,2.42545296848139+-11.5425389885888i,-
2.42225782974296+11.5446149602344i,-14.9380591433831+-11.8174265994094i,-
28.1136704091613+-6.08773541410504i,-16.200520804732+32.7080019808038i,-15.1180306187837+-35.597967786234i
5 -1.65876066114104+-1.8229709682644i,10.8302815971193+0.281949980972252i,-10.9183086892627+10.451412095186i,-
1.24892360676613+-13.3815730056833i,2.03574137177704+7.26591404278082i,2.03087599500287+-7.26466590776675i,-
1.24550361753537+13.3721059908383i,-10.9222374287224+-10.4481602043697i,-
10.8294287952837+-0.27947799193368i,-1.65805142393936+1.8213952027156i
6 12.828121734928+-16.8167675373653i,-12.5256392865715+18.4473083783185i,6.1799029103706+-23.9515076984669i,-
4.50191464056496+20.2210437950649i,-4.45950999087451+9.86461190994841i,-4.45966768717541+9.86364817617736i,
4.50754733456859+-20.2200969834015i,6.18302509903598+23.9527662522562i,-12.5276758705874+-18.4427852299772i,
12.8306582426575+16.821070347419i
7 -8.70193596919676+27.4591998078854i,-15.9110053412662+-24.2847639804389i,-
25.8511731653034+2.78675135954356i,-16.0464853924234+13.5550013046595i,3.99708485543446+-16.2749078462228i,-
3.99782461390596+16.2759363434298i,-16.0466528145469+-13.5645198387201i,-
25.8494210072978+-2.78174701581828i,-15.9151616856925+24.2858062470739i,-8.70031576827569+-27.4571164522005i
8 -0.121883331015871+12.2655913600827i,-23.0624470788679+-8.65069240822981i,-
26.6215730402837+-16.0223887002426i,-7.69375608759716+26.4289038000108i,-1.81945521242273+-17.6308005647456i
26.6190374943873+-16.0291704769907i,-23.0676061871714+8.6472175343521i,-0.122608782814455+-12.2647037981731i
9 6.04580655303906+0.971445532986297i,-0.155538818541209+0.513348316896898i,-
2.2224025132972+-5.8666806826056i,12.7302084471668+-0.214060837006715i,8.80666005300280i,15.7047670774027i,
```

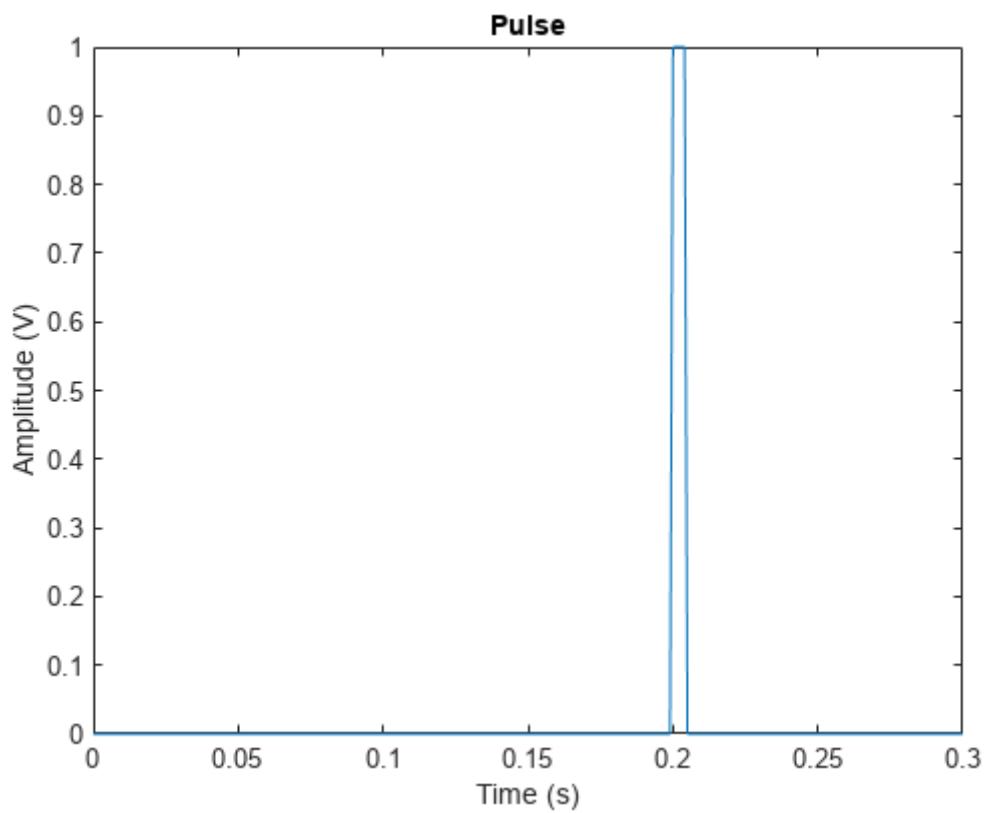
and transform to a readable version for C++ (using c++ code).

```
1 -2.7011055181846 -0.982986397387809
2 -8.50996494364062 0.981686077384369
3 5.41815573639443 -14.1801811178475
4 10.8105110205246 10.9037501694024
5 -9.11686858282469 6.98445727982964
6 -9.1178873008493 -6.98045725017635
7 10.8089353521239 -10.9042383130599
8 5.42055447605449 14.1836060933795
9 -8.51085875340653 -0.980218841245973
10 -2.70428474570187 0.988027145426052
11 -11.6030616067212 8.39162777350578
12 -15.3687000737728 -8.51004903730555
13 12.8854105982462 -19.9536451605028
14 18.0859655240823 17.1126639324322
15 -16.4935701970758 15.4453014840139
16 -16.4940968274651 -15.4418446861904
17 18.0869902377044 -17.1145920934201
18 12.8851286592352 19.9588963280458
19 -15.3734495031958 8.50857352231495
20 -11.6082082996715 -8.39515930967039
21 9.50765104587367 -16.2322230132609
22 -11.3095012359225 17.0984738003847
23 4.06172881742874 -24.4936265839877
24 9.86705336789868 18.407697407064
25 -7.74088575611278 -2.59530676611247
26 -7.74173865982427 2.59377919522869
27 9.86862067408189 -18.4082635978814
28 4.05804077722586 24.4957397470358
29 -11.3045235928221 -17.0984919257579
30 9.50685038788279 16.2355079451656
31 -15.1196823213874 35.591509379266
32 -16.1997356917615 -32.7083378933329
33 28.1093714006304 6.08676347204966
34 -14.9365577190842 11.8151553652147
35 2.42545296848139 -11.5425389885888
36 2.42225782974296 11.5446149602344
37 -14.9380591433831 -11.8174265994094
```





a batch of input data with interference from 30,50 and 70 degree, and Gaussian noise.  
extracted from MATLAB: <https://www.mathworks.com/help/phased/ug/conventional-and-adaptive-beamformers.html>



The above is the signal we want to extract. (just for example)  
extracted from MATLAB: <https://www.mathworks.com/help/phased/ug/conventional-and-adaptive-beamformers.html>

```

for(int angle_factor=51;angle_factor<53;angle_factor++){
    double incident_angle_in_rad=(angle_factor*0.9)*M_PI/180; //incident angle=angle_factor*0.9

    std::string base_path = "./organized_input/";
    std::string file_A =
        base_path + "A_" + std::to_string(angle_factor) + ".txt";

    std::cout <<"read file: "<< file_A << std::endl;

    std::complex<double>* A_ptr = reinterpret_cast<std::complex<double>*>(A);

    //std::complex<double>* Q_ptr = reinterpret_cast<std::complex<double>*>(Q_expected);
    //std::complex<double>* R_ptr = reinterpret_cast<std::complex<double>*>(R_expected);

    //prepare input A and Vs
    int A_size = numRows * numCol;
    readTxt(file_A, A_ptr, A_size);

    int k = 0;
    for (int r = 0; r < numRows; r++) {
        for (int c = 0; c < numCol; c++) {
            dataA_qrd[k] = A[r][c];
            k++;
        }
    }

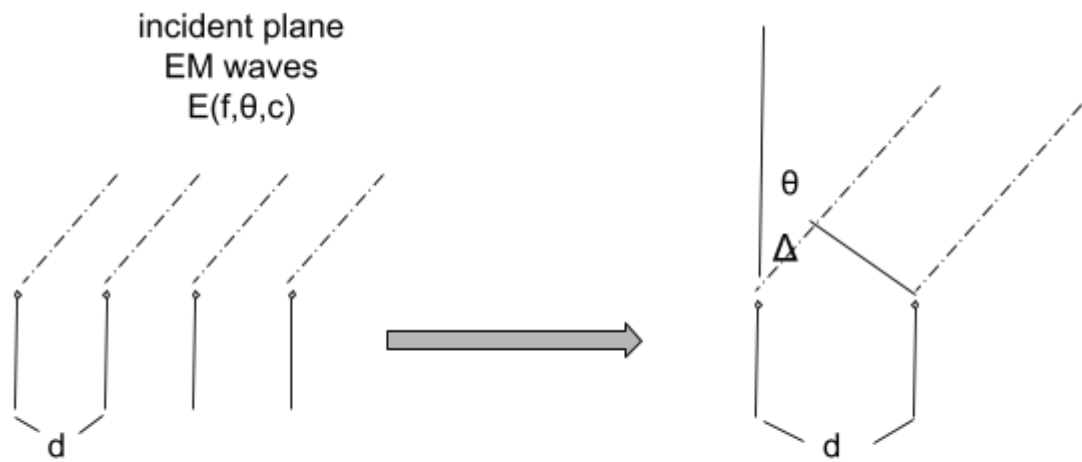
    for(int i = 0; i < Vs_size; i++){
        dataVs_qrd[i] =std::polar(1.0,-i*M_PI*sin(incident_angle_in_rad));
        std::cout<<dataVs_qrd[i]<<std::endl;
    }
    //-----

```

EMS OUTPUT TERMINAL PORTS DEBUG CONSOLE

read different angles input data with by host code

## 2) determine $v_s$



Optical path difference between two antennas:  $\Delta$

Antennas with constant spacing:  $d$

Incident angle of EM waves:  $\theta$

Frequency of EM waves:  $f$

fig.3

From fig.3 we know  $\Delta = d \sin(\theta)$ , then the phase difference between two incident waves is  $\phi$ :

$$\phi = 2\pi \cdot \frac{\Delta}{\lambda} = 2\pi \cdot \frac{d \sin(\theta)}{\lambda} = 2\pi \cdot \frac{d \sin(\theta) \cdot f}{c}$$

This implies given the EM wave frequency  $f$ , the incident angle  $\theta$  and the constant spacing of ULA, the corresponding manifold vector is (take 3 antennas for example):

$$v_s = \begin{bmatrix} 1 \\ e^{j2\pi \cdot d \sin \theta \cdot f / c} \\ e^{j2\pi \cdot d \sin \theta \cdot 2f / c} \end{bmatrix}$$

when  $d = \lambda/2$ , then  $\phi = 2\pi \cdot \frac{\sin(\theta)}{2} = \pi \sin(\theta)$ .

```
for(int i = 0; i < Vs_size; i++){
    dataVs_qrd[i] =std::polar(1.0,-i*M_PI*sin(incident_angle_in_rad));
    std::cout<<dataVs_qrd[i]<<std::endl;
}
//-----
```

prepare Vs in host code

### 3) QR decomposition

For  $X = QR$ , we may call Vitis Solver library or resort to Householder transformation.

$$\left(\prod_{i=1}^m H_i\right)^{\dagger} Y = H_m H_{m-1} \dots H_2 H_1 X = R$$

We end up making use of Vitis Solver library QR factorization kernel, and we strive to peel the library and make it as compact as possible, dismissing unnecessary files, and finally there are just few files for implementing QRF in our project.

```

void qrf_givens(int extra_pass,
               std::complex<T> a,
               std::complex<T> b,
               std::complex<T>& c,
               std::complex<T>& s,
               std::complex<T>& ss,
               std::complex<T>& cc,
               std::complex<T>& r) {
    Function_qrf_givens_complex::
        const T ONE = 1.0;
        const T ZERO = 0.0;
        const std::complex<T> CZERO = ZERO;
        T sqrt_mag_a_mag_b;
        std::complex<T> c_tmp, s_tmp;

    if (extra_pass == 0) {
        // Standard modified Givens matrix, guarding against over-/underflow
        sqrt_mag_a_mag_b = qrf_magnitude(a, b);
        if (is_zero(hls::abs(a.real())) && is_zero(hls::abs(a.imag())) && is_zero(hls::abs(b.real())) &&
            is_zero(hls::abs(b.imag()))) { // more efficient than "if (sqrt_mag_a_mag_b == ZERO)"
            c_tmp = x_copysign(ONE, a.real());
            s_tmp = ZERO;
        } else {
            c_tmp = a / sqrt_mag_a_mag_b;
            s_tmp = b / sqrt_mag_a_mag_b;
        }
        c = hls::x_conj(c_tmp);
        cc = c_tmp;
        s = hls::x_conj(s_tmp);
        ss = -s_tmp;

        r.real(sqrt_mag_a_mag_b);
    } else {
        // Transformation matrix to ensure real diagonal in R, guarding against over-/underflow
        sqrt_mag_a_mag_b = qrf_magnitude(CZERO, b);

        c_tmp = ONE;

        if (hls::abs(b.real()) == ZERO &&
            hls::abs(b.imag()) == ZERO) { // more efficient than "if (sqrt_mag_a_mag_b == ZERO)"
            s_tmp = ONE;
        } else {
            s_tmp = b / sqrt_mag_a_mag_b;
        }

        c = c_tmp;
        cc = hls::x_conj(s_tmp);
        s = ZERO;
        ss = ZERO;
        r.real(sqrt_mag_a_mag_b);
    }
}

```

The above is the code of the given rotation (this is just a part of the algorithm)

```

767 // Process R in batches of non-dependent array elements
768 px:
769     for (int batch_num = 0; batch_num < CONFIG.NUM_BATCHES; batch_num++) {
770         calc_rotations:
771         for (int px_cnt = 0; px_cnt < CONFIG.BATCH_CNTS[batch_num]; px_cnt++) {
772             #pragma HLS LOOP_TRIPCOUNT min = 1 max = RowsA / 2
773             #pragma HLS PIPELINE II = QRF_TRAITS::CALC_ROT_II
774             px_row1 = CONFIG.SEQUENCE[seq_cnt][0];
775             px_row2 = CONFIG.SEQUENCE[seq_cnt][1];
776             px_col = CONFIG.SEQUENCE[seq_cnt][2];
777             seq_cnt++;
778             extra_pass = 0;
779             if (is_cplx<InputType>::value && RowsA == ColsA && batch_num == CONFIG.NUM_BATCHES - 1) {
780                 extra_pass = 1;
781             }
782             qrf_givens(extra_pass, r_i[px_row1][px_col], r_i[px_row2][px_col], G[0][0], G[0][1], G[1][0], G[1][1], mag);
783             // Pass on rotation to next block to apply rotations
784             rotations[0].write(G[0][0]);
785             rotations[1].write(G[0][1]);
786             rotations[2].write(G[1][0]);
787             rotations[3].write(G[1][1]);
788             rotations[4].write(mag);
789             to_rot[0].write(px_row1);
790             to_rot[1].write(px_row2);
791             to_rot[2].write(px_col);
792         }
793
794         rotate:
795         for (int px_cnt = 0; px_cnt < CONFIG.BATCH_CNTS[batch_num]; px_cnt++) {
796             #pragma HLS LOOP_TRIPCOUNT min = 1 max = RowsA / 2
797             G_delay[0][0] = rotations[0].read();
798             G_delay[0][1] = rotations[1].read();
799             G_delay[1][0] = rotations[2].read();
800             G_delay[1][1] = rotations[3].read();
801             mag_delay = rotations[4].read();
802             rot_row1 = to_rot[0].read();
803             rot_row2 = to_rot[1].read();
804             rot_col = to_rot[2].read();
805
806             extra_pass2 = 0;
807             if (is_cplx<InputType>::value && RowsA == ColsA && batch_num == CONFIG.NUM_BATCHES - 1) {
808                 extra_pass2 = 1;
809             }

```

The above px index is the most time consuming part, which takes up to the order of  $10^5$  cycle per run.

**GENERAL INFORMATION**

Date: Mon Jun 19 17:27:06 2023  
Version: 2022.1 (Build 3526262 on Mon Apr 18 15:47:01 MDT 2022)  
Project: Top\_Kernel  
Solution: solution (Vitis Kernel Flow Target)  
Product family: virtexuplus  
Target device: xcu50-fsvh2104-2-e

**TIMING ESTIMATES**

Target: 3.33 ns  
Estimated: 2.433 ns  
Uncertainty: 0.90 ns

**PERFORMANCE AND RESOURCE ESTIMATES**

Name	Issue Type	Latency (cycles)	Latency (ns)	Iteration Latency	Interval	Trip
Top_Kernel		639654	2.132E6			639655
Top_Kernel_Pipeline_VITIS_LOOP_326_1		1003	3.343E3			1003
VITIS_LOOP_326_1		1001	3.336E3		3	1
Top_Kernel_Pipeline_VITIS_LOOP_332_2		13	43.329			13
VITIS_LOOP_332_2		11	36.663		3	1
qrf_alt_false_100_10_my_qrf_traits_complex_double_complex_double_s		633049	2.110E6			633049
qrf_alt_Pipeline_VITIS_LOOP_714_1		12	39.996			12
VITIS_LOOP_714_1		10	33.330		2	1
qrf_alt_false_100_10_my_qrf_traits_complex_double_Pipeline_3	!! Violation	506	1.686E3			506
Loop 1	!! Violation	504	1.680E3		5	5
qrf_alt_Pipeline_row_assign_loop_col_assign_loop		103	343.000			103
row_assign_loop_col_assign_loop		101	337.000		3	1
Loop 1		10401	3.467E4		104	

The above report shows the performance (cycles) of each task, the QRF takes almost the whole execution time.

The QRF in Vitis Solver library, which makes use of Given rotation, might not be as efficient as the case of exploiting Householder transformation in this project. This is because the Given rotation algorithm generally outperforms Householder transformation for the case of sparse matrix input, instead, in our project, we provide dense matrices due to noise and interference that we model. This is the reason why we think the kernel was not working efficiently.

#### 4) Inhomogeneous equations & calculate weights

Note that  $L = \frac{1}{\sqrt{N}}R^\dagger$  is a lower triangular matrix, the equation  $Lz = v_s$  has a unique solution. For  $u$ , also, instead of solving  $LL^\dagger u = v_s$ , we solve  $L^\dagger u = z$ . To solve this equation, we can obtain each row element  $z_i$  of  $z$  by:

$$z_1 = \frac{v_{s_1}}{L_{11}}; \quad L_{21} \cdot z_1 + L_{22} \cdot z_2 = v_{s_2} \Rightarrow z_2 = (v_{s_2} - L_{21} \cdot z_1) / L_{22} \dots$$

Because  $L$  and  $L^\dagger$  are both triangular matrices. we can calculate each row element  $z_i$  fairly easily due to triangular matrices' property, well-distributed zero entries.

However, this may still take a long time because to calculate  $z_2$  we have to wait until  $z_1$  is calculated, and so on. This is also what prevents the task from dataflow optimization.

```
void A_stream2stream(hls::stream<complex<double>> &A_outstream, hls::stream<complex<double>> &A_instream){
    complex<double> read_value_A_instream;
    for(int i=0;i<M*S;i++){
#pragma HLS PIPELINE
        read_value_A_instream = A_instream.read();
        A_outstream.write(read_value_A_instream);
    }
}

void read_fifo_U(complex<double> local_L[M][M], complex<double> local_U[M][M], hls::stream<complex<double>> &U_instream){

    read_U_loop1:
        for(int i=0;i<M;i++){
            read_U_loop2:
                for(int j=0;j<M;j++){
#pragma HLS PIPELINE II=1
                    complex<double> stream_read_data;
                    stream_read_data=U_instream.read();
                    local_U[i][j]=stream_read_data;
                    local_L[j][i]=conj(stream_read_data);
                }
            }
        }

}

void read_fifo_Vs(complex<double> local_Vs[M], hls::stream<complex<double>> &Vs_instream){

    read_Vs_loop:
        for(int i=0;i<M;i++){
            local_Vs[i]=Vs_instream.read();
        }

}
```



```

void CALC_Z(complex<double> z[M], complex<double> local_L[M][M], complex<double> local_Vs[M]){

    complex<double> tmp[M]={complex<double>(0,0)};
    complex<double> ctemp(0,0);

    tmp[0]=local_Vs[0]/local_L[0][0];
    for(int N=1;N<M;N++){
        ctemp=local_Vs[N];
        for(int i=0;i<M;i++){
#pragma HLS PIPELINE
            ctemp-=local_L[N][i]*tmp[i];
            /*if(i==N-1){
                tmp[N] = (local_Vs[N]-ctemp)/local_L[N][N];
                ctemp=complex<double>(0,0);
                break;
            }*/
        }
        tmp[N] = ctemp/local_L[N][N];
        //ctemp=complex<double>(0,0);
    }

    index_calz_read:
    for(int i=0;i<M;i++){
        z[i]=tmp[i];
    }
}

```

The screenshot shows the Vivado IDE interface. The top panel displays the 'Synthesis Summary(solution1)' for the file 'inhomo\_triangular.cpp'. The 'Performance & Resource Estimates' tab is selected, showing a table of modules and loops with their latency and slack. The table has columns: Modules & Loops, Issue Type, Violation Type, Distance, Slack, Latency(cycles), and Lat. The table lists several modules, including 'inhom\_Pipeline\_1' through 'inhom\_Pipeline\_Weights\_write'. The 'VITIS\_LOOP\_44\_2' module is highlighted in red, indicating a 'The II Violation' error. Below the table, the 'HW Interfaces' section is visible. The bottom panel shows the 'Console' tab with 77 Guidance-Infos, 6 Guidance-Warnings, and 0 Guidance-Errors. The 'Details' column of the table provides further information about the pipelining results for each module, including the target II, final II, and depth. The red row indicates a 'The II Violation' error in module 'CALC\_Z\_Pipeline\_VITIS\_LOOP\_44\_2' (loop 'VITIS\_LOOP\_44\_2'): Unable to enforce a carried dependence constraint (II = 1, distance = 1, offset = 0) between 'store' operation ('ctemp\_M\_value\_2\_write\_ln44', inhomo\_triangular.cpp:44) of variable 'ctemp\_M\_value' on local variable 'ctemp\_M\_value' and 'load' operation ('ctemp\_M\_value\_2\_load\_1') on local variable 'ctemp\_M\_value'.

Modules & Loops	Issue Type	Violation Type	Distance	Slack	Latency(cycles)	Lat
inhom					2443	
inhom_Pipeline_1					12	
inhom_Pipeline_2					12	
inhom_Pipeline_3					12	
inhom_Pipeline_VITIS_LOOP_6_1					1002	
inhom_Pipeline_read_U_loop1_read_U_loop2					102	
inhom_Pipeline_read_Vs_loop					12	
CALC_Z					611	
CALC_Z_Pipeline_1					11	
CALC_Z_Pipeline_index_calz_read					12	
VITIS_LOOP_42_1					567	
CALC_Z_Pipeline_VITIS_LOOP_44_2	# II Violation				41	
VITIS_LOOP_44_2					39	
inhom_Pipeline_VITIS_LOOP_133_1	# II Violation				41	
CALC_U					611	
inhom_Pipeline_Weights_write					29	

Name	Web Help	Details
[HLS 200-1470]		Pipelining result: Target II = 1, Final II = 1, Depth = 1, loop 'read_U_loop1_read_U_loop2'
[HLS 200-1470]		Pipelining result: Target II = NA, Final II = 1, Depth = 1, loop 'read_Vs_loop'
[HLS 200-1470]		Pipelining result: Target II = NA, Final II = 1, Depth = 1, loop 'Loop 1'
[HLS 200-880]	<a href="#">LINK</a>	The II Violation in module 'CALC_Z_Pipeline_VITIS_LOOP_44_2' (loop 'VITIS_LOOP_44_2'): Unable to enforce a carried dependence constraint (II = 1, distance = 1, offset = 0) between 'store' operation ('ctemp_M_value_2_write_ln44', inhomo_triangular.cpp:44) of variable 'ctemp_M_value' on local variable 'ctemp_M_value' and 'load' operation ('ctemp_M_value_2_load_1') on local variable 'ctemp_M_value'.

ctemp has data dependency, which prevents the function from pipelining

we've tried many ways to implement this function, but this version works the best.

```

void CALC_U(complex<double> u[M], complex<double> local_U[M][M], complex<double> z[M]){

    complex<double> tmp[M]={complex<double>(0,0)};
    complex<double> ctemp(0,0);

    tmp[M-1]=z[M-1]/local_U[M-1][M-1];
    //ctemp=z[M-2];
    for(int N=M-2;N>=0;N--){
        ctemp=z[N];
        for(int i=M-1;i>=0;i--){
#pragma HLS PIPELINE
            ctemp-=local_U[N][i]*tmp[i];
            /*if(i==N+1){
                tmp[N] = (z[N]-ctemp)/local_U[N][N];
                ctemp=complex<double>(0,0);
                break;
            }*/
        }
        tmp[N] = ctemp/local_U[N][N];
        //ctemp=complex<double>(0,0);
    }

index_calu_read:
    for(int i=0;i<M;i++){
        u[i]=tmp[i];
    }
}

void CALC_Z_SQUARE(complex<double> &z_sqr, complex<double> z[M]){

    complex<double> z_conj[M];
    complex<double> tmp=complex<double>(0,0);
    for(int i=0;i<M;i++){
        z_conj[i]=conj(z[i]);
        tmp+=z_conj[i]*z[i];
    }
    z_sqr=tmp;
}

```

These are the functions that solve the inhomogeneous equations.

```

void inhom(hls::stream<complex<double>> &weights, hls::stream<complex<double>> &U, hls::stream<complex<double>> &Vs, hls::stream<complex<double>> &A_instream, hls::stream<complex<double>>

//#pragma HLS INTERFACE mode=ap_fifo depth=10 port=weights
//#pragma HLS INTERFACE mode=ap_fifo depth=100 port=U
//#pragma HLS INTERFACE mode=ap_fifo depth=10 port=Vs

//#pragma HLS INTERFACE mode=ap_ctrl_none port=return
//#pragma HLS STREAM depth=100 variable=U
//#pragma HLS STREAM depth=10 variable=weights
//#pragma HLS STREAM depth=10 variable=Vs
/*
#pragma HLS INTERFACE axis port=weights
#pragma HLS INTERFACE axis port=U
#pragma HLS INTERFACE axis port=Vs
#pragma HLS INTERFACE s_axilite port=return bundle=control
#pragma HLS INTERFACE s_axilite port=z_2 bundle=control
#pragma HLS INTERFACE m_axi port=z_2 offset=slave bundle=gmem
*/

complex<double> local_L[M][M]; //resource limitation
#pragma HLS ARRAY_PARTITION dim=2 type=complete variable=local_L
complex<double> local_U[M][M];
#pragma HLS ARRAY_PARTITION dim=2 type=complete variable=local_U
complex<double> local_Vs[M];
complex<double> u[M]={complex<double>(0,0)};
complex<double> z[M]={complex<double>(0,0)};
complex<double> z_sqr=complex<double>(0,0);
complex<double> wt[M]={complex<double>(0,0)};

```

```

A_stream2stream(A_outstream,A_instream);
read_fifo_U(local_L,local_U,U);
read_fifo_Vs(local_Vs,Vs);

```

Calc\_para:

```

CALC_Z(z,local_L,local_Vs);
CALC_Z_SQUARE(z_sqr,z);
CALC_U(u,local_U,z);

```

Weights\_write:

```

for(int i=0;i<M;i++){
    wt[i]=u[i]/z_sqr;
    weights.write(wt[i]);
}

```

```

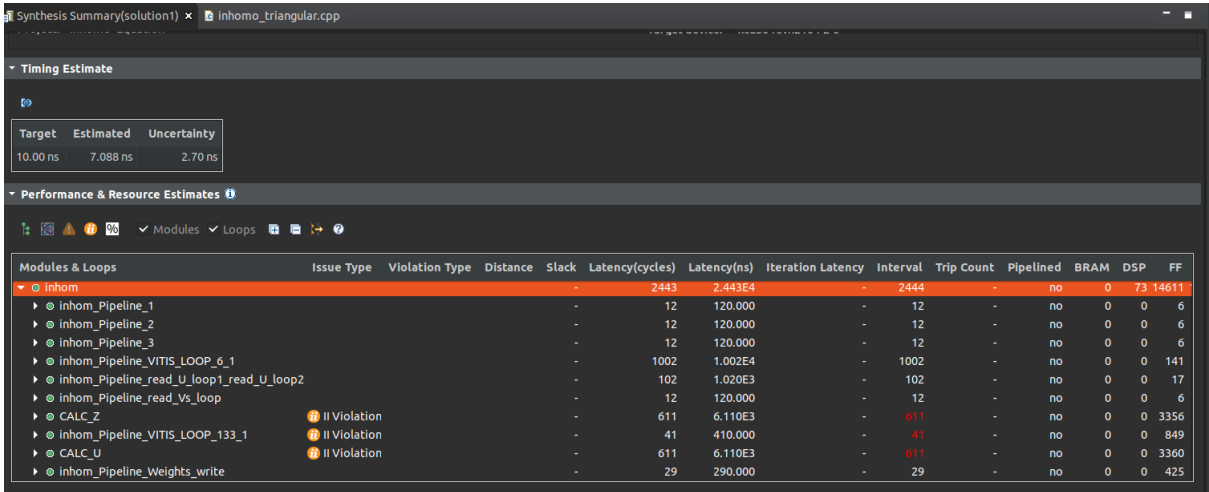
}

```

the top function of these subfunctions is called inhom

we cannot do dataflow optimization in this function since z has a single producer and multiple consumers.

As shown in the figure 2, we propagate A until it reach the kernel that calculate the multiplication of input data A and weights, but this brings us some additional cycles from reading/writing the fifo.



**Synthesis Summary(solution1) x inhom\_triangular.cpp**

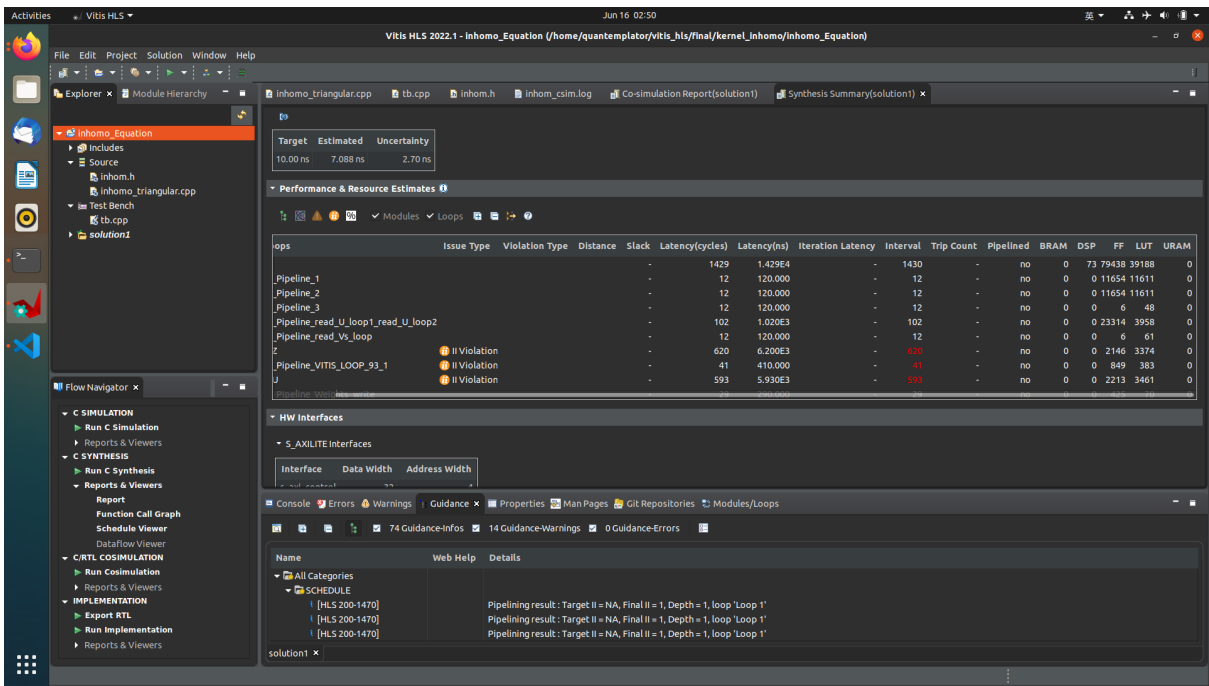
**Timing Estimate**

Target	Estimated	Uncertainty
10.00 ns	7.088 ns	2.70 ns

**Performance & Resource Estimates**

Modules & Loops	Issue Type	Violation Type	Distance	Slack	Latency(cycles)	Latency(ns)	Iteration Latency	Interval	Trip Count	Pipelined	BRAM	DSP	FF
Inhom				-	2443	2.443E4	-	2444	-	no	0	73	14611
Inhom_Pipeline_1				-	12	120.000	-	12	-	no	0	0	6
Inhom_Pipeline_2				-	12	120.000	-	12	-	no	0	0	6
Inhom_Pipeline_3				-	12	120.000	-	12	-	no	0	0	6
Inhom_Pipeline_VITIS_LOOP_6_1				-	1002	1.002E4	-	1002	-	no	0	0	141
Inhom_Pipeline_read_U_loop1_read_U_loop2				-	102	1.020E3	-	102	-	no	0	0	17
Inhom_Pipeline_read_Vs_loop				-	12	120.000	-	12	-	no	0	0	6
CALC_Z	II Violation			-	611	6.110E3	-	611	-	no	0	0	3356
Inhom_Pipeline_VITIS_LOOP_133_1	II Violation			-	41	410.000	-	41	-	no	0	0	849
CALC_U	II Violation			-	611	6.110E3	-	611	-	no	0	0	3360
Inhom_Pipeline_Weights_write				-	29	290.000	-	29	-	no	0	0	425

synthesis with propagation of matrix A



**Vitis HLS 2022.1 - inhom\_Equation (/home/quantemplator/vitis\_hls/final/kernel\_inhom/inhom\_Equation)**

**Timing Estimate**

Target	Estimated	Uncertainty
10.00 ns	7.088 ns	2.70 ns

**Performance & Resource Estimates**

ops	Issue Type	Violation Type	Distance	Slack	Latency(cycles)	Latency(ns)	Iteration Latency	Interval	Trip Count	Pipelined	BRAM	DSP	FF	LUT	URAM
Pipeline_1				-	1429	1.429E4	-	1430	-	no	0	73	79439	39188	0
Pipeline_2				-	12	120.000	-	12	-	no	0	0	11654	11611	0
Pipeline_3				-	12	120.000	-	12	-	no	0	0	11654	11611	0
Pipeline_read_U_loop1_read_U_loop2				-	102	1.020E3	-	102	-	no	0	0	23314	3958	0
Pipeline_read_Vs_loop				-	12	120.000	-	12	-	no	0	0	6	61	0
Z	II Violation			-	620	6.200E3	-	620	-	no	0	0	2146	3374	0
Pipeline_VITIS_LOOP_93_1	II Violation			-	41	410.000	-	41	-	no	0	0	849	383	0
U	II Violation			-	593	5.930E3	-	593	-	no	0	0	2213	3461	0

**HW Interfaces**

**S\_AXILITE interfaces**

Interface	Data Width	Address Width
S_AXILITE	32	32

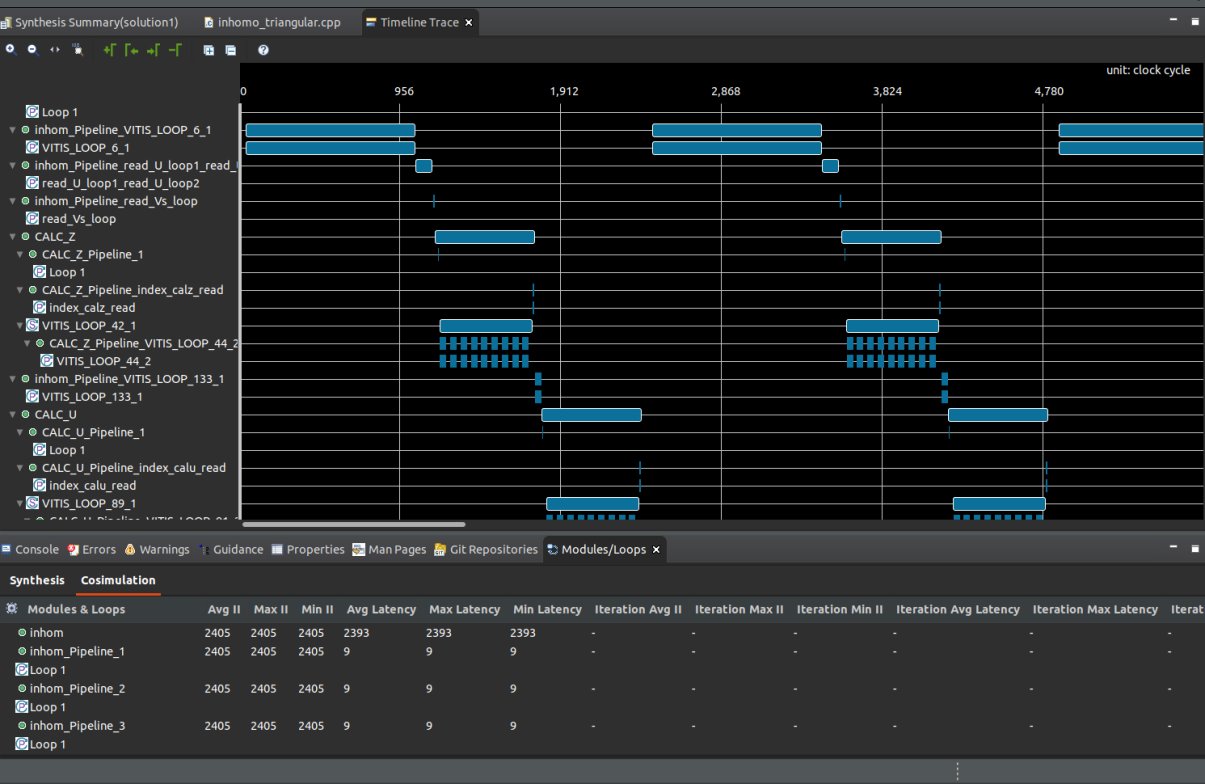
**Console**

74 Guidance-Infos 14 Guidance-Warnings 0 Guidance-Errors

**Properties**

Name	Web Help	Details
SCHEDULE		
[HLS 200-1470]		Pipelining result: Target II = NA, Final II = 1, Depth = 1, loop 'Loop 1'
[HLS 200-1470]		Pipelining result: Target II = NA, Final II = 1, Depth = 1, loop 'Loop 1'
[HLS 200-1470]		Pipelining result: Target II = NA, Final II = 1, Depth = 1, loop 'Loop 1'

synthesis without propagation of matrix A



timeline trace and cosim result

## 5) matrix multiplication

```
void Weights_Mul(complex<double> output_result[S], hls::stream<complex<double>> &A_instream, hls::stream<complex<double>> &weights_instream){

//#pragma HLS STREAM depth=1000 variable=A_instream
//#pragma HLS STREAM depth=10 variable=weights_instream
//ADD STATIC https://docs.xilinx.com/r/2021.2-English/ug1399-vitis-hls/Array-Initialization
static complex<double> A_matrix_w[S][M]={complex<double>(0,0)};
#pragma HLS ARRAY_RESHAPE variable=A_matrix_w type=block factor=2 dim=2
#pragma HLS BIND_STORAGE variable=A_matrix_w type=ram_1wnr

static complex<double> INweights[M]={complex<double>(0,0)};
#pragma HLS ARRAY_RESHAPE variable=INweights type=complete
static complex<double> results[S]={complex<double>(0,0)};
//#pragma HLS ARRAY_PARTITION variable=results type=complete

//read stream A and weights
read_stream_input:
    for(int i=0;i<S;i++){
        for(int j=0;j<M;j++){
#pragma HLS PIPELINE
            A_matrix_w[i][j]=A_instream.read();
            if(i==S-1){
                INweights[j]=weights_instream.read();
            }
        }
    }
/*
    for(int i=0;i<M;i++){
#pragma HLS PIPELINE
        for(int j=0;j<S;j++){
            results[j]+=A_matrix_w[j][i]*weights[i];
        }
    }
*/

    for(int i=0;i<S;i++){
#pragma HLS PIPELINE
        for(int j=0;j<M;j++){
            results[i]+=A_matrix_w[i][j]*INweights[j];
        }
    }

//burst write to host

//burst write to host
burst_write_output:
    for(int i=0;i<S;i++){
#pragma HLS PIPELINE II=1
        output_result[i]=results[i];
    }

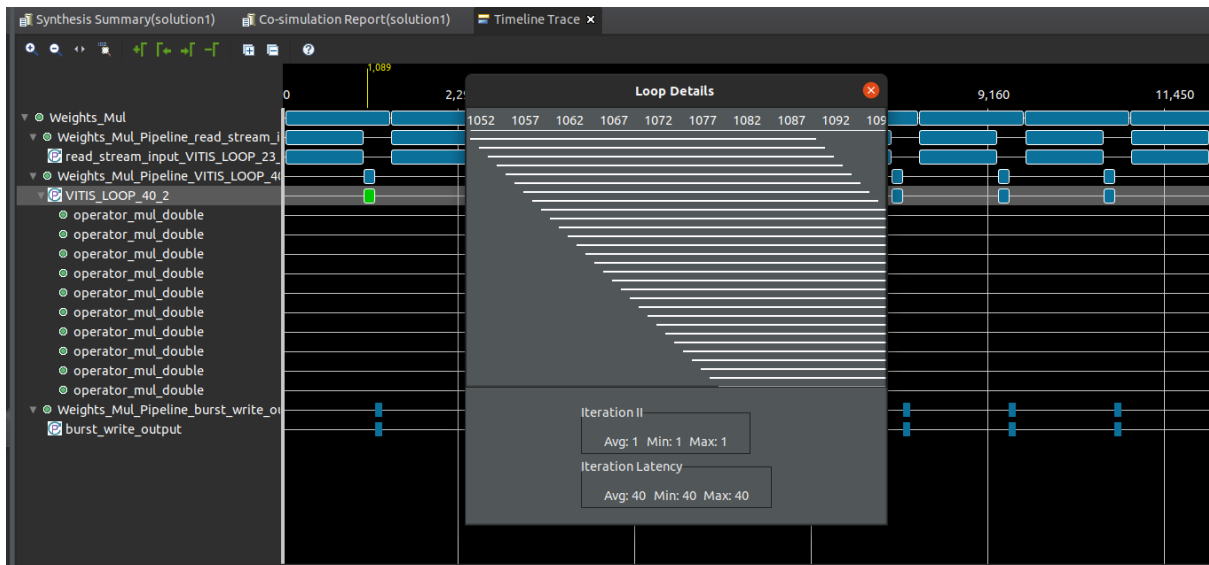
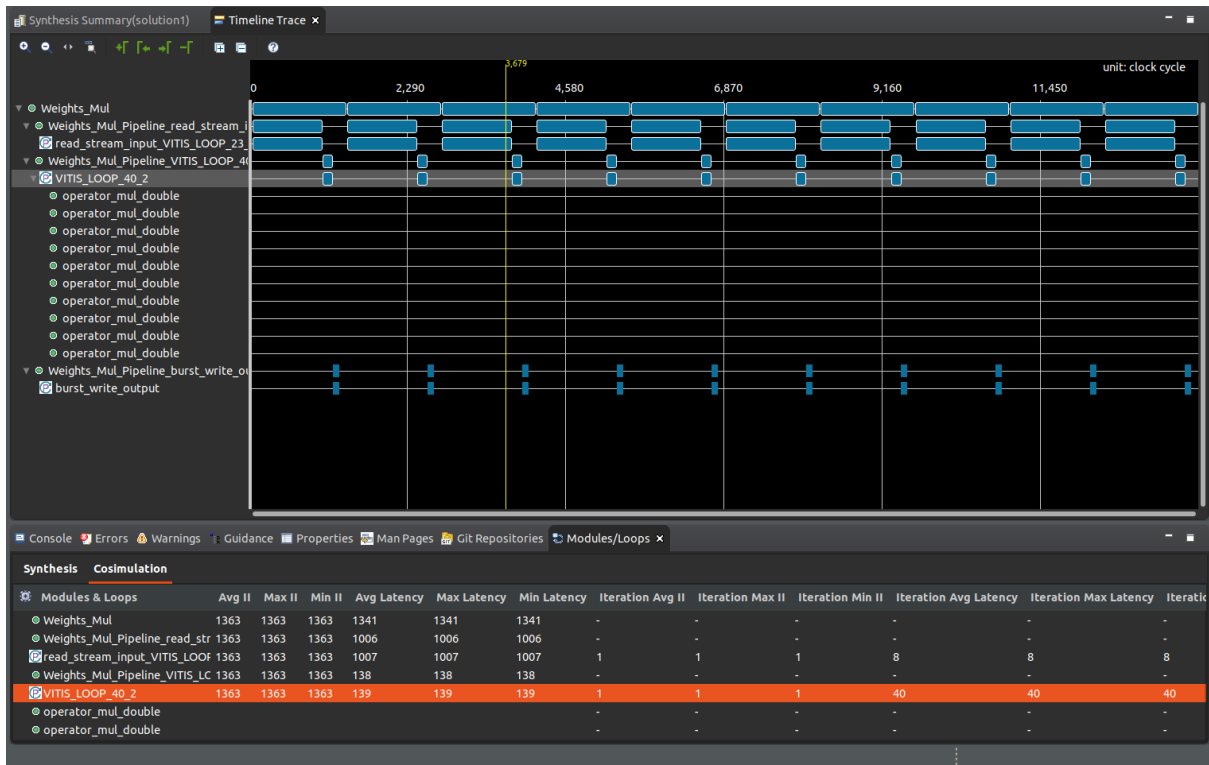
}
```

we used multiple port rams and array reshape to get better performance

Issue Type	Violation Type	Distance	Slack	Latency(cycles)	Latency(ns)	Iteration Latency	Interval	Trip Count	Pipelined	BRAM	DSP	FF	LUT	URAM
read_stream_input_VITIS_LOOP_23_1			-	1325	1.325E4	-	1326	-	no	36	440	54235	108474	0
ITIS_LOOP_40_2			-	140	1.400E3	-	140	-	no	0	0	496	13847	0
burst_write_output			-	103	1.030E3	-	103	-	no	0	0	527	423	0

HW Interfaces

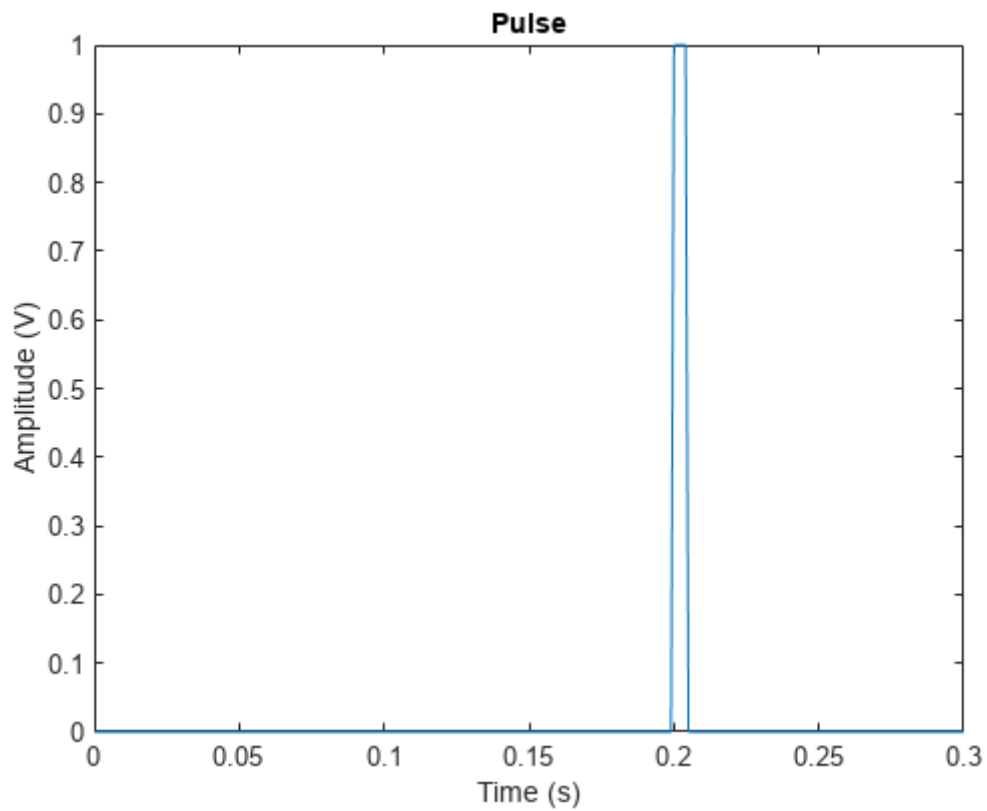
M\_AXI



The above figures shows that we have greatly reduced operation time (to 140 cycles) for multiplication of two matrices A and weights.

## 4. result analysis

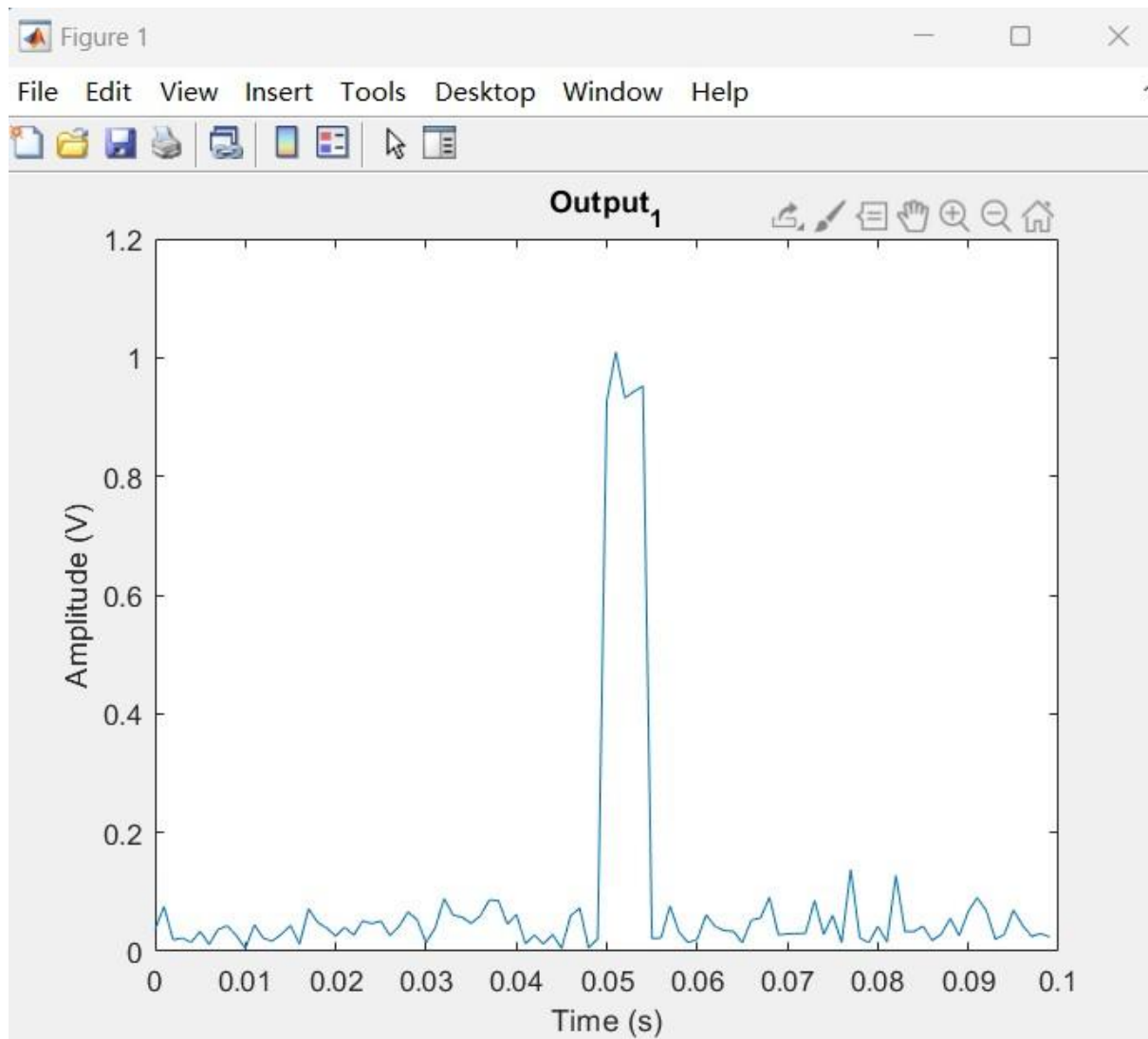
expected output is: (just as example, our input settings are different)



extracted from MATLAB: <https://www.mathworks.com/help/phased/ug/conventional-and-adaptive-beamformers.html>

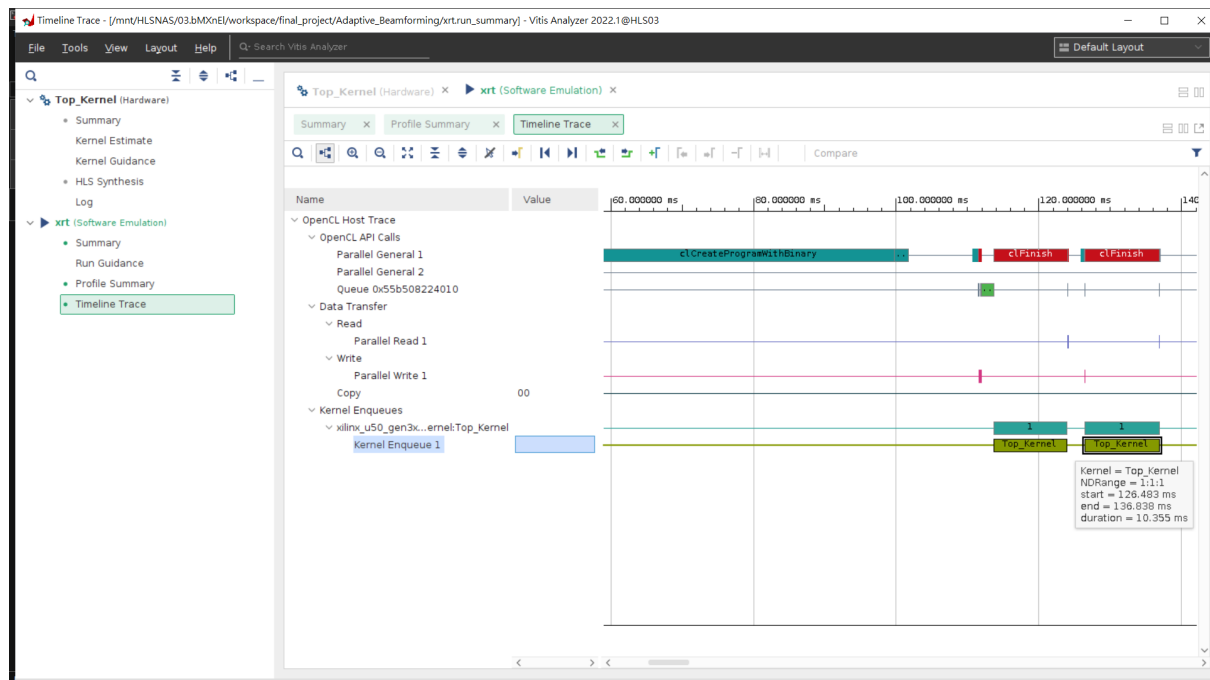


The output we obtain is:

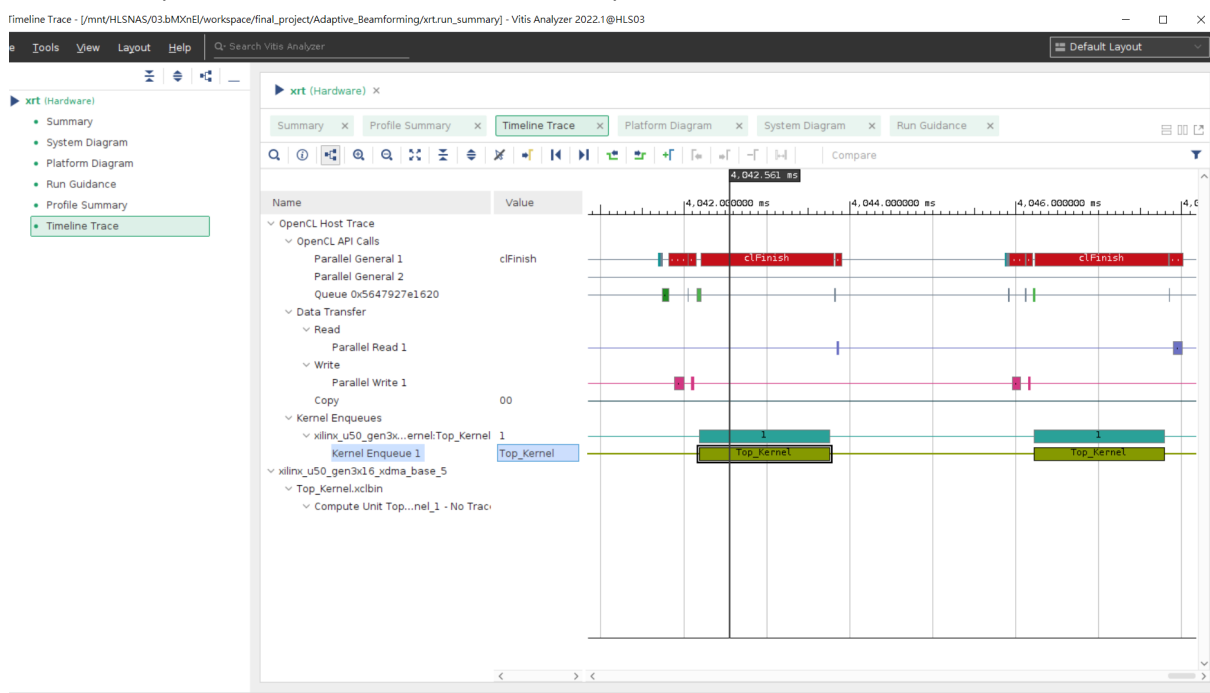


which is very close to what we want.

software emulation: (kernel execution time=10.5ms)



hardware: (kernel execution time=1~1.5ms)



The acceleration rate (sw/hw) is about seven to ten.

However, it's worth mentioning that the hardware emulation and hardware implementation need a lot of time, more than hours, on the vitis platform to obtain the results.

synthesis report:

```
=====
== Performance Estimates
=====
+ Timing:
  * Summary:
  +-----+-----+-----+-----+
  | Clock | Target | Estimated | Uncertainty |
  +-----+-----+-----+-----+
  | ap_clk | 3.33 ns | 2.433 ns | 0.90 ns |
  +-----+-----+-----+-----+

+ Latency:
  * Summary:
  +-----+-----+-----+-----+-----+-----+
  | Latency (cycles) | Latency (absolute) | Interval | Pipeline |
  | min | max | min | max | min | max | Type |
  +-----+-----+-----+-----+-----+-----+
  | 22700 | 634108 | 75.659 us | 2.113 ms | 22701 | 634109 | no |
  +-----+-----+-----+-----+-----+-----+

+ Detail:
  * Instance:
  +-----+-----+-----+-----+-----+-----+
  | Instance | Module | Latency (cycles) | Latency (absolute) | Interval | Pipeline |
  | min | max | min | max | min | max | min | max | Type |
  +-----+-----+-----+-----+-----+-----+
  | grp_pass_dataflow_fu_112 | pass_dataflow | 22698 | 634106 | 75.652 us | 2.113 ms | 22557 | 633965 | dataflow |
  +-----+-----+-----+-----+-----+-----+

  * Loop:
  N/A
```

```
=====
== Utilization Estimates
=====
* Summary:
+-----+-----+-----+-----+-----+-----+
| Name | BRAM_18K | DSP | FF | LUT | URAM |
+-----+-----+-----+-----+-----+-----+
| DSP | - | - | - | - | - |
| Expression | - | - | 0 | 6 | - |
| FIFO | - | - | - | - | - |
| Instance | 147 | 638 | 167193 | 151640 | 0 |
| Memory | - | - | - | - | - |
| Multiplexer | - | - | - | 92 | - |
| Register | - | - | 202 | - | - |
+-----+-----+-----+-----+-----+-----+
| Total | 147 | 638 | 167395 | 151738 | 0 |
+-----+-----+-----+-----+-----+-----+
| Available SLR | 1344 | 2976 | 871680 | 435840 | 320 |
+-----+-----+-----+-----+-----+-----+
| Utilization SLR (%) | 10 | 21 | 19 | 34 | 0 |
+-----+-----+-----+-----+-----+-----+
| Available | 2688 | 5952 | 1743360 | 871680 | 640 |
+-----+-----+-----+-----+-----+-----+
| Utilization (%) | 5 | 10 | 9 | 17 | 0 |
+-----+-----+-----+-----+-----+-----+
```

github:

<https://github.com/ccontemplator/Adaptive-MPDR-Beamforming/tree/main>

## 5.Reference

[1] Chengxin Xu, Ting Song, Xiangmei Li. Adaptive Beamforming Based on QR Decomposition in Smart Antenna. Proceedings of the 2018 3rd International Workshop on Materials Engineering and Computer Sciences, 2018.  
doi:10.2991/iwmecs-18.2018.64