

The acceptability of two reflexives in one clause: identity, blocking and dialectal variations

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## Methods

Mandarin has two kinds of reflexives: the simple reflexive *ziji* ‘self’ and the complex reflexive *ta-ziji* ‘him-self.’ When in a subordinate clause, both *ziji* and *ta-ziji* can refer to the subject in the main clause. For example, in the sentence ‘*John* thinks that *ziji/ta-ziji* is clever,’ both *ziji* and *ta-ziji* are able to refer to the matrix subject *John*. This paper examines the case where two reflexives appears in subordinate clause and explores the factors affecting the acceptability of the sentence. In the sentence ‘*John* thinks that REF1 cheats REF2.’ REF1 and REF2 can be occupied by either *ziji* or *ta-ziji*, thus four possibilities are generated: *ziji-ziji*, *ta-ziji-ta-ziji*, *ziji-ta-ziji* and *ta-ziji-ziji*. On top of these four cases, three factors of theoretical interests are considered in this study: (i) whether or not two reflexives are identical; (ii) whether or not a less specific reflexive precedes a more-specific reflexive (*ziji-taziji* vs the other three cases; I called the cases of a less specific reflexive preceding a more-specific reflexive as blocking hereafter); (iii) whether or not the speaker is a northern Chinese speaker. This is a hypothetical project and the data was stimulated by the package faux.

## Participant

This study recruited a total of 100 participants, among which 50 participant are northern Mandarin speakers and 50 participants are southern Mandarin spekaers (non-northern Mandarin speakers). Their northern/southern identities are defined in term of the dialects they speak. Participants were screened such that their age were greater than 18 years old.

## Procedure

Participants completed the tests individually and finished the following tasks in order: a consent form (5 mins), a language background questionnaire (5 mins), an acceptability rating task (25 mins).

## Language background questionnaire

It was administered in the participants' L1 and contained questions about the dialect(s) they speak, the age of acquisition of Mandarin, knowledge of other languages, and language experience and use.

## Materials

This study tested four sentences in total. These four sentences is framed as *Zhangsan* thinks that REF1 cheats REF2. These four sentences for test instantiate the four possibilities of reflexive combinations: *ziji-ziji*, *ta-ziji-ta-ziji*, *ziji-ta-ziji* and *ta-ziji-ziji*. For the identity parameter, *ziji-ziji*, *ta-ziji-ta-ziji* are labelled as yes and *ziji-ta-ziji* and *ta-ziji-ziji* are labelled as no. For the blocking parameter, *ziji-ziji*, *ta-ziji-ta-ziji* and *ta-ziji-ziji* are labelled as yes while *ziji-ta-ziji* is labelled as no.

## The acceptability rating task

This experiment was an acceptability rating task administered online using XX, a data collecting website. Sentence items were presented one at a time which participants rated on a scale from 1 (very bad) to 7 (very good) intuitively as a formal measure of linguistic acceptability. The Likert scales have been widely used in psychological research (Likert 1932; Hartley 2014) as well as judgment replication studies. Participants were required to judge the acceptability of 20 sentences: 8 practice sentences and 12 non-practice sentences (8 fillers, 4 experimental). A one-minute break was placed after the first ten

sentences are rated. The practice sentences served to familiarize subjects with the task and 7-point rating system. The order of items presented in the experiment was randomized.

## Results

### Data summary

We obtained 400 observations in total from 100 participants and each participant rated on each of the four test sentences. The sentence of four combinations *ziji-ta-ziji*, *ta-ziji-ziji*, *ziji-ziji* and *ta-ziji-ta-ziji* were marked as “s1,” “s2,” “s3” and “s4” respectively in the raw data and their identity and blocking properties were added to the data frame after the experiment (a part of data cleaning). The tidy dataframe is shown below. The participant from S001 to S050 are northern Chinese speakers and the participant from S051-S100 are southern Chinese speakers.

Table 1

*Table 1*

id	dia	sentence	rating	Blocking	Identity
S001	nor	s1	1	yes	no
S001	nor	s2	1	no	no
S001	nor	s3	4	no	yes
S001	nor	s4	5	no	yes
S002	nor	s1	2	yes	no
S002	nor	s2	3	no	no

### Descriptive statistics

The descriptive statistics of acceptability ratings (mean, standard deviation(SD) and the number of observations) for the four sentences are shown in the table below. The

acceptability ratings on blocking, Identity and dialectal background are presented in Fig.1, Fig.2 and Fig.3 respectively.

Blocking	mean	SD	N	Identity	mean	SD	N
no	4.693	2.033	300	no	3.66	2.151	200
yes	3.38	2.206	100	yes	5.07	1.911	200

dia	mean	SD	N
nor	2.575	1.328	200
sou	6.155	1.037	200

The overall pattern suggests that the sentences without blocking (mean = 4.69; SD = 2.03) have higher scores than the sentences with blocking (mean = 3.38; SD = 2.21); the sentences with identical reflexives (mean = 5.07; SD = 1.91) have higher scores than the sentences with different reflexives (mean = 3.66; SD = 2.15); the acceptability rating of the sentences in question is lower for northern Mandarin speakers (mean = 2.58; SD = 1.33) than southern Mandarin speakers (mean = 6.16; SD = 1.04).

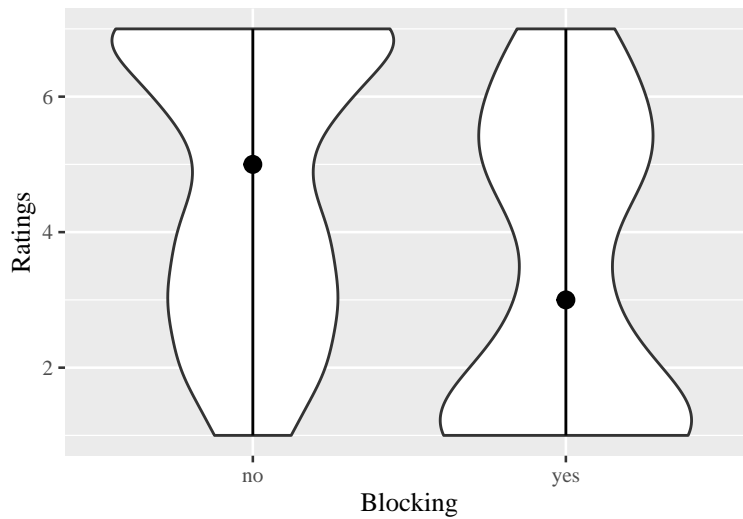


Figure 1. The ratings of blocking and non-blocking sentences

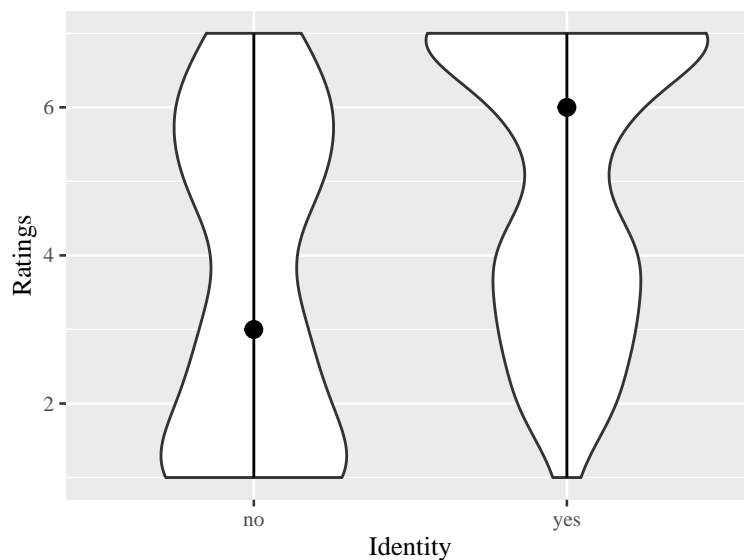


Figure 2. The ratings of identical and non-identical sentences

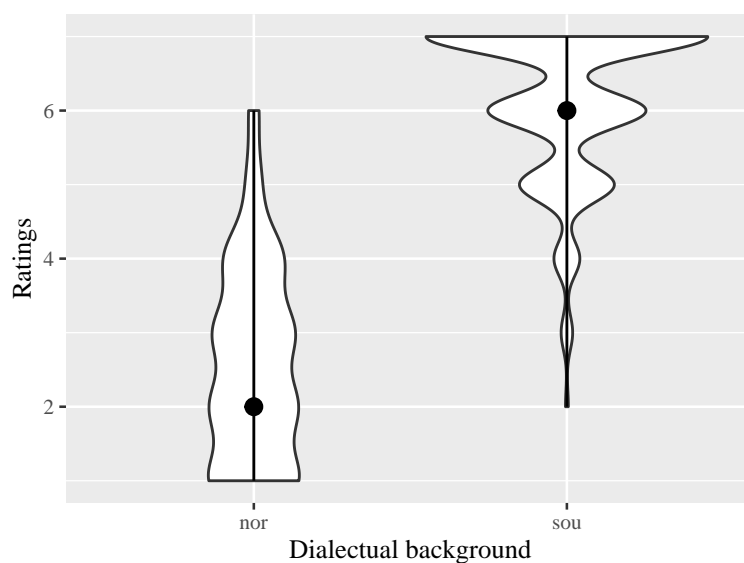


Figure 3. The ratings from northern and southern participants

The ratings by individuals are presented in Fig 4. The participants S001-S050, who are northern Mandarin speakers (the left side of the table) have lower ratings on every sentence with two reflexives than the participants S051-S100, who are southern Mandarin speakers (the right side of the table).

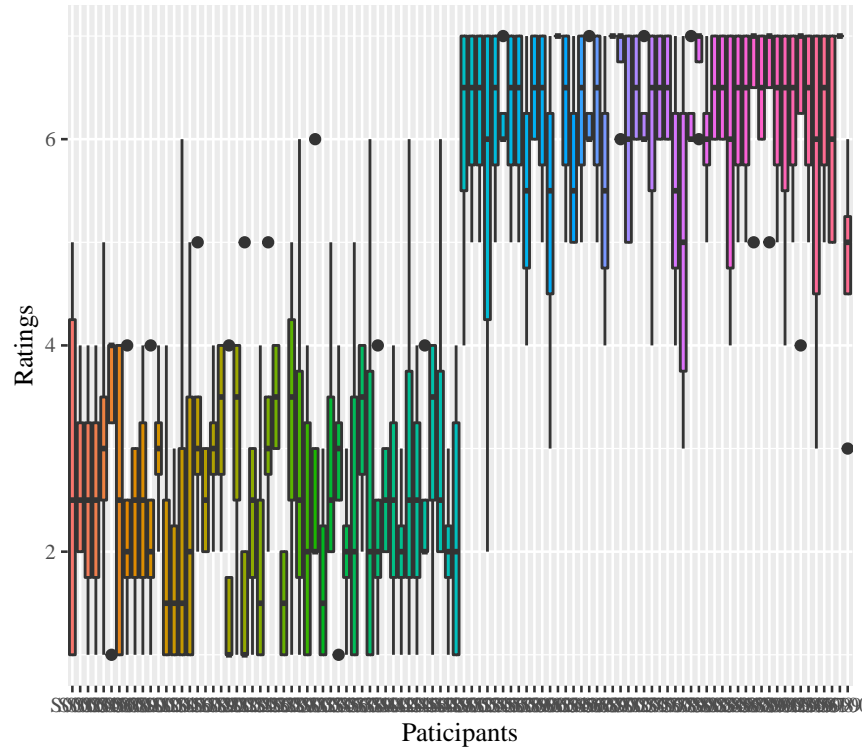


Figure 4. Individual Ratings from northern and northern participants

### Cumulative link mixed model

Due to the ordinal nature of the outcome variable, this study used cumulative link mixed model (CLMM) to analyze the rating score data, and experiment-wise alpha was set at 0.05. The categorical fixed effect group was dummy coded with no (for Identity), yes (for Identity) and northern speaker (for dialectual background) set as the baseline. The random effects structure only included by-subject random intercepts because the predictors are independent from each other. A total of five models are fitted : fm1 (only including intercept), fm2 (including the predictor Blocking), fm3 (including the predictor Blocking and Identity), fm4 (including the predictor Blocking, Identity and dialectual background) and fm5 (including the predictor Blocking, Identity and dialectual background as fixed effects and the random effects of subject). The comparison of these five models are given below. We are not confident enough to conclude that the random effect of participants

does not show a main effect in this study ( $p = 0.2573 > 0.05$ ).

	no.par	AIC	logLik	LR.stat	df	Pr(>Chisq)
fm1	6	1535.0751	-761.5375	NA	NA	NA
fm2	7	1505.3909	-745.6955	31.684165	1	0.0000000
fm3	8	1485.6232	-734.8116	21.767694	1	0.0000031
fm4	9	963.4384	-472.7192	524.184788	1	0.0000000
fm5	10	964.1550	-472.0775	1.283402	1	0.2572674

As a result, the model containing Blocking, Identity and dialectal background (fm4) had the lowest AIC value (1485.6232) and thus provided the best fit of the data as shown in the table below. Blocking ( $z = -4.182$ ,  $p < 0.001$ ), Identity ( $z = 8.951$ ,  $p < 0.001$ ) and dialectal background ( $z = 16.760$ ,  $p < 0.001$ ) all have main effects on the final rating scores.

term	estimate	std.error	statistic	p.value	coef.type
1 2	-0.6452658	0.2391530	-2.698129	0.0069730	intercept
2 3	1.0018462	0.2353392	4.257032	0.0000207	intercept
3 4	2.4709164	0.2670916	9.251195	0.0000000	intercept
4 5	3.9867945	0.3269683	12.193213	0.0000000	intercept
5 6	5.4770504	0.3885505	14.096111	0.0000000	intercept
6 7	7.3708879	0.4547319	16.209304	0.0000000	intercept
Blockingyes	-1.1377389	0.2720872	-4.181523	0.0000290	location
Identityyes	2.2828352	0.2550504	8.950525	0.0000000	location
diasou	6.3673600	0.3799175	16.759849	0.0000000	location



### References

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- R Core Team. (2021). *R: A language and environment for statistical computing*. Vienna, Austria: R Foundation for Statistical Computing. Retrieved from <https://www.R-project.org/>