

Symbolic Weighted Language Models and Quantitative Parsing over Infinite Alphabets

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Abstract

We propose a framework for weighted parsing over infinite alphabets. It is based on language models called Symbolic Weighted Automata (**swA**) at the joint between Symbolic Automata (**sA**) and Weighted Automata (**wA**), as well as Transducers (**swT**) and Visibly Pushdown (**sw-VPA**) variants. Like **sA**, **swA** deal with large or infinite input alphabets, and like **wA**, they output a weight value in a semiring domain. The transitions of **swA** are labeled by functions from an infinite alphabet into the weight domain. This is unlike **sA** whose transitions are guarded by boolean predicates over symbols in an infinite alphabet and also unlike **wA** whose transitions are labeled by constant weight values, and who deal only with finite automata. We present some properties of **swA**, **swT** and **sw-VPA** models, that we use to define and solve a variant of parsing over infinite alphabets. We also briefly describe the application that motivated the introduction of these models: a parse-based approach to automated music transcription.

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1 Introduction

Parsing is the problem of structuring a linear representation on input (a finite word), according to a language model. Most of the context-free parsing approaches [15] assume a finite and reasonably small input alphabet. Such a restriction makes perfect sense in the context of NLP tasks such as constituency parsing, or of programming languages compilers or interpreters. Considering large or infinite alphabets can however be of practical interest, for instance, when dealing with large characters encodings such as UTF-16, *e.g.* for vulnerability detection in Web-applications [8], for the analyse (*e.g.* validation or filtering) of data streams or serialization of structured documents (with textual or numerical attributes) [26], or for processing timed execution traces [3]. The latter case is related to a study that motivated the present work: automated music transcription. In this problem, a music performance, represented symbolically in the form of a sequence of timed musical events, is converted into a score in Common Western Music Notation [14], structured according to nested grouping and metric strength of events. It can therefore be stated as a parsing problem [12], over an infinite alphabet of timed events.

Various extensions of language models for handling infinite alphabets have been studied. For instance, some automata with memory extensions allow restricted storage and comparison of input symbols, (see [26] for a survey), with pebbles for marking positions [25], registers [18], or the possibility to compute on subsequences with the same attribute values [2]. The automata at the core of model checkers compute on input symbols represented by large bitvectors [27] (sets of assignments of Boolean variables) and in practice, each transition accepts a set of such symbols (instead of an individual symbol), represented by Boolean

I think that we can make the case for a larger set of situations: given a linear music notation input, for instance elements in an XML file, we want to structure the input according to a hierarchical rhythmic space. I can elaborate...

register: skip refs and details, add Mikolaj recent



■ **Figure 1** Classes of Symbolic/Weighted Automata. Σ_{fin} is a finite alphabet, Σ_{inf} is a countable alphabet, \mathbb{B} is the Boolean algebra, \mathbb{S} is a commutative semiring, $q \xrightarrow{a} q'$ is a transition between states q and q' .

44 formula or Binary Decision Diagrams. Following a similar idea, in symbolic automata
 45 (sA) [7, 8], the transitions are guarded by predicates over infinite alphabet domains. With
 46 appropriate closure conditions on the sets of such predicates, all the good properties enjoyed
 47 by automata over finite alphabets are preserved.

48 Other extensions of language models help in dealing with non-determinism, by the
 49 computation of weight values. With an ambiguous grammar, there may exist several
 50 derivations (*abstract syntax trees* – AST) yielding one input word. The association of one
 51 weight value to each AST permits to select a best one (or n bests). This is roughly the
 52 principle of *weighted parsing* approaches [13, 24, 23]. In *weighted language models*, like *e.g.*
 53 probabilistic context-free grammars and weighted automata (wA) [11], a weight value is
 54 associated to each transition rule, and the rule's weights can be combined with a associative
 55 product operator \otimes into the weight of an AST. A second operator \oplus , associative and
 56 commutative, is moreover used to handle the ambiguity of the model, by summing the
 57 weights of the possibly several (in general exponentially many) AST associated to a given
 58 input word. Typically, \oplus will select the best of two weight values. The weight domain,
 59 equipped with these two operators shall be, at minima, a *semiring* where \oplus can be extended
 60 to infinite sums, such as the Viterbi semiring and the tropical min-plus algebra, see Figure 2.

61 In this paper, we present a uniform framework for weighted parsing over infinite input
 62 alphabets. It is based on *symbolic weighted* finite states language models (swM), generalizing
 63 the Boolean guards of sA into functions into an arbitrary semiring, and generalizing also wA,
 64 by handling infinite alphabets, see Figure 1. In short, a transition rule $q \xrightarrow{\phi} q'$ from state q
 65 to q' of a swM, is labeled by a function ϕ associating to every input symbol a a weight value
 66 $\phi(a)$ in a semiring domain. The models presented here are finite automata called symbolic-
 67 weighted (swA), transducers (swT), and pushdown automata with a visibly restriction [1]
 68 (sw-VPA). The latter model of automata operates on *nested words* [1], a structured form of
 69 words parenthesized with markup symbols, corresponding to a linearization of trees. In the
 70 context of parsing, they can represent (weighted) AST of CF grammars. More precisely, a
 71 sw-VPA A associates a weight value $A(t)$ to a given nested word t , which is the linearization
 72 of an AST. On the other hand, a swT can define a distance $T(s, t)$ between finite words s
 73 and t over infinite alphabets. Then, the *SW-parsing* problem aims at finding t minimizing
 74 $T(s, t) \otimes A(t)$ (*wrt* the ranking defined by \oplus), given an input word s . The latter value is
 75 called the distance between s and A in [21]. Like weighted-parsing methods [13, 24, 23],

66 This sentence (sym-
 67 bols as variables)
 68 is not immediately
 69 clear to me. Maybe
 70 a short example or
 71 intuition?

modified

72 The weight is a label
 73 on edges in the de-
 74 rivation tree, right?
 75 Not sure I under-
 76 stand the sentence

77 You mean the dis-
 78 tance between s and
 79 t ? "The latter value"
 80 is a bit ambiguous

our approach proceeds in two steps, based on properties of the **swM**. The first step is an intersection (Bar-Hillel construction [15]) where, given a **swT** T , a **sw-VPA** A , and an input word s , a **sw-VPA** $A_{T,s}$ is built, such that for all t , $A_{T,s}(t) = T(s, t) \otimes A(t)$. In the second step, a best AST t is found by applying to $A_{T,s}$ a best search algorithm similar to the shortest distance in graphs [20, 17].

The main contributions of the paper are: (i) the introduction of automata, **swA**, transducers, **swT** (Section 3), and visibly pushdown automata **sw-VPA** (Section 4), generalizing the corresponding classes of symbolic and weighted models, (ii) a polynomial best-search algorithm for **sw-VPA**, and (iii) a uniform framework (Section 5) for parsing over infinite alphabets, the keys to which are (iii.a) the **swT**-based definition of generic edit distances between input and output (yield) words, and (iii.b) the use, convenient in this context, of nested words, and **sw-VPA**, instead of syntax trees and grammars.

chap. intersection in [15]

The notation $A_{T,s}$ has not been introduced so far. It is not clear why T is a parameter there

OK, à quelques détails (pour moi), tout ça est très bien expliqué

expressiveness: VPA have restricted equality test. comparable to pebble automata? → conclusion

2 Preliminary Notions

Semirings

We shall consider semirings for the weight values of our language models. . A *semiring* $\langle \mathbb{S}, \oplus, \otimes, 0, 1 \rangle$ is a structure with a domain \mathbb{S} , equipped with two associative binary operators \oplus and \otimes , with respective neutral elements 0 and 1 , and such that:

- \oplus is commutative: $\langle \mathbb{S}, \oplus, 0 \rangle$ is a commutative monoid and $\langle \mathbb{S}, \otimes, 1 \rangle$ a monoid,
- \otimes distributes over \oplus : $\forall x, y, z \in \mathbb{S}$, $x \otimes (y \oplus z) = (x \otimes y) \oplus (x \otimes z)$, and $(x \oplus y) \otimes z = (x \otimes z) \oplus (y \otimes z)$,
- 0 is absorbing for \otimes : $\forall x \in \mathbb{S}$, $0 \otimes x = x \otimes 0 = 0$.

Intuitively, in the models presented in this paper, \oplus selects an optimal value from two given values, in order to handle non-determinism, and \otimes combines two values into a single value, in a chaining of transitions.

The results are established for a general class of semirings. They can be instantiated for concrete cases

There is sometimes a confusion in the text between the structure and the domain \mathbb{S} . Not essential

A semiring \mathbb{S} is *commutative* if \otimes is commutative. It is *idempotent* if for all $x \in \mathbb{S}$, $x \oplus x = x$. Every idempotent semiring \mathbb{S} induces a partial ordering \leq_{\oplus} called the *natural ordering* of \mathbb{S} [20] defined, by: for all $x, y \in \mathbb{S}$, $x \leq_{\oplus} y$ iff $x \oplus y = x$. The natural ordering is sometimes defined in the opposite direction [10]; We follow here the direction that coincides with the usual ordering on the Tropical semiring *min-plus* (Figure 2). An idempotent semiring \mathbb{S} is called *total* if it \leq_{\oplus} is total *i.e.* when for all $x, y \in \mathbb{S}$, either $x \oplus y = x$ or $x \oplus y = y$.

is total necessary?

► **Lemma 1** (Monotony, [20]). *Let $\langle \mathbb{S}, \oplus, \otimes, 0, 1 \rangle$ be an idempotent semiring. For all $x, y, z \in \mathbb{S}$, if $x \leq_{\oplus} y$ then $x \oplus z \leq_{\oplus} y \oplus z$, $x \otimes z \leq_{\oplus} y \otimes z$ and $z \otimes x \leq_{\oplus} z \otimes y$.*

To express the property of Lemma 1, we call \mathbb{S} *monotonic wrt \leq_{\oplus}* . Another important semiring property in the context of optimization is superiority [16], which corresponds to the *non-negative weights* condition in shortest-path algorithms [9]. Intuitively, it means that combining elements with \otimes always increase their weight. Formally, it is defined as the property (i) below.

► **Lemma 2** (Superiority, Boundedness). *Let $\langle \mathbb{S}, \oplus, \otimes, 0, 1 \rangle$ be an idempotent semiring. The two following statements are equivalent:*

- i. *for all $x, y \in \mathbb{S}$, $x \leq_{\oplus} x \otimes y$ and $y \leq_{\oplus} x \otimes y$*
- ii. *for all $x \in \mathbb{S}$, $1 \oplus x = 1$.*

Proof. (ii) \Rightarrow (i) : $x \oplus (x \otimes y) = x \otimes (1 \oplus y) = x$, by distributivity of \otimes over \oplus . Hence $x \leq_{\oplus} x \otimes y$. Similarly, $y \oplus (x \otimes y) = (1 \oplus x) \otimes y = y$, hence $y \leq_{\oplus} x \otimes y$. (i) \Rightarrow (ii) : by the second inequality of (i), with $y = 1$, $1 \leq_{\oplus} x \otimes 1 = x$, *i.e.*, by definition of \leq_{\oplus} , $1 \oplus x = 1$. ◀

	domain	\oplus	\otimes	$\mathbf{0}$	$\mathbf{1}$
Boolean	$\{\perp, \top\}$	\vee	\wedge	\perp	\top
Counting	\mathbb{N}	$+$	\times	0	1
Viterbi	$[0, 1] \subset \mathbb{R}$	\max	\times	0	1
Tropical min-plus	$\mathbb{R}_+ \cup \{\infty\}$	\min	$+$	∞	0

■ **Figure 2** Some commutative, bounded, total and complete semirings.

In [16], when the property (i) holds, \mathbb{S} is called *superior wrt* the ordering \leq_\oplus . We have seen in the proof of Lemma 2 that it implies that $\mathbf{1} \leq_\oplus x$ for all $x \in \mathbb{S}$. Similarly, by the first inequality of (i) with $y = \mathbf{0}$, $x \leq_\oplus x \otimes \mathbf{0} = \mathbf{0}$. Hence, in a superior semiring, it holds that for all $x \in \mathbb{S}$, $\mathbf{1} \leq_\oplus x \leq_\oplus \mathbf{0}$. Intuitively, from an optimization point of view, it means that $\mathbf{1}$ is the best value, and $\mathbf{0}$ the worst. In [20], \mathbb{S} with the property (ii) of Lemma 2 is called *bounded* – we shall use this term in the rest of the paper. It implies that, when looking for a best path in a graph whose edges are weighted by values of \mathbb{S} , the loops can be safely avoided, because, for all $x \in \mathbb{S}$ and $n \geq 1$, $x \oplus x^n = x \otimes (\mathbf{1} \oplus x^{n-1}) = x$.

► **Lemma 3.** *Every bounded semiring is idempotent.*

Proof. By boundedness, $\mathbf{1} \oplus \mathbf{1} = \mathbf{1}$, and idempotency follows by multiplying both sides by x and distributing. ◀

We shall need below infinite sums with \oplus . A semiring \mathbb{S} is called *complete* [11] if it has an operation $\bigoplus_{i \in I} x_i$ for every family $(x_i)_{i \in I}$ of elements of $\text{dom}(\mathbb{S})$ over an index set $I \subset \mathbb{N}$, such that:

i. *infinite sums extend finite sums:*

$$\bigoplus_{i \in \emptyset} x_i = \mathbf{0}, \quad \forall j \in \mathbb{N}, \bigoplus_{i \in \{j\}} x_i = x_j, \quad \forall j, k \in \mathbb{N}, j \neq k, \bigoplus_{i \in \{j, k\}} x_i = x_j \oplus x_k,$$

ii. *associativity and commutativity:*

$$\text{for all } I \subseteq \mathbb{N} \text{ and all partition } (I_j)_{j \in J} \text{ of } I, \bigoplus_{j \in J} \bigoplus_{i \in I_j} x_i = \bigoplus_{i \in I} x_i,$$

iii. *distributivity of product over infinite sum:*

$$\text{for all } I \subseteq \mathbb{N}, \bigoplus_{i \in I} (x \otimes y_i) = x \otimes \bigoplus_{i \in I} y_i, \text{ and } \bigoplus_{i \in I} (x_i \otimes y) = \left(\bigoplus_{i \in I} x_i \right) \otimes y.$$

Label Theory

We shall now define the functions labeling the transitions of SW automata and transducers, generalizing the Boolean algebras of [7] from Boolean to other semiring domains. We consider *alphabets*, which are countable sets of symbols denoted Σ, Δ, \dots . Given a semiring $\langle \mathbb{S}, \oplus, \otimes, \mathbf{0}, \mathbf{1} \rangle$, a *label theory* over \mathbb{S} is a set $\bar{\Phi}$ of recursively enumerable sets denoted Φ_Σ , containing unary functions of type $\Sigma \rightarrow \mathbb{S}$, or $\Phi_{\Sigma, \Delta}$, containing binary functions $\Sigma \times \Delta \rightarrow \mathbb{S}$, and such that:

- for all $\Phi_{\Sigma, \Delta} \in \bar{\Phi}$, we have $\Phi_\Sigma \in \bar{\Phi}$ and $\Phi_\Delta \in \bar{\Phi}$
- every $\Phi_\Sigma \in \bar{\Phi}$ contains all the constant functions from Σ into \mathbb{S} ,
- for all $\alpha \in \mathbb{S}$ and $\phi \in \Phi_\Sigma$, $\alpha \otimes \phi : x \mapsto \alpha \otimes \phi(x)$, and $\phi \otimes \alpha : x \mapsto \phi(x) \otimes \alpha$ belong to Φ_Σ , and similarly for \oplus and for $\Phi_{\Sigma, \Delta}$
- for all $\phi, \phi' \in \Phi_\Sigma$, $\phi \otimes \phi' : x \mapsto \phi(x) \otimes \phi'(x)$ belongs to Φ_Σ
- for all $\eta, \eta' \in \Phi_{\Sigma, \Delta}$, $\eta \otimes \eta' : x, y \mapsto \eta(x, y) \otimes \eta'(x, y)$ belongs to $\Phi_{\Sigma, \Delta}$

- 155 – for all $\phi \in \Phi_\Sigma$ and $\eta \in \Phi_{\Sigma,\Delta}$, $\phi \otimes_1 \eta : x, y \mapsto \phi(x) \otimes \eta(x, y)$ and
- 156 $\eta \otimes_1 \phi : x, y \mapsto \eta(x, y) \otimes \phi(x)$ belong to $\Phi_{\Sigma,\Delta}$
- 157 – for all $\psi \in \Phi_\Delta$ and $\eta \in \Phi_{\Sigma,\Delta}$, $\psi \otimes_2 \eta : x, y \mapsto \psi(y) \otimes \eta(x, y)$ and
- 158 $\eta \otimes_2 \psi : x, y \mapsto \eta(x, y) \otimes \psi(y)$ belong to $\Phi_{\Sigma,\Delta}$
- 160 – similar closures hold for \oplus .

161 Intuitively, the operators \bigoplus_Σ return global minimum, wrt \leq_\oplus , of functions of Φ_Σ . When
 162 the semiring \mathbb{S} is complete, we consider the following operators on the functions of $\bar{\Phi}$.

$$\begin{aligned} \bigoplus_\Sigma : \Phi_\Sigma &\rightarrow \mathbb{S}, \phi \mapsto \bigoplus_{a \in \Sigma} \phi(a) \\ \bigoplus_\Sigma^1 : \Phi_{\Sigma,\Delta} &\rightarrow \Phi_\Delta, \eta \mapsto (y \mapsto \bigoplus_{a \in \Sigma} \eta(a, y)) \quad \bigoplus_\Delta^2 : \Phi_{\Sigma,\Delta} \rightarrow \Phi_\Sigma, \eta \mapsto (x \mapsto \bigoplus_{b \in \Delta} \eta(x, b)) \end{aligned}$$

164 In what follows, we might omit the sub- and superscripts in $\otimes_1, \bigoplus_\Sigma^1, \dots$, when there is no
 165 ambiguity. We shall keep them only for the special case $\Sigma = \Delta$, i.e. $\eta \in \Phi_{\Sigma,\Sigma}$, in order to be
 166 able to distinguish between the first and the second argument.

167 ► **Definition 4.** A label theory $\bar{\Phi}$ is complete when the underlying semiring \mathbb{S} is complete,
 168 and for all $\Phi_{\Sigma,\Delta} \in \bar{\Phi}$ and all $\eta \in \Phi_{\Sigma,\Delta}$, $\bigoplus_\Sigma^1 \eta \in \Phi_\Delta$ and $\bigoplus_\Delta^2 \eta \in \Phi_\Sigma$.

170 The following facts are immediate.

171 ► **Lemma 5.** For $\bar{\Phi}$ complete $\alpha \in \mathbb{S}$, $\phi, \phi' \in \Phi_\Sigma$, $\psi \in \Phi_\Delta$, and $\eta \in \Phi_{\Sigma,\Delta}$:

- 172 i. $\bigoplus_\Sigma \bigoplus_\Delta^2 \eta = \bigoplus_\Delta \bigoplus_\Sigma^1 \eta$
- 173 ii. $\alpha \otimes \bigoplus_\Sigma \phi = \bigoplus_\Sigma (\alpha \otimes \phi)$ and $(\bigoplus_\Sigma \phi) \otimes \alpha = \bigoplus_\Sigma (\phi \otimes \alpha)$, and similarly for \oplus
- 174 iii. $(\bigoplus_\Sigma \phi) \oplus (\bigoplus_\Sigma \phi') = \bigoplus_\Sigma (\phi \oplus \phi')$ and $(\bigoplus_\Sigma \phi) \otimes (\bigoplus_\Sigma \phi') = \bigoplus_\Sigma (\phi \otimes \phi')$
- 175 iv. $(\bigoplus_\Delta^2 \eta) \oplus (\bigoplus_\Delta^2 \eta') = \bigoplus_\Delta^2 (\eta \oplus \eta')$, and $(\bigoplus_\Delta^2 \eta) \otimes (\bigoplus_\Delta^2 \eta') = \bigoplus_\Delta^2 (\eta \otimes \eta')$
- 176 v. $\phi \otimes (\bigoplus_\Delta^2 \eta) = \bigoplus_\Delta (\phi \otimes_1 \eta)$, and $(\bigoplus_\Delta^2 \eta) \otimes \phi = \bigoplus_\Delta (\eta \otimes_1 \phi)$, and similarly for \oplus
- 177 vi. $\psi \otimes (\bigoplus_\Sigma^1 \eta) = \bigoplus_\Sigma (\psi \otimes_2 \eta)$, and $(\bigoplus_\Sigma^1 \eta) \otimes \psi = \bigoplus_\Sigma (\eta \otimes_2 \psi)$, and similarly for \oplus

179 A label theory is called *effective* when for all $\phi \in \Phi_\Sigma$ and $\eta \in \Phi_{\Sigma,\Delta}$, $\bigoplus_\Sigma \phi$, $\bigoplus_\Delta \bigoplus_\Sigma \eta$, and
 180 $\bigoplus_\Sigma \bigoplus_\Delta \eta$ can be effectively computed from ϕ and η .

3 SW Automata and Transducers

182 We follow the approach of [21] for the computation of distances, between words and languages,
 183 using weighted transducers, and extend it to infinite alphabets. The models introduced in
 184 this section generalize weighted automata and transducers [11] by labeling each transition
 185 with a weight function (instead of a simple weight value), that takes the input and output
 186 symbols as parameters. These functions are similar to the guards of symbolic automata [7, 8],
 187 but they can return values in a generic semiring, whereas the latter guards are restricted to
 188 the Boolean semiring.

189 Let \mathbb{S} be a commutative semiring, Σ and Δ be alphabets called respectively *input* and *output*,
 190 and $\bar{\Phi}$ be a label theory over \mathbb{S} containing Φ_Σ , Φ_Δ , $\Phi_{\Sigma,\Delta}$.

191 ► **Definition 6.** A symbolic-weighted transducer (*swT*) over Σ , Δ , \mathbb{S} and $\bar{\Phi}$ is a tuple
 192 $T = \langle Q, \text{in}, \bar{\text{w}}, \text{out} \rangle$, where Q is a finite set of states, $\text{in} : Q \rightarrow \mathbb{S}$ (respectively $\text{out} : Q \rightarrow \mathbb{S}$)
 193 are functions defining the weight for entering (respectively leaving) computation in a state,
 194 and $\bar{\text{w}}$ is a triplet of transition functions $\text{w}_{10} : Q \times Q \rightarrow \Phi_\Sigma$, $\text{w}_{01} : Q \times Q \rightarrow \Phi_\Delta$, and
 195 $\text{w}_{11} : Q \times Q \rightarrow \Phi_{\Sigma,\Delta}$.

partial application is
needed?

notion of diagram of
functions akin BDD
for transitions in
practice

mv appendix?

Je trouve qu'il y a
beaucoup de notions
à retenir (complete,
effective) et ça devien
difficile pour un
lecteur non spécial-
iste. Est-ce que tout
est nécessaire (je ne
sais plus qui m'avait
dit: un concept en
plus, un point en
moins.

∃ oracle returning ...
in worst time com-
plexity T .

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We call *number of transitions* of T the number of pairs of states $q, q' \in Q$ such that w_{10} or w_{01} or w_{11} is not the constant $\mathbb{0}$. For convenience, we shall sometimes present transitions as functions of $Q \times (\Sigma \cup \{\varepsilon\}) \times (\Delta \cup \{\varepsilon\}) \times Q \rightarrow \mathbb{S}$, overloading the function names, such that, for all $q, q' \in Q$, $a \in \Sigma$, $b \in \Delta$,

$$\begin{aligned} w_{10}(q, a, \varepsilon, q') &= \phi(a) & \text{where } \phi &= w_{10}(q, q') \in \Phi_\Sigma, \\ w_{01}(q, \varepsilon, b, q') &= \psi(b) & \text{where } \psi &= w_{01}(q, q') \in \Phi_\Delta, \\ w_{11}(q, a, b, q') &= \eta(a, b) & \text{where } \eta &= w_{11}(q, q') \in \Phi_{\Sigma, \Delta}. \end{aligned}$$

The **swT** T computes on pairs of words $\langle s, t \rangle \in \Sigma^* \times \Delta^*$, s and t , being respectively called *input* and *output* word. More precisely, T defines a mapping from $\Sigma^* \times \Delta^*$ into \mathbb{S} , based on an intermediate function weight_T defined recursively, for every states $q, q' \in Q$, and every pairs of strings $\langle s, t \rangle \in \Sigma^* \times \Delta^*$, where au , and bv , denote the concatenation of the symbol $a \in \Sigma$ (resp. $b \in \Delta$) with a word $u \in \Sigma^*$ (resp. $v \in \Delta^*$).

$$\begin{aligned} \text{weight}_T(q, \varepsilon, \varepsilon, q') &= \mathbb{1} \quad \text{if } q = q' \text{ and } \mathbb{0} \text{ otherwise} & (1) \\ \text{weight}_T(q, s, t, q') &= \bigoplus_{\substack{q'' \in Q \\ s=au, a \in \Sigma}} w_{10}(q, a, \varepsilon, q'') \otimes \text{weight}_T(q'', u, t, q') \\ &\quad \oplus \bigoplus_{\substack{q'' \in Q \\ t=bv, b \in \Delta}} w_{01}(q, \varepsilon, b, q'') \otimes \text{weight}_T(q'', s, v, q') \\ &\quad \oplus \bigoplus_{\substack{q'' \in Q \\ s=au, t=bv}} w_{11}(q, a, b, q'') \otimes \text{weight}_T(q'', u, v, q') \end{aligned}$$

We recall that, by convention (Section 2), an empty sum with \bigoplus is equal to $\mathbb{0}$. Intuitively, using a transition $w_{ij}(q, a, b, q')$ means for T : when reading respectively a and b at the current positions in the input and output words, increment the current position in the input word if and only if $i = 1$, and in the output word iff $j = 1$, and change state from q to q' . When $a = \varepsilon$ (resp. $b = \varepsilon$), the current symbol in the input (resp. output) is not read. Since $\mathbb{0}$ is absorbing for \otimes in \mathbb{S} , one term $w_{ij}(q, a, b, q')$ equal to $\mathbb{0}$ in the above expression will be ignored in the sum, meaning that there is no possible transition from state q into state q' while reading a and b . This is analogous to the case of a transition's guard not satisfied by $\langle a, b \rangle$ for symbolic transducers.

The expression (1) can be seen as a stateful definition of an edit-distance between a word $s \in \Sigma^*$ and a word $t \in \Delta^*$, see also [22]. Intuitively, $w_{10}(q, a, \varepsilon, r)$ is the cost of the deletion of the symbol $a \in \Sigma$ in s , $w_{01}(q, \varepsilon, b, r)$ is the cost of the insertion of $b \in \Delta$ in t , and $w_{11}(q, a, b, r)$ is the cost of the substitution of $a \in \Sigma$ by $b \in \Delta$. The cost of a sequence of such operations transforming s into t , is the product, with \otimes , of the individual costs of the operations involved; and the distance between s and t is the sum, with \oplus , of all possible products. Formally, the weight associated by T to $\langle s, t \rangle \in \Sigma^* \times \Delta^*$ is:

$$T(s, t) = \bigoplus_{q, q' \in Q} \text{in}(q) \otimes \text{weight}_T(q, s, t, q') \otimes \text{out}(q') \quad (2)$$

► **Example 7.** In Common Western Music Notation [14], several symbols may be used to represent one single sounding event. For instance, several notes can be combined with a

I missed sth: what is this ε ? Intuitively clear but not defined?

OK tout ça se lit bien :-)

Je crois qu'il faudrait numéroter les exemples indépendamment des définitions. Cet exemple est le premier qui donne des détails sur l'application visée. Il arrive peut-être un peu tard et est long. On pourrait introduire la motivation dans l'intro, et développer des petits exemples au fur et à mesure.

unique \rightarrow similar

similar \rightarrow single

tie, like in ♩ , and one note can be augmented by half its duration with a dot like in ♩. . These notations are perceived equivalent when played, as their duration is equal, yet the notation is different. We thus want to be able to compare a music score with music played by a performer. We propose a small weighted transducer model that calculates the distance between an input sequence of sounding events (music "performance") to an output sequence of written events (music "score"). Let us consider the tropical (*min-plus*) semiring \mathbb{S} of Figure 2 and let $\Sigma = \mathbb{R}_+$ be an input alphabet of event dates and $\Delta = \{\mathbf{e}, -\} \times \mathbb{R}_+$ be an output alphabet of symbols with timestamps. A symbol $\langle \mathbf{e}, d \rangle \in \Delta$ represents an event starting at date d , and $\langle -, d \rangle$ is a continuation of the previous event.

We consider a **swT** with two states q_0 and q_1 whose purpose is to compare a recorded performance $s \in \Sigma^*$ with a notated music sheet $t \in \Delta^*$. One timestamp $d_i \in \Sigma$ may correspond to one notated event $\langle \mathbf{e}, d'_i \rangle \in \Delta$, in which case the weight value computed by the **swT** is the time distance between both (see transitions w_{11} below). If $\langle \mathbf{e}, d'_i \rangle$ is followed by continuations $\langle -, d'_{i+1} \rangle \dots$, they are just skipped with no cost (transitions w_{01} or weight 1).

$$\begin{aligned} w_{11}(q_0, d, \langle \mathbf{e}, d' \rangle, q_0) &= |d' - d| & w_{11}(q_1, d, \langle \mathbf{e}, d' \rangle, q_0) &= |d' - d| \\ w_{01}(q_0, \varepsilon, \langle -, d' \rangle, q_0) &= 1 & w_{01}(q_1, \varepsilon, \langle -, d' \rangle, q_0) &= 1 \\ w_{10}(q_0, d, \varepsilon, q_1) &= \alpha \end{aligned}$$

We also must be able to take performing errors into account, while still being able to compare with the score, since a performer could, for example, play an unwritten extra note. This is modelled by the transition w_{10} with an arbitrary weight value $\alpha \in \mathbb{S}$, switching from state q_0 (normal) to q_1 (error). The transitions in the second column below switch back to the normal state q_0 . At last, we let q_0 be the only initial and final state, with $\text{in}(q_0) = \text{out}(q_0) = 1$, and $\text{in}(q_1) = \text{out}(q_1) = 0$.

That way, an **swT** is capable of evaluating the differences between a score and a performance, all the while ensuring that performance errors are plausible.

◇

The *Symbolic Weighted Automata* are defined similarly as the transducers of Definition 6, by simply omitting the output symbols.

► **Definition 8.** A symbolic-weighted automaton (*swA*) over Σ , \mathbb{S} and $\bar{\Phi}$ is a tuple $A = \langle Q, \text{in}, w_1, \text{out} \rangle$, where Q is a finite set of states, $\text{in} : Q \rightarrow \mathbb{S}$ (respectively $\text{out} : Q \rightarrow \mathbb{S}$) are functions defining the weight for entering (respectively leaving) computation in a state, and w_1 is a transition function from $Q \times Q$ into Φ_Σ .

As above in the case of **swT**, when $w_1(q, q') = \phi \in \Phi_\Sigma$, we may write $w_1(q, a, q')$ for $\phi(a)$. The computation of A on words $s \in \Sigma^*$ is defined with an intermediate function weight_A , defined as follows for $q, q' \in Q$, $a \in \Sigma$, $u \in \Sigma^*$,

$$\text{weight}_A(q, \varepsilon, q) = 1 \tag{3}$$

$$\text{weight}_A(q, \varepsilon, q') = 0 \quad \text{if } q \neq q'$$

$$\text{weight}_A(q, au, q') = \bigoplus_{q'' \in Q} w_1(q, a, q'') \otimes \text{weight}_A(q'', u, q')$$

and the weight value associated by A to $s \in \Sigma^*$ is defined as follows:

$$A(s) = \bigoplus_{q, q' \in Q} \text{in}(q) \otimes \text{weight}_A(q, s, q') \otimes \text{out}(q') \tag{4}$$

The following property will be useful to the approach on symbolic weighted parsing presented in Section 5.

273 ► **Proposition 9.** *Given a swT T over Σ , Δ , \mathbb{S} commutative, bounded and complete, and $\bar{\Phi}$*
 274 *effective, and a swA A over Σ , \mathbb{S} and $\bar{\Phi}$, there exists an effectively constructible swA $B_{A,T}$*
 275 *over Δ , \mathbb{S} and $\bar{\Phi}$, such that for all $t \in \Delta^*$, $B_{A,T}(t) = \bigoplus_{s \in \Sigma^*} A(s) \otimes T(s, t)$.*

276 **Proof.** Let $T = \langle Q, \text{in}_T, \bar{w}, \text{out}_T \rangle$, where \bar{w} contains w_{10} , w_{01} , and w_{11} , from $Q \times Q$ into
 277 respectively Φ_Σ , Φ_Δ , and $\Phi_{\Sigma, \Delta}$, and let $A = \langle P, \text{in}_A, w_1, \text{out}_A \rangle$ with $w_1 : Q \times Q \rightarrow \Phi_\Sigma$. The
 278 state set of $B_{A,T}$ will be $Q' = P \times Q$. The entering, leaving and transition functions of $B_{A,T}$
 279 will simulate synchronized computations of A and T , while reading an output word of Δ^* .
 280 Its state entering functions is defined for all $p \in P$, $q \in Q$ by $\text{in}'(p, q) = \text{in}_A(p) \otimes \text{in}_T(q)$. The
 281 transition function w'_1 will roughly perform a synchronized product of transitions defined by
 282 w_1 , w_{01} (T reading in output word and not an input word) and w_{11} (T reading both an input
 283 word and an output word). Moreover, w'_1 also needs to simulate transitions defined by w_{10} :
 284 T reading in input word and not an output word. Since $B_{A,T}$ will read only in the output
 285 word, such a transition corresponds to an ε -transition of swA, but swA have been defined
 286 without ε -transitions. Therefore, in order to take care of this case, we perform an on-the-fly
 287 suppression of ε -transition in the swA in construction, following the algorithm of [19].
 288 Initially, for all $p_1, p_2 \in P$, and $q_1, q_2 \in Q$, let

$$289 \quad w'_1(\langle p_1, q_1 \rangle, \langle p_2, q_2 \rangle) = w_1(p_1, p_2) \otimes [w_{01}(q_1, q_2) \oplus \bigoplus_{\Sigma} w_{11}(q_1, q_2)].$$

290 Iterate the following for all $p_1 \in P$ and $q_1, q_2 \in Q$: for all $p_2 \in P$ and $q_3 \in Q$,

$$291 \quad w'_1(\langle p_1, q_1 \rangle, \langle p_2, q_3 \rangle) \oplus = \bigoplus_{\Sigma} w_{10}(q_1, q_2) \otimes w'_1(\langle p_1, q_2 \rangle, \langle p_2, q_3 \rangle)$$

proof correctness

$$292 \quad \text{and } \text{out}'(p_1, q_1) \oplus = \bigoplus_{\Sigma} w_{10}(q_1, q_2) \otimes \text{out}'(p_1, q_2) \quad \blacktriangleleft$$

293 The construction time and size for $B_{A,T}$ are $O(\|T\|^3 \cdot \|A\|^2)$, where the sizes $\|T\|$ and $\|A\|$
 294 are their number of states.

revise with nb of tr. and states

295 ► **Corollary 10.** *Given a swT T over Σ , Δ , \mathbb{S} commutative, bounded and complete, and $\bar{\Phi}$*
 296 *effective, and $s \in \Sigma^+$, there exists an effectively constructible swA $B_{s,T}$ over Δ , \mathbb{S} and $\bar{\Phi}$,*
 297 *such that for all $t \in \Delta^*$, $B_{s,T}(t) = T(s, t)$.*

4 SW Visibly Pushdown Automata

299 The model presented in this section generalizes symbolic VPA (sVPA [6], generalizing them-
 300 selves VPA [1] to infinite alphabets) from Boolean semirings to arbitrary semiring weight
 301 domains. It will compute on nested words over infinite alphabets, associating to every such
 302 word a weight value. Nested words are able to describe structures of labeled trees, and in
 303 the context of parsing, they will be useful to represent AST.

see §5 and App.A

304 Let Ω be a countable alphabet that we assume partitioned into three subsets Ω_i , Ω_c , Ω_r ,
 305 whose elements are respectively called *internal*, *call* and *return* symbols [1]. Let $\langle \mathbb{S}, \oplus, \otimes, \mathbf{1} \rangle$
 306 be a commutative and complete semiring and let $\bar{\Phi} = \langle \Phi_i, \Phi_c, \Phi_r, \Phi_{ci}, \Phi_{cc}, \Phi_{cr} \rangle$ be a label
 307 theory over \mathbb{S} where Φ_i , Φ_c , Φ_r and Φ_{cx} (with $x \in \{i, c, r\}$) stand respectively for Φ_{Ω_i} , Φ_{Ω_c} ,
 308 Φ_{Ω_r} and $\Phi_{\Omega_c, \Omega_x}$.

Là je crois qu'il faudrait expliquer ces Omega, je commence à fatiguer et je suis un peu largué par toutes ces définitions. J'intuie qu'il s'agit des symboles, parenthèses ouvrantes et fermantes? Pourquoi il faut un alphabet pour les parenthèses?

309 ► **Definition 11.** *A Symbolic Weighted Visibly Pushdown Automata (sw-VPA) over $\Omega =$*
 310 *$\Omega_i \uplus \Omega_c \uplus \Omega_r$, \mathbb{S} and $\bar{\Phi}$ is a tuple $A = \langle Q, P, \text{in}, \bar{w}, \text{out} \rangle$, where Q is a finite set of states, P*

Est-ce que tout le monde sait ce qu'est un pushdown automata? Je suppose que c'est lié à la pile.

311 is a finite set of stack symbols, $\text{in} : Q \rightarrow \mathbb{S}$ (respectively $\text{out} : Q \rightarrow \mathbb{S}$) are functions defining
 312 the weight for entering (respectively leaving) a state, and $\bar{\mathbf{w}}$ is a sextuplet composed of the
 313 transition functions : $\mathbf{w}_i : Q \times P \times Q \rightarrow \Phi_{ci}$, $\mathbf{w}_i^e : Q \times Q \rightarrow \Phi_i$, $\mathbf{w}_c : Q \times P \times Q \times P \rightarrow \Phi_{cc}$,
 314 $\mathbf{w}_c^e : Q \times P \times Q \rightarrow \Phi_c$, $\mathbf{w}_r : Q \times P \times Q \rightarrow \Phi_{cr}$, $\mathbf{w}_r^e : Q \times Q \rightarrow \Phi_r$.

315 Similarly as in Section 3, we extend the above transition functions as follows for all $q, q' \in Q$,
 316 $p \in P$, $a \in \Omega_i$, $c \in \Omega_c$, $r \in \Omega_r$, overloading their names:

$$\begin{array}{lll}
 \mathbf{w}_i : Q \times [\Omega_c \times P] \times \Omega_i \times Q \rightarrow \mathbb{S} & \mathbf{w}_i(q, c, p, a, q') = \eta_{ci}(c, a) & \text{where } \eta_{ci} = \mathbf{w}_i(q, p, q'), \\
 \mathbf{w}_i^e : Q \times \Omega_i \times Q \rightarrow \mathbb{S} & \mathbf{w}_i^e(q, a, q') = \phi_i(a) & \text{where } \phi_i = \mathbf{w}_i^e(q, q'), \\
 \mathbf{w}_c : Q \times [\Omega_c \times P] \times [\Omega_c \times P] \times Q \rightarrow \mathbb{S} & \mathbf{w}_c(q, c, p, c', p', q') = \eta_{cc}(c, c') & \text{where } \eta_{cc} = \mathbf{w}_c(q, p, p', q'), \\
 \mathbf{w}_c^e : Q \times [\Omega_c \times P] \times Q \rightarrow \mathbb{S} & \mathbf{w}_c^e(q, c, p, q') = \phi_c(c) & \text{where } \phi_c = \mathbf{w}_c^e(q, p, q'), \\
 \mathbf{w}_r : Q \times [\Omega_c \times P] \times \Omega_r \times Q \rightarrow \mathbb{S} & \mathbf{w}_r(q, c, p, r, q') = \eta_{cr}(c, r) & \text{where } \eta_{cr} = \mathbf{w}_r(q, p, q'), \\
 \mathbf{w}_r^e : Q \times \Omega_r \times Q \rightarrow \mathbb{S} & \mathbf{w}_r^e(q, r, q') = \phi_r(r) & \text{where } \phi_r = \mathbf{w}_r^e(q, q').
 \end{array}$$

318 The intuition is the following for the above transitions. \mathbf{w}_i^e , \mathbf{w}_c^e , and \mathbf{w}_r^e describe the cases
 319 where the stack is empty. \mathbf{w}_i and \mathbf{w}_i^e both read an input internal symbol a and change state
 320 from q to q' , without changing the stack. Moreover, \mathbf{w}_i reads a pair made of $c \in \Omega_c$ and
 321 $p \in P$ on the top of the stack (c is compared to a by the weight function $\eta_{ci} \in \Phi_{ci}$). \mathbf{w}_c and
 322 \mathbf{w}_c^e read the input call symbol c' , push it to the stack along with p' , and change state from q
 323 to q' . Moreover, \mathbf{w}_c reads c and p at the top of the stack (c is compared to c'). \mathbf{w}_r and \mathbf{w}_r^e
 324 read the input return symbol r , and change state from q to q' . Moreover, \mathbf{w}_r reads and
 325 pop from stack a pair made of c and p , (c is compared to r).

326 Formally, the transitions of the automaton A are defined in term of an intermediate
 327 function weight_A , like in Section 3. A configuration, denoted by $q[\gamma]$, is here composed of a
 328 state $q \in Q$ and a stack content $\gamma \in \Gamma^*$, where $\Gamma = \Omega_c \times P$. Hence, weight_A is a function
 329 from $[Q \times \Gamma^*] \times \Omega^* \times [Q \times \Gamma^*]$ into \mathbb{S} . The empty stack is denoted by \perp , and the upmost
 330 symbol is the last pushed content. The following functions illustrate each of the possible
 331 cases, being : reading $a \in \Omega_i$, or $c \in \Omega_c$, or $r \in \Omega_r$ for each possible state of the stack (empty
 332 or not), to add to $u \in \Omega^*$.

$$\begin{aligned}
 333 \quad & \text{weight}_A(q[\perp], \varepsilon, q'[\perp]) = \mathbb{1} \text{ if } q = q' \text{ and } \mathbb{0} \text{ otherwise} \tag{5} \\
 334 \quad & \text{weight}_A\left(q \left[\begin{array}{c} \langle c, p \rangle \\ \gamma \end{array} \right], a, u, q'[\gamma']\right) = \bigoplus_{q'' \in Q} \mathbf{w}_i(q, c, p, a, q'') \otimes \text{weight}_A(q'' \left[\begin{array}{c} \langle c, p \rangle \\ \gamma \end{array} \right], u, q'[\gamma']) \\
 335 \quad & \text{weight}_A(q[\perp], a, u, q'[\gamma']) = \bigoplus_{q'' \in Q} \mathbf{w}_i^e(q, a, q'') \otimes \text{weight}_A(q''[\perp], u, q'[\gamma']) \\
 336 \quad & \text{weight}_A\left(q \left[\begin{array}{c} \langle c, p \rangle \\ \gamma \end{array} \right], c', u, q'[\gamma']\right) = \bigoplus_{\substack{q'' \in Q \\ p' \in P}} \mathbf{w}_c(q, c, p, c', p', q'') \otimes \text{weight}_A\left(q'' \left[\begin{array}{c} \langle c', p' \rangle \\ \langle c, p \rangle \\ \gamma \end{array} \right], u, q'[\gamma']\right) \\
 337 \quad & \text{weight}_A(q[\perp], c, u, q'[\gamma']) = \bigoplus_{\substack{q'' \in Q \\ p \in P}} \mathbf{w}_c^e(q, c, p, q'') \otimes \text{weight}_A(q''[\langle c, p \rangle], u, q'[\gamma']) \\
 338 \quad & \text{weight}_A\left(q \left[\begin{array}{c} \langle c, p \rangle \\ \gamma \end{array} \right], r, u, q'[\gamma']\right) = \bigoplus_{q'' \in Q} \mathbf{w}_r(q, c, p, r, q'') \otimes \text{weight}_A(q''[\gamma], u, q'[\gamma']) \\
 339 \quad & \text{weight}_A(q[\perp], r, u, q'[\gamma']) = \bigoplus_{q'' \in Q} \mathbf{w}_r^e(q, r, q'') \otimes \text{weight}_A(q''[\perp], u, q'[\gamma'])
 \end{aligned}$$

moved this to the beginning

intro to func

introduced the 6 cases

notation cp for $\langle c, p \rangle$?

XX:10 Symbolic Weighted Language Models and Parsing over Infinite Alphabets

340

c p to <c, p> 341

342 The weight associated by A to $s \in \Omega^*$ is defined according to empty stack semantics:

$$343 \quad A(s) = \bigoplus_{q, q' \in Q} \text{in}(q) \otimes \text{weight}_A(q[\perp], s, q'[\perp]) \otimes \text{out}(q'). \quad (6)$$

todo example VPA 344

345 **► Example 12.** structured words with timed symbols... intro language of music notation?
(markup = time division, leaves = events etc)

346 Every $\text{swA } A = \langle Q, \text{in}, w_1, \text{out} \rangle$, over Σ, \mathbb{S} and $\bar{\Phi}$ is a particular case of $\text{sw-VPA } \langle Q, \emptyset, \text{in}, \bar{w}, \text{out} \rangle$
347 over Ω, \mathbb{S} and $\bar{\Phi}$ with $\Omega_i = \Sigma$ and $\Omega_c = \Omega_r = \emptyset$, and computing with an always empty stack:
348 $w_i^e = w_1$ and all the other functions of \bar{w} are the constant \emptyset .

349 Similarly to VPA [1] and sVPA [6], the class of sw-VPA is closed under the binary operators
350 of the underlying semiring.

351 **► Proposition 13.** Let A_1 and A_2 be two sw-VPA over the same Ω, \mathbb{S} and $\bar{\Phi}$. There
352 exists two effectively constructible $\text{sw-VPA } A_1 \oplus A_2$ and $A_1 \otimes A_2$, such that for all $s \in \Omega^*$,
353 $(A_1 \oplus A_2)(s) = A_1(s) \oplus A_2(s)$ and $(A_1 \otimes A_2)(s) = A_1(s) \otimes A_2(s)$.

354 **Proof.** The construction is essentially the same as in the case of the Boolean semiring [6].

complete proof 355

356 We shall now present a procedure for searching, for a $\text{sw-VPA } A$, a word of minimal weight
357 for A , as stated in the following proposition.

358 **► Proposition 14.** For a $\text{sw-VPA } A$ over Ω, \mathbb{S} commutative, bounded, total and complete,
359 and $\bar{\Phi}$ effective, one can construct in PTIME a word $t \in \Omega^*$ such that $A(t)$ is minimal wrt
360 the natural ordering for \mathbb{S} .

total? 361

362 Let $A = \langle Q, P, \text{in}, \bar{w}, \text{out} \rangle$. We propose a Dijkstra algorithm computing, for every $q, q' \in Q$,
363 the minimum, wrt \leq_\oplus , of the function $\beta_{q, q'} : t \mapsto \text{weight}_A(q[\perp], t, q'[\perp])$. Let us denote by
364 $b_\perp(q, q')$ this minimum. By definition of \leq_\oplus it holds that:

$$365 \quad b_\perp(q, q') = \bigoplus_{t \in \Omega^*} \text{weight}_A(q[\perp], t, q'[\perp]). \quad (7)$$

366 Hence, following (6), and the associativity and commutativity and distributivity for \otimes and \oplus ,
367 the minimum of $A(t)$ is $\bigoplus_{t \in \Omega^*} \bigoplus_{q, q' \in Q} \text{in}(q) \otimes \beta_{q, q'}(t) \otimes \text{out}(q') = \bigoplus_{q, q' \in Q} \text{in}(q) \otimes b_\perp(q, q') \otimes \text{out}(q')$.

368 ****

369 over Ω, \mathbb{S} and $\bar{\Phi}$, the minimal weight for a word in Ω^* .

introduced 2 cases
for b 370

371 We distinguish two cases : when the stack is empty, and when it is not. In the case of an
empty stack, let $b_\perp : Q \times Q \rightarrow \mathbb{S}$ be such that :

$$372 \quad b_\perp(q, q') = \bigoplus_{s \in \Omega^*} \text{weight}_A(q[\perp], s, q'[\perp]). \quad (8)$$

373 Since \mathbb{S} is complete, the infinite sum in (8) is well defined, and, providing that \mathbb{S} is total,
374 it is the minimum in Ω^* , wrt \leq_\oplus , of the fonction $s \mapsto \text{weight}_A(q[\sigma], s, q'[\sigma])$. The term
375 $q[\perp], s, q'[\perp]$ of this sum is the central expression in the definition (??) of $A(s_0)$, for the
376 minimum s_0 of the function weight_A .

so ? 376

For all $q_0, q_3 \in Q$,

$$\begin{aligned}
d_{\top}(q_1, p, q_3) &\oplus= d_{\top}(q_1, p, q_2) \otimes \bigoplus_{\Omega_i} w_i(q_2, p, q_3) \\
d_{\perp}(q_1, p, q_3) &\oplus= d_{\perp}(q_1, q_2) \otimes \bigoplus_{\Omega_i} w_i^e(q_2, q_3) \\
d_{\top}(q_0, p, q_3) &\oplus= \bigoplus_{\Omega_c}^2 [(w_c(q_0, p, p', q_1) \otimes_2 d_{\top}(q_1, p', q_2)) \otimes_2 \bigoplus_{\Omega_r} w_r(q_2, p', q_3)] \\
d_{\perp}(q_0, q_3) &\oplus= \bigoplus_{\Omega_c} (w_c^e(q_0, p, q_1) \otimes d_{\top}(q_1, p, q_2) \otimes \bigoplus_{\Omega_r} w_r(q_2, p, q_3)) \\
d_{\perp}(q_1, q_3) &\oplus= d_{\perp}(q_1, q_2) \otimes \bigoplus_{\Omega_r} w_r^e(q_2, q_3) \\
d_{\top}(q_1, p, q_3) &\oplus= d_{\top}(q_1, p, q_2) \otimes d_{\top}(q_2, p, q_3), \text{ if } \langle q_2, \top, q_3 \rangle \notin P \\
d_{\perp}(q_1, q_3) &\oplus= d_{\perp}(q_1, q_2) \otimes d_{\perp}(q_2, q_3), \text{ if } \langle q_2, \perp, q_3 \rangle \notin P
\end{aligned}$$

■ **Figure 3** Update d_{\perp} with $\langle q_1, q_2 \rangle$ or d_{\top} with $\langle q_1, p, q_2 \rangle$.

If the stack is not empty, let \top be a fresh stack symbol which does not belong to Γ , and let $b_{\top} : Q \times P \times Q \rightarrow \Phi_c$ be such that, for every two states $q, q' \in Q$ and stack symbol $p \in P$:

$$b_{\top}(q, p, q') : c \mapsto \bigoplus_{s \in \Omega^*} \text{weight}_A(q \left[\begin{smallmatrix} \langle c, p \rangle \\ \top \end{smallmatrix} \right], s, q' \left[\begin{smallmatrix} \langle c, p \rangle \\ \top \end{smallmatrix} \right]) \quad (9)$$

Intuitively, the function defined in (9) associates to $c \in \Omega_c$ the minimum weight of a computation of A starting in state q with a stack $\langle c, p \rangle \cdot \gamma \in \Gamma^+$ and ending in state q' with the same stack, such that the computation can not pop the pair made of c and p at the top of this stack, but may only read these symbols. Moreover, A may push another pair $\langle c', p' \rangle$ on the top of $\langle c, p \rangle \cdot \gamma$, following the third case of in the definition (5) of weight_A , and may pop $\langle c', p' \rangle$ later, following the fifth case of (5) (return symbol).

■ **Algorithm 1** Best search for sw-VPA

initially let $\mathcal{Q} = (Q \times Q) \cup (Q \times P \times Q)$, and let $d_{\perp}(q_1, q_2) = d_{\top}(q_1, p, q_2) = \mathbb{1}$ if $q_1 = q_2$ and $d_{\perp}(q_1, q_2) = d_{\top}(q_1, p, q_2) = 0$ otherwise

while $\mathcal{Q} \neq \emptyset$ **do**

- extract** $\langle q_1, q_2 \rangle$ or $\langle q_1, p, q_2 \rangle$ from \mathcal{Q} such that $d_{\perp}(q_1, q_2)$, resp. $\bigoplus_{c \in \Omega_c} d_{\top}(q_1, p, q_2)(c)$, is minimal in \mathbb{S} wrt \leq_{\oplus}
- update** d_{\perp} with $\langle q_1, q_2 \rangle$ or d_{\top} with $\langle q_1, p, q_2 \rangle$ (Figure 3).

Algorithm 1 constructs iteratively markings $d_{\perp} : Q \times Q \rightarrow \mathbb{S}$ and $d_{\top} : Q \times P \times Q \rightarrow \Phi_c$ that converges eventually to b_{\top} and b_{\perp} .

The infinite sums in the updates of d in Algorithm 1, Figure 3 are well defined since \mathbb{S} is complete. ** effectively computable by hypothesis that the label theory is effective**

The algorithm performs $2 \cdot |Q|^2$ iterations until P is empty, and each iteration has a time complexity $O(|Q|^2 \cdot |P|)$. That gives a time complexity $O(|Q|^4 \cdot |P|)$. It can be reduced by implementing P as a priority queue, prioritized by the value returned by d .

The correctness of Algorithm 1 is ensured by the invariant expressed in the following lemma.

► **Lemma 15.** For all $\langle q_1, q_2 \rangle \notin \mathcal{Q}$, $d_{\perp}(q_1, q_2) = b_{\perp}(q_1, q_2)/$

explication Fig. 3
suivant cas de (5)

complete **

detail with nb tr.
and states

The proof is by contradiction, assuming a counter-example minimal in the length of the witness word.

► **Lemma 16.** *For all $\langle q_1, p, q_2 \rangle \notin \mathcal{Q}$, $d_{\top}(q_1, p, q_2) = b_{\top}(q_1, p, q_2)$,*

For computing the minimal weight of a computation of A , we use the fact that, at the termination of Algorithm 1, $\bigoplus_{s \in \Omega^*} A(s) = \bigoplus_{q, q' \in \mathcal{Q}} \text{in}(q) \otimes d_{\perp}(q, q') \otimes \text{out}(q')$.

In order to obtain effectively a witness (word of Ω^* with a computation of A of minimal weight), we require the additional property of convexity of weight functions.

5 Symbolic Weighted Parsing

Let us now apply the models and results of the previous sections to the problem of parsing over an infinite alphabet. Let Σ and $\Omega = \Omega_i \uplus \Omega_c \uplus \Omega_r$ be countable input and output alphabets, let $\langle \mathbb{S}, \oplus, \mathbb{0}, \otimes, \mathbb{1} \rangle$ be a commutative, bounded, and complete semiring and let $\bar{\Phi}$ be an effective label theory over \mathbb{S} , containing Φ_{Σ} , Φ_{Σ, Ω_i} , as well as Φ_i , Φ_c , Φ_r , Φ_{cr} (following the notations of Section 4). We assume given the following input:

- a **swT** T over Σ , Ω_i , \mathbb{S} , and $\bar{\Phi}$, defining a measure $T : \Sigma^* \times \Omega_i^* \rightarrow \mathbb{S}$,
- a **sw-VPA** A over Ω , \mathbb{S} , and $\bar{\Phi}$, defining a measure $A : \Omega^* \rightarrow \mathbb{S}$,
- an input word $s \in \Sigma^*$.

For all $u \in \Sigma^*$ and $t \in \Omega^*$, let $d(u, t) = T(u, t|_{\Omega_i})$, where $t|_{\Omega_i} \in \Omega_i^*$ is the projection of t onto Ω_i , obtained from t by removing all symbols in $\Omega \setminus \Omega_i$. *Symbolic weighted parsing* is the problem, given the above input, to find $t \in \Omega^*$ minimizing $d(s, t) \otimes A(t)$ wrt \leq_{\oplus} , i.e. s.t.

$$d(s, t) \otimes A(t) = \bigoplus_{t' \in \mathcal{T}(\Omega)} d(s, t') \otimes A(t') \quad (10)$$

Following the terminology of [21], **sw-parsing** is the problem of computing the distance (10) between the input s and the output weighted language of A , and returning a witness t .

► **Proposition 17.** *The problem of Symbolic Weighted parsing can be solved in PTIME in the size of the input **swT** T , **sw-VPA** A and input word s , and the computation time of the functions and operators of the label theory.*

Proof. (sketch) We follow a *Bar-Hillel* construction, for parsing by intersection. Let us first extend the **swT** T over Σ , Ω_i into a **swT** T' over Σ and Ω (and the same semiring and label theory \mathbb{S} and $\bar{\Phi}$), such that for all $u \in \Sigma^*$, and $t \in \Omega^*$, $T'(u, u) = T(u, t|_{\Omega_i})$. The transducer T' simply skips every symbol $b \in \Omega \setminus \Omega_i$, by the addition to T , of new transitions of the form $w_{01}(q, \varepsilon, b, q')$. Then, using Corolary 10, we construct from the input word $s \in \Sigma^*$ and T' a **swA** $B_{s, T'}$, such that for all $t \in \Omega^*$, $B_{s, T'}(t) = d(s, t)$. Next, we compute the **sw-VPA** $B_{s, T'} \otimes A$, using Proposition 13. It remains to compute a best nested-word $t \in \Omega^*$ using the best-search procedure of Proposition 14. ◀

The **sw-parsing** generalizes the problem of searching the best derivation (AST) of a weighted CF-grammar G that yields a given input word w . The latter problem, sometimes called *weighted parsing*, (see e.g. [13] and [23] for general weighted parsing frameworks) corresponds to **sw-parsing** in the case of finite alphabets, a transducer T computing the identity and some **sw-VPA** A obtained from G . Indeed, the *depth-first* traversal of an AST τ yields a well-parenthesised word $\text{lin}(\tau)$ over an alphabet $\Omega = \Omega_i \uplus \Omega_c \uplus \Omega_r$, assuming e.g. that Ω_i contains the symbols labelling the leaves of τ (symbols of rank 0), and Ω_c and Ω_r contain respectively one left and right parenthesis \langle_b and \rangle_b for each symbol b labelling inner nodes of τ (symbols

total?

Ah oui, ça aurait pu être dit avant.

of rank > 0). We show in Appendix A how to construct a $\text{sw-VPA } A$ such that $A(\text{lin}(\tau))$ is the weight the AST τ of G .

Conclusion

We have introduced weighted language models (SW transducers and visibly pushdown automata) computing over infinite alphabets, and applied them to the problem of parsing with infinitely many possible input symbols (typically timed events). This approach extends conventional parsing and weighted parsing by computing a derivation tree modulo a generic distance between words, defined by a SW transducer given in input. This enables to consider finer word relationships than strict equality, opening possibilities of quantitative analysis via this method.

Ongoing and future work include

- The study of other theoretical properties of SW models, such as the extension of the best search algorithm from 1-best to n -best [17], and to k -closed semirings [20] (instead of *bounded*, which corresponds to 0-closed).
- ...there is room to improve the complexity bounds for the algorithms ... modular approach with oracles ...
- present here an offline algorithm for best search, semi-online implementation for AMT (bar-by-bar approach) with an on-the-fly automata construction.

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2 lines Application to Automated Music Transcription: implementation \neq but same principle, on-the-fly automata construction during best search, for efficiency.

TODO future work

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A

 Nested-Words and Parse-Trees

The hierarchical structure of nested-words, defined with the *call* and *return* markup symbols suggest a correspondence with trees. The lifting of this correspondence to languages, of tree automata and VPA, has been discussed in [1], and [4] for the weighted case. In this section, we describe a correspondence between the symbolic-weighted extensions of tree automata and VPA.

Let Ω be a countable ranked alphabet, such that every symbol $a \in \Omega$ has a rank $\text{rk}(a) \in [0..M]$ where M is a fixed natural number. We denote by Ω_k the subset of all symbols a of Ω with $\text{rk}(a) = k$, where $0 \leq k \leq M$, and $\Omega_{>0} = \Omega \setminus \Omega_0$. The free Ω -algebra of finite, ordered, Ω -labeled trees is denoted by $\mathcal{T}(\Omega)$. It is the smallest set such that $\Omega_0 \subset \mathcal{T}(\Omega)$ and for all $1 \leq k \leq M$, all $a \in \Omega_k$, and all $t_1, \dots, t_k \in \mathcal{T}(\Omega)$, $a(t_1, \dots, t_k) \in \mathcal{T}(\Omega)$. Let us assume a commutative semiring \mathbb{S} and a label theory $\bar{\Phi}$ over \mathbb{S} containing one set Φ_{Ω_k} for each $k \in [0..M]$.

Definition 18. A symbolic-weighted tree automaton (*swTA*) over Ω , \mathbb{S} , and $\bar{\Phi}$ is a triplet $A = \langle Q, \text{in}, \bar{w} \rangle$ where Q is a finite set of states, $\text{in} : Q \rightarrow \Phi_{\Omega}$ is the starting weight function, and \bar{w} is a tuple of transition functions containing, for each $k \in [0..M]$, the functions $w_k : Q \times Q^k \rightarrow \Phi_{\Omega_{>0}, \Omega_k}$ and $w_k^e : Q \times Q^k \rightarrow \Phi_{\Omega_k}$.

We define a transition function $w : Q \times (\Omega_{>0} \cup \{\varepsilon\}) \times \Omega \times \bigcup_{k=0}^M Q^k \rightarrow \mathbb{S}$ by:

$$\begin{aligned} w(q_0, a, b, q_1 \dots q_k) &= \eta(a, b) & \text{where } \eta &= w_k(q_0, q_1 \dots q_k) \\ w(q_0, \varepsilon, b, q_1 \dots q_k) &= \phi(b) & \text{where } \phi &= w_k^e(q_0, q_1 \dots q_k). \end{aligned}$$

where $q_1 \dots q_k$ is ε if $k = 0$. The first case deals with a strict subtree, with a parent node labeled by a , and the second case is for a root tree.

Every *swTA* defines a mapping from trees of $\mathcal{T}(\Omega)$ into \mathbb{S} , based on the following intermediate function $\text{weight}_A : Q \times (\Omega \cup \{\varepsilon\}) \times \mathcal{T}(\Omega) \rightarrow \mathbb{S}$

$$\text{weight}_A(q_0, a, t) = \bigoplus_{q_1 \dots q_k \in Q^k} w(q_0, a, b, q_1 \dots q_k) \otimes \bigotimes_{i=1}^k \text{weight}_A(q_i, b, t_i) \quad (11)$$

where $q_0 \in Q$, $a \in \Omega_{>0} \cup \{\varepsilon\}$ and $t = b(t_1, \dots, t_k) \in \mathcal{T}(\Omega)$, $0 \leq k \leq M$.

Finally, the weight associated by A to $t \in \mathcal{T}(\Omega)$ is

$$A(t) = \bigoplus_{q \in Q} \text{in}(q) \otimes \text{weight}_A(q, \varepsilon, t) \quad (12)$$

Intuitively, $w(q_0, a, b, q_1 \dots q_k)$ can be seen as the weight of a production rule $q_0 \rightarrow b(q_1, \dots, q_k)$ of a regular tree grammar [5], that replaces the non-terminal symbol q_0 by $b(q_1, \dots, q_k)$, provided that the parent of q_0 is labeled by a (or q_0 is the root node if $a = \varepsilon$). The above production rule can also be seen as a rule of a weighted CF grammar, of the form $[a, b] q_0 := q_1 \dots q_k$ if $k > 0$, and $[a] q_0 := b$ if $k = 0$. In the first case, b is a label of the rule, and in the second case, it is a terminal symbol. And in both cases, a is a constraint on the label of rule applied on the parent node in the derivation tree. This features of observing the parent's label are useful in the case of infinite alphabet, where it is not possible to memorize a label with the states. The weight of a labeled derivation tree t of the weighted CF grammar associated to A as above, is $\text{weight}_A(q, t)$, when q is the start non-terminal. We shall now establish a correspondence between such derivation tree t and some word describing a linearization of t , in a way that $\text{weight}_A(q, t)$ can be computed by a *sw-VPA*.

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553 Let $\hat{\Omega}$ be the countable (unranked) alphabet obtained from Ω by: $\hat{\Omega} = \Omega_i \uplus \Omega_c \uplus \Omega_r$, with
 554 $\Omega_i = \Omega_0$, $\Omega_c = \{ \langle a \mid a \in \Omega_{>0} \rangle \}$, $\Omega_r = \{ a \mid a \in \Omega_{>0} \}$.

555 We associate to $\hat{\Omega}$ a label theory $\hat{\Phi}$ like in Section 4, and we define a linearization of trees of
 556 $\mathcal{T}(\Omega)$ into words of $\hat{\Omega}^*$ as follows:

557 $\text{lin}(a) = a$ for all $a \in \Omega_0$,
 558 $\text{lin}(b(t_1, \dots, t_k)) = \langle b \text{ lin}(t_1) \dots \text{lin}(t_k) b \rangle$ when $b \in \Omega_k$ for $1 \leq k \leq M$.

559 ► **Proposition 19.** *For all swTA A over Ω , \mathbb{S} commutative, and $\bar{\Phi}$, there exists an effectively*
 560 *constructible sw-VPA A' over $\hat{\Omega}$, \mathbb{S} and $\hat{\Phi}$ such that for all $t \in \mathcal{T}(\Omega)$, $A'(\text{lin}(t)) = A(t)$.*

561 **Proof.** Let $A = \langle Q, \text{in}, \bar{w} \rangle$ where \bar{w} is presented as above by a function We build $A' =$
 562 $\langle Q', P', \text{in}', \bar{w}', \text{out}' \rangle$, where $Q' = \bigcup_{k=0}^M Q^k$ is the set of sequences of state symbols of A , of
 563 length at most M , including the empty sequence denoted by ε , and where $P' = Q'$ and \bar{w} is
 564 defined by:

$$\begin{array}{lll}
 w_i(q_0 \bar{u}, \langle c, \bar{p}, a, \bar{u} \rangle) & = & w(q_0, c, a, \varepsilon) \quad \text{for all } c \in \Omega_{>0}, a \in \Omega_0 \\
 w_i^e(q_0 \bar{u}, a, \bar{u}) & = & w(q_0, \varepsilon, a, \varepsilon) \quad \text{for all } a \in \Omega_0 \\
 w_c(q_0 \bar{u}, \langle c, \bar{p}, \langle d, \bar{u}, \bar{q} \rangle \rangle) & = & w(q_0, c, d, \bar{q}) \quad \text{for all } c, d \in \Omega_{>0} \\
 565 \quad w_c^e(q_0 \bar{u}, \langle c, \bar{u}, \bar{q} \rangle) & = & w(q_0, \varepsilon, c, \bar{q}) \quad \text{for all } c \in \Omega_{>0} \\
 w_r(\varepsilon, \langle c, \bar{p}, c \rangle, \bar{p}) & = & \mathbb{1} \quad \text{for all } c \in \Omega_{>0} \\
 w_r^e(\bar{u}, c, \bar{q}) & = & \mathbb{0} \quad \text{for all } c \in \Omega_{>0}
 \end{array}$$

566 All cases not matched by one of the above equations have a weight $\mathbb{0}$, for instance $w_r(\bar{u}, \langle c, \bar{p}, d \rangle, \bar{q}) =$
 567 $\mathbb{0}$ if $c \neq d$ or $\bar{u} \neq \varepsilon$ or $\bar{q} \neq \bar{p}$. ◀

568 **Todo list**

569		I think that we can make the case for a larger set of situations: given a linear music	
570		notation input, for instance elements in an XML file, we want to structure the	
571		input according to a hierarchical rhythmic space. I can elaborate...	1
572		register: skip refs and details, add Mikolaj recent	1
573		This sentence (symbols as variables) is not immediately clear to me. Maybe a short	
574		example or intuition?	2
575		modified	2
576		The weight is a label on edges in the derivation tree, right? Not sure I understand	
577		the sentence	2
578		You mean the distance between s and t ? "The latter value" is a bit ambiguous	2
579		chap. intersection in [15]	3
580		The notation $A_{T,s}$ has not been introduced so far. It is not clear why T is a	
581		parameter there	3
582		OK, à quelques détails (pour moi), tout ça est très bien expliqué	3
583		expressiveness: VPA have restricted equality test. comparable to pebble automata?	
584		→ conclusion	3
585		The results are established for a general class of semirings. They can be instantiated	
586		for concrete cases	3
587		There is sometimes a confusion in the text between the structure and the domain \mathbb{S} .	
588		Not essential	3
589		is total necessary?	3
590		Here the difference between \mathbb{S} as a structure and as a domain is blurred.	4
591		$j \in \mathbb{N}$: j is an element of \mathbb{N} , not the same as $j \subset \mathbb{N}$	4
592		results of this paper: for semirings commutative, bounded, total and complete	4
593		OK, donc c'est là que les fonctions d'étiquettes prennent en argument l'input de la	
594		règle. Je ne sais pas dans quelle mesure il faut donner un peu d'explications pour	
595		faciliter la compréhension du formalisme.	4
596		partial application is needed?	5
597		notion of diagram of functions akin BDD for transitions in practice	5
598		mv appendix?	5
599		Je trouve qu'il y a beaucoup de notions à retenir (complete, effective) et ça devient	
600		difficile pour un lecteur non spécialiste. Est-ce que tout est nécessaire (je ne sais	
601		plus qui m'avait dit: un concept en plus, un point en moins.	5
602		\exists oracle returning ... in worst time complexity T .	5
603		I missed sth: what is this ε ? Intuitively clear but not defined?	6
604		added u and v def	6
605		OK tout ça se lit bien :-)	6
606		Je crois qu'il faudrait numéroter les exemples indépendamment des définitions. Cet	
607		exemple est le premier qui donne des détails sur l'application visée. Il arrive	
608		peut-être un peu tard et est long. On pourrait introduire la motivation dans	
609		l'intro, et développer des petits exemples au fur et à mesure.	6
610		unique → similar	6
611		similar → single	6
612		modif.	7
613		changed end	7
614		reformulated this sentence	7
615		ccl to the ex	7

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616	proof correctness	8
617	revise with nb of tr. and states	8
618	see §5 and App.A	8
619	Là je crois qu'il faudrait expliquer ces Omega, je commence à fatiguer et je suis un peu	
620	largué par toutes ces définitions. J'intuite qu'il s'agit des symboles, parenthèses	
621	ouvrantes et fermantes? Pourquoi il faut un alphabet pour les parenthèses? . . .	8
622	Est-ce que tout le monde sait ce qu'est un pushdown automata? Je suppose que	
623	c'est lié à la pile.	8
624	moved this to the beginning	9
625	intro to func	9
626	introduced the 6 cases	9
627	notation cp for $\langle c, p \rangle$?	9
628	c p to $\langle c, p \rangle$	10
629	todo example VPA	10
630	complete proof	10
631	total?	10
632	introduced 2 cases for b	10
633	so ?	10
634	b_{\top} : mot bien parenthésé c/r	11
635	explication Fig. 3 suivant cas de (5)	11
636	complete **	11
637	detail with nb tr. and states	11
638	total?	12
639	Ah oui, ça aurait pu être dit avant.	12
640	2 lines Application to Automated Music Transcription: implementation \neq but same	
641	principle, on-the-fly automata construction during best search, for efficiency. . . .	13
642	TODO future work	13