

Symbolic Weighted Language Models and Quantitative Parsing over Infinite Alphabets

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Abstract

We propose a framework for weighted parsing over infinite alphabets. It is based on language models called Symbolic Weighted Automata (**swA**) at the joint between Symbolic Automata (**sA**) and Weighted Automata (**wA**), as well as Transducers (**swT**) and Visibly Pushdown (**sw-VPA**) variants. Like **sA**, **swA** deal with large or infinite input alphabets, and like **wA**, they output a weight value in a semiring domain. The transitions of **swA** are labeled by functions from an infinite alphabet into the weight domain. This is unlike **sA** whose transitions are guarded by boolean predicates over symbols in an infinite alphabet and also unlike **wA** whose transitions are labeled by constant weight values, and who deal only with finite automata. We present some properties of **swA**, **swT** and **sw-VPA** models, that we use to define and solve a variant of parsing over infinite alphabets. We also briefly describe the application that motivated the introduction of these models: a parse-based approach to automated music transcription.

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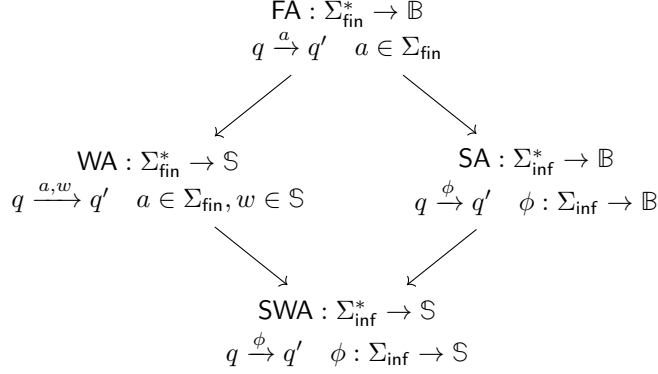
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1 Introduction

Parsing is the problem of structuring a linear representation on input (a finite word), according to a language model. Most of the context-free parsing approaches [15] assume a finite and reasonably small input alphabet. Such a restriction makes perfect sense in the context of NLP tasks such as constituency parsing, or of programming languages compilers or interpreters. Considering large or infinite alphabets can however be of practical interest, for instance, when dealing with large characters encodings such as UTF-16, *e.g.* for vulnerability detection in Web-applications [8], for the analysis (*e.g.* validation or filtering) of data streams or serialization of structured documents (with textual or numerical attributes) [26], or for processing timed execution traces [3].

The latter case is related to a study that motivated the present work: automated music transcription. Most representations of music are essentially linear. This is true for audio files, but also for widely used symbolic representations like MIDI. Such representations ignore the hierarchical structures that frame the conception of music, at least in the western area. These structures, on the other hand, are present, either explicitly or implicitly, in music notation [14]: music scores are partitioned in measures, measures in beats, and beats can be further recursively divided. It follows that music events do not occur at arbitrary timestamps, but respect a discrete partitioning of the timeline incurred by these recursive divisions. The *transcription problem* takes as input a linear representation (audio or MIDI) and aims at re-constructing these structures by mapping input events to this hierarchical rhythmic space. It can therefore be stated as a parsing problem [12], over an infinite alphabet of timed events.

Various extensions of language models for handling infinite alphabets have been studied.



■ **Figure 1** Classes of Symbolic/Weighted Automata. Σ_{fin} is a finite alphabet, Σ_{inf} is a countable alphabet, \mathbb{B} is the Boolean algebra, \mathbb{S} is a commutative semiring, $q \xrightarrow{\cdot} q'$ is a transition between states q and q' .

For instance, some automata with memory extensions allow restricted storage and comparison of input symbols, (see [26] for a survey), with pebbles for marking positions [25], registers [18], or the possibility to compute on subsequences with the same attribute values [2]. The automata at the core of model checkers compute on input symbols represented by large bitvectors [27] (sets of assignments of Boolean variables) and in practice, each transition accepts a set of such symbols (instead of an individual symbol), represented by Boolean formula or Binary Decision Diagrams. Following a similar idea, in symbolic automata (sA) [7, 8], the transitions are guarded by predicates over infinite alphabet domains. With appropriate closure conditions on the sets of such predicates, all the good properties enjoyed by automata over finite alphabets are preserved.

Other extensions of language models help in dealing with non-determinism, by the computation of weight values. With an ambiguous grammar, there may exist several derivations (*abstract syntax trees* – AST) yielding one input word. The association of one weight value to each AST permits to select a best one (or n bests). This is roughly the principle of *weighted parsing* approaches [13, 24, 23]. In *weighted language models*, like *e.g.* probabilistic context-free grammars and weighted automata (wA) [11], a weight value is associated to each transition rule, and the rule's weights can be combined with an associative product operator \otimes into the weight of an AST. A second operator \oplus , associative and commutative, is moreover used to resolve the ambiguity raised by the existence of several (in general exponentially many) AST associated to a given input word. Typically, \oplus will select the best of two weight values. The weight domain, equipped with these two operators shall be, at minima, a *semiring* where \oplus can be extended to infinite sums, such as the Viterbi semiring and the tropical min-plus algebra.

In this paper, we present a uniform framework for weighted parsing over infinite input alphabets. It is based on *symbolic weighted* finite states language models (swM), generalizing the Boolean guards of sA into functions into an arbitrary semiring, and generalizing also wA, by handling infinite alphabets, see Figure 1.

In short, a transition rule $q \xrightarrow{\phi} q'$ from state q to q' of a swM, is labeled by a function ϕ associating to every input symbol a a weight value $\phi(a)$ in a semiring domain. The framework relies on several language models: finite automata called symbolic-weighted (swA), transducers (swT), and pushdown automata with a visibly restriction [1] (sw-VPA). The latter model of automata operates on *nested words* [1], a structured form of words parenthesized

register: skip refs
and details, add
Mikolaj recent

[23] much is more
general

with markup symbols, corresponding to a linearization of trees. In the context of parsing, they can represent (weighted) AST of CF grammars. More precisely, a **sw-VPA** A associates a weight value $A(t)$ to a given nested word t , which is the linearization of an AST. On the other hand, a **swT** can define a distance $T(s, t)$ between finite words s and t over infinite alphabets. Then, the *SW-parsing* problem aims at finding t minimizing $T(s, t) \otimes A(t)$ (wrt the ranking defined by \oplus), given an input word s . The latter value is called the distance between s and A in [21].

Like weighted-parsing methods [13, 24, 23], our approach proceeds in two steps, based on properties of the **swM**. The first step is an intersection (Bar-Hillel construction [15]) where, given a **swT** T , a **sw-VPA** A , and an input word s , a **sw-VPA** B is built, such that for all t , $B(t) = T(s, t) \otimes A(t)$. In the second step, a best AST t is found by applying to B a best search algorithm similar to the shortest distance in graphs [20, 17].

The main contributions of the paper are: (i) the introduction of automata, **swA**, transducers, **swT** (Section 3), and visibly pushdown automata **sw-VPA** (Section 4), generalizing the corresponding classes of symbolic and weighted models, (ii) a polynomial best-search algorithm for **sw-VPA**, and (iii) a uniform framework (Section 5) for parsing over infinite alphabets, the keys to which are (iii.a) the **swT**-based definition of generic edit distances between input and output (yield) words, and (iii.b) the use, convenient in this context, of nested words, and **sw-VPA**, instead of syntax trees and grammars.

► **Example 1** (Running example). Throughout the paper we illustrate our framework with music transcription examples: Given a *timeline* of musical events with arbitrary timestamps as input, parse it into a structured music score. In our example, input events are pairs $\langle \eta, \tau \rangle$ made of a symbol $\eta \in \Sigma$, where Σ stands for the set of MIDI message symbols [?] and $\tau \in \mathbb{Q}$ is a timestamp. The output of parsing is a representation of the sequence in Common Western Music Notation (CWMN) [14] where event symbols belong to the domain Δ of *pitch*s (e.g., A4, G5, etc.), temporal information is encoded as *durations* (whole ♩, quarter, ♪, eighth ♫, etc), and notes are grouped in high-level structures (beams, measures, tuplets). The following inputs will be used:

1. $I_1 = [\langle e_1, 0.07 \rangle, \langle e_2, 0.72 \rangle, \langle e_3, 0.91 \rangle]$, over interval $[0, 1[$
2. $I_2 = [\langle e_3, 1.05 \rangle, \langle e_4, 1.36 \rangle, \langle e_5, 1.71 \rangle]$, over interval $[1, 2[$

There exists many possible parsings of $I_1 \cup I_2$ in music notation, among which $\frac{1}{4} \text{ ♩ } \frac{1}{4} \text{ ♩ } \frac{1}{4} \text{ ♩ }$ and $\frac{1}{4} \text{ ♩ } \frac{1}{4} \text{ ♩ } \frac{1}{4} \text{ ♩ }$. SW-parsing associates a cost to each solution, and our framework aims at selecting the best one with respect to this cost. ◇

chap. intersection
in [15]

expressiveness: VPA
have restricted
equality test. com-
parable to pebble
automata? → con-
clusion

weight value

2 Preliminary Notions

Semirings

We shall consider semirings for the weight values of our language models. A *semiring* $\langle \mathbb{S}, \oplus, \otimes, \mathbb{0}, \mathbb{1} \rangle$ is a structure with a domain \mathbb{S} , equipped with two associative binary operators \oplus and \otimes , with respective neutral elements $\mathbb{0}$ and $\mathbb{1}$, and such that:

- \oplus is commutative: $\langle \mathbb{S}, \oplus, \mathbb{0} \rangle$ is a commutative monoid and $\langle \mathbb{S}, \otimes, \mathbb{1} \rangle$ a monoid,
- \otimes distributes over \oplus : $\forall x, y, z \in \mathbb{S}$, $x \otimes (y \oplus z) = (x \otimes y) \oplus (x \otimes z)$, and $(x \oplus y) \otimes z = (x \otimes z) \oplus (y \otimes z)$,
- $\mathbb{0}$ is absorbing for \otimes : $\forall x \in \mathbb{S}$, $\mathbb{0} \otimes x = x \otimes \mathbb{0} = \mathbb{0}$.

Intuitively, in the models presented in this paper, \oplus selects an optimal value from two given values, in order to handle non-determinism, and \otimes combines two values into a single value.

121 A semiring \mathbb{S} is *commutative* if \otimes is commutative. It is *idempotent* if for all $x \in \mathbb{S}$,
 122 $x \oplus x = x$. Every idempotent semiring \mathbb{S} induces a partial ordering \leq_\oplus called the *natural*
 123 *ordering* of \mathbb{S} [20] defined, by: for all $x, y \in \mathbb{S}$, $x \leq_\oplus y$ iff $x \oplus y = x$. The natural ordering is
 124 sometimes defined in the opposite direction [10]; We follow here the direction that coincides
 125 with the usual ordering on the Tropical semiring *min-plus* (Figure 2). An idempotent semiring
 126 \mathbb{S} is called *total* if it \leq_\oplus is total i.e. when for all $x, y \in \mathbb{S}$, either $x \oplus y = x$ or $x \oplus y = y$.

is total necessary?

127 ► **Lemma 2** (Monotony, [20]). *Let $\langle \mathbb{S}, \oplus, 0, \otimes, 1 \rangle$ be an idempotent semiring. For all $x, y, z \in$*
 128 *\mathbb{S} , if $x \leq_\oplus y$ then $x \oplus z \leq_\oplus y \oplus z$, $x \otimes z \leq_\oplus y \otimes z$ and $z \otimes x \leq_\oplus z \otimes y$.*

129 To express the property of Lemma 2, we call \mathbb{S} *monotonic wrt \leq_\oplus* . Another important
 130 semiring property in the context of optimization is superiority [16], which corresponds to
 131 the *non-negative weights* condition in shortest-path algorithms [9]. Intuitively, it means
 132 that combining elements with \otimes always increase their weight. Formally, it is defined as the
 133 property (i) below.

134 ► **Lemma 3** (Superiority, Boundedness). *Let $\langle \mathbb{S}, \oplus, 0, \otimes, 1 \rangle$ be an idempotent semiring. The*
 135 *two following statements are equivalent:*

- 136 i. *for all $x, y \in \mathbb{S}$, $x \leq_\oplus x \otimes y$ and $y \leq_\oplus x \otimes y$*
- 137 ii. *for all $x \in \mathbb{S}$, $1 \oplus x = 1$.*

138 **Proof.** (ii) \Rightarrow (i) : $x \oplus (x \otimes y) = x \otimes (1 \oplus y) = x$, by distributivity of \otimes over \oplus . Hence
 139 $x \leq_\oplus x \otimes y$. Similarly, $y \oplus (x \otimes y) = (1 \oplus x) \otimes y = y$, hence $y \leq_\oplus x \otimes y$. (i) \Rightarrow (ii) : by the
 140 second inequality of (i), with $y = 1$, $1 \leq_\oplus x \otimes 1 = x$, i.e., by definition of \leq_\oplus , $1 \oplus x = 1$. ◀

141 In [16], when the property (i) holds, \mathbb{S} is called *superior wrt the ordering \leq_\oplus* . We have
 142 seen in the proof of Lemma 3 that it implies that $1 \leq_\oplus x$ for all $x \in \mathbb{S}$. Similarly, by the
 143 first inequality of (i) with $y = 0$, $x \leq_\oplus x \otimes 0 = 0$. Hence, in a superior semiring, it holds
 144 that for all $x \in \mathbb{S}$, $1 \leq_\oplus x \leq_\oplus 0$. Intuitively, from an optimization point of view, it means
 145 that 1 is the best value, and 0 the worst. In [20], \mathbb{S} with the property (ii) of Lemma 3 is
 146 called *bounded* – we shall use this term in the rest of the paper. It implies that, when looking
 147 for a best path in a graph whose edges are weighted by values of \mathbb{S} , the loops can be safely
 148 avoided, because, for all $x \in \mathbb{S}$ and $n \geq 1$, $x \oplus x^n = x \otimes (1 \oplus x^{n-1}) = x$.

Ca j'ai pas compris

149 ► **Lemma 4.** *Every bounded semiring is idempotent.*

150 **Proof.** By boundedness, $1 \oplus 1 = 1$, and idempotency follows by multiplying both sides by x
 151 and distributing. ◀

Here the difference
between \mathbb{S} as a struc-
ture and as a do-
main is blurred.

$j \in \mathbb{N}$: j is an ele-
ment of \mathbb{N} , not the
same as $j \subset \mathbb{N}$

152 We shall need below infinite sums with \oplus . A semiring \mathbb{S} is called *complete* [11] if it has an
 153 operation $\bigoplus_{i \in I} x_i$ for every family $(x_i)_{i \in I}$ of elements of $\text{dom}(\mathbb{S})$ over an index set $I \subset \mathbb{N}$,
 154 such that:

155 i. *infinite sums extend finite sums:*

$$\bigoplus_{i \in \emptyset} x_i = 0, \quad \forall j \in \mathbb{N}, \quad \bigoplus_{i \in \{j\}} x_i = x_j, \quad \forall j, k \in \mathbb{N}, j \neq k, \quad \bigoplus_{i \in \{j, k\}} x_i = x_j \oplus x_k,$$

157 ii. *associativity and commutativity:*

$$\text{for all } I \subseteq \mathbb{N} \text{ and all partition } (I_j)_{j \in J} \text{ of } I, \quad \bigoplus_{j \in J} \bigoplus_{i \in I_j} x_i = \bigoplus_{i \in I} x_i,$$

159 iii. *distributivity of product over infinite sum:*

$$\text{for all } I \subseteq \mathbb{N}, \quad \bigoplus_{i \in I} (x \otimes y_i) = x \otimes \bigoplus_{i \in I} y_i, \quad \text{and} \quad \bigoplus_{i \in I} (x_i \otimes y) = \left(\bigoplus_{i \in I} x_i \right) \otimes y.$$

results of this paper
for semirings com-
mutative, bounded,
total and complete

	domain	\oplus	\otimes	$\mathbb{0}$	$\mathbb{1}$
Boolean	$\{\perp, \top\}$	\vee	\wedge	\perp	\top
Counting	\mathbb{N}	$+$	\times	0	1
Viterbi	$[0, 1] \subset \mathbb{R}$	max	\times	0	1
Tropical min-plus	$\mathbb{R}_+ \cup \{\infty\}$	min	$+$	∞	0

■ **Figure 2** Some commutative, bounded, total and complete semirings.

162 ► **Example 5.** The recursive subdivision of time that leads to hierarchical structures of
 163 music notation can be modeled as production rules. Since there exists several possible
 164 division, rules can be weighted in the tropical semiring whose domain $\mathbb{R}_+ \cup \{+\infty\}$, \oplus is
 165 min, $\mathbb{0} = +\infty$, \otimes is sum, and $\mathbb{1} = 0$. For instance, the following production rules define two
 166 possible divisions of a bounded time interval into respectively a duplet and a triplet.

$$167 \quad \rho_1 : q_0 \xrightarrow{0.06} \langle q_1, q_2 \rangle, \quad \rho_2 : q_0 \xrightarrow{0.12} \langle q_1, q_2, q_2 \rangle.$$

168 Further binary divisions of time sub-intervals are possible with:

$$169 \quad \rho_3 : q_2 \xrightarrow{0.1} \langle q_3, q_3 \rangle, \quad \rho_4 : q_3 \xrightarrow{0.11} \langle q_4, q_4 \rangle.$$

170

◇

171 Label Theory

172 We shall now define the functions labeling the transitions of SW automata and transducers,
 173 generalizing the Boolean algebras of [7] from Boolean to other semiring domains. We
 174 consider *alphabets*, which are countable sets of symbols denoted Σ, Δ, \dots . Given a semiring
 175 $\langle \mathbb{S}, \oplus, \mathbb{0}, \otimes, \mathbb{1} \rangle$, a *label theory* over \mathbb{S} is a set $\bar{\Phi}$ of recursively enumerable sets denoted Φ_Σ ,
 176 containing unary functions of type $\Sigma \rightarrow \mathbb{S}$, or $\Phi_{\Sigma, \Delta}$, containing binary functions $\Sigma \times \Delta \rightarrow \mathbb{S}$,
 177 and such that:

- 178 – for all $\Phi_{\Sigma, \Delta} \in \bar{\Phi}$, we have $\Phi_\Sigma \in \bar{\Phi}$ and $\Phi_\Delta \in \bar{\Phi}$
- 179 – every $\Phi_\Sigma \in \bar{\Phi}$ contains all the constant functions from Σ into \mathbb{S} ,
- 180 – for all $\alpha \in \mathbb{S}$ and $\phi \in \Phi_\Sigma$, $\alpha \otimes \phi : x \mapsto \alpha \otimes \phi(x)$, and $\phi \otimes \alpha : x \mapsto \phi(x) \otimes \alpha$
 181 belong to Φ_Σ , and similarly for \oplus and for $\Phi_{\Sigma, \Delta}$
- 182 – for all $\phi, \phi' \in \Phi_\Sigma$, $\phi \otimes \phi' : x \mapsto \phi(x) \otimes \phi'(x)$ belongs to Φ_Σ
- 183 – for all $\eta, \eta' \in \Phi_{\Sigma, \Delta}$, $\eta \otimes \eta' : x, y \mapsto \eta(x, y) \otimes \eta'(x, y)$ belongs to $\Phi_{\Sigma, \Delta}$
- 184 – for all $\phi \in \Phi_\Sigma$ and $\eta \in \Phi_{\Sigma, \Delta}$, $\phi \otimes_1 \eta : x, y \mapsto \phi(x) \otimes \eta(x, y)$ and
 185 $\eta \otimes_1 \phi : x, y \mapsto \eta(x, y) \otimes \phi(x)$ belong to $\Phi_{\Sigma, \Delta}$
- 186 – for all $\psi \in \Phi_\Delta$ and $\eta \in \Phi_{\Sigma, \Delta}$, $\psi \otimes_2 \eta : x, y \mapsto \psi(y) \otimes \eta(x, y)$ and
 187 $\eta \otimes_2 \psi : x, y \mapsto \eta(x, y) \otimes \psi(y)$ belong to $\Phi_{\Sigma, \Delta}$
- 188 – similar closures hold for \oplus .

190 Intuitively, the operators \bigoplus_Σ return global minimum, wrt \leq_\oplus , of functions of Φ_Σ . When
 191 the semiring \mathbb{S} is complete, we consider the following operators on the functions of $\bar{\Phi}$.

$$192 \quad \begin{aligned} &\bigoplus_\Sigma : \Phi_\Sigma \rightarrow \mathbb{S}, \quad \phi \mapsto \bigoplus_{a \in \Sigma} \phi(a) \\ &\bigoplus_\Sigma^1 : \Phi_{\Sigma, \Delta} \rightarrow \Phi_\Delta, \quad \eta \mapsto (y \mapsto \bigoplus_{a \in \Sigma} \eta(a, y)) \quad \bigoplus_\Delta^2 : \Phi_{\Sigma, \Delta} \rightarrow \Phi_\Sigma, \quad \eta \mapsto (x \mapsto \bigoplus_{b \in \Delta} \eta(x, b)) \end{aligned}$$

193 In what follows, we might omit the sub- and superscripts in $\otimes_1, \bigoplus_\Sigma^1, \dots$, when there is no

partial application is
needed?

ambiguity. We shall keep them only for the special case $\Sigma = \Delta$, i.e. $\eta \in \Phi_{\Sigma, \Sigma}$, in order to be able to distinguish between the first and the second argument.

► **Definition 6.** A label theory $\bar{\Phi}$ is complete when the underlying semiring \mathbb{S} is complete, and for all $\Phi_{\Sigma, \Delta} \in \bar{\Phi}$ and all $\eta \in \Phi_{\Sigma, \Delta}$, $\bigoplus_{\Sigma}^1 \eta \in \Phi_{\Delta}$ and $\bigoplus_{\Delta}^2 \eta \in \Phi_{\Sigma}$.

The following facts are immediate.

► **Lemma 7.** For $\bar{\Phi}$ complete $\alpha \in \mathbb{S}$, $\phi, \phi' \in \Phi_{\Sigma}$, $\psi \in \Phi_{\Delta}$, and $\eta \in \Phi_{\Sigma, \Delta}$:

- i. $\bigoplus_{\Sigma} \bigoplus_{\Delta}^2 \eta = \bigoplus_{\Delta} \bigoplus_{\Sigma}^1 \eta$
- ii. $\alpha \otimes \bigoplus_{\Sigma} \phi = \bigoplus_{\Sigma} (\alpha \otimes \phi)$ and $(\bigoplus_{\Sigma} \phi) \otimes \alpha = \bigoplus_{\Sigma} (\phi \otimes \alpha)$, and similarly for \oplus
- iii. $(\bigoplus_{\Sigma} \phi) \oplus (\bigoplus_{\Sigma} \phi') = \bigoplus_{\Sigma} (\phi \oplus \phi')$ and $(\bigoplus_{\Sigma} \phi) \otimes (\bigoplus_{\Sigma} \phi') = \bigoplus_{\Sigma} (\phi \otimes \phi')$
- iv. $(\bigoplus_{\Delta}^2 \eta) \oplus (\bigoplus_{\Delta}^2 \eta') = \bigoplus_{\Delta}^2 (\eta \oplus \eta')$, and $(\bigoplus_{\Delta}^2 \eta) \otimes (\bigoplus_{\Delta}^2 \eta') = \bigoplus_{\Delta}^2 (\eta \otimes \eta')$
- v. $\phi \otimes (\bigoplus_{\Delta}^2 \eta) = \bigoplus_{\Delta} (\phi \otimes_1 \eta)$, and $(\bigoplus_{\Delta}^2 \eta) \otimes \phi = \bigoplus_{\Delta} (\eta \otimes_1 \phi)$, and similarly for \oplus
- vi. $\psi \otimes (\bigoplus_{\Sigma}^1 \eta) = \bigoplus_{\Sigma} (\psi \otimes_2 \eta)$, and $(\bigoplus_{\Sigma}^1 \eta) \otimes \psi = \bigoplus_{\Sigma} (\eta \otimes_2 \psi)$, and similarly for \oplus

A label theory is called *effective* when for all $\phi \in \Phi_{\Sigma}$ and $\eta \in \Phi_{\Sigma, \Delta}$, $\bigoplus_{\Sigma} \phi$, $\bigoplus_{\Delta} \bigoplus_{\Sigma} \eta$, and $\bigoplus_{\Sigma} \bigoplus_{\Delta} \eta$ can be effectively computed from ϕ and η .

► **Example 8.** Consider the music transcription problem, with an input representing a music performance. In order to align the input with a music score, we must take into consideration the expressive timing of human performance that results in small time shifts between an input event and the corresponding notation event. These shifts can be weighted as the time distance between both, computed in the tropical semiring with a base function based on a given $\delta \in \Phi_{\Sigma, \Delta}$.

$$\delta(< e_1, t_1 >, < e_2, t_2 >) = \begin{cases} |t_1 - t_2| & \text{if } e_1 = e_2 \\ 0 & \text{otherwise} \end{cases}$$

For the sake of concreteness, consider our running example 1 with $s = I_1 \cup I_2 = [< e_1, 0.07 >, < e_2, 0.72 >, < e_3, 0.91 >] \cup [< e_3, 1.05 >, < e_4, 1.36 >, < e_5, 1.71 >]$ and the music notation $t = \frac{1}{4} \text{ } \text{♩} \text{ } \text{♩} \text{ } \text{♩} \text{ } \text{♩}$. The latter shows a subdivision of the temporal interval $[1, 2]$ based on the rules from Example 5. The first measure (I_1) results from the successive applications of rules ρ_1 (division in two) and rules ρ_3 (division of the second half) in two. The second measure is a division in three obtained by rule ρ_3 . It follows that the notation defines the sequence of timestamps $0, \frac{3}{4}, \frac{7}{8}, 1, \frac{4}{3}, \frac{5}{3}$. The distance between s and t is the pairwise difference between the timestamps from s and t , 0.255. \diamond

3 SW Automata and Transducers

We follow the approach of [21] for the computation of distances, between words and languages, using weighted transducers, and extend it to infinite alphabets. The models introduced in this section generalize weighted automata and transducers [11] by labeling each transition with a weight function (instead of a simple weight value), that takes the input and output symbols as parameters. These functions are similar to the guards of symbolic automata [7, 8], but they can return values in a generic semiring, whereas the latter guards are restricted to the Boolean semiring.

Let \mathbb{S} be a commutative semiring, Σ and Δ be alphabets called respectively *input* and *output*, and $\bar{\Phi}$ be a label theory over \mathbb{S} containing Φ_{Σ} , Φ_{Δ} , $\Phi_{\Sigma, \Delta}$.

► **Definition 9.** A symbolic-weighted transducer (*swT*) over Σ , Δ , \mathbb{S} and $\bar{\Phi}$ is a tuple $T = \langle Q, \text{in}, \bar{w}, \text{out} \rangle$, where Q is a finite set of states, $\text{in} : Q \rightarrow \mathbb{S}$ (respectively $\text{out} : Q \rightarrow \mathbb{S}$)

notion of diagram of functions akin BDD for transitions in practice

mv appendix?

Je trouve qu'il y a beaucoup de notions à retenir (complete, effective) et ça devient difficile pour un lecteur non spécialiste. Est-ce que tout est nécessaire (je ne sais plus qui m'avait dit: un concept en plus, un point en moins.

Oracle returning ... in worst time complexity T .

are functions defining the weight for entering (respectively leaving) computation in a state, and \bar{w} is a triplet of transition functions $w_{10} : Q \times Q \rightarrow \Phi_\Sigma$, $w_{01} : Q \times Q \rightarrow \Phi_\Delta$, and $w_{11} : Q \times Q \rightarrow \Phi_{\Sigma,\Delta}$.

We call *number of transitions* of T the number of pairs of states $q, q' \in Q$ such that w_{10} or w_{01} or w_{11} is not the constant $\mathbb{0}$. For convenience, we shall sometimes present transitions as functions of $Q \times (\Sigma \cup \{\varepsilon\}) \times (\Delta \cup \{\varepsilon\}) \times Q \rightarrow \mathbb{S}$, overloading the function names, such that, for all $q, q' \in Q$, $a \in \Sigma$, $b \in \Delta$,

$$\begin{aligned} w_{10}(q, a, \varepsilon, q') &= \phi(a) & \text{where } \phi &= w_{10}(q, q') \in \Phi_\Sigma, \\ w_{01}(q, \varepsilon, b, q') &= \psi(b) & \text{where } \psi &= w_{01}(q, q') \in \Phi_\Delta, \\ w_{11}(q, a, b, q') &= \eta(a, b) & \text{where } \eta &= w_{11}(q, q') \in \Phi_{\Sigma,\Delta}. \end{aligned}$$

The swT T computes on pairs of words $\langle s, t \rangle \in \Sigma^* \times \Delta^*$, s and t , being respectively called *input* and *output* word. More precisely, T defines a mapping from $\Sigma^* \times \Delta^*$ into \mathbb{S} , based on an intermediate function weight_T defined recursively, for every states $q, q' \in Q$, and every pairs of strings $\langle s, t \rangle \in \Sigma^* \times \Delta^*$, where au , and bv , denote the concatenation of the symbol $a \in \Sigma$ (resp. $b \in \Delta$) with a word $u \in \Sigma^*$ (resp. $v \in \Delta^*$).

added u and v def

$$\text{weight}_T(q, \varepsilon, \varepsilon, q') = \mathbb{1} \quad \text{if } q = q' \text{ and } \mathbb{0} \text{ otherwise} \quad (1)$$



$$\begin{aligned} \text{weight}_T(q, s, t, q') &= \bigoplus_{\substack{q'' \in Q \\ s=au, a \in \Sigma}} w_{10}(q, a, \varepsilon, q'') \otimes \text{weight}_T(q'', u, t, q') \\ &\quad \oplus \bigoplus_{\substack{q'' \in Q \\ t=bv, b \in \Delta}} w_{01}(q, \varepsilon, b, q'') \otimes \text{weight}_T(q'', s, v, q') \\ &\quad \oplus \bigoplus_{\substack{q'' \in Q \\ s=au, t=bv}} w_{11}(q, a, b, q'') \otimes \text{weight}_T(q'', u, v, q') \end{aligned}$$

We recall that, by convention (Section 2), an empty sum with \bigoplus is equal to $\mathbb{0}$. Intuitively, using a transition $w_{ij}(q, a, b, q')$ means for T : when reading respectively a and b at the current positions in the input and output words, increment the current position in the input word if and only if $i = 1$, and in the output word iff $j = 1$, and change state from q to q' . When $a = \varepsilon$ (resp. $b = \varepsilon$), the current symbol in the input (resp. output) is not read. Since $\mathbb{0}$ is absorbing for \otimes in \mathbb{S} , one term $w_{ij}(q, a, b, q'')$ equal to $\mathbb{0}$ in the above expression will be ignored in the sum, meaning that there is no possible transition from state q into state q' while reading a and b . This is analogous to the case of a transition's guard not satisfied by $\langle a, b \rangle$ for symbolic transducers.

The expression (1) can be seen as a stateful definition of an edit-distance between a word $s \in \Sigma^*$ and a word $t \in \Delta^*$, see also [22]. Intuitively, $w_{10}(q, a, \varepsilon, r)$ is the cost of the deletion of the symbol $a \in \Sigma$ in s , $w_{01}(q, \varepsilon, b, r)$ is the cost of the insertion of $b \in \Delta$ in t , and $w_{11}(q, a, b, r)$ is the cost of the substitution of $a \in \Sigma$ by $b \in \Delta$. The cost of a sequence of such operations transforming s into t , is the product, with \otimes , of the individual costs of the operations involved; and the distance between s and t is the sum, with \oplus , of all possible products. Formally, the weight associated by T to $\langle s, t \rangle \in \Sigma^* \times \Delta^*$ is:

$$T(s, t) = \bigoplus_{q, q' \in Q} \text{in}(q) \otimes \text{weight}_T(q, s, t, q') \otimes \text{out}(q') \quad (2)$$

► **Example 10.** Let us develop the example of comparison between music played by a performer, represented as a sequence $s \in \Sigma^*$ of events in the MIDI alphabet Σ , and a music score represented as a sequence $t \in \Delta^*$ in the CWMN alphabet Δ . We build a small weighted transducer model with two states q_0 and q_1 that calculates the distance between s and t .

If one performed event s_i corresponds to one notated event t_1 (for instance MIDI code 61 and pitch A4), the weight value computed by the **swT** is the time distance between both, as in Example 8, and is modeled by transitions w_{11} below. If we meet the music notation symbol ‘-’ that represents continuation (such as instance in *ties* , or *dots* , it is skipped with no cost (transitions w_{01} or weight $\mathbb{1}$).

$$\begin{aligned} w_{11}(q_0, d, \langle e, d' \rangle, q_0) &= |d' - d| & w_{11}(q_1, d, \langle e, d' \rangle, q_0) &= |d' - d| \\ w_{01}(q_0, \varepsilon, \langle -, d' \rangle, q_0) &= \mathbb{1} & w_{01}(q_1, \varepsilon, \langle -, d' \rangle, q_0) &= \mathbb{1} \\ w_{10}(q_0, d, \varepsilon, q_1) &= \alpha \end{aligned}$$

We also must be able to take performing errors into account, while still being able to compare with the score, since a performer could, for example, play an unwritten extra note. This is modelled by the transition w_{10} with an arbitrary weight value $\alpha \in \mathbb{S}$, switching from state q_0 (normal) to q_1 (error). The transitions in the second column below switch back to the normal state q_0 . At last, we let q_0 be the only initial and final state, with $\text{in}(q_0) = \text{out}(q_0) = \mathbb{1}$, and $\text{in}(q_1) = \text{out}(q_1) = \emptyset$.

That way, an **swT** is capable of evaluating the differences between a score and a performance, all the while ensuring that performance errors are plausible. \diamond

The *Symbolic Weighted Automata* are defined similarly as the transducers of Definition 9, by simply omitting the output symbols.

► **Definition 11.** A symbolic-weighted automaton (**swA**) over Σ , \mathbb{S} and $\bar{\Phi}$ is a tuple $A = \langle Q, \text{in}, w_1, \text{out} \rangle$, where Q is a finite set of states, $\text{in} : Q \rightarrow \mathbb{S}$ (respectively $\text{out} : Q \rightarrow \mathbb{S}$) are functions defining the weight for entering (respectively leaving) computation in a state, and w_1 is a transition function from $Q \times Q$ into Φ_Σ .

As above in the case of **swT**, when $w_1(q, q') = \phi \in \Phi_\Sigma$, we may write $w_1(q, a, q')$ for $\phi(a)$. The computation of A on words $s \in \Sigma^*$ is defined with an intermediate function weight_A , defined as follows for $q, q' \in Q$, $a \in \Sigma$, $u \in \Sigma^*$,

$$\begin{aligned} \text{weight}_A(q, \varepsilon, q) &= \mathbb{1} \\ \text{weight}_A(q, \varepsilon, q') &= \emptyset \quad \text{if } q \neq q' \\ \text{weight}_A(q, au, q') &= \bigoplus_{q'' \in Q} w_1(q, a, q'') \otimes \text{weight}_A(q'', u, q') \end{aligned} \tag{3}$$

and the weight value associated by A to $s \in \Sigma^*$ is defined as follows:

$$A(s) = \bigoplus_{q, q' \in Q} \text{in}(q) \otimes \text{weight}_A(q, s, q') \otimes \text{out}(q') \tag{4}$$

The following property will be useful to the approach on symbolic weighted parsing presented in Section 5.

► **Proposition 12.** Given a **swT** T over Σ , Δ , \mathbb{S} commutative, bounded and complete, and $\bar{\Phi}$ effective, and a **swA** A over Σ , \mathbb{S} and $\bar{\Phi}$, there exists an effectively constructible **swA** $B_{A,T}$ over Δ , \mathbb{S} and $\bar{\Phi}$, such that for all $t \in \Delta^*$, $B_{A,T}(t) = \bigoplus_{s \in \Sigma^*} A(s) \otimes T(s, t)$.

reformulated this sentence

Comprends pas cette phrase

ccl to the ex

Il me manque une explication: on construit un automate qui, étant donnée une partition t , renvoie la distance minimale avec n'importe quelle performance (distance donnée par un transducer)? Quel est le rôle de $A(s)$?

Proof. Let $T = \langle Q, \text{in}_T, \bar{w}, \text{out}_T \rangle$, where \bar{w} contains w_{10} , w_{01} , and w_{11} , from $Q \times Q$ into respectively Φ_Σ , Φ_Δ , and $\Phi_{\Sigma,\Delta}$, and let $A = \langle P, \text{in}_A, w_1, \text{out}_A \rangle$ with $w_1 : Q \times Q \rightarrow \Phi_\Sigma$. The state set of $B_{A,T}$ will be $Q' = P \times Q$. The entering, leaving and transition functions of $B_{A,T}$ will simulate synchronized computations of A and T , while reading an output word of Δ^* . Its state entering functions is defined for all $p \in P$, $q \in Q$ by $\text{in}'(p, q) = \text{in}_A(p) \otimes \text{in}_T(q)$. The transition function w'_1 will roughly perform a synchronized product of transitions defined by w_1 , w_{01} (T reading in output word and not an input word) and w_{11} (T reading both an input word and an output word). Moreover, w'_1 also needs to simulate transitions defined by w_{10} : T reading in input word and not an output word. Since $B_{A,T}$ will read only in the output word, such a transition corresponds to an ε -transition of $\text{sw}A$, but $\text{sw}A$ have been defined without ε -transitions. Therefore, in order to take care of this case, we perform an on-the-fly suppression of ε -transition in the $\text{sw}A$ in construction, following the algorithm of [19]. Initially, for all $p_1, p_2 \in P$, and $q_1, q_2 \in Q$, let

$$w'_1(\langle p_1, q_1 \rangle, \langle p_2, q_2 \rangle) = w_1(p_1, p_2) \otimes [w_{01}(q_1, q_2) \oplus \bigoplus_{\Sigma} w_{11}(q_1, q_2)].$$

Iterate the following for all $p_1 \in P$ and $q_1, q_2 \in Q$: for all $p_2 \in P$ and $q_3 \in Q$,

$$w'_1(\langle p_1, q_1 \rangle, \langle p_2, q_3 \rangle) \oplus = \bigoplus_{\Sigma} w_{10}(q_1, q_2) \otimes w'_1(\langle p_1, q_2 \rangle, \langle p_2, q_3 \rangle)$$

$$\text{and } \text{out}'(p_1, q_1) \oplus = \bigoplus_{\Sigma} w_{10}(q_1, q_2) \otimes \text{out}'(p_1, q_2)$$

proof correctness

The construction time and size for $B_{A,T}$ are $O(\|T\|^3 \cdot \|A\|^2)$, where the sizes $\|T\|$ and $\|A\|$ are their number of states.

revise with nb of tr. and states

► **Corollary 13.** *Given a $\text{sw}T$ T over Σ , Δ , \mathbb{S} commutative, bounded and complete, and $\bar{\Phi}$ effective, and $s \in \Sigma^+$, there exists an effectively constructible $\text{sw}A$ $B_{s,T}$ over Δ , \mathbb{S} and $\bar{\Phi}$, such that for all $t \in \Delta^*$, $B_{s,T}(t) = T(s, t)$.*

4 SW Visibly Pushdown Automata

The model presented in this section generalizes symbolic VPA (sVPA [6], generalizing themselves VPA [1] to infinite alphabets) from Boolean semirings to arbitrary semiring weight domains. It will compute on nested words over infinite alphabets, associating to every such word a weight value. Nested words are able to describe structures of labeled trees, and in the context of parsing, they will be useful to represent AST.

see §5 and App.A

Let Δ be a countable alphabet that we assume partitioned into three subsets Δ_i , Δ_c , Δ_r , whose elements are respectively called *internal*, *call* and *return* symbols. Let $(\mathbb{S}, \oplus, \emptyset, \otimes, 1)$ be a commutative and complete semiring and let $\bar{\Phi} = \langle \Phi_i, \Phi_c, \Phi_r, \Phi_{ci}, \Phi_{cc}, \Phi_{cr} \rangle$ be a label theory over \mathbb{S} where Φ_i , Φ_c , Φ_r and Φ_{cx} (with $x \in \{i, c, r\}$) stand respectively for Φ_{Δ_i} , Φ_{Δ_c} , Φ_{Δ_r} and $\Phi_{\Delta_c, \Delta_x}$.

► **Definition 14.** *A Symbolic Weighted Visibly Pushdown Automata (sw-VPA) over $\Delta = \Delta_i \uplus \Delta_c \uplus \Delta_r$, \mathbb{S} and $\bar{\Phi}$ is a tuple $A = \langle Q, P, \text{in}, \bar{w}, \text{out} \rangle$, where Q is a finite set of states, P is a finite set of stack symbols, $\text{in} : Q \rightarrow \mathbb{S}$ (respectively $\text{out} : Q \rightarrow \mathbb{S}$) are functions defining the weight for entering (respectively leaving) a state, and \bar{w} is a sextuplet composed of the transition functions : $w_i : Q \times P \times Q \rightarrow \Phi_{ci}$, $w_i^e : Q \times Q \rightarrow \Phi_i$, $w_c : Q \times P \times Q \times P \rightarrow \Phi_{cc}$, $w_c^e : Q \times P \times Q \rightarrow \Phi_c$, $w_r : Q \times P \times Q \rightarrow \Phi_{cr}$, $w_r^e : Q \times Q \rightarrow \Phi_r$.*

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Similarly as in Section 3, we extend the above transition functions as follows for all $q, q' \in Q$,
 $p \in P$, $a \in \Delta_i$, $c \in \Delta_c$, $r \in \Delta_r$, overloading their names:

$$\begin{array}{lll}
 w_i : Q \times [\Delta_c \times P] \times \Delta_i \times Q \rightarrow \mathbb{S} & w_i(q, c, p, a, q') = \eta_{ci}(c, a) & \text{where } \eta_{ci} = w_i(q, p, q'), \\
 w_i^e : Q \times \Delta_i \times Q \rightarrow \mathbb{S} & w_i^e(q, a, q') = \phi_i(a) & \text{where } \phi_i = w_i^e(q, q'), \\
 w_c : Q \times [\Delta_c \times P] \times [\Delta_c \times P] \times Q \rightarrow \mathbb{S} & w_c(q, c, p, c', p', q') = \eta_{cc}(c, c') & \text{where } \eta_{cc} = w_c(q, p, p', q'), \\
 w_c^e : Q \times [\Delta_c \times P] \times Q \rightarrow \mathbb{S} & w_c^e(q, c, p, q') = \phi_c(c) & \text{where } \phi_c = w_c^e(q, p, q'), \\
 w_r : Q \times [\Delta_c \times P] \times \Delta_r \times Q \rightarrow \mathbb{S} & w_r(q, c, p, r, q') = \eta_{cr}(c, r) & \text{where } \eta_{cr} = w_r(q, p, q'), \\
 w_r^e : Q \times \Delta_r \times Q \rightarrow \mathbb{S} & w_r^e(q, r, q') = \phi_r(r) & \text{where } \phi_r = w_r^e(q, q').
 \end{array}$$

The intuition is the following for the above transitions. w_i^e , w_c^e , and w_r^e describe the cases where the stack is empty. w_i and w_i^e both read an input internal symbol a and change state from q to q' , without changing the stack. Moreover, w_i reads a pair made of $c \in \Delta_c$ and $p \in P$ on the top of the stack (c is compared to a by the weight function $\eta_{ci} \in \Phi_{ci}$). w_c and w_c^e read the input call symbol c' , push it to the stack along with p' , and change state from q to q' . Moreover, w_c reads c and p at the top of the stack (c is compared to c'). w_r and w_r^e read the input return symbol r , and change state from q to q' . Moreover, w_r reads and pop from stack a pair made of c and p , (c is compared to r).

Formally, the transitions of the automaton A are defined in term of an intermediate function weight_A , like in Section 3. A configuration, denoted by $q[\gamma]$, is here composed of a state $q \in Q$ and a stack content $\gamma \in \Gamma^*$, where $\Gamma = \Delta_c \times P$. Hence, weight_A is a function from $[Q \times \Gamma^*] \times \Delta^* \times [Q \times \Gamma^*]$ into \mathbb{S} . The empty stack is denoted by \perp , and the upmost symbol is the last pushed content. The following functions illustrate each of the possible cases, being : reading $a \in \Delta_i$, or $c \in \Delta_c$, or $r \in \Delta_r$ for each possible state of the stack (empty or not), to add to $u \in \Delta^*$.

$$\begin{aligned}
 \text{weight}_A(q[\perp], \varepsilon, q'[\perp]) &= \mathbb{1} \text{ if } q = q' \text{ and } \mathbb{0} \text{ otherwise} \tag{5} \\
 \text{weight}_A\left(q \left[\begin{array}{c} \langle c, p \rangle \\ \gamma \end{array} \right], a u, q'[\gamma']\right) &= \bigoplus_{q'' \in Q} w_i(q, c, p, a, q'') \otimes \text{weight}_A(q'' \left[\begin{array}{c} \langle c, p \rangle \\ \gamma \end{array} \right], u, q'[\gamma']) \\
 \text{weight}_A(q[\perp], a u, q'[\gamma']) &= \bigoplus_{q'' \in Q} w_i^e(q, a, q'') \otimes \text{weight}_A(q''[\perp], u, q'[\gamma']) \\
 \text{weight}_A\left(q \left[\begin{array}{c} \langle c, p \rangle \\ \gamma \end{array} \right], c' u, q'[\gamma']\right) &= \bigoplus_{\substack{q'' \in Q \\ p' \in P}} w_c(q, c, p, c', p', q'') \otimes \text{weight}_A\left(q'' \left[\begin{array}{c} \langle c', p' \rangle \\ \langle c, p \rangle \\ \gamma \end{array} \right], u, q'[\gamma']\right) \\
 \text{weight}_A(q[\perp], c u, q'[\gamma']) &= \bigoplus_{\substack{q'' \in Q \\ p \in P}} w_c^e(q, c, p, q'') \otimes \text{weight}_A(q''[\langle c, p \rangle], u, q'[\gamma']) \\
 \text{weight}_A\left(q \left[\begin{array}{c} \langle c, p \rangle \\ \gamma \end{array} \right], r u, q'[\gamma']\right) &= \bigoplus_{q'' \in Q} w_r(q, c, p, r, q'') \otimes \text{weight}_A(q''[\gamma], u, q'[\gamma']) \\
 \text{weight}_A(q[\perp], r u, q'[\gamma']) &= \bigoplus_{q'' \in Q} w_r^e(q, r, q'') \otimes \text{weight}_A(q''[\perp], u, q'[\gamma'])
 \end{aligned}$$

The weight associated by A to $t \in \Delta^*$ is defined according to empty stack semantics:

$$A(t) = \bigoplus_{q, q' \in Q} \text{in}(q) \otimes \text{weight}_A(q[\perp], t, q'[\perp]) \otimes \text{out}(q'). \tag{6}$$

372 ► **Example 15.** structured words with timed symbols... intro language of music notation?
 373 (markup = time division, leaves = events etc)

todo example VPA

374 Every swA $A = \langle Q, \text{in}, w_1, \text{out} \rangle$, over Σ, \mathbb{S} and $\bar{\Phi}$ is a particular case of sw-VPA $\langle Q, \emptyset, \text{in}, \bar{w}, \text{out} \rangle$
 375 over Δ, \mathbb{S} and $\bar{\Phi}$ with $\Delta_i = \Sigma$ and $\Delta_c = \Delta_r = \emptyset$, and computing with an always empty stack:
 376 $w_i^e = w_1$ and all the other functions of \bar{w} are the constant 0.

377 Similarly to VPA [1] and sVPA [6], the class of sw-VPA is closed under the binary operators
 378 of the underlying semiring.

379 ► **Proposition 16.** Let A_1 and A_2 be two sw-VPA over the same Δ, \mathbb{S} and $\bar{\Phi}$. There
 380 exists two effectively constructible sw-VPA $A_1 \oplus A_2$ and $A_1 \otimes A_2$, such that for all $s \in \Delta^*$,
 381 $(A_1 \oplus A_2)(s) = A_1(s) \oplus A_2(s)$ and $(A_1 \otimes A_2)(s) = A_1(s) \otimes A_2(s)$.

382 **Proof.** The construction is essentially the same as in the case of the Boolean semiring [6].

383

complete proof

384 We shall now present a procedure for searching, for a sw-VPA A , a word of minimal weight
 385 for A , as stated in the following proposition.

386 ► **Proposition 17.** For a sw-VPA A over Δ, \mathbb{S} commutative, bounded, total and complete,
 387 and $\bar{\Phi}$ effective, one can construct in PTIME a word $t \in \Delta^*$ such that $A(t)$ is minimal wrt
 388 the natural ordering for \mathbb{S} .

389 Let $A = \langle Q, P, \text{in}, \bar{w}, \text{out} \rangle$. We propose a Dijkstra algorithm computing, for every $q, q' \in Q$,
 390 the minimum, wrt \leq_\oplus , of the function $\beta_{q,q'} : t \mapsto \text{weight}_A(q[\perp], t, q'[\perp])$. Let us denote by
 391 $b_\perp(q, q')$ this minimum. By definition of \leq_\oplus , and since \mathbb{S} is total, it holds that:

$$392 \quad b_\perp(q, q') = \bigoplus_{t \in \Delta^*} \text{weight}_A(q[\perp], t, q'[\perp]). \quad (7)$$

393 Since \mathbb{S} is complete, the infinite sum in (7) is well defined. Following (6), and the associativity
 394 and commutativity and distributivity for \otimes and \oplus , the minimum of $A(t)$ is:

$$395 \quad \bigoplus_{t \in \Delta^*} A(t) = \bigoplus_{t \in \Delta^*} \bigoplus_{q, q' \in Q} \text{in}(q) \otimes \beta_{q,q'}(t) \otimes \text{out}(q') = \bigoplus_{q, q' \in Q} \text{in}(q) \otimes b_\perp(q, q') \otimes \text{out}(q') \quad (8)$$

396 In order to compute the above function $b_\perp : Q \times Q \rightarrow \mathbb{S}$, we shall consider an auxiliary
 397 function $b_\top : Q \times P \times Q \rightarrow \Phi_c$. Intuitively, when $b_\top(q, p, q') = \phi \in \Phi_c$, for all $c \in \Delta_c$, $\phi(c)$
 398 is the minimum weight of a computation of A starting in state q with a non-empty stack
 399 $\gamma' = \langle c, p \rangle \gamma \in \Gamma^+$ and ending in state q' with the same stack γ' , such that moreover, the
 400 computation does not pop the pair $\langle c, p \rangle$ at the top of γ' (i.e. γ' is left untouched during the
 401 computation). However, the computation can read $\langle c, p \rangle$ at the top of γ' , and can also push
 402 another pair $\langle c', p' \rangle \in \Gamma$ on γ' , following the third case of in the definition (5) of weight_A (call
 403 symbol). The pair $\langle c', p' \rangle$ can be pop later, during the computation from q to q' , following
 404 the fifth case of (5) (return symbol). Formally, in order to define b_\top , we consider a fresh
 405 stack symbol $\top \notin \Gamma$, representing the above untouched stack, and let:

$$406 \quad b_\top(q, p, q') : c \mapsto \bigoplus_{s \in \Delta^*} \text{weight}_A\left(q \left[\begin{array}{c} \langle c, p \rangle \\ \top \end{array} \right], s, q' \left[\begin{array}{c} \langle c, p \rangle \\ \top \end{array} \right] \right) \quad \text{for all } c \in \Delta_c \quad (9)$$

407 By definition of weight_A in (5), using the symbol \top for the part of the stack below $\langle c, p \rangle$ (i.e.
 408 the substack γ in the above $\gamma' = \langle c, p \rangle \gamma$) ensures that this part γ' is not touched during the

■ **Algorithm 1** Best search for sw-VPA

initially let $\mathcal{Q} = (Q \times Q) \cup (Q \times P \times Q)$, and let $d_{\perp}(q_1, q_2) = d_{\top}(q_1, p, q_2) = \mathbb{1}$ if $q_1 = q_2$ and $d_{\perp}(q_1, q_2) = d_{\top}(q_1, p, q_2) = \emptyset$ otherwise

while $\mathcal{Q} \neq \emptyset$ **do**

extract $\langle q_1, q_2 \rangle$ or $\langle q_1, p, q_2 \rangle$ from \mathcal{Q} such that $d_{\perp}(q_1, q_2)$, resp.

$\bigoplus_{c \in \Delta_c} d_{\top}(q_1, p, q_2)(c)$, is minimal in \mathbb{S} wrt \leq_{\oplus}

update d_{\perp} with $\langle q_1, q_2 \rangle$ or d_{\top} with $\langle q_1, p, q_2 \rangle$ (Figure 3).

For all $q_0, q_3 \in Q$,

$$\begin{aligned}
 d_{\top}(q_1, p, q_3) &\oplus= d_{\top}(q_1, p, q_2) \otimes \bigoplus_{\Delta_i} w_i(q_2, p, q_3) \\
 d_{\perp}(q_1, p, q_3) &\oplus= d_{\perp}(q_1, q_2) \otimes \bigoplus_{\Delta_i} w_i^e(q_2, q_3) \\
 d_{\top}(q_0, p, q_3) &\oplus= \bigoplus_{\Delta_c}^2 [(w_c(q_0, p, p', q_1) \otimes_2 d_{\top}(q_1, p', q_2)) \otimes_2 \bigoplus_{\Delta_r} w_r(q_2, p', q_3)] \\
 d_{\perp}(q_0, q_3) &\oplus= \bigoplus_{\Delta_c} (w_c^e(q_0, p, q_1) \otimes d_{\top}(q_1, p, q_2) \otimes \bigoplus_{\Delta_r} w_r(q_2, p, q_3)) \\
 d_{\perp}(q_1, q_3) &\oplus= d_{\perp}(q_1, q_2) \otimes \bigoplus_{\Delta_r} w_r^e(q_2, q_3) \\
 d_{\top}(q_1, p, q_3) &\oplus= d_{\top}(q_1, p, q_2) \otimes d_{\top}(q_2, p, q_3), \text{ if } \langle q_2, \top, q_3 \rangle \notin P \\
 d_{\perp}(q_1, q_3) &\oplus= d_{\perp}(q_1, q_2) \otimes d_{\perp}(q_2, q_3), \text{ if } \langle q_2, \perp, q_3 \rangle \notin P
 \end{aligned}$$

■ **Figure 3** Update d_{\perp} with $\langle q_1, q_2 \rangle$ or d_{\top} with $\langle q_1, p, q_2 \rangle$.

computation. This ensures in particular that the subword read during the computation is well parenthesized (every symbol in Δ_c has a matching symbol in Δ_r).

Algorithm 1 constructs iteratively two markings $d_{\perp} : Q \times Q \rightarrow \mathbb{S}$ and $d_{\top} : Q \times P \times Q \rightarrow \Phi_c$, that converges eventually to b_{\top} and b_{\perp} . The infinite sums in the updates of d in Algorithm 1, Figure 3 are well defined since \mathbb{S} is complete.

**** effectively computable by hypothesis that the label theory is effective**** termination: The algorithm performs $2 \cdot |Q|^2$ iterations until P is empty, and each iteration has a time complexity $O(|Q|^2 \cdot |P|)$. That gives a time complexity $O(|Q|^4 \cdot |P|)$. It can be reduced by implementing P as a priority queue, prioritized by the value returned by d . At termination, $d_{\perp} = b_{\perp}$ and $d_{\top} = b_{\top}$ (see Appendix ??). With (8), this ensures the correctness of Algorithm 1.

In order to obtain effectively a witness (word of Δ^* with a computation of A of minimal weight), we require the additional property of convexity of weight functions.*******

► **Proposition 18.** *For a sw-VPA A over Δ , \mathbb{S} commutative, bounded, total and complete, and $\bar{\Phi}$ effective, one can construct in PTIME a word $t \in \Delta^*$ such that $A(t)$ is minimal wrt the natural ordering for \mathbb{S} .*

5 Symbolic Weighted Parsing

Let us now apply the models and results of the previous sections to the problem of parsing over an infinite alphabet. Let Σ and $\Delta = \Delta_i \uplus \Delta_c \uplus \Delta_r$ be countable input and output alphabets, let $\langle \mathbb{S}, \oplus, \emptyset, \otimes, \mathbb{1} \rangle$ be a commutative, bounded, and complete semiring and let $\bar{\Phi}$

explication Fig. 3
suivant cas de (5)

complete **

detail with nb tr.
and states

completer

total?

be an effective label theory over \mathbb{S} , containing Φ_Σ , Φ_{Σ, Δ_i} , as well as Φ_i , Φ_c , Φ_r , Φ_{cr} (following the notations of Section 4). We assume given the following input:

- a **swT** T over Σ , Δ_i , \mathbb{S} , and $\bar{\Phi}$, defining a measure $T : \Sigma^* \times \Delta_i^* \rightarrow \mathbb{S}$,
- a **sw-VPA** A over Δ , \mathbb{S} , and $\bar{\Phi}$, defining a measure $A : \Delta^* \rightarrow \mathbb{S}$,
- an input word $s \in \Sigma^*$.

For all $u \in \Sigma^*$ and $t \in \Delta^*$, let $d(u, t) = T(u, t|_{\Delta_i})$, where $t|_{\Delta_i} \in \Delta_i^*$ is the projection of t onto Δ_i , obtained from t by removing all symbols in $\Delta \setminus \Delta_i$. *Symbolic weighted parsing* is the problem, given the above input, to find $t \in \Delta^*$ minimizing $d(s, t) \otimes A(t)$ wrt \leq_\oplus , i.e. s.t.

$$d(s, t) \otimes A(t) = \bigoplus_{t' \in \Delta^*} d(s, t') \otimes A(t') \quad (10)$$

Following the terminology of [21], **sw-parsing** is the problem of computing the distance (10) between the input s and the output weighted language of A , and returning a witness t .

► **Proposition 19.** *The problem of Symbolic Weighted parsing can be solved in PTIME in the size of the input **swT** T , **sw-VPA** A and input word s , and the computation time of the functions and operators of the label theory.*

Proof. (sketch) We follow a *Bar-Hillel* construction, for parsing by intersection. Let us first extend the **swT** T over Σ , Δ_i into a **swT** T' over Σ and Δ (and the same semiring and label theory \mathbb{S} and $\bar{\Phi}$), such that for all $u \in \Sigma^*$, and $t \in \Delta^*$, $T'(u, t) = T(u, t|_{\Delta_i})$. The transducer T' simply skips every symbol $b \in \Delta \setminus \Delta_i$, by the addition to T , of new transitions of the form $w_{01}(q, \varepsilon, b, q')$. Then, using Corollary 13, we construct from the input word $s \in \Sigma^*$ and T' a **swA** $B_{s, T'}$, such that for all $t \in \Delta^*$, $B_{s, T'}(t) = d(s, t)$. Next, we compute the **sw-VPA** $B_{s, T'} \otimes A$, using Proposition 16. It remains to compute a best nested-word $t \in \Delta^*$ using the best-search procedure of Proposition 20. ◀

The **sw-parsing** generalizes the problem of searching the best derivation (AST) of a weighted CF-grammar G that yields a given input word w . The latter problem, sometimes called *weighted parsing*, (see e.g. [13] and [23] for general weighted parsing frameworks) corresponds to **sw-parsing** in the case of finite alphabets, a transducer T computing the identity and some **sw-VPA** A obtained from G . Indeed, the *depth-first* traversal of an AST τ yields a well-parenthesised word $\text{lin}(\tau)$ over an alphabet $\Delta = \Delta_i \uplus \Delta_c \uplus \Delta_r$, assuming e.g. that Δ_i contains the symbols labelling the leaves of τ (symbols of rank 0), and Δ_c and Δ_r contain respectively one left and right parenthesis \langle_b and \rangle_b for each symbol b labelling inner nodes of τ (symbols of rank > 0). We show in Appendix B how to construct a **sw-VPA** A such that $A(\text{lin}(\tau))$ is the weight the AST τ of G .

Conclusion

We have introduced weighted language models (SW transducers and visibly pushdown automata) computing over infinite alphabets, and applied them to the problem of parsing with infinitely many possible input symbols (typically timed events). This approach extends conventional parsing and weighted parsing by computing a derivation tree modulo a generic distance between words, defined by a SW transducer given in input. This enables to consider finer word relationships than strict equality, opening possibilities of quantitative analysis via this method.

Ongoing and future work include

2 lines Application to Automated Music Transcription: implementation \neq but same principle, on-the-fly automata construction during best search, for efficiency.

TODO future work

- 472 – The study of other theoretical properties of SW models, such as the extension of the best
473 search algorithm from 1-best to n -best [17], and to k -closed semirings [20] (instead of *bounded*,
474 which corresponds to 0-closed).
- 475 – ...there is room to improve the complexity bounds for the algorithms ... modular approach
476 with oracles ...
- 477 – present here an offline algorithm for best search, semi-online implementation for AMT
478 (bar-by-bar approach) with an on-the-fly automata construction.

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- 536

537 **A** Nested-Words and Parse-Trees

538 The hierarchical structure of nested-words, defined with the *call* and *return* markup symbols
 539 suggest a correspondence with trees. The lifting of this correspondence to languages, of tree
 540 automata and VPA, has been discussed in [1], and [4] for the weighted case. In this section,
 541 we describe a correspondence between the symbolic-weighted extensions of tree automata
 542 and VPA.

543 Let Ω be a countable ranked alphabet, such that every symbol $a \in \Omega$ has a rank
 544 $\text{rk}(a) \in [0..M]$ where M is a fixed natural number. We denote by Ω_k the subset of all symbols
 545 a of Ω with $\text{rk}(a) = k$, where $0 \leq k \leq M$, and $\Omega_{>0} = \Omega \setminus \Omega_0$. The free Ω -algebra of finite,
 546 ordered, Ω -labeled trees is denoted by \mathcal{T}_Ω . It is the smallest set such that $\Omega_0 \subset \mathcal{T}_\Omega$ and
 547 for all $1 \leq k \leq M$, all $a \in \Omega_k$, and all $t_1, \dots, t_k \in \mathcal{T}_\Omega$, $a(t_1, \dots, t_k) \in \mathcal{T}_\Omega$. Let us assume
 548 a commutative semiring \mathbb{S} and a label theory $\bar{\Phi}$ over \mathbb{S} containing one set Φ_{Ω_k} for each
 549 $k \in [0..M]$.

550 **► Definition 20.** A symbolic-weighted tree automaton (*swTA*) over Ω , \mathbb{S} , and $\bar{\Phi}$ is a triplet
 551 $A = \langle Q, \text{in}, \bar{w} \rangle$ where Q is a finite set of states, $\text{in} : Q \rightarrow \Phi_\Omega$ is the starting weight function,
 552 and \bar{w} is a tuple of transition functions containing, for each $k \in [0..M]$, the functions
 553 $w_k : Q \times Q^k \rightarrow \Phi_{\Omega_{>0}, \Omega_k}$ and $w_k^\varepsilon : Q \times Q^k \rightarrow \Phi_{\Omega_k}$.

554 We define a transition function $w : Q \times (\Omega_{>0} \cup \{\varepsilon\}) \times \Omega \times \bigcup_{k=0}^M Q^k \rightarrow \mathbb{S}$ by:

$$\begin{aligned} 555 \quad w(q_0, a, b, q_1 \dots q_k) &= \eta(a, b) & \text{where } \eta &= w_k(q_0, q_1 \dots q_k) \\ w(q_0, \varepsilon, b, q_1 \dots q_k) &= \phi(b) & \text{where } \phi &= w_k^\varepsilon(q_0, q_1 \dots q_k). \end{aligned}$$

556 where $q_1 \dots q_k$ is ε if $k = 0$. The first case deals with a strict subtree, with a parent node
 557 labeled by a , and the second case is for a root tree.

558 Every swTA defines a mapping from trees of \mathcal{T}_Ω into \mathbb{S} , based on the following intermediate
 559 function $\text{weight}_A : Q \times (\Omega \cup \{\varepsilon\}) \times \mathcal{T}_\Omega \rightarrow \mathbb{S}$

$$560 \quad \text{weight}_A(q_0, a, t) = \bigoplus_{q_1 \dots q_k \in Q^k} w(q_0, a, b, q_1 \dots q_k) \otimes \bigotimes_{i=1}^k \text{weight}_A(q_i, b, t_i) \quad (11)$$

561 where $q_0 \in Q$, $a \in \Omega_{>0} \cup \{\varepsilon\}$ and $t = b(t_1, \dots, t_k) \in \mathcal{T}_\Omega$, $0 \leq k \leq M$.

562 Finally, the weight associated by A to $t \in \mathcal{T}_\Omega$ is

$$563 \quad A(t) = \bigoplus_{q \in Q} \text{in}(q) \otimes \text{weight}_A(q, \varepsilon, t) \quad (12)$$

564 Intuitively, $w(q_0, a, b, q_1 \dots q_k)$ can be seen as the weight of a production rule $q_0 \rightarrow b(q_1, \dots, q_k)$
 565 of a regular tree grammar [5], that replaces the non-terminal symbol q_0 by $b(q_1, \dots, q_k)$,
 566 provided that the parent of q_0 is labeled by a (or q_0 is the root node if $a = \varepsilon$). The
 567 above production rule can also be seen as a rule of a weighted CF grammar, of the form
 568 $[a, b] q_0 := q_1 \dots q_k$ if $k > 0$, and $[a] q_0 := b$ if $k = 0$. In the first case, b is a label of the rule,
 569 and in the second case, it is a terminal symbol. And in both cases, a is a constraint on the
 570 label of rule applied on the parent node in the derivation tree. This features of observing the
 571 parent's label are useful in the case of infinite alphabet, where it is not possible to memorize
 572 a label with the states. The weight of a labeled derivation tree t of the weighted CF grammar
 573 associated to A as above, is $\text{weight}_A(q, t)$, when q is the start non-terminal. We shall now
 574 establish a correspondence between such a derivation tree t and some word describing a
 575 linearization of t , in a way that $\text{weight}_A(q, t)$ can be computed by a sw-VPA.

Let $\hat{\Omega}$ be the countable (unranked) alphabet obtained from Ω by: $\hat{\Omega} = \Delta_i \uplus \Delta_c \uplus \Delta_r$, with $\Delta_i = \Omega_0$, $\Delta_c = \{ \langle a \mid a \in \Omega_{>0} \rangle \}$, $\Delta_r = \{ \langle a \rangle \mid a \in \Omega_{>0} \}$.

We associate to $\hat{\Omega}$ a label theory $\hat{\Phi}$ like in Section 4, and we define a linearization of trees of $\mathcal{T}_{\hat{\Omega}}$ into words of $\hat{\Omega}^*$ as follows:

$\text{lin}(a) = a$ for all $a \in \Omega_0$,

$\text{lin}(b(t_1, \dots, t_k)) = \langle_b \text{lin}(t_1) \dots \text{lin}(t_k)_b \rangle$ when $b \in \Omega_k$ for $1 \leq k \leq M$.

► **Proposition 21.** *For all swTA A over Ω , \mathbb{S} commutative, and $\bar{\Phi}$, there exists an effectively constructible sw-VPA A' over $\hat{\Omega}$, \mathbb{S} and $\hat{\Phi}$ such that for all $t \in \mathcal{T}_{\hat{\Omega}}$, $A'(\text{lin}(t)) = A(t)$.*

Proof. Let $A = \langle Q, \text{in}, \bar{w} \rangle$ where \bar{w} is presented as above by a function. We build $A' = \langle Q', P', \text{in}', \bar{w}', \text{out}' \rangle$, where $Q' = \bigcup_{k=0}^M Q^k$ is the set of sequences of state symbols of A , of length at most M , including the empty sequence denoted by ε , and where $P' = Q'$ and \bar{w}' is defined by:

$$\begin{aligned} w_i(q_0 \bar{u}, \langle c, \bar{p}, a, \bar{u} \rangle) &= w(q_0, c, a, \varepsilon) && \text{for all } c \in \Omega_{>0}, a \in \Omega_0 \\ w_i^e(q_0 \bar{u}, a, \bar{u}) &= w(q_0, \varepsilon, a, \varepsilon) && \text{for all } a \in \Omega_0 \\ w_c(q_0 \bar{u}, \langle c, \bar{p}, \langle d, \bar{u}, \bar{q} \rangle \rangle) &= w(q_0, c, d, \bar{q}) && \text{for all } c, d \in \Omega_{>0} \\ w_c^e(q_0 \bar{u}, \langle c, \bar{u}, \bar{q} \rangle) &= w(q_0, \varepsilon, c, \bar{q}) && \text{for all } c \in \Omega_{>0} \\ w_r(\varepsilon, \langle c, \bar{p}, c \rangle, \bar{p}) &= \mathbb{1} && \text{for all } c \in \Omega_{>0} \\ w_r^e(\bar{u}, c, \bar{q}) &= \mathbb{0} && \text{for all } c \in \Omega_{>0} \end{aligned}$$

All cases not matched by one of the above equations have a weight $\mathbb{0}$, for instance $w_r(\bar{u}, \langle c, \bar{p}, d \rangle, \bar{q}) = \mathbb{0}$ if $c \neq d$ or $\bar{u} \neq \varepsilon$ or $\bar{q} \neq \bar{p}$. ◀

B Correctness of the Best-Search Algorithm

The correctness of Algorithm 1 is stated by the following lemma.

► **Lemma 22.** *At the termination of Algorithm 1, for all $\langle q_1, q_2 \rangle \notin \mathcal{Q}$, $d_{\perp}(q_1, q_2) = b_{\perp}(q_1, q_2)$.*

The proof is by contradiction, assuming a counter-example minimal in the length of the witness word.

is ensured by the invariant... expressed in the following lemma.

► **Lemma 23.** *At every step of Algorithm 1, for all $\langle q_1, p, q_2 \rangle \notin \mathcal{Q}$, $d_{\top}(q_1, p, q_2) = b_{\top}(q_1, p, q_2)$.*

For computing the minimal weight of a computation of A , we use the equality (8), which, with Lemma 24 implies that at the termination of Algorithm 1, $\bigoplus_{s \in \Delta^*} A(s) = \bigoplus_{q, q' \in \mathcal{Q}} \text{in}(q) \otimes d_{\perp}(q, q') \otimes \text{out}(q')$.

601 Todo list

602	register: skip refs and details, add Mikolaj recent	2
603	[23] much is more general	2
604	chap. intersection in [15]	3
605	expressiveness: VPA have restricted equality test. comparable to pebble automata?	
606	→ conclusion	3
607	weight value	3
608	is total necessary?	4
609	Ca j'ai pas compris	4
610	Here the difference between \mathbb{S} as a structure and as a domain is blurred.	4
611	$j \in \mathbb{N}$: j is an element of \mathbb{N} , not the same as $j \subset \mathbb{N}$	4
612	results of this paper: for semirings commutative, bounded, total and complete . . .	4
613	partial application is needed?	5
614	notion of diagram of functions akin BDD for transitions in practice	6
615	mv appendix?	6
616	Je trouve qu'il y a beaucoup de notions à retenir (complete, effective) et ça devient	
617	difficile pour un lecteur non spécialiste. Est-ce que tout est nécessaire (je ne sais	
618	plus qui m'avait dit: un concept en plus, un point en moins.	6
619	\exists oracle returning ... in worst time complexity T	6
620	added u and v def	7
621	reformulated this sentence	8
622	Comprends pas cette phrase	8
623	ccl to the ex	8
624	Il me manque une explication: on construit un automate qui, étant donnée une	
625	partition t , renvoie la distance minimale avec n'importe quelle performance	
626	(distance donnée par un transducer)? Quel est le rôle de $A(s)$?	8
627	proof correctness	9
628	revise with nb of tr. and states	9
629	see §5 and App.A	9
630	moved this to the beginning	10
631	intro to func	10
632	introduced the 6 cases	10
633	notation cp for $\langle c, p \rangle$?	10
634	$c p$ to $\langle c, p \rangle$	10
635	todo example VPA	11
636	complete proof	11
637	explication Fig. 3 suivant cas de (5)	12
638	complete **	12
639	detail with nb tr. and states	12
640	total?	13
641	2 lines Application to Automated Music Transcription: implementation \neq but same	
642	principle, on-the-fly automata construction during best search, for efficiency. . . .	13
643	TODO future work	14