- Probing the structure, stability, and predictability of great ape cognition
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- We thank Damilola Olaoba, Anna Wolff and Nico Eisenbrenner for help with the
- 9 data collection. We are very greatfult to Matthias Allritz for his helpful comments on an
- 10 earlier version of the paper.
- The authors made the following contributions. Manuel Bohn: Conceptualization,
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22 Abstract

Theories in psychology, cognitive science, anthropology, and evolutionary biology use great ape cognition as a reference point to specify the evolutionary dynamics that give rise to complex cognitive abilities and to define the nature of human cognition. This approach 25 requires a comprehensive way of describing great ape cognition to compare it to other 26 primates – including humans. Empirically, this entails that a) group-level results are 27 measured stably, b) individual differences are measured reliably, and c) cognitive performance is predictable. The study reported here puts these assumptions to a test. We repeatedly tested a large sample of great apes in five tasks covering a range of cognitive domains. Group-level performance was relatively stable, so different testing occasions licensed the same conclusions. Most of the tasks showed high re-test correlations and were thus suited to study individual differences. Individual differences in performance were explained mainly by stable differences in cognitive abilities between individuals. Furthermore, we found systematic relations between cognitive abilities. Finally, when 35 predicting cognitive performance, we found stable individual characteristics (e.g., group, 36 test experience, or age) to be more important than variables capturing transient experience 37 (e.g., life events, testing arrangements, or sociality). Taken together, this study maps out 38 the conditions under which comparative research with great apes can yield robust and 39

Keywords: cognition, evolution, comparative psychology, great apes, individual differences

Word count: X

generalizable results.

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Probing the structure, stability, and predictability of great ape cognition

Significance statement

Great ape cognition is often used as a reference point in theories about cognitive
evolution as well as human cognitive uniqueness. This study asked how stable, reliable and
predictable great ape cognitive performance is – questions that are fundamental to solid
theorizing. We followed more than 40 great apes for more than a year and repeatedly
assessed their cognitive performance in a range of domains as well as aspects of their
everyday experience. The picture that emerges is one in which great ape cognition is
structured by stable differences in cognitive abilities which are predicted by stable
individual characteristics (e.g. group, research experience, or age); transient changes in
everyday experience do influence cognitive performance but to a much lesser extent.

Introduction

In their quest to understand the evolution of cognition, anthropologists,

psychologists, and cognitive scientists face one major obstacle: cognition does not fossilize.

Instead of directly studying the cognitive abilities of, e.g., extinct early hominins, we have

to rely on backward inferences. We can study fossilized skulls and crania to approximate

brain size and structure and use this information to infer cognitive abilities (1, 2). We can

study the material culture left behind by now-extinct species and try to infer its cognitive

complexity experimentally (3–5). Yet, the archaeological record is sparse and only goes

back so far in time. Thus, the comparative method is one of the most fruitful approaches

to investigating cognitive evolution. By studying extant species of primates, we can make

backward inferences about the last common ancestor. If species A and B both show

cognitive ability X, the last common ancestor of A and B most likely also had ability X

(6–9). To make inferences about the most recent events in primate cognitive evolution, we

have to study and compare humans and non-human great apes. Such an approach has

been highly productive and provides the empirical basis for numerous theories about human cognitive evolution (10–15).

Applying the comparative method requires a comprehensive understanding of great
ape cognition. Three kinds of empirical evidence are needed to rest species comparisons of
cognition on solid grounds. First, group-level results must be stable: Inferences about the
cognitive abilities of great apes – as a group, species, or clade – must remain the same
across repeated studies. Second, measures of individual differences in cognitive abilities
should be reliable: Inferences about the cognitive abilities of any one great ape must
remain the same across repeated studies. This is a prerequisite for investigating the
relations between different cognitive abilities to map out the internal structure of great ape
cognition (16–19). Finally, variables that describe individual characteristics or aspects of
everyday experience must systematically predict inter- and intra-individual variation in
cognitive performance (20, 21).

Recently, several concerns have been voiced, questioning whether the prototypical
way of conducting comparative cognitive studies is suited to provide the empirical basis for
studying cognitive evolution (22–26). Most of this criticism revolves around issues that
result from small sample sizes and researchers' degrees of freedom in analyzing and
reporting data. An often overlooked – but crucial – additional criticism is that most
research assumes that the three requirements outlined above are met but fails to test them
empirically (27–30). The work reported here directly addresses this problem.

However, several notable exceptions undertook great effort to provide a more comprehensive picture of one or more aspects of the nature and structure of great ape cognition (17, 31–34). Herrmann and colleagues (35) tested more than one hundred great apes (chimpanzees and orangutans) and human children in a range of tasks covering numerical, spatial, and social cognition. The results indicated pronounced group-level differences between great apes and humans in the social but not the spatial or numerical

domain. Furthermore, relations between the tasks pointed to a different internal structure of cognition, with a distinct social cognition factor for humans but not great apes (36).

Völter and colleagues (37) focused on the structure of executive functions. Based on a multi-trait multi-method approach, they developed a new test battery to assess memory updating, inhibition, and attention shifting in chimpanzees and human children. Overall, they found low correlations between tasks and thus no clear support for structures put forward by theoretical models built around adult human data.

Despite their seminal contributions to the field, these studies suffer from one or more of the three shortcomings outlined above. It is unclear if the results are stable. If the same individuals were tested again, would the results license the same conclusions about absolute differences between species? Furthermore, the psychometric properties of the tasks are unknown and it is thus unclear if, for example, low correlations between tasks reflect a genuine lack of shared cognitive processes or simply measurement imprecision. Finally, which characteristics and experiences predict cognitive performance and development remains unclear.

The studies reported below seek to solidify the empirical grounds for investigating 110 great ape cognition. For one-and-a-half years, every two weeks, we administered a set of 111 five cognitive tasks (see Figure 1) to the same population of great apes (N=43). The 112 tasks spanned across cognitive domains and were based on published procedures widely used in comparative psychology. As a test of social cognition, we included a gaze following 114 task (38). To assess causal reasoning abilities, we had a direct causal inference and an 115 inference by exclusion task (39). Numerical cognition was tested using a quantity 116 discrimination task (40). Finally, as a test of executive functions, we included a delay of 117 gratification task (41). In the supplementary material, we report on an additional 118 rule-switching task that failed to produce meaningful results (42). 119

In addition to the cognitive data, we continuously collected 14 variables that capture

stable and variable aspects of our participants and their lives and used this to predict interand intra-individual variation in cognitive performance. Data collection was split into two
phases. After Phase 1 (14 data collection time points), we analyzed the data and registered
the results (https://osf.io/7qyd8). Phase 2 lasted for another 14 time points and served to
replicate and extend Phase 1. This approach allowed us to test a) how stable group-level
results are, b) how stable individual differences are, c) how individual differences are
structured and d) what predicts cognitive performance.

128 Results

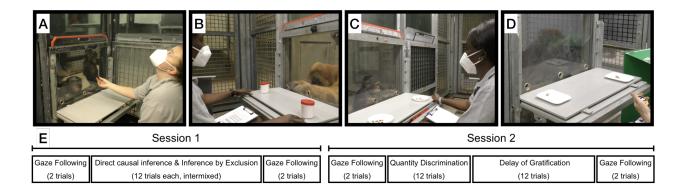


Figure 1. Setup used for the five tasks. A) Gaze following: the experimenter looked to the ceiling. We coded if the ape followed gaze. B) Direct causal inference: food was hidden in one of two cups, the baited cup was shaken (food produced a sound) and apes had to choose the shaken cup to get food. Inference by exclusion: food was hidden in one of two cups. The empty cup was shaken (no sound), so apes had to choose the non-shaken cup to get food. C) Quantity discrimination: Small pieces of food were presented on two plates (5 vs. 7 items); we coded if subjects chose the larger amount. D) Delay of gratification (only Phase 2): to receive a larger reward, the subject had to wait and forgo a smaller, immediately accessible reward. E) Order of task presentation and trial numbers.

Stability of group-level performance

Group-level performance – that is, performance averaged across all individuals from 130 all species – was largely stable or followed clear temporal patterns (see Figure 2). The 131 direct causal inference and quantity discrimination tasks were the most robust: in both 132 cases, performance was different from chance across both phases with no apparent change 133 over time. The rate of gaze following declined at the beginning of Phase 1 but then settled 134 on a low but stable level until the end of Phase 2. This pattern was expected given that 135 following the experimenter's gaze was never rewarded – neither explicitly with food nor by 136 bringing something interesting to the participant's attention. The inference by exclusion task showed an inverse pattern with group-level performance being at chance-level for most of Phase 1, followed by a small but steady increase throughout Phase 2. These temporal patterns most likely reflect training (or habituation) effects that are a consequence of 140 repeated testing. Performance in the delay of gratification task (Phase 2 only) was more 141 variable but within the same general range for the whole testing period. In sum, despite 142 these exceptions, performance was very robust in that time points generally licensed the 143 same group-level conclusions. For example, Figure 2 shows that performance in the direct 144 causal inference task was clearly above chance at all time points and consistently higher 145 compared to the inference by exclusion task. Thus, the tasks appeared well suited to study 146 group-level performance. In the supplementary material, we report additional analyses – 147 Structural Equation Models (SEM) – that corroborate this interpretation. 148

49 Reliability of individual differences

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Cognitive tasks that yield reliable group-level differences often do not assess individual differences reliably, and in fact there may be a trade-off between these two measurement goals - an observation that has been coined the reliability paradox.

Cognitive tasks that yield stable group-level results often do not assess individual

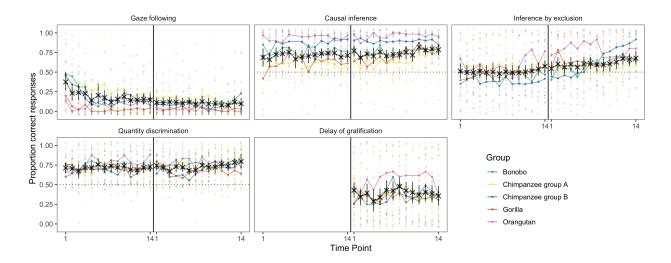


Figure 2. Results from the five cognitive tasks across time points. Black crosses show mean performance at each time point across species (with 95% CI). Colored dots show mean performance by species. Light dots show individual means per time point. Dashed lines show chance level whenever applicable. The vertical black line marks the transition between phases 1 and 2.

differences in a reliable way. In fact, there may be a trade-off between these two measurement goals - an observation that has been coined the 'reliability paradox' (43). In 155 a second step, we assessed the re-test correlations of our five tasks. For that, we correlated 156 the performance at the different time points in each task. Figure 3 visualizes these re-test 157 correlations. Correlations were generally high – some even exceptionally high for animal 158 cognition standards (30). As expected, values were higher for more proximate time points 159 (44). The quantity discrimination task had lower correlations compared to the other tasks. 160 Based on re-test correlations alone, we cannot say whether lower correlations reflect higher 161 measurement error (low reliability) or higher variability of individual differences across 162 time (low stability). We will tease these two components apart using SEM in the next 163 section on the structure of individual differences. 164

As a final note, it stands out that group-level stability does not imply individual-level stability - and vice versa. The quantity discrimination task showed robust group-level

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performance above chance but relatively poor re-test correlations. In other words, even though group-level performance was stable, the ranking of individuals varied across time.

In contrast, group-level performance in the inference by exclusion and gaze following tasks changed over time, but the ranking of individuals was relatively stable on an individual level. Nevertheless, we found that the majority of tasks were well suited for studying individual differences.

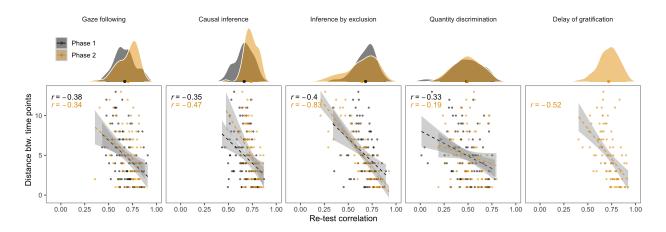


Figure 3. Top: Distribution of re-test Pearson correlation coefficients between time points for each task. Points show mean of the distribution with 95% highest density interval. Bottom: Pearson correlations between re-test correlation coefficients and temporal distance between the testing time points.

173 Structure of individual differences

Next, we investigated the structure of these individual differences. Importantly – and in contrast to earlier work – with "structure" we not exclusively mean the relations between different cognitive tasks (as in (36)). Instead, we start with a more basic question: do individual differences in a given task reflect differences in cognitive ability (e.g. ability to make causal inferences) that persists over time or rather differences in transient factors (e.g., motivation or attentiveness) that vary from time point to time point. The former would imply that individuals are ranked similarly across time points, while the latter would

predict fluctuations.

To address this question, we used structural equation modeling – in particular latent 182 state-trait models (LSTM). LSTMs partition the variance in performance into latent traits 183 (Consistency), latent state residuals (Occasion specificity), and measurement error (45–47). 184 As mentioned above, one can think of a latent trait as a stable cognitive ability (e.g., the 185 ability to make causal inferences) and latent state residuals as variables capturing the effect 186 of occasion-specific, variable psychological conditions (e.g., being more or less attentive or 187 motivated). These latent variables are measurement-error-free because they are estimated 188 by taking into account the reliability of the task. In the LSTM context, reliability is 189 estimated as split-half reliability based on repeated parallel measurements per time point. 190 We report additional models that account for the temporal structure of the data in the 191 supplementary material. 192

Individual differences were largely explained by stable differences in cognitive 193 abilities. Across tasks, more than 75% of the reliable variance (true inter-individual 194 differences) was accounted for by latent trait differences and less than 25% by 195 occasion-specific variation between individuals (Figure 4A). The high reliability estimates 196 (> .75 for most tasks; see Figure 4A) show that these latent variables accounted for most 197 of the variance in raw test scores – with the quantity discrimination task being an 198 exception (reliability = .47). Reflecting back on the re-test correlations reported above, we 199 can now say that the - relatively speaking - lower correlations between time points in the 200 quantity discrimination task indicate a higher degree of measurement error rather than 201 variable individual differences. In fact, once measurement error is accounted for, 202 consistency estimates for the quantity discrimination task were close to 1, reflecting highly 203 stable true differences between individuals. 204

Next, we compared the estimates for the two phases of data collection. We found estimates for consistency and occasion specificity to be remarkably similar for the two

phases. For inference by exclusion, we could not fit an LST model to the data from Phase 207 2 (see supplementary material for details). Instead, we divided Phase 2 into two parts 208 (time points 1-8 and 9-14) and estimated a separate trait for each part. All estimates were 209 similar for both parts (Figure 4A), and the two traits were highly correlated (r = .82). 210 Together with additional latent state models, which we report in the supplementary 211 material, this suggests that the increase in group-level performance in Phase 2 was driven 212 by a relatively sudden improvement of a few individuals, mostly from the chimpanzee B 213 group (see Figure 2). These individuals "rose through the ranks" halfway through Phase 2 214 and retained this position for the rest of the study. Some of the orangutans changed in the 215 opposite direction – though to a lesser extent. 216

Finally, we investigated the relations between latent traits. We asked whether 217 individuals with high abilities in one domain also have higher abilities in another. We fit 218 pairwise LST models that modeled the correlation between latent traits for two tasks (two 219 models for inference by exclusion in Phase 2). In Phase 1, the only correlation reliably 220 different from zero was between quantity discrimination and inference by exclusion. In 221 Phase 2, this finding was replicated, and, in addition, four more correlations turned out to 222 be substantial (see Figure 4B). One reason for this increase was the inclusion of the delay 223 of gratification task. Across phases, correlations involving the gaze following task were the 224 closest to zero, with quantity discrimination in Phase 2 being an exception. Taken 225 together, the overall pattern of results suggests substantial shared variance between tasks – 226 except for gaze following. 227

28 Predictability of individual differences

The results thus far suggest that individual differences originate from stable
differences in cognitive abilities that persist across time points. That is, individuals differ
in their ability, for example, to make causal inferences. Differences in this ability outweigh
fluctuations due to specific time points such as attentiveness or motivation. An alternative

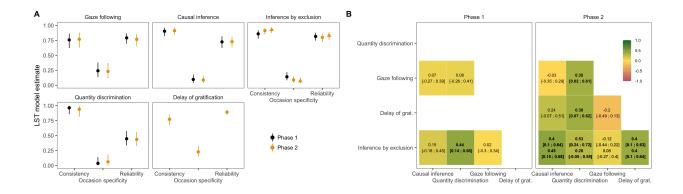


Figure 4. A. Estimates from latent state-trait models for Phase 1 and 2. Consistency: proportion of (measurement-error-free) variance in performance explained by stable trait differences. Occasion specificity: true variance explained by variable state residuals. Reliability: proportion of variance in raw scores explained by the trait and the state residual variables. For inference by exclusion: different shapes show estimates for different parts of Phase 2 (see main text for details). B. Correlations between latent traits based on pairwise LST models between tasks with 95% Credible Interval. Bold correlations are reliably different from zero. Inference by exclusion has one value per part in Phase 2. The models for quantity discrimination and direct causal inference showed a poor fit and are not reported here (see supplementary material for details).

pattern would arise when time point-specific variation in e.g., attentiveness or motivation would be responsible for differences in performance between individuals.

In the last set of analyses, we sought to explain the origins of these differences. That
is, we analyzed whether inter- and intra-individual variation in cognitive performance in
the tasks could be predicted by non-cognitive variables that captured a) stable differences
between individuals (group, age, sex, rearing history, time spent in research), b) differences
that varied within and between individuals (rank, sickness, sociality), c) differences that
varied with group membership (time spent outdoors, disturbances, life events), and d)
differences in testing arrangements (presence of observers, study participation on the same
day and since the last time point). We collected these predictor variables using a

combination of directed observations and caretaker questionnaires.

This large set of potentially relevant predictors poses a variable selection problem. 244 Thus, in our analysis, we sought to find the minimal set of predictors (main effects only) 245 that allowed us to accurately predict performance in the cognitive tasks. We chose the 246 projection predictive inference approach because it provides an excellent trade-off between 247 model complexity and accuracy (48–50). The outcome of this analysis is a ranking of the 248 different predictors in terms of how important they are to predict performance in a given 249 task. Furthermore, for each predictor, we get a qualitative assessment of whether it makes 250 a substantial contribution to predicting performance in the task or not. 251

Predictors capturing stable individual characteristics were ranked highest and 252 selected as relevant most often (Figure 5). The three highest-ranked predictors belonged to 253 this category. This result fits well with the LSTM results reported above, in which we saw 254 that most of the variance in performance could be traced back to stable trait differences 255 between individuals. Here we saw that performance was best predicted by variables that 256 reflect stable characteristics of individuals. This suggests that stable characteristics 257 partially cause selective development that leads to differences in cognitive abilities. The 258 tasks with the highest occasion-specific variance (gaze following and delay of gratification, 259 see Figure 4) were also those for which the most time point-specific predictors were 260 selected. The quantity discrimination task did not fit this pattern in Phase 2; even though the LSTM suggested that only a very small portion of the variance in performance was occasion-specific, four time-point-specific variables were selected to be relevant. 263

The most important predictor was group. Interestingly, differences between groups
were not systematic in that one group would consistently outperform the others across
tasks. Furthermore, group differences could not be collapsed into species differences as the
two chimpanzee groups varied largely independent of one another (Figure 5B). Predictors
that were selected more than once influenced performance in variable ways. The presence

of observers always had a negative effect on performance. The more time an individual had
been involved in research during their lifetime, the better performance was. On the other
hand, while the rate of gaze following increased with age in Phase 1, performance in the
inference by exclusion task decreased. Females were more likely to follow gaze than males,
but males were more likely to wait for the larger reward in the delay of gratification task.
Finally, time spent outdoors had a positive effect on gaze following but a negative effect on
direct causal inference (Figure 5B).

In sum, of the predictors we recorded, those capturing stable individual
characteristics were most predictive of cognitive performance. In most cases, these
predictors were also selected as relevant in both phases. The influence of
time-point-specific predictors was less consistent: except for the presence of an observer in
the gaze following task, none of the variable predictors was selected as relevant in both
phases. To avoid misinterpretation, this suggests that cognitive performance was influenced
by temporal variation in group life, testing arrangements, and variable characteristics;
however, the way this influence exerts itself was either less consistent or less pronounced
(or both) compared to the influence of stable characteristics.

It is important to note, however, that in terms of absolute variance explained, the
largest portion was accounted for by a random intercept term in the model (not shown in
Figure 5) that simply captured the identity of the individual (see supplementary material
for details). This suggests that idiosyncratic developmental processes and/or genetic
pre-dispositions, which operate on a much longer time scale than what we captured in the
present study, were responsible for most of the variation in cognitive performance.

291 Discussion

This study aimed to test the assumptions of stability, reliability, and predictability that underlie much of comparative research and theorizing about cognitive evolution. We

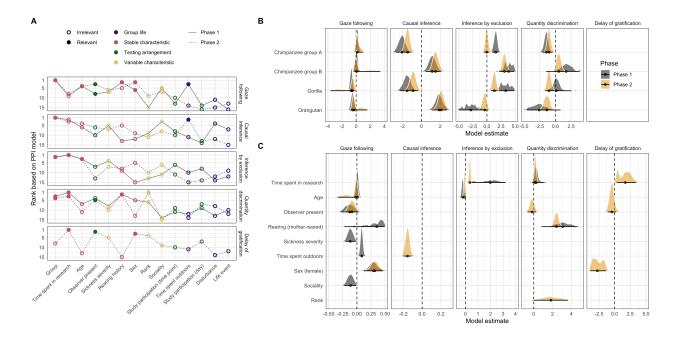


Figure 5. A. Ranking of predictors based on the projection predictive inference model for the five tasks in the two phases. Order (left to right) is based on average rank across phases. Solid points indicate predictors selected as relevant. Color of the points shows the category of the predictor. Line type denotes the phase. B. Posterior model estimates for the selected predictors for each task. Points show means with 95% Credible Interval. Color denotes phase. For categorical predictors, the estimate gives the difference compared to the reference level (Bonobo for group, no observer for observer, hand-reared for rearing, male for sex).

repeatedly tested a large sample of great apes in five tasks covering a range of different
cognitive domains. We found group-level performance to be relatively stable so that
conclusions drawn based on one testing occasion mirrored those on other occasions. Most
of the tasks measured differences between individuals in a reliable and stable way – making
them suitable to study individual differences. Using structural equation models, we found
that individual differences in performance were largely explained by traits – that is, stable
differences in cognitive abilities between individuals. Furthermore, we found systematic
relations between cognitive abilities. When predicting variation in cognitive performance,
we found stable individual characteristics (e.g., group or time spent in research) to be the

most important. Variable predictors were also found to be influential at times but less systematically.

At first glance, the results send a reassuring message: most of the tasks that we used 305 produced stable group-level results and captured individual differences in a reliable and 306 stable way. However, this did not apply to all tasks. As noted above, in the supplementary 307 material, we report on a rule-switching task (42) that produced neither stable nor reliable 308 results. The quantity discrimination task was stable on a group level but less reliable on an 300 individual level. We draw two conclusions based on this pattern. First, replicating studies 310 - even if it is with the same animals - should be an integral part of primate cognition 311 research (23, 25, 51). Second, for individual differences research, it is crucial to assess the 312 psychometric properties (reliability, stability) of the measures involved (52). If this step is 313 omitted, it is difficult to interpret studies, especially when they produce null results. It is 314 important to note that the sample size in the current study was large compared to other 315 comparative studies (median sample size = 7, see 25). With smaller sample sizes, 316 group-level and reliability estimates are more likely to be more variable and thus more 317 likely to produce false-positive or false-negative conclusions (53, 54). Small samples in 318 comparative research usually reflect resource limitations of individual labs. Pooling resources in large-scale collaborative projects like ManyPrimates (55, 56) will thus be vital to corroborate findings. Some research questions – for example, the distinction between 321 group- vs. species-level explanations of primate cognitive performance (57) – cannot even 322 be addressed with a single population of primates. 323

Given their good psychometric properties, our tasks offer insights into the structure of great ape cognition. We used structural equation modeling to partition reliable variance in performance into stable (trait) and variable (state) differences between individuals. We found traits to explain more than 75% of the reliable variance across tasks. This suggests that stable differences in cognitive abilities and not variable differences in, e.g., attention and motivation are responsible for the patterns we observed. This finding does not mean

that there is no developmental change over time. In fact, for the inference by exclusion task, we saw a relatively abrupt change in performance for some individuals, which 331 stabilized on an elevated level, suggesting sustained change in the cognitive ability the task 332 measures. With respect to structure, we found systematic relations between traits 333 estimated via LSTMs for the different tasks. Correlations tended to be higher among the 334 non-social tasks compared to when the gaze following task was involved, which could be 335 taken to hint at shared cognitive processes. However, we feel such a conclusion would be 336 premature and require additional evidence from more tasks and larger sample sizes (36). 337 Furthermore, cognitive modeling could be used to explicate the processes involved in each 338 task. Shared processes could be probed by comparing models that make different 339 assumptions about overlapping processes (58, 59). For example, a model in which direct 340 causal inference is a sub-process of inference by exclusion could be compared to a model assuming distinct reasoning processes for the two tasks.

The finding that stable differences in cognitive abilities explained most of the 343 variation between individuals was also corroborated by the analyses focused on the 344 predictability of performance. We found that predictors that captured stable individual 345 characteristics (e.g., group, time spent in research, age, rearing history) were more likely to 346 improve model fit. Aspects of everyday experience or testing arrangements that would influence performance on particular time points and thus increase the proportion of 348 occasion-specific variation (e.g., life events, disturbances, participating in other tests) were 349 ranked as less important. Despite this general pattern, there was variation across tasks in 350 which individual characteristics were selected to be relevant. For example, rearing history was an important predictor for quantity discrimination and gaze following but less so for the other three tasks (Figure 5A). Group – the overall most important predictor – exerted its influence differently across tasks. Orangutans, for example, outperformed the other 354 groups in direct causal inference but were the least likely to follow gaze. Together with the 355 finding that the random intercept term improved model fit the most across tasks, this

pattern suggests that the cognitive abilities underlying performance in the different tasks respond to different – though sometimes overlapping – external conditions that together shape the individual's developmental environment.

Our results also address a very general issue. Comparative psychologists often worry 360 - or are told they should worry - that their results can be explained by mechanistically 361 simpler associative learning processes (60). Oftentimes such explanations are theoretically plausible and hard to disprove empirically. The present study speaks to this issue in so far 363 as we created optimal conditions for such associative learning processes to unfold. Great apes were tested by the same experimenter in the same tasks, using differential reinforcement and the same counterbalancing for hundreds of trials. The steady increase in performance – uniform over individuals – that an associative learning account would 367 predict did not show. Instead, when we saw change over time, performance either 368 decreased (gaze following) or increased at a late point in time for only a few individuals 369 (inference by exclusion). This does not take away the theoretical possibility that 370 associative learning accounts for improved performance over time on isolated tasks; it just 371 makes them less useful given that their predictions do not bear out as a general pattern. 372

Conclusion Conclusion

The present study put the implicit assumptions underlying much of comparative research on cognitive evolution involving great apes to an empirical test. While we found reassuring results in terms of group-level stability and reliability of individual differences, we also pointed out the importance of explicitly questioning and testing these assumptions, ideally in large-scale collaborative projects. Our results paint a picture of great ape cognition in which variation between individuals is predicted and explained by stable individual characteristics that respond to different – though sometimes overlapping – developmental conditions. Hence, an ontogenetic perspective is not auxiliary but fundamental to studying cognitive diversity across species. We hope these results

contribute to a more solid and comprehensive understanding of the nature and origins of great ape and human cognition as well as provide useful methodological guidance for future comparative research.

386 Methods

A detailed description of the methods and results can be found in the supplementary material available online. All data and analysis scripts can be found in the associated online repository (https://github.com/ccp-eva/laac).

90 Participants

A total of 43 great apes participated at least once in one of the tasks. This included 8
Bonobos (3 females, age 7.30 to 39), 24 Chimpanzees (18 females, age 2.60 to 55.90), 6
Gorillas (4 females, age 2.70 to 22.60), and 5 Orangutans (4 females, age 17 to 41.20). The
overall sample size at the different time points ranged from 22 to 43 for the different species.

Apes were housed at the Wolfgang Köhler Primate Research Center located in Zoo 395 Leipzig, Germany. They lived in groups, with one group per species and two chimpanzee 396 groups (groups A and B). Studies were noninvasive and strictly adhered to the legal 397 requirements in Germany. Animal husbandry and research complied with the European 398 Association of Zoos and Aquaria Minimum Standards for the Accommodation and Care of Animals in Zoos and Aquaria as well as the World Association of Zoos and Aquariums Ethical Guidelines for the Conduct of Research on Animals by Zoos and Aquariums. Participation was voluntary, all food was given in addition to the daily diet, and water was 402 available ad libitum throughout the study. The study was approved by an internal ethics 403 committee at the Max Planck Institute for Evolutionary Anthropology.

405 Material

Apes were tested in familiar sleeping or test rooms by a single experimenter.

Whenever possible, they were tested individually. The basic setup comprised a sliding table
positioned in front of a clear Plexiglas panel with three holes in it. The experimenter sat
on a small stool and used an occluder to cover the sliding table (see Figure 1).

410 Procedure

The tasks we selected are based on published procedures and are commonly used in the field of comparative psychology. Example videos for each task can be found in the associated online repository.

Gaze Following. The gaze following task was modeled after (38). The 414 experimenter sat opposite the ape and handed over food at a constant pace. That is, the 415 experimenter picked up a piece of food, briefly held it out in front of her face and then handed it over to the participant. After a predetermined (but varying) number of food items had been handed over, the experimenter again picked up a food item, held it in front 418 of her face and then looked up (i.e., moving her head up - see Figure 1A). The 419 experimenter looked to the ceiling; no object of particular interest was placed there. After 420 10s, the experimenter looked down again, handed over the food and the trial ended. We 421 coded whether the participant looked up during the 10s interval. Apes received eight 422 gaze-following trials. We assume that participants look up because they assume that the 423 experimenter's attention is focused on a potentially noteworthy object. 424

Direct causal inference. The direct causal inference task was modeled after (39).

Two identical cups with a lid were placed left and right on the table (Figure 1B). The

experimenter covered the table with the occluder, retrieved a piece of food, showed it to

the ape, and hid it in one of the cups outside the participant's view. Next, the

experimenter removed the occluder, picked up the baited cup and shook it three times,

which produced a rattling sound. Next, the cup was put back in place, the sliding table
pushed forwards, and the participant made a choice by pointing to one of the cups. If they
picked the baited cup, their choice was coded as correct, and they received the reward. If
they chose the empty cup, they did not. Participants received 12 trials. The location of the
food was counterbalanced; six times in the right cup and six times in the left. Direct causal
inference trials were intermixed with inference by exclusion trials (see below). We assume
that apes locate the food by reasoning that the food – a solid object – causes the rattling
sound and, therefore, must be in the shaken cup.

Inference by exclusion. Inference by exclusion trials were also modeled after (39) 438 and followed a very similar procedure compared to direct causal inference trials. After 430 covering the two cups with the occluder, the experimenter placed the food in one of the 440 cups and covered both with the lid. Next, they removed the occluder, picked up the empty 441 cup and shook it three times. In contrast to the direct causal inference trials, this did not produce any sound. The experimenter then pushed the sliding table forward and the 443 participant made a choice by pointing to one of the cups. Correct choice was coded when the baited (non-shaken) cup was chosen. If correct, the food was given to the ape. There 445 were 12 inference by exclusion trials intermixed with direct causal inference trials. The order was counterbalanced: six times the left cup was baited, six times the right. We assume that apes reason that the absence of a sound suggests that the shaken cup is empty. Because they saw a piece of food being hidden, they exclude the empty cup and infer that the food is more likely to be in the non-shaken cup. 450

Quantity discrimination. For this task, we followed the general procedure of
(40). Two small plates were presented left and right on the table (see Figure 1C). The
experimenter covered the plates with the occluder and placed five small food pieces on one
plate and seven on the other. Then they pushed the sliding table forwards, and the
participant made a choice. We coded as correct when the subject chose the plate with the
larger quantity. Participants always received the food from the plate they chose. There

were 12 trials, six with the larger quantity on the right and six on the left (order counterbalanced). We assume that apes identify the larger of the two food amounts based on discrete quantity estimation.

Delay of gratification. This task replaced the switching task in Phase 2. The 460 procedure was adapted from (41). Two small plates, including one and two pieces of pellet, were presented left and right on the table. The experimenter moved the plate with the smaller reward forward, allowing the subject to choose immediately, while the plate with 463 the larger reward was moved forward after a delay of 20 seconds. We coded whether the subject selected the larger delayed reward (correct choice) or the smaller immediate reward 465 (incorrect choice) as well as the waiting time in cases where the immediate reward was 466 chosen. Subjects received 12 trials, with the side on which the immediate reward was 467 presented counterbalanced. We assume that, in order to choose the larger reward, apes 468 inhibit choosing the immediate smaller reward. 469

Interrater reliability. A second coder unfamiliar to the purpose of the study coded 15% of all time points (four out of 28) for all tasks. Reliability was good to excellent. Gaze following: 92% agreement ($\kappa = .64$), direct causal inference 99% agreement ($\kappa = .98$), inference by exclusion: 99% agreement ($\kappa = .99$), quantity discrimination: 99% agreement ($\kappa = .97$), delay of gratification: 98% agreement ($\kappa = .97$).

475 Data collection

We collected data in two phases. Phase 1 started on August 1st, 2020, lasted until
March 5th, 2021, and included 14 time points. Phase 2 started on May 26th, 2021, and
lasted until December 4th, 2021, and also had 14 time points. Phase 1 also included a
strategy switching task. However, because it did not produce meaningful results, we
replaced it with the delay of gratification task. Details and results can be found in the
supplementary material available online.

One time point meant running all tasks with all participants. Within each time 482 point, the tasks were organized in two sessions (see Figure 1E). Session 1 started with two 483 gaze following trials. Next was a pseudo-randomized mix of direct causal inference and 484 inference by exclusion trials with 12 trials per task but no more than two trials of the same 485 task in a row. At the end of Session 1, there were again two gaze following trials. Session 2 486 also started with two gaze following trials, followed by quantity discrimination and strategy 487 switching (Phase 1) or delay of gratification (Phase 2). Finally, there were again two gaze 488 following trials. The order of tasks was the same for all subjects. So was the positioning of 480 food items within each task. The two sessions were usually spread out across two adjacent 490 days. The interval between two time points was planned to be two weeks. However, it was 491 not always possible to follow this schedule, so some intervals were longer or shorter. Figure 492 S1 in the supplementary material shows the timing and spacing of the time points.

In addition to the data from the cognitive tasks, we collected data for a range of 494 predictor variables. Predictors could either vary with the individual (stable individual 495 characteristics: group, age, sex, rearing history, time spent in research), vary with 496 individual and time point (variable individual characteristics: rank, sickness, sociality), 497 vary with group membership (group life: e.g., time spent outdoors, disturbances, life 498 events) or vary with the testing arrangements and thus with individual, time point and 499 session (testing arrangements: presence of observers, study participation on the same day and since the last time point). Most predictors were collected via a diary that the animal 501 caretakers filled out on a daily basis. Here, the caretakers were asked a range of questions about the presence of a predictor and its severity. Other predictors were based on direct 503 observations. A detailed description of the predictors and how they were collected can be 504 found in the supplementary material available online.

$\mathbf{Analysis}$

In the following, we provide an overview of the analytical procedures we used. We encourage the reader to consult the supplementary material available online for additional details and results.

We had two overarching questions. On the one hand, we were interested in the cognitive measures and the relations between them. That is, we asked how stable performance in a given task was on a group-level, how stable individual differences were, and how reliable the measures were. We also investigated relations between the different tasks. We used *Structural Equation Modeling* (SEM) (61, 62) to address these questions.

Our second question was, which predictors explain variability in cognitive
performance. Here we wanted to see which of the predictors we recorded were most
important to predict performance over time. This is a variable selection problem (selecting
a subset of variables from a larger pool) and we used *Projection Predictive Inference* for
this (50).

Structural equation modeling. We used Structural Equation Modeling (SEM) 520 (61, 62) to address the stability and structure of each task, as well as relations between 521 tasks. SEMs allowed us to partition the variance in performance into latent traits (stable 522 over time), latent state residuals (time-varying deviations from the stable trait), and 523 measurement error. Because the latent variables are estimated on multiple indicators (here: 524 test halves), they are assumed to be measurement-error-free (45–47). In the present 525 context, one can think of a trait as a stable psychological ability (e.g., ability to make 526 causal inferences) and state residuals as time-specific deviations from these traits due to 527 variable psychological conditions (e.g., variations in performance due to being attentive or 528 inattentive).

We used Bayesian estimation techniques to estimate the models. In the supplementary material available online, we report the prior settings used for estimation as

well as the structural restrictions we imposed on the model parameters. We justify these settings and restrictions via simulation studies also included in the supplementary material.

In our focal Latent Trait-State (LST) model, the observed categorical variables Y_{it} for 534 test half i at time point t result from a categorization of unobserved continuous latent 535 variables Y_{it}^* which underlie the observed categorical variables (graded response model, see 536 63, 64). This continuous latent variable Y_{it}^* is then decomposed into a latent trait variable 537 T_{it} , a latent state residual variable ζ_{it} , and a measurement error variable. The latent trait 538 variables T_{it} are time-specific dispositions, that is, trait scores that capture the expected value of the latent state (i.e., true score) variable for an individual at time t across all possible situations the individual might experience at time t (46, 65). The state residual variables ζ_{it} capture the deviation of a momentary state from the time-specific disposition T_{it} . We assumed that latent traits were stable across time. In addition, we assumed 543 common latent trait and state residual variables across the two test halves, which leads to the following measurement equation for parcel i at time point t:

$$Y_{it}^* = T + \zeta_t + \epsilon_{it} \tag{1}$$

Here, T is a stable (time-invariant) latent trait variable, capturing stable inter-individual differences. The state residual variable ζ_t captures time-specific deviations of the respective true score from the trait variable at time t, and thereby captures deviations from the trait due to situation or person-situation interaction effects. ϵ_{it} denotes a measurement error variable, with $\epsilon_{it} \sim N(0,1) \ \forall i,t$. This allowed us to compute the following variance components.

Consistency: Proportion of true variance (i.e., measurement-error-free variance) that is due to true inter-individual stable trait differences.

$$Con(Y_{it}^*) = \frac{Var(T)}{Var(T) + Var(\zeta_t)}$$
(2)

Occasion specificity: Proportion of true variance (i.e., measurement-error-free variance) that is due to true inter-individual differences in the state residual variables (i.e., occasion-specific variation not explained by the trait).

$$OS(Y_{it}^*) = 1 - Con(Y_{it}^*) = \frac{Var(\zeta_t)}{Var(T) + Var(\zeta_t)}$$
(3)

As state residual variances $Var(\zeta_t)$ were set equal across time, $OS(Y_{it}^*)$ is constant across time (as well as across item parcels i).

To investigate associations between cognitive performance in different tasks, the
LSTMs were extended to multi-trait models. Due to the small sample size, we could not
combine all tasks in a single, structured model. Instead, we assessed relations between
tasks in pairs.

Projection predictive inference. The selection of relevant predictor variables
constitutes a variable selection problem, for which a range of different methods are
available (e.g., shrinkage priors, 66). We chose to use *Projection Predictive Inference*because it provides an excellent trade-off between model complexity and accuracy (48, 50),
especially when the goal is to identify a minimal subset of predictors that yield a good
predictive model (49).

The projection predictive inference approach can be viewed as a two-step process:

The first step consists of building the best predictive model possible, called the reference

model. In the context of this work, the reference model is a Bayesian multilevel regression

model (repeated measurements nested in apes, fit using the package brms, 67), including

all 14 predictors and a random intercept term for the individual (R notation: DV ~

predictors + (1 | subject)). Note that this reference model only included main effects

and no interactions between predictors. Including interactions would have increased the

number of predictors to consider exponentially.

In the second step, the goal is to replace the posterior distribution of the reference

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model with a simpler distribution. This is achieved via a forward step-wise addition of predictors that decrease the Kullback-Leibler (KL) divergence from the reference model to the projected model.

The result of the projection is a list containing the best model for each number of predictors from which the final model is selected by inspecting the mean log-predictive density (elpd) and root-mean-squared error (rmse). The projected model with the smallest number of predictors is chosen, which shows similar predictive performance as the reference model.

We built separate reference models for each phase and task and ran them through the
above-described projection predictive inference approach. The dependent variable for each
task was the cognitive performance of the apes, that is, the number of correctly solved
trials per time point and task. The model for the delay of gratification task was only
estimated once (Phase 2).

Following step two, we performed projection predictive inference for each reference model separately, thus resulting in different rankings for the relevant predictors for each task and phase. We used the R package projpred (68), which implements the aforementioned projection predictive inference technique. The predictor relevance ranking is measured by the Leave-One-Out (LOO) cross-validated mean log-predictive density and root-mean-squared error. To find the optimal submodel size, we inspected summaries and the plotted trajectories of the calculated elpd and rmse.

The order of relevance for the predictors and the random intercept (together called terms) is created by performing forward search. The term that decreases the KL divergence between the reference model's predictions and the projection's predictions the most goes into the ranking first. Forward search is then repeated N times to get a more robust selection. We chose the final model by inspecting the predictive utility of each projection. To be precise, we chose the model with p terms where p depicts the number of

terms at the cutoff between the term that increases the elpd and the term that does not increase the elpd by any significant amount. In order to get a useful predictor ranking, we manually delayed the random intercept (and random slope for time point for gaze following) term to the last position in the predictor selection process. The random intercept delay is needed because if the random intercept were not delayed, it would soak up almost all of the variance of the dependent variable before the predictors are allowed to explain some amount of the variance themselves.

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Competing interest

The authors declare that no competing interests exist.