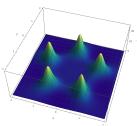
# Topology in Physics Some recent applications: 1

Calum Ross, Keio University

NBMPS Lectures September 2020



A primer on Topological Solitons

**NBMPS** 

#### Outline

- What is a soliton?
- 2 Examples of solitons in 1+1D
- 3 Scaling arguments and stability in higher dimensions
- 4 Higher dimensional examples

#### **Good References**

Topological Solitons show up under many guises in different areas of physics.

- Instantons, monopoles and vortices in Gauge theories.
- Vortices in superconductors and superfluids.
- Skyrmions in magnetic materials.
- Kinks or domain walls in one dimensional magnetic materials.

There are several good references if you want to know more about any of the topics that I will mention:

- Coleman: Aspects of Symmetry,
- Manton and Sutcliffe: Topological Solitons,
- Rajaraman: Solitons and Instantons,
- and many more....

A lot of this first lecture will follow the book by Coleman.

#### What is a soliton?

The first question to answer is what we mean by a soliton.

- In most field theories finite energy, non-singular, solutions will dissipate to the vacuum given enough time.
- There are examples of field configurations for which this is not true. This is essentially what we mean by a soliton.
- All of the examples that we construct will be static field configurations that sustain themselves through self-interaction.
- In a Lorentz or Galilean invariant theory these static solitons can be boosted to give time-dependent solutions

#### Definition of a soliton

There are two definitions to have in mind.

- (weak) A finite energy, non-singular, solution of the classical equations of motion that does not dissipate and can propagate.
- (strong) The weak definition plus survives scattering with other such solutions.

Historically the weak definition defines a lump while the strong definition defines a soliton e.g in Coleman. We will use them interchangeably.

What about a topological soliton? Same idea but stability is related to the topology of the space of field configurations.

## Examples

The typical first examples of theories possessing topological solitons are in 1+1 dimensions.

•  $\phi^4$  theory with

$$\mathcal{L} = \frac{1}{2} \partial_{\mu} \phi \partial^{\mu} \phi - \frac{\lambda}{2} \left( \phi^2 - a^2 \right)^2,$$

 $\lambda \in \mathbb{R}_+$  and  $a^2 = \frac{\mu^2}{\lambda}$  in terms of the usual mass parameter.

• Sine-Gordon theory with

$$\mathcal{L} = \frac{1}{2} \partial_{\mu} \phi \partial^{\mu} \phi - \frac{\alpha}{\beta^{2}} \left( 1 - \cos \left( \beta \phi \right) \right),$$

 $\alpha \in \mathbb{R}, \beta \in \mathbb{R}_+.$ 

These examples showcase a lot of the features that are encountered when studying solitons.  $\mu=0,1, {\rm diag}(g)=(1,-1).$ 



#### Vacuum manifolds

Before exploring these examples in more detail we want to give some generalities about 1+1D field theories.

• For a theory with energy

$$E = \int dx \left[ \frac{1}{2} (\partial_0 \phi)^2 + \frac{1}{2} (\partial_1 \phi)^2 + U(\phi) \right]$$

the energy is bounded below if  $U(\phi)$  is. We will assume that  $E \geq 0$  if not can add constant to E such that this is true.

• A  $\phi_0$  such that

$$\partial_0 \phi_0 = \partial_1 \phi_0 = U(\phi_0) = 0$$

is called a vacuum or ground state.

• The set of ground states,

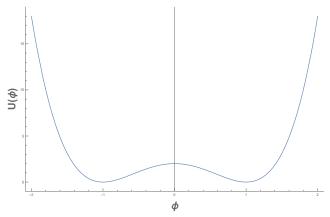
$$\mathcal{V} = \{\phi | U(\phi) = 0\}$$

is often called the vacuum manifold.



# $\phi^4$ Theory

For  $\phi^4$  theory the potential,  $\frac{\lambda}{2}\left(\phi^2-a^2\right)^2$  has two, repeated, zeros at  $\phi=\pm a$ 



and the vacuum manifold is

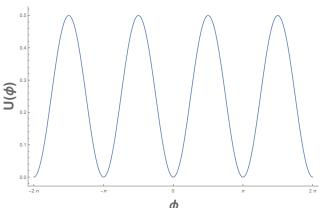
$$\mathcal{V} = \{-a, a\}.$$



8/30

## Sine-Gordon Theory

For Sine-Gordon theory the potential,  $\frac{\alpha}{\beta^2} \left(1 - \cos\left(\beta\phi\right)\right)$  is periodic with period  $\frac{2\pi}{\beta}$ 



with the vacuum manifold

$$\mathcal{V} = \{ \phi = \frac{2\pi}{\beta} k | k \in \mathbb{Z} \}.$$

#### Kinks in one dimension

To find static soliton solutions we solve

$$\delta \int dx \left[ \frac{1}{2} (\partial_1 \phi)^2 + U(\phi) \right] = 0$$

subject to

$$\lim_{x \to \pm \infty} \phi(x) = \phi_{\pm} \in \mathcal{V}.$$

This asymptotic condition is there to ensure finiteness of the energy.

- If  $\mathcal{V}$  has one-point then the only solution is  $\phi_0 \in \mathcal{V}$ .
- If  $\mathcal V$  has more elements then there exist solitons, called kinks in 1D interpolating between neighbouring elements.

## Topology

The asymptotics,  $(\phi_-, \phi_+) \in \mathcal{V} \times \mathcal{V}$  classify the solutions.

- $\phi_- < \phi_+, \phi$  monotonically increasing and the solution is a soliton,
- $\phi_- > \phi_+$ ,  $\phi$  monotonically decreasing and the solution is an anti soliton.

This is where the topology appears.

- When  $\phi_- = \phi_+$  the configuration can be deformed to  $\phi_+$ , via a finite energy path.
- $\phi_- \neq \phi_+$  the configuration can not be deformed to one in  $\mathcal{V}$ .

This means that the space of field configurations is disconnected. Topology comes from the connected components of V,  $\pi_0(V)$ .



# Topology in $\phi^4$

For the  $\phi^4$  theory  $\mathcal{V} = \{-a, a\}$ ,  $\pi_0(\mathcal{V})$  is also a two element set, and there are four possibilities for the asymptotic configuration:

- (-a, -a) equivalent to  $\phi = -a$ ,
- (a, a) equivalent to  $\phi = a$ ,
- (-a, a) a soliton,
- $\bullet$  (a, -a) an anti soliton.

We can differentiate between these by the topological charge

$$N = \frac{1}{2a} \int_{-\infty}^{\infty} dx \frac{d\phi}{dx} = \frac{\phi_{+} - \phi_{-}}{2a} \in \mathbb{Z}.$$

For a soliton N = 1, and and anti soliton N = -1.



12/30

## Topology in SG

- Some thing similar can be done in Sine-Gordon theory.
- Here  $\pi_0(\mathcal{V}) = \mathbb{Z}$  so there is a richer topology as the topological charge is not limited to -1, 0, 1.
- Topological charge is

$$N = \frac{\beta}{2\pi} \int_{-\infty}^{\infty} dx \frac{d\phi}{dx} = \beta \frac{\phi_{+} - \phi_{-}}{2\pi} \in \mathbb{Z}.$$

• Again kinks have N > 0 and anti kinks have N < 0.



13/30

## Bogomol'nyi equations and kinks

In 1+1D there is a completing the square argument, explained in detail in the notes, that gives

$$E = \int_{-\infty}^{\infty} dx \left[ \frac{1}{2} \left( \partial_1 \phi \mp \sqrt{2U(\phi)} \right)^2 \pm \sqrt{2U(\phi)} \frac{d\phi}{dx} \right] \ge \left| \int_{\phi_-}^{\phi_+} d\phi \sqrt{2U(\phi)} \right|$$

As  $U(\phi)$  is bounded there is a "superpotential" W such that  $U=\frac{1}{2}\left(\frac{dW}{d\phi}\right)^2$ . Thus

$$E \ge |W(\phi_+) - W(\phi_-)|,$$

with equality when the Bogomol'nyi equations are satisfied

$$\partial_1 \phi \mp \sqrt{2U(\phi)} = 0$$



14/30

## Solving Bogomol'nyi

• The Bogomol'nyi equations can be solved by quadratures

$$x - x_0 = \pm \int_{\phi_0}^{\phi} \frac{d\varphi}{\sqrt{2U(\varphi)}}.$$

- $x_0$  is the centre of the kink,  $\phi_0 = \phi(x_0)$  is a point between  $\phi_-$  and  $\phi_+$ .
- ullet We will see explicit examples for both the  $\phi^4$  and Sine-Gordon theories.
- The Bogomol'nyi equations imply the full equations of motion so their solutions give solitons.
- $\phi^4 \sim$  lumps (weak definition).
- Sine-Gordon  $\sim$  solitons (strong definition).

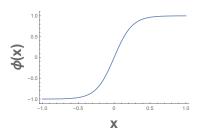
## $\phi^4$ kinks

For the kink in  $\phi^4$  theory we take  $\phi_{\pm}=\pm a$  and  $\phi_0=\phi(0)=0$  such that

$$x = \pm \frac{1}{\mu} \int_0^{\phi} \frac{d\varphi}{\frac{\varphi^2}{a^2} - 1} = \mp \frac{1}{\mu} \operatorname{arctanh} \left(\frac{\phi}{a}\right).$$

This inverts to give the kink

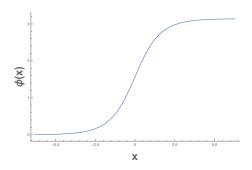
$$\phi(x) = a \tanh(\mu x).$$



#### SG kinks

A similar approach works in Sine-Gordon theory to give

$$\phi(x) = \frac{4}{\beta} \arctan\left(\exp\left(\sqrt{\alpha x}\right)\right)$$



Sine-Gordon model is integrable and can construct higher charge kinks from this basic one.

#### Derrick's Theorem I

- We just saw two examples of topological solitons and that we could find minimising field configurations by solving first order equations. Why is this not more familiar?
- In dimensions higher than 1 + 1 it is difficult to find theories with static soliton solutions.
- This is due to a scaling result known as Derrick's Theorem.

#### Derrick's Theorem II

#### Theorem (Derrick's Theorem)

Consider a vector,  $\phi$ , constructed from n-scalar fields in 1+D dimensions,

$$\phi: M^{1+D} \to N^n$$
.

Assume that the dynamics of the fields is governed by

$$\mathcal{L} = \frac{1}{2} \partial_{\mu} \phi \cdot \partial^{\mu} \phi - U(\phi),$$

with U non-negative and bounded below. Then for D>2 the only non-singular, finite energy, static solutions are elements of  $\mathcal{V}$ , the ground states.

19/30

## Derrick's Theorem proof I

It is worth thinking about the proof of this result. Define

$$V_1 = \frac{1}{2} \int d^D x (\nabla \phi)^2,$$
$$V_2 = \int d^D x U(\phi).$$

 $V_i \ge 0$ . Next given a static solution  $\phi(x)$  we can define the scaled solution

$$\phi_{\lambda}(\vec{x}) \equiv \phi(\lambda \vec{x}), \qquad \lambda \in \mathbb{R}_{+}.$$

We then have the scaled potential

$$V_{\lambda} = \lambda^{2-D} V_1 + \lambda^{-D} V_2.$$



20/30

## Derrick's Theorem proof II

Critical points are solutions of

$$\left. \frac{dV_{\lambda}}{d\lambda} \right|_{\lambda=1} = -\left[ (D-2) V_1 + D V_2 \right] \stackrel{!}{=} 0.$$

D > 2 and  $V_i \ge 0$  so the solution is  $V_1 = V_2 = 0$ .

For D = 2  $V_2 = 0$  by the same logic but  $V_1$  is scale invariant and there are non-vacuum solutions.

Another way to state the proof of Derrick's Theorem is that the scaled potential ,  $V_{\lambda}$  is monotonically decreasing as  $\lambda$  increases and thus has no stationary points.

## Circumventing Derrick's Theorem

- There are several ways to circumvent Derrick's Theorem and find solitons in higher dimensions.
- Including: Adding higher order terms to the energy, e.g. the Skyrme term or a sextic term.
- Adding terms which are not bounded below, we will encounter this on Wednesday.
- Constructing time dependent solutions.
- Coupling to gauge fields.

## Higher dimensional examples

- On Wednesday we will spend our time studying one particular two dimensional example. A recently introduced solvable model of skyrmions in chiral magnets.
- Before doing that we will encounter two other two dimensional models, one gauged the other not.

## Abelian-Higgs Model I

- Consider scalar U(1) gauge theory in 2D. Fields are  $\phi: \mathbb{R}^2 \to \mathbb{C}$  and connection A.
- The potential is like  $\phi^4$

$$U(\phi) = \frac{\lambda}{2} (|\phi|^2 - a^2)^2.$$

• The vacuum manifold is thus

$$\mathcal{V} = \{ \phi = ae^{i\sigma} | \sigma \in \mathbb{R} \} \simeq S^1.$$

• The topology comes from  $\pi_1(S^1) = \mathbb{Z}$ , as  $\partial \mathbb{R}^2 \simeq S^1_{\infty}$  and  $\phi: S^1_{\infty} \to \mathcal{V}$ , with topological charge

$$n = \frac{1}{2\pi} \oint d\ln \phi = \int_0^{2\pi} \frac{d\sigma}{2\pi}.$$

• Again the topology arises from the boundary condition.

### Abelian-Higgs Model II

This model describes vortices in superconductors with magnetic flux  $\Phi = \frac{2\pi n}{c}$ .

- In general constructing explicit vortex configurations in this model is hard.
- There are several new integrable variations on this model on Riemann surfaces.
- The static energy is

$$E = \frac{1}{2} \int d^2x \left[ \frac{B^2}{\Omega} + \overline{D_i \phi} D^i \phi + \frac{\lambda \Omega}{4} \left( 1 - |\phi|^2 \right)^2 \right],$$

 $\Omega$  conformal factor of  $ds^2 = \Omega\left[\left(dx\right)^2 + \left(dy\right)^2\right]$ ,  $D_i\phi = \partial_i\phi - iA_i$  and  $B = \partial_1A_2 - \partial_2A_1$ .



## Integrable generalisations of AH I

• In the critically coupled model  $\lambda=a^2=1$  there are Bogomol'nyi equations

$$B = \frac{\Omega}{2} (1 - |\phi|^2), \qquad D_1 \phi + i D_2 \phi = 0.$$

- Such that E bounded by the flux of  $B, E \ge \pi N$ .
- Can combine the Bogomol'nyi equations into

$$\frac{1}{\Omega}\nabla h + 1 - e^h = 0, \qquad h = 2\log|\phi|.$$

• On Hyperbolic space, with local  $\mathbb{C}$  coord z and  $\Omega = \frac{8}{(1-|z|^2)^2}$ , this is Liouville's equation solved by

$$h = \log\left(\frac{2}{1 - |f|^2} \left| \frac{df}{dz} \right| \right)$$

for  $f: H^2 \to H^2$  a rational map, See Witten 1977.



## Integrable generalisations of AH II

 There are further integrable generalisations corresponding to constant curvature Riemann surfaces

$$B = -\frac{\Omega}{2} (K_0 - K|\phi|^2), \qquad D_1 \phi + i D_2 \phi = 0$$

- Here  $K_0$  and K are the Gauss curvature of constant curvature Riemann surfaces.
- For more details see N. Manton Five vortex equations 2016 and A. Popov Integrable vortex-type equations on the two-sphere 2012.

## Integrable generalisations of AH III

The integrable cases are

- $K_0 = K = -1$  Hyperbolic vortices on  $H^2$ ,
- ②  $K_0 = K = 1$  Popov vortices on  $S^2$ ,
- $K_0 = 0, K = 1$  Jackiw-Pi vortices on  $\mathbb{R}^2$ ,
- $K_0 = -1, K = 1$  Ambjorn-Olsen vortices on  $H^2$  and,
- $K_0 = -1, K = 0$  Bradlow vortices on  $H^2$ .

The vortex solutions are given by rational maps.

They are also encoded in flat non-Abelian connections valued in three dimensional Lie groups. This direction is explored in CR and B. Schroers 2018.

28/30

# O(3) sigma model

- The other two dimensional model to briefly mention is the O(3) sigma model.
- The static energy is

$$E[m] = \frac{1}{2} \int_{\mathbb{R}^2} d^2 x (\nabla m)^2, \quad m: \mathbb{R}^2 \to S^2$$

 $\bullet$  Finite energy solutions extend to  $m:S^2\to S^2$  with topological charge

$$Q[m] = \frac{1}{4\pi} \int d^2x \left( m \cdot \partial_1 m \times \partial_2 m \right).$$

• This permits a Bogomol'nyi rewriting as

$$E[m] = \frac{1}{2} \int_{\mathbb{R}^2} (\partial_1 m \pm m \times \partial_2 m)^2 + 2\pi |Q[m]|.$$



## O(3) Bogomol'nyi equations

• The Bogomol'nyi equations are

$$\partial_1 m \pm m \times \partial_2 m = 0$$

whose solutions are the minimisers in a given topological sector.

- On Wednesday we will see examples of soliton solutions to these Bogomol'nyi equations, usually called lumps.
- The model of chiral magnets that we will study is a generalisation of the O(3) model which includes extra terms.

30/30