# Relational Algebra

Computer Science Department Columbia University

# Relational Query Languages

- Query languages: Allow manipulation and retrieval of data from a database.
- Relational model supports simple, powerful QLs:
  - Strong formal foundation based on logic.
  - Allows for much optimization.
- Query Languages != programming languages!
  - QLs not expected to be "Turing complete".
  - QLs not intended to be used for complex calculations.
  - QLs support easy, efficient access to large data sets.

# Formal Relational Query Languages

- ❖ Two mathematical Query Languages form the basis for "real" languages (e.g. SQL), and for implementation:
  - <u>Relational Algebra</u>: More operational, very useful for representing execution plans.
  - <u>Relational Calculus</u>: Lets users describe what they want, rather than how to compute it. (Non-operational, <u>declarative</u>.)

#### Preliminaries

- \* A query is applied to *relation instances*, and the result of a query is also a relation instance.
  - *Schemas* of input relations for a query are fixed (but query will run regardless of instance!)
  - The schema for the *result* of a given query is also fixed! Determined by definition of query language constructs.
- \* Positional vs. named-field notation:
  - Positional notation easier for formal definitions, named-field notation more readable.
  - Both used in SQL

# Relational Algebra

- Basic operations:
  - Selection ( $\sigma$ ) Selects a subset of rows from relation.
  - <u>Projection</u> ( $\pi$ ) Deletes unwanted columns from relation.
  - $\underline{Cross-product}$  ( $\times$ ) Allows us to combine two relations.
  - <u>Set-difference</u> (—) Tuples in reln. 1, but not in reln. 2.
  - Union ( $\cup$ ) Tuples in reln. 1 and in reln. 2.
- \* Additional operations:
  - Intersection, *join*, division, renaming: Not essential, but (very!) useful.
- Since each operation returns a relation, operations can be composed! (Algebra is "closed".)

# Example Instances

 R1
 sid
 bid
 day

 22
 101
 10/10/96

 58
 103
 11/12/96

- "Sailors" and "Reserves" relations for our examples.
- We'll use positional or named field notation. Names of fields in results are taken from field names in query input relations.

**B1** 

| <u>bid</u> | bname     | color |
|------------|-----------|-------|
| 101        | interlake | blue  |
| 102        | interlake | red   |
| 103        | clipper   | green |
| 104        | marine    | red   |

S2

| sid | sname  | rating | age  |
|-----|--------|--------|------|
| 22  | dustin | 7      | 45.0 |
| 31  | lubber | 8      | 55.5 |
| 58  | rusty  | 10     | 35.0 |

| sid | sname  | rating | age  |
|-----|--------|--------|------|
| 28  | yuppy  | 9      | 35.0 |
| 31  | lubber | 8      | 55.5 |
| 44  | guppy  | 5      | 35.0 |
| 58  | rusty  | 10     | 35.0 |

# Projection

- Deletes attributes that are not in projection list.
- \* Schema of result contains exactly the fields in the projection list, with the same names that they had in the (only) input relation.
- Projection operator has to eliminate duplicates! (Why??)
  - Note: real systems typically don't do duplicate elimination unless the user explicitly asks for it. (Why not?)

| sname  | rating |
|--------|--------|
| yuppy  | 9      |
| lubber | 8      |
| guppy  | 5      |
| rusty  | 10     |

 $\pi_{sname,rating}(S2)$ 

age 35.0 55.5

 $\pi_{age}(S2)$ 

#### Selection

- Selects rows that satisfy selection condition.
- No duplicates in result! (Why?)
- \* Schema of result identical to schema of (only) input relation.
- \* Result relation can be the *input* for another relational algebra operation! (Operator composition.)

| sid | sname | rating | age  |
|-----|-------|--------|------|
| 28  | yuppy | 9      | 35.0 |
| 58  | rusty | 10     | 35.0 |

$$\sigma_{rating>8}(S2)$$

| sname | rating |
|-------|--------|
| yuppy | 9      |
| rusty | 10     |

$$\pi_{sname,rating}(\sigma_{rating} > 8^{(S2)})$$

# Union, Intersection, Set-Difference

- \* All of these operations take two input relations, which must be *union-compatible*:
  - Same number of fields.
  - Corresponding' fields have the same type.
- What is the *schema* of result?

| sid | sname  | rating | age  |
|-----|--------|--------|------|
| 22  | dustin | 7      | 45.0 |

$$S1-S2$$

| sid | sname  | rating | age  |
|-----|--------|--------|------|
| 22  | dustin | 7      | 45.0 |
| 31  | lubber | 8      | 55.5 |
| 58  | rusty  | 10     | 35.0 |
| 44  | guppy  | 5      | 35.0 |
| 28  | yuppy  | 9      | 35.0 |

$$S1 \cup S2$$

| sid | sname  | rating | age  |
|-----|--------|--------|------|
| 31  | lubber | 8      | 55.5 |
| 58  | rusty  | 10     | 35.0 |

$$S1 \cap S2$$

#### Cross-Product S1×R1

- ❖ Each row of S1 is paired with each row of R1.
- \* Result schema has one field per field of S1 and R1, with field names `inherited' if possible.
  - Conflict: Both S1 and R1 have a field called sid.

| (sid) | sname  | rating | age  | (sid) | bid | day      |
|-------|--------|--------|------|-------|-----|----------|
| 22    | dustin | 7      | 45.0 | 22    | 101 | 10/10/96 |
| 22    | dustin | 7      | 45.0 | 58    | 103 | 11/12/96 |
| 31    | lubber | 8      | 55.5 | 22    | 101 | 10/10/96 |
| 31    | lubber | 8      | 55.5 | 58    | 103 | 11/12/96 |
| 58    | rusty  | 10     | 35.0 | 22    | 101 | 10/10/96 |
| 58    | rusty  | 10     | 35.0 | 58    | 103 | 11/12/96 |

■ Renaming operator:  $\rho$  ( $C(1 \rightarrow sid1, 5 \rightarrow sid2)$ ,  $S1 \times R1$ )

## Joins

\* Condition Join:  $R \bowtie_{c} S = \sigma_{c} (R \times S)$ 

| (sid) | sname  | rating | age  | (sid) | bid | day      |
|-------|--------|--------|------|-------|-----|----------|
| 22    | dustin | 7      | 45.0 | 58    | 103 | 11/12/96 |
| 31    | lubber | 8      | 55.5 | 58    | 103 | 11/12/96 |

$$S1 \bowtie_{S1.sid} < R1.sid$$
  $R1$ 

- \* Result schema same as that of cross-product.
- ❖ Fewer tuples than cross-product, might be able to compute more efficiently

# Equi-Join and Natural Join

\* <u>Equi-Join</u>: A special case of condition join where the condition *c* contains only *equalities*.

| sid | sname  | rating | age  | bid | day      |
|-----|--------|--------|------|-----|----------|
| 22  | dustin | 7      | 45.0 | 101 | 10/10/96 |
| 58  | rusty  | 10     | 35.0 | 103 | 11/12/96 |

$$S1 \bowtie_{sid} R1$$

- \* Result schema similar to cross-product, but only one copy of fields for which equality is specified.
- \* *Natural Join*: Equijoin on *all* common fields.

#### Division

Not supported as a primitive operator, but useful for expressing queries like:

Find sailors who have reserved <u>all</u> boats.

- ❖ Let *A* have 2 fields, *x* and *y*; *B* have only field *y*:
  - $A/B = \{\langle x \rangle | \exists \langle x, y \rangle \in A \ \forall \langle y \rangle \in B\}$
  - i.e., *A/B* contains all *x* tuples (sailors) such that for *every y* tuple (boat) in *B*, there is an *xy* tuple in *A*.
  - *Or*: If the set of *y* values (boats) associated with an *x* value (sailor) in *A* contains all *y* values in *B*, the *x* value is in *A/B*.
- \* In general, x and y can be any lists of fields; y is the list of fields in B, and  $x \cup y$  is the list of fields of A.

# Examples of Division A/B

| sno                  | pno            | pno  | pno  | pno  |
|----------------------|----------------|------|------|------|
| s1                   | p1             | p2   | p2   | p1   |
| s1                   | p2             | B1   | p4   | p2   |
| s1                   | p3<br>p4<br>p1 | D1   | B2   | p4   |
| s1                   | p4             |      | DZ   | В3   |
| s2                   | p1             | sno  |      | DS   |
| s2                   | p2             | s1   |      |      |
| s2<br>s2<br>s3<br>s4 | p2             | s2   | sno  |      |
|                      | p2<br>p2       | s3   | s1   | sno  |
| s4                   | p4             | s4   | s4   | s1   |
|                      | $\overline{A}$ | A/B1 | A/B2 | A/B3 |

# Expressing A/B Using Basic Operators

- Division is not essential op; just a useful shorthand.
  - (Also true of joins, but joins are so common that systems implement joins specially.)
- ❖ *Idea*: For *A/B*, compute all *x* values that are not `disqualified' by some *y* value in *B*.
  - *x* value is *disqualified* if by attaching *y* value from *B*, we obtain an *xy* tuple that is not in *A*.

Disqualified *x* values: 
$$\pi_{\chi}((\pi_{\chi}(A) \times B) - A)$$

A/B: 
$$\pi_{\chi}(A)$$
 – all disqualified tuples

### Find names of sailors who've reserved boat #103

\* Solution 1: 
$$\pi_{sname}((\sigma_{bid=103} \text{Reserves}) \bowtie Sailors)$$

\* Solution 2: 
$$\rho$$
 (Temp1,  $\sigma_{bid=103}$  Reserves)

$$\rho$$
 (Temp2, Temp1  $\bowtie$  Sailors)

$$\pi_{sname}$$
 (Temp2)

\* Solution 3: 
$$\pi_{sname}(\sigma_{bid=103}(\text{Reserves} \bowtie Sailors))$$

### Find names of sailors who've reserved a red boat

Information about boat color only available in Boats; so need an extra join:

$$\pi_{sname}((\sigma_{color='red'}, Boats) \bowtie Reserves \bowtie Sailors)$$

Another solution:

$$\pi_{sname}(\pi_{sid}((\pi_{bid}\sigma_{color='red}, Boats) \bowtie Res) \bowtie Sailors)$$

A query optimizer can find this, given the first solution!

### Find sailors who've reserved a red or a green boat

❖ Identify all red or green boats, then find sailors who've reserved one of these boats:

$$\rho \ (\textit{Tempboats}, (\sigma_{color = 'red' \lor color = 'green'}, \textit{Boats}))$$

 $\pi_{sname}$  (Temphoats  $\bowtie$  Reserves  $\bowtie$  Sailors)

- Can also define Tempboats using union! (How?)
- ❖ What happens if ∨ is replaced by ∧ in this query?

Find the names of sailors who've reserved a red <u>and</u> a green boat

\* Previous approach won't work! Must identify sailors who've reserved red boats, sailors who've reserved green boats, then find the intersection (note that *sid* is a key for Sailors):

$$\rho \; (Tempred, \, \pi_{sid}((\sigma_{color='red'} \; Boats) \bowtie \, Reserves))$$

$$\rho$$
 (Tempgreen,  $\pi_{sid}((\sigma_{color=green}, Boats)) \bowtie Reserves))$ 

$$\pi_{sname}((Tempred \cap Tempgreen) \bowtie Sailors)$$

### Find the names of sailors who've reserved all boats

Uses division; schemas of the input relations to / must be carefully chosen:

$$\rho$$
 (Tempsids, ( $\pi$  sid,bid Reserves) / ( $\pi$  bid Boats))
$$\pi$$
 sname (Tempsids  $\bowtie$  Sailors)

\* To find sailors who've reserved all 'Interlake' boats:

.... 
$$/\pi_{bid}(\sigma_{bname='Interlake'}Boats)$$

# Summary

- The relational model has rigorously defined query languages that are simple and powerful.
- \* Relational algebra is more operational; useful as internal representation for query evaluation plans.
- ❖ Several ways of expressing a given query; a query optimizer should choose the most efficient version.