- Probing the foundations of comparative cognition: the structure, stability and
- predictability of great ape cognition
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22 Abstract

Theories in psychology, cognitive science, anthropology and evolutionary biology use great ape cognition as a reference point to specify the evolutionary dynamics that give rise to complex cognitive abilities and to define the nature of human cognition. This approach 25 requires a comprehensive way of describing great ape cognition to compare it to other 26 primates – including humans. Empirically, this entails that a) group-level results are 27 measured stably, b) individual differences are measured reliably, and c) cognitive performance is predictable. The study reported here puts these assumptions to a test. We 29 repeatedly tested a large sample of great apes in five tasks covering a range of cognitive domains. Group-level performance was relatively stable, so different testing occasions 31 licensed the same conclusions. Most of the tasks showed high re-test correlations and were thus suited to study individual differences. Individual differences in performance were explained mainly by stable differences in cognitive abilities between individuals. Furthermore, we found systematic relations between cognitive abilities. Finally, when 35 predicting cognitive performance, we found stable individual characteristics (e.g., group, 36 test experience or age) to be more important than variables capturing transient experience 37 (e.g., life events, testing arrangements or sociality). The study provides a solid foundation 38 for the comparative study of great ape cognition. 39

Keywords: cognition, evolution, comparative psychology, great apes, individual differences

Probing the foundations of comparative cognition: the structure, stability and predictability of great ape cognition

44 Introduction

In their quest to understand the evolution of cognition, anthropologists, 45 psychologists, and cognitive scientists face one major obstacle: cognition does not fossilize. 46 Instead of directly studying the cognitive abilities of, e.g., extinct early hominins, we have 47 to rely on backward inferences. We can study fossilized skulls and crania to approximate brain size and structure and use this information to infer cognitive abilities (Coqueugniot, Hublin, Veillon, Houët, & Jacob, 2004; Gunz et al., 2020). We can study the material culture left behind by now-extinct species and try to infer its cognitive complexity experimentally (Coolidge & Wynn, 2016; Currie & Killin, 2019; Haslam et al., 2017). Yet, the archaeological record is sparse and only goes back so far in time. Thus, the comparative method is one of the most fruitful approaches to investigating cognitive evolution. By studying extant species of primates, we can make backward inferences about the last common ancestor. If species A and B both show cognitive ability X, the last common ancestor of A and B most likely also had ability X (Burkart, Schubiger, & Schaik, 57 2017; MacLean et al., 2012; Martins & Martins, 1996; Shettleworth, 2009). To make inferences about the most recent events in primate cognitive evolution, we have to study and compare humans and non-human great apes. Such an approach has been highly productive and provides the empirical basis for numerous theories about human cognitive evolution (Dean, Kendal, Schapiro, Thierry, & Laland, 2012; Dunbar & Shultz, 2017; 62 Heyes, 2018; Laland & Seed, 2021; Penn, Holyoak, & Povinelli, 2008; Tomasello, 2019). 63 Applying the comparative method requires a comprehensive understanding of great ape cognition. Three kinds of empirical evidence are needed to rest species comparisons of 65 cognition on solid grounds. First, group-level results must be stable: Inferences about the 66 cognitive abilities of great apes – as a group, species or clade – must remain the same

across repeated studies. Second, measures of individual differences in cognitive abilities should be reliable: Inferences about the cognitive abilities of any one great ape must remain the same across repeated studies. This is a prerequisite for investigating the relations between different cognitive abilities to map out the internal structure of great ape cognition (Matzel & Sauce, 2017; Shaw & Schmelz, 2017; Thornton & Lukas, 2012; Völter, Tinklenberg, Call, & Seed, 2018). Finally, variables that describe individual characteristics or aspects of everyday experience must systematically predict inter- and intra-individual variation in cognitive performance (Damerius et al., 2017; Horn, Cimarelli, Boucherie, Šlipogor, & Bugnyar, 2022).

Recently, several concerns have been voiced, questioning whether the prototypical
way of conducting comparative cognitive studies is suited to provide the empirical basis for
studying cognitive evolution (Farrar & Ostojic, 2019; ManyPrimates, Altschul, Beran,
Bohn, Caspar, et al., 2019; Schubiger, Fichtel, & Burkart, 2020; Stevens, 2017). Most of
this criticism revolves around issues that result from small sample sizes and researchers'
degrees of freedom in analyzing and reporting data. An often overlooked but crucial
additional criticism is that most research assumes that the three requirements outlined
above are met without testing them empirically. The work reported here directly addresses
this problem.

There are, however, several notable exceptions that undertook great effort to provide
a more comprehensive picture of one or more aspects of the nature and structure of great
ape cognition (Beran & Hopkins, 2018; Hopkins, Russell, & Schaeffer, 2014; MacLean et
al., 2014; Wobber, Herrmann, Hare, Wrangham, & Tomasello, 2014). Herrmann and
colleagues (Herrmann, Call, Hernández-Lloreda, Hare, & Tomasello, 2007) tested more
than one hundred great apes (chimpanzees and orangutans) and human children in a range
of tasks covering numerical, spatial, and social cognition. The results indicated pronounced
group-level differences between great apes and humans in the social but not the spatial or
numerical domain. Furthermore, relations between the tasks pointed to a different internal

structure of cognition, with a distinct social cognition factor for humans but not great apes
(Herrmann, Hernández-Lloreda, Call, Hare, & Tomasello, 2010). Völter and colleagues
-Völter et al. (2022) focused on the structure of executive functions. Based on a multi-trait
multi-method approach, they developed a new test battery to assess memory updating,
inhibition, and attention shifting in chimpanzees and human children. Overall, they found
low correlations between tasks and thus no clear support for structures put forward by
theoretical models built around adult human data.

Despite their seminal contributions to the field, these studies suffer from one or more of the three shortcomings outlined above. It is unclear if the results are stable. If the same individuals were tested again, would the results license the same conclusions about absolute differences between species? Furthermore, the psychometric properties of the tasks are unknown and it is thus unclear if, for example, low correlations between tasks reflect a genuine lack of shared cognitive processes or simply measurement imprecision. Finally, which characteristics and experiences predict cognitive performance and development remains unclear.

The studies reported below seek to solidify the empirical grounds for investigating 110 great ape cognition. For one-and-a-half years, every two weeks, we administered a set of five cognitive tasks (see Figure 1) to the same population of great apes (N=43). The 112 tasks spanned across cognitive domains and were based on published procedures widely used in comparative psychology. As a test of social cognition, we included a gaze following 114 task (Bräuer, Call, & Tomasello, 2005). To assess causal reasoning abilities, we had a 115 direct causal inference and an inference by exclusion task (Call, 2004). Numerical cognition 116 was tested using a quantity discrimination task (Hanus & Call, 2007). Finally, as a test of 117 executive functions, we included a delay of gratification task (Rosati, Stevens, Hare, & 118 Hauser, 2007). 119

In addition to the cognitive data, we continuously collected 14 variables that capture

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stable and variable aspects of our participants' and their lives and used this to predict
inter- and intra-individual variation in cognitive performance. Data collection was split
into two phases. After Phase 1 (14 data collection time points), we analysed the data and
registered the results (https://osf.io/7qyd8). Phase 2 lasted for another 14 time points and
served to replicate and extend Phase 1. This approach allowed us to test a) how stable
group-level results are, b) how stable individual differences are, c) how individual
differences are structured and d) what predicts cognitive performance.

128 Results

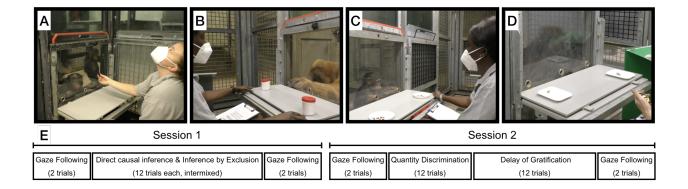


Figure 1. Setup used for the five tasks. A) Gaze following: the experimenter looked to the ceiling. We coded if the ape followed gaze. B) Direct causal inference: food was hidden in one of two cups, the baited cup was shaken (food produced a sound) and apes had to choose the shaken cup to get food. Inference by exclusion: food was hidden in one of two cups. The empty cup was shaken (no sound), so apes had to choose the non-shaken cup to get food. C) Quantity discrimination: Small pieces of food were presented on two plates (5 vs. 7 items); we coded if subjects chose the larger amount. D) Delay of gratification (only Phase 2): to receive a larger reward, the subject had to wait and forgo a smaller, immediately accessible reward. E) Order of task presentation and trial numbers.

Stability of group-level performance

Group-level performance was largely stable or followed clear temporal patterns (see 130 Figure 2). The direct causal inference and quantity discrimination tasks were the most 131 robust: in both cases, performance was different from chance across both phases with no 132 apparent change over time. The rate of gaze following declined at the beginning of Phase 1 133 but then settled on a low but stable level until the end of Phase 2. This pattern was 134 expected given that following the experimenter's gaze was never rewarded – neither 135 explicitly with food nor by bringing something interesting to the participant's attention. 136 The inference by exclusion task showed an inverse pattern with group-level performance 137 being at chance-level for most of Phase 1, followed by a small but steady increase 138 throughout Phase 2. These temporal patterns most likely reflect training (or habituation) 139 effects that are a *consequence* of repeated testing. Performance in the delay of gratification task (Phase 2 only) was more variable but within the same general range for the whole testing period. In sum, performance was very robust in that time points generally licensed the same group-level conclusions. The tasks appeared well suited to study group-level performance. In the supplementary material, we report additional analyses – Structural Equation Models (SEM) – that corroborate this interpretation.

146 Reliability of individual differences

Stable group-level performance does not imply stable individual differences. In fact, a well-known paradox in human cognitive psychology states that some of the most robust – on a group level – cognitive tasks do not produce stable individual differences (Hedge, Powell, & Sumner, 2018). In a second step, we, therefore, assessed the re-test correlations of our five tasks. For that, we correlated the performance at the different time points in each task. Figure 3 visualizes these re-test correlations. Correlations were generally high – some even exceptionally high for animal cognition standards (Cauchoix et al., 2018). As

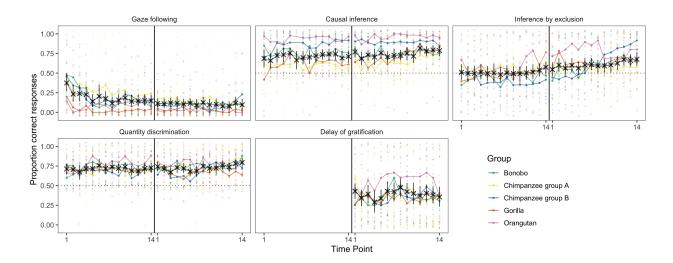


Figure 2. Results from the five cognitive tasks across time points. Black crosses show mean performance at each time point across species (with 95% CI). Colored dots show mean performance by species. Light dots show individual means per time point. Dashed lines show chance level whenever applicable. The vertical black line marks the transition between phases 1 and 2.

expected, values were also higher for more proximate time points (Uher, 2011). The
quantity discrimination task had lower correlations compared to the other tasks. Based on
re-test correlations alone, we cannot say whether lower correlations reflect higher
measurement error (low reliability) or higher variability of individual differences across
time (low stability). We will tease these two components apart using SEM in the next
section on the structure of individual differences.

As a final note, it stands out that group-level stability does not imply individual-level stability - and vice versa. The quantity discrimination task showed robust group-level performance above chance but relatively poor re-test correlations. In other words, even though group-level performance was stable, the ranking of individuals varied across time.

In contrast, group-level performance in the inference by exclusion and gaze following tasks changed over time, but the ranking of individuals was relatively stable on an individual level. Nevertheless, we found that the majority of tasks were well suited for studying

individual differences.

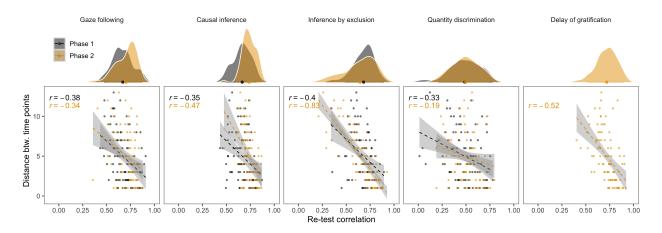


Figure 3. Top: Distribution of re-test Pearson correlation coefficients between time points for each task. Points show mean of the distribution with 95% highest density interval. Bottom: Pearson correlations between re-test correlation coefficients and temporal distance between the testing time points.

168 Structure of individual differences

Next, we investigated the structure of these individual differences. First, we asked to 169 what extent individual differences reflect stable differences in cognitive abilities. We used 170 structural equation modeling – in particular latent state-trait models (LSTM) – to 171 partition the variance in performance into latent traits (Consistency), latent state residuals 172 (Occasion specificity), and measurement error (Geiser, 2020; Stever, Ferring, & Schmitt, 173 1992; Steyer, Mayer, Geiser, & Cole, 2015). In the present context, one can think of a 174 latent trait as a stable cognitive ability (e.g., the ability to make causal inferences) and latent state residuals as variables capturing the effect of occasion-specific, variable 176 psychological conditions (e.g., being more or less attentive or motivated). These latent 177 variables are measurement-error-free because they are estimated by taking into account the 178 reliability of the task. In the LSTM context, reliability is estimated as split-half reliability 179 based on repeated parallel measurements per time point. We report additional models that 180

account for the temporal structure of the data in the supplementary material.

Individual differences were largely explained by stable differences in cognitive 182 abilities. Across tasks, more than 75% of the reliable variance (true inter-individual 183 differences) was accounted for by latent trait differences and less than 25% by 184 occasion-specific variation between individuals (Figure 4A). The high reliability estimates 185 (> .75 for most tasks; see Figure 4A) show that these latent variables accounted for most 186 of the variance in raw test scores – with the quantity discrimination task being an 187 exception (reliability = .47). Reflecting back on the re-test correlations reported above, we 188 can now say that these reflect measurement error rather than variable individual 189 differences. In fact, consistency estimates for the quantity discrimination task were close to 190 1, reflecting highly stable true differences between individuals. 191

Next, we compared the estimates for the two phases of data collection. We found 192 estimates for consistency and occasion specificity to be remarkably similar for the two 193 phases. For inference by exclusion, we could not fit an LST model to the data from Phase 194 2 (see supplementary material for details). Instead, we divided Phase 2 into two parts 195 (time points 1-8 and 9-14) and estimated a separate trait for each part. All estimates were 196 similar for both parts (Figure 4A), and the two traits were highly correlated (r = .82). 197 Together with additional latent state models, which we report in the supplementary 198 material, this suggests that the increase in group-level performance in Phase 2 was driven by a relatively sudden improvement of a few individuals, mostly from the chimpanzee B group (see Figure 2). These individuals "rose through the ranks" halfway through Phase 2 201 and then retained this position for the rest of the study. Some of the orangutans changed 202 in the opposite direction – though to a lesser extent. 203

Finally, we investigated the relations between latent traits. That is, we asked whether individuals with high abilities in one domain also have higher abilities in another. We fit pairwise LST models that modeled the correlation between latent traits for two tasks (two

models for inference by exclusion in Phase 2). In Phase 1, the only correlation that was 207 reliably different from zero was between quantity discrimination and inference by exclusion. 208 In Phase 2, this finding was replicated, and, in addition, four more correlations turned out 209 to be substantial (see Figure 4B). One reason for this increase was the inclusion of the 210 delay of gratification task. Across phases, correlations involving the gaze following task 211 were the closest to zero, with quantity discrimination in Phase 2 being an exception. Taken 212 together, the overall pattern of results suggests substantial shared variance between tasks – 213 except for gaze following. 214

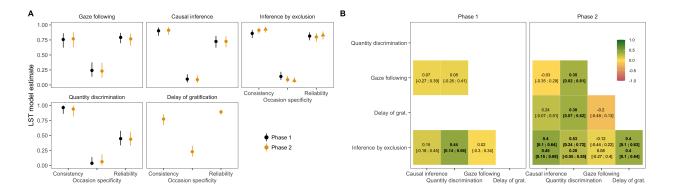


Figure 4. A. Estimates from latent state-trait models for Phase 1 and 2. Consistency: proportion of (measurement-error-free) variance in performance explained by stable trait differences. Occasion specificity: true variance explained by variable state residuals. Reliability: proportion of variance in raw scores explained by the trait and the state residual variables. For inference by exclusion: different shapes show estimates for different parts of Phase 2 (see main text for details). B. Correlations between latent traits based on pairwise LST models between tasks with 95% Credible Interval. Bold correlations are reliably different from zero. Inference by exclusion has one value per part in Phase 2. The models for quantity discrimination and direct causal inference showed a poor fit and are not reported here (see supplementary material for details).

Predictability of individual differences

The results thus far suggest that individual differences originate from stable 216 differences in cognitive abilities. In the last set of analyses, we sought to explain the origins 217 of these differences. That is, we analysed whether inter- and intra-individual variation in 218 cognitive performance in the tasks could be predicted by non-cognitive variables that 219 captured a) stable differences between individuals (group, age, sex, rearing history, time 220 spent in research), b) differences that varied within and between individuals (rank, 221 sickness, sociality), c) differences that varied with group membership (time spent outdoors, 222 disturbances, life events), and d) differences in testing arrangements (presence of observers, 223 study participation on the same day and since the last time point). We collected these 224 predictor variables using a combination of directed observations and caretaker 225 questionnaires. 226

This large set of potentially relevant predictors poses a variable selection problem. Thus, in our analysis, we sought to find the minimal set of predictors that allowed us to 228 accurately predict performance in the cognitive tasks. We chose the projection predictive 229 inference approach because it provides an excellent trade-off between model complexity 230 and accuracy (Pavone, Piironen, Bürkner, & Vehtari, 2020; Piironen, Paasiniemi, & 231 Vehtari, 2020; Piironen & Vehtari, 2017). The outcome of this analysis is a ranking of the 232 different predictors in terms of how important they are to predict performance in a given 233 task. Furthermore, for each predictor, we get a qualitative assessment of whether it makes 234 a substantial contribution to predicting performance in the task or not. 235

Predictors capturing stable individual characteristics were ranked highest and
selected as relevant most often (Figure 5). The three highest-ranked predictors belonged to
this category. This result aligned well with the LSTM results reported above, in which we
saw that most of the variance in performance could be traced back to stable trait
differences between individuals. The tasks with the highest occasion-specific variance (gaze

following and delay of gratification, see Figure 4) were also those for which the most time
point specific predictors were selected. The quantity discrimination task did not fit this
pattern in Phase 2; even though the LSTM suggested that only a very small portion of the
variance in performance was occasion-specific, four time-point-specific variables were
selected to be relevant.

The most important predictor was group. Interestingly, differences between groups 246 were not systematic in that one group would consistently outperform the others across 247 tasks. Furthermore, group differences could not be collapsed into species differences as the 248 two chimpanzee groups varied largely independent of one another (Figure 5B). Predictors 249 that were selected more than once influenced performance in variable ways. The presence 250 of observers always had a negative effect on performance. The more time an individual had 251 been involved in research during their lifetime, the better performance was. On the other 252 hand, while the rate of gaze following increased with age in Phase 1, performance in the 253 inference by exclusion task decreased. Females were more likely to follow gaze than males, 254 but males were more likely to wait for the larger reward in the delay of gratification task. 255 Finally, time spent outdoors had a positive effect on gaze following but a negative effect on direct causal inference (Figure 5B). 257

In sum, of the predictors we recorded, those capturing stable individual
characteristics were most predictive of cognitive performance. In most cases, these
predictors were also selected as relevant in both phases. The influence of time-point-specific
predictors was less consistent: except for the presence of an observer in the gaze following
task, none of the variable predictors was selected as relevant in both phases. To avoid
misinterpretation, this suggests that cognitive performance was influenced by temporal
variation in group life, testing arrangements and variable characteristics; however, the way
this influence exerts itself was either less consistent or less pronounced (or both).

It is important to note, however, that in terms of absolute variance explained, the

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largest portion was accounted for by a random intercept term in the model (not shown in Figure 5) that simply captured the identity of the individual (see supplementary material for details). This suggests that idiosyncratic developmental processes and/or genetic pre-dispositions, which operate on a much longer time scale than what we captured in the present study, were responsible for most of the variation in cognitive performance.

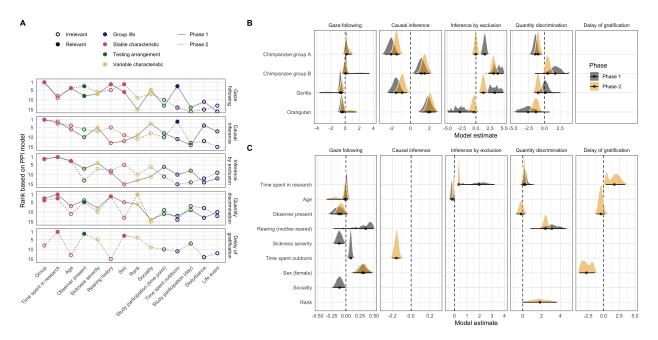


Figure 5. A. Ranking of predictors based on the projection predictive inference model for the five tasks in the two phases. Order (left to right) is based on average rank across phases. Solid points indicate predictors selected as relevant. Color of the points shows the category of the predictor. Line type denotes the phase. B. Posterior model estimates for the selected predictors for each task. Points show means with 95% Credible Interval. Color denotes phase. For categorical predictors, the estimate gives the difference compared to the reference level (Bonobo for group, no observer for observer, hand-reared for rearing, male for sex).

272 Discussion

The goal of this study was to test the assumptions underlying much of comparative research and theorizing about cognitive evolution. We repeatedly tested a large sample of

great apes in five tasks covering a range of different cognitive domains. We found 275 group-level performance to be relatively stable so that conclusions drawn based on one 276 testing occasion mirrored those on other occasions. Most of the tasks measured differences 277 between individuals in a reliable and stable way – making them suitable to study individual 278 differences. Using structural equation models, we found that individual differences in 270 performance were largely explained by traits – that is, stable differences in cognitive 280 abilities between individuals. Furthermore, we found systematic relations between 281 cognitive abilities. When predicting variation in cognitive performance, we found stable 282 individual characteristics (e.g., group or time spent in research) to be the most important. 283 Variable predictors were also found to be influential at times but in a less systematic way. 284

At first glance, the results send a reassuring message to the field: most of the tasks 285 that we used produced stable group-level results and captured individual differences in a 286 reliable and stable way. However, this did not apply to all tasks. In the supplementary 287 material, we report on a rule-switching task (Haun, Call, Janzen, & Levinson, 2006) that 288 produced neither stable nor reliable results. The quantity discrimination task was stable on 280 a group level but less reliable on an individual level. We draw two conclusions based on 290 this pattern. First, replicating studies – even if it is with the same animals – should be an 291 integral part of primate cognition research (Farrar, Boeckle, & Clayton, 2020; 292 ManyPrimates, Altschul, Beran, Bohn, Caspar, et al., 2019; Stevens, 2017). Second, for 293 individual differences research, it is crucial to assess the psychometric properties 294 (reliability, stability) of the measures involved (Fried & Flake, 2018). If this step is 295 omitted, it is difficult to interpret studies, especially when they produce null-results. It is important to note that the sample size in the current study was large compared to other comparative studies (median sample size = 7, see ManyPrimates, Altschul, Beran, Bohn, Caspar, et al., 2019). With smaller sample sizes, group-level and reliability estimates are 299 more likely to be more variable and thus more likely to produce false-positive or 300 false-negative conclusions (Forstmeier, Wagenmakers, & Parker, 2017; Oakes, 2017). Small 301

samples in comparative research usually reflect resource limitations of individual labs.

Pooling resources in large-scale collaborative projects like *ManyPrimates* (ManyPrimates et al., 2021; ManyPrimates, Altschul, Beran, Bohn, Call, et al., 2019) will thus be vital to corroborate findings. Some research questions – for example, the distinction between group- vs. species-level explanations of primate cognitive performance (Van Leeuwen, Cronin, & Haun, 2018) – cannot even be addressed with a single population of primates.

Given their good psychometric properties, our tasks offer insights into the structure 308 of great ape cognition. We used structural equation modeling to partition reliable variance 309 in performance into stable (trait) and variable (state) differences between individuals. We 310 found traits to explain more than 75% of the reliable variance across tasks. This suggests 311 that stable differences in cognitive abilities and not variable differences in, e.g., attention 312 and motivation are responsible for the patterns we observed. This finding does not mean 313 that there is no developmental change over time. In fact, for the inference by exclusion 314 task, we saw a relatively abrupt change in performance for some individuals, which 315 stabilized on an elevated level, suggesting sustained change in cognitive abilities. With 316 respect to structure, we found systematic relations between traits estimated via LSTMs for 317 the different tasks. Correlations tended to be higher among the non-social tasks compared to when the gaze following task was involved, which could be taken to hint at shared 319 cognitive processes. However, we feel such a conclusion would be premature and would 320 require additional evidence from more tasks and larger sample sizes (Herrmann et al., 321 2010). Furthermore, cognitive modeling could be used to explicate the processes involved 322 in each task. Shared processes could be probed by comparing models that make different assumptions about overlapping processes (Bohn, Liebal, & Tessler, 2022; Devaine et al., 324 2017). For example, a model in which direct causal inference is a sub-process of inference 325 by exclusion could be compared to a model assuming distinct reasoning processes for the 326 two tasks. 327

The finding that stable differences in cognitive abilities explained most of the

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variation between individuals was also corroborated by the analyses focused on the predictability of performance. We found that predictors that captured stable individual 330 characteristics (e.g., group, time spent in research, age, rearing history) were more likely to 331 improve model fit. Aspects of everyday experience or testing arrangements that would 332 influence performance on particular time points and thus increase the proportion of 333 occasion-specific variation (e.g., life events, disturbances, participating in other tests) were 334 ranked as less important. Despite this general pattern, there was, however, variation across 335 tasks in which individual characteristics were selected to be relevant. For example, rearing 336 history turned out to be an important predictor for quantity discrimination and gaze 337 following but less so for the other three tasks (Figure 5A). Group – the overall most 338 important predictor – exerted its influence differently across tasks. Orangutans, for 339 example, outperformed the other groups in direct causal inference but were the least likely to follow gaze. Together with the finding that the random intercept term improved model fit the most across tasks, this pattern suggests that the cognitive abilities underlying performance in the different tasks respond to different – though sometimes overlapping – external conditions that together shape the individual's developmental environment.

Our results also address a very general issue. Comparative psychologists often worry 345 - or are told they should worry - that their results can be explained by mechanistically simpler associative learning processes (Hanus, 2016). Oftentimes such explanations are 347 theoretically plausible and hard to disprove empirically. The present study speaks to this 348 issue in so far as we created optimal conditions for such associative learning processes to 349 unfold. Great apes were tested by the same experimenter in the same tasks, using differential reinforcement and the same counterbalancing for hundreds of trials. The steady increase in performance – uniform over individuals – that an associative learning account would predict did not show. Instead, when we saw change over time, performance either 353 decreased (gaze following) or increased at a late point in time for only a few individuals 354 (inference by exclusion). This does not take away the theoretical possibility that 355

associative learning accounts for improved performance over time on isolated tasks; it just
makes them less useful given that their predictions do not bear out as a general pattern.

558 Conclusion

The present study put the implicit assumptions underlying much of comparative 350 research on cognitive evolution involving great apes to an empirical test. While we found 360 reassuring results in terms of group-level stability and reliability of individual differences, 361 we also pointed out the importance of explicitly questioning and testing these assumptions, 362 ideally in large-scale collaborative projects. Our results paint a picture of great ape 363 cognition in which variation between individuals is predicted and explained by stable 364 individual characteristics that respond to different – though sometimes overlapping – 365 developmental conditions. Hence, an ontogenetic perspective is not auxiliary, but fundamental to studying cognitive diversity across species. We hope these results contribute to a more solid and comprehensive understanding of the nature and origins of great ape and human cognition as well as provide useful methodological guidance for future comparative research.

371 Methods

A detailed description of the methods and results can be found in the supplementary material available online. All data and analysis scripts can be found in the associated online repository (https://github.com/ccp-eva/laac).

Participants

A total of 43 great apes participated at least once in one of the tasks. This included 8 Bonobos (3 females, age 7.30 to 39), 24 Chimpanzees (18 females, age 2.60 to 55.90), 6

Gorillas (4 females, age 2.70 to 22.60), and 5 Orangutans (4 females, age 17 to 41.20). The overall sample size at the different time points ranged from 22 to 43 for the different species.

Apes were housed at the Wolfgang Köhler Primate Research Center located in Zoo 380 Leipzig, Germany. They lived in groups, with one group per species and two chimpanzee groups (groups A and B). Studies were noninvasive and strictly adhered to the legal requirements in Germany. Animal husbandry and research complied with the European 383 Association of Zoos and Aquaria Minimum Standards for the Accommodation and Care of Animals in Zoos and Aquaria as well as the World Association of Zoos and Aquariums 385 Ethical Guidelines for the Conduct of Research on Animals by Zoos and Aquariums. 386 Participation was voluntary, all food was given in addition to the daily diet, and water was 387 available ad libitum throughout the study. The study was approved by an internal ethics 388 committee at the Max Planck Institute for Evolutionary Anthropology. 389

390 Material

Apes were tested in familiar sleeping or test rooms by a single experimenter.

Whenever possible, they were tested individually. The basic setup comprised a sliding table
positioned in front of a clear Plexiglas panel with three holes in it. The experimenter sat
on a small stool and used an occluder to cover the sliding table (see Figure 1).

395 Procedure

The tasks we selected are based on published procedures and are commonly used in the field of comparative psychology. Example videos for each task can be found in the associated online repository.

Gaze Following. The gaze following task was modeled after Bräuer et al. (2005).

The experimenter sat opposite the ape and handed over food at a constant pace. That is,
the experimenter picked up a piece of food, briefly held it out in front of her face and then

handed it over to the participant. After a predetermined (but varying) number of food
items had been handed over, the experimenter again picked up a food item, held it in front
of her face and then looked up (i.e., moving her head up - see Figure 1A). The
experimenter looked to the ceiling; no object of particular interest was placed there. After
10s, the experimenter looked down again, handed over the food and the trial ended. We
coded whether the participant looked up during the 10s interval. Apes received eight
gaze-following trials. We assume that participants look up because they assume that the
experimenter's attention is focused on a potentially noteworthy object.

Direct causal inference. The direct causal inference task was modeled after Call 410 (2004). Two identical cups with a lid were placed left and right on the table (Figure 1B). 411 The experimenter covered the table with the occluder, retrieved a piece of food, showed it 412 to the ape, and hid it in one of the cups outside the participant's view. Next, the 413 experimenter removed the occluder, picked up the baited cup and shook it three times, 414 which produced a rattling sound. Next, the cup was put back in place, the sliding table 415 pushed forwards, and the participant made a choice by pointing to one of the cups. If they 416 picked the baited cup, their choice was coded as correct, and they received the reward. If 417 they chose the empty cup, they did not. Participants received 12 trials. The location of the food was counterbalanced; six times in the right cup and six times in the left. Direct causal 419 inference trials were intermixed with inference by exclusion trials (see below). We assume that apes locate the food by reasoning that the food – a solid object – causes the rattling 421 sound and, therefore, must be in the shaken cup. 422

Inference by exclusion. Inference by exclusion trials were also modeled after Call (2004) and followed a very similar procedure compared to direct causal inference trials.

After covering the two cups with the occluder, the experimenter placed the food in one of the cups and covered both with the lid. Next, they removed the occluder, picked up the empty cup and shook it three times. In contrast to the direct causal inference trials, this did not produce any sound. The experimenter then pushed the sliding table forward and

the participant made a choice by pointing to one of the cups. Correct choice was coded
when the baited (non-shaken) cup was chosen. If correct, the food was given to the ape.
There were 12 inference by exclusion trials intermixed with direct causal inference trials.
The order was counterbalanced: six times the left cup was baited, six times the right. We
assume that apes reason that the absence of a sound suggests that the shaken cup is
empty. Because they saw a piece of food being hidden, they exclude the empty cup and
infer that the food is more likely to be in the non-shaken cup.

Quantity discrimination. For this task, we followed the general procedure of
Hanus and Call (2007). Two small plates were presented left and right on the table (see
Figure 1C). The experimenter covered the plates with the occluder and placed five small
food pieces on one plate and seven on the other. Then they pushed the sliding table
forwards, and the participant made a choice. We coded as correct when the subject chose
the plate with the larger quantity. Participants always received the food from the plate
they chose. There were 12 trials, six with the larger quantity on the right and six on the
left (order counterbalanced). We assume that apes identify the larger of the two food
amounts based on discrete quantity estimation.

This task replaced the switching task in Phase 2. The Delay of gratification. 445 procedure was adapted from Rosati et al. (2007). Two small plates, including one and two 446 pieces of pellet, were presented left and right on the table. The experimenter moved the 447 plate with the smaller reward forward, allowing the subject to choose immediately, while 448 the plate with the larger reward was moved forward after a delay of 20 seconds. We coded whether the subject selected the larger delayed reward (correct choice) or, the smaller immediate reward (incorrect choice) as well as the waiting time in cases where the immediate reward was chosen. Subjects received 12 trials, with the side on which the 452 immediate reward was presented counterbalanced. We assume that, in order to choose the 453 larger reward, apes inhibit choosing the immediate smaller reward.

Data collection

We collected data in two phases. Phase 1 started on August 1st, 2020, lasted until
March 5th, 2021, and included 14 time points. Phase 2 started on May 26th, 2021 and
lasted until December 4th, 2021 and also had 14 time points. Phase 1 also included a
strategy switching task. However, because it did not produce meaningful results, we
replaced it with the delay of gratification task. Details and results can be found in the
supplementary material available online.

One time point meant running all tasks with all participants. Within each time 462 point, the tasks were organized in two sessions (see Figure 1E). Session 1 started with two gaze following trials. Next was a pseudo-randomized mix of direct causal inference and inference by exclusion trials with 12 trials per task but no more than two trials of the same 465 task in a row. At the end of Session 1, there were again two gaze following trials. Session 2 466 also started with two gaze following trials, followed by quantity discrimination and strategy 467 switching (Phase 1) or delay of gratification (Phase 2). Finally, there were again two gaze 468 following trials. The order of tasks was the same for all subjects. So was the positioning of 460 food items within each task. The two sessions were usually spread out across two adjacent 470 days. The interval between two time points was planned to be two weeks. However, it was 471 not always possible to follow this schedule, so some intervals were longer or shorter. Figure 472 S1 in the supplementary material shows the timing and spacing of the time points. 473

In addition to the data from the cognitive tasks, we collected data for a range of
predictor variables. Predictors could either vary with the individual (stable individual
characteristics: group, age, sex, rearing history, time spent in research), vary with
individual and time point (variable individual characteristics: rank, sickness, sociality),
vary with group membership (group life: e.g., time spent outdoors, disturbances, life
events) or vary with the testing arrangements and thus with individual, time point and
session (testing arrangements: presence of observers, study participation on the same day

and since the last time point). Most predictors were collected via a diary that the animal caretakers filled out on a daily basis. Here, the caretakers were asked a range of questions about the presence of a predictor and its severity. Other predictors were based on direct observations. A detailed description of the predictors and how they were collected can be found in the supplementary material available online.

Analysis

In the following, we provide an overview of the analytical procedures we used. We encourage the reader to consult the supplementary material available online for additional details and results.

We had two overarching questions. On the one hand, we were interested in the cognitive measures and the relations between them. That is, we asked how stable performance in a given task was on a group-level, how stable individual differences were, and how reliable the measures were. We also investigated relations between the different tasks. We used *Structural Equation Modeling* (SEM) (Bollen, 1989; Hoyle, 2012) to address these questions.

Our second question was, which predictors explain variability in cognitive
performance. Here we wanted to see which of the predictors we recorded were most
important to predict performance over time. This is a variable selection problem (selecting
a subset of variables from a larger pool) and we used *Projection Predictive Inference* for
this (Piironen et al., 2020).

Structural equation modeling. We used Structural Equation Modeling (SEM)
[Solution 1989; Hoyle, 2012) to address the stability and structure of each task, as well as
[relations between tasks. SEMs allowed us to partition the variance in performance into
[solution 1989] latent traits (stable over time), latent state residuals (time varying deviations from the
[solution 1989] stable trait), and measurement error. Because the latent variables are estimated on

multiple indicators (here: test halves), they are assumed to be measurement-error-free (Geiser, 2020; Steyer et al., 1992, 2015). In the present context, one can think of a trait as a stable psychological ability (e.g., ability to make causal inferences) and state residuals as time-specific deviations from these traits due to variable psychological conditions (e.g., variations in performance due to being attentive or inattentive).

We used Bayesian estimation techniques to estimate the models. In the supplementary material available online, we report the prior settings used for estimation as well as the structural restrictions we imposed on the model parameters. We justify these settings and restrictions via simulation studies also included in the supplementary material.

In our focal Latent Trait-State (LST) model, the observed categorical variables Y_{it} for

515 test half i at time point t result from a categorization of unobserved continuous latent 516 variables Y_{it}^* which underlie the observed categorical variables (graded response model, see 517 Samejima, 1969, 1996). This continuous latent variable Y_{it}^* is then decomposed into a 518 latent trait variable T_{it} , a latent state residual variable ζ_{it} , and a measurement error 519 variable. The latent trait variables T_{it} are time-specific dispositions, that is, trait scores 520 that capture the expected value of the latent state (i.e., true score) variable for an 521 individual at time t across all possible situations the individual might experience at time t 522 (Eid, Holtmann, Santangelo, & Ebner-Priemer, 2017; Steyer et al., 2015). The state 523 residual variables ζ_{it} capture the deviation of a momentary state from the time-specific 524 disposition T_{it} . We assumed that latent traits were stable across time. In addition, we 525 assumed common latent trait and state residual variables across the two test halves, which 526 leads to the following measurement equation for parcel i at time point t:

$$Y_{it}^* = T + \zeta_t + \epsilon_{it} \tag{1}$$

Here, T is a stable (time-invariant) latent trait variable, capturing stable inter-individual differences. The state residual variable ζ_t captures time-specific deviations of the respective true score from the trait variable at time t, and thereby captures
deviations from the trait due to situation or person-situation interaction effects. ϵ_{it} denotes
a measurement error variable, with $\epsilon_{it} \sim N(0,1) \; \forall \; i,t$. This allowed us to compute the
following variance components.

Consistency: Proportion of true variance (i.e., measurement-error free variance) that is due to true inter-individual stable trait differences.

$$Con(Y_{it}^*) = \frac{Var(T)}{Var(T) + Var(\zeta_t)}$$
(2)

Occasion specificity: Proportion of true variance (i.e., measurement-error free variance) that is due to true inter-individual differences in the state residual variables (i.e., occasion-specific variation not explained by the trait).

$$OS(Y_{it}^*) = 1 - Con(Y_{it}^*) = \frac{Var(\zeta_t)}{Var(T) + Var(\zeta_t)}$$
(3)

As state residual variances $Var(\zeta_t)$ were set equal across time, $OS(Y_{it}^*)$ is constant across time (as well as across item parcels i).

To investigate associations between cognitive performance in different tasks, the
LSTMs were extended to multi-trait models. Due to the small sample size, we could not
combine all tasks in a single, structured model. Instead, we assessed relations between
tasks in pairs.

Projection predictive inference. The selection of relevant predictor variables
constitutes a variable selection problem, for which a range of different methods are
available (e.g., shrinkage priors, Van Erp, Oberski, & Mulder, 2019). We chose to use

Projection Predictive Inference because it provides an excellent trade-off between model
complexity and accuracy (Piironen et al., 2020; Piironen & Vehtari, 2017), especially when

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the goal is to identify a minimal subset of predictors that yield a good predictive model (Pavone et al., 2020).

The projection predictive inference approach can be viewed as a two-step process:

The first step consists of building the best predictive model possible, called the reference model. In the context of this work, the reference model is a Bayesian multilevel regression model (repeated measurements nested in apes, fit using the package brms, Bürkner, 2017), including all 14 predictors and a random intercept term for the individual (R notation: DV ~ predictors + (1 | subject)).

In the second step, the goal is to replace the posterior distribution of the reference model with a simpler distribution. This is achieved via a forward step-wise addition of predictors that decrease the Kullback-Leibler (KL) divergence from the reference model to the projected model.

The result of the projection is a list containing the best model for each number of predictors from which the final model is selected by inspecting the mean log-predictive density (elpd) and root-mean-squared error (rmse). The projected model with the smallest number of predictors is chosen, which shows similar predictive performance as the reference model.

We built separate reference models for each phase and task and ran them through the above-described projection predictive inference approach. The dependent variable for each task was the cognitive performance of the apes, that is, the number of correctly solved trials per time point and task. The model for the delay of gratification task was only estimated once (Phase 2).

Following step two, we performed projection predictive inference for each reference model separately, thus resulting in different rankings for the relevant predictors for each task and phase. We used the R package projpred (Piironen, Paasiniemi, Catalina, Weber, & Vehtari, 2022), which implements the aforementioned projection predictive inference technique. The predictor relevance ranking is measured by the Leave-One-Out (LOO)
cross-validated mean log-predictive density and root-mean-squared error. To find the
optimal submodel size, we inspected summaries and the plotted trajectories of the
calculated elpd and rmse.

The order of relevance for the predictors and the random intercept (together called 580 terms) is created by performing forward search. The term that decreases the KL 581 divergence between the reference model's predictions and the projection's predictions the 582 most goes into the ranking first. Forward search is then repeated N times to get a more 583 robust selection. We chose the final model by inspecting the predictive utility of each projection. To be precise, we chose the model with p terms where p depicts the number of terms at the cutoff between the term that increases the elpd and the term that does not 586 increase the elpd by any significant amount. In order to get a useful predictor ranking, we 587 manually delayed the random intercept (and random slope for time point for gaze 588 following) term to the last position in the predictor selection process. The random 589 intercept delay is needed because if the random intercept were not delayed, it would soak 590 up almost all of the variance of the dependent variable before the predictors are allowed to 591 explain some amount of the variance themselves. 592

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Competing interest

The authors declare that no competing interests exist.