Mathematical Physics

理论格物论第七卷

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山无数, 乱红如雨, 不记来时路。

———相对论吧纪念墙

Preface

One sometimes hears from not only professors but also students their attitudes towards the relation between mathematics and physics: the greater the mathematical care used to formulate a concept, the less the physical insight to be gained from that formulation. It is not difficult to imagine how such a viewpoint could come to be popular. It is often the case that the essential physical ideas of a discussion are smothered by mathematics through excessive definitions, concern over irrelevant generality, etc. Nonetheless, one can make a case that mathematics as mathematics, if used thoughtfully, is almost always useful—and ocassionally essential—to progress in theoretical physics.

The purpose of this book is to introduce the language of category theory which grasps and concentrates the common structures hidden behind and shared by all different brunches of mathematics and makes definitions of abstract concepts natural and clear for physicists to comprehend and then never be a shrinking violet when faced with subtle mathematical problems.

It is predictable that the widespread biased common view mentioned above would gradually disapear after more and more physicists personally realized the unity and convenience of mathematics used in theoretical physics brought by the "abstract nonsense": category theory. And I am very glad to see it.

> 胡啸东 中国科学技术大学 本科三年级下 二〇一七年二月廿六

Contents

1	Cat	segory and Functors	5		
	1.1	Category	5		
	1.2	Product and Coproduct	6		
	1.3	Functor	8		
	1.4	Natural Transformation	10		
	1.5	Adjoint Functors	13		
2	Groups Categories				
	2.1	Group	17		
	2.2	Free Group	22		
		2.2.1 Universal construction	22		
	2.3	Free and Underlying Functor	23		
	2.4	Subgroup	25		
		2.4.1 Adjoint Action	26		
3	Linear Space Cateroires				
	3.1	Vector Space	29		
	3.2	Free and Underlying Functor	30		
	3.3	Vector Subspace	33		
	3.4	Direct Sum of Vector Spaces	35		
	3.5	Complex and Real Linear Space	35		
	3.6	Tensor Product	36		
4	Associated Algebra Category and Lie Algebra Category				
	4.1	Associated Algebra	39		
	4.2	Lie Algebra	41		

4 Contents

	4.3	Universal Enveloping Algebra	42
	4.4	Physical Application	44
		4.4.1 Multi-particle State	44
		4.4.2 Fock Space	45
5	Rep	presentation Category	47
	5.1	Group Representation	47
	5.2	Representation of Lie Algebra	48
6	Cat	egory of Topology	51
	6.1	topological Space	51
	6.2	Category	52
	6.3	Algebric Topology	52
		6.3.1 Fundamental Groups	52
		6.3.2 Homology Group	53

Category and Functors

1.1 Category

定义 1(Category) A category C consists three things:

- A class Obj whose elements are called objects;
- A set^1 Mor(A, B) with A, B two objects, whose elements f are called morphisms from the $domain\ A$ to the $codomain\ B$, denoted as $A \xrightarrow{f} B$.
- A rule which assigns any objects A, B, C, any morphism $A \xrightarrow{f} B$, $B \xrightarrow{g} C$, a morphism from A to C, called the *composition* of f and g, denoted as $g \circ f$, subject to the following two conditions:

Associativity of composition: $(g \circ f) \circ h = g \circ (f \circ h);$ Existence of identity: $\exists i_A, i_B, \text{ s.t.} \quad f \circ i_A = f = i_B \circ f.$

So to define a category, one should concern on two aspects: one is a class of objects sharing some properties in common, another one is a set of maps between such objects that preseving those properties. For examle, linear map holds the linearity of linear spaces.

例 1 The collection of all sets and all functions on them forms a category, where the composition law is exact the ususal composition of functions, denoted as **Set**.

¹Many textbooks also use another notation Hom(A, B).

例 2 The collection of all the linear spaces² on field \mathbb{K} and linear maps on them forms a category, denoted as $\mathbf{Vec}_{\mathbb{K}}$.

定义 2 We say morphism $f: A \to B$ is an *isomorphism* if there exists anothor arrow $g: B \to A$, named the *inverse* of f, such that

$$f \circ g = i_A, \quad g \circ f = i_B,$$

i.e., the diagram

$$A \xrightarrow{g} B$$

commutes.

定义 3 Let $\mathcal C$ be a category. We say morphism $f:A\to B$ is

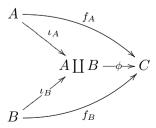
- monic (or a monomorphism) if $f \circ g = f \circ h \implies g = h$;
- epic (or a epimorphism) if $g \circ f = h \circ f \implies g = h$.

命题 1 In **Set**, a morphism is monic iff it is *one-to-one*. Dually³, a morphism is epic iff it is on-to.

证明 Exercises.

1.2 Product and Coproduct

定义 1(Coproduct) In C, the coproduct (or direct sum) of objects A and B, denoted $A \coprod B$ is an object in C with two morphisms $\iota_A : A \to A \coprod B$ and $\iota_B : B \to A \coprod B$ called the canonical injection such that with any object C and two morphisms $f_A : A \to C$ and $f_B : B \to C$ there exists a unique map $\phi : A \coprod B \to C$ such that the diagram



²Note there is no constraint on dimensions of linear spaces.

³We will get back to this in the following sections

commutes, or

$$f_A = \iota_A \circ \phi, \quad f_B = \iota_B \circ \phi.$$

例 1 In **Set**, coproduct of sets A and B is exactly the disjoint union⁴ $A \bigcup_d B$.

证明 Let C be a set with $f_A: A \to C$, $f_B: B \to C$, then elements of $A \coprod B$ are either a_A where $a \in A$ or b_B where $b \in B$ and $\iota_A(a) = a_A$, $\iota_B(b) = b_B$. Define $\phi: A \coprod B \to C$ by $\phi(a_A) = f_A(a)$ and $\phi(b_B) = f_B(b)$, then clearly ϕ makes the diagram commutes and that's the existence of ϕ .

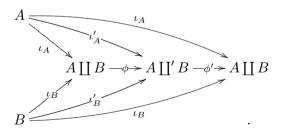
Next, suppose ϕ' is another morphism from $A \coprod B$ to C that makes the diagram commutes, then

$$\phi'(a_A) = \phi' \circ \iota_A(a) = (\phi' \circ \iota_A)(a) = (\phi \circ \iota_A)(a) = \phi(a_A),$$

and $\phi'(b_B) = \phi(b_B)$. Additionally, the domain and codomain⁵ of both ϕ and ϕ' coincides. So we conclude that these two morphism are equal $\phi = \phi'$ and thus ϕ is unique.

命题 1 Coproduct is unique up to an isomorphism.

证明 Suppose there is another coproduct of A and B, denoted as $A \coprod' B$, then by definition we have the commutative diagram



But by the uniqueness of coproducts, we must have

$$\phi \circ \phi' = i_{A \coprod B}.$$

⁴Let's give an example to illustrate this basics concept: given two sets $A = \{1, 2, 3\}$ and $B = \{3, 4, 5\}$, then $A \bigcup_d B = \{1, 2, 3_A, 3_B, 4, 5\}$. Here we intentionally add a subscript in each "3" since they denote merely something abtractly in sets and have not to be essentially the same even if they have the same name, especially when we put them together.

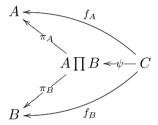
 $^{^5}$ This condition should not be neglected when judging whether two morphisms are the same.

Similarly, draw two pair of $A \coprod' B$, we also must have

$$\phi' \circ \phi = i_{A \coprod' B},$$

and thus we are done.

定义 2(Product) In C, the *product* of objects A and B, denoted $A \prod B$ is an object in C with two morphisms $\pi_A : A \prod B \to A$ and $\pi_B : A \prod B \to B$ called canonical projection such that with any object C and two morphisms $f_A : C \to A$ and $f_B : C \to B$ there exists a unique map $\phi : C \to A \prod B$ such that the diagram



commutes, or

$$f_A = \psi \circ \pi_A, \quad f_B = \psi \circ \pi_B.$$

例 2 In Set, $A \prod B$ is exactly the Cartesian product $A \times B \equiv \{(a,b) | a \in A, b \in B\}$, with $\pi_A((a,b)) = a$ and $\pi_B((a,b)) = b$. One can easily see that for each $c \in C$ the defined $\psi(c) := (f_A(c), f_B(c))$ uniquely makes the diagram commutes.

By the similar reason of coproduct, we also have

命题 2 Products is also unique up to an isomorphism.

1.3 Functor

定义 1(Convariant Functor) A covariant functor F from category C_1 to C_2 is defined as: to every object $A \in C_1$ we associate an object $F(A) \in C_2$ and for every arrow $f \in \text{Mor}(A, B)$ we associate an arrow $F(f) \in \text{Mor}(F(A), F(B))$ such that

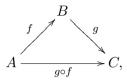
$$F(i_A) = i_{F(A)}, \quad F(g \circ f) = F(g) \circ F(f).$$

定义 2(Contravariant Functor) A covariant functor F from category C_1 to C_2 is defined as: to every object $A \in C_1$ we associate an object $F(A) \in C_2$ and for

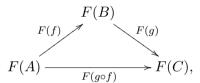
every arrow $f \in \operatorname{Mor}(A,B)$ we associate an arrow $F(f) \in \operatorname{Mor}(F(A),F(B))$ such that

$$F(i_A) = i_{F(A)}, \quad F(g \circ f) = F(f) \circ F(g).$$

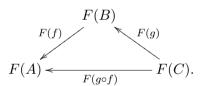
注 1 Since we already have the commute diagram in category C_1 :



the covariant functor is a kind of "direct lifting" that preserve the order of morphisms (we call this *functoriality*):



while the contravariant functor is the "reveresed lifting":



Next we are to introduce two significant but a little bit confusing functors:

例 1(Functor form Vec to Set) Note that the collection of morphism is exactly a set, which can be regarded as the object of Set, thus for a fixed $V_0 \in \mathbf{Vec}$, we have both $\mathrm{Mor}(V_0,*), \mathrm{Mor}(*,V_0) : \mathbf{Vec} \to \mathbf{Set}$ functors. More precisely, we claim that $\mathrm{Mor}(V_0,*)$ is a covariant functor while $\mathrm{Mor}(*,V_0)$ is a contravariant functor.

证明 First, for the functor $\operatorname{Mor}(V_0, *)$ we naturally assign^6 each $W_1 \in \mathbf{Vec}$ a $\operatorname{Mor}(V_0, W_1) \in \mathbf{Set}$ and $W_2 \in \mathbf{Vec}$ a $\operatorname{Mor}(V_0, W_2) \in \mathbf{Set}$, then by definition, denoting $f \in \operatorname{Mor}(W_1, W_2)$, since a functor is still assignment of morphisms, we still need to assign each f a $\operatorname{Mor}(V_0, f) \in \operatorname{Mor}\left(\operatorname{Mor}(V_0, W_1), \operatorname{Mor}(V_0, W_2)\right)$. Denote $m \in \operatorname{Mor}(V_0, W_1)$ and explicitly define the action

$$Mor(V_0, f)(m) = f \circ m,$$

⁶If you are not familiar with the notation here, just replace $Mor(V_0, *)$ with some F(*) and thus for example $Mor(V_0, W_1) \equiv F(W_1)$ and $Mor(V_0, f) \equiv F(f)$, which concord with the notation we used in definition.

then we are to check that this is indeed a covariant functor. In fact, for the identical map on W_1 ,

$$Mor(V_0, i_{W_1})(m) = i_{W_1} \circ m = m \implies Mor(V_0, i_{W_1}) = i_{Mor(V_0, W_1)}.$$

Moreover, for $f_1, f_2 \in Mor(W_1, W_2)$, on the one hand we have

$$\left(\operatorname{Mor}(V_0, f_2 \circ f_1)\right)(m) = (f_2 \circ f_1) \circ m,$$

on the other hand,

$$\left(\operatorname{Mor}(V_0, f_2) \circ \operatorname{Mor}(V_0, f_1)\right)(m) = \operatorname{Mor}(V_0, f_2)\left(\operatorname{Mor}(V_0, f_1)(m)\right)$$
$$= \operatorname{Mor}(V_0, f_2)(f_1 \circ m) = f_2 \circ (f_1 \circ m),$$

which implies $\operatorname{Mor}(V_0, f_2 \circ f_1) = \operatorname{Mor}(V_0, f_2) \circ \operatorname{Mor}(V_0, f_1)$. Therefore $\operatorname{Mor}(V_0, *)$ is a covariant functor.

With slight changes can we also prove that $Mor(*, V_0)$ is indeed a contravariant functor. Here we just leave it as an exercise.

1.4 Natural Transformation

- 命題 1 Denote the dual category of \mathcal{A} as \mathcal{A}^* , where morphisms in it reverse their arrows, then a contravariant functor $F: \mathcal{A} \to \mathcal{B}$ is just a covariant functor from \mathcal{A}^* to \mathcal{B} .
- 定义 1 Given two categorys $\mathcal A$ and $\mathcal B$, we define $\mathcal A \times \mathcal B$ whose objects are (X,Y) with $X \in A$ and $Y \in B$ and morphism $\operatorname{Mor}((X,Y),(X',Y')) = \{(f,g)|f \in \operatorname{Mor}(X,X'),\operatorname{Mor}(Y,Y')\}.$

Similarly,

定义 2 Given functor $F: \mathcal{A} \to \mathcal{B}$ and $G: \mathcal{C} \to \mathcal{D}$, we can also define the bifunctor by

$$(F,G)(X,Y):=(FX,GY),\quad (F,G)(f,g):=(Ff,Gg)$$

for any $X, X' \in \mathcal{A}, Y, Y' \in \mathcal{C}$ and $f \in \text{Mor}(X, X'), g \in \text{Mor}(Y, Y')$.

One can check that this indeed define a functor.

定义 3 We call the functor $\mathbb{1}: \mathcal{A} \to \mathcal{A}$ the *identity functor* if we associate each $A, B \in \mathcal{A}$ a $\mathbb{1}(A) = A, \mathbb{1}(B) = B$, and each $f \in \text{Mor}(A, B)$ a $\mathbb{1}(f) = f$.

Given \mathcal{A}, \mathcal{B} if there exists $F : \mathcal{A} \to \mathcal{B}$ and $G : \mathcal{B} \to \mathcal{A}$ such that $FG = \mathbb{1}, GF = \mathbb{1}$ then \mathcal{A} and \mathcal{B} are said to be *isomorphic* categories.

定义 4(Natrual Transformation) Given two funtors $F, G : A \to B$, we define natural transformation $t : F \to G$ as that for each $X, Y \in A$ we associate t_X, t_Y s.t. $\forall f : X \to Y$, the diagram

$$F(X) \xrightarrow{t_X} G(X)$$

$$F(f) \downarrow \qquad \qquad \downarrow G(f)$$

$$F(Y) \xrightarrow{t_Y} G(Y)$$

commutes.

Particularly, if t_X is an isomorphism for all $X \in \mathcal{A}$, we call t a natural isomorphism/equivalence (certainly this is a equivalent relation) of such two functors, denoted as $F \sim G$.

例 1 Define the duplication functor $\Delta: \mathcal{A} \to \mathcal{A} \times \mathcal{A}$ by $a \mapsto (a, a)$ and $f \mapsto (f, f)$ for all $a, a' \in \mathcal{A}$ and $f \in \operatorname{Mor}(a, a')$ (it's easy to see that Δ is indeed a well-defined functor), then I claim that there is a natural transformation (more precisely, natural isomorphism) between Δ and the identical functor $\mathbb{1}$.

In fact, for all $a \in \mathcal{A}$, $\Delta \circ \mathbb{1}(a) = (a, a) = \mathbb{1} \circ \Delta(a)$.

- 定义 5 Given $F: A \to \mathcal{B}$ and $G: \mathcal{B} \to \mathcal{A}$, if $FG \sim 1$ and $GF \sim 1$, we say \mathcal{A} and \mathcal{B} are equivalent categories.
- 注 1 One should not mistaken *equivalent categories* with *isomorphic categories*. Two categories are equivalent if and only if there exists a natural isomorphism between the identity functor and any composition of two functors on these two categories.
- 例 2 Suppose \mathcal{A} admits product $\underline{\Pi}$, by which we mean there exists a functor $\underline{\Pi}: \mathcal{A} \times \mathcal{A} \to \mathcal{A}$ such that $\underline{\Pi}((X,Y)) = X \prod Y$, and consider another functor $\underline{\Pi}'((X,Y)) = Y \prod X$, then a question is naturally raised that whether there exists a natural transformation (or even a natural equivalence) $t: \underline{\Pi} \to \underline{\Pi}'$.

In fact, for each $X \times Y \in \mathcal{A} \times \mathcal{A}$, if we define

$$t_{(X,Y)}\left(\underline{\prod}((X,Y))\right) = t\left(X\prod Y\right) := Y\prod X = \underline{\prod}'((X,Y)),$$

we're to check that such t is indeed a natural transformation.

证明 First we are to show that such functor $\underline{\Pi}$ is well-defined. In fact, A morphism in $\mathcal{A} \times \mathcal{A}$ always takes the form (f,g), where $f: X \to X'$ and $g: Y \to Y'$. By the uniqueness of product of $X' \prod Y'$, we know from the diagram

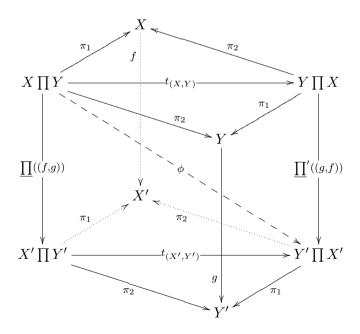
$$X \longleftarrow X \prod Y \longrightarrow Y$$

$$\downarrow g$$

$$X' \longleftarrow X' \prod Y' \longrightarrow Y$$

that there exists uniquely a morphism from $X \prod Y = \underline{\Pi}((X,Y))$ to $X' \prod Y' = \underline{\Pi}((X',Y'))$, i.e., a direct lifting of morphism $\underline{\Pi}((f,g))$. So do $Y \prod X$ and $Y' \prod X'$ with $\underline{\Pi}'((g,f))$.

Collect all information we know in the three-dimensional diagram



Because we already have $\pi_1 \circ \underline{\Pi}((f,g)) : X \prod Y \to X'$ and $\pi_2 \circ \underline{\Pi}(f,g) : X \prod Y \to Y'$, by the universal property of $Y' \prod X'$, there must uniquely exist a ϕ s.t.

$$\pi_1 \circ \phi = \pi_2 \circ \prod ((f,g)), \quad \pi_2 \circ \phi = \pi_1 \circ \prod ((f,g)).$$

But $t_{(X',Y')} \circ \prod ((f,g))$ does do the same:

$$\pi_1 \circ \left(t_{(X',Y')} \circ \underline{\underline{\Pi}}((f,g)) \right) = \pi_2 \circ \underline{\underline{\Pi}}((f,g))$$
$$\pi_2 \circ \left(t_{(X',Y')} \circ \underline{\underline{\Pi}}((f,g)) \right) = \pi_1 \circ \underline{\underline{\Pi}}((f,g)),$$

so we must have

$$\phi = t_{(X',Y')} \circ \prod ((f,g)).$$

Additionally, one can see form the diagram that $\underline{\Pi}'((g,f)) \circ t_{(X,Y)}$ also does the same as ϕ , so by the same reason, we also must have

$$\prod'((g,f)) \circ t_{(X,Y)} = \phi.$$

Thus the diagram

commutes and the defined t is a natural transformation.

作业

• R.Geroch, sec 1, pp.15, exercises 7 to 10.

1.5 Adjoint Functors

定义 1(Adjoint Functor) Given two functor $F: \mathcal{C} \to \mathcal{D}, G: \mathcal{D} \to \mathcal{C}$, we say F and G forms a *adjunction* (or are two adjoint functors), denoted $F \dashv G$, provided that there exists an *natural isomorphism* η between two functors $\operatorname{Mor}_{\mathcal{D}}(F^*, *), \operatorname{Mor}_{\mathcal{C}}(*, G^*)$:

⁷Here we write the domain of these two functors as $\mathcal{C}^* \times \mathcal{D}$ in the sense of covariant functors. Particularly, F is *contravariant* on \mathcal{C}^* . I mean, for example, functor $\text{Mor}(*, V_0)$: $\text{Vec} \to \text{Set}$ is *contravariant* in the usual sense while becoming *covaraint* if we regard it as functor from Vec^* to Set because we reverse all arrows.

 $\mathcal{C}^* \times \mathcal{D} \to \mathbf{Set}$, denoted as

$$\eta : \operatorname{Mor}(F\mathcal{C}, \mathcal{D}) \simeq \operatorname{Mor}(\mathcal{C}, G\mathcal{D}).$$

In other words, for all $X \in \mathcal{C}$ and $Y \in \mathcal{D}$ there is a natural bijection between sets $\operatorname{Mor}_{\mathcal{D}}(FX,Y) \simeq \operatorname{Mor}_{\mathcal{C}}(X,GY)$. This isomorphism η is called the adjunction isomorphism, and F is called the left adjoint while G is the right adjoint.

注 1 By definition of natural isomorphism, given F (or G), its right (left) adjoint is unique up to natural transformation.

To put the natural isomorphism $\eta: \operatorname{Mor}(FA, \mathcal{B}) \to \operatorname{Mor}(A, GB)$ more clearly, let's draw explicitly the following diagram: Given $F: A \to \mathcal{B}, G: \mathcal{B} \to A, a, a' \in A, b, b' \in \mathcal{B}$ and morphisms⁸ $\alpha: a' \to a, \beta: b \to b'$, one can draw the left commutative diagram in the sense of product category $A^* \times \mathcal{B}$ and right one in the sense of **Set** by definition of natural transformation⁹:

$$(a,b) \qquad \operatorname{Mor}(Fa,b) \xrightarrow{\eta_{(a,b)}} \operatorname{Mor}(a,Gb)$$

$$(\alpha,\beta) \downarrow \qquad \operatorname{Mor}(F(\alpha),\beta) \downarrow \qquad \qquad \downarrow \operatorname{Mor}(\alpha,G(\beta))$$

$$(a',b') \qquad \operatorname{Mor}(Fa',b') \xrightarrow{\eta_{(a',b')}} \operatorname{Mor}(a',Gb')$$

i.e.,

$$\operatorname{Mor}(\alpha, G(\beta)) \circ \eta_{(a,b)} = \eta_{(a',b')} \circ \operatorname{Mor}(F(\alpha), \beta).$$

命题 1 Let $\theta \in \text{Mor}(Fa, b)$, one can naturally (and only) define

$$Mor(F(\alpha), \beta)(\theta) = \beta \circ \theta \circ F(\alpha)$$

because F covariantly acting on α . Similarly, we must have

$$\operatorname{Mor}(\alpha, G\beta)\Big(\eta_{(a,b)}(\theta)\Big) = G(\beta) \circ \eta_{(a,b)}(\theta) \circ \alpha.$$

Thus from the commutative diagram we finally get an important relation:

$$\eta_{(a',b')}(\beta \circ \theta \circ F(\alpha)) = G(\beta) \circ \eta_{(a,b)}(\theta) \circ \alpha.$$

⁸Be careful about the direction of arrows in C^* here!

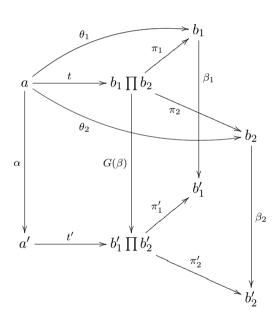
⁹Recall the definition of functors of Cartesian-product categories we introduced before. If you're not comfortable with the notation here, you can use the neater one $F, G : A^* \times B \to \mathbf{Set}$ such that $F(a,b) := \mathrm{Mor}(Fa,b)$ and $G(a,b) := \mathrm{Mor}(a,Gb)$. The same goes to morphisms.

例 1 Given \mathcal{A} , consider the duplication functor $\mathsf{Dup}: \mathcal{A} \to \mathcal{A} \times \mathcal{A}$ defined as $a \mapsto (a, a)$. Suppose \mathcal{A} admits products, by which we mean there exists $\underline{\Pi}: \mathcal{A} \times \mathcal{A} \to \mathcal{A}$ such that $\Pi((X, Y)) = X \prod Y$, then we claim that $\mathsf{Dup} \dashv \underline{\Pi}$.

证明 Let $a, b_1, b_2 \in \mathcal{A}$ then $(a, a), (b_1, b_2) \in \mathcal{A} \times \mathcal{A}$. So does a', b'_1, b'_2 . Let $\alpha : a' \to a$ and $\beta_i : b_i \to b'_i$ with i = 1, 2, then by the proposition above, we need to show that

$$\eta_{(a',(b'_1,b'_2))}\bigg((\beta_1,\beta_2)\circ(\theta_1,\theta_2)\circ(\alpha_1,\alpha_2)\bigg)=G(\beta)\circ\eta_{(a,(b_1,b_2))}\left((\theta_1,\theta_2))\circ\alpha,$$

where $G(\beta) \equiv G(\beta_1, \beta_2) = \underline{\prod}((\beta_1, \beta_2))$ from $G((b_1, b_2)) = b_1 \prod b_2$ to $G((b'_1, b'_2)) = b'_1 \prod b'_2$, $\theta \equiv (\theta_1, \theta_2) \in \operatorname{Mor}(Fa, (b_1, b_2)) : (a, a) \to (b_1, b_2)$ such that $\theta_i : a \to b_i$ for i = 1, 2. Let's draw the diagram to reveal the universal property of product here:



First, $\beta \circ \theta \circ \alpha$ uniquely gives us t' by the universal property of $b'_1 \prod b'_2$, i.e.,

$$\pi_i' \circ t' = \beta_i \circ \theta_i \circ \alpha.$$

Similarly, θ uniquely gives us t. Also, funtoriality of $\underline{\Pi}$ gives us $G(\beta)$. Thus

$$\pi'_i \circ G(\beta) \circ t = \beta_i \circ \pi_i \circ t = \beta_i \circ \theta_i$$

gives

$$\pi'_i \circ t' = \beta_i \circ \theta_i \circ \alpha = \pi'_i \circ G(\beta) \circ t \circ \alpha.$$

But by the uniqueness of products, we find

$$t' = G(\beta) \circ t \circ \alpha.$$

Thus we are done.

Groups Categories

2.1 Group

We begin with a heuristic example

例 1(Symmetry of Space-time) Denote the operation of rotation as r and the composition of rotation as $r_1 \times r_2 = r_3$ (this is true by definition of rotation), one can easily show that r subject to the following properties:

- (a) Associativity: $(r_1 * r_2) * r_3 = r_1 * (r_2 * r_3),$
- (b) Existence of trivial rotation: e * r = r = r * e,
- (c) Existence of Inverse: $\forall r, \exists r^{-1}, r*r^{-1} = e = r^{-1}*r$.

Particularly in four-dimensional Minkowski space, we call this a Lorentz group.

And adding the translation in Euclid space from an Euclidian Group while in Minkowski space forms a Poincaré group.

Extract the structure above, we have

定义 1(Group) A group is a set G together with a map $*: G \times G \to G$ satisfing that for all $a, b, c \in G$, a * (b * c) = (a * b) * c, there exists a *unit element* $e \in G$ such that a * e = e * a = e, and an *inverse* $a^{-1} \in G$ such that $a * a^{-1} = e$.

例 2

Addition group: $\mathbb{Z}, \mathbb{R}, \mathbb{Q}, \mathbb{C}(+, 0, -)$

Multiplication group: Denote $\mathbb{Q}\setminus 0$ as \mathbb{Q}^* , then $\mathbb{Q}^*, \mathbb{R}^*, \mathbb{C}^*(\times, 1, \alpha \to \frac{1}{\alpha})$ forms a group.

Permutation group: Permutation of a set is an isomorphism from S to S, then $(\circ, 1, *^{-1})$, where "*" are isomorphisms, forms a group.

Matrix group: Matrix multiplication of invertible matrices $(\times, 1, *^{-1})$ from a group. We call this the *automorphism* on a vector spaces.

定义 2(Category of Groups) We call Grp the *category of group*, if objects of which are groups (sets whose elements are elements of groups) and morphism $\varphi: A \to B$ are *homomorphisms*, by which we mean they satisfies

$$\forall a, b \in A, \quad \varphi(a)\varphi(b) = \varphi(ab), \quad \varphi(a^{-1}) = \varphi(a)^{-1},$$

in addition to $\varphi(e) = e$ for one $e \in A$.

Particularly, we denote the category of Abelian group as **AbG**.

Now that **Grp** is a category, a natural question is raised that what is the poduct and co-product on it? In fact, since the objects in **Grp** is the same as those in **Set** (with an extra structure of group multiplication) and the canonical projection is compatible of this structure, thus we must have the same claim that:

命題 1 The product of **Grp** is exactly the Cartesian product of it, in which elements take the form (a, b) with both $a, b \in A$.

证明 The same as what we have done in **Set**. What leave to us to check, first is that the multiplication rule (a,b)(c,d) = (ac,bd) is indeed the multiplication of a group, which is obvious, and second, that the canonical projection is morphism in **Grp**. In fact, for any two (a,b) and (c,d), we both have

$$\pi_1((a,b)(c,d)) = \pi_1((ac,bd)) = ac$$

and $\pi_1(a,b)\pi_1(c,d) = ac$.

定义 3(Initial and Terminal Objects) We call an object $A \in \mathcal{C}$ the terminal object if for any object $B \in \mathcal{C}$, there exists only one morphism $\phi_B : B \to A$, And A the initial object if we reverse the arrow.

例 3 \varnothing is the initial object of **Set**, while any one element set is the terminal object of **Set**.

引理 1 Set $\{e\}$ is both the *initial* and *terminal* object of **Grp**.

证明 For all $a \in A$, we (can only) define the morphism by $\phi(a) = e$ (and so ϕ is unique), and it's easy to check that ϕ is indeed a homomorphism. Also, for the only element of $\{e\}$, we define the morphism by $\psi(e) = e \in A$. This ψ is unique because of the property of homomorphism.

Use this fact we can define the morphism $e:A\to A$ by making the diagram

$$A \xrightarrow{\phi} \{e\} \xrightarrow{\psi} A,$$

commutes, or $\forall a \in A, e(a) = e$.

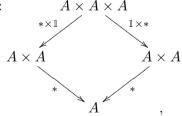
Besides, we define the inverse morphism inv. : $A \to A$ as

$$\forall a \in A, \text{inv.}(a) = a^{-1},$$

the duplication morphism $\Delta: A \to A \times A$ such that $\Delta(a) = (a, a)$, and the multiplication morphism $*: A \times A \to A$ such that *(a, b) = ab. All above can be show that they are indeed morphisms (at least in the sense of \mathbf{Set}^3).

Equipped with all defined morphisms above, we can now re-express all the properties of groups in commutative diagrams (because of the annoying multiplication morphism, here I mean in the sense of **Set** rather than **Grp**)

Associativity of group product:

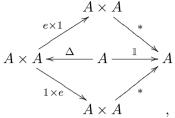


¹Do not mistaken the defined homomorphism ϕ and the inverse of some elements of object A here, even though the latter one seems to has the same effect on group elements. That is, up to now all we involved in are properties of homomorphism, rather than the inverse elements.

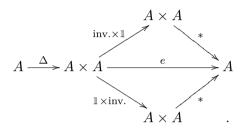
²Here's some abuse of notaion. The left "e" is morphism while the right "e" indicates the unit element of object A.

³In fact, the multiplication mapping is *not* a homomorphism (and thus a morphism in **Grp**): $\forall (a,b), (a,d) \in A \times A$, we have *((a,b)(c,d)) = *((ac,bd)) = acbd = *(a,b)*, but on the other hand $*(a,b) \cdot *(c,d) = abcd$.

Exsitence of the unit element:



Exsistence of the inverse:



命题 2 Monomorphisms in **Grp** are one-to-one.

证明 First, assume $\varphi: A \to B$ is a one-to-one group homomorphism. Suppose $\varphi \circ \chi_1 = \varphi \circ \chi_2$, then for any a, we have $\chi_1(a) \neq \chi_2(a) \implies \varphi \circ \chi_1(a) \neq \varphi \circ \chi_2(a)$, which contradict to the suppose, so $\chi_1(a) = \chi_2(a) \implies \chi_1 = \chi_2$ and thus φ is monic.

Second, assum φ is monic, and we want to show that φ is one-to-one. In fact, this is the same as showing $\varphi(x) = e \implies x = e$ because one-to-one means if $a \neq b$, we have $\varphi(a) \neq \varphi(b)$, which is equivalent to $\varphi(a)\varphi(b)^{-1} = \varphi(ab^{-1}) \neq e$. Let y be element such that $\varphi(y) = e$. Consider \mathbb{Z} and definie $\chi_i : \mathbb{Z} \to G, i = 1, 2$ with $\chi_1(n) = e$ and $\chi_2(n) = y^n$. Then $\varphi \circ \chi_1 = \varphi \circ \chi_2 \implies \chi_1 = \chi_2 \implies y = \chi_2(1) = \chi_1(1) = e$ and we are done.

定义 4 Subgroup is fist the subset of a group and also a group.

命题 3
$$\operatorname{Ker}(\varphi) := \varphi^{-1}(\{e\})$$
 is a subgroup of G 证明 Easy.

例 4 $\forall y \in G, n \mapsto y^n$ defines a homomorphism $\mathbb{Z} \xrightarrow{\chi} G$. It's easy to see that $\text{Im}\chi$ is an Abelian subgroup of G.

作业

• R. Georoch, section three, pp. 22, exercise 12, 15, 21, 24.

定义 5(Permutation Group) For $X \in \mathbf{Set}$, all isomorphism in $\operatorname{Mor}(X, X)$ forms a group, known as the *permutation group* $\operatorname{Perm}(x)$.

命题 4 Every group is the subgroup of a permutation gruop.

证明 We prove it by construction. For a group G, given $x \in G$, we define $\varphi: G \to \operatorname{Perm}(G)$ such that $\varphi_x: G \to G$ by $\varphi_x(y) = xy$ for all $y \in G$. This φ_x is indeed a isomorphism of G because there always exists a $\varphi_{x^{-1}}$ such that

$$\varphi_x \circ \varphi_{x^{-1}}(y) = x^{-1}(xy) = y.$$

Thus φ_x is a permutation of G. Next, we need to prove that φ_x is a group homomorphism:

$$\varphi_{x_1 x_2}(y) = (x_1 x_2) y = x_1(x_2 y) = \varphi_{x_1} \circ \varphi_{x_2}(y),$$

$$\varphi_e(y) = ey = y = 1y,$$

$$\varphi_{x^{-1} x}(y) = \varphi_{x^{-1}} \circ \varphi_x(y) = 1(y).$$

To show G is the subgroup of Perm(G), we need to show that φ is still monic. In fact, for any group H in Grp and two morphisms α, α' form H to G, suppose

$$\varphi \circ \alpha = \varphi \circ \alpha',$$

i.e., for any $y \in H$, $\varphi \circ \alpha(y) = \varphi(\alpha(y)) = \varphi_{\alpha(y)} = \varphi_{\alpha'(y)}$. Then for any $x \in G$, by the uniqueness of inverse of any elements:

$$\varphi_{\alpha(y)}(x) = \varphi_{\alpha'(y)}(x) \implies \alpha(y)(x) = \alpha'(y)(x) \implies \alpha(y) = \alpha'(y), \forall y.$$

Thus $\alpha = \alpha'$ and so φ is a monomorphism and we succeed in constructing a group G as the subgroup of Perm(G).

例 5 For $\operatorname{Perm}(N)$, the subgroup that leaves $n \leq N$ invariant forms a group named $\operatorname{Perm}(N-n)$; The group that leaves the subset⁴ $\{1, 2, \dots, n\}$ invariant also forms a group, denoted as $\operatorname{Perm}(n) \times \operatorname{Perm}(N-n)$.

Generalize the case above, we can write the subgroup $\operatorname{Perm}(n_1) \times \cdots \times \operatorname{Perm}(n_k)$ with $\sum_k n_k = N$. Particularly, if $n_i = n_j$, then we can also allow swapping block i with block j.

例 6 The group of homeomorphism of topological space. point-stablizer, open set-stablize and so on.

⁴By which I do *not* means that elements of the set is unchanged under the permutation.

2.2 Free Group

定义 1 Given a set $S = \{a, b, \dots\}$, we define a group G, called the *free group* generated by S, as that each element corresponds to a group element $\theta : S \to G$ by $\theta(a) = a$, $\bar{a} = (\theta(a))^{(-1)} = a^{-1}$ and $\theta(a)\theta(b) = ab$.

In other words, elements in G are "words" such as $abdda\bar{e}c$ but no adjacent letter and its inverse such as $a\bar{a}$ or $\bar{a}a$. That is to say, the unit element is $null\ space$. And the group multiplication is just combining two words, removing all empty spaces. For example

$$(ab\bar{d}ef\bar{g})(g\bar{f}\bar{c}ek) = ab\bar{d}ek.$$

Associativity is obvious.

例 1

- Free group generated by { } is the trivial group;
- Free group generated by $\{a\}$ has elements only taking the forms of $a \cdots a, \bar{a} \cdots \bar{a}$, including the *null word*. One can easily construct an isomorphism from this group to \mathbb{Z} .
- Group generated by {a,b} with a imposing extra rule that makes it abelian, i.e., ab = ba and aba⁻¹b⁻¹ = 1, though is no longer free anymore, but plays an important role in future. One can eaisly see that this group is isomorphis to \mathbb{Z} \times \mathbb{Z}.

2.2.1 Universal construction

定义 2 A free group G generated by a set S is defined as that for any another groug G' and set map $\theta': S \to G'$, there uniquely exists a group homomorphism $\mu: G \to G'$ with $\theta' = \mu \circ \theta$, or making the diagram

$$S \xrightarrow{\theta} G .$$

$$\downarrow \mu \\ \downarrow \mu \\ G'$$

commutes.

注 1 By "universal", we mean the concept has some flavor of category.

Next we need to prove that such free Groups does exist and is unique.

命题 1 Free group is unique up to group isomorphism.

证明 Suppose both G and G' are generated by S. From definition there must uniquely exists a homomorphism $\mu: G \to G'$ such that $\theta' = \mu \circ \theta$. Similarly, there uniquely exists a $\mu': G' \to G$ such that $\theta = \mu' \circ \theta'$. So

$$\theta = (\mu' \circ \mu) \circ \theta, \quad \theta' = (\mu \circ \mu') \circ \theta'.$$

But the identity map has the same effect, thus by uniqueness we must have

$$\mu' \circ \mu = \mu \circ \mu' = 1.$$

命题 2 The word group we defined before is a free group.

证明 Define $\theta(x) = "x"$ as before and suppose we have another group G' and set mapping $\theta': S \to G'$, we define a group homomorphism $\mu: G \to G'$ by first dividing the words then mapping them respectively by θ' . For example, $\mu: "a\bar{b}" \mapsto \theta'(a)\theta'(b)^{(-1)}$. Then of course this makes the diagram commute, i.e., $\forall a \in S$, we have $\mu \circ \theta(a) = \mu("a") = \theta'(a)$.

Universal property is easy: Let $\nu: G \to G'$ be a homomorphism also making the diagram commutes, or $\nu \circ \theta = \theta'$. Then, for example, $\nu("a\bar{b}") = \nu("a")\nu("b")^{-1} = \mu("a\bar{b}")$.

2.3 Free and Underlying Functor

定义 1(Faithful) Given a functor $F: \mathcal{A} \to \mathcal{B}$ is said to be *faithful* if for $x, y \in \mathcal{A}$, $F: \operatorname{Mor}(x, y) \to \operatorname{Mor}(Fx, Fy)$ is one-to-one.

例 1 Grp $\stackrel{U}{\rightarrow}$ Set.

定义 2(Forgetful (Underlying) Functor) If $Mor(Fx, Fy) \supseteq F\Big(Mor(x, y)\Big)$, then we say the functor F forgets certain feature of A, or a underlying functor.

例 2 $\operatorname{Vec} \to \operatorname{\mathbf{Set}}$ and $\operatorname{\mathbf{Vec}} \to \operatorname{\mathbf{Grp}} \to \operatorname{\mathbf{Set}}$ because linear space is first an abelian group.

The forgetful functor from **Grp** to **Set** forgets the group structure of a group, remembering only the underlying set.

定理 1 Every free functor F is the left adjoint of the corresponding underlying functor U, i.e., $F \dashv U$.

证明 We skip the general proof here but give one explicit example below. \Box

例 3(此例疑有误) Previously, by definition of free generated group, we know that there are subtlies in the commutative diagram, now we are to make is rigorous in category theory.

Given $S \in \mathbf{Set}$ and a funtor from $A = \mathbf{Set}$ to $B = \mathbf{Grp}$, and let U be the underlying functor, one-to-one⁵ mapping $\alpha : S \to UFS$, $\alpha' : S \to U(G)$. We have

$$S \xrightarrow{\alpha} UF(S) \qquad F(S) \qquad \downarrow_{\mu=\lambda(\theta)} U(G) \qquad G$$

where the universal construction $\theta = U(\lambda(\theta)) \circ \alpha$. $\alpha' \longmapsto \mu$, $\operatorname{Mor}(S, U(G)) \xrightarrow{\eta} \operatorname{Mor}(F(S), G)$.

We need to prove the natural transformation:

Consider $f: S' \to f$, $g: G \to G'$, $\operatorname{Mor}(f, U(g)) : \operatorname{Mor}(S, U(G)) \to \operatorname{Mor}(S', U(G))$ such that $\beta \longmapsto U(g) \circ \beta \circ f$ where $\beta \in \operatorname{Mor}(S, U(G))$. The diagram is illustrated as follows:

$$\begin{array}{c|c} \operatorname{Mor}(S,U(G)) \xrightarrow{\lambda_{S,G}} \operatorname{Mor}(F(S),G) \\ \operatorname{Mor}(f,U(g)) \downarrow & & \operatorname{Mor}(F(f),G) \\ \operatorname{Mor}(S',U(G')) \xrightarrow{\lambda} \operatorname{Mor}(F(S'),G') \end{array}$$

Thus we have

$$\lambda_{S',G'} \circ \operatorname{Mor}(f,U(g))(\beta) = \lambda_{S',G'}(U(g) \circ \beta \circ f)$$

and

$$Mor(F(f), g) \circ \lambda_{S,G}(\beta) = g \circ \lambda_{S,G}(\beta) \circ F(f)$$

and

$$U(\lambda_{S',G'}(U(g)\circ\beta\circ f))\circ\alpha=U(g)\circ\beta\circ f$$

⁵Elements in a set to a "word".

and

$$U(g \circ \lambda_{S,G}(\beta)) \circ F(f)) \circ \alpha = U(g) \circ U(\lambda_{S,G}(\beta)) \circ UF(f) \circ \alpha.$$
 (2.3.1)

What we want to find is a natural transformation from functor $\mathbb{1}$ to UF, i.e., making the diagram

$$S \xrightarrow{\alpha} UF(S)$$

$$f \downarrow \qquad \qquad \downarrow UF(f)$$

$$S' \xrightarrow{\alpha'} UF(S')$$

commutes.

In fact, given $f: S' \to S$ and let $a \in S'$, b = f(a). Then

$$\alpha \circ f(a) = \alpha(b) = b'' b''$$

and F(f)("a") = "b" because UF(f) = U(F(f)). As a group homomorphism, now $UF(f)("a") = ("b") \implies UF(f) \circ \alpha'(a) = "b" = \alpha \circ f(a)$ for any $a \in S'$ and so the diagram does commutes.

So (2.3.1) becomes

$$U(g \circ \lambda_{S,G}(\beta) \circ F(f)) \circ \alpha = U(g) \circ U(\lambda_{S,G}(\beta)) \circ \alpha \circ f = U(g) \circ \beta \circ f$$
$$= U(\lambda_{S',G'}(U(g) \circ \beta \circ f)) \circ \alpha,$$

but note that U is also one-to-one since it's a underlying functor and α is monic, hence we finally get $\lambda: \operatorname{Mor}(S,U(G)) \to \operatorname{Mor}(F(S),G)$ is natural transformation ans we're done.

If we start with $\theta: S \to U(G)$ by $a \mapsto \mu("a")$, this defines $\operatorname{Mor}(F(S), G) \xrightarrow{\eta} \operatorname{Mor}(S, U(G))$. If we start with $\theta: S \to U(G)$ such that $\theta(a) = X \in U(G)$, then $\mu(a) = X$ and then $\theta'(a) = X\theta(a) \implies \theta' = \theta$. Therefore we get $\eta \circ \lambda = 1$ and similarly vice versa.

作业

• Robert George. pp 22. chapter three problem 14,16,19.

2.4 Subgroup

Here are some additional References:

- 1) Conceptural Mathematics.
- 2) Category for the scientists.
- 3) Survegy of Modern Algebra (undergraduate)
- 4) S.Lang, Algebra.

定理 1 Given a group G and a colletion of its subgroups H_i , $i \in I$. Then the intersection $H = \bigcap H_i$ is also a subgroup of G.

证明 First, $H \subset G$. Second, for $x, y \in H$ and $x, y \in H_i$ for some i, then $x * y, x^{-1} \in H_i \implies x * y \in H, x^{-1} \in H$. Lastly, for $e \in H_i$, we have $e \in H$. Thus H is a subgroup of G, or $H \gg i \to G$.

- 注 1 Note that such H is the largest subgroup of G contained in all H_i . It is also a subgroup of all H_i .
- 定义 1 Given a subset S of a group G. The insersection G_S of all subgroups containing S is called the subgroup generated by S.

Suppose there is a subgroup $G_0 \subset G_S$ such that $S \subset G_0$. Then by definition, $G_S \subset G_0$ tells that G_S the smallest subgroup of G containing S. Obviously G_S can be obtained by arbitrary products of elements of S and their inverses.

2.4.1 Adjoint Action

Recall the monic "left action" we discussed before $\varphi_L: G \longrightarrow \operatorname{Perm}(UG)$, where U is the underlying function such that $\varphi_L(x): y \mapsto xy$. Similarly, we can define the right action $\varphi_R(x): y \mapsto yx$ and $\varphi_R(x_1x_2): y \mapsto yx_1x_2$. But the composition of φ_R gives $\varphi_R(x_1) \circ \varphi_R(x_2): y \mapsto yx_2x_1$. So φ_R is indeed an *anti-homomorphism*:

$$\varphi_R(x_1x_2) = \varphi_R(x_2) \circ \varphi_R(x_1).$$

As a contrast, $\varphi'_R(x): y \mapsto yx^{-1}$ is a homomorphism.

定义 2(Adjoint Action) The adjoint action is defined by $\varphi_{ad}(x): y \mapsto xyx^{-1} = \mathrm{Ad}_x(y)$. And since $xy_1x^{-1} = xy_2x^{-1} \implies y_1 = y_2$, we still have $\varphi_{ad}: G \to \mathrm{Perm}(G)$.

This adjoint action is in general a momomorphism. But a counterexample is Abelian group, which makes $\varphi_{ad}(x) = \varphi_{ad}(e)$.

Such adjoint action plays an important role in the representation of Lie algebra, cf. GTM222.

例 1 In QM, operators in Heisenberg picture takes the form of

$$X(t) = U^{-1}(t)X(0)U(t).$$

And since unitary operation in Hilbert space forms a group, this is a adjoint action on set of all observables.

性质 1 Given any subgroup $H \subset G$, then $\forall x \in G$, $\mathrm{Ad}_x H$ is again a subgroup. 证明 For all $y_1, y_2 \in H$, $(xy_1x^{-1})^{-1} = xy_1^{-1}x^{-1} \in \mathrm{Ad}_x H$ and $(xy_1x^{-1})(xy_2x^{-1}) = x(y_1y_2)x^{-1} \in \mathrm{Ad}_x H$.

It is easy to prove that Ad_xH for different x are all isomorphic as group (one-to-one and onto). As a permutation of G it maps subgroups to subgroups.

定义 3(Left Coset) If $A, B \subset G$, we denote $AB = \{x * y | x \in A, y \in B\}$. Let H be a subgroup of G, then for $x \in G$, xH is called the left coset while Hx is called the right coset.

定理 2 Given subgroup H of G, then

- 1) Any two left cosets are either the same or totally disjoint, but isomorphic as sets.
 - 2) Every elements $x \in G$ belongs one and only one coset, xH.

证明 1). Let $x_1H \cap x_2H$ be not empty, i.e., there exists $h_1, h_2 \in H$ such that $x_1h_1 = x_2h_2 \implies x_2 = x_1h_1h_2^{-1} \in x_1H$. Similarly, $x_1 \in x_2H$. So $x_1H = x_2H$. Isomorphism is trivial.

2). Obviously since
$$x \in xH$$
.

推论 1 If a finite group G has finite number of elements, we call it the *order* of group, sometimes denoted as |G|. Then for a finite group G, the order of its subgroup must divide that of G.

In fact, a more precise result is the celebrated lagrangian theorem.

定理 3(Lagrangian Theorem) Denote the number of left (right) quotient subgroup H of a group G as [G:H], called the *index*, then we have

$$|G| = |H| \cdot [G:H]$$

例 2 A group of prime number order only has subgroup itself and the trivial one.

推论 2 A group of prime order must be the cyclic group.

证明 Suppose |G|=p is a prime number, since $p\geqslant 2$, there exists at least one nontrivial element a. We denote the cyclic group generated by a as $\langle a\rangle$. Because $\langle a\rangle$ is the subgroup of G, we must have $|\langle a\rangle| p \implies |\langle a\rangle| = p$, so $\langle a\rangle = G$.

This also gives two relations between G and the set of its H-cosets that $G \to L = G/H$ and $\varphi : G \to \operatorname{Perm}(L)$ such that $\varphi(x) : yH \mapsto xyH$.

- 定义 4 Given subgroup H of G. If xH = Hx for all $x \in G$, then H is normal. It's trivial that any subgroup of an Abelian group is normal since $Ad_xH = H$.
- 例 3 Subgroup of Perm(S) that only fixed $[x_1, \dots, x_n]$ is not trivial, but those only affect finite number of (any) elements is normal.

定义 5 If H is normal, then $G \to L = G/H$ is group homomorphims. On L,

$$e := \varphi(H), \quad \varphi(xH)\varphi(yH) := \varphi(xyH), \quad (\varphi(xH))^{-1} := \varphi(x^{-1}H).$$

We call G/H the quotient group.

例 4 Given a group G, the commutator subgroup H is generated by $\{xyx^{-1}y^{-1}|x,y\in G\}$. One can easily see that this subgroup is essentially normal and then G/H is Abelian.

⁶Here by "generate", we mean that this group is the smallest one containing sets of the form $\{xyx^{-1}y^{-1}\}$, which is surely not a group under the group multiplication since it is not close, i.e., $H = \bigcap_{i \in I} H_i$, where H_i is some gruop containing such a set.

Linear Space Cateroires

3.1 Vector Space

定义 1 Given a set S, if relation \leq satisfies:

- 1). $x \leq x$,
- $2). \ x \leqslant y \implies y \leqslant z,$
- 3). $x \leqslant y, y \leqslant x \implies x = y$,

we call \leq a partial relation ordering, and pair (S, \leq) is called poset.

定义 2 A poset (S, \leq) in which $\forall x, y \in S$, either $x \leq y$ or $y \leq x$, we say it is totally ordering.

A neat example is \mathbb{Z}, \mathbb{R} and \mathbb{Q} .

公理 1(Axiom of Choice) In Set, the product of arbitrary collection of objects eixts.

公理 2(Zorn's Lemma) If every chain in a poset has a bound, then there exists a maximal element in that poset.

注 1

Chain: A totally ordered subset of a poset.

Bound: y of a chain C is a bound if $x \leq y$ for all $x \in C$.

Maximal Element: z is the maximal element, if $z \leqslant x \implies z = x$.

Now we're to introduce some basic algebric concepts:

定义 3(Ring, Module, Field and Vector Space)

A ring R is a set with two operators +, \cdot : $X \times X \to X$, in which X is an abelian group with + and the multiplication is associative.

A module X on a ring R is an abelian group (addition is also denoted as +) with an operator $R \times X \to X$, $(a \cdot x) \mapsto ax \in X$ s.t. for all $a, b \in R$, $x, y \in X$,

$$a(x + y) = ax + ay$$
, $(a + b)x = ax + bx$, $(ab)x = a(bx)$.

A field \mathbb{F} is an abelian ring (by "abelian", I mean the multiplication is commutative) with a unity element of multiplication and every other element has a inverse with respect to multiplication.

A vector space is a module on a field.

命题 1 Every vector space has a basis.

证明 First, let S be the set of all linear independent subset of V, there is a natural partial structure \subset on S making it a poset, then for each chain C of S, I claim that the union U of all elements in C is again linear independent. Otherwise, suppose there are $x_1 \cdots x_n \in U$ such that $\sum_{i=1}^n \lambda^i x_i = 0$, then we can always find some subsets $S_1 \subset S_2 \subset \cdots \subset S_n \in C$ such that $x_i \in S_i$, which contradicts to the hypothesis that S_i are independent.

So every chain C now has a bound U. By Zorn's lemma, S must have a maximal element, written as S_{∞} .

Suppose there exits x that cannot be written as a linear independent combination of S_{∞} , then $S_{\infty} \cup \{x\}$ is still linear independent, contradicting the fact that S_{∞} is maximal element of S. Hence we find out the basis S_{∞} .

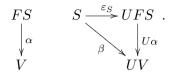
- 例 1 Some infinite-dimensional vector spaces in physics:
- 1). EM: $F_{\mu\nu}$, or $\boldsymbol{B}, \boldsymbol{E}, \boldsymbol{A}, \phi$ are vectors in function space.
- 2). QM: Hilbert space.

3.2 Free and Underlying Functor

例 1(Adjoint Free and Underlying Functor) Given $F: \mathbf{Set} \to \mathbf{Vec}$ and $U: \mathbf{Vec} \to \mathbf{Set}$. Given $S \in \mathbf{Set}$, then FS is a vector space with map $\varepsilon: S \to UFS$ (unit one) so that $\forall V \in \mathbf{Vec}$ with $\beta: S \to UV$, there exists an unique $\alpha: FS \to V$ s.t.

$$U\alpha \circ \varepsilon = \beta$$
,

or



Given a set S and a field \mathbb{F} , we construct FS as arbitrary finite formal sum of elements of S with coefficient in \mathbb{F} with the form $\alpha x + \beta y$, where $x, y \in S$ and $\alpha, \beta \in \mathbb{F}$. Equivalently, we define a map $S \to \mathbb{F}$ such that the map takes nonzero value for only a finite number of elements in S. This construction clearly has a vector space structure.

But we also need to show that such construction satisfies the universal property of free structure. (Proof: Given V and β , let $S = \{x_i\}$. An element $y \in FS$ has the form of finite sum $y = \sum_i y^i x_i$ with $y^i \in \mathbb{F}$. Define $\alpha(y) = \sum_i y^i \beta(x_i)$, then $U\alpha \circ \varepsilon(x_i) = U\alpha(x_i) = \beta(x_i)$ for all $x_i \in S$, which gives $U\alpha \circ \varepsilon = \beta$. Let α' be another map from $FS \to V$ such that $U\alpha' \circ \varepsilon = \beta$ or $\alpha'(x_i) = \beta(x_i)$. Then $\alpha'(y) = \alpha'\left(\sum_i y^i x_i\right) = \sum_i y^i \beta(x_i) = \alpha(y) \implies \alpha' = \alpha$

Next, as is done in Grp, we are to prove that F is the left adjoint of U:

证明 Given $\alpha \in \operatorname{Mor}(FS, V)$, define $\beta : \operatorname{Mor}(FS, V) \to \operatorname{Mor}(S, UV)$ by $\eta : \alpha \mapsto \beta = U\alpha \circ \varepsilon_S$. The universal property says that given $\beta : S \to UV$, there uniquely exists $\alpha : FS \to V$ such that $\beta = U\alpha \circ \varepsilon_S$. So we define $\lambda : \operatorname{Mor}(S, UV) \to \operatorname{Mor}(FS, V)$ by $\lambda(beta) = \alpha$ then $\forall \beta : S \to UV$, we have $\eta \circ \lambda(\beta) = U(\lambda(\beta)) \circ \varepsilon_S = \beta \implies \eta \circ \lambda = \mathbb{1}_{\operatorname{Mor}(S, UV)}$.

Now let $\alpha \in \operatorname{Mor}(FS, V)$ and $\alpha' = \lambda \circ \eta(\alpha)$, we have $U\alpha' \circ \varepsilon_S = \eta(\alpha') = \eta \circ \lambda \circ \eta(\alpha) = \eta(\alpha) \circ \beta = U\alpha \circ \varepsilon_S$. So by the uniqueness (of α satisfying $U\alpha \circ \varepsilon_S = \beta$), we must have $\alpha' = \alpha$ and thus $\lambda \circ \eta = \mathbb{1}_{\operatorname{Mor}(FS, V)}$.

Therefore ε is a natural transformation $\mathbb{1} \xrightarrow{\varepsilon} UF$ called the unit.

$$S \xrightarrow{\varepsilon_S} UFS$$

$$f \downarrow \qquad \qquad \downarrow UFf$$

$$S' \xrightarrow{\varepsilon_{S'}} UFS'$$

(There is $\delta: FU \to \mathbb{1}$ called the counit. For **Grp**, this is the quotient $FUG \to G$).

Let's draw the diagram here (and to prove this disgram commutes)

$$\operatorname{Mor}(FS, U) \xrightarrow{\eta_{S,V}} \operatorname{Mor}(S, UV)$$

$$\operatorname{Mor}(Ff,g) \downarrow \qquad \qquad \downarrow \operatorname{Mor}(f,Ug)$$

$$\operatorname{Mor}(FS', V') \xrightarrow{\eta_{S',V'}} \operatorname{Mor}(S', UV')$$

For the right above semicircle,

$$\operatorname{Mor}(f, Ug) \circ \eta_{S,V}(\alpha) = Ug \circ (\eta_{S,V}(\alpha)) \circ f = US \circ U\alpha \circ \varepsilon \circ f$$
$$= U(g \circ \alpha) \circ \varepsilon_S \circ f.$$

For the left below semicircle,

$$\eta_{S'V'} \circ \operatorname{Mor}(Ff, g)(\alpha) = \eta_{S'V'}(g \circ \alpha \circ Ff) = U(g \circ \alpha \circ Ff) \circ \varepsilon_{S'} = U(g \circ \alpha)$$
$$= U(g \circ \alpha) \circ UFf \circ \varepsilon_{S'}.$$

So by the natural transformation of $\varepsilon : \mathbb{1} \to UF$, the right above equals to the left below and we find a natural transformation $\eta : \operatorname{Mor}(FS, V) \to \operatorname{Mor}(S, FV)$.

As for another direction, we similarly have

$$\operatorname{Mor}(f, Ug) \circ \eta_{S,V} = \eta_{S',V'} \circ \operatorname{Mor}(FS, g),$$

or

$$\lambda_{S',V'} \cdots \circ \lambda_{S,V} = \lambda_{S',V'} \cdots \circ \lambda_{S,V}$$

implying

$$\lambda_{S',V'} \circ \operatorname{Mor}(f,Ug) = \operatorname{Mor}(Ff,g) \circ \lambda_{S,V}.$$

So F is the left adjoint of U and we prove the direction



thus η is a natural transformation.

Every vector space is the free vector space generated by a basis with the assumption of the axiom of choice.

If S and S' are isomorphic as sets, so are FS and FS'.

But if $V \simeq V'$, what about the basis? By using the isomorphism, this is equivalent to ask if bases of a vector spaces is unique up to isomorphism.

The answer is YES if assuming the axiom of choice (But the proof is tedious and we just neglect it). Thus the only invariant (intrinsic) property of a vector space are F and its dimension (the cardirdity of its basis).

3.3 Vector Subspace

定义 1 A vector subspace of the vector space V is a subset which is closed in addition and multiplication in V. So it's a vector space itself.

Obviously a subspace is also an abelian group, and the arbitrary intersection of subspace is still a subspace. Additionally, the subspace generated by a subset is equal to the span of the subset.

In fact, you can see that the construction below will be analogous to that in **Grp**.

定义 2 Let W be a subspace of V, then for $x \in V$, the *coset* is defined as $x + W = \{x + y | y \in W\}.$

命题 1 Each $x \in V$ belongs to one and only one coset of subspace W.

证明 Similar to the case of coset in **Grp**.

定义 3(Complementary Vector Space) Given U and W subspaces of V such that $U \cap W = \{0\}$ and $\operatorname{span}(U, W) = V$, then we say U and W are complementary vector spaces.

The above definition can be generalized to finite cases:

- 命題 2 Given a collection of subspaces $\{W_i\}$, $i \in I$ satisfy: first, $W_i \cap \text{span}(W_{j\neq i}) = \{0\}$, second, span($\bigcup_i W_i$) = V, then we have:
- 1). For any $x \in V$, there exits $y_i \in W_i$ such that x can be expressed by finite sum of y_i , i.e., $x = \sum_i y_i$.
 - 2) If the finite sum $\sum_{i} y_{i} = 0$, then $y_{i} = 0$ for any $i \in I$.

证明 Suppose $y_1 + \cdots + y_n = 0$, then $y_1 = -y_2 - \cdots, y_i \in W_i$. But from the definition of W_i , we get $y_i = 0$.

注 1 It's a spacial case when each W_i is of one-dimensional.

定理 1 If U and W are complementary subspaces of V, then there exits an isomorphism $U \simeq V/W$.

证明 Given $x \in U$, we define $f: U \to V/W$ by $x \mapsto x + w$. Clearly this is a linear map. Let $y \in V$, since U and W are complementary, there exists a unique $x \in U$ such that $y - x \in W$. Define $\tilde{g}: V \to U$ by $y \mapsto x$, then apparently \tilde{g} is linear and $\tilde{g}(W) = \{0\}$. Thus \tilde{g} induce a map $g: V/W \to U$ because if $x_1 - x_2 \in W$, we have $\tilde{g}(x_1) = \tilde{g}(x_2)$. Through defining $g(x_1 + w) = \tilde{g}(x_1)$, g is also linear.

Let $x \in U$, then $g \circ f(x) = g(x+w) = x \implies g \circ f = \mathbbm{1}_U$. Now consider $y+w \in V/W$ and $y=x+z, \ x \in U, z \in W$, then $g(y+w)=g(y)=x, \ f(x)=x+w=y+w$, giving $f \circ g(y+w)=y+w$. So $f \circ g=\mathbbm{1}_{V/W}$ and $U \simeq V/W$.

定理 2 For any subspace W of V, there always exists its complementary U.

证明 Consider the *poset* $\{S;\subset\}$, where $S=\Big\{$ all subspace whose intersection with W is $\{0\}$, and any chain in it. Take the union of all element in it, then this is again a subspace whose intersection with W is $\{0\}$. Thus this is a bound of the chain.

By Zorn's lemma, there eixsts a maximal element U in S. Suppose there exists one $x \in U$ that cannot be written as the sum of $y \in U$ and $z \in V$, then $U \subset \operatorname{span}(U,\{x\}) \in S$, contradicting to the maximality of U. Thus $\operatorname{span}(U,W) = U$, implying that U and W are complementary subspaces.

The zero object of **Vec** is the trivial vector space

$$\{0\} \longrightarrow V \longrightarrow \{0\}$$
.

Given $f: V \to W$, we now have

$$\ker(f) \longrightarrow V \xrightarrow{f} W$$

$$\operatorname{Im}(f) > \longrightarrow W \longrightarrow W/\operatorname{Im}(f) \longrightarrow \operatorname{coker}(f)$$

3.4 Direct Sum of Vector Spaces

定义 1(Direct Sum) Given two vector spaces V_1, V_2 (both real or complex), we denote the direct sum $V_1 \oplus V_2 = \{(x_1, x_2) | x_1 \in V_1, x_2 \in V_2\}$. For any $\alpha \in \mathbb{F}$, we define the multiplication $\alpha(x_1, x_2) = (\alpha x_1, \alpha x_2)$ and summation $(x_1, x_2) + (y_1, y_2) = (x_1 + y_1, x_2 + y_2)$, then we make $V_1 \oplus V_2$ also a linear space.

Define the canonical projection $\pi_i: V_1 \oplus V_2 \to V_i$ by $\pi_i(x_1, x_2) = x_i$ as usual and $\alpha_i: V_i \to V_1 \oplus V_2$ by $\alpha_1(x_1) = (x_1, 0)$ and $\alpha_2(x_2) = (0, x_2)$, then it's easy to find that $(V_1 \oplus V_2, \pi_1, \pi_2)$ is the product (in the sense of category) between V_1 and V_2 while $(V_1 \oplus V_2, \alpha_1, \alpha_2)$ is the coproduct. Thus we have

命題 1 Both the products and coproducts of linear speaces are the direct sum of them.

But the finiteness makes differences here:

注 1

- Finite product and coproducts of vector spaces are the same in **Vec**. Thay are all the finite direct sum.
- However, in the infinite case $V_1 \oplus V_2 \oplus \cdots \oplus \cdots$, it is the coproduct of them only if there is *finite* number of $x_i \in V_i \neq 0$, while there is no confinement on the products.

命題 2 Let W_1, W_2 be subspaces of V, then W_1 and W_2 are complementary if and only if $V \simeq W_1 \oplus W_2$.

3.5 Complex and Real Linear Space

As for this section, I recommand to refer to the textbook.

We sometimes want to go from $\mathbb R$ and $\mathbb C$ to each other. Here are some methods:

• Pretend a N-dimensional vector space over \mathbb{C} is a real vector space of 2N-dimensional. Treat the complex sum a+ib as the sum of $a\mathbb{1}+bJ$, where J is a linear transformation such that $J^2=-\mathbb{1}$. This is a funtor from $\mathbf{Vec}_{\mathbb{C}}$ to $\mathbf{Vec}_{\mathbb{R}}$. For example, $\mathbb{C}^1 \simeq \mathbb{R}^2$, i(x+iy)=-y+ix, $J\left(\begin{array}{c} x\\y\end{array}\right)=\left(\begin{array}{c} -y\\x\end{array}\right)$.

- Let J be a real matrix s.t. $J^2 = -1$ known as a *complex structure*. Then we can define $\mathbb{C}^n \simeq \mathbb{R}^{2n}$ with $i \longleftrightarrow J$. Define $P_{\pm} := (i \pm J)/2i$, one can see that $P_{\pm}^2 = \frac{-2 \pm 2iJ}{-4i} = P_{\pm}$. And $P_+ + P_- = 1$, $P_+ P_- = J/i$. For any $2n \times 2n$ matrix L acting on \mathbb{R}^n , we can decompose L into (anti-)holomorphic components with P_{\pm} .
- Given any $\mathbb{R}^n \simeq V$, define $W = V \oplus V$. Define J on W as $J = \begin{pmatrix} 0 & \mathbb{1} \\ \mathbb{1} & 0 \end{pmatrix}$.

3.6 Tensor Product

定义 1 Consider a category \mathcal{C} in which the homomorphisms themselves are objects in \mathcal{C} . For example, $\operatorname{Hom}(X,Y):\mathcal{C}^*\times\mathcal{C}\to\mathcal{C}$ in Vec , AbG and $\operatorname{Mor}(X,Y):\mathcal{C}^*\times\mathcal{C}\to\operatorname{Set}$ in Set . Then we define the tensor functor \otimes by requiring

$$\operatorname{Hom}(X \otimes Y, Z) \simeq \operatorname{Hom}(X, \operatorname{Hom}(Y, Z)).$$

Here is the construction 2 :

Suppose $F \dashv U$, and let $T_0 = FU(X \oplus Y)$, where X, Y are both vector spaces. Let K be the subspace of T_0 generated by (x+y,z)-(x,z)-(y,z), (x,w+z)-(x,w)-(x,z), (ax,y)-a(x,y) and (x,ay)-a(x,y), then

$$X \oplus Y \simeq T_0/K$$
.

So \oplus is a universal object for bilinear maps, by which we means

$$[(x,y)] = (x,y) + k = x \oplus y,$$

$$(x+y) \otimes z = x \otimes z + y \otimes z,$$

$$\alpha(x \otimes z) = \alpha x \otimes z = x \otimes \alpha z.$$

命题 1(univeral property of tensor product) To any bilinear map $\tilde{f}: X \times Y \to Z$, there exists a unique $f: X \otimes Y \to Z$ such that the diagram commutes.

证明 Let $\{a_i\}$ be a basis for X, $\{b_i\}$ for Y. Then $\{a_i \otimes b_j\}$ is a basis for $X \otimes Y$. For any $z \in X \otimes Y$, there exists $z_0 \in T_0$ s.t. $[z_0] = z$. But $z_0 = (x_1, y_1) + (x_2, y_2) + x_3 = 0$.

¹Note that Mor is the image of underlying functor on Hom.

²Note the logic here, it is because we want to construct the algebra satisfying the universal property as follows that we construct a free vector space quotient by the equivalence relation.

 $\cdots + (x_n, y_n)$. Suppose $x_k = \sum_i \alpha_k^i a_i$ and $y_k \sum_i \beta_k^i b_i$, then by the construction of $X \otimes Y$, we have a finite sum

$$z = \sum_{k=1}^{n} \sum_{i,j} \alpha_k^i \beta_k^j a_i \otimes b_i.$$

Next, suppose the finite sum $\sum_{i,j} \alpha^{i,j} a_i \otimes b_i = 0$, or $\sum_{i,j} \alpha^{i,j} (a_i, b_j) \in K$, then from K's definition $\alpha^{i,j} = 0$.

In all, we prove that $\{a_i \otimes b_j\}$ is a basis for $X \otimes Y$ and f with $f(a_i \otimes b_j) = f(a_i, b_j)$ satisfies $f(x \otimes y) = \tilde{f}(x, y)$ (write x, y in terms of a_i and b_j).

命题 2 There is one natural equivalence:

$$(X \otimes Y \to) \longleftrightarrow \operatorname{Hom}(X, \operatorname{Hom}(Y, Z)).$$

证明 Given bilinear $f: X \otimes Y \to Z$, we define $\widehat{f}: X \to \operatorname{Hom}(Y, Z)$ by

$$(\widehat{f}(x))(y) := f(x, y).$$

Conversely, given $\hat{f}: X \to \operatorname{Hom}(Y, Z)$, we can define the bilinear map conversely.

Thus the above two mapping are bijection.

推论 1 For finite dimensional space³, we have $\operatorname{Hom}(X,Y) \simeq X \otimes Y$. Particularly, we have $X^* \simeq \operatorname{Hom}(X,Y) \simeq X^* \otimes Y$.

例 1 $m \times n$ matrix is the tensor product of $\mathbb{F} \otimes_{\mathbb{F}} \mathbb{F}$.

Tensor product of left module and right module on ring \mathcal{R} , $M \otimes_{\mathcal{R}} N$.

Space of function of $(x,y) \simeq$ Space of function of x and space of function of y:

$$f(x,y) = f(0,0) + xf_x(0,0) + yf_y(0,0) + x^2 \cdots$$

QM system consist of two independent Hilbert space $\mathbf{H} = \mathbf{H}_1 \otimes \mathbf{H}_2$.

命题 3

$$\operatorname{Hom}(X,W) \simeq V^* \otimes W.$$

If W is finite dimensional, $V^* \simeq \operatorname{Hom}(V, \mathbb{F})$.

³This is not true for infinite dimensional ones.

证明 Let $f \in V$, W and $\{e_i\}$ be basis for W (we temporarily do not confine that W to be finite dimensional), define $f_i : V\mathbb{F}$ by $f(x) = \sum_{i \in I} f_i(x)e_i$ for $x \in V$. But this dose not mean that the excession $\sum_{i \in I} f_i \otimes e_i$ make sense because even if $f_i(x) \neq 0$ for finite number of i and all x, f_i , maybe nonzero for *infinite* number of i.

Consider $a \in V^* \otimes W$, we can write a finite sum $a = \sum_i f_i \otimes e_i$ for $f_i \in V^*$. Then we can define $f(x) := \sum_i f_i \otimes e_i$ for all $x \in V$ (note that this procedure cannot be reversed). Then $f \in \operatorname{Hom}(V,W)$, $\Longrightarrow V^* \otimes \to \operatorname{Hom}(V,W)$.

 $^{^4}$ Note that this expression can only be a finite sum even if W is inifnite dimensional.

Associated Algebra Category and Lie Algebra Category

4.1 Associated Algebra

定义 1 A algrbra A is a vector space with a multiplication $*: V \times V \to V$ satisfying

$$(\alpha x + y) * z = \alpha x * z + y * z,$$

$$x * (\alpha y + z) = \alpha x * y + x * z,$$

$$(x * y) * z = x * (y * z).$$

例 1 Algegra of F-value function on a set. It is commutative. And it's a contravariant functor from **Set** to **ComALG** (commutative algebra category).

Algebra of A-valued (associative algebra) function on set S. Again this is a functor.

For a group G, consider $\mathbf{Grp} \xrightarrow{U} \mathbf{Set} \xrightarrow{F} \mathbf{Vec}$, we have the multiplication of algebra inherited form group multiplication $*: FU(G) \otimes FU(G) \to FU(G)$. We call this group algebra.

By giving the homomorphism of associated algebra, that is, $f \in \text{Hom}(A, A')$, $f(a*b) \mapsto f(a)*f(b)$, we get the **ALG**.

Now we put the **ComAlG** precisely. Let $f:S'\to f$ and define $\tilde{f}:\operatorname{Mor}(S,A)\to f$

 $\operatorname{Mor}(s',a')$ by $\tilde{f}:g\to g\circ f$, where g is mapping from S to A. We need to show that such \tilde{f} is homomorphism:

$$(\tilde{f}(\alpha g_1 + g_2))(x) = (\alpha g_1 + g_2)(f(x)) = \alpha g_1(f(x)) + g_2(f(x)) = (\alpha \tilde{f}(g_1) + \tilde{f}(g_2))(x)$$
$$(\tilde{f}(g_1 * g_2))(x) = (g_1 * g_2)(f(x)) = g_1(f(x)) * g_2(f(x)) = (\tilde{f}(g_1) * \tilde{f}(g_2))(x).$$

Thus we get the category **ALG**.

Up to now we add many structures to sets, in the aspect of comlpexiity of structures we have a sequence:

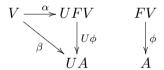
$$\mathbf{Set}\supset\mathbf{Grp}\supset\mathbf{AbG}\supset\mathbf{Vec}\supset\mathbf{ALG}\supset\mathbf{ComALG}$$

$$\mathbf{MOD}^{1}$$

Underlying functor $U : \mathbf{ALG} \to \mathbf{Vec}$, and functor F :

定义 2 A unital algebra is an algebra with unit elemnent 1 with respect to a 1 * x = x * 1 = x for any $x \in A$.

Note the distinction of $U\phi$ that it is not necessary to be unique.



Motivation: Given an algebra \tilde{V} , $V \simeq UV$ implies a unique $\phi: FV \to \tilde{V}$

命题 1

$$V \oplus V \otimes V \oplus \cdots \simeq \bigoplus_{n=1}^{\infty} V^{\otimes n}.$$

证明 Given $\beta: V \to A$, we define the algebra homomorphism $\phi: FV \to A$ by $\phi(x_1 \otimes x_2 \otimes \cdots) = \beta(x_1)\beta(x_2)\cdots$. Similar to the case in vector spaces that if we determine the homomorphism of basis, we then determine the homomorphism of vector spaces. So

$$\phi'(x) = \phi(x) \implies \phi'(y) = \phi(y), \forall y \in FV.$$

例 2 Trivial one: $V = \mathbb{F}^0 \sim \{0\}$, then $F(\mathbb{F}^0) = \{0\}$.

 $V = \mathbb{F}^1$. Define $\alpha(1) = x$, then $F(\mathbb{F}^1)$ ~algebra of polynomials with σ at origin $x^n \sim x^{\otimes n}$. And $F(\mathbb{F}^1)$ ~algebra of polynomials.

For arbitrary vector space V, we choose a basis $\{e_i\}$ for an index set $i \in I$. Then the basis of F(V) are arbitrary words.

定义 3(Two-side Ideal) Given a ring (R, +, *). A subset I is called a two-sided ideal (or simply an ideal) of R if it is an additive subgroup of R that "absorbs multiplication by elements of R", i.e.,

- 1) (I, +) is the subgroup of (R, +);
- 2) $\forall x \in I, r \in R$, both x * r and r * x are elements of I.

Given algebra A and ideal W, we have

$$(x_1 + W)(x_2 + W) = x_1x_2 + W.$$

So

$$W \longrightarrow A \longrightarrow A/W$$

and $\ker f$ is always an ideal.

Given an algebra homomorphism $f: A \to B$, we have

$$\ker f > \longrightarrow A \longrightarrow A/\ker f \sim \operatorname{Im} f$$
.

Hence subalgebra/ideals is generated by a subset.

例 3 Firstly, Category of commutative algebra.

Function on set S and ideal the set of functions vanishing on $S_0 \subset S$.

$$I_{S_0 \subset S} \longrightarrow A_S \longrightarrow A_S/I_{S_0 \subset S} \sim A_{S_0}$$
.

 $I_1 \subset I_2$. We call I the maximum ideal if the only ideal properly containing I is A itself. In this case the set of maximal ideals of $A_S \sim S$.

4.2 Lie Algebra

Lie algebra is abtracted form the commutator.

定义 1(Lie Algebra) We say algebra A is Lie algebra, if the product of A is anti-commutative² and bilinear, denoted as $[\cdot,\cdot]:A\times A\to A$, with the Jaccobi

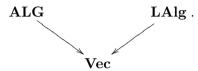
²By which we mean that $[x, x] \equiv 0$ for any $x \in A$.

identity:

$$[[A, B], C] + [[B, C], A] + [[C, A], B] = 0.$$

If the algebra A is associated, then we can define [a, b] := ab - ba (check!).

In fact, we define a underlying functor $U : \mathbf{ALG} \to \mathbf{LAlg}$ (certainly we have to define the Lie algebra homomorphism before: for $\phi : V_1 \to V_2$, we assign $\phi([x, y]) = [\phi(x), \phi(y)]$):



Generally, we can alway decompose ab as two parts: anti-commutative product (by commutator) and commutative product (by anti-commutator), $ab \equiv \frac{1}{2}([a,b] + \{a,b\})$.

4.3 Universal Enveloping Algebra

We already have

$$\mathbf{LiAlg} \stackrel{F_L}{\longleftarrow} \mathbf{Alg}$$

and $F \vdash U$.

定义 1 The free associative algebra for a Lie algrbra $Universal\ enveloping\ algebra$, short for UEA.

Here is the construction:

Let's start with Lie algrabra V. Use the $F_V : \mathbf{Vec} \to \mathbf{Alg}$ that $F_V V = V \oplus V \otimes \cdots$. Now we try to use $[\cdot, \cdot]$ on V. Consider the ideal I generated by $x \otimes y - y \otimes x - [x, y]$, then $F_V V / I \sim F - LV$ satisfying the universal property that

$$V \longrightarrow UF_LV \qquad F_LV \longrightarrow A$$

定义 2(Casmir Subalgebra) Given a associateive algebra A, elements x which commute with all A is called the *center* of A. The center of F_LV is called the *Casmir Subalgebra*.

例 1

- 1) $[L_i, L_j] = i\varepsilon_{ijk}L_k$. Then $L^2 \equiv \sum_i L_iL_i$ is the Casmir subalgebra.
- 2) Cross pruducts. One can see that this is isomorphic to that in 1).

3) Poincare Group. In Mincowski space, translation P^{μ} , spacetime variation $\Lambda_{\mu\nu}$ (called the Lorentz transformation) forms the Lie algebra and the Casmir subalgebra is exactly the mass shell

$$p^{\mu}p_{\mu}=m^2.$$

In fact, in mathematics, we define particles to the irreducible representation of Lie algebra of Poincare group.

Here is one intuitive understanding of F_L (UEA).. Given $x, y \in V$, we have xy - yx = z if z = [x, y]. Forms the basis of V, $\{e_i\}$. We As a vector space, so the UEA has basis

$$e_i; e_{i1}, e_{i2}; e_{i1}, e_{i2}, e_{i3}; \cdots$$

例 2(Classical Mechanics VS Quantum Mechanics) In classcal mechanics, we have a *phase space* M, for example, \mathbb{R}^2 and a function on M of variables p and q subject to the relation $\{q, p\} = 1$. In contrast, in quantum mechanics, we have a Hilbert space \mathbf{H} and two abstract object as operation on \mathbf{H} , namely P and Q, with the relation $[Q, P] = i\hbar$.

Functions on M form a vector space V (think of polynomials). Now consider the free associative free associative algebra FV, where $V = \mathbb{R}^2$ spanned by p, q. Then

$$I_0 = \text{ideal generated by } pq - qp,$$

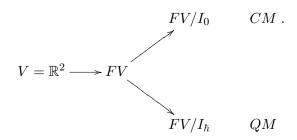
 $I_{\hbar} = \text{ideal generated by } pq - qp - i\hbar$

then

$$F_1 V/I_0 \sim \text{ classical polynomial in } (p,q),$$

 $F_1 V/I_\hbar \sim \text{ quantum polynomial in } (p,q),$

or



Thus there is not a natural way to quantize a classical algebra to quantum one, i.e., no one to one correspondence.

4.4 Physical Application

4.4.1 Multi-particle State

Denote the quantum state space of one particle as linear space V, and W then the combined state of them are natural to be $V \otimes W$. This can be generalized to N particle case in which N-particle state is

$$V \otimes \cdots \otimes V \equiv V^{\otimes N}$$
.

However, in physics, it'a crucial perspective to consider the exchange of paritcles, and experimentally there does exist a somehow strange result called "indistinguishality in principle". So physically the N-particle state must be the quotient space under the quotient of equivalent relations. For example, for two particle case, the state space should be $V \otimes V/\mathbb{Z}_2$.

In the representation theory of four dimensional Poincare group, we can prove that³ there is only two possibilities to act on the tensor product state:

$$x_1 \otimes x_2 \mapsto x_2 \otimes x_1,$$

 $x_1 \otimes x_2 \mapsto -x_1 \otimes x_2.$

注 1 In my proof, note that we use the fact that **there exits no nontrivially** one dimensional representation of rotational group to exclue the parameter in the exchanging factor $\alpha(p_1, p_2; \sigma_1, \sigma_2; n)$ of multical-particle state.

For N-particle state, we should have $V^{\otimes}N/P_N$, where P_N is the permutation group. Also, under such representation, we have

$$x_1 \otimes \cdots \otimes x_N \mapsto x_{k_1} \otimes \cdots \otimes x_{k_N},$$

 $x_1 \otimes \cdots \otimes x_N \mapsto (-1)^{|P_N|} x_{k_1} \otimes \cdots \otimes x_{k_N},$

where $|P_N|$ is the number of transposition.

³cf. My notes of Quantum Field Theory, chapter four.

4.4.2 Fock Space

定义 1(Fock Space)

$$\mathbb{F} \oplus V^{\otimes 2}/P_2 \oplus \cdots \oplus V^{\otimes N} \oplus \cdots.$$

This space has interpretations as following:

- 1) Physically, this is the correct state space for any number of partcles.
- 2) Mathematically, this is the free associative (anti-)commutative algebra generated form V.

Let's consider the case of bosons in which $V = \mathbb{F}$, then Fock space is isomorphic to the polynomial. Under the multiplication of x, we have the new polynomial

$$x(a_0 + a_1x + \cdots + a_nx^n)$$

interpreted as adding one new particle in our system. And that's exactly the creation operator in physics. Similarly, with the Heisenberg algebra that $[\partial_x, x] = 1$, we have the creationa and annihilation operator

$$[a_-, a_+] = 1.$$

Let's given a more mathematical introduction of these two operators:

定义 2(Symmetrizer and Antisymmetrizer) The symmetrizer and antisymmetrizer, both mapping from $V^{\otimes N}$ to $V^{\otimes N}$, is defined as

$$\operatorname{Sym}(x_1 \otimes \cdots \otimes x_m) = \frac{1}{n!} \sum_{k \in P_N} x_{k_1} \otimes \cdots \otimes x_{k_N}$$
$$\operatorname{ASym}(x_1 \otimes \cdots \otimes x_m) = \frac{1}{n!} \sum_{k \in P_N} (-1)^{|P|} x_{k_1} \otimes \cdots \otimes x_{k_N}$$

定义 3(Creation Operator) For an arbitrary $x \in V$, we define the creation operator C_x acting on bosonic space F_B such that $y \mapsto \operatorname{Sym}(\mathbf{x} \otimes \mathbf{y})$ for $y \in F_B$.

定义 4(Annihilation Operator) Given $f \in V^*$ such that $f(x_1 \otimes x_2 \cdots x_n) = f(x_1)x_2 \otimes \cdots \otimes x_n$, we define the annihilation operator D_f such that for all $y \in F_B$, $D_f : y \mapsto f(y)$.

性质 1

$$[D_f, D_y] = 0, \quad [D_f, C_x] = f(x), \quad [C_x, C_y] = 0.$$

Now that we have $i_A: A \to T$, we choose a basiss as in 2° . Again we define $i_S(e_{k_1}, \dots, e_{k_n}) = e_{k_1} \otimes e_{k_n}$. If $k_1 < k_2 < \dots < k_n$, this again defines a linear map $A \to T$ uniquely s.t. $\pi_S \circ i_S = 1$. Again basis and ordering are dependent.

Or define

$$i_{S} = \frac{1}{n!} \sum_{k \in \text{Perm}(n)} (-1)^{|k|} x_{k_{1}} \otimes \cdots \otimes x_{k+1}.$$

$$T \longrightarrow A \longrightarrow C,$$

A acts on A by left product $x_1 \wedge x_2$. A S acts on S, x_1x_2 , generated by $xy = \prod (x \otimes i_S(y))$ for all $x \in V$, $f \in V^*$ and $y \in S$. To get H, use $fy = \prod_S \circ f \circ i_S(y)$.

For A, everything is analogous, called Grassman Algebra. For $x \in V$, $f \in V^*$, when acting on A, we have

$$\{x_1, x_2\} = -, \quad \{f_1, f_2\} = 0, \quad \{x, f\} = 0.$$

This is called the Clifford algebra.

$$V \longrightarrow T \longrightarrow T/x^2 = 0 \longrightarrow A$$
.

A graded commutative algebra $A = A_{\text{odd}} \oplus A_{\text{even}}$ such that $\begin{bmatrix} & & \text{odd} & \text{even} \\ & & \text{odd} & \text{anti-} \\ & & \text{even} & \text{comm} \end{bmatrix}$

Representation Category

5.1 Group Representation

定义 1Representation We say object A represents the object P, if we assign A with an Endormorphism on P, by which we mean End(P) = Hom(P, P).

例 1

- 1) Representation of **ALG** and **LIE** is a mapping from object in these category to **Vec**, or precisely $A \to GL(V)$.
 - 2) **AbGRP** represents **RING**: $R \to \text{Hom}(A)$.

Given $r_i: A \to \operatorname{End}(V_i)$, we already define $r: A \to \operatorname{End}(V_1 \otimes V_2)$, let's try

$$r(a)(x_1 \otimes x_2) = (r_1(a)x_1) \otimes (r_2(a)x_2),$$

then

$$r(ab)(x_1 \otimes x_2) = r_1(a)r_1(b)(x_1) \otimes r_2(a)r_2(b)(x_2)$$

while

$$r(a)r(b)(x_1\otimes x_2)=r(a)\bigg(r_1(b)x_1\otimes r_2(b)(x_2)\bigg).$$

But by composition of homomorphisms, we know the above two equtions are equal to each other. It's also easy to check that the attempt above violate r(a+b) = r(a) + r(b) and $r(\alpha a) = \alpha r(a)$, which we want both them to be true.

Let's consider another $natural^1$ attempt. Define

$$r(a)(\alpha x_1 \otimes x_2) := \alpha_1 r(a)(x_1) \otimes x_2 + \alpha_2 x_1 \otimes r(a)(x_2),$$

with constants α_i waiting to be determined. This time, we have

$$r(ab)(x_1 \otimes x_2) = \alpha_1(r_1(a)r_1(b)x_1) \otimes x_2 + \alpha_2 x_1 \otimes (r_2(a)r_2(b)x_2),$$

while

$$r(a)r(b)(x_{1} \otimes x_{2}) = r(a)(\alpha_{1}r(b)(x_{1}) \otimes x_{2} + \alpha_{2}x_{1} \otimes r(b)(x_{2}))$$

$$= \alpha_{1} \left(\alpha_{1}r_{1}(a)r_{1}(b)x_{1} \otimes x_{2} + \alpha_{2}r_{1}(b)x_{1} \otimes r_{2}(a)x_{2}\right) +$$

$$+ \alpha_{2} \left(\alpha_{1}r_{1}(a)x_{1} \otimes r_{2}(b)x_{2} + \alpha_{2}x_{1} \otimes r_{2}(a)r_{2}(b)x_{2}\right).$$

These two are equal to each other only if $\alpha_1\alpha_2 = 0$. WLOG taking $\alpha_2' = 0$, $\alpha_1^2 = \alpha_1 \implies \alpha = 1$ or 0. However, this result is not well as we expected before, it is just replication of the discrete representation before, and all these bad consequences result from the offending term $\alpha_1\alpha_2\left(r_1(b)x_1\otimes r_2(a)x_2 + r_1(a)x_1\otimes r_2(b)x_2\right)$. That's why we introduce the representation of Lie algebra below.

5.2 Representation of Lie Algebra

Given two representations $r_i: L \to \operatorname{End}(V_i)$, then we are to consider $r: L \to \operatorname{End}(V_1 \otimes V_2)$. We want

$$r([a,b]) = (r(a)r(b) - r(b)r(a))$$

. On the one hand,

$$(r(a)r(b) - r(b)r(a))(x_1 \otimes x_2) = \alpha_1^2 r_1([a,b])x_1 \otimes x_2 + \alpha_2^2 x_1 \otimes r_2([a,b])x_2,$$

since we want it equals to $r([a, b])(x_1 \otimes x_2)$, we need $\alpha_1^2 = \alpha_1$ and $\alpha_2 = \alpha_2$, which has one nontrivial solution $\alpha_1 = \alpha_2 = 1$. So we are done.

¹By natural we mean that we can only use the given conditions in addition to the unital mapping and zero mapping.

例 1 Consider the angular momentum in QM in three-dimnesional space $[j_1, j_j] = \varepsilon_{ijk}J_k$, which is exactly the Lie algebra of sl(2). From the aspect of physics, the total momentum is $J = \sum_{\alpha} J_{\alpha}$, but from representation theory, each individual part corresponds to a representation, i.e.,

$$r(a)(x_1 \otimes \cdots) = r_1(a)x_1 \otimes x_2 \otimes \cdots + x_1 \otimes \cdots \otimes r_k(a)x_k \otimes \cdots + \cdots$$

定义 1(Dual Representation) Given $r: G \to \operatorname{End}(V)$, we define $r*: G \to \operatorname{End}(V^*)$ such that

注 1 Note that this does not work for Alg.

注 2 Whenever where is a vector space representation of a Lie algrbra, there is a corresponding representation for its universal envoloping algebra.

定义 2(Irreducible Representation) A representation is said to be *irreducible* if it has no nontrivial proper² subspaces.

定理 1(Schur's Lemma) Given an irreducible representation r over complex vector space V and $f \in \text{End}(V)$ such that fr(a) = r(a)f for any $a \in G$, then we must have f a multiple of identity, i.e.,

$$f = \alpha 1$$
.

证明

作业 p130: 144, 147.

²By proper we mean the subrepresentation is properly smaller than the representation itself.

Category of Topology

6.1 topological Space

定义 1(topological Space) Given a set S and T the collection of all subset of S, then we say S is a topological space iff

- 1) $S \in T, \emptyset \in T$,
- 2) Arbitrary union of elements in T belongs to T,
- 3) Finite intersection of elements in T belongs to T. and
- 例 1 \mathbb{R} : open set is just the open interval.
- 定义 2 Given a set S, if T is the set of all subsect of S, then we call S the space with discrete topology, while if $T = \emptyset$, S, we call S the space with trivial topology.
 - 定义 3 Close set is just the complement of some open set.

There is a natural partial ordering¹ on the collection of subset of S. So there is always a partial ordering on topology. Now given a collection $\{T_{\alpha}\}$ of all topology on S, then the intersection T of all T_{α} is also the topology on S such that

- 1) $T \subset T_{\alpha}$,
- 2) If there exists $T' \subset T_{\alpha}$ for all α , then $T' \subset T$. We call T the finest topology coarser than all other T_{α} .

Given a family $\{A_I\}$ of subsets of S. The intersection of all topologies T for

¹Not have to be complete ordering because any arbitrary subset may not be inclusion of another one.

which $A_I \subset T$ for all I is called the topology generated by A_I .

定义 4 Given $A \subset S$, the *interior* of A is the union of all sets that belongs to A, the *closure* fo A is the intersection of all closed sets containg A, and the boundary is the difference of set closure\interior.

定义 5(Metric Space)

例 2 For \mathbb{R}^n , the standard topology is obtained by

$$d(x,y) = \sqrt{x^2 + y^2}.$$

定义 6 A topological space is call a Hausdorff one (or T_2) is given any two point, one can always find their neighborhood such that they do not intersect with others.

6.2 Category

6.3 Algebric Topology

6.3.1 Fundamental Groups

Motivation and Basic Ideas

Computation Methods

First we introduce "lego blocks" of topological spaces. We do not start with standard definition, but give a geometric description of them:

定义 1(Simplexes) A r-simplex is

- 1) a collection of r+1 points in \mathbb{R}^r ;
- 2) All points are non-degeneracy, i.e., are not on the same (r-1) dimentional hypersurface, or all vectors starting at one origin are independent;

3)
$$X = \sum_{i} \lambda^{i} a_{i}, \lambda^{i} \ge 0, \sum_{i} \lambda^{i} = 1$$
 (finite sum).

注 1 It's easy to see that each r-simplexes owns r+1 edges.

例 1 Let's look at topological things built by these simplexes.

To make a composition of simplexes, one must require:

1) A collection of r-simplexes;

- 2) Glue them all together clears, by which we mean that their intersections must also be lower dimentional simplexes;
 - 3) All faces of s simplex are also in the collection.
- 定义 2 Simplicial complexes are collection of simplexes that are nicely fitted together, by which one can cf. Nakahara.
 - 定义 3 A topological space homeomorphic to polyhedron is called *triangulable*.
- 注 2 Not all topological spaces are triangulable, for example, unbounded \mathbb{R}^n (but whether is triangulable has nothing to do with boundness) and *infinite number* of discrete points (for it cannot be written as finite sum of 0-simplexes).

Relation to Homotopy

 π_1 is the homotopic classes of $\mathcal{C}(S,X)_0$. Now take X as polyhedron, and base point as the 0-simplexes of X, then we can consider those paths made up of adjacent i-simplexes.

6.3.2 Homology Group

cf. Nakahara.