

# LECTURE 8

Parsing

# OVERVIEW

Last lecture, we talked about the difference between LL and LR grammars. In this lecture, we will be focusing on LL grammars. Even though an LL grammar tends to be more difficult to understand, an LL parser is simpler to write.

There are two types of LL parsers which we will cover: Recursive Descent Parsers and Table-Driven Top-Down Parsers.

We talked about how to write a Recursive Descent Parser for an LL grammar. Today we'll give an example use of one.

For the remainder of the lecture, we will introduce Table-Driven parsing.

# RECURSIVE DESCENT PARSER

Let's review the grammar we used last time.

We created subroutines for each of the non-terminal symbols. Each subroutine is responsible for identifying valid tokens that can be encountered at that point, and either matching them or calling another subroutine.

$$\begin{aligned} \text{program} &\rightarrow \text{expr} \\ \text{expr} &\rightarrow \text{term expr\_tail} \\ \text{expr\_tail} &\rightarrow + \text{term expr\_tail} \mid \epsilon \\ \text{term} &\rightarrow \text{factor term\_tail} \\ \text{term\_tail} &\rightarrow * \text{factor term\_tail} \mid \epsilon \\ \text{factor} &\rightarrow ( \text{expr} ) \mid \text{int} \end{aligned}$$

# RECURSIVE DESCENT PARSER

Let's see our parser in action. Let's say we write the following code:

$$( 1 + 2 ) * 3$$

Our scanner breaks it up into the following tokens:

‘(’   int   ‘+’   int   ‘)’   ‘\*’   int   ‘\$’



Remember, we have an end-of-input  
signifier (such as EOF).

# RECURSIVE DESCENT PARSER

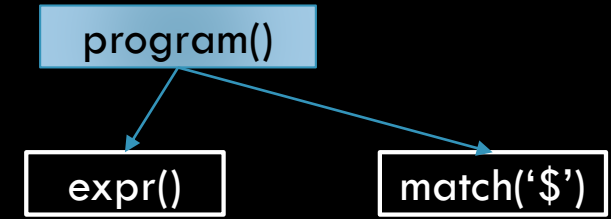
‘(’   int   ‘+’   int   ‘)’   ‘\*’   int   ‘\$’



We will keep track of our tokens above, and the *call graph* is at the top-right for reference.

Now let's look at the subroutine we created.

# RECURSIVE DESCENT PARSER



`(' int '+' int ')' '*' int '$'`



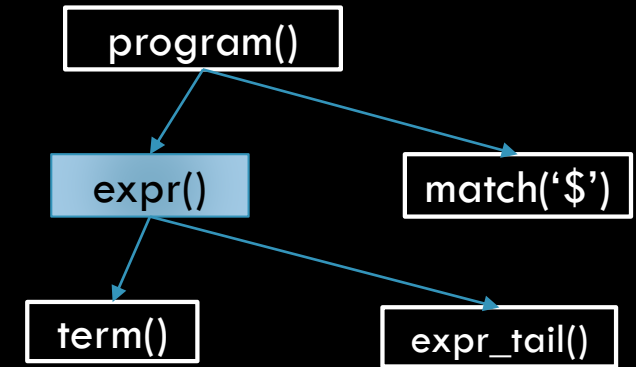
procedure program

case input of:

`'('`, int: `expr()` `match('$')`

else error

# RECURSIVE DESCENT PARSER



`(' int '+' int ')' '*' int '$'`



procedure `expr`

case input of:

`'('`, int: `term()` `expr_tail()`

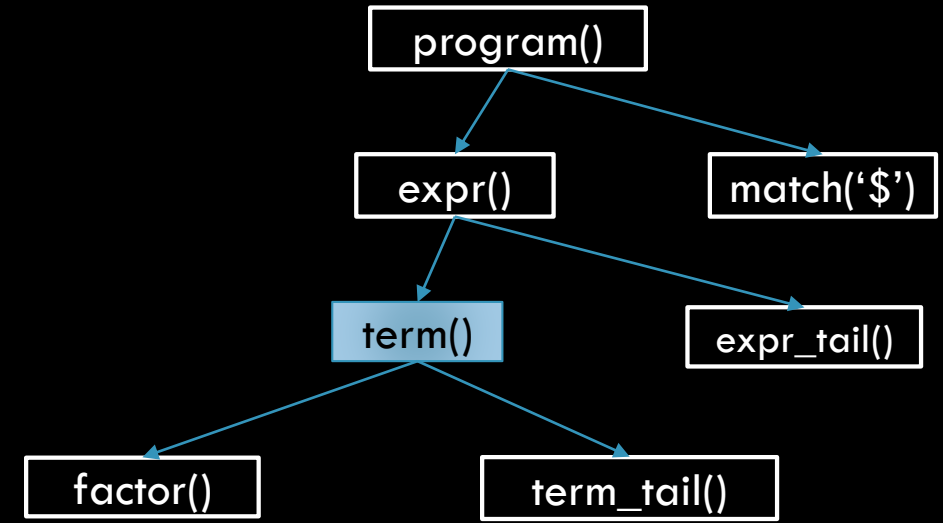
else error

# RECURSIVE DESCENT PARSER

(' int '+' int ')' '\*' int '\$'



```
procedure term
  case input of:
    '(', int: factor() term_tail()
  else error
```



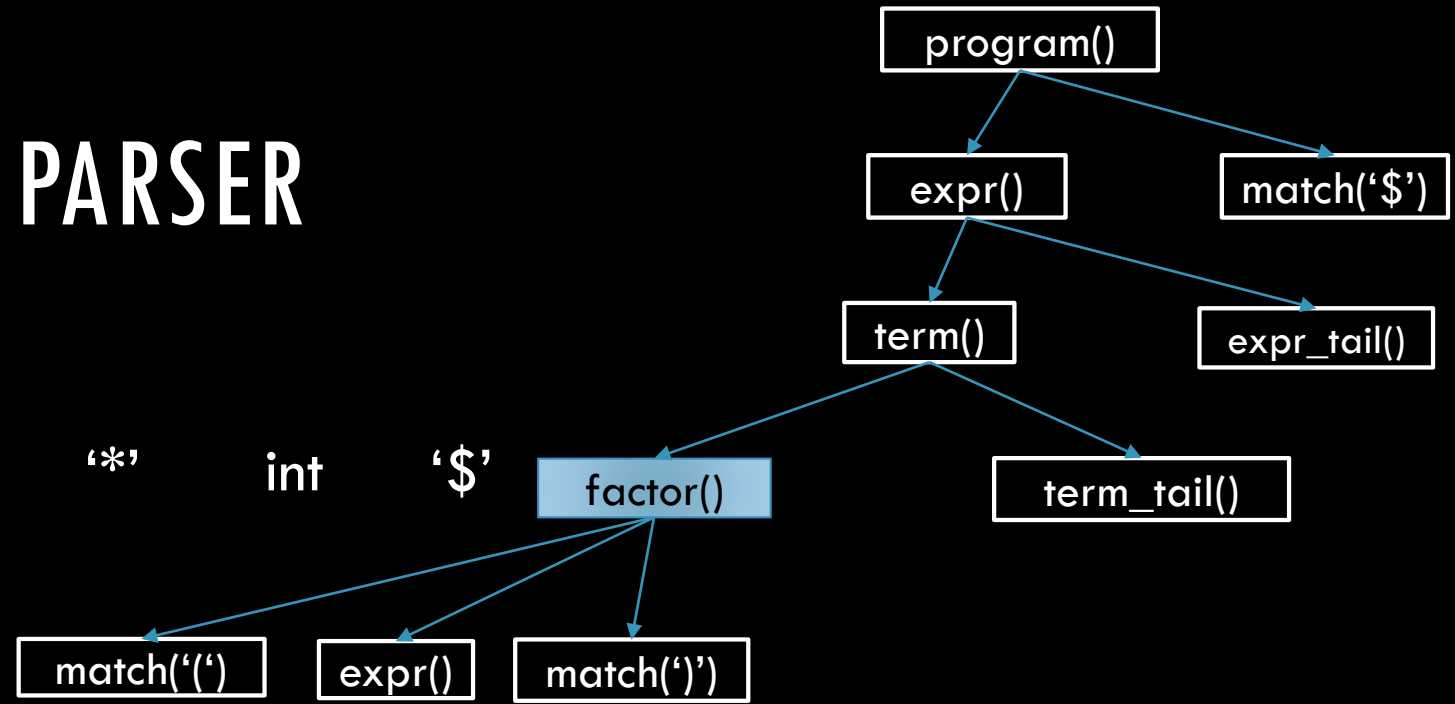


# RECURSIVE DESCENT PARSER

‘(’   int   ‘+’   int   ‘)’   ‘\*’   int   ‘\$’

↑

procedure factor  
case input of:  
  ‘(’: match('(') expr() match(')')  
  int: match(int)  
  else error

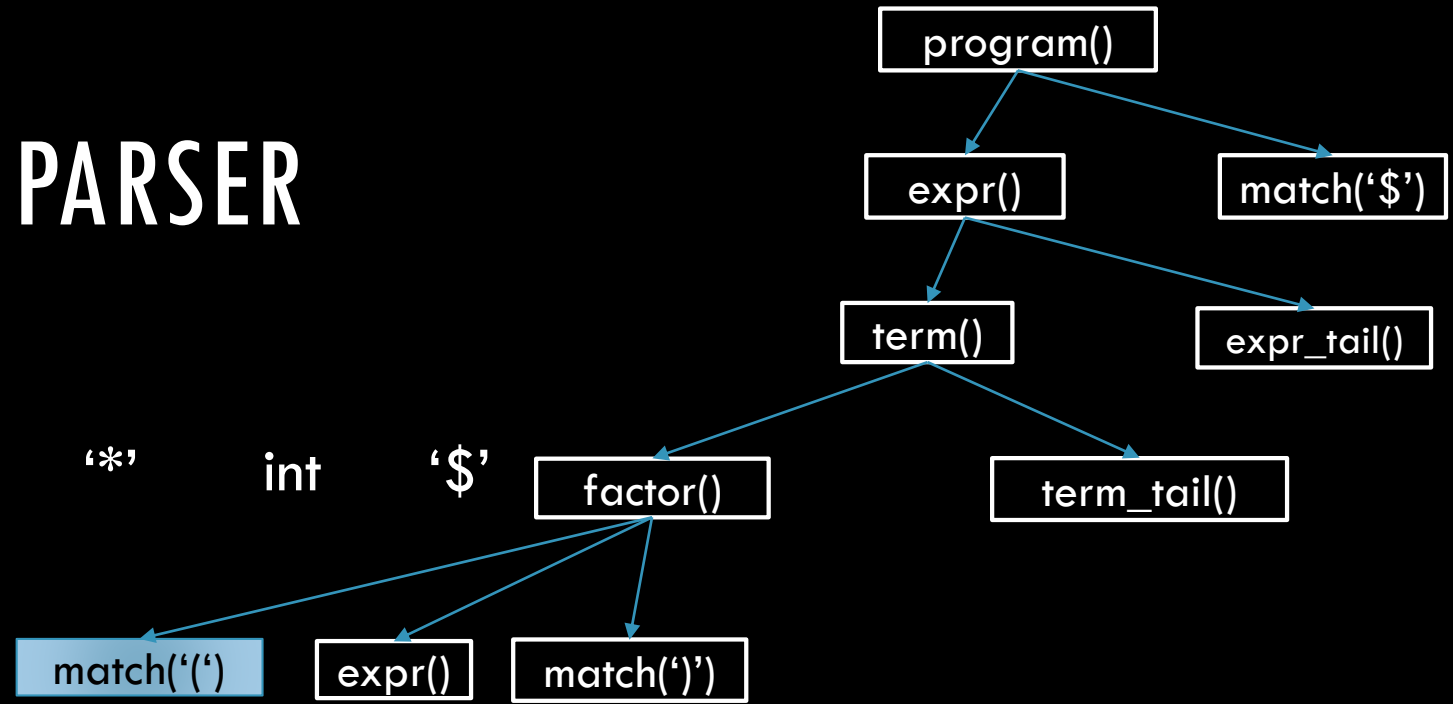


# RECURSIVE DESCENT PARSER

‘(’   int   ‘+’   int   ‘)’   ‘\*’   int   ‘\$’

↑

procedure factor  
case input of:  
  ‘(’: match('(') expr() match(')')  
  int: match(int)  
  else error



# RECURSIVE DESCENT PARSER

int '+' int ')' '\*' int '\$'

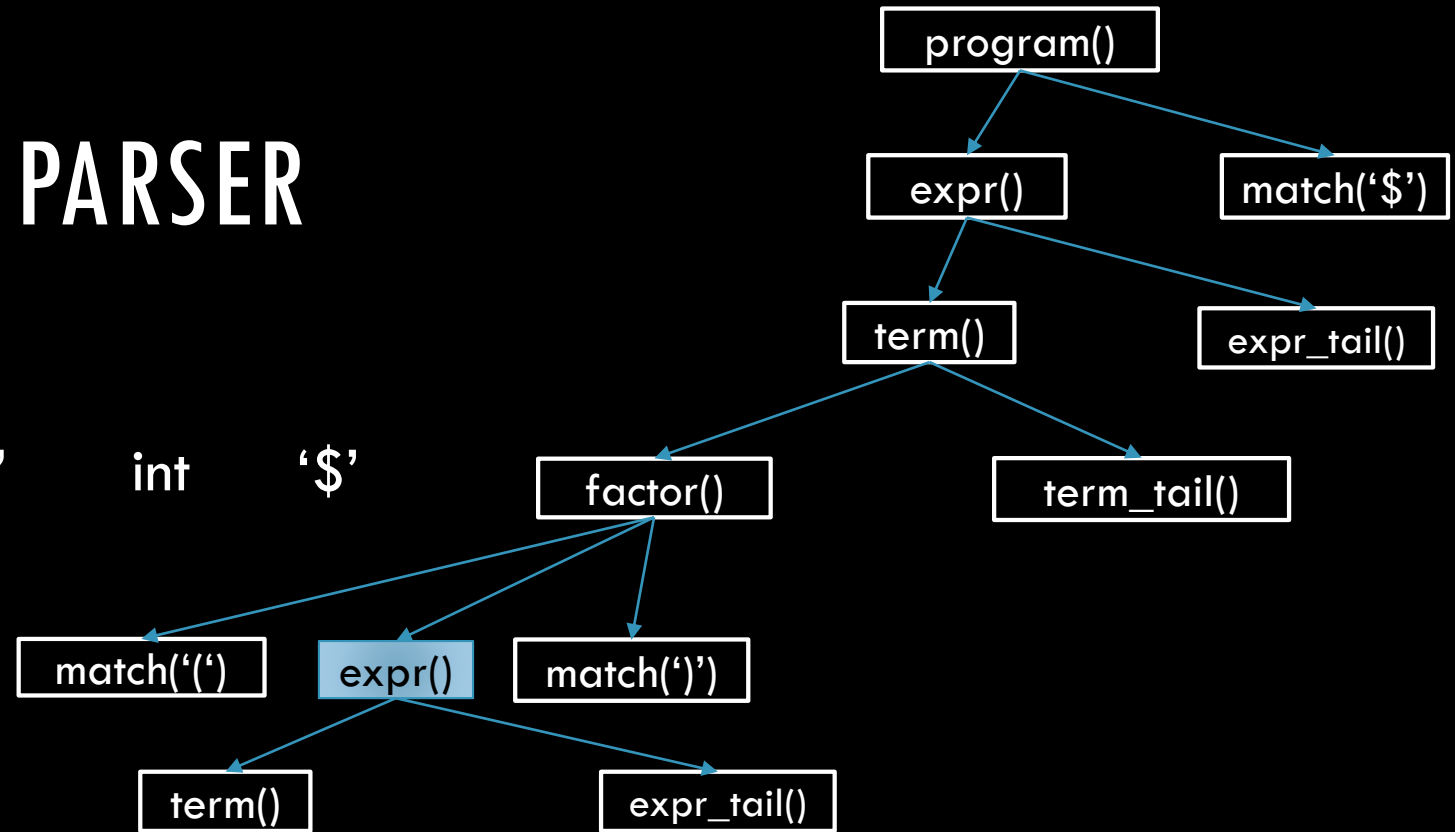


procedure expr

case input of:

'(', int: term() expr\_tail()

else error



# RECURSIVE DESCENT PARSER

int '+' int ')' '\*' int '\$'

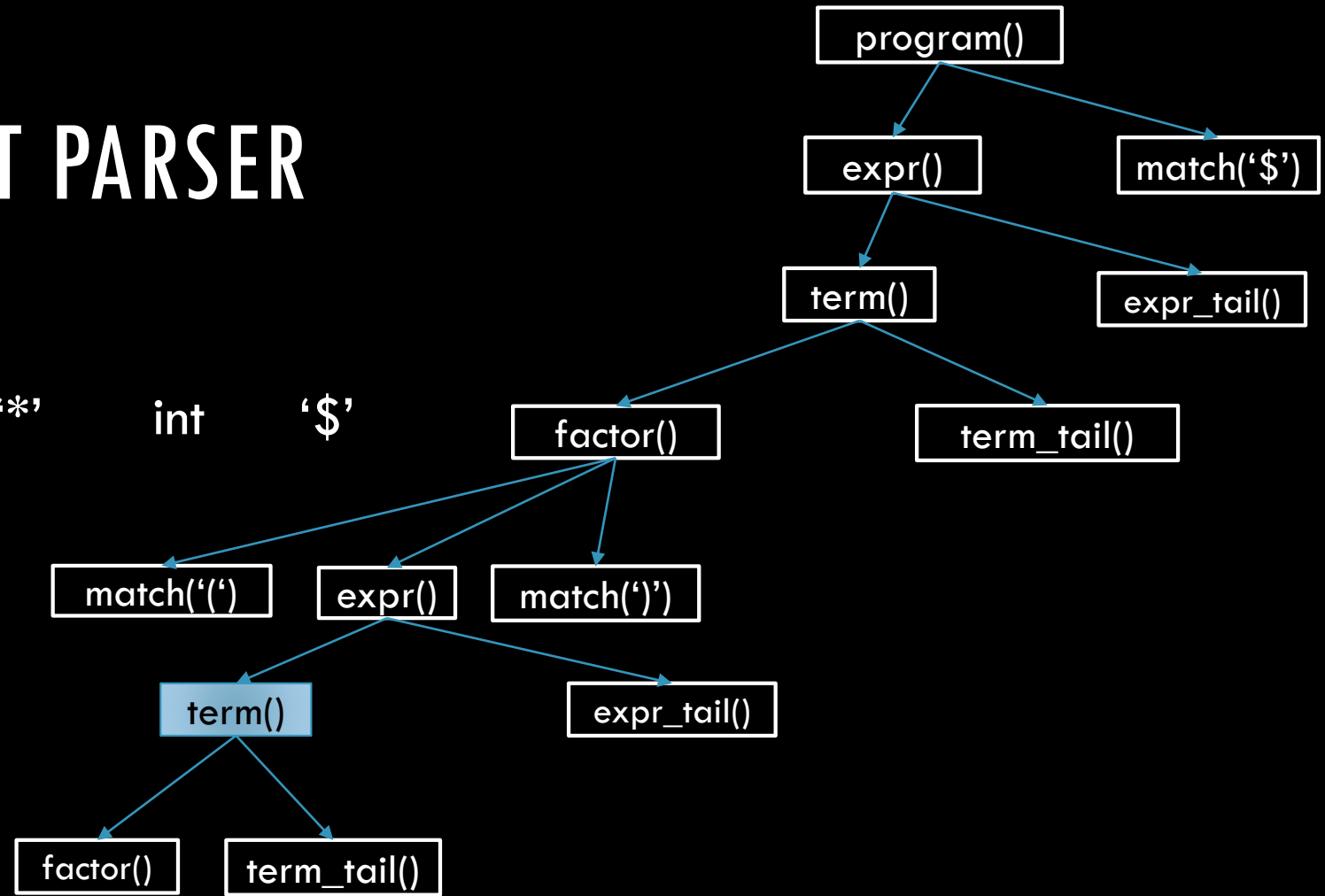


procedure term

case input of:

'(', int: factor() term\_tail()

else error



# RECURSIVE DESCENT PARSER

int '+' int ')' '\*' int '\$'



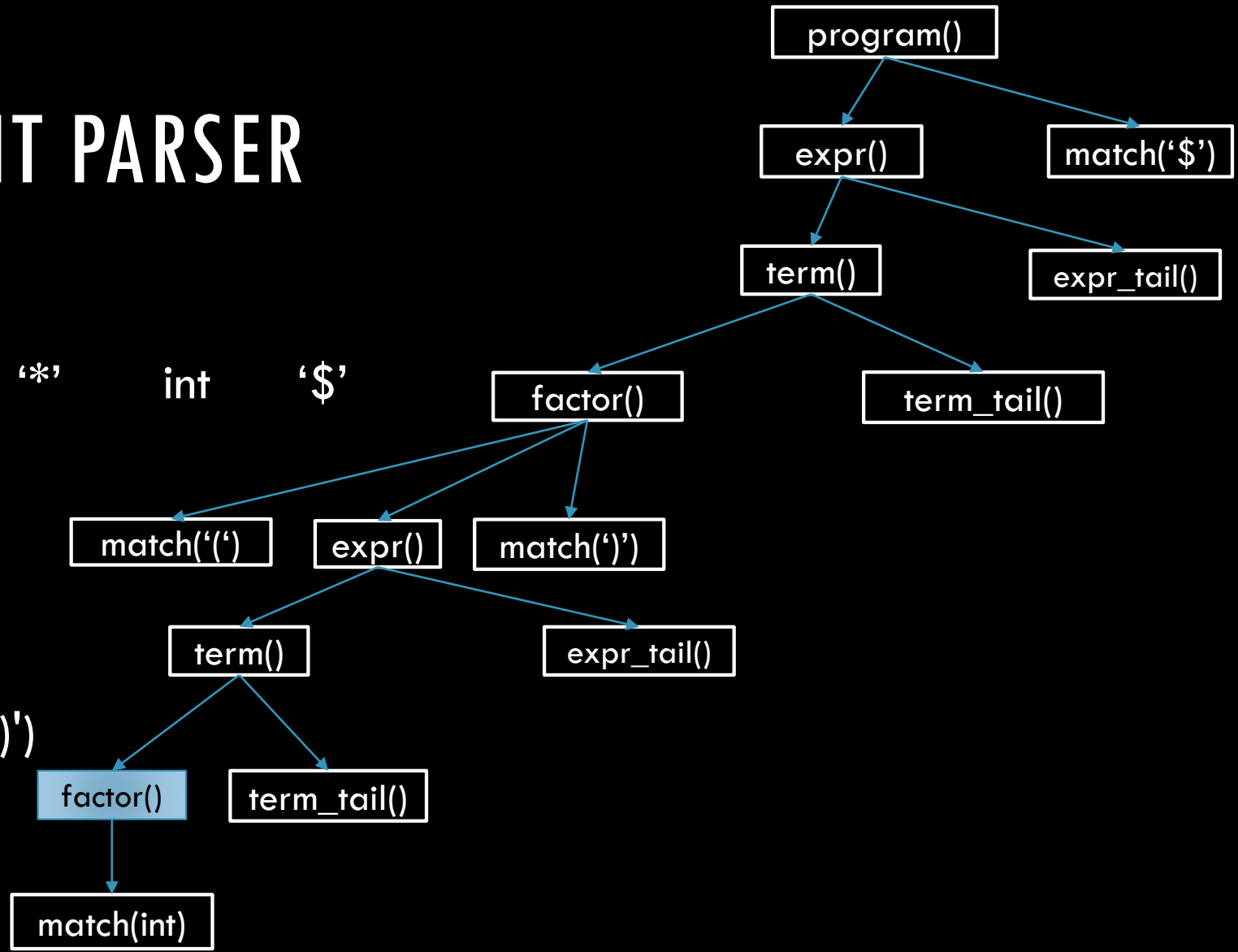
procedure factor

case input of:

'(': match('(') expr() match(')')

int: match(int)

else error



# RECURSIVE DESCENT PARSER

int '+' int ')' '\*' int '\$'



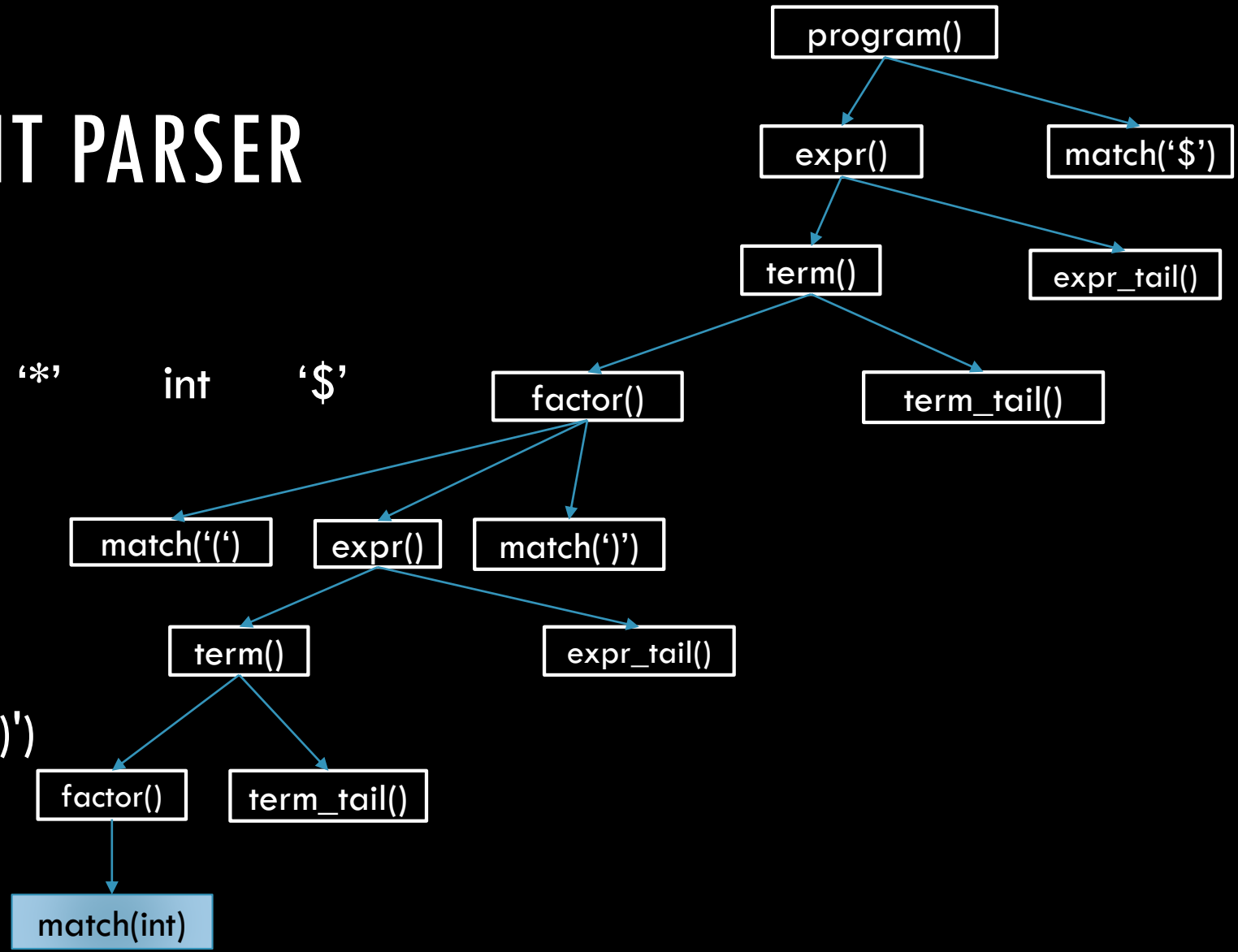
procedure factor

case input of:

'(': match('(') expr() match(')')

int: match(int)

else error



# RECURSIVE DESCENT PARSER

'+'    int    ')'    '\*'    int    '\$'

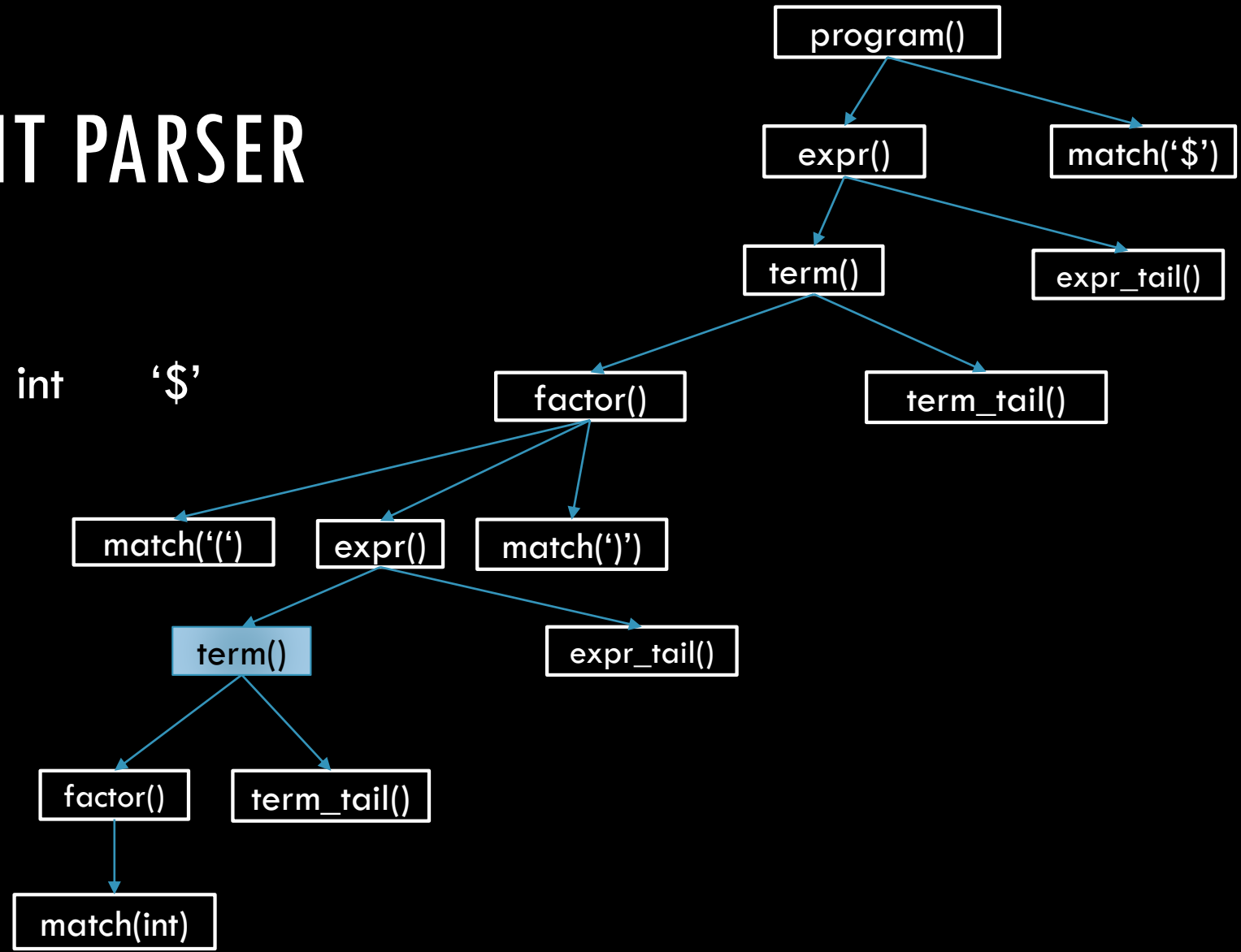


procedure term

case input of:

'(' , int: factor() term\_tail()

else error



# RECURSIVE DESCENT PARSER

'+'    int    ')'    '\*'    int    '\$'



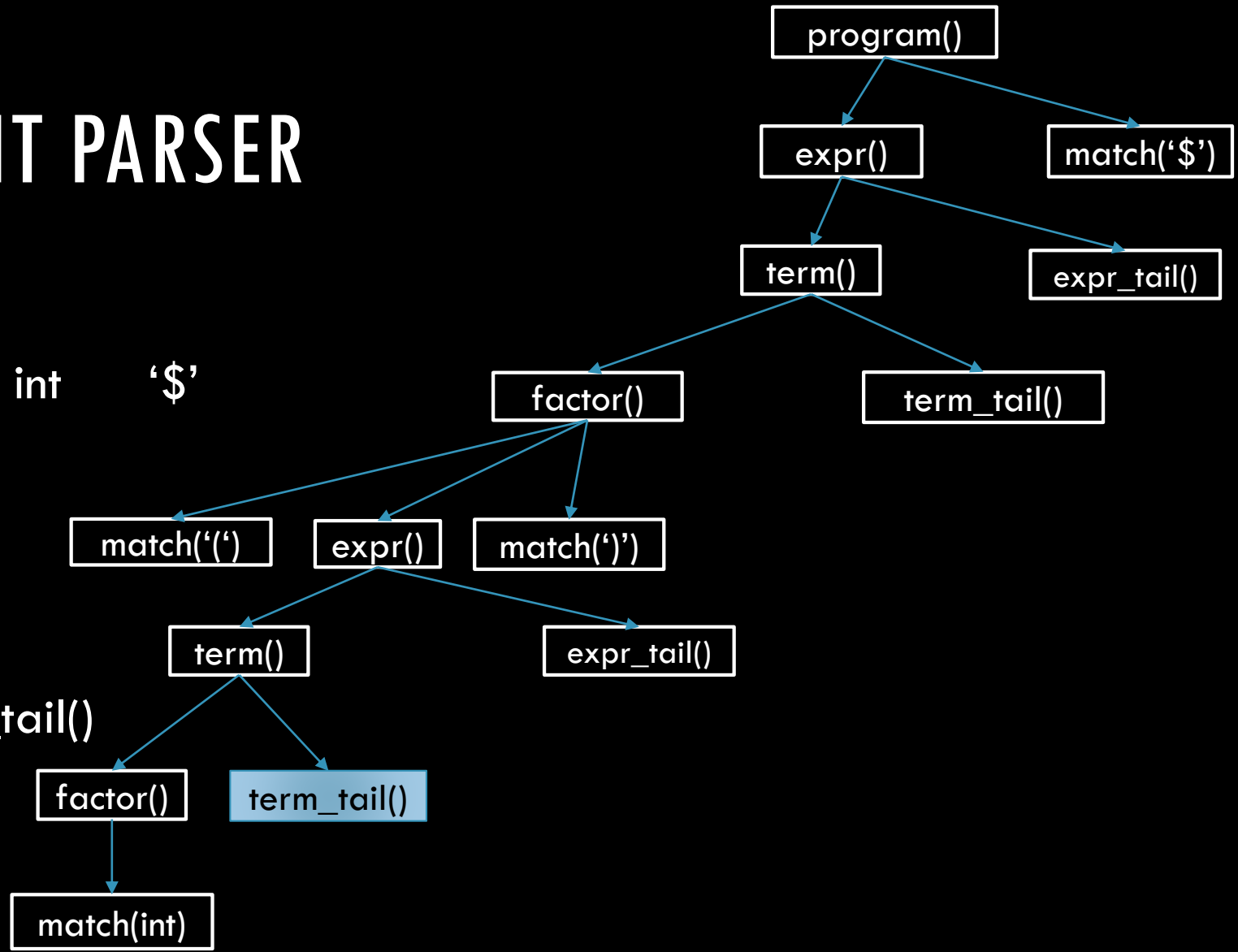
procedure term\_tail

case input of:

'\*': match('\*') factor() term\_tail()

'), '+', '\$': skip

else error





# RECURSIVE DESCENT PARSER

'+'    int    ')'    '\*'    int    '\$'

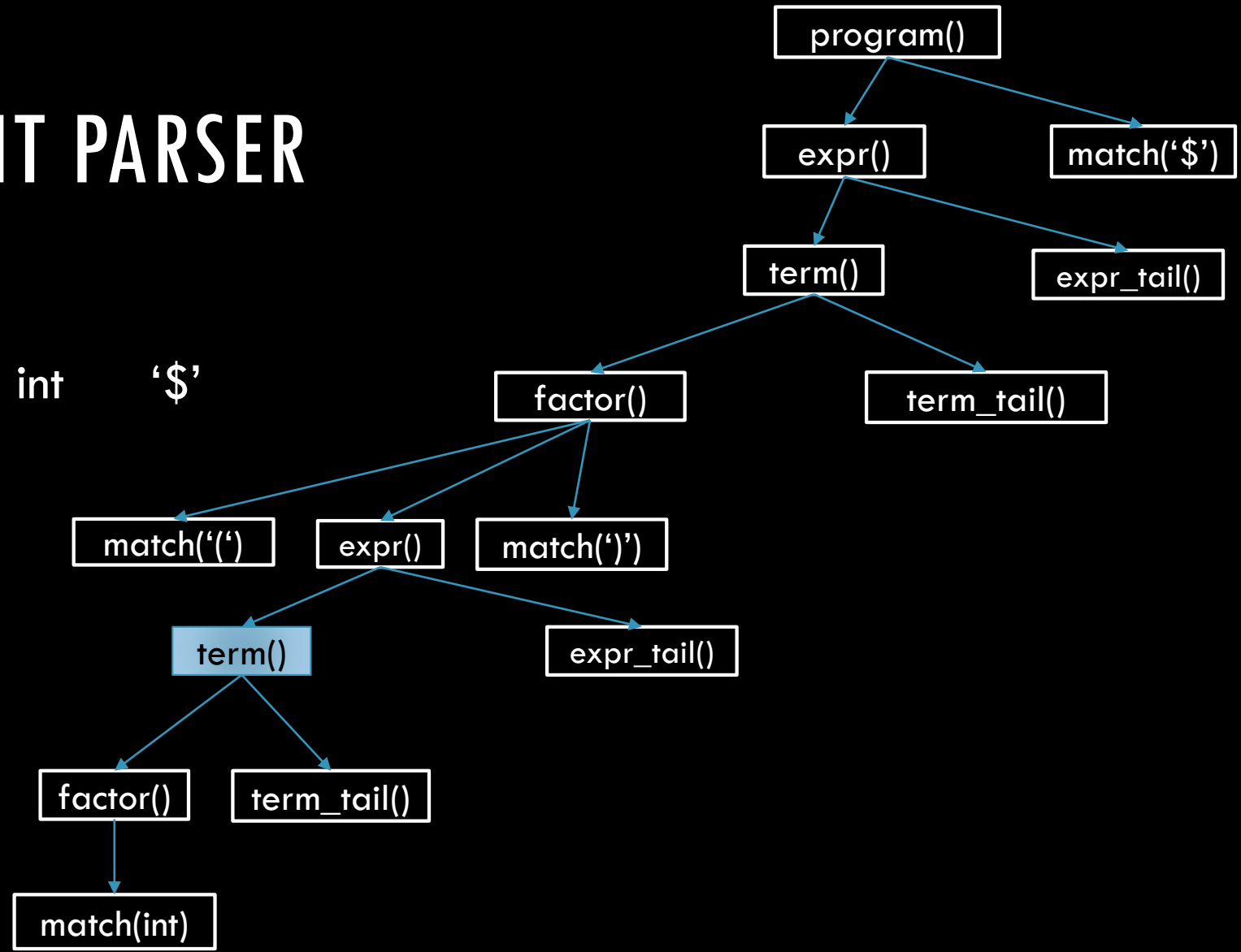


procedure term

case input of:

'(', int: factor() term\_tail()

else error

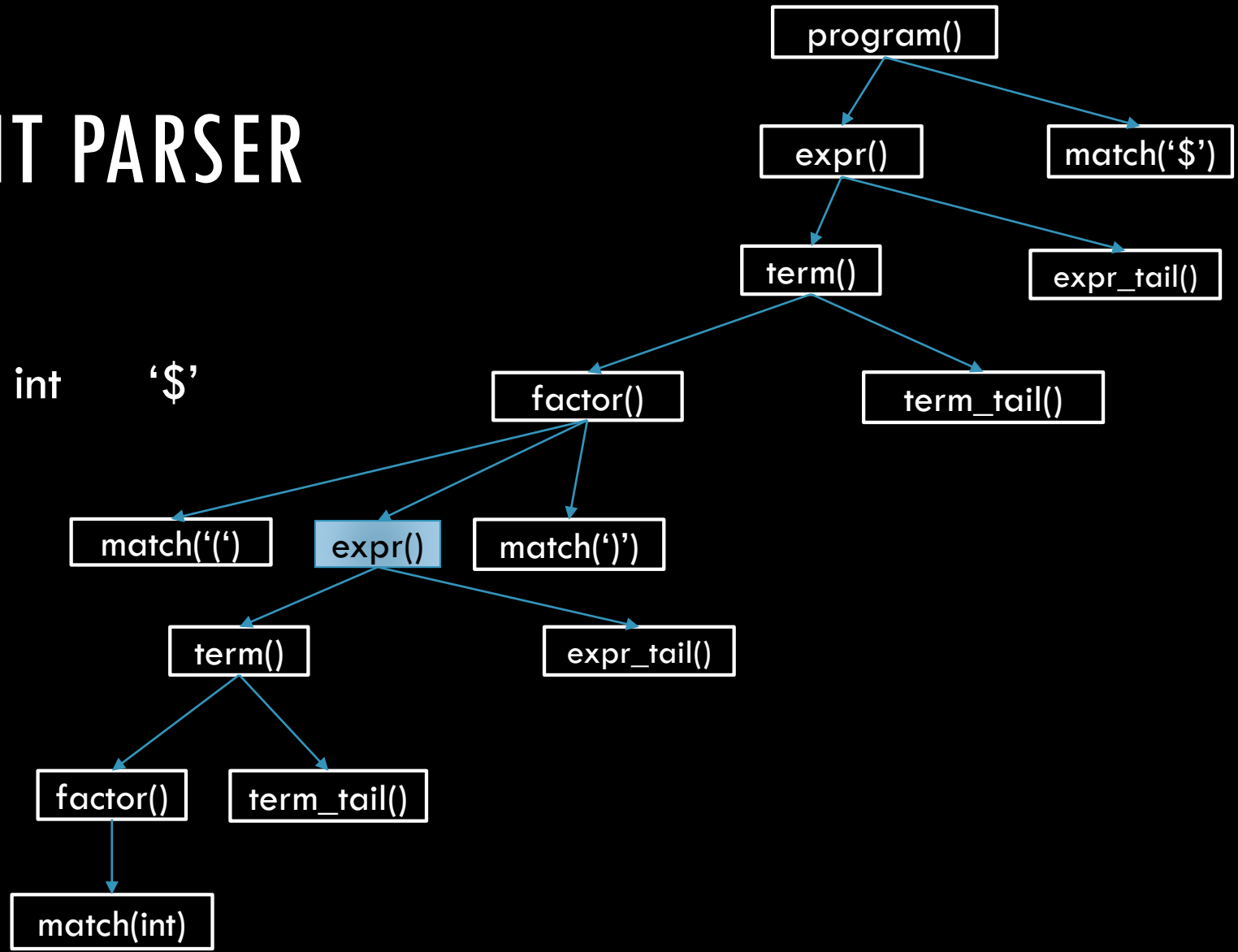


# RECURSIVE DESCENT PARSER

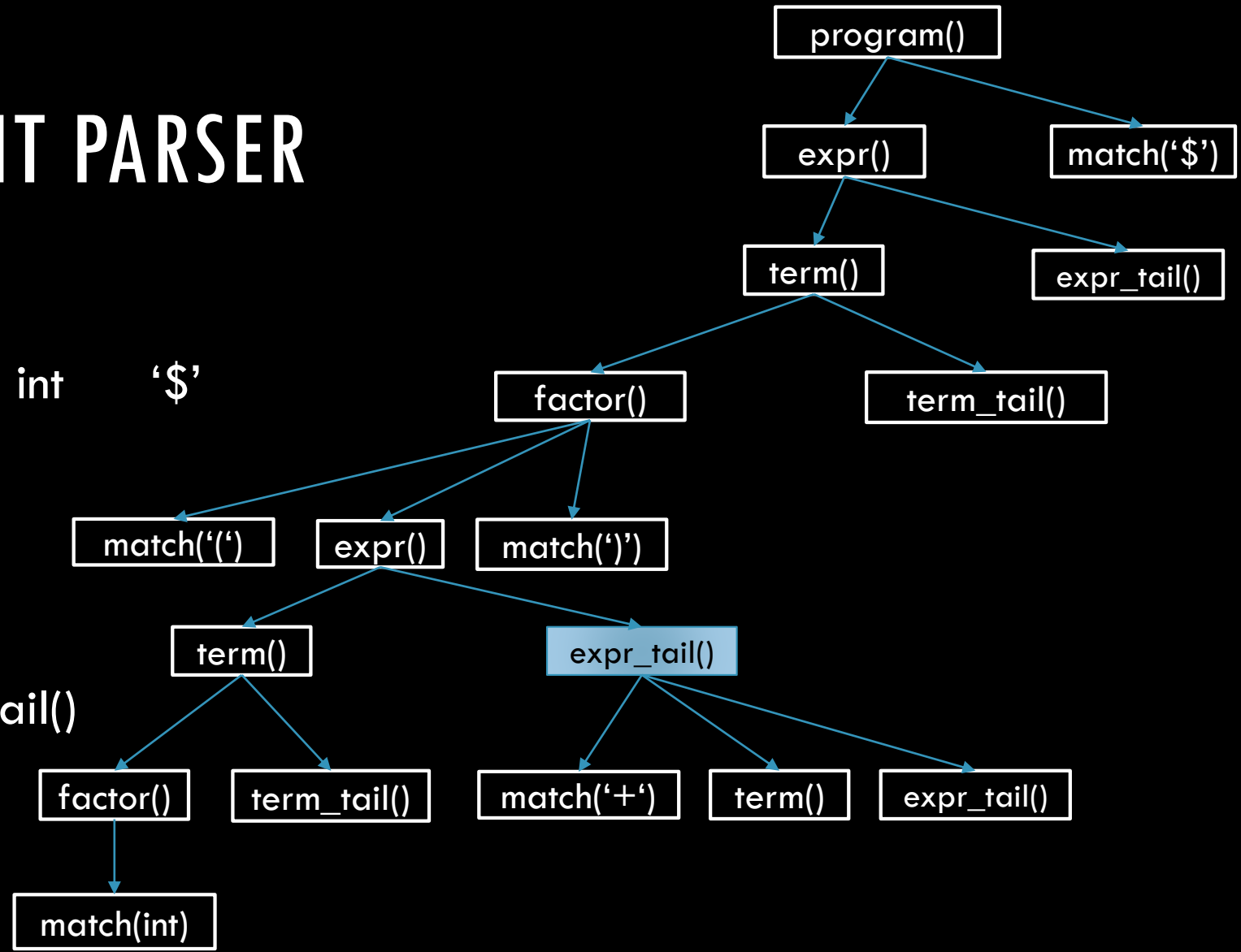
'+'    int    ')'    '\*'    int    '\$'



procedure expr  
case input of:  
  '(', int: term() expr\_tail()  
  else error



# RECURSIVE DESCENT PARSER



'+'    int    ')'    '\*'    int    '\$'



procedure `expr_tail`

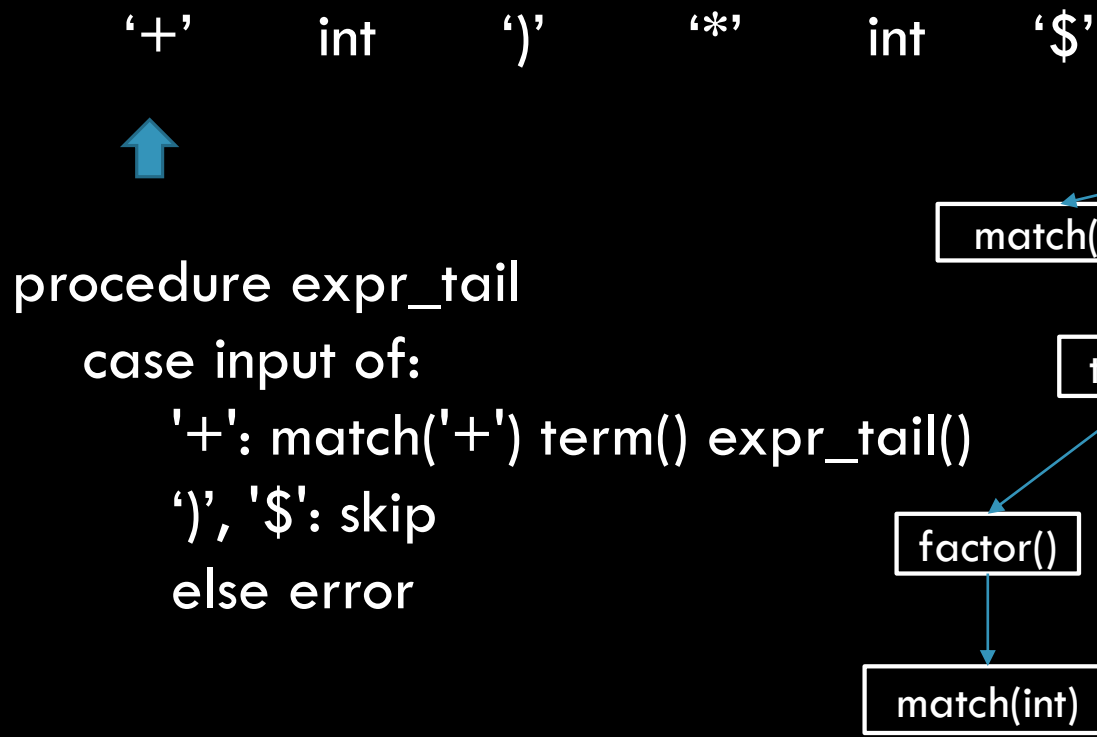
case input of:

'+' : `match('+')` `term()` `expr_tail()`

')', '\$' : skip

else error

# RECURSIVE DESCENT PARSER



# RECURSIVE DESCENT PARSER

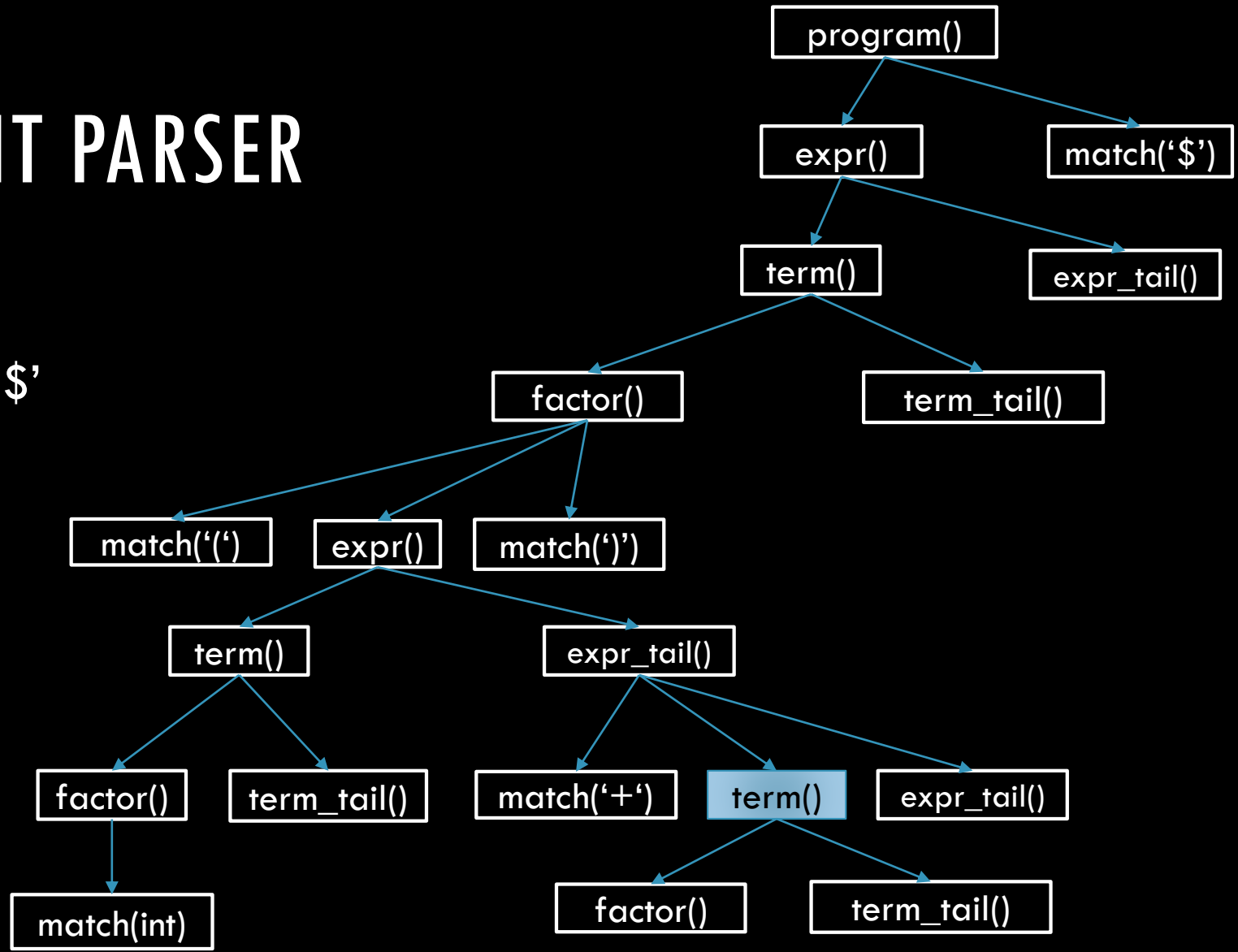
int    ')'    '\*'    int    '\$'



procedure term

case input of:

'(', int: factor() term\_tail()  
else error



# RECURSIVE DESCENT PARSER

int    ')'    '\*'    int    '\$'



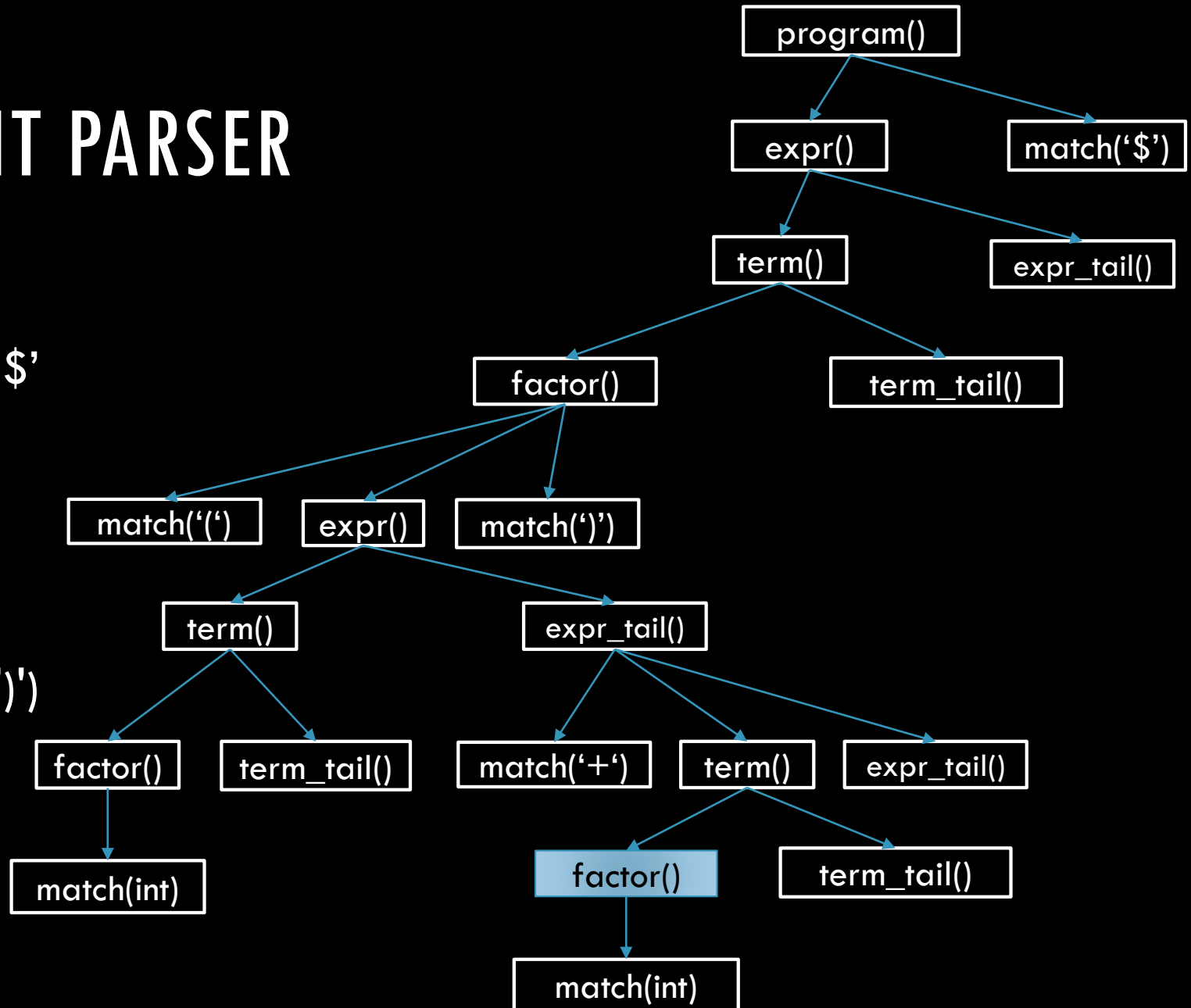
procedure factor

case input of:

'(': match('(') expr() match(')')

int: match(int)

else error



# RECURSIVE DESCENT PARSER

int    ')'    '\*'    int    '\$'



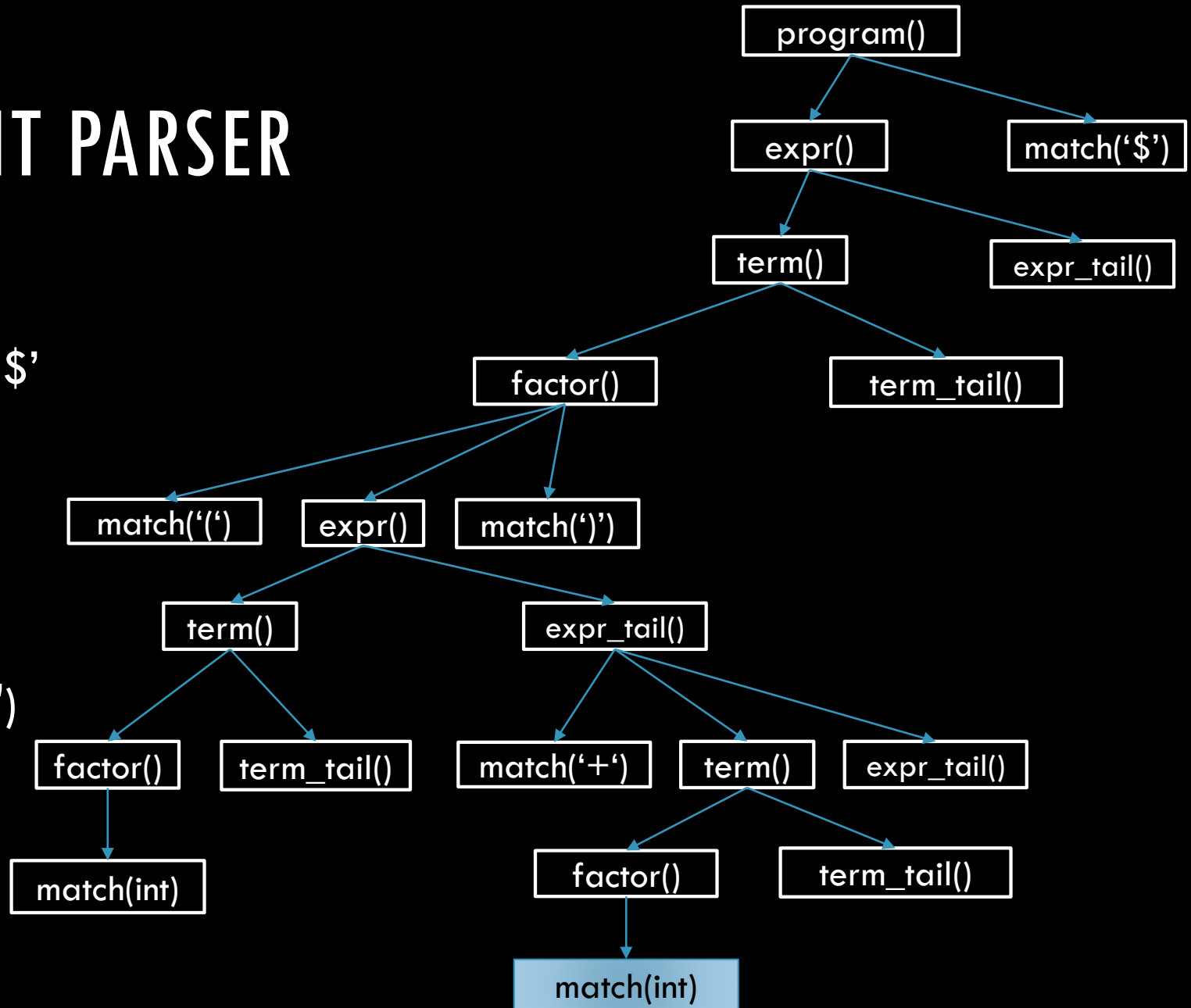
procedure factor

case input of:

'(': match('(') expr() match(')')

int: match(int)

else error



# RECURSIVE DESCENT PARSER

) \* int \$

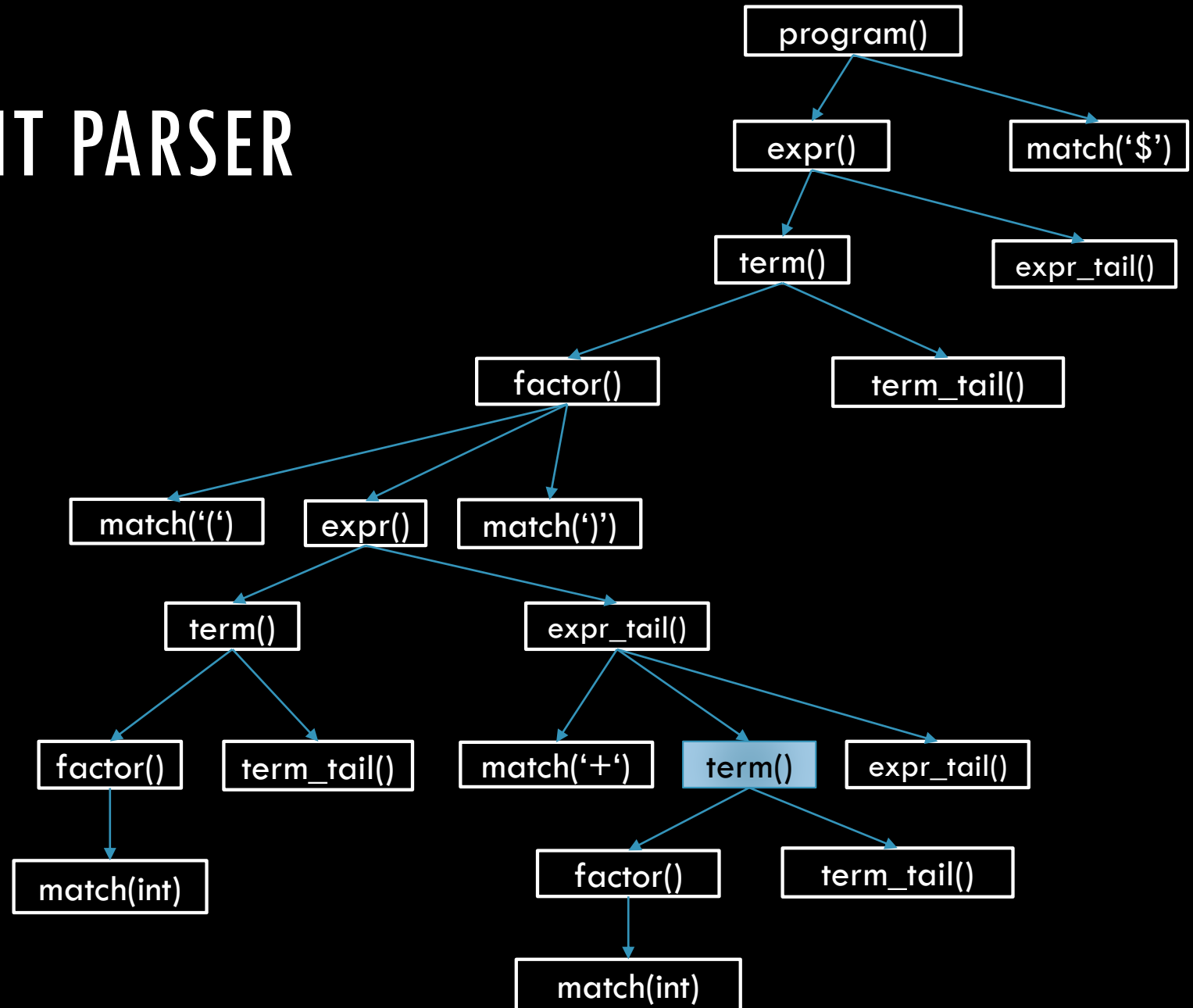


procedure term

case input of:

'(', int: factor() term\_tail()

else error





# RECURSIVE DESCENT PARSER

) \* int \$



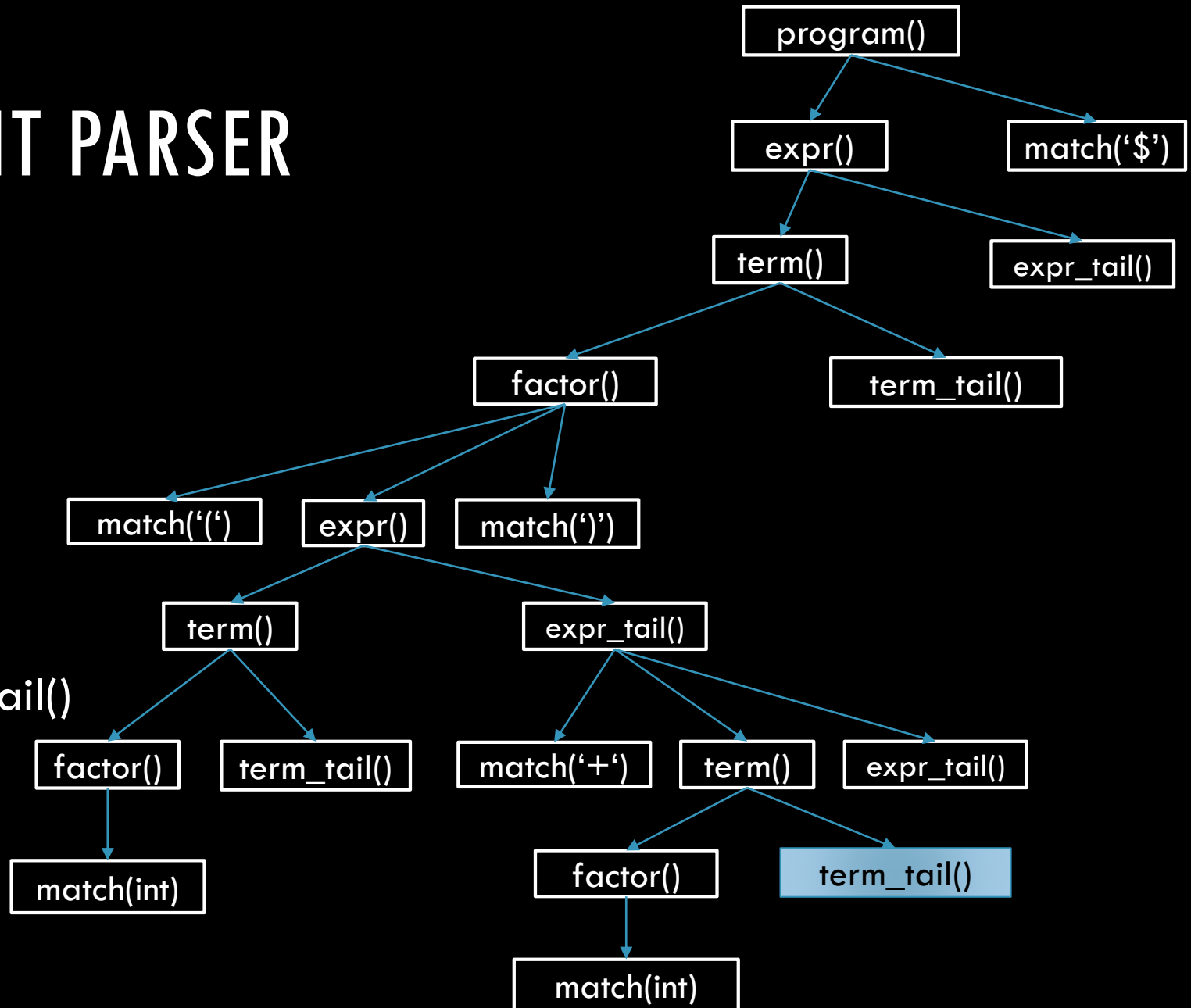
procedure term\_tail

case input of:

'\*': match('\*') factor() term\_tail()

'), '+', '\$': skip

else error



# RECURSIVE DESCENT PARSER

) \* int \$

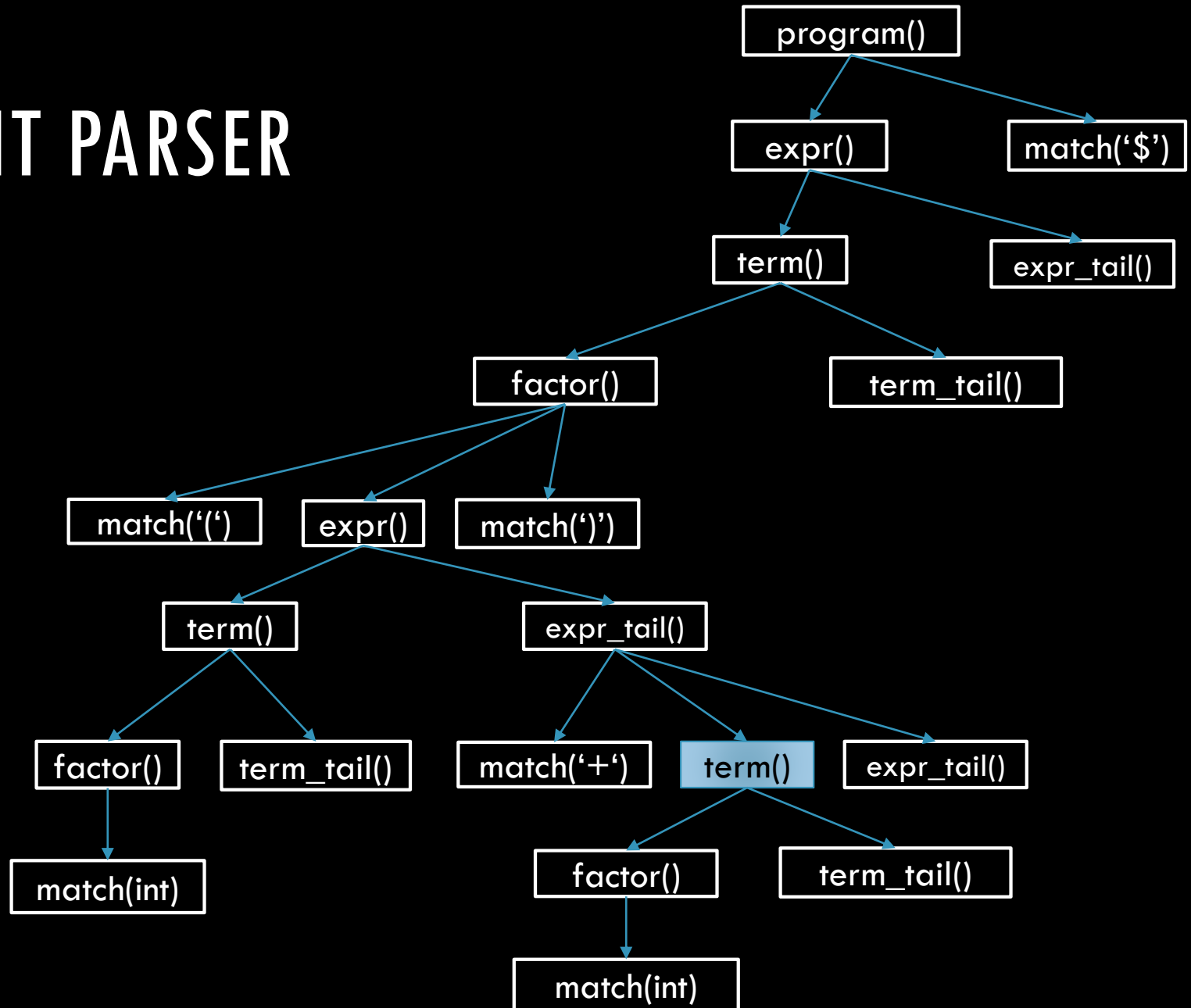


procedure term

case input of:

'(', int: factor() term\_tail()

else error



# RECURSIVE DESCENT PARSER

) \* int \$



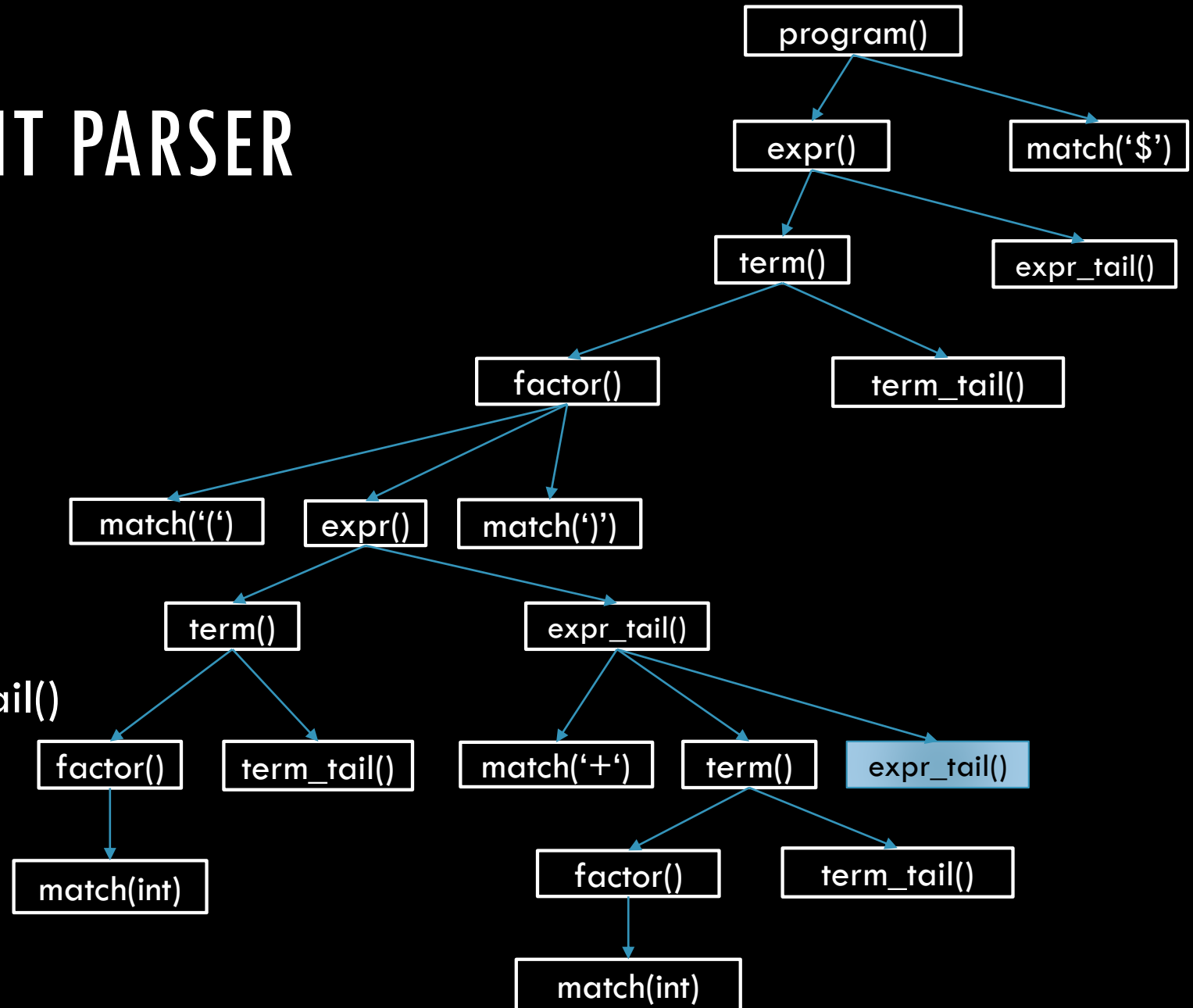
procedure `expr_tail`

case input of:

'+' : `match('+')` `term()` `expr_tail()`

'), '\$' : skip

else error



# RECURSIVE DESCENT PARSER

) \* int \$



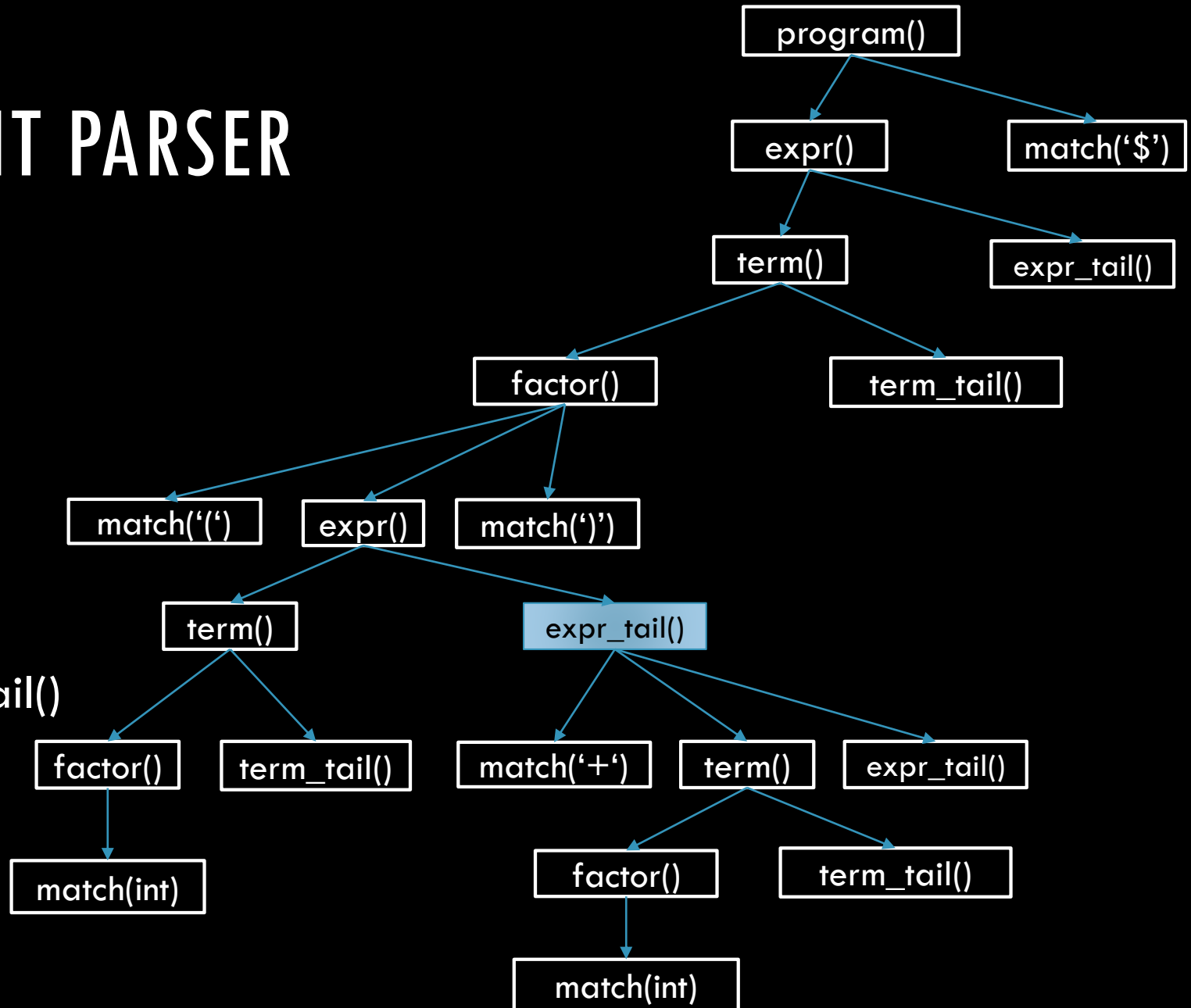
procedure `expr_tail`

case input of:

'+' : `match('+')` `term()` `expr_tail()`

'), '\$' : skip

else error

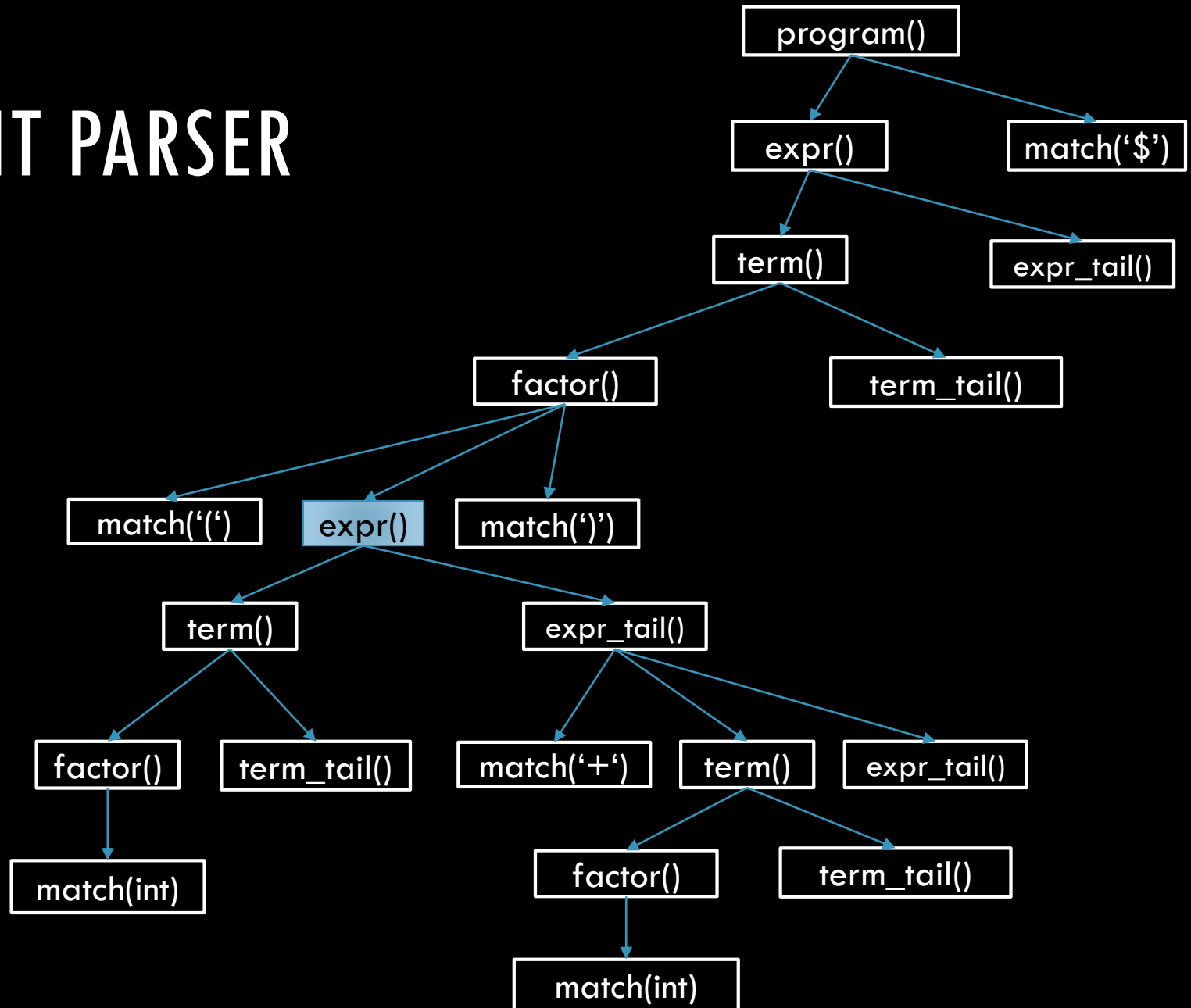


# RECURSIVE DESCENT PARSER

) \* int \$



procedure `expr`  
case input of:  
  '(', int: `term()` `expr_tail()`  
  else error



# RECURSIVE DESCENT PARSER

) \* int \$



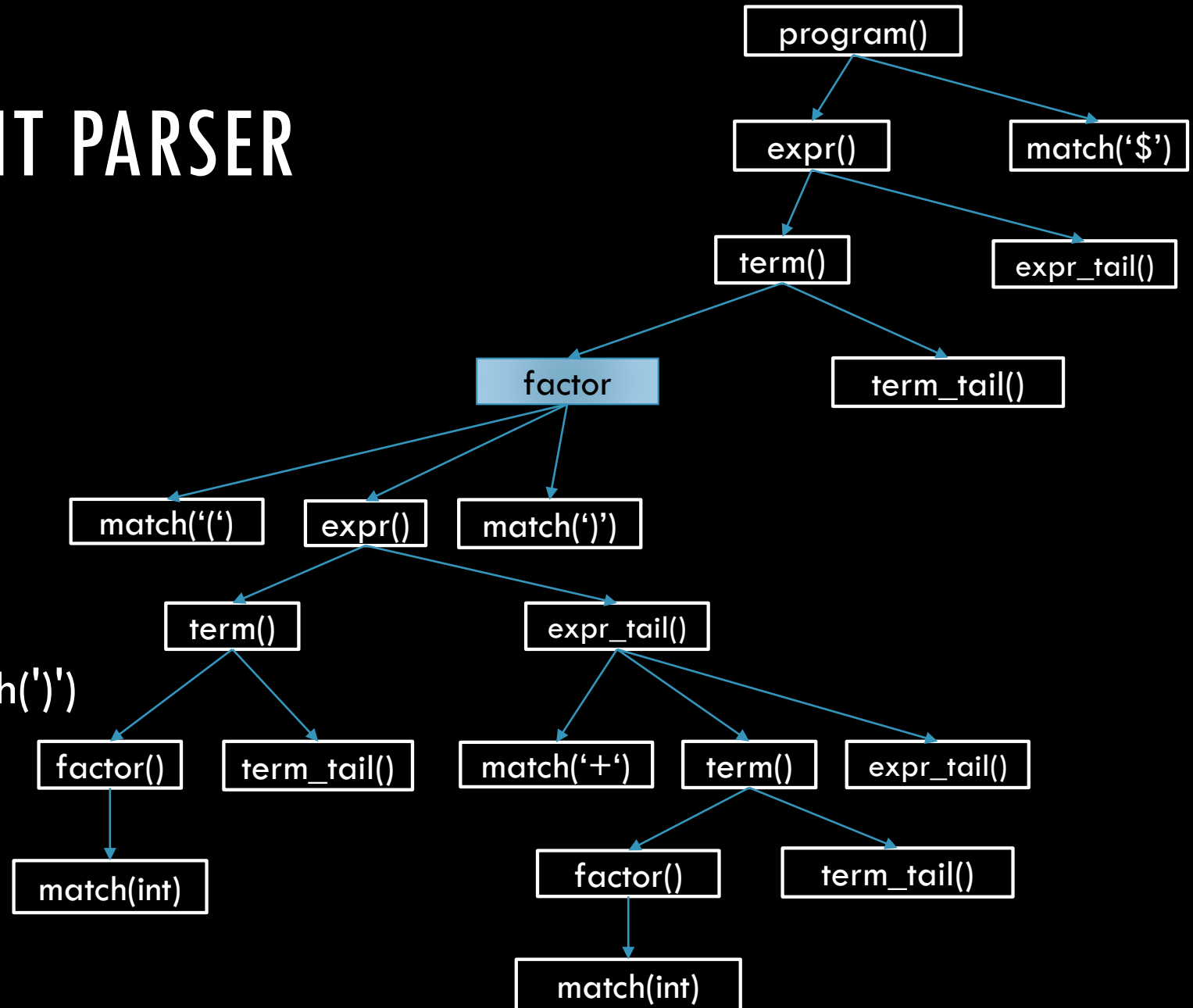
procedure factor

case input of:

'(': match('(') expr() match(')')

int: match(int)

else error



# RECURSIVE DESCENT PARSER

) \* int \$



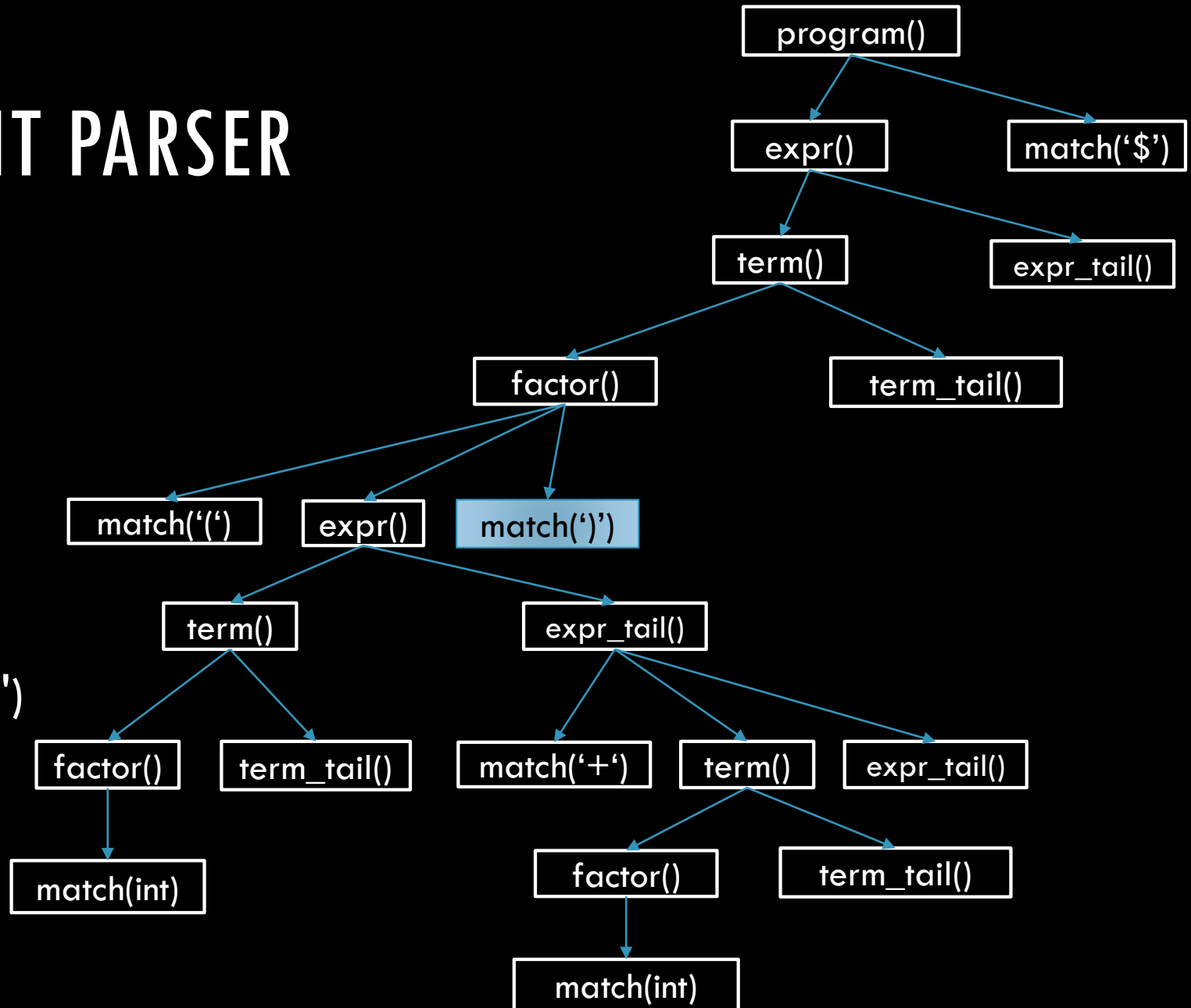
procedure factor

case input of:

'(': match('(') expr() match(')')

int: match(int)

else error

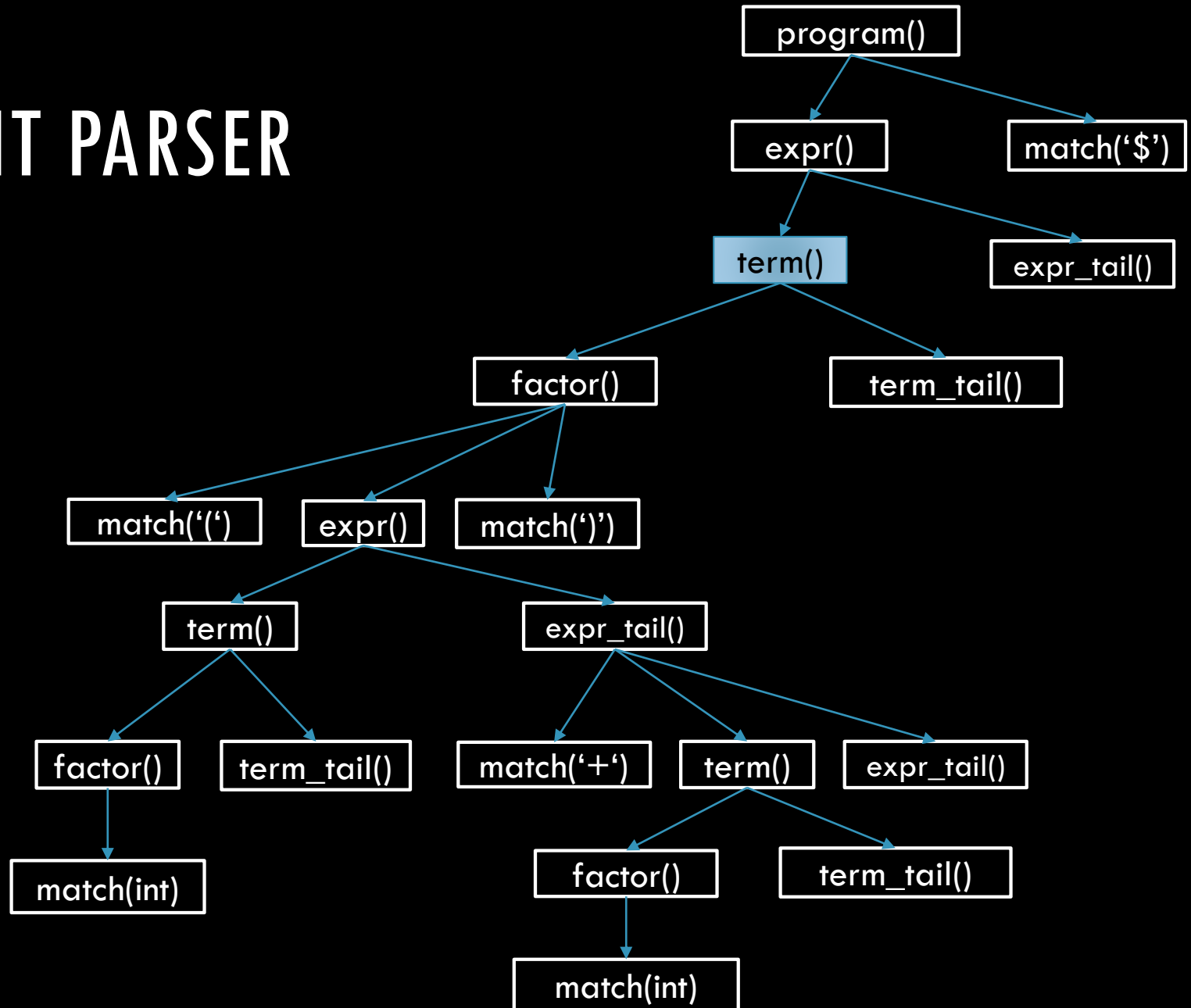


# RECURSIVE DESCENT PARSER

'\*'      int      '\$'



procedure term  
case input of:  
  '(', int: factor() term\_tail()  
  else error





# RECURSIVE DESCENT PARSER

'\*'      int      '\$'



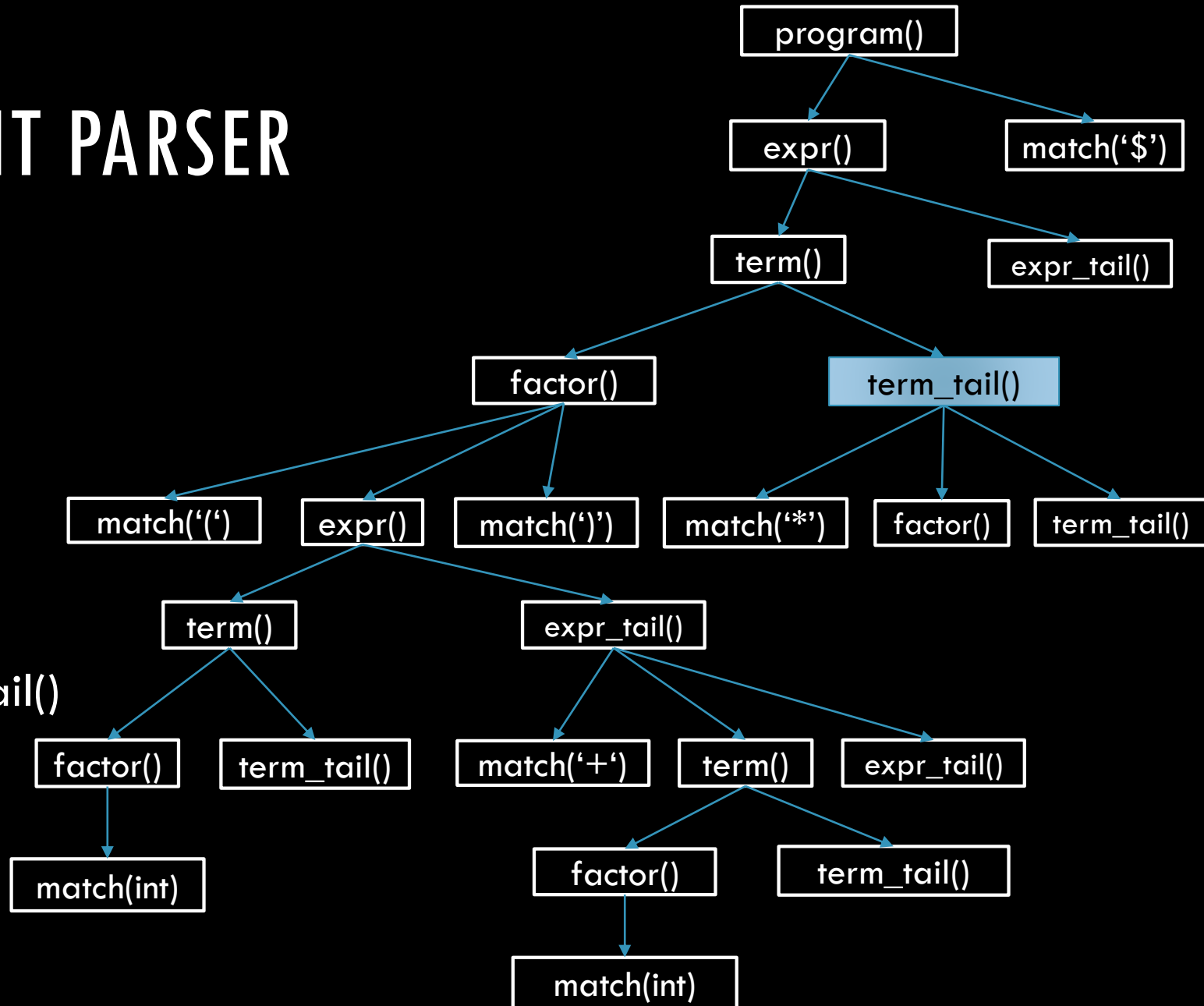
procedure term\_tail

case input of:

'\*': match('\*') factor() term\_tail()

'), '+', '\$': skip

else error



# RECURSIVE DESCENT PARSER

'\*'      int      '\$'



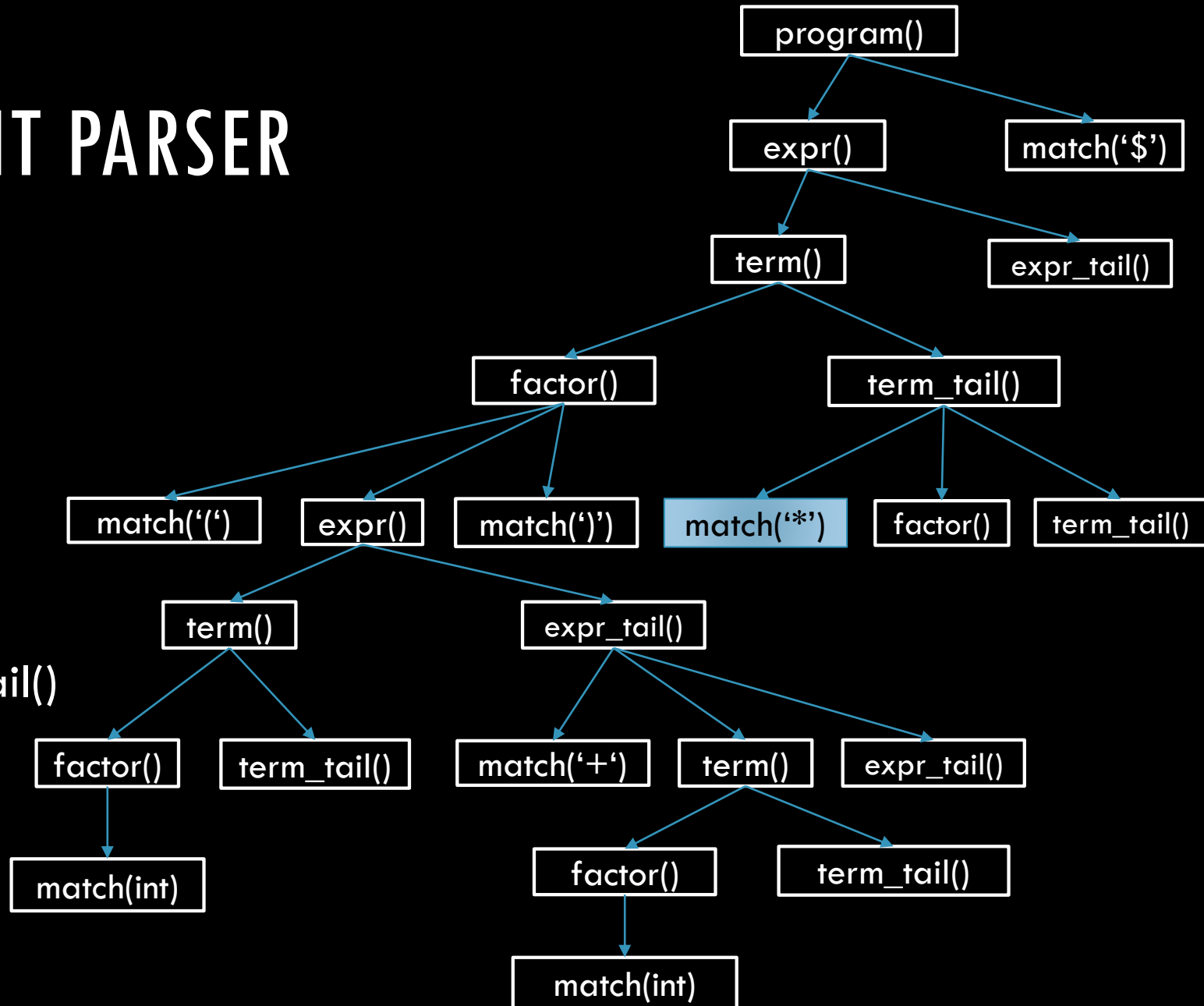
procedure term\_tail

case input of:

'\*': match('\*') factor() term\_tail()

'), '+', '\$': skip

else error



# RECURSIVE DESCENT PARSER

int '\$'



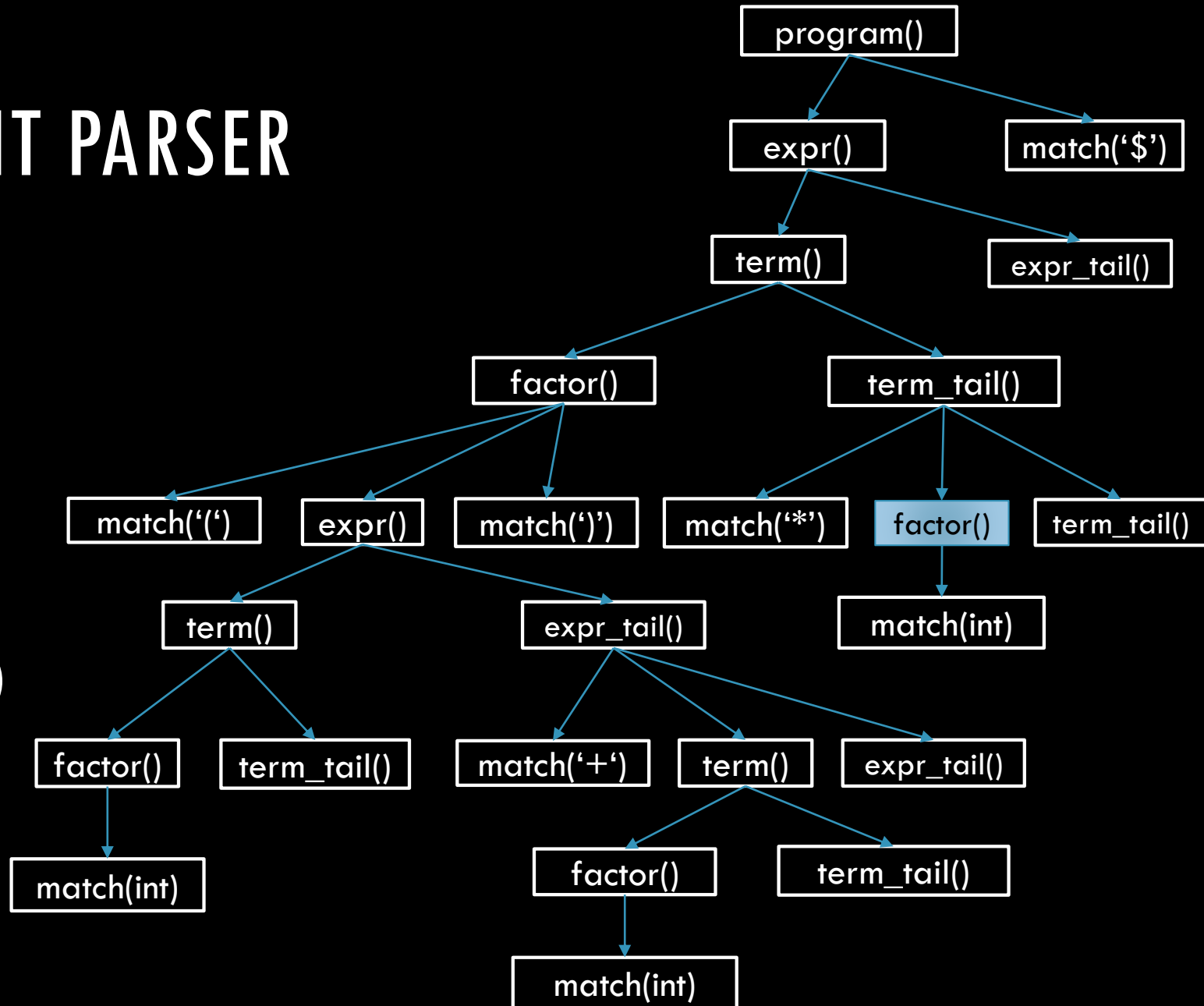
procedure factor

case input of:

'(': match('(') expr() match(')')

int: match(int)

else error



# RECURSIVE DESCENT PARSER

int '\$'



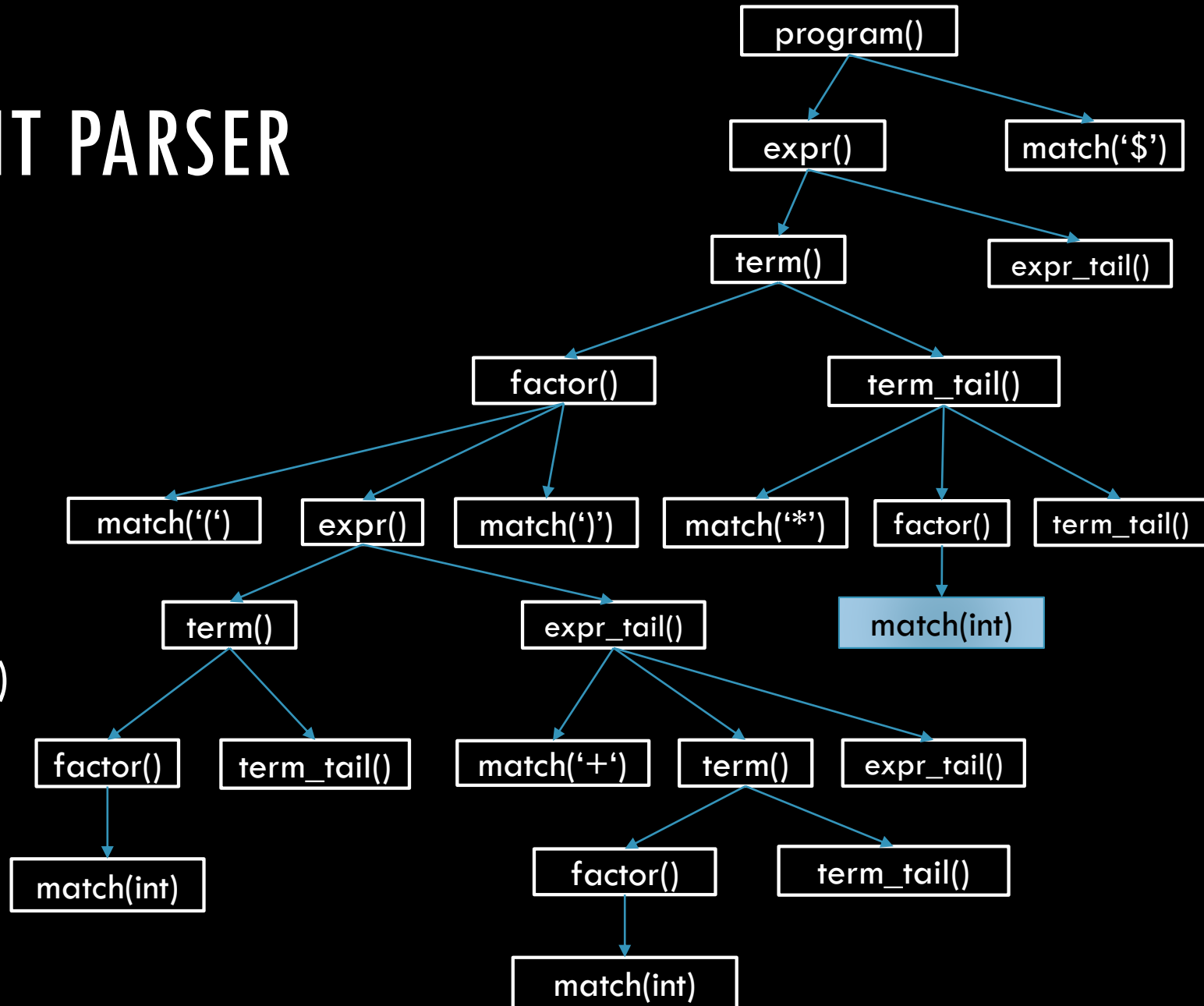
procedure factor

case input of:

'(': match('(') expr() match(')')

int: match(int)

else error



# RECURSIVE DESCENT PARSER

'\$'



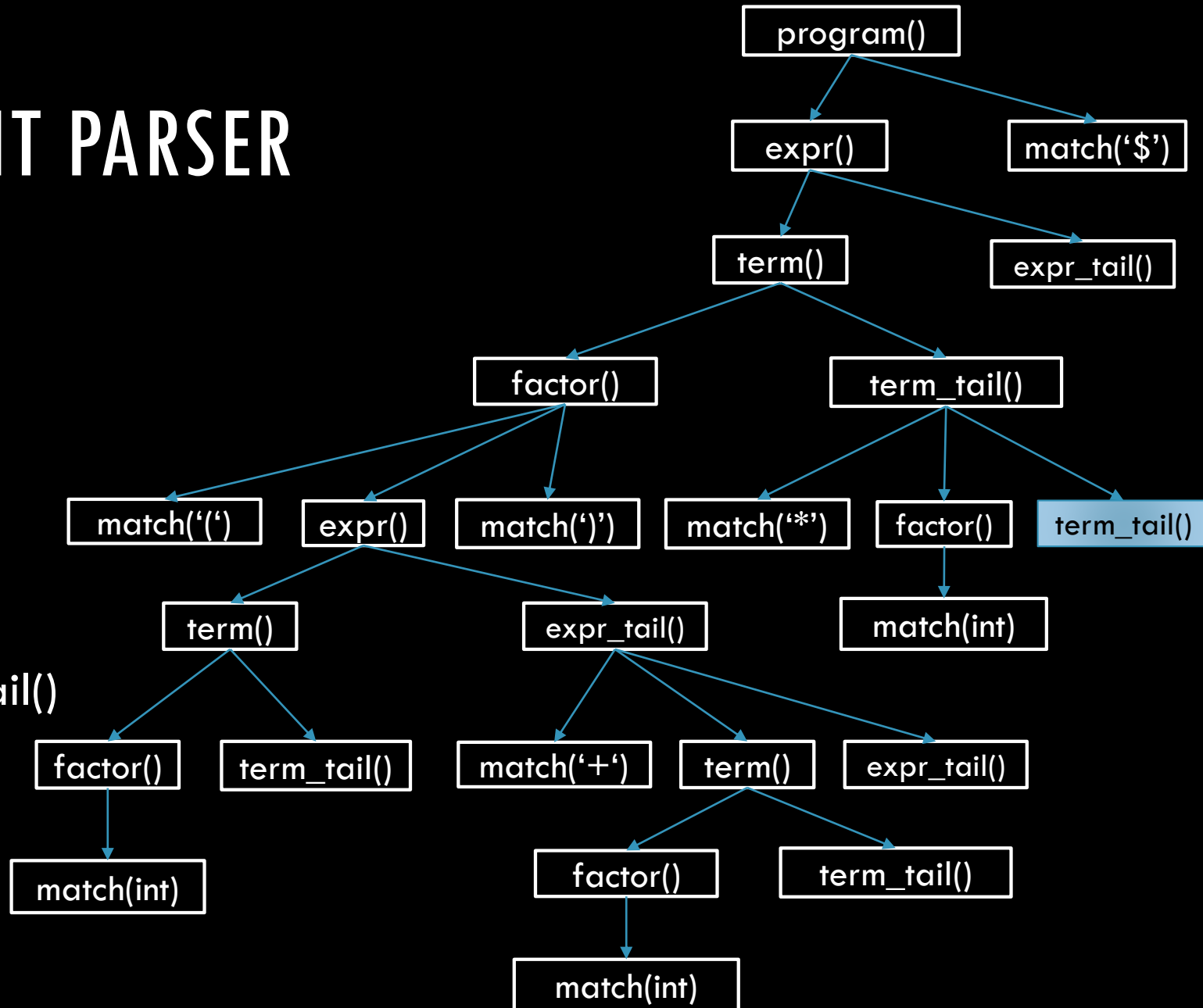
procedure term\_tail

case input of:

'\*': match('\*') factor() term\_tail()

'), '+', '\$': skip

else error



# RECURSIVE DESCENT PARSER

‘\$’



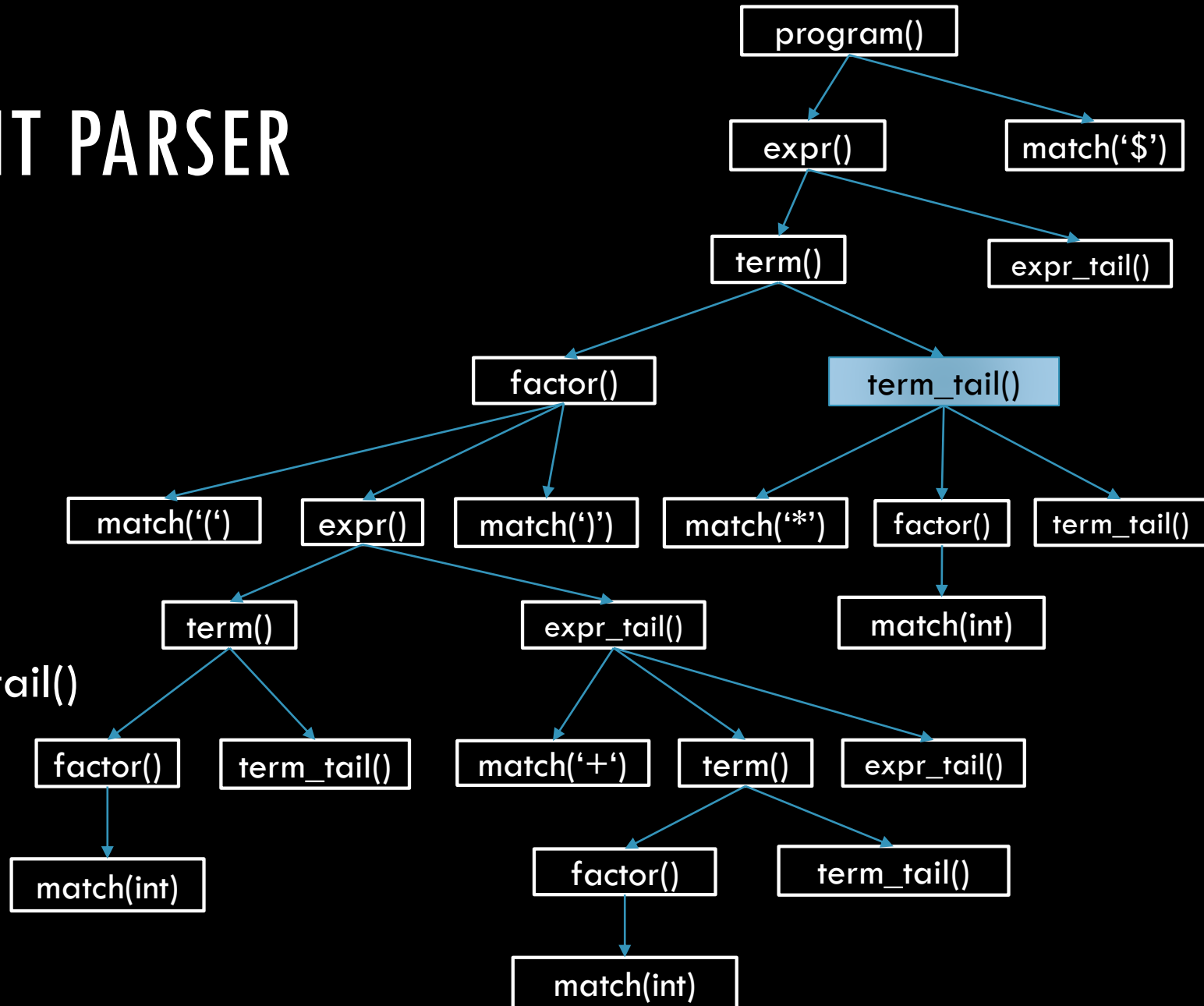
## procedure term\_tail

case input of:

```
'*': match('*') factor() term_tail()
```

**' )', '+', '\$': skip**

```
else error
```

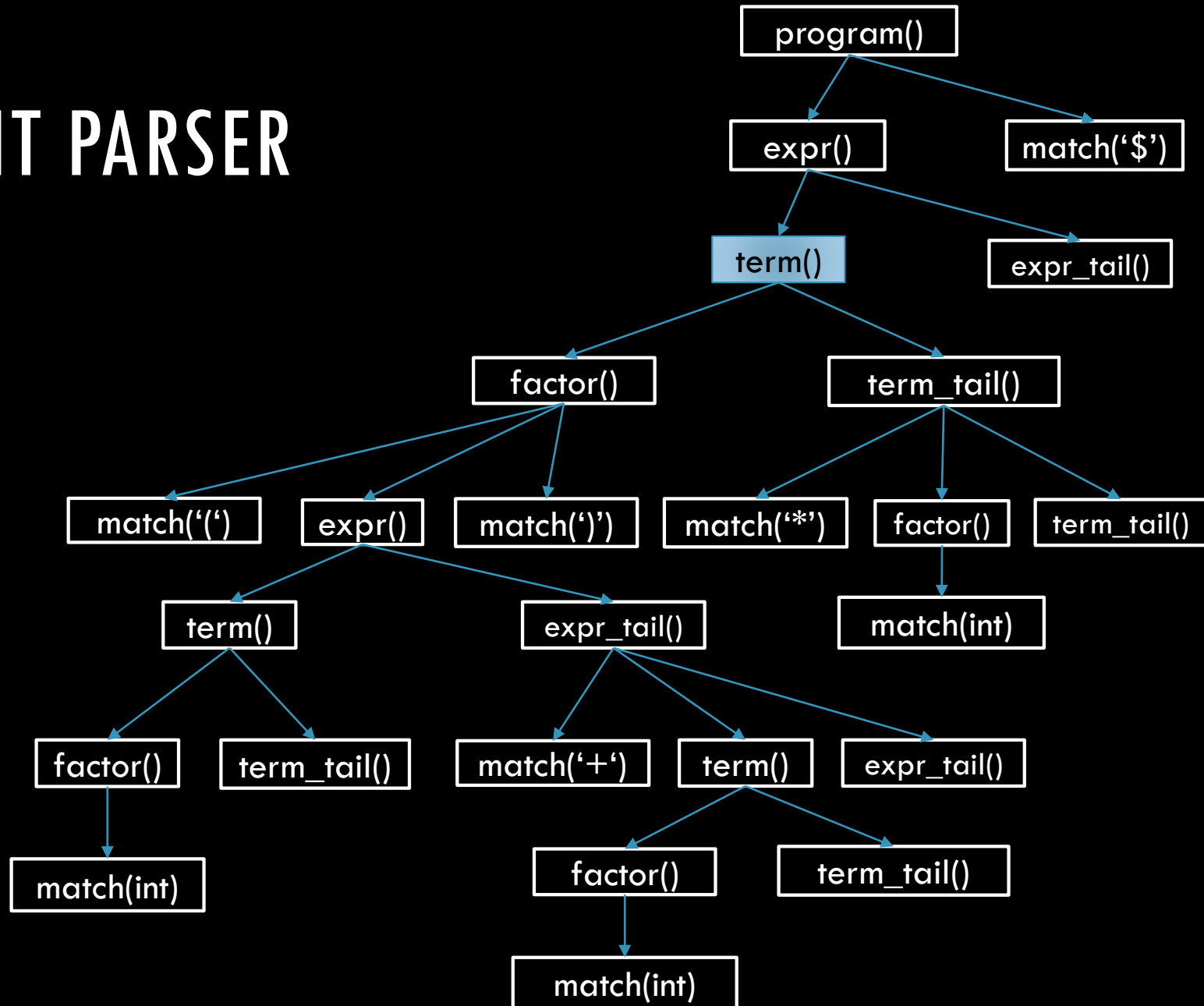


# RECURSIVE DESCENT PARSER

'\$'



procedure term  
case input of:  
  '(', int: factor() term\_tail()  
  else error



# RECURSIVE DESCENT PARSER

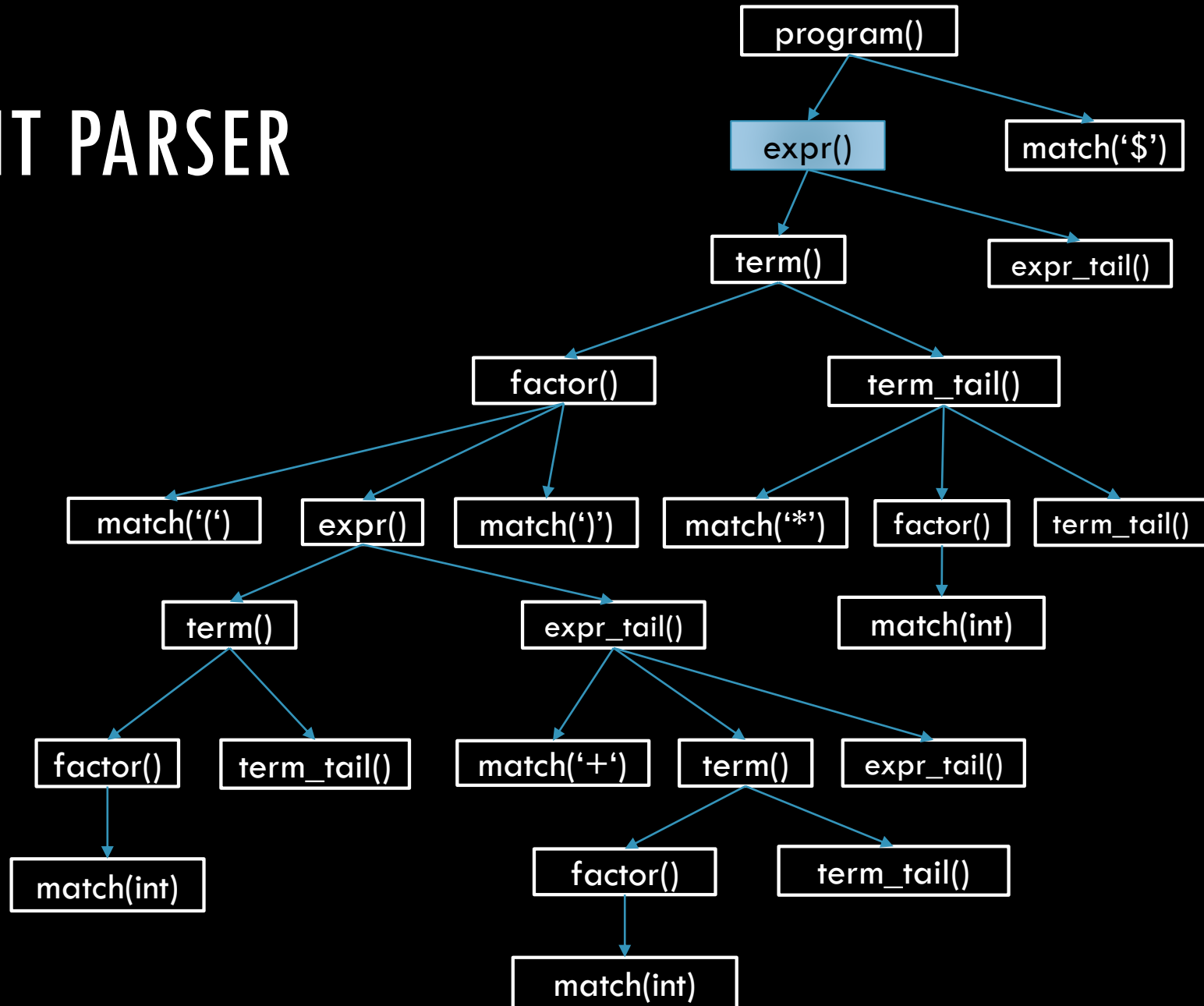
‘\$’



## procedure expr

case input of:

```
'(', int: term() expr_tail()
else error
```





# RECURSIVE DESCENT PARSER

‘\$’



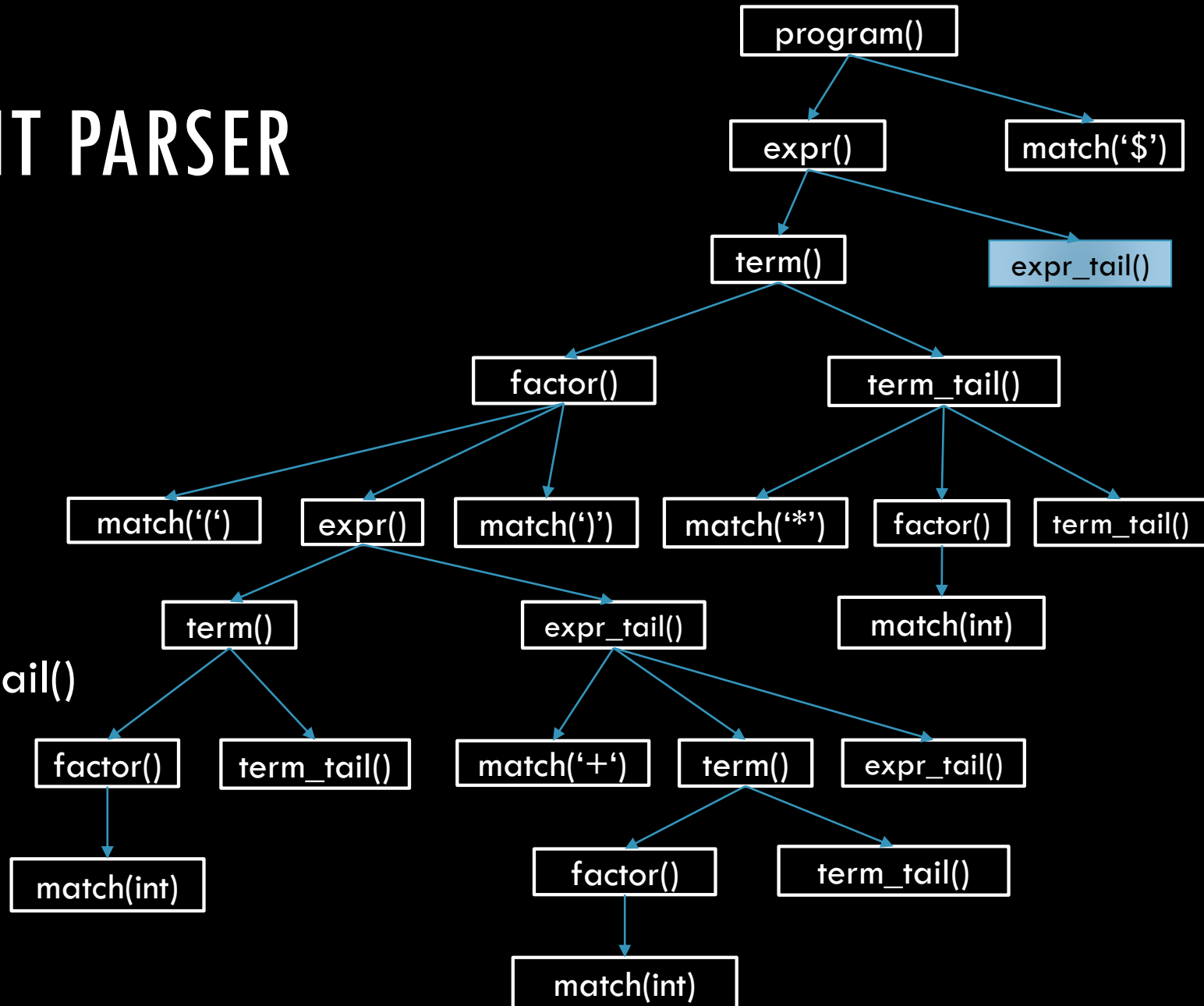
## procedure expr\_tail

case input of:

```
'+' : match('+') term() expr_tail()
```

''), '\$': skip

```
else error
```





# RECURSIVE DESCENT PARSER

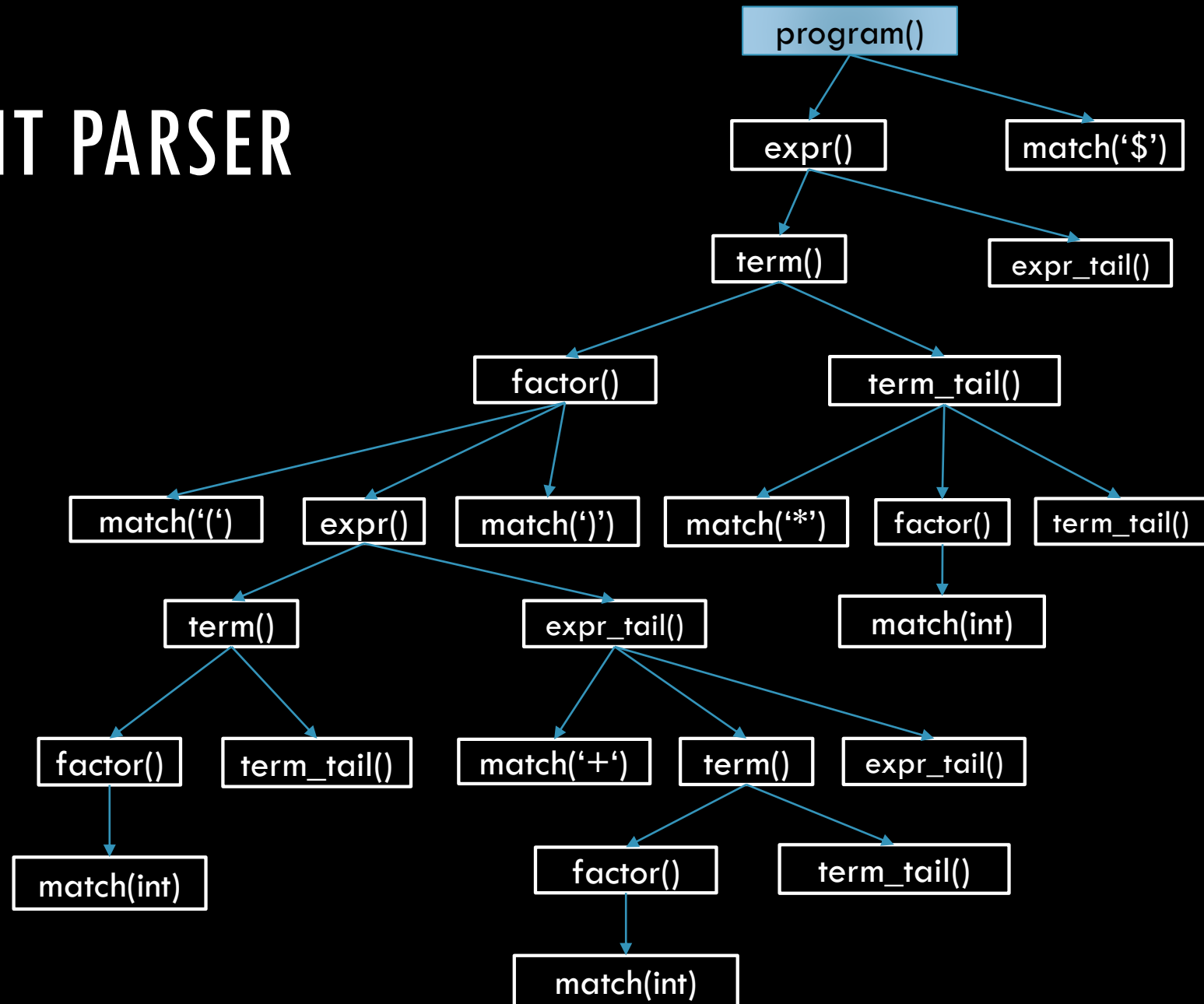
‘\$’



# procedure program

case input of:

```
'(', int: expr() match('$')
else error
```



# RECURSIVE DESCENT PARSER

'\$'

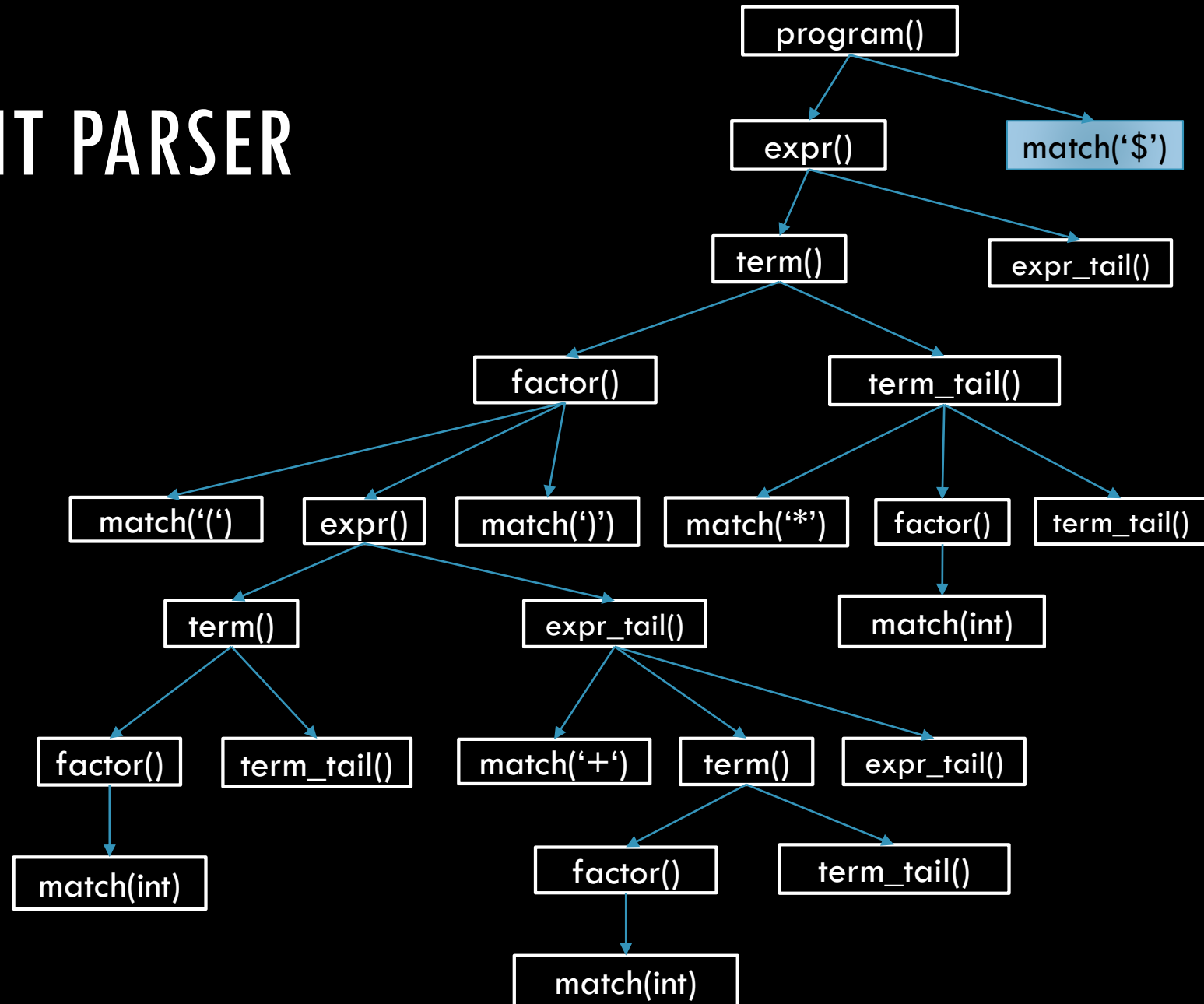


procedure program

case input of:

'(', int: expr() match('\$')

else error

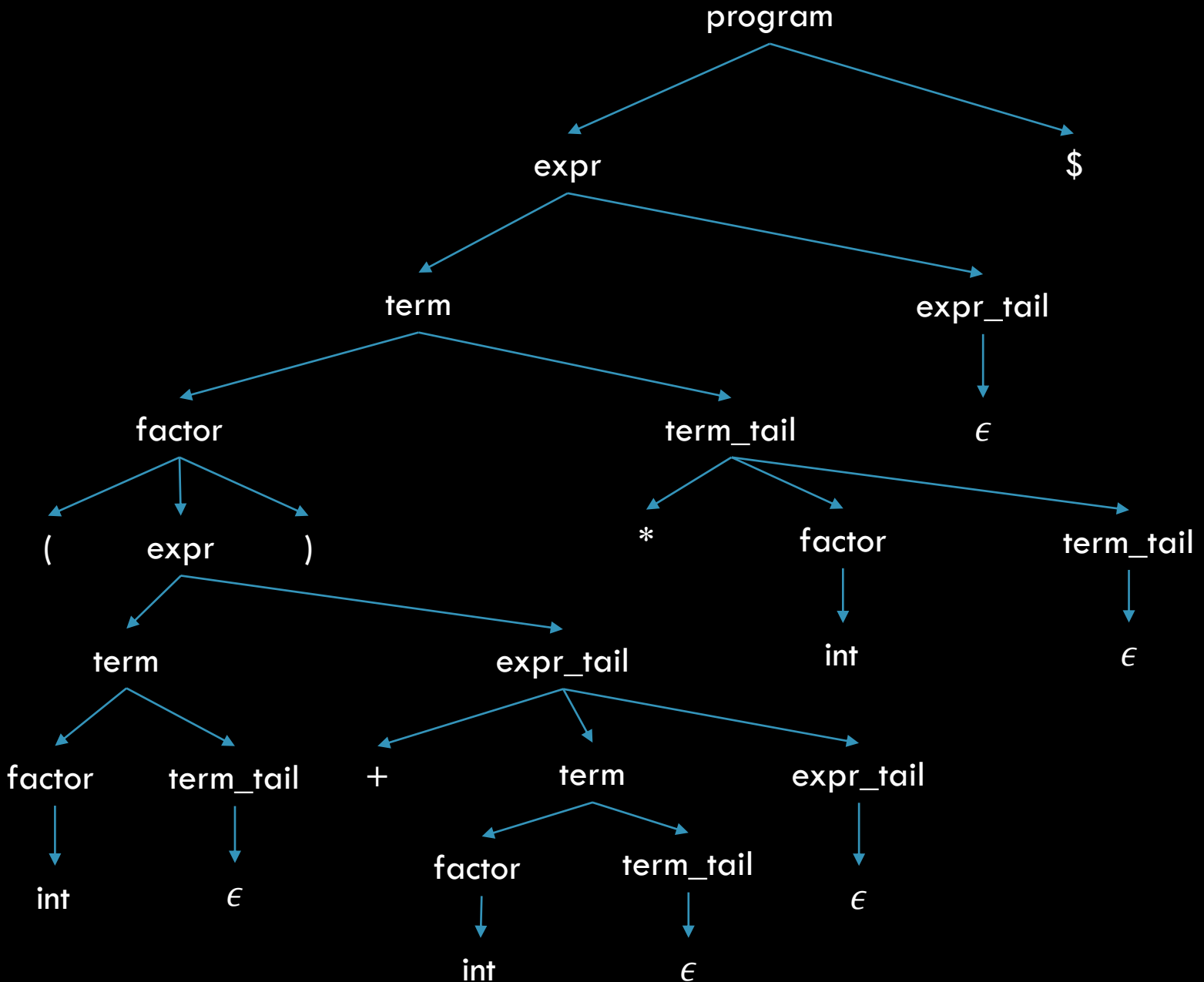


# RECURSIVE DESCENT PARSER

We were able to process the entire string of tokens that the scanner generated for us without encountering an error. Therefore, our string is valid!

1

While parsing our string, we are also building a parse tree behind the scenes. The derivation we just performed via our recursive descent parser yields the following parse tree.



# TABLE-DRIVEN TOP-DOWN PARSING

So, that's a lot of work for a little parser! More complicated LL grammars necessitate a table-driven approach (as we need for our auto-generated scanners).

In a table-driven parser, we have two elements:

- A driver program, which maintains a stack of symbols. (language independent)
- A parsing table, typically automatically generated. (language dependent)

# TABLE-DRIVEN TOP-DOWN PARSING

Here's the general method for performing table-driven parsing:

- We have a stack of grammar symbols. Initially, we just push the start symbol.
- We have a string of input tokens, ending with \$.
- We have a parsing table  $M[N, T]$ .
  - We can index into  $M$  using the current non-terminal at the top of the stack and the input token.
    1. If  $top == input == '$'$ : accept.
    2. If  $top == input$ : pop the top of the stack, read new input token, goto 1.
    3. If  $top$  is nonterminal:
      - if  $M[N, T]$  is a production: pop top of stack and replace with production, goto 1.
      - else error.
    4. Else error.



# TABLE-DRIVEN TOP-DOWN PARSING

Let's consider our grammar. First we need to create a table which reflects the possible productions based on the input.

- (1)  $program \rightarrow expr$
- (2)  $expr \rightarrow term\ expr\_tail$
- (3)  $expr\_tail \rightarrow +\ term\ expr\_tail$
- (4)  $expr\_tail \rightarrow \epsilon$
- (5)  $term \rightarrow factor\ term\_tail$
- (6)  $term\_tail \rightarrow *\ factor\ term\_tail$
- (7)  $term\_tail \rightarrow \epsilon$
- (8)  $factor \rightarrow (expr)$
- (9)  $factor \rightarrow int$

N	int	(	)	+	*	\$
program	(1)	(1)	-	-	-	-
expr	(2)	(2)	-	-	-	-
expr_tail	-	-	(4)	(3)	-	(4)
term	(5)	(5)	-	-	-	-
term_tail	-	-	(7)	(7)	(6)	(7)
factor	(9)	(8)	-	-	-	-

# TABLE-DRIVEN TOP-DOWN PARSING

- (1)  $program \rightarrow expr$
- (2)  $expr \rightarrow term\ expr\_tail$
- (3)  $expr\_tail \rightarrow +\ term\ expr\_tail$
- (4)  $expr\_tail \rightarrow \epsilon$
- (5)  $term \rightarrow factor\ term\_tail$
- (6)  $term\_tail \rightarrow *\ factor\ term\_tail$
- (7)  $term\_tail \rightarrow \epsilon$
- (8)  $factor \rightarrow (expr)$
- (9)  $factor \rightarrow int$

N	int	(	)	+	*	\$
program	(1)	(1)	-	-	-	-
expr	(2)	(2)	-	-	-	-
expr_tail	-	-	(4)	(3)	-	(4)
term	(5)	(5)	-	-	-	-
term_tail	-	-	(7)	(7)	(6)	(7)
factor	(9)	(8)	-	-	-	-

Stack (bottom  $\rightarrow$  top)

\$ program

\$ expr

\$ expr\_tail term

\$ expr\_tail term\_tail factor

\$ expr\_tail term\_tail ) expr (

\$ expr\_tail term\_tail ) expr

...

Input

( int + int ) \* int \$

( int + int ) \* int \$

( int + int ) \* int \$

( int + int ) \* int \$

( int + int ) \* int \$

int + int ) \* int \$

...

Production Used

$program \rightarrow expr$

$expr \rightarrow term\ expr\_tail$

$term \rightarrow factor\ term\_tail$

$factor \rightarrow ( expr )$

...

# TABLE-DRIVEN TOP-DOWN PARSING

The algorithm is easy to follow, the key is to compute the parsing table. Basically, we need to make a choice of production for every pair of nonterminal and input characters.

So, when can  $expr$  be expanded with production  $expr \rightarrow term\ expr\_tail$ ?

Intuitively, on any input token that can be the first token by expanding  $term\ expr\_tail$ .

This should include all the first tokens obtained by expanding  $term$ , what are they?

Well,  $term \rightarrow factor\ term\_tail$  and  $factor \rightarrow ( expr ) \mid int$ .

So our first tokens for expanding  $expr$  are '(' and int.

# TABLE-DRIVEN TOP-DOWN PARSING

What if *term* could derive the empty string? We would also include the first tokens that can be derived from *expr\_tail*. What if *expr\_tail* could be the empty string too? Then we need to know what could follow *expr*.

Calculating an LL(1) parsing table includes calculating the *first* and *follow* sets. This is how we make decisions about which production to take based on the input.

# FIRST SETS

Case 1: Let's say  $N \rightarrow \omega$ . To figure out which input tokens will allow us to replace  $N$  with  $\omega$ , we calculate  $\text{First}(\omega)$  – the set of tokens which could start the string  $\omega$ .

- If  $X$  is a terminal symbol,  $\text{First}(X) = X$ .
- If  $X$  is  $\epsilon$ , add  $\epsilon$  to  $\text{First}(X)$ .
- If  $X$  is a non-terminal, look at all productions where  $X$  is on left-hand side. Each production will be of the form:  $X \rightarrow Y_1 Y_2 \dots Y_k$  where  $Y$  is a nonterminal or terminal. Then:
  - Put  $\text{First}(Y_1) - \epsilon$  in  $\text{First}(X)$ .
  - If  $\epsilon$  is in  $\text{First}(Y_1)$ , then put  $\text{First}(Y_2) - \epsilon$  in  $\text{First}(X)$ .
  - If  $\epsilon$  is in  $\text{First}(Y_2)$ , then put  $\text{First}(Y_3) - \epsilon$  in  $\text{First}(X)$ .
  - ...
  - If  $\epsilon$  is in  $Y_1, Y_2, \dots, Y_k$ , then add  $\epsilon$  to  $\text{First}(X)$ .

# FIRST SETS

If we compute  $\text{First}(X)$  for every terminal and non-terminal  $X$  in a grammar, then we can compute  $\text{First}(\omega)$ , the tokens which can veritably start any string derived from  $\omega$ .

Why do we care about the  $\text{First}(\omega)$  sets? During parsing, suppose the top-of-stack symbol is nonterminal  $A$  and there are two productions  $A \rightarrow \alpha$  and  $A \rightarrow \beta$ . Suppose also that the current token is  $a$ . Well, if  $\text{First}(\alpha)$  includes  $a$ , then we can predict this will be the production taken.

# FOLLOW SETS

$\text{Follow}(N)$  gives us the set of terminal symbols that could follow the non-terminal symbol  $N$ . To calculate  $\text{Follow}(N)$ , do the following:

- If  $N$  is the starting non-terminal, put EOF (or other program-ending symbol) in  $\text{Follow}(N)$ .
- If  $X \rightarrow \alpha N$ , where  $\alpha$  is some string of non-terminals and/or terminals, put  $\text{Follow}(X)$  in  $\text{Follow}(N)$ .
- If  $X \rightarrow \alpha N \beta$  where  $\alpha, \beta$  are some string of non-terminals and/or terminals, put  $\text{First}(\beta)$  in  $\text{Follow}(N)$ . If  $\text{First}(\beta)$  includes  $\epsilon$ , then put  $\text{Follow}(X)$  in  $\text{Follow}(N)$ .

# FOLLOW SETS

Why do we care about the Follow(N) sets? During parsing, suppose the top-of-stack symbol is nonterminal A and there are two productions  $A \rightarrow \alpha$  and  $A \rightarrow \beta$ . Suppose also that the current token is a. What if neither  $\text{First}(\alpha)$  nor  $\text{First}(\beta)$  contain a, but they contain  $\epsilon$ ? We use the Follow sets to determine which production to take.



# NEXT LECTURE

Implementing an LL(1) parsing table.