Symbolic Weighted Language Models andQuantitative Parsing over Infinite Alphabets

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— Abstract

We propose a framework for weighted parsing over infinite alphabets. It is based on language models called Symbolic Weighted Automata (swA) at the joint between Symbolic Automata (sA) and Weighted Automata (wA), as well as Transducers (swT) and Visibly Pushdown (sw-VPA) variants. Like sA, swA deal with large or infinite input alphabets, and like wA, they output a weight value in a semiring domain. The transitions of swA are labeled by functions from an infinite alphabet into the weight domain. This is unlike sA whose transitions are guarded by boolean predicates overs symbols in an infinite alphabet and also unlike wA whose transitions are labeled by constant weight values, and who deal only with finite automata. We present some properties of swA, swT and sw-VPA models, that we use to define and solve a variant of parsing over infinite alphabets. We also briefly describe the application that motivated the introduction of these models: a parse-based approach to automated music transcription.

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1 Introduction

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Parsing is the problem of structuring a linear representation on input (a finite word), according to a language model. Most of the context-free parsing approaches [15] assume a finite and reasonably small input alphabet. Such a restriction makes perfect sense in the context of NLP tasks such as constituency parsing, or of programming languages compilers or interpreters. Considering large or infinite alphabets can however be of practical interest, for instance, 27 when dealing with large characters encodings such as UTF-16, e.g. for vulnerability detection in Web-applications [8], for the analyse (e.g. validation or filtering) of data streams or serialization of structured documents (with textual or numerical attributes) [26], or for processing timed execution traces [3]. The latter case is related to a study that motivated the present work: automated music transcription. In this problem, a music performance, represented symbolically in the form of a sequence of timed musical events, is converted into a score in Common Western Music Notation [14], structured according to nested grouping and metric strength of events. It can therefore be stated as a parsing problem [12], over an 35 infinite alphabet of timed events. 36

Various extensions of language models for handling infinite alphabets have been studied. For instance, some automata with memory extensions allow restricted storage and comparison of input symbols, (see [26] for a survey), with pebbles for marking positions [25], registers [18], or the possibility to compute on subsequences with the same attribute values [2]. The automata at the core of model checkers compute on input symbols represented by large bitvectors [27] (sets of assignments of Boolean variables) and in practice, each transition accepts a set of such symbols (instead of an individual symbol), represented by Boolean

I think that we can make the case for a larger set of situations: given a linear music notation input, for instance elements in an XML file, we we want to structure the input according to a hierarchical rhythmic space. I can elabor-

register: skip refs and details, add Mikolaj recent

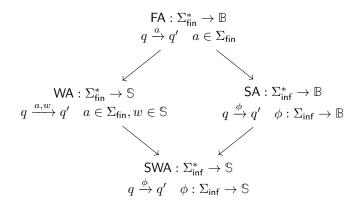


Figure 1 Classes of Symbolic/Weighted Automata. Σ_{fin} is a finite alphabet, Σ_{inf} is a countable alphabet, \mathbb{B} is the Boolean algebra, \mathbb{S} is a commutative semiring, $q \xrightarrow{\cdots} q'$ is a transition between states q and q'.

formula or Binary Decision Diagrams. Following a similar idea, in symbolic automata (sA) [7, 8], the transitions are guarded by predicates over infinite alphabet domains. With appropriate closure conditions on the sets of such predicates, all the good properties enjoyed by automata over finite alphabets are preserved.

Other extensions of language models help in dealing with non-determinism, by the computation of weight values. With an ambiguous grammar, there may exist several derivations (abstract syntax trees – AST) yielding one input word. The association of one weight value to each AST permits to select a best one (or n bests). This is roughly the principle of weighted parsing approaches [13, 24, 23]. In weighted language models, like e.g. probabilistic context-free grammars and weighted automata (wA) [11], a weight value is associated to each transition rule, and the rule's weights can be combined with a associative product operator \otimes into the weight of an AST. A second operator \oplus , associative and commutative, is moreover used to handle the ambiguity of the model, by summing the weights of the possibly several (in general exponentially many) AST associated to a given input word. Typically, \oplus will select the best of two weight values. The weight domain, equipped with these two operators shall be, at minima, a semiring where \oplus can be extended to infinite sums, such as the Viterbi semiring and the tropical min-plus algebra, see Figure 2.

In this paper, we present a uniform framework for weighted parsing over infinite input alphabets. It is based on symbolic weighted finite states language models (swM), generalizing the Boolean guards of sA into functions into an arbitrary semiring, and generalizing also wA, by handling infinite alphabets, see Figure 1. In short, a transition rule $q \stackrel{\phi}{\to} q'$ from state q to q' of a swM, is labeled by a function ϕ associating to every input symbol a a weight value $\phi(a)$ in a semiring domain. The models presented here are finite automata called symbolic-weighted (swA), transducers (swT), and pushdown automata with a visibly restriction [1] (sw-VPA). The latter model of automata operates on nested words [1], a structured form of words parenthesized with markup symbols, corresponding to a linearization of trees. In the context of parsing, they can represent (weighted) AST of CF grammars. More precisely, a sw-VPA A associates a weight value A(t) to a given nested word t, which is the linearization of an AST. On the other hand, a swT can define a distance T(s,t) between finite words s and t over infinite alphabets. Then, the sW-parsing problem aims at finding t minimizing s and s over infinite alphabets. Then, the s and s in [21]. Like weighted-parsing methods [13, 24, 23],

This sentence (symbols as variables) is not immediately clear to me. Maybe a short example or intuition?

modified

The weight is a label 7 on edges in the derivation tree, right? Not sure I understand the sentence

You mean the distance between s and t? "The latter value" is a bit ambiguous

our approach proceeds in two steps, based on properties of the swM. The first step is an intersection (Bar-Hillel construction [15]) where, given a swT T, a sw-VPA A, and an input word s, a sw-VPA $A_{T,s}$ is built, such that for all t, $A_{T,s}(t) = T(s,t) \otimes A(t)$. In the second step, a best AST t is found by applying to $A_{T,s}$ a best search algorithm similar to the shortest distance in graphs [20, 17].

The main contributions of the paper are: (i) the introduction of automata, swA, transducers, swT (Section 3), and visibly pushdown automata sw-VPA (Section 4), generalizing the corresponding classes of symbolic and weighted models, (ii) a polynomial best-search algorithm for sw-VPA, and (iii) a uniform framework (Section 5) for parsing over infinite alphabets, the keys to which are (iii.a) the swT-based definition of generic edit distances between input and output (yield) words, and (iii.b) the use, convenient in this context, of nested words, and sw-VPA, instead of syntax trees and grammars.

The notation $A_{T,s}$ has not been intro-duced so far. It is not clear why T is a parameter there

OK, à quelques dé-tails (pour moi), tout ça est très bien expliqué

expressiveness: VPA equality test. comparable to pebble automata? \rightarrow con-

Preliminary Notions

Semirings

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We shall consider semirings for the weight values of our language models. . A semiring $\langle \mathbb{S}, \oplus, \mathbb{O}, \otimes, \mathbb{1} \rangle$ is a structure with a domain \mathbb{S} , equipped with two associative binary operators \oplus and \otimes , with respective neutral elements \mathbb{O} and $\mathbb{1}$, and such that:

 \oplus is commutative: $\langle \mathbb{S}, \oplus, \mathbb{O} \rangle$ is a commutative monoid and $\langle \mathbb{S}, \otimes, \mathbb{1} \rangle$ a monoid, 94

 \otimes distributes over \oplus : $\forall x, y, z \in \mathbb{S}$, $x \otimes (y \oplus z) = (x \otimes y) \oplus (x \otimes z)$, and $(x \oplus y) \otimes z =$ 95 $(x \otimes z) \oplus (y \otimes z),$ 96

 \blacksquare 0 is absorbing for \otimes : $\forall x \in \mathbb{S}$, $0 \otimes x = x \otimes 0 = 0$.

Intuitively, in the models presented in this paper, \oplus selects an optimal value from two given values, in order to handle non-determinism, and \otimes combines two values into a single value, 99 in a chaining of transitions. 100

A semiring S is commutative if \otimes is commutative. It is idempotent if for all $x \in S$, $x \oplus x = x$. Every idempotent semiring $\mathbb S$ induces a partial ordering \leq_{\oplus} called the natural ordering of \mathbb{S} [20] defined, by: for all $x, y \in \mathbb{S}$, $x \leq_{\oplus} y$ iff $x \oplus y = x$. The natural ordering is sometimes defined in the opposite direction [10]; We follow here the direction that coincides with the usual ordering on the Tropical semiring min-plus (Figure 2). An idempotent semiring $\mathbb S$ is called *total* if it \leq_{\oplus} is total *i.e.* when for all $x,y\in\mathbb S$, either $x\oplus y=x$ or $x\oplus y=y$.

▶ **Lemma 1** (Monotony, [20]). Let $(S, \oplus, \mathbb{O}, \otimes, \mathbb{1})$ be an idempotent semiring. For all $x, y, z \in$ $\mathbb{S}, \ if \ x \leq_{\oplus} y \ then \ x \oplus z \leq_{\oplus} y \oplus z, \ x \otimes z \leq_{\oplus} y \otimes z \ and \ z \otimes x \leq_{\oplus} z \otimes y.$

To express the property of Lemma 1, we call S monotonic $wrt \leq_{\oplus}$. Another important semiring property in the context of optimization is superiority [16], which corresponds to the non-negative weights condition in shortest-path algorithms [9]. Intuitively, it means that combining elements with \otimes always increase their weight. Formally, it is defined as the property (i) below.

▶ **Lemma 2** (Superiority, Boundedness). Let $(S, \oplus, 0, \otimes, 1)$ be an idempotent semiring. The two following statements are equivalent:

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i. for all x, y \in \mathbb{S}, x \leq_{\oplus} x \otimes y and y \leq_{\oplus} x \otimes y
ii. for all x \in \mathbb{S}, \mathbb{1} \oplus x = \mathbb{1}.
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The results are established for a general class of semirings. They can be instantiated for con-

between the struture and the domain S. Not essential

is total necessary?

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	domain	\oplus	\otimes	0	1
Boolean	$\{\bot, \top\}$	V	٨	Τ	Т
Counting	N	+	×	0	1
Viterbi	$[0,1] \subset \mathbb{R}$	max	×	0	1
Tropical min-plus	$\mathbb{R}_+ \cup \{\infty\}$	min	+	8	0

Figure 2 Some commutative, bounded, total and complete semirings.

Proof. $(ii) \Rightarrow (i) : x \oplus (x \otimes y) = x \otimes (\mathbb{1} \oplus y) = x$, by distributivity of \otimes over \oplus . Hence $x \leq_{\oplus} x \otimes y$. Similarly, $y \oplus (x \otimes y) = (\mathbb{1} \oplus x) \otimes y = y$, hence $y \leq_{\oplus} x \otimes y$. $(i) \Rightarrow (ii)$: by the 119 second inequality of (i), with y = 1, $1 \le_{\oplus} x \otimes 1 = x$, i.e., by definition of \le_{\oplus} , $1 \oplus x = 1$.

In [16], when the property (i) holds, S is called superior wrt the ordering \leq_{\oplus} . We have seen in the proof of Lemma 2 that it implies that $\mathbb{1} \leq_{\oplus} x$ for all $x \in \mathbb{S}$. Similarly, by the first inequality of (i) with y = 0, $x \leq_{\oplus} x \otimes 0 = 0$. Hence, in a superior semiring, it holds that for all $x \in \mathbb{S}$, $\mathbb{1} \leq_{\oplus} x \leq_{\oplus} \mathbb{O}$. Intuitively, from an optimization point of view, it means that 1 is the best value, and 0 the worst. In [20], $\mathbb S$ with the property (ii) of Lemma 2 is called bounded – we shall use this term in the rest of the paper. It implies that, when looking for a best path in a graph whose edges are weighted by values of S, the loops can be safely avoided, because, for all $x \in \mathbb{S}$ and $n \ge 1$, $x \oplus x^n = x \otimes (\mathbb{1} \oplus x^{n-1}) = x$.

▶ **Lemma 3.** Every bounded semiring is idempotent.

Proof. By boundedness, $\mathbb{1} \oplus \mathbb{1} = \mathbb{1}$, and idempotency follows by multiplying both sides by x 130 and distributing. 131

Here the difference between S as a struc-ture and as a doain is blurred

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 $j \in \mathbb{N}$: j is en element of \mathbb{N} , not the same s $j \subset \mathbb{N}$

We shall need below infinite sums with \oplus . A semiring \mathbb{S} is called *complete* [11] if it has an operation $\bigoplus_{i\in I} x_i$ for every family $(x_i)_{i\in I}$ of elements of $dom(\mathbb{S})$ over an index set $I\subset\mathbb{N}$, such that:

i. infinite sums extend finite sums:

$$\bigoplus_{i \in \emptyset} x_i = 0, \quad \forall j \in \mathbb{N}, \bigoplus_{i \in \{j\}} x_i = x_j, \ \forall j, k \in \mathbb{N}, j \neq k, \bigoplus_{i \in \{j,k\}} x_i = x_j \oplus x_k,$$
ii. associativity and commutativity:

for all
$$I \subseteq \mathbb{N}$$
 and all partition $(I_j)_{j \in J}$ of I , $\bigoplus_{j \in J} \bigoplus_{i \in I_j} x_i = \bigoplus_{i \in I} x_i$,

iii. distributivity of product over infinite sum: for all
$$I \subseteq \mathbb{N}$$
, $\bigoplus_{i \in I} (x \otimes y_i) = x \otimes \bigoplus_{i \in I} y_i$, and $\bigoplus_{i \in I} (x_i \otimes y) = (\bigoplus_{i \in I} x_i) \otimes y$.

results of this paper 14 for semirings com-mutative, bounded, total and complete

Label Theory

We shall now define the functions labeling the transitions of SW automata and transducers, generalizing the Boolean algebras of [7] from Boolean to other semiring domains. We consider alphabets, which are countable sets of symbols denoted Σ , Δ ,... Given a semiring $(\mathbb{S}, \oplus, \mathbb{O}, \otimes, \mathbb{1})$, a label theory over \mathbb{S} is a set $\bar{\Phi}$ of recursively enumerable sets denoted Φ_{Σ} , containing unary functions of type $\Sigma \to \mathbb{S}$, or $\Phi_{\Sigma,\Delta}$, containing binary functions $\Sigma \times \Delta \to \mathbb{S}$, and such that:

- for all $\Phi_{\Sigma,\Delta} \in \bar{\Phi}$, we have $\Phi_{\Sigma} \in \bar{\Phi}$ and $\Phi_{\Delta} \in \bar{\Phi}$
- every $\Phi_{\Sigma} \in \Phi$ contains all the constant functions from Σ into \mathbb{S} ,

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\begin{array}{ll} {}_{151} & - \text{ for all } \alpha \in \mathbb{S} \text{ and } \phi \in \Phi_{\Sigma}, \, \alpha \otimes \phi : x \mapsto \alpha \otimes \phi(x), \, \text{and } \phi \otimes \alpha : x \mapsto \phi(x) \otimes \alpha \\ {}_{152} & - \text{ belong to } \Phi_{\Sigma}, \, \text{and similarly for } \oplus \text{ and for } \Phi_{\Sigma,\Delta} \\ {}_{153} & - \text{ for all } \phi, \phi' \in \Phi_{\Sigma}, \, \phi \otimes \phi' : x \mapsto \phi(x) \otimes \phi'(x) \text{ belongs to } \Phi_{\Sigma} \\ {}_{154} & - \text{ for all } \eta, \eta' \in \Phi_{\Sigma,\Delta}, \, \eta \otimes \eta' : x, y \mapsto \eta(x,y) \otimes \eta'(x,y) \text{ belongs to } \Phi_{\Sigma,\Delta} \\ {}_{155} & - \text{ for all } \phi \in \Phi_{\Sigma} \text{ and } \, \eta \in \Phi_{\Sigma,\Delta}, \, \phi \otimes_1 \eta : x, y \mapsto \phi(x) \otimes \eta(x,y) \text{ and} \\ {}_{156} & \eta \otimes_1 \phi : x, y \mapsto \eta(x,y) \otimes \phi(x) \text{ belong to } \Phi_{\Sigma,\Delta} \\ {}_{157} & - \text{ for all } \psi \in \Phi_{\Delta} \text{ and } \, \eta \in \Phi_{\Sigma,\Delta}, \, \psi \otimes_2 \eta : x, y \mapsto \psi(y) \otimes \eta(x,y) \text{ and} \\ {}_{158} & \eta \otimes_2 \psi : x, y \mapsto \eta(x,y) \otimes \psi(y) \text{ belong to } \Phi_{\Sigma,\Delta} \\ {}_{159} & - \text{ similar closures hold for } \oplus. \end{array}
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partial application is needed?

Intuitively, the operators \bigoplus_{Σ} return global minimum, $wrt \leq_{\oplus}$, of functions of Φ_{Σ} . When the semiring \mathbb{S} is complete, we consider the following operators on the functions of $\bar{\Phi}$.

$$\bigoplus_{\Sigma} : \Phi_{\Sigma} \to \mathbb{S}, \ \phi \mapsto \bigoplus_{a \in \Sigma} \phi(a)$$

$$\bigoplus_{\Sigma}^{1} : \Phi_{\Sigma,\Delta} \to \Phi_{\Delta}, \ \eta \mapsto \left(y \mapsto \bigoplus_{a \in \Sigma} \eta(a,y) \right) \quad \bigoplus_{\Delta}^{2} : \Phi_{\Sigma,\Delta} \to \Phi_{\Sigma}, \ \eta \mapsto \left(x \mapsto \bigoplus_{b \in \Delta} \eta(x,b) \right)$$

In what follows, we might omit the sub- and superscripts in \otimes_1 , \bigoplus_{Σ}^1 ..., when there is no

ambiguity. We shall keep them only for the special case $\Sigma = \Delta$, *i.e.* $\eta \in \Phi_{\Sigma,\Sigma}$, in order to be able to distinguish between the first and the second argument.

▶ **Definition 4.** A label theory $\bar{\Phi}$ is complete when the underlying semiring \mathbb{S} is complete, and for all $\Phi_{\Sigma,\Delta} \in \bar{\Phi}$ and all $\eta \in \Phi_{\Sigma,\Delta}$, $\bigoplus_{\Sigma}^{1} \eta \in \Phi_{\Delta}$ and $\bigoplus_{\Delta}^{2} \eta \in \Phi_{\Sigma}$.

The following facts are immediate.

notion of diagram of functions akin BDD for transitions in

mv appendix

Lemma 5. For
$$\bar{\Phi}$$
 complete $\alpha \in \mathbb{S}$, $\phi, \phi' \in \Phi_{\Sigma}$, $\psi \in \Phi_{\Delta}$, and $\eta \in \Phi_{\Sigma,\Delta}$:

i. $\bigoplus_{\Sigma} \bigoplus_{\Delta}^2 \eta = \bigoplus_{\Delta} \bigoplus_{\Sigma}^1 \eta$

ii. $\alpha \otimes \bigoplus_{\Sigma} \phi = \bigoplus_{\Sigma} (\alpha \otimes \phi)$ and $(\bigoplus_{\Sigma} \phi) \otimes \alpha = \bigoplus_{\Sigma} (\phi \otimes \alpha)$, and similarly for ⊕

174 iii. $(\bigoplus_{\Sigma} \phi) \oplus (\bigoplus_{\Sigma} \phi') = \bigoplus_{\Sigma} (\phi \oplus \phi')$ and $(\bigoplus_{\Sigma} \phi) \otimes (\bigoplus_{\Sigma} \phi') = \bigoplus_{\Sigma} (\phi \otimes \phi')$

175 iv. $(\bigoplus_{\Delta}^2 \eta) \oplus (\bigoplus_{\Delta}^2 \eta') = \bigoplus_{\Delta}^2 (\eta \oplus \eta')$, and $(\bigoplus_{\Delta}^2 \eta) \otimes (\bigoplus_{\Delta}^2 \eta') = \bigoplus_{\Delta}^2 (\eta \otimes \eta')$

176 v. $\phi \otimes (\bigoplus_{\Delta}^2 \eta) = \bigoplus_{\Delta} (\phi \otimes_1 \eta)$, and $(\bigoplus_{\Delta}^2 \eta) \otimes \phi = \bigoplus_{\Delta} (\eta \otimes_1 \phi)$, and similarly for ⊕

A label theory is called *effective* when for all $\phi \in \Phi_{\Sigma}$ and $\eta \in \Phi_{\Sigma,\Delta}$, $\bigoplus_{\Sigma} \phi$, $\bigoplus_{\Delta} \bigoplus_{\Sigma} \eta$, and $\bigoplus_{\Sigma} \bigoplus_{\Delta} \eta$ can be effectively computed from ϕ and η .

 $vi. \ \psi \otimes (\bigoplus_{\Sigma}^1 \eta) = \bigoplus_{\Sigma} (\psi \otimes_2 \eta), \ and \ (\bigoplus_{\Sigma}^1 \eta) \otimes \psi = \bigoplus_{\Sigma} (\eta \otimes_2 \psi), \ and \ similarly \ for \oplus_{\Sigma} (\psi \otimes_2 \psi)$

▶ **Example 6.** Consider the music transcription problem, with an input representing a music performance. In order to align the input with a music score, we must take into consideration the expressive timing of human performance that results in small time shifts between an input event and the corresponding notation event. These shifts can be weighted as the time distance between both, computed in the tropical semiring with a base function based on a given $\delta \in \Phi_{\Sigma,\Delta}$.

Je trouve qu'il y a beaucoup de notions à retenir (complete, effective) et ça devient difficile pour un lecteur non spécialiste. Est-ce que tout est nécessaire (je ne sais plus qui m'avait dit: un concept en plus, un point en

 \exists oracle returning in worst time complexity T.

$$\delta(\langle e_1, t1_{>}, \langle e_2, t_2 \rangle) = \begin{cases} |t_1 - t_2| & ife_1 = e_2 \\ 0 & otherwise \end{cases}$$

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 \Diamond

3 SW Automata and Transducers

We follow the approach of [21] for the computation of distances, between words and languages, using weighted transducers, and extend it to infinite alphabets. The models introduced in this section generalize weighted automata and transducers [11] by labeling each transition with a weight function (instead of a simple weight value), that takes the input and output symbols as parameters. These functions are similar to the guards of symbolic automata [7, 8], but they can return values in a generic semiring, whereas the latter guards are restricted to the Boolean semiring.

Let $\mathbb S$ be a commutative semiring, Σ and Δ be alphabets called respectively *input* and *output*, and $\bar{\Phi}$ be a label theory over $\mathbb S$ containing Φ_{Σ} , Φ_{Δ} , $\Phi_{\Sigma,\Delta}$.

Definition 7. A symbolic-weighted transducer (swT) over Σ , Δ , \mathbb{S} and $\bar{\Phi}$ is a tuple $T = \langle Q, \mathsf{in}, \bar{\mathsf{w}}, \mathsf{out} \rangle$, where Q is a finite set of states, $\mathsf{in}: Q \to \mathbb{S}$ (respectively $\mathsf{out}: Q \to \mathbb{S}$) are functions defining the weight for entering (respectively leaving) computation in a state, and $\bar{\mathsf{w}}$ is a triplet of transition functions $\mathsf{w}_{10}: Q \times Q \to \Phi_{\Sigma}$, $\mathsf{w}_{01}: Q \times Q \to \Phi_{\Delta}$, and $\mathsf{w}_{11}: Q \times Q \to \Phi_{\Sigma,\Delta}$.

We call number of transitions of T the number of pairs of states $q, q' \in Q$ such that w_{10} or w_{19} is not the constant \mathbb{O} . For convenience, we shall sometimes present transitions as functions of $Q \times (\Sigma \cup \{\varepsilon\}) \times (\Delta \cup \{\varepsilon\}) \times Q \to \mathbb{S}$, overloading the function names, such that, for all $q, q' \in Q$, $a \in \Sigma$, $b \in \Delta$,

$$\begin{array}{lll} & \mathsf{w}_{10}(q,a,\varepsilon,q') & = & \phi(a) & \quad \text{where } \phi = \mathsf{w}_{10}(q,q') \in \Phi_{\Sigma}, \\ & \mathsf{w}_{01}(q,\varepsilon,b,q') & = & \psi(b) & \quad \text{where } \psi = \mathsf{w}_{01}(q,q') \in \Phi_{\Delta}, \\ & \mathsf{w}_{11}(q,a,b,q') & = & \eta(a,b) & \quad \text{where } \eta = \mathsf{w}_{11}(q,q') \in \Phi_{\Sigma,\Delta}. \end{array}$$

The swT T computes on pairs of words $\langle s,t \rangle \in \Sigma^* \times \Delta^*$, s and t, being respectively called input and output word. More precisely, T defines a mapping from $\Sigma^* \times \Delta^*$ into \mathbb{S} , based on an intermediate function weight_T defined recursively, for every states $q, q' \in Q$, and every pairs of strings $\langle s,t \rangle \in \Sigma^* \times \Delta^*$, where au, and bv, denote the concatenation of the symbol $a \in \Sigma$ (resp. $b \in \Delta$) with a word $u \in \Sigma^*$ (resp. $v \in \Delta^*$).

added u and v def

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weight
$$_{T}(q, \varepsilon, \varepsilon, q') = \mathbb{1}$$
 if $q = q'$ and $\mathbb{0}$ otherwise (1)

weight $_{T}(q, s, t, q') = \bigoplus_{\substack{q'' \in Q \\ s = au, a \in \Sigma}} \mathsf{w}_{10}(q, a, \varepsilon, q'') \otimes \mathsf{weight}_{T}(q'', u, t, q')$
 $\oplus \bigoplus_{\substack{q'' \in Q \\ t = bv, b \in \Delta}} \mathsf{w}_{01}(q, \varepsilon, b, q'') \otimes \mathsf{weight}_{T}(q'', s, v, q')$
 $\oplus \bigoplus_{\substack{q'' \in Q \\ s = au, t = bv}} \mathsf{w}_{11}(q, a, b, q'') \otimes \mathsf{weight}_{T}(q'', u, v, q')$

We recall that, by convention (Section 2), an empty sum with \bigoplus is equal to \mathbb{O} . Intuitively, using a transition $\mathsf{w}_{ij}(q,a,b,q')$ means for T: when reading respectively a and b at the current positions in the input and output words, increment the current position in the input word if and only if i=1, and in the output word iff j=1, and change state from q to q'. When $a=\varepsilon$ (resp. $b=\varepsilon$), the current symbol in the input (resp. output) is not read. Since \mathbb{O}

is absorbing for \otimes in \mathbb{S} , one term $\mathsf{w}_{ij}(q,a,b,q'')$ equal to \mathbb{O} in the above expression will be ignored in the sum, meaning that there is no possible transition from state q into state q' while reading a and b. This is analogous to the case of a transition's guard not satisfied by $\langle a,b\rangle$ for symbolic transducers.

The expression (1) can be seen as a stateful definition of an edit-distance between a word $s \in \Sigma^*$ and a word $t \in \Delta^*$, see also [22]. Intuitively, $\mathsf{w}_{10}(q,a,\varepsilon,r)$ is the cost of the deletion of the symbol $a \in \Sigma$ in s, $\mathsf{w}_{01}(q,\varepsilon,b,r)$ is the cost of the insertion of $b \in \Delta$ in t, and $\mathsf{w}_{11}(q,a,b,r)$ is the cost of the substitution of $a \in \Sigma$ by $b \in \Delta$. The cost of a sequence of such operations transforming s into t, is the product, with \otimes , of the individual costs of the operations involved; and the distance between s and t is the sum, with \oplus , of all possible products. Formally, the weight associated by T to $\langle s, t \rangle \in \Sigma^* \times \Delta^*$ is:

$$T(s,t) = \bigoplus_{q,q' \in Q} \operatorname{in}(q) \otimes \operatorname{weight}_T(q,s,t,q') \otimes \operatorname{out}(q')$$
 (2)

▶ Example 8. Let us develop the example of comparison between music played by a performer, represented as a sequence $s \in \Sigma^*$ of events in the MIDI alphabet Σ , and a music score represented as a sequence $t \in \Delta^*$ in the CWMN alphabet Δ . We build a small weighted transducer model with two states q_0 and q_1 that calculates the distance between s and t.

If one performed event s_i corresponds to one notated event t_1 (for instance MIDI code 61 and pitch A4), the weight value computed by the swT is the time distance between both, as in Example 6, and is modeled by transitions w_{11} below. If we meet the music notation symbol '-' that represents continuation (such as instance in $ties \downarrow \$), or $dots \downarrow$), it is skipped with no cost (transitions w_{01} or weight 1).

$$\begin{array}{lcl} \mathbf{w}_{11}(q_0,d,\langle\mathbf{e},d'\rangle,q_0) & = & |d'-d| & \mathbf{w}_{11}(q_1,d,\langle\mathbf{e},d'\rangle,q_0) & = & |d'-d| \\ \mathbf{w}_{01}(q_0,\varepsilon,\langle-,d'\rangle,q_0) & = & \mathbb{1} & \mathbf{w}_{01}(q_1,\varepsilon,\langle-,d'\rangle,q_0) & = & \mathbb{1} \\ \mathbf{w}_{10}(q_0,d,\varepsilon,q_1) & = & \alpha & \end{array}$$

We also must be able to take performing errors into account, while still being able to compare with the score, since a performer could, for example, play an unwritten extra note. This is modelled by the transition w_{10} with an arbitrary weight value $\alpha \in \mathbb{S}$, switching from state q_0 (normal) to q_1 (error). The transitions in the second column below switch back to the normal state q_0 . At last, we let q_0 be the only initial and final state, with $in(q_0) = out(q_0) = 1$, and $in(q_1) = out(q_1) = 0$.

That way, an swT is capable of evaluating the differences between a score and a performance, all the while ensuring that performance errors are plausible. \diamondsuit

The Symbolic Weighted Automata are defined similarly as the transducers of Definition 7, by simply omitting the output symbols.

▶ **Definition 9.** A symbolic-weighted automaton (swA) over Σ , \mathbb{S} and $\bar{\Phi}$ is a tuple $A = \langle Q, \mathsf{in}, \mathsf{w}_1, \mathsf{out} \rangle$, where Q is a finite set of states, $\mathsf{in} : Q \to \mathbb{S}$ (respectively $\mathsf{out} : Q \to \mathbb{S}$) are functions defining the weight for entering (respectively leaving) computation in a state, and w_1 is a transition function from $Q \times Q$ into Φ_{Σ} .

As above in the case of swT, when $w_1(q, q') = \phi \in \Phi_{\Sigma}$, we may write $w_1(q, a, q')$ for $\phi(a)$. The computation of A on words $s \in \Sigma^*$ is defined with an intermediate function weight_A, defined as follows for $q, q' \in Q$, $a \in \Sigma$, $u \in \Sigma^*$,

$$\mathsf{weight}_A(q,\varepsilon,q) = \mathbb{1} \tag{3}$$

reformulated this

Comprends pas cette

ccl to the ex

weight
$$_A(q, \varepsilon, q') = \mathbb{0}$$
 if $q \neq q'$
weight $_A(q, au, q') = \bigoplus_{q'' \in Q} \mathsf{w}_1(q, a, q'') \otimes \mathsf{weight}_A(q'', u, q')$

and the weight value associated by A to $s \in \Sigma^*$ is defined as follows:

$$A(s) = \bigoplus_{q, q' \in Q} \operatorname{in}(q) \otimes \operatorname{weight}_{A}(q, s, q') \otimes \operatorname{out}(q')$$

$$\tag{4}$$

Il me manque une explication: on con-struit un automate qui, étant donnée une partition t, ren-voie la distance minimale avec n'importe₂₆ quelle performance (distance donnée par un transducer)?

Quel est le rôle de A(s)?

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The following property will be useful to the approach on symbolic weighted parsing presented in Section 5.

▶ Proposition 10. Given a swT T over Σ , Δ , $\mathbb S$ commutative, bounded and complete, and $\bar{\Phi}$ effective, and a swA A over Σ , $\mathbb S$ and $\bar{\Phi}$, there exists an effectively constructible swA $B_{A,T}$ over Δ , $\mathbb S$ and $\bar{\Phi}$, such that for all $t \in \Delta^*$, $B_{A,T}(t) = \bigoplus_{s \in \Sigma^*} A(s) \otimes T(s,t)$.

Proof. Let $T = \langle Q, \mathsf{in}_T, \bar{\mathsf{w}}, \mathsf{out}_T \rangle$, where $\bar{\mathsf{w}}$ contains w_{10} , w_{01} , and w_{11} , from $Q \times Q$ into respectively Φ_{Σ} , Φ_{Δ} , and $\Phi_{\Sigma,\Delta}$, and let $A = \langle P, \mathsf{in}_A, \mathsf{w}_1, \mathsf{out}_A \rangle$ with $\mathsf{w}_1 : Q \times Q \to \Phi_{\Sigma}$. The state set of $B_{A,T}$ will be $Q' = P \times Q$. The entering, leaving and transition functions of $B_{A,T}$ 269 will simulate synchronized computations of A and T, while reading an output word of Δ^* . Its state entering functions is defined for all $p \in P$, $q \in Q$ by $\operatorname{in}'(p,q) = \operatorname{in}_A(p) \otimes \operatorname{in}_T(q)$. The 271 transition function w'_1 will roughly perform a synchronized product of transitions defined by 272 w_1, w_{01} (T reading in output word and not an input word) and w_{11} (T reading both an input word and an output word). Moreover, w'_1 also needs to simulate transitions defined by w_{10} : 274 T reading in input word and not an output word. Since $B_{A,T}$ will read only in the output 275 word, such a transition corresponds to an ε -transition of swA, but swA have been defined 276 without ε -transitions. Therefore, in order to take care of this case, we perform an on-the-fly 277 suppression of ε -transition in the swA in construction, following the algorithm of [19]. Initially, for all $p_1, p_2 \in P$, and $q_1, q_2 \in Q$, let 279

$$\mathsf{w}_1'\big(\langle p_1,q_1\rangle,\langle p_2,q_2\rangle\big)=\mathsf{w}_1(p_1,p_2)\otimes\big[\mathsf{w}_{01}(q_1,q_2)\oplus\bigoplus_{\Sigma}\mathsf{w}_{11}(q_1,q_2)\big].$$

Iterate the following for all $p_1 \in P$ and $q_1, q_2 \in Q$: for all $p_2 \in P$ and $q_3 \in Q$,

$$\mathsf{w}_1'\big(\langle p_1,q_1\rangle,\langle p_2,q_3\rangle\big) \oplus = \bigoplus_\Sigma \mathsf{w}_{10}(q_1,q_2) \otimes \mathsf{w}_1'\big(\langle p_1,q_2\rangle,\langle p_2,q_3\rangle\big)$$

proof correctness

and
$$\operatorname{out}'(p_1, q_1) \oplus = \bigoplus_{\Sigma} \mathsf{w}_{10}(q_1, q_2) \otimes \operatorname{out}'(p_1, q_2)$$

revise with nb of tr. 285 and states

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The construction time and size for $B_{A,T}$ are $O(||T||^3.||A||^2)$, where the sizes ||T|| and ||A|| are their number of states.

▶ Corollary 11. Given a swT T over Σ , Δ , $\mathbb S$ commutative, bounded and complete, and $\bar{\Phi}$ effective, and $s \in \Sigma^+$, there exists an effectively constructible swA $B_{s,T}$ over Δ , $\mathbb S$ and $\bar{\Phi}$, such that for all $t \in \Delta^*$, $B_{s,T}(t) = T(s,t)$.

4 SW Visibly Pushdown Automata

The model presented in this section generalizes symbolic VPA (sVPA [6], generalizing themselves VPA [1] to infinite alphabets) from Boolean semirings to arbitrary semiring weight

see §5 and App.A

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domains. It will compute on nested words over infinite alphabets, associating to every such word a weight value. Nested words are able to describe structures of labeled trees, and in the context of parsing, they will be useful to represent AST.

Let Ω be a countable alphabet that we assume partitioned into three subsets Ω_i , Ω_c , Ω_r , whose elements are respectively called *internal*, call and return symbols. Let $\langle \mathbb{S}, \oplus, \mathbb{O}, \otimes, \mathbb{I} \rangle$ be a commutative and complete semiring and let $\bar{\Phi} = \langle \Phi_i, \Phi_c, \Phi_r, \Phi_{ci}, \Phi_{cc}, \Phi_{cr} \rangle$ be a label theory over \mathbb{S} where Φ_i , Φ_c , Φ_r and Φ_{cx} (with $x \in \{i, c, r\}$) stand respectively for Φ_{Ω_i} , Φ_{Ω_c} , Φ_{Ω_r} and Φ_{Ω_c,Ω_x} .

Definition 12. A Symbolic Weighted Visibly Pushdown Automata (sw-VPA) over $\Omega = \Omega_i \uplus \Omega_c \uplus \Omega_r$, \mathbb{S} and $\bar{\Phi}$ is a tuple $A = \langle Q, P, \mathsf{in}, \bar{\mathsf{w}}, \mathsf{out} \rangle$, where Q is a finite set of states, P is a finite set of stack symbols, $\mathsf{in} : Q \to \mathbb{S}$ (respectively $\mathsf{out} : Q \to \mathbb{S}$) are functions defining the weight for entering (respectively leaving) a state, and $\bar{\mathsf{w}}$ is a sextuplet composed of the transition functions : $\mathsf{w}_i : Q \times P \times Q \to \Phi_{\mathsf{ci}}$, $\mathsf{w}_i^{\mathsf{e}} : Q \times Q \to \Phi_{\mathsf{i}}$, $\mathsf{w}_{\mathsf{c}} : Q \times P \times Q \to \Phi_{\mathsf{cc}}$, $\mathsf{w}_{\mathsf{c}}^{\mathsf{e}} : Q \times Q \to \Phi_{\mathsf{r}}$.

Similarly as in Section 3, we extend the above transition functions as follows for all $q, q' \in Q$, $p \in P$, $a \in \Omega_i$, $c \in \Omega_c$, $r \in \Omega_r$, overloading their names:

$$\begin{aligned} & \mathsf{w_i}: Q \times [\Omega_\mathsf{c} \times P] \times \Omega_\mathsf{i} \times Q \to \mathbb{S} & \mathsf{w_i}(q,c,p,a,q') = \eta_\mathsf{ci}(c,a) & \text{where } \eta_\mathsf{ci} = \mathsf{w_i}(q,p,q'), \\ & \mathsf{w_i}^\mathsf{e}: Q \times \Omega_\mathsf{i} \times Q \to \mathbb{S} & \mathsf{w_i}^\mathsf{e}(q,a,q') = \phi_\mathsf{i}(a) & \text{where } \phi_\mathsf{i} = \mathsf{w_i}^\mathsf{e}(q,q'). \\ & \mathsf{w_c}: Q \times [\Omega_\mathsf{c} \times P] \times [\Omega_\mathsf{c} \times P] \times Q \to \mathbb{S} & \mathsf{w_c}(q,c,p,c',p',q') = \eta_\mathsf{cc}(c,c') & \text{where } \eta_\mathsf{cc} = \mathsf{w_c}(q,p,p',q'), \\ & \mathsf{w_c}^\mathsf{e}: Q \times [\Omega_\mathsf{c} \times P] \times Q \to \mathbb{S} & \mathsf{w_c}^\mathsf{e}(q,c,p,q') = \phi_\mathsf{c}(c) & \text{where } \phi_\mathsf{c} = \mathsf{w_c}^\mathsf{e}(q,p,q'). \\ & \mathsf{w_r}: Q \times [\Omega_\mathsf{c} \times P] \times \Omega_\mathsf{r} \times Q \to \mathbb{S} & \mathsf{w_r}(q,c,p,r,q') = \eta_\mathsf{cr}(c,r) & \text{where } \eta_\mathsf{cr} = \mathsf{w_r}(q,p,q'), \\ & \mathsf{w_r}^\mathsf{e}: Q \times \Omega_\mathsf{r} \times Q \to \mathbb{S} & \mathsf{w_r}^\mathsf{e}(q,r,q') = \phi_\mathsf{r}(r) & \text{where } \phi_\mathsf{r} = \mathsf{w_r}^\mathsf{e}(q,q'). \end{aligned}$$

The intuition is the following for the above transitions. w_i^e , w_c^e , and w_r^e describe the cases where the stack is empty. w_i and w_i^e both read an input internal symbol a and change state from q to q', without changing the stack. Moreover, w_i reads a pair made of $c \in \Omega_c$ and $p \in P$ on the top of the stack (c is compared to a by the weight function $\eta_{ci} \in \Phi_{ci}$). w_c and w_c^e read the input call symbol c', push it to the stack along with p', and change state from q to to q'. Moreover, w_c reads c and p at the top of the stack (c is compared to c'). w_r and w_r^e read the input return symbol r, and change state from q to to q'. Moreover, w_r reads and pop from stack a pair made of c and c is compared to c').

Formally, the transitions of the automaton A are defined in term of an intermediate function weight_A , like in Section 3. A configuration, denoted by $q[\gamma]$, is here composed of a state $q \in Q$ and a stack content $\gamma \in \Gamma^*$, where $\Gamma = \Omega_{\mathsf{c}} \times P$. Hence, weight_A is a function from $[Q \times \Gamma^*] \times \Omega^* \times [Q \times \Gamma^*]$ into $\mathbb S$. The empty stack is denoted by \bot , and the upmost symbol is the last pushed content. The following functions illustrate each of the possible cases, being : reading $a \in \Omega_{\mathsf{i}}$, or $c \in \Omega_{\mathsf{c}}$, or $r \in \Omega_{\mathsf{r}}$ for each possible state of the stack (empty or not), to add to $u \in \Omega^*$.

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(intro to func

introduced the 6

notation cp for $\langle c, p \rangle$?

weight_A
$$\left(q[\bot], \varepsilon, q'[\bot]\right) = \mathbb{1}$$
 if $q = q'$ and $\mathbb{0}$ otherwise (5)

weight_A $\left(q\begin{bmatrix} \langle c, p \rangle \\ \gamma \end{bmatrix}, a \, u, q'[\gamma']\right) = \bigoplus_{q'' \in Q} \mathsf{w_i}(q, c, p, a, q'') \otimes \mathsf{weight}_A\left(q''\begin{bmatrix} \langle c, p \rangle \\ \gamma \end{bmatrix}, u, q'[\gamma']\right)$

weight_A $\left(q[\bot], a \, u, q'[\gamma']\right) = \bigoplus_{q'' \in Q} \mathsf{w_i^e}(q, a, q'') \otimes \mathsf{weight}_A\left(q''[\bot], u, q'[\gamma']\right)$

XX:10 Symbolic Weighted Language Models and Parsing over Infinite Alphabets

$$\mathsf{weight}_A \Big(q \left[\begin{array}{c} \langle c, p \rangle \\ \gamma \end{array} \right], c'u, q'[\gamma'] \Big) = \bigoplus_{\substack{q'' \in Q \\ p' \in P}} \mathsf{w}_{\mathsf{c}} \big(q, c, p, c', p', q'' \big) \otimes \mathsf{weight}_A \big(q'' \left[\begin{array}{c} \langle c', p' \rangle \\ \langle c, p \rangle \\ \gamma \end{array} \right], u, q'[\gamma'] \big)$$

$$\mathsf{weight}_A \big(q[\bot], cu, q'[\gamma'] \big) = \bigoplus_{\substack{q'' \in Q \\ p' \in P}} \mathsf{w}_{\mathsf{c}}^{\mathsf{e}} (q, c, p, q'') \otimes \mathsf{weight}_A \big(q''[\langle c, p \rangle], u, q'[\gamma'] \big)$$

$$\mathsf{weight}_A\big(q[\bot], c\,u, q'[\gamma']\big) = \bigoplus_{\substack{q'' \in Q \\ p \in P}} \mathsf{w}^{\mathsf{e}}_{\mathsf{c}}(q, c, p, q'') \otimes \mathsf{weight}_A\big(q''[\langle c, p \rangle], u, q'[\gamma']\big)$$

$$\mathsf{weight}_A\big(q\left[\begin{array}{c}\langle c,p\rangle\\\gamma\end{array}\right],r\,u,q'[\gamma']\big) = \bigoplus_{q'' \in Q} \mathsf{w_r}\big(q,c,p,r,q''\big) \otimes \mathsf{weight}_A\big(q''[\gamma],u,q'[\gamma']\big)$$

$$\mathsf{weight}_A\big(q[\bot], r\,u, q'[\gamma']\big) = \bigoplus_{q'' \in Q} \mathsf{w}^\mathsf{e}_\mathsf{r}(q, r, q'') \otimes \mathsf{weight}_A\big(q''[\bot], u, q'[\gamma']\big)$$

c p to <c, p>

The weight associated by A to $s \in \Omega^*$ is defined according to empty stack semantics:

$$A(s) = \bigoplus_{q,q' \in Q} \operatorname{in}(q) \otimes \operatorname{weight}_{A}(q[\bot], s, q'[\bot]) \otimes \operatorname{out}(q'). \tag{6}$$

todo example VPA

► Example 13. structured words with timed symbols... intro language of music notation? (markup = time division, leaves = events etc)

Every swA $A = \langle Q, \mathsf{in}, \mathsf{w}_1, \mathsf{out} \rangle$, over Σ , $\mathbb S$ and $\bar{\Phi}$ is a particular case of sw-VPA $\langle Q, \emptyset, \mathsf{in}, \bar{\mathsf{w}}, \mathsf{out} \rangle$

over Ω , S and $\bar{\Phi}$ with $\Omega_i = \Sigma$ and $\Omega_c = \Omega_r = \emptyset$, and computing with an always empty stack:

 $w_i^e = w_1$ and all the other functions of \bar{w} are the constant \mathbb{O} .

340 Similarly to VPA [1] and sVPA [6], the class of sw-VPA is closed under the binary operators

of the underlying semiring.

Proposition 14. Let A_1 and A_2 be two sw-VPA over the same Ω , $\mathbb S$ and $\bar\Phi$. There

exists two effectively constructible sw-VPA $A_1\oplus A_2$ and $A_1\otimes A_2$, such that for all $s\in\Omega^*$,

344 $(A_1 \oplus A_2)(s) = A_1(s) \oplus A_2(s)$ and $(A_1 \otimes A_2)(s) = A_1(s) \otimes A_2(s)$.

Proof. The construction is essentially the same as in the case of the Boolean semiring [6].

complete proof

We shall now present a procedure for searching, for a sw-VPA A, a word of minimal weight for A, as stated in the following proposition.

Proposition 15. For a sw-VPA A over Ω , $\mathbb S$ commutative, bounded, total and complete, and $\bar{\Phi}$ effective, one can construct in PTIME a word $t \in \Omega^*$ such that A(t) is minimal wrt the natural ordering for $\mathbb S$.

total?

Let $A = \langle Q, P, \mathsf{in}, \bar{\mathsf{w}}, \mathsf{out} \rangle$. We propose a Dijkstra algorithm computing, for every $q, q' \in Q$, the minimum, $wrt \leq_{\oplus}$, of the function $\beta_{q,q'}: t \mapsto \mathsf{weight}_A(q[\bot], t, q'[\bot])$. Let us denote by $b_{\bot}(q, q')$ this minimum. By definition of \leq_{\oplus} it holds that:

$$b_{\perp}(q,q') = \bigoplus_{t \in \Omega^*} \mathsf{weight}_A \big(q[\bot], t, q'[\bot] \big). \tag{7}$$

Hence, following (6), and the associativity and commutativity and distributivity for \otimes and \oplus ,

the minimum of A(t) is $\bigoplus_{t \in \Omega^*} \bigoplus_{q,q' \in Q} \operatorname{in}(q) \otimes \beta_{q,q'}(t) \otimes \operatorname{out}(q') = \bigoplus_{q,q' \in Q} \operatorname{in}(q) \otimes b_{\perp}(q,q') \otimes \operatorname{out}(q').$

In order to compute

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introduced 2 cases for b over Ω , \mathbb{S} and $\overline{\Phi}$, the minimal weight for a word in Ω^* .

We distinguish two cases : when the stack is empty, and when it is not. In the case of an empty stack, let $b_{\perp}: Q \times Q \to \mathbb{S}$ be such that :

$$b_{\perp}(q, q') = \bigoplus_{s \in \Omega^*} \mathsf{weight}_A(q[\perp], s, q'[\perp]). \tag{8}$$

Since S is complete, the infinite sum in (8) is well defined, and, providing that S is total, it is the minimum in Ω^* , $wrt \leq_{\oplus}$, of the fonction $s \mapsto \mathsf{weight}_A(q[\sigma], s, q'[\sigma])$. The term $q[\bot], s, q'[\bot]$ of this sum is the central expression in the definition (??) of $A(s_0)$, for the minimum s_0 of the function weight_A .

If the stack is not empty, let \top be a fresh stack symbol which does not belong to Γ , and let $b_{\top}: Q \times P \times Q \to \Phi_{\mathsf{c}}$ be such that, for every two states $q, q' \in Q$ and stack symbol $p \in P$:

so ?

 $b_{ op}$: mot bien parenthèsé c/r

$$b_{\top}(q, p, q') : c \mapsto \bigoplus_{s \in \Omega^*} \mathsf{weight}_A \left(q \begin{bmatrix} \langle c, p \rangle \\ \top \end{bmatrix}, s, q' \begin{bmatrix} \langle c, p \rangle \\ \top \end{bmatrix} \right) \tag{9}$$

Intuitively, the function defined in (9) associates to $c \in \Omega_c$ the minimum weight of a computation of A starting in state q with a stack $\langle c, p \rangle \cdot \gamma \in \Gamma^+$ and ending in state q' with the same stack, such that the computation can not pop the pair made of c and p at the top of this stack, but may only read these symbols. Moreover, A may push another pair $\langle c', p' \rangle$ on the top of $\langle c, p \rangle \cdot \gamma$, following the third case of in the definition (5) of weight_A, and may pop $\langle c', p' \rangle$ later, following the fifth case of (5) (return symbol).

■ Algorithm 1 Best search for sw-VPA

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initially let Q = (Q \times Q) \cup (Q \times P \times Q), and let d_{\perp}(q_1, q_2) = d_{\top}(q_1, p, q_2) = 1 if q_1 = q_2 and d_{\perp}(q_1, q_2) = d_{\top}(q_1, p, q_2) = 0 otherwise

while Q \neq \emptyset do

extract \langle q_1, q_2 \rangle or \langle q_1, p, q_2 \rangle from Q such that d_{\perp}(q_1, q_2), resp.

\bigoplus_{c \in \Omega_c} d_{\top}(q_1, p, q_2)(c), is minimal in \mathbb{S} wrt \leq_{\oplus}

update d_{\perp} with \langle q_1, q_2 \rangle or d_{\top} with \langle q_1, p, q_2 \rangle (Figure 3).
```

Algorithm 1 constructs iteratively markings $d_{\perp}: Q \times Q \to \mathbb{S}$ and $d_{\top}: Q \times P \times Q \to \Phi_{\mathsf{c}}$ that converges eventually to b_{\top} and b_{\perp} .

The infinite sums in the updates of d in Algorithm 1, Figure 3 are well defined since \mathbb{S} is complete. ** effectively computable by hypothesis that the label theory is effective** The algorithm performs $2 \cdot |Q|^2$ iterations until P is empty, and each iteration has a time complexity $O(|Q|^2 \cdot |P|)$. That gives a time complexity $O(|Q|^4 \cdot |P|)$. It can be reduced by implementing P as a priority queue, prioritized by the value returned by d.

explication Fig. 3 suivant cas de (5)

complete **

detail with nb tr.

The correctness of Algorithm 1 is ensured by the invariant expressed in the following lemma.

▶ Lemma 16. For all $\langle q_1, q_2 \rangle \notin \mathcal{Q}$, $d_{\perp}(q_1, q_2) = b_{\perp}(q_1, q_2) / d_{\perp}(q_1, q_2)$

The proof is by contradiction, assuming a counter-example minimal in the length of the witness word.

▶ **Lemma 17.** For all $(q_1, p, q_2) \notin \mathcal{Q}$, $d_{\top}(q_1, p, q_2) = b_{\top}(q_1, p, q_2)$,

For all $q_0, q_3 \in Q$,

$$\begin{array}{lll} d_{\top}(q_1,p,q_3) & \oplus = & d_{\top}(q_1,p,q_2) \otimes \bigoplus_{\Omega_{\mathbf{i}}} \mathsf{w}_{\mathbf{i}}(q_2,p,q_3) \\ d_{\bot}(q_1,p,q_3) & \oplus = & d_{\bot}(q_1,q_2) \otimes \bigoplus_{\Omega_{\mathbf{i}}} \mathsf{w}_{\mathbf{i}}^{\mathsf{e}}(q_2,q_3) \\ d_{\top}(q_0,p,q_3) & \oplus = & \bigoplus_{\Omega_{\mathsf{c}}} ^2 \left[\left(\mathsf{w}_{\mathsf{c}}(q_0,p,p',q_1) \otimes_2 d_{\top}(q_1,p',q_2) \right) \otimes_2 \bigoplus_{\Omega_{\mathsf{r}}} \mathsf{w}_{\mathsf{r}}(q_2,p',q_3) \right] \\ d_{\bot}(q_0,q_3) & \oplus = & \bigoplus_{\Omega_{\mathsf{c}}} \left(\mathsf{w}_{\mathsf{c}}^{\mathsf{e}}(q_0,p,q_1) \otimes d_{\top}(q_1,p,q_2) \otimes \bigoplus_{\Omega_{\mathsf{r}}} \mathsf{w}_{\mathsf{r}}(q_2,p,q_3) \right) \\ d_{\bot}(q_1,q_3) & \oplus = & d_{\bot}(q_1,q_2) \otimes \bigoplus_{\Omega_{\mathsf{r}}} \mathsf{w}_{\mathsf{r}}^{\mathsf{e}}(q_2,q_3) \\ d_{\top}(q_1,p,q_3) & \oplus = & d_{\top}(q_1,p,q_2) \otimes d_{\top}(q_2,p,q_3), \text{if } \langle q_2,\top,q_3 \rangle \notin P \\ d_{\bot}(q_1,q_3) & \oplus = & d_{\bot}(q_1,q_2) \otimes d_{\bot}(q_2,q_3), \text{if } \langle q_2,\bot,q_3 \rangle \notin P \end{array}$$

Figure 3 Update d_{\perp} with $\langle q_1, q_2 \rangle$ or d_{\perp} with $\langle q_1, p, q_2 \rangle$.

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For computing the minimal weight of a computation of A, we use the fact that, at the
      termination of Algorithm 1, \bigoplus_{s \in \Omega^*} A(s) = \bigoplus_{q,q' \in Q} \operatorname{in}(q) \otimes d_{\perp}(q,q') \otimes \operatorname{out}(q').
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In order to obtain effectively a witness (word of Ω^* with a computation of A of minimal 392 weight), we require the additional property of convexity of weight functions. 393

▶ Proposition 18. For a sw-VPA A over Ω , S commutative, bounded, total and complete, and Φ effective, one can construct in PTIME a word $t \in \Omega^*$ such that A(t) is minimal wrt 395 the natural ordering for \mathbb{S} . 396

Symbolic Weighted Parsing

Let us now apply the models and results of the previous sections to the problem of parsing over an infinite alphabet. Let Σ and $\Omega = \Omega_i \uplus \Omega_c \uplus \Omega_r$ be countable input and output alphabets, let $(S, \oplus, 0, \otimes, 1)$ be a commutative, bounded, and complete semiring and let Φ be an effective label theory over S, containing Φ_{Σ} , Φ_{Σ,Ω_i} , as well as Φ_i , Φ_c , Φ_r , Φ_{cr} (following the notations of Section 4). We assume given the following input:

- a swT T over Σ , Ω_i , \mathbb{S} , and $\bar{\Phi}$, defining a measure $T: \Sigma^* \times \Omega_i^* \to \mathbb{S}$,

- a sw-VPA A over Ω , \mathbb{S} , and $\bar{\Phi}$, defining a measure $A: \Omega^* \to \mathbb{S}$,

– an input word $s \in \Sigma^*$. 405

For all $u \in \Sigma^*$ and $t \in \Omega^*$, let $d(u,t) = T(u,t|_{\Omega_i})$, where $t|_{\Omega_i} \in \Omega_i^*$ is the projection of t406 onto Ω_i , obtained from t by removing all symbols in $\Omega \setminus \Omega_i$. Symbolic weighted parsing is the

problem, given the above input, to find $t \in \Omega^*$ minimizing $d(s,t) \otimes A(t)$ wrt \leq_{\oplus} , i.e. s.t.

$$d(s,t) \otimes A(t) = \bigoplus_{t' \in \mathcal{T}(\Omega)} d(s,t') \otimes A(t')$$

$$\tag{10}$$

Following the terminology of [21], sw-parsing is the problem of computing the distance (10) between the input s and the output weighted language of A, and returning a witness t.

▶ Proposition 19. The problem of Symbolic Weighted parsing can be solved in PTIME in 412 the size of the input swT T, sw-VPA A and input word s, and the computation time of the functions and operators of the label theory.

total?

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Proof. (sketch) We follow a Bar-Hillel construction, for parsing by intersection. Let us first extend the swT T over Σ , $\Omega_{\rm i}$ into a swT T' over Σ and Ω (and the same semiring and label theory $\mathbb S$ and $\bar{\Phi}$), such that for all $u \in \Sigma^*$, and $t \in \Omega^*$, $T'(u,u) = T(u,t|_{\Omega_{\rm i}})$. The transducer T' simply skips every symbol $b \in \Omega \setminus \Omega_{\rm i}$, by the addition to T, of new transitions of the form $\mathsf{w}_{01}(q,\varepsilon,b,q')$. Then, using Corolary 11, we construct from the input word $s \in \Sigma^*$ and T' a swA $B_{s,T'}$, such that for all $t \in \Omega^*$, $B_{s,T'}(t) = d(s,t)$. Next, we compute the sw-VPA $B_{s,T'} \otimes A$, using Proposition 14. It remains to compute a best nested-word $t \in \Omega^*$ using the best-search procedure of Proposition 18.

The sw-parsing generalizes the problem of searching the best derivation (AST) of a weighted 423 CF-grammar G that yields a given input word w. The latter problem, sometimes called weighted parsing, (see e.g. [13] and [23] for general weighted parsing frameworks) corresponds to sw-425 parsing in the case of finite alphabets, a transducer T computing the identity and some 426 sw-VPA A obtained from G. Indeed, the depth-first traversal of an AST τ yields a well-427 parenthesised word $\operatorname{lin}(\tau)$ over an alphabet $\Omega = \Omega_i \uplus \Omega_c \uplus \Omega_r$, assuming e.g. that Ω_i contains 428 the symbols labelling the leaves of τ (symbols of rank 0), and Ω_c and Ω_r contain respectively one left and right parenthesis $\langle b \rangle$ for each symbol b labelling inner nodes of τ (symbols 430 of rank > 0). We show in Appendix A how to construct a a sw-VPA A such that $A(\operatorname{lin}(\tau))$ is 431 the weight the AST τ of G.

Conclusion

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We have introduced weighted language models (SW transducers and visibly pushdown automata) computing over infinite alphabets, and applied them to the problem of parsing with infinitely many possible input symbols (typically timed events). This approach extends conventional parsing and weighted parsing by computing a derivation tree modulo a generic distance between words, defined by a SW transducer given in input. This enables to consider finer word relationships than strict equality, opening possibilities of quantitative analysis via this method.

Ongoing and future work include

The study of other theoretical properties of SW models, such as the extension of the best search algorithm from 1-best to n-best [17], and to k-closed semirings [20] (instead of bounded, which corresponds to 0-closed).

 446 – ...there is room to improve the complexity bounds for the algorithms ... modular approach with oracles ...

present here an offline algorithm for best search, semi-online implementation for AMT
 (bar-by-bar approach) with an on-the-fly automata construction.

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2 lines Application to Automated Music Transcription: implementation ≠ but same principle, on-the-fly automata construction during best search, for efficiency

TODO future work

XX:14 Symbolic Weighted Language Models and Parsing over Infinite Alphabets

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A Nested-Words and Parse-Trees

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The hierarchical structure of nested-words, defined with the *call* and *return* markup symbols suggest a correspondence with trees. The lifting of this correspondence to languages, of tree automata and VPA, has been discussed in [1], and [4] for the weighted case. In this section, we describe a correspondence between the symbolic-weighted extensions of tree automata and VPA.

Let Ω be a countable ranked alphabet, such that every symbol $a \in \Omega$ has a rank $\mathsf{rk}(a) \in [0..M]$ where M is a fixed natural number. We denote by Ω_k the subset of all symbols a of Ω with $\mathsf{rk}(a) = k$, where $0 \le k \le M$, and $\Omega_{>0} = \Omega \setminus \Omega_0$. The free Ω -algebra of finite, ordered, Ω -labeled trees is denoted by $\mathcal{T}(\Omega)$. It is the smallest set such that $\Omega_0 \subset \mathcal{T}(\Omega)$ and for all $1 \le k \le M$, all $a \in \Omega_k$, and all $t_1, \ldots, t_k \in \mathcal{T}(\Omega)$, $a(t_1, \ldots, t_k) \in \mathcal{T}(\Omega)$. Let us assume a commutative semiring $\mathbb S$ and a label theory Φ over $\mathbb S$ containing one set Φ_{Ω_k} for each $k \in [0..M]$.

▶ **Definition 20.** A symbolic-weighted tree automaton (swTA) over Ω , S, and $\bar{\Phi}$ is a triplet $A = \langle Q, \mathsf{in}, \bar{\mathsf{w}} \rangle$ where Q is a finite set of states, $\mathsf{in} : Q \to \Phi_{\Omega}$ is the starting weight function, and $\bar{\mathsf{w}}$ is a tuplet of transition functions containing, for each $k \in [0..M]$, the functions $\mathsf{w}_k : Q \times Q^k \to \Phi_{\Omega_{>0},\Omega_k}$ and $\mathsf{w}_k^e : Q \times Q^k \to \Phi_{\Omega_k}$.

We define a transition function $w: Q \times (\Omega_{>0} \cup \{\varepsilon\}) \times \Omega \times \bigcup_{k=0}^{M} Q^k \to S$ by:

$$\begin{array}{lcl} \mathsf{w}(q_0,a,b,q_1\ldots q_k) & = & \eta(a,b) & \text{where } \eta = \mathsf{w}_k(q_0,q_1\ldots q_k) \\ \mathsf{w}(q_0,\varepsilon,b,q_1\ldots q_k) & = & \phi(b) & \text{where } \phi = \mathsf{w}_k^{\mathsf{e}}(q_0,q_1\ldots q_k). \end{array}$$

where $q_1 \dots q_k$ is ε if k = 0. The first case deals with a strict subtree, with a parent node labeled by a, and the second case is for a root tree.

Every swTA defines a mapping from trees of $\mathcal{T}(\Omega)$ into \mathbb{S} , based on the following intermediate function weight_A: $Q \times (\Omega \cup \{\varepsilon\}) \times \mathcal{T}(\Omega) \to \mathbb{S}$

$$\mathsf{weight}_A(q_0, a, t) = \bigoplus_{q_1 \dots q_k \in Q^k} \mathsf{w}(q_0, a, b, q_1 \dots q_k) \otimes \bigotimes_{i=1}^k \mathsf{weight}_A(q_i, b, t_i) \tag{11}$$

where $q_0 \in Q$, $a \in \Omega_{>0} \cup \{\varepsilon\}$ and $t = b(t_1, \ldots, t_k) \in \mathcal{T}(\Omega)$, $0 \le k \le M$.

Finally, the weight associated by A to $t \in \mathcal{T}(\Omega)$ is

$$A(t) = \bigoplus_{q \in Q} \operatorname{in}(q) \otimes \operatorname{weight}_A(q, \varepsilon, t) \tag{12}$$

Intuitively, $w(q_0, a, b, q_1 \dots q_k)$ can be seen as the weight of a production rule $q_0 \to b(q_1, \dots, q_k)$ of a regular tree grammar [5], that replaces the non-terminal symbol q_0 by $b(q_1, \ldots, q_k)$, 549 provided that the parent of q_0 is labeled by a (or q_0 is the root node if $a = \varepsilon$). The 550 above production rule can also be seen as a rule of a weighted CF grammar, of the form $[a,b]q_0:=q_1\ldots q_k$ if k>0, and $[a]q_0:=b$ if k=0. In the first case, b is a label of the rule, 552 and in the second case, it is a terminal symbol. And in both cases, a is a constraint on the label of rule applied on the parent node in the derivation tree. This features of observing the parent's label are useful in the case of infinite alphabet, where it is not possible to memorize a label with the states. The weight of a labeled derivation tree t of the weighted CF grammar associated to A as above, is $weight_A(q,t)$, when q is the start non-terminal. We 557 shall now establish a correspondence between such derivation tree t and some word describing a linearization of t, in a way that $weight_A(q,t)$ can be computed by a sw-VPA.

```
Let \hat{\Omega} be the countable (unranked) alphabet obtained from \Omega by: \hat{\Omega} = \Omega_i \uplus \Omega_c \uplus \Omega_r, with
        \Omega_{\mathsf{i}} = \Omega_0, \, \Omega_{\mathsf{c}} = \{ \, \langle_a | \, a \in \Omega_{>0} \}, \, \Omega_{\mathsf{r}} = \{ \, {}_a \rangle \mid a \in \Omega_{>0} \}.
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        We associate to \hat{\Omega} a label theory \hat{\Phi} like in Section 4, and we define a linearization of trees of
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        \mathcal{T}(\Omega) into words of \hat{\Omega}^* as follows:
         lin(a) = a for all a \in \Omega_0,
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          \lim(b(t_1,\ldots,t_k)) = \langle b | \lim(t_1) \ldots | \lim(t_k) \rangle \text{ when } b \in \Omega_k \text{ for } 1 \leq k \leq M.
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        ▶ Proposition 21. For all swTA A over \Omega, \mathbb{S} commutative, and \bar{\Phi}, there exists an effectively
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        constructible sw-VPA A' over \hat{\Omega}, \mathbb{S} and \hat{\Phi} such that for all t \in \mathcal{T}(\Omega), A'(\text{lin}(t)) = A(t).
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       Proof. Let A=\langle Q,\mathsf{in},\bar{\mathsf{w}}\rangle where \bar{\mathsf{w}} is presented as above by a function We build A'=\langle Q',P',\mathsf{in'},\bar{\mathsf{w}'},\mathsf{out'}\rangle, where Q'=\bigcup_{k=0}^M Q^k is the set of sequences of state symbols of A, of
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length at most M, including the empty sequence denoted by ε , and where P'=Q' and $\bar{\mathbf{w}}$ is

defined by:

All cases not matched by one of the above equations have a weight \mathbb{O} , for instance $\mathsf{w}_{\mathsf{r}}(\bar{u},\langle_c,\bar{p},_d\rangle,\bar{q}) = \mathbb{O}$ if $c \neq d$ or $\bar{u} \neq \varepsilon$ or $\bar{q} \neq \bar{p}$.

XX:18 Symbolic Weighted Language Models and Parsing over Infinite Alphabets

575 Todo list

576	I think that we can make the case for a larger set of situations: given a linear music	
577	notation input, for instance elements in an XML file, we we want to structure the	
578	input according to a hierarchical rhythmic space. I can elaborate	1
579	register: skip refs and details, add Mikolaj recent	1
580	This sentence (symbols as variables) is not immediately clear to me. Maybe a short	
581	example or intuition?	2
582	modified	2
583	The weight is a label on edges in the derivation tree, right? Not sure I understand	
584	the sentence	2
585	You mean the distance between s and t ? "The latter value" is a bit ambiguous	2
586	chap. intersection in [15]	3
587	The notation $A_{T,s}$ has not been introduced so far. It is not clear why T is a	
588		3
589	OK, à quelques détails (pour moi), tout ça est très bien expliqué	3
590	expressiveness: VPA have restricted equality test. comparable to pebble automata?	
591		3
592	The results are established for a general class of semirings. They can be instantiated	
593	for concrete cases	3
594	There is sometimes a confusion in the text between the struture and the domain \mathbb{S} .	
595		3
596	· ·	3
597	Here the difference between $\mathbb S$ as a structure and as a domain is blurred	4
598	$j \in \mathbb{N}$: j is en element of \mathbb{N} , not the same s $j \subset \mathbb{N}$	4
599		4
600	OK, donc c'est là que les fonctions d'étiquettes prennent en argument l'input de la	
601	règle. Je ne sais pas dans quelle mesure il faut donner un peu d'explications pour	
602	1	4
603		5
604	notion of diagram of functions akin BDD for transitions in practice	5
605	mv appendix?	5
606	Je trouve qu'il y a beaucoup de notions à retenir (complete, effective) et ça devient	
607	difficile pour un lecteur non spécialiste. Est-ce que tout est nécessaire (je ne sais	
608		5
609	\exists oracle returning in worst time complexity T	5
610		6
611		7
612	The state of the s	7
613	ccl to the ex	7
614	Il me manque une explication: on construit un automate qui, étant donnée une	
615	partition t , renvoie la distance minimale avec n'importe quelle performance	_
616	()	8
617		8
618		8
619	0 11	9
620		9
621		9
622	introduced the 6 cases	9

623	notation cp for $\langle c, p \rangle$?	9
624	c to $<$ c, p $>$.0
625	todo example VPA	0
626	complete proof	0
627	total?	0
628	introduced 2 cases for b	1
629	so?	1
630	b_{\top} : mot bien parenthèsé c/r	1
631	explication Fig. 3 suivant cas de (5)	1
632	complete **	1
633	detail with nb tr. and states	1
634	total?	2
635	2 lines Application to Automated Music Transcription: implementation \neq but same	
636	principle, on-the-fly automata construction during best search, for efficiency 1	3
637	TODO future work	.3