

# Topological Systems: Worksheet 1

## Square lattice in a magnetic field

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Consider the Hamiltonian of electrons hopping on a square lattice with a single orbital per site is given by,

$$\hat{H} = \sum_{m,n} t_x c_{m+1,n}^\dagger c_{m,n} + t_y c_{m,n+1}^\dagger c_{m,n} + h.c., \quad (1)$$

where  $m$  and  $n$  label the sites and  $t_x$  and  $t_y$  are hopping amplitudes in the  $x$  and  $y$  direction, as illustrated in Fig. 1 (a). The Hamiltonian (1) can be diagonalized by a Fourier transform, giving a single energy band with  $E(k_x, k_y) = 2t_x \cos k_x a + 2t_y \cos k_y a$  where  $a$  is the lattice constant. To introduce a magnetic field in the lattice model, we perform the Peierls substitution

$$t c_{\mathbf{r}'}^\dagger c_{\mathbf{r}} \rightarrow t \exp \left( -\frac{2\pi i}{\phi_0} \int_{L(\mathbf{r}, \mathbf{r}')} d\mathbf{l} \cdot \mathbf{A} \right) c_{\mathbf{r}'}^\dagger c_{\mathbf{r}},$$

where the path  $L(\mathbf{r}, \mathbf{r}')$  goes along a straight line from the site at  $\mathbf{r}$  to the site at  $\mathbf{r}'$ , with  $\phi_0 = h/e$  the flux quantum and where  $\mathbf{A}$  is the vector potential with  $\mathbf{B} = \nabla \times \mathbf{A}$ .

- (1) We now consider a magnetic field perpendicular to the  $xy$ -plane of the square lattice. Use the Landau gauge  $\mathbf{A} = -By\mathbf{e}_x$  and calculate the Peierls phase for the square lattice along the  $x$  and  $y$  direction. How are the hopping amplitudes  $t_x$  and  $t_y$  affected by the magnetic field?
- (2) Write down the Hamiltonian for the gauge we have chosen. Is the Hamiltonian still periodic in  $x$  and  $y$ ?
- (3) What is the condition on the flux through a square plaquette  $\phi = Ba^2$  such that the Hamiltonian becomes periodic again. Such a flux, for which the periodicity is restored, is called a commensurate flux. How does this affect the unit cell?
- (4) Perform a Fourier transform on the Hamiltonian

$$c_{m,j,\alpha} = \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} e^{i(k_x m + k_y j q)a} c_{\mathbf{k},\alpha},$$

where  $j$  and  $n$  label the magnetic unit cells with  $n = j + \alpha$ . Here,  $\alpha$  labels the sites in the magnetic unit cell and  $q > 0$  is an integer. How is  $q$  connected to the magnetic flux  $\phi$  and what is the range of unique values of  $k_x$  and  $k_y$ , i.e. what constitutes the magnetic Brillouin zone? Hint: first perform the Fourier transform along the  $x$  direction.

- (5) Show that the Hamiltonian can be written as

$$\hat{H} = \sum_{\mathbf{k}} \sum_{\alpha\alpha'} h_{\alpha\alpha'}(\mathbf{k}) c_{\mathbf{k}\alpha}^\dagger c_{\mathbf{k}\alpha'},$$

where  $h_{\alpha\alpha'}(\mathbf{k})$  is the magnetic Bloch Hamiltonian. Find the explicit form of the magnetic Bloch Hamiltonian for  $q = 2$ .

- (6) How many energy bands are there for a given commensurate flux? What charge density can each magnetic band hold?

- (7) The support of the magnetic band structure, i.e. allowed values of  $(E, \phi/\phi_0)$ , gives rise to a fractal pattern called the Hofstadter butterfly. (optional) Numerically calculate the Hofstadter butterfly of the square lattice for  $\mathbf{k} = 0$  and several values of  $t_x/t_y$ .
- (8) Consider the case  $q = 2$  and calculate the magnetic bands. Show that the system is gapless and has Dirac cones near  $k_x a = \pm\pi/2$  and  $k_y a = \pi/2$ .

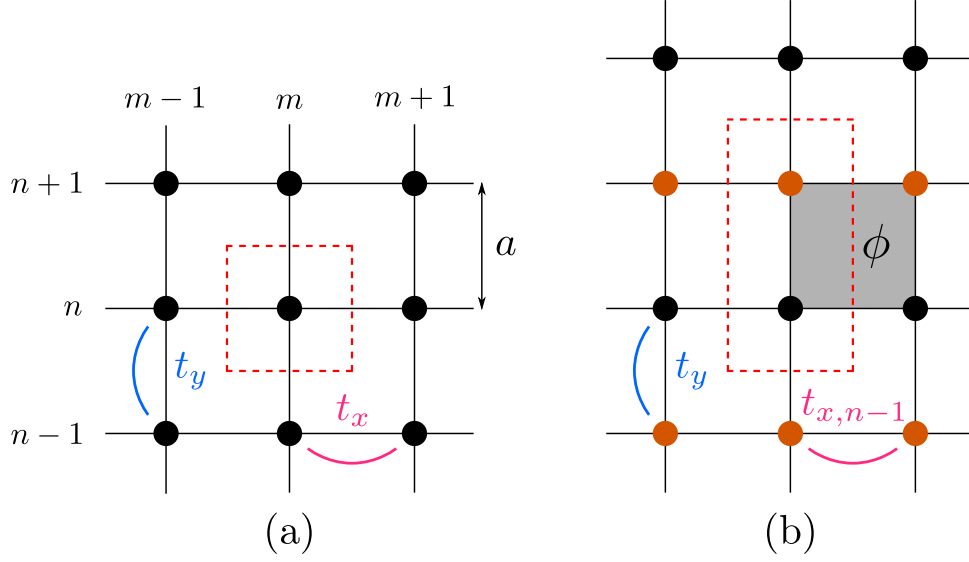


Figure 1: (a) Square lattice for zero magnetic field where the unit cell is denoted by the dashed red lines. (b) Square lattice for magnetic flux  $\phi/\phi_0 = l + 1/2$  ( $l \in \mathbb{Z}$ ) per square plaquette.