

# Symbolic Weighted Language Models and Quantitative Parsing over Infinite Alphabets

Florent Jacquemard @ [H ORCID](#)

Inria & CNAM, Paris, France

---

## Abstract

We propose a framework for weighted parsing over infinite alphabets. It is based on language models called Symbolic Weighted Automata (**swA**) at the joint between Symbolic Automata (**sA**) and Weighted Automata (**wA**), as well as Transducers (**swT**) and Visibly Pushdown (**sw-VPA**) variants. Like **sA**, **swA** deal with large or infinite input alphabets, and like **wA**, they output a weight value in a semiring domain. The transitions of **swA** are labeled by functions from an infinite alphabet into the weight domain. This is unlike **sA** whose transitions are guarded by boolean predicates over symbols in an infinite alphabet and also unlike **wA** whose transitions are labeled by constant weight values, and who deal only with finite automata. We present some properties of **swA**, **swT** and **sw-VPA** models, that we use to define and solve a variant of parsing over infinite alphabets. We illustrate the models with examples taken from a motivating application, namely a parse-based approach to automated music transcription.

**2012 ACM Subject Classification** Theory of computation → Quantitative automata

**Keywords and phrases** Weighted Automata, Symbolic Automata, Visibly Pushdown, Parsing

**Digital Object Identifier** 10.4230/LIPIcs...

**Funding** Florent Jacquemard: Inria AEx Codex, ANR Collabscore, EU H2020 Polifonia

**Acknowledgements** I want to thank ...

## 1 Introduction

Parsing is the problem of structuring a linear representation on input (a finite word), according to a language model. Most of the context-free parsing approaches [15] assume a finite and reasonably small input alphabet. Such a restriction makes perfect sense in the context of NLP tasks such as constituency parsing, or of programming languages compilers or interpreters. Considering large or infinite alphabets can however be of practical interest, for instance, when dealing with large characters encodings such as UTF-16, *e.g.* for vulnerability detection in Web-applications [8], for the analysis (*e.g.* validation or filtering) of data streams or serialization of structured documents (with textual or numerical attributes) [26], or for processing timed execution traces [3].

The latter case is related to a study that motivated the present work: automated music transcription. Most representations of music are essentially linear. This is true for audio files, but also for widely used symbolic representations like MIDI. Such representations ignore the hierarchical structures that frame the conception of music, at least in the western area. These structures, on the other hand, are present, either explicitly or implicitly, in music notation [14]: music scores are partitioned in measures, measures in beats, and beats can be further recursively divided. It follows that music events do not occur at arbitrary timestamps, but respect a discrete division of the timeline incurred by these recursive divisions. The *transcription problem* takes as input a linear representation (audio or MIDI) and aims at re-constructing these structures by mapping input events to this hierarchical rhythmic space. It can therefore be stated as a parsing problem [12], over an infinite alphabet of timed events.

Various extensions of language models for handling infinite alphabets have been studied.



■ **Figure 1** Classes of Symbolic/Weighted Automata.  $\Sigma_{\text{fin}}$  is a finite alphabet,  $\Sigma_{\text{inf}}$  is a countable alphabet,  $\mathbb{B}$  is the Boolean algebra,  $\mathbb{S}$  is a commutative semiring,  $q \xrightarrow{a} q'$  is a transition between states  $q$  and  $q'$ .

For instance, some automata with memory extensions allow restricted storage and comparison of input symbols, (see [26] for a survey), with pebbles for marking positions [25], registers [18], or the possibility to compute on subsequences with the same attribute values [2]. The automata at the core of model checkers compute on input symbols represented by large bitvectors [27] (sets of assignments of Boolean variables) and in practice, each transition accepts a set of such symbols (instead of an individual symbol), represented by Boolean formula or Binary Decision Diagrams. Following a similar idea, in symbolic automata (sA) [7, 8], the transitions are guarded by predicates over infinite alphabet domains. With appropriate closure conditions on the sets of such predicates, all the good properties enjoyed by automata over finite alphabets are preserved.

Other extensions of language models help in dealing with non-determinism, by the computation of weight values. With an ambiguous grammar, there may exist several derivations (*abstract syntax trees* – AST) yielding one input word. The association of one weight value to each AST permits to select a best one (or  $n$  bests). This is roughly the principle of *weighted parsing* approaches [13, 24, 23]. In *weighted language models*, like *e.g.* probabilistic context-free grammars and weighted automata (wA) [11], a weight value is associated to each transition rule, and the rule's weights can be combined with an associative product operator  $\otimes$  into the weight of an AST. A second operator  $\oplus$ , associative and commutative, is moreover used to resolve the ambiguity raised by the existence of several (in general exponentially many) AST associated to a given input word. Typically,  $\oplus$  will select the best of two weight values. The weight domain, equipped with these two operators shall be, at minima, a *semiring* where  $\oplus$  can be extended to infinite sums, such as the Viterbi semiring and the tropical min-plus algebra, see Figure 2.

In this paper, we present a uniform framework for weighted parsing over infinite input alphabets. It is based on *symbolic weighted* finite states language models (swM), generalizing the Boolean guards of sA into functions into an arbitrary semiring, and generalizing also wA, by handling infinite alphabets, see Figure 1.

In short, a transition rule  $q \xrightarrow{\phi} q'$  from state  $q$  to  $q'$  of a swM, is labeled by a function  $\phi$  associating to every input symbol  $a$  a weight value  $\phi(a)$  in a semiring domain. The models presented here are finite automata called symbolic-weighted (swA), transducers (swT), and pushdown automata with a visibly restriction [1] (sw-VPA). The latter model of automata operates on *nested words* [1], a structured form of words parenthesized with markup symbols,

register: skip refs  
and details, add  
Mikolaj recent

La figure 2 est  
citée avant la fig-  
ure 1 mais apparait  
longtemps après. A  
corriger.

Tu fais une  
différence entre  
model et automata?

This sentence (sym-  
bols as variables)  
is not immediately  
clear to me. Maybe  
a short example or  
intuition?

modified

Tu veux dire: les  
modèles formels que  
tu combines?

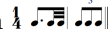
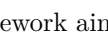
corresponding to a linearization of trees. In the context of parsing, they can represent (weighted) AST of CF grammars. More precisely, a **sw-VPA**  $A$  associates a weight value  $A(t)$  to a given nested word  $t$ , which is the linearization of an AST. On the other hand, a **swT** can define a distance  $T(s, t)$  between finite words  $s$  and  $t$  over infinite alphabets. Then, the *SW-parsing* problem aims at finding  $t$  minimizing  $T(s, t) \otimes A(t)$  (wrt the ranking defined by  $\oplus$ ), given an input word  $s$ . The latter value is called the distance between  $s$  and  $A$  in [21].

Like weighted-parsing methods [13, 24, 23], our approach proceeds in two steps, based on properties of the **swM**. The first step is an intersection (Bar-Hillel construction [15]) where, given a **swT**  $T$ , a **sw-VPA**  $A$ , and an input word  $s$ , a **sw-VPA**  $A_{T,s}$  is built, such that for all  $t$ ,  $A_{T,s}(t) = T(s, t) \otimes A(t)$ . In the second step, a best AST  $t$  is found by applying to  $A_{T,s}$  a best search algorithm similar to the shortest distance in graphs [20, 17].

The main contributions of the paper are: (i) the introduction of automata, **swA**, transducers, **swT** (Section 3), and visibly pushdown automata **sw-VPA** (Section 4), generalizing the corresponding classes of symbolic and weighted models, (ii) a polynomial best-search algorithm for **sw-VPA**, and (iii) a uniform framework (Section 5) for parsing over infinite alphabets, the keys to which are (iii.a) the **swT**-based definition of generic edit distances between input and output (yield) words, and (iii.b) the use, convenient in this context, of nested words, and **sw-VPA**, instead of syntax trees and grammars.

► **Example 1** (Running example). Throughout the paper we illustrate our framework with music transcription examples: Given a *timeline* of musical events with arbitrary timestamps as input, parse it into a structured music score. In our example, input events are pairs  $\langle \eta, \tau \rangle$  made of a symbol  $\eta \in \Sigma$ , where  $\Sigma$  stands for the set of MIDI message symbols [?] and  $\tau \in \mathbb{Q}$  is a timestamp. The output of parsing is a representation of the sequence in Common Western Music Notation (CWMN) [14] where event symbols belong to the domain  $\Delta$  of *pitch*s (e.g., A4, G5, etc.), temporal information is encoded as *durations* (whole ♩, quarter, ♪, eighth ♫, etc), and notes are grouped in high-level structures (beams, measures, tuplets). The following inputs will be used:

1.  $I_1 = [\langle e_1, 0.07 \rangle, \langle e_2, 0.72 \rangle, \langle e_3, 0.91 \rangle]$ , over interval  $[0, 1[$
2.  $I_2 = [\langle e_3, 1.05 \rangle, \langle e_4, 1.36 \rangle, \langle e_5, 1.71 \rangle]$ , over interval  $[1, 2[$

There exists many possible parsings of  $I_1 \cup I_2$  in music notation, among which  and . Weighted parsing associates a cost to each solution, and our framework aims at selecting the best one with respect to this cost. ◊

chap. intersection  
in [15]

The notation  $A_{T,s}$   
has not been intro-  
duced so far. It is  
not clear why  $T$  is a  
parameter there

expressiveness: VPA  
have restricted  
equality test. com-  
parable to pebble  
automata? → con-  
clusion

## 2 Preliminary Notions

### Semirings

We shall consider semirings for the weight values of our language models. A *semiring*  $\langle \mathbb{S}, \oplus, \otimes, \mathbb{0}, \mathbb{1} \rangle$  is a structure with a domain  $\mathbb{S}$ , equipped with two associative binary operators  $\oplus$  and  $\otimes$ , with respective neutral elements  $\mathbb{0}$  and  $\mathbb{1}$ , and such that:

- $\oplus$  is commutative:  $\langle \mathbb{S}, \oplus, \mathbb{0} \rangle$  is a commutative monoid and  $\langle \mathbb{S}, \otimes, \mathbb{1} \rangle$  a monoid,
- $\otimes$  distributes over  $\oplus$ :  $\forall x, y, z \in \mathbb{S}$ ,  $x \otimes (y \oplus z) = (x \otimes y) \oplus (x \otimes z)$ , and  $(x \oplus y) \otimes z = (x \otimes z) \oplus (y \otimes z)$ ,
- $\mathbb{0}$  is absorbing for  $\otimes$ :  $\forall x \in \mathbb{S}$ ,  $\mathbb{0} \otimes x = x \otimes \mathbb{0} = \mathbb{0}$ .

Intuitively, in the models presented in this paper,  $\oplus$  selects an optimal value from two given values, in order to handle non-determinism, and  $\otimes$  combines two values into a single value.

A semiring  $\mathbb{S}$  is *commutative* if  $\otimes$  is commutative. It is *idempotent* if for all  $x \in \mathbb{S}$ ,  $x \oplus x = x$ . Every idempotent semiring  $\mathbb{S}$  induces a partial ordering  $\leq_\oplus$  called the *natural*

ordering of  $\mathbb{S}$  [20] defined, by: for all  $x, y \in \mathbb{S}$ ,  $x \leq_{\oplus} y$  iff  $x \oplus y = x$ . The natural ordering is sometimes defined in the opposite direction [10]; We follow here the direction that coincides with the usual ordering on the Tropical semiring *min-plus* (Figure 2). An idempotent semiring  $\mathbb{S}$  is called *total* if it  $\leq_{\oplus}$  is total *i.e.* when for all  $x, y \in \mathbb{S}$ , either  $x \oplus y = x$  or  $x \oplus y = y$ .

is total necessary?

► **Lemma 2** (Monotony, [20]). *Let  $\langle \mathbb{S}, \oplus, 0, \otimes, 1 \rangle$  be an idempotent semiring. For all  $x, y, z \in \mathbb{S}$ , if  $x \leq_{\oplus} y$  then  $x \oplus z \leq_{\oplus} y \oplus z$ ,  $x \otimes z \leq_{\oplus} y \otimes z$  and  $z \otimes x \leq_{\oplus} z \otimes y$ .*

To express the property of Lemma 2, we call  $\mathbb{S}$  *monotonic wrt  $\leq_{\oplus}$* . Another important semiring property in the context of optimization is superiority [16], which corresponds to the *non-negative weights* condition in shortest-path algorithms [9]. Intuitively, it means that combining elements with  $\otimes$  always increase their weight. Formally, it is defined as the property (i) below.

► **Lemma 3** (Superiority, Boundedness). *Let  $\langle \mathbb{S}, \oplus, 0, \otimes, 1 \rangle$  be an idempotent semiring. The two following statements are equivalent:*

- i. for all  $x, y \in \mathbb{S}$ ,  $x \leq_{\oplus} x \otimes y$  and  $y \leq_{\oplus} x \otimes y$
- ii. for all  $x \in \mathbb{S}$ ,  $1 \oplus x = 1$ .

**Proof.** (ii)  $\Rightarrow$  (i) :  $x \oplus (x \otimes y) = x \otimes (1 \oplus y) = x$ , by distributivity of  $\otimes$  over  $\oplus$ . Hence  $x \leq_{\oplus} x \otimes y$ . Similarly,  $y \oplus (x \otimes y) = (1 \oplus x) \otimes y = y$ , hence  $y \leq_{\oplus} x \otimes y$ . (i)  $\Rightarrow$  (ii) : by the second inequality of (i), with  $y = 1$ ,  $1 \leq_{\oplus} x \otimes 1 = x$ , *i.e.*, by definition of  $\leq_{\oplus}$ ,  $1 \oplus x = 1$ . ◀

In [16], when the property (i) holds,  $\mathbb{S}$  is called *superior wrt the ordering  $\leq_{\oplus}$* . We have seen in the proof of Lemma 3 that it implies that  $1 \leq_{\oplus} x$  for all  $x \in \mathbb{S}$ . Similarly, by the first inequality of (i) with  $y = 0$ ,  $x \leq_{\oplus} x \otimes 0 = 0$ . Hence, in a superior semiring, it holds that for all  $x \in \mathbb{S}$ ,  $1 \leq_{\oplus} x \leq_{\oplus} 0$ . Intuitively, from an optimization point of view, it means that  $1$  is the best value, and  $0$  the worst. In [20],  $\mathbb{S}$  with the property (ii) of Lemma 3 is called *bounded* – we shall use this term in the rest of the paper. It implies that, when looking for a best path in a graph whose edges are weighted by values of  $\mathbb{S}$ , the loops can be safely avoided, because, for all  $x \in \mathbb{S}$  and  $n \geq 1$ ,  $x \oplus x^n = x \otimes (1 \oplus x^{n-1}) = x$ .

Ca j'ai pas compris

► **Lemma 4.** *Every bounded semiring is idempotent.*

**Proof.** By boundedness,  $1 \oplus 1 = 1$ , and idempotency follows by multiplying both sides by  $x$  and distributing. ◀

Here the difference between  $\mathbb{S}$  as a structure and as a domain is blurred.

$j \in \mathbb{N}$ :  $j$  is an element of  $\mathbb{N}$ , not the same as  $j \subset \mathbb{N}$

We shall need below infinite sums with  $\oplus$ . A semiring  $\mathbb{S}$  is called *complete* [11] if it has an operation  $\bigoplus_{i \in I} x_i$  for every family  $(x_i)_{i \in I}$  of elements of  $\text{dom}(\mathbb{S})$  over an index set  $I \subset \mathbb{N}$ , such that:

i. *infinite sums extend finite sums:*

$$\bigoplus_{i \in \emptyset} x_i = 0, \quad \forall j \in \mathbb{N}, \bigoplus_{i \in \{j\}} x_i = x_j, \quad \forall j, k \in \mathbb{N}, j \neq k, \bigoplus_{i \in \{j, k\}} x_i = x_j \oplus x_k,$$

ii. *associativity and commutativity:*

$$\text{for all } I \subseteq \mathbb{N} \text{ and all partition } (I_j)_{j \in J} \text{ of } I, \bigoplus_{j \in J} \bigoplus_{i \in I_j} x_i = \bigoplus_{i \in I} x_i,$$

iii. *distributivity of product over infinite sum:*

$$\text{for all } I \subseteq \mathbb{N}, \bigoplus_{i \in I} (x \otimes y_i) = x \otimes \bigoplus_{i \in I} y_i, \text{ and } \bigoplus_{i \in I} (x_i \otimes y) = \left( \bigoplus_{i \in I} x_i \right) \otimes y.$$

results of this paper: for semirings commutative, bounded, total and complete

	domain	$\oplus$	$\otimes$	$\emptyset$	$\mathbb{1}$
Boolean	$\{\perp, \top\}$	$\vee$	$\wedge$	$\perp$	$\top$
Counting	$\mathbb{N}$	$+$	$\times$	$0$	$1$
Viterbi	$[0, 1] \subset \mathbb{R}$	$max$	$\times$	$0$	$1$
Tropical min-plus	$\mathbb{R}_+ \cup \{\infty\}$	$min$	$+$	$\infty$	$0$

■ **Figure 2** Some commutative, bounded, total and complete semirings.

## Label Theory

We shall now define the functions labeling the transitions of SW automata and transducers, generalizing the Boolean algebras of [7] from Boolean to other semiring domains. We consider *alphabets*, which are countable sets of symbols denoted  $\Sigma, \Delta, \dots$ . Given a semiring  $\langle \mathbb{S}, \oplus, 0, \otimes, \mathbb{1} \rangle$ , a *label theory* over  $\mathbb{S}$  is a set  $\bar{\Phi}$  of recursively enumerable sets denoted  $\Phi_\Sigma$ , containing unary functions of type  $\Sigma \rightarrow \mathbb{S}$ , or  $\Phi_{\Sigma, \Delta}$ , containing binary functions  $\Sigma \times \Delta \rightarrow \mathbb{S}$ , and such that:

- for all  $\Phi_{\Sigma, \Delta} \in \bar{\Phi}$ , we have  $\Phi_\Sigma \in \bar{\Phi}$  and  $\Phi_\Delta \in \bar{\Phi}$
- every  $\Phi_\Sigma \in \bar{\Phi}$  contains all the constant functions from  $\Sigma$  into  $\mathbb{S}$ ,
- for all  $\alpha \in \mathbb{S}$  and  $\phi \in \Phi_\Sigma$ ,  $\alpha \otimes \phi : x \mapsto \alpha \otimes \phi(x)$ , and  $\phi \otimes \alpha : x \mapsto \phi(x) \otimes \alpha$  belong to  $\Phi_\Sigma$ , and similarly for  $\oplus$  and for  $\Phi_{\Sigma, \Delta}$
- for all  $\phi, \phi' \in \Phi_\Sigma$ ,  $\phi \otimes \phi' : x \mapsto \phi(x) \otimes \phi'(x)$  belongs to  $\Phi_\Sigma$
- for all  $\eta, \eta' \in \Phi_{\Sigma, \Delta}$ ,  $\eta \otimes \eta' : x, y \mapsto \eta(x, y) \otimes \eta'(x, y)$  belongs to  $\Phi_{\Sigma, \Delta}$
- for all  $\phi \in \Phi_\Sigma$  and  $\eta \in \Phi_{\Sigma, \Delta}$ ,  $\phi \otimes_1 \eta : x, y \mapsto \phi(x) \otimes \eta(x, y)$  and  $\eta \otimes_1 \phi : x, y \mapsto \eta(x, y) \otimes \phi(x)$  belong to  $\Phi_{\Sigma, \Delta}$
- for all  $\psi \in \Phi_\Delta$  and  $\eta \in \Phi_{\Sigma, \Delta}$ ,  $\psi \otimes_2 \eta : x, y \mapsto \psi(y) \otimes \eta(x, y)$  and  $\eta \otimes_2 \psi : x, y \mapsto \eta(x, y) \otimes \psi(y)$  belong to  $\Phi_{\Sigma, \Delta}$
- similar closures hold for  $\oplus$ .

Intuitively, the operators  $\bigoplus_\Sigma$  return global minimum, wrt  $\leq_\oplus$ , of functions of  $\Phi_\Sigma$ . When the semiring  $\mathbb{S}$  is complete, we consider the following operators on the functions of  $\bar{\Phi}$ .

$$\begin{aligned} \bigoplus_\Sigma : \Phi_\Sigma &\rightarrow \mathbb{S}, \quad \phi \mapsto \bigoplus_{a \in \Sigma} \phi(a) \\ \bigoplus_\Sigma^1 : \Phi_{\Sigma, \Delta} &\rightarrow \Phi_\Delta, \quad \eta \mapsto (y \mapsto \bigoplus_{a \in \Sigma} \eta(a, y)) \quad \bigoplus_\Delta^2 : \Phi_{\Sigma, \Delta} \rightarrow \Phi_\Sigma, \quad \eta \mapsto (x \mapsto \bigoplus_{b \in \Delta} \eta(x, b)) \end{aligned}$$

In what follows, we might omit the sub- and superscripts in  $\otimes_1, \bigoplus_\Sigma^1, \dots$ , when there is no ambiguity. We shall keep them only for the special case  $\Sigma = \Delta$ , i.e.  $\eta \in \Phi_{\Sigma, \Sigma}$ , in order to be able to distinguish between the first and the second argument.

► **Definition 5.** A label theory  $\bar{\Phi}$  is complete when the underlying semiring  $\mathbb{S}$  is complete, and for all  $\Phi_{\Sigma, \Delta} \in \bar{\Phi}$  and all  $\eta \in \Phi_{\Sigma, \Delta}$ ,  $\bigoplus_\Sigma^1 \eta \in \Phi_\Delta$  and  $\bigoplus_\Delta^2 \eta \in \Phi_\Sigma$ .

The following facts are immediate.

► **Lemma 6.** For  $\bar{\Phi}$  complete  $\alpha \in \mathbb{S}$ ,  $\phi, \phi' \in \Phi_\Sigma$ ,  $\psi \in \Phi_\Delta$ , and  $\eta \in \Phi_{\Sigma, \Delta}$ :

- i.  $\bigoplus_\Sigma \bigoplus_\Delta^2 \eta = \bigoplus_\Delta \bigoplus_\Sigma^1 \eta$
- ii.  $\alpha \otimes \bigoplus_\Sigma \phi = \bigoplus_\Sigma (\alpha \otimes \phi)$  and  $(\bigoplus_\Sigma \phi) \otimes \alpha = \bigoplus_\Sigma (\phi \otimes \alpha)$ , and similarly for  $\oplus$
- iii.  $(\bigoplus_\Sigma \phi) \oplus (\bigoplus_\Sigma \phi') = \bigoplus_\Sigma (\phi \oplus \phi')$  and  $(\bigoplus_\Sigma \phi) \otimes (\bigoplus_\Sigma \phi') = \bigoplus_\Sigma (\phi \otimes \phi')$

OK, donc c'est là que les fonctions d'étiquettes prennent en argument l'input de la règle. Je ne sais pas dans quelle mesure il faut donner un peu d'explications pour faciliter la compréhension du formalisme.

partial application is needed?

notion of diagram of functions akin BDD for transitions in practice

mv appendix?

- 193 *iv.*  $(\bigoplus_{\Delta}^2 \eta) \oplus (\bigoplus_{\Delta}^2 \eta') = \bigoplus_{\Delta}^2(\eta \oplus \eta')$ , and  $(\bigoplus_{\Delta}^2 \eta) \otimes (\bigoplus_{\Delta}^2 \eta') = \bigoplus_{\Delta}^2(\eta \otimes \eta')$   
 194 *v.*  $\phi \otimes (\bigoplus_{\Delta}^2 \eta) = \bigoplus_{\Delta}(\phi \otimes_1 \eta)$ , and  $(\bigoplus_{\Delta}^2 \eta) \otimes \phi = \bigoplus_{\Delta}(\eta \otimes_1 \phi)$ , and similarly for  $\oplus$   
 195 *vi.*  $\psi \otimes (\bigoplus_{\Sigma}^1 \eta) = \bigoplus_{\Sigma}(\psi \otimes_2 \eta)$ , and  $(\bigoplus_{\Sigma}^1 \eta) \otimes \psi = \bigoplus_{\Sigma}(\eta \otimes_2 \psi)$ , and similarly for  $\oplus$

196 Je trouve qu'il y a  
 197 beaucoup de notions  
 198 à retenir (complete,  
 199 effective) et ça devi-  
 200 ent difficile pour un  
 201 lecteur non spécial-  
 202 iste. Est-ce que tout  
 203 est nécessaire (je ne  
 204 sais plus qui m'avait  
 205 dit: un concept en  
 206 plus, un point en  
 207 moins.

208  $\exists$  oracle returning ..  
 209 in worst time com-  
 210 plexity  $T$ .

A label theory is called *effective* when for all  $\phi \in \Phi_{\Sigma}$  and  $\eta \in \Phi_{\Sigma, \Delta}$ ,  $\bigoplus_{\Sigma} \phi$ ,  $\bigoplus_{\Delta} \bigoplus_{\Sigma} \eta$ , and  $\bigoplus_{\Sigma} \bigoplus_{\Delta} \eta$  can be effectively computed from  $\phi$  and  $\eta$ .

Concretely, in one of the language models defined below, we consider a finite number of base functions  $\phi, \eta$  of the underlying label theory, labelling transitions, and combine them with the above operators for construction of other models. The combinations might be represented by dags (diagrams) whose leaves are labeled by base functions and inner nodes by operators.

### 3 SW Automata and Transducers

We follow the approach of [21] for the computation of distances, between words and languages, using weighted transducers, and extend it to infinite alphabets. The models introduced in this section generalize weighted automata and transducers [11] by labeling each transition with a weight function (instead of a simple weight value), that takes the input and output symbols as parameters. These functions are similar to the guards of symbolic automata [7, 8], but they can return values in a generic semiring, whereas the latter guards are restricted to the Boolean semiring.

Let  $\mathbb{S}$  be a commutative semiring,  $\Sigma$  and  $\Delta$  be alphabets called respectively *input* and *output*, and  $\bar{\Phi}$  be a label theory over  $\mathbb{S}$  containing  $\Phi_{\Sigma}$ ,  $\Phi_{\Delta}$ ,  $\Phi_{\Sigma, \Delta}$ .

► **Definition 7.** A symbolic-weighted transducer (*swT*) over  $\Sigma$ ,  $\Delta$ ,  $\mathbb{S}$  and  $\bar{\Phi}$  is a tuple  $T = \langle Q, \text{in}, \bar{w}, \text{out} \rangle$ , where  $Q$  is a finite set of states,  $\text{in} : Q \rightarrow \mathbb{S}$  (respectively  $\text{out} : Q \rightarrow \mathbb{S}$ ) are functions defining the weight for entering (respectively leaving) computation in a state, and  $\bar{w}$  is a triplet of transition functions  $w_{10} : Q \times Q \rightarrow \Phi_{\Sigma}$ ,  $w_{01} : Q \times Q \rightarrow \Phi_{\Delta}$ , and  $w_{11} : Q \times Q \rightarrow \Phi_{\Sigma, \Delta}$ .

We call *number of transitions* of  $T$  the number of pairs of states  $q, q' \in Q$  such that  $w_{10}$  or  $w_{01}$  or  $w_{11}$  is not the constant  $\mathbb{0}$ . For convenience, we shall sometimes present transitions as functions of  $Q \times (\Sigma \cup \{\varepsilon\}) \times (\Delta \cup \{\varepsilon\}) \times Q \rightarrow \mathbb{S}$ , overloading the function names, such that, for all  $q, q' \in Q$ ,  $a \in \Sigma$ ,  $b \in \Delta$ ,

221 I missed sth: what  
 222 is this  $\varepsilon$ ? Intuitively clear but not defined?

$$\begin{aligned} w_{10}(q, a, \varepsilon, q') &= \phi(a) & \text{where } \phi &= w_{10}(q, q') \in \Phi_{\Sigma}, \\ w_{01}(q, \varepsilon, b, q') &= \psi(b) & \text{where } \psi &= w_{01}(q, q') \in \Phi_{\Delta}, \\ w_{11}(q, a, b, q') &= \eta(a, b) & \text{where } \eta &= w_{11}(q, q') \in \Phi_{\Sigma, \Delta}. \end{aligned}$$

The *swT*  $T$  computes on pairs of words  $\langle s, t \rangle \in \Sigma^* \times \Delta^*$ ,  $s$  and  $t$ , being respectively called *input* and *output* word. More precisely,  $T$  defines a mapping from  $\Sigma^* \times \Delta^*$  into  $\mathbb{S}$ , based on an intermediate function  $\text{weight}_T$  defined recursively, for every states  $q, q' \in Q$ , and every pairs of strings  $\langle s, t \rangle \in \Sigma^* \times \Delta^*$ , where  $au$ , and  $bv$ , denote the concatenation of the symbol  $a \in \Sigma$  (resp.  $b \in \Delta$ ) with a word  $u \in \Sigma^*$  (resp.  $v \in \Delta^*$ ).

228 added  $u$  and  $v$  def

$$\text{weight}_T(q, \varepsilon, \varepsilon, q') = \mathbb{1} \quad \text{if } q = q' \text{ and } \mathbb{0} \text{ otherwise} \quad (1)$$

$$\text{weight}_T(q, s, t, q') = \bigoplus_{\substack{q'' \in Q \\ s=au, a \in \Sigma}} w_{10}(q, a, \varepsilon, q'') \otimes \text{weight}_T(q'', u, t, q')$$





$$\begin{aligned}
& \bigoplus_{\substack{q'' \in Q \\ t=bv, b \in \Delta}} \bigoplus w_{01}(q, \varepsilon, b, q'') \otimes \text{weight}_T(q'', s, v, q') \\
& \bigoplus_{\substack{q'' \in Q \\ s=au, t=bv}} \bigoplus w_{11}(q, a, b, q'') \otimes \text{weight}_T(q'', u, v, q')
\end{aligned}$$

We recall that, by convention (Section 2), an empty sum with  $\bigoplus$  is equal to  $\emptyset$ . Intuitively, using a transition  $w_{ij}(q, a, b, q')$  means for  $T$ : when reading respectively  $a$  and  $b$  at the current positions in the input and output words, increment the current position in the input word if and only if  $i = 1$ , and in the output word iff  $j = 1$ , and change state from  $q$  to  $q'$ . When  $a = \varepsilon$  (resp.  $b = \varepsilon$ ), the current symbol in the input (resp. output) is not read. Since  $\emptyset$  is absorbing for  $\otimes$  in  $\mathbb{S}$ , one term  $w_{ij}(q, a, b, q')$  equal to  $\emptyset$  in the above expression will be ignored in the sum, meaning that there is no possible transition from state  $q$  into state  $q'$  while reading  $a$  and  $b$ . This is analogous to the case of a transition's guard not satisfied by  $\langle a, b \rangle$  for symbolic transducers.

The expression (1) can be seen as a stateful definition of an edit-distance between a word  $s \in \Sigma^*$  and a word  $t \in \Delta^*$ , see also [22]. Intuitively,  $w_{10}(q, a, \varepsilon, r)$  is the cost of the deletion of the symbol  $a \in \Sigma$  in  $s$ ,  $w_{01}(q, \varepsilon, b, r)$  is the cost of the insertion of  $b \in \Delta$  in  $t$ , and  $w_{11}(q, a, b, r)$  is the cost of the substitution of  $a \in \Sigma$  by  $b \in \Delta$ . The cost of a sequence of such operations transforming  $s$  into  $t$ , is the product, with  $\otimes$ , of the individual costs of the operations involved; and the distance between  $s$  and  $t$  is the sum, with  $\bigoplus$ , of all possible products. Formally, the weight associated by  $T$  to  $\langle s, t \rangle \in \Sigma^* \times \Delta^*$  is:

$$T(s, t) = \bigoplus_{q, q' \in Q} \text{in}(q) \otimes \text{weight}_T(q, s, t, q') \otimes \text{out}(q') \quad (2)$$

► **Example 8.** In Common Western Music Notation [14], several symbols may be used to represent one single sounding event. For instance, several notes can be combined with a tie, like in , and one note can be augmented by half its duration with a dot like in . These notations are perceived equivalent when played, as their duration is equal, yet the notation is different. We thus want to be able to compare a music score with music played by a performer. We propose a small weighted transducer model that calculates the distance between an input sequence of sounding events (music "performance") to an output sequence of written events (music "score"). Let us consider the tropical (*min-plus*) semiring  $\mathbb{S}$  of Figure 2 and let  $\Sigma = \mathbb{R}_+$  be an input alphabet of event dates and  $\Delta = \{e, -\} \times \mathbb{R}_+$  be an output alphabet of symbols with timestamps. A symbol  $\langle e, d \rangle \in \Delta$  represents an event starting at date  $d$ , and  $\langle -, d \rangle$  is a continuation of the previous event.

We consider a **swT** with two states  $q_0$  and  $q_1$  whose purpose is to compare a recorded performance  $s \in \Sigma^*$  with a notated music sheet  $t \in \Delta^*$ . One timestamp  $d_i \in \Sigma$  may correspond to one notated event  $\langle e, d'_i \rangle \in \Delta$ , in which case the weight value computed by the **swT** is the time distance between both (see transitions  $w_{11}$  below). If  $\langle e, d'_i \rangle$  is followed by continuations  $\langle -, d'_{i+1} \rangle, \dots$ , they are just skipped with no cost (transitions  $w_{01}$  or weight  $\mathbb{1}$ ).

$$\begin{aligned}
w_{11}(q_0, d, \langle e, d' \rangle, q_0) &= |d' - d| & w_{11}(q_1, d, \langle e, d' \rangle, q_0) &= |d' - d| \\
w_{01}(q_0, \varepsilon, \langle -, d' \rangle, q_0) &= \mathbb{1} & w_{01}(q_1, \varepsilon, \langle -, d' \rangle, q_0) &= \mathbb{1} \\
w_{10}(q_0, d, \varepsilon, q_1) &= \alpha
\end{aligned}$$

OK tout ça se lit bien :-)

Je crois qu'il faudrait numéroter les exemples indépendamment des définitions. Cet exemple est le premier qui donne des détails sur l'application visée. Il arrive peut-être un peu tard et est long. On pourrait introduire la motivation dans l'intro, et développer des petits exemples au fur et à mesure.

unique → similar

similar → single

modif.

changed end

We also must be able to take performing errors into account, while still being able to compare with the score, since a performer could, for example, play an unwritten extra note. This is modelled by the transition  $w_{10}$  with an arbitrary weight value  $\alpha \in \mathbb{S}$ , switching from state  $q_0$  (normal) to  $q_1$  (error). The transitions in the second column below switch back to the normal state  $q_0$ . At last, we let  $q_0$  be the only initial and final state, with  $\text{in}(q_0) = \text{out}(q_0) = \mathbb{1}$ , and  $\text{in}(q_1) = \text{out}(q_1) = 0$ .

reformulated this sentence

ccl to the ex

That way, an  $\text{swT}$  is capable of evaluating the differences between a score and a performance, all the while ensuring that performance errors are plausible.

◇

The *Symbolic Weighted Automata* are defined similarly as the transducers of Definition 7, by simply omitting the output symbols.

► **Definition 9.** A symbolic-weighted automaton ( $\text{swA}$ ) over  $\Sigma$ ,  $\mathbb{S}$  and  $\bar{\Phi}$  is a tuple  $A = \langle Q, \text{in}, w_1, \text{out} \rangle$ , where  $Q$  is a finite set of states,  $\text{in} : Q \rightarrow \mathbb{S}$  (respectively  $\text{out} : Q \rightarrow \mathbb{S}$ ) are functions defining the weight for entering (respectively leaving) computation in a state, and  $w_1$  is a transition function from  $Q \times Q$  into  $\Phi_\Sigma$ .

As above in the case of  $\text{swT}$ , when  $w_1(q, q') = \phi \in \Phi_\Sigma$ , we may write  $w_1(q, a, q')$  for  $\phi(a)$ . The computation of  $A$  on words  $s \in \Sigma^*$  is defined with an intermediate function  $\text{weight}_A$ , defined as follows for  $q, q' \in Q$ ,  $a \in \Sigma$ ,  $u \in \Sigma^*$ ,

$$\begin{aligned} \text{weight}_A(q, \varepsilon, q) &= \mathbb{1} \\ \text{weight}_A(q, \varepsilon, q') &= 0 \quad \text{if } q \neq q' \\ \text{weight}_A(q, au, q') &= \bigoplus_{q'' \in Q} w_1(q, a, q'') \otimes \text{weight}_A(q'', u, q') \end{aligned} \tag{3}$$

and the weight value associated by  $A$  to  $s \in \Sigma^*$  is defined as follows:

$$A(s) = \bigoplus_{q, q' \in Q} \text{in}(q) \otimes \text{weight}_A(q, s, q') \otimes \text{out}(q') \tag{4}$$

The following property will be useful to the approach on symbolic weighted parsing presented in Section 5.

► **Proposition 10.** Given a  $\text{swT}$   $T$  over  $\Sigma$ ,  $\Delta$ ,  $\mathbb{S}$  commutative, bounded and complete, and  $\bar{\Phi}$  effective, and a  $\text{swA}$   $A$  over  $\Sigma$ ,  $\mathbb{S}$  and  $\bar{\Phi}$ , there exists an effectively constructible  $\text{swA}$   $B_{A,T}$  over  $\Delta$ ,  $\mathbb{S}$  and  $\bar{\Phi}$ , such that for all  $t \in \Delta^*$ ,  $B_{A,T}(t) = \bigoplus_{s \in \Sigma^*} A(s) \otimes T(s, t)$ .

**Proof.** Let  $T = \langle Q, \text{in}_T, \bar{w}, \text{out}_T \rangle$ , where  $\bar{w}$  contains  $w_{10}$ ,  $w_{01}$ , and  $w_{11}$ , from  $Q \times Q$  into respectively  $\Phi_\Sigma$ ,  $\Phi_\Delta$ , and  $\Phi_{\Sigma, \Delta}$ , and let  $A = \langle P, \text{in}_A, w_1, \text{out}_A \rangle$  with  $w_1 : Q \times Q \rightarrow \Phi_\Sigma$ . The state set of  $B_{A,T}$  will be  $Q' = P \times Q$ . The entering, leaving and transition functions of  $B_{A,T}$  will simulate synchronized computations of  $A$  and  $T$ , while reading an output word of  $\Delta^*$ . Its state entering functions is defined for all  $p \in P$ ,  $q \in Q$  by  $\text{in}'(p, q) = \text{in}_A(p) \otimes \text{in}_T(q)$ . The transition function  $w'_1$  will roughly perform a synchronized product of transitions defined by  $w_1$ ,  $w_{01}$  ( $T$  reading in output word and not an input word) and  $w_{11}$  ( $T$  reading both an input word and an output word). Moreover,  $w'_1$  also needs to simulate transitions defined by  $w_{10}$ :  $T$  reading in input word and not an output word. Since  $B_{A,T}$  will read only in the output word, such a transition corresponds to an  $\varepsilon$ -transition of  $\text{swA}$ , but  $\text{swA}$  have been defined without  $\varepsilon$ -transitions. Therefore, in order to take care of this case, we perform an on-the-fly suppression of  $\varepsilon$ -transition in the  $\text{swA}$  in construction, following the algorithm of [19].



Initially, for all  $p_1, p_2 \in P$ , and  $q_1, q_2 \in Q$ , let

$$w'_1(\langle p_1, q_1 \rangle, \langle p_2, q_2 \rangle) = w_1(p_1, p_2) \otimes [w_{01}(q_1, q_2) \oplus \bigoplus_{\Sigma} w_{11}(q_1, q_2)].$$

Iterate the following for all  $p_1 \in P$  and  $q_1, q_2 \in Q$ : for all  $p_2 \in P$  and  $q_3 \in Q$ ,

$$w'_1(\langle p_1, q_1 \rangle, \langle p_2, q_3 \rangle) \oplus = \bigoplus_{\Sigma} w_{10}(q_1, q_2) \otimes w'_1(\langle p_1, q_2 \rangle, \langle p_2, q_3 \rangle)$$

$$\text{and } \text{out}'(p_1, q_1) \oplus = \bigoplus_{\Sigma} w_{10}(q_1, q_2) \otimes \text{out}'(p_1, q_2)$$



proof correctness

The construction time and size for  $B_{A,T}$  are  $O(\|T\|^3 \cdot \|A\|^2)$ , where the sizes  $\|T\|$  and  $\|A\|$  are their number of states.

revise with nb of tr.  
and states

► **Corollary 11.** *Given a swT  $T$  over  $\Sigma$ ,  $\Delta$ ,  $\mathbb{S}$  commutative, bounded and complete, and  $\bar{\Phi}$  effective, and  $s \in \Sigma^+$ , there exists an effectively constructible swA  $B_{s,T}$  over  $\Delta$ ,  $\mathbb{S}$  and  $\bar{\Phi}$ , such that for all  $t \in \Delta^*$ ,  $B_{s,T}(t) = T(s, t)$ .*

## 4 SW Visibly Pushdown Automata

The model presented in this section generalizes Symbolic VPA [6] from Boolean semirings to arbitrary semiring weight domains. It will compute on nested words over infinite alphabets, associating to every such word a weight value. Nested words are able to describe structures of labeled trees, and in the context of parsing, they will be useful to represent AST.

Let  $\Omega$  be a countable alphabet that we assume partitioned into three subsets  $\Omega_i$ ,  $\Omega_c$ ,  $\Omega_r$ , whose elements are respectively called *internal*, *call* and *return* symbols. Let  $\langle \mathbb{S}, \oplus, \emptyset, \otimes, \mathbb{1} \rangle$  be a commutative and complete semiring and let  $\bar{\Phi} = \langle \Phi_i, \Phi_c, \Phi_r, \Phi_{ci}, \Phi_{cc}, \Phi_{cr} \rangle$  be a label theory over  $\mathbb{S}$  where  $\Phi_i$ ,  $\Phi_c$ ,  $\Phi_r$  and  $\Phi_{cx}$  (with  $x \in \{i, c, r\}$ ) stand respectively for  $\Phi_{\Omega_i}$ ,  $\Phi_{\Omega_c}$ ,  $\Phi_{\Omega_r}$  and  $\Phi_{\Omega_c, \Omega_x}$ .

Là je crois qu'il faudrait expliquer ces Omega, je commence à fatiguer et je suis un peu largué par toutes ces définitions. J'intuite qu'il s'agit des symboles, parenthèses ouvrantes et fermantes? Pourquoi il faut un alphabet pour les parenthèses?

► **Definition 12.** A Symbolic Weighted Visibly Pushdown Automata (sw-VPA) over  $\Omega = \Omega_i \uplus \Omega_c \uplus \Omega_r$ ,  $\mathbb{S}$  and  $\bar{\Phi}$  is a tuple  $A = \langle Q, P, \text{in}, \bar{w}, \text{out} \rangle$ , where  $Q$  is a finite set of states,  $P$  is a finite set of stack symbols,  $\text{in} : Q \rightarrow \mathbb{S}$  (respectively  $\text{out} : Q \rightarrow \mathbb{S}$ ) are functions defining the weight for entering (respectively leaving) a state, and  $\bar{w}$  is a sextuplet composed of the transition functions :  $w_i : Q \times P \times Q \rightarrow \Phi_{ci}$ ,  $w_i^e : Q \times Q \rightarrow \Phi_i$ ,  $w_c : Q \times P \times Q \times P \rightarrow \Phi_{cc}$ ,  $w_c^e : Q \times P \times Q \rightarrow \Phi_c$ ,  $w_r : Q \times P \times Q \rightarrow \Phi_{cr}$ ,  $w_r^e : Q \times Q \rightarrow \Phi_r$ .

Est-ce que tout le monde sait ce qu'est un pushdown automata? Je suppose que c'est lié à la pile.

Similarly as in Section 3, we extend the above transition functions as follows for all  $q, q' \in Q$ ,  $p \in P$ ,  $a \in \Omega_i$ ,  $c \in \Omega_c$ ,  $r \in \Omega_r$ , overloading their names:

$$\begin{array}{lll} w_i : Q \times \Omega_c \times P \times \Omega_i \times Q \rightarrow \mathbb{S} & w_i(q, c, p, a, q') = \eta_{ci}(c, a) & \text{where } \eta_{ci} = w_i(q, p, q'), \\ w_i^e : Q \times \Omega_i \times Q \rightarrow \mathbb{S} & w_i^e(q, a, q') = \phi_i(a) & \text{where } \phi_i = w_i^e(q, q'), \\ w_c : Q \times \Omega_c \times P \times \Omega_c \times P \times Q \rightarrow \mathbb{S} & w_c(q, c, p, c', p', q') = \eta_{cc}(c, c') & \text{where } \eta_{cc} = w_c(q, p, p', q'), \\ w_c^e : Q \times \Omega_c \times P \times Q \rightarrow \mathbb{S} & w_c^e(q, c, p, q') = \phi_c(c) & \text{where } \phi_c = w_c^e(q, p, q'), \\ w_r : Q \times \Omega_c \times P \times \Omega_r \times Q \rightarrow \mathbb{S} & w_r(q, c, p, r, q') = \eta_{cr}(c, r) & \text{where } \eta_{cr} = w_r(q, p, q'), \\ w_r^e : Q \times \Omega_r \times Q \rightarrow \mathbb{S} & w_r^e(q, r, q') = \phi_r(r) & \text{where } \phi_r = w_r^e(q, q'). \end{array}$$

The intuition is the following for the above transitions.  $w_i^e$ ,  $w_c^e$ , and  $w_r^e$  describe the cases where the stack is empty.  $w_i$  and  $w_i^e$  both read an input internal symbol  $a$  and change state from  $q$  to  $q'$ , without changing the stack. Moreover,  $w_i$  reads a pair made of  $c \in \Omega_c$  and  $p \in P$  on the top of the stack ( $c$  is compared to  $a$  by the weight function  $\eta_{ci} \in \Phi_{ci}$ ).  $w_c$  and

moved this to the beginning

## XX:10 Symbolic Weighted Language Models and Parsing over Infinite Alphabets

$w_c^e$  read the input call symbol  $c'$ , push it to the stack along with  $p'$ , and change state from  $q$  to  $q'$ . Moreover,  $w_c$  reads  $c$  and  $p$  at the top of the stack ( $c$  is compared to  $c'$ ).  $w_r$  and  $w_r^e$  read the input return symbol  $r$ , and change state from  $q$  to  $q'$ . Moreover,  $w_r$  reads and pop from stack a pair made of  $c$  and  $p$ , ( $c$  is compared to  $r$ ).

Formally, the transitions of the automaton  $A$  are defined in term of an intermediate function  $\text{weight}_A$ , like in Section 3. A configuration, denoted by  $q[\gamma]$ , is here composed of a state  $q \in Q$  and a stack content  $\gamma \in \Gamma^*$ , where  $\Gamma = \Omega_c \times P$ . Hence,  $\text{weight}_A$  is a function from  $[Q \times \Gamma^*] \times \Omega^* \times [Q \times \Gamma^*]$  into  $\mathbb{S}$ . The empty stack is denoted by  $\perp$ , and the upmost symbol is the last pushed content. The following functions illustrate each of the possible cases, being : reading  $a \in \Omega_i$ , or  $c \in \Omega_c$ , or  $r \in \Omega_r$  for each possible state of the stack (empty or not), to add to  $u \in \Omega^*$ .

$$\text{weight}_A(q[\perp], \varepsilon, q'[\perp]) = \mathbb{1} \text{ if } q = q' \text{ and } \mathbb{0} \text{ otherwise} \quad (5)$$

$$\text{weight}_A\left(q \left[ \begin{array}{c} \langle c, p \rangle \\ \gamma \end{array} \right], a u, q'[\gamma']\right) = \bigoplus_{q'' \in Q} w_i(q, c, p, a, q'') \otimes \text{weight}_A\left(q'' \left[ \begin{array}{c} \langle c, p \rangle \\ \gamma \end{array} \right], u, q'[\gamma']\right)$$

$$\text{weight}_A(q[\perp], a u, q'[\gamma']) = \bigoplus_{q'' \in Q} w_i^e(q, a, q'') \otimes \text{weight}_A(q''[\perp], u, q'[\gamma'])$$

$$\text{weight}_A\left(q \left[ \begin{array}{c} \langle c, p \rangle \\ \gamma \end{array} \right], c' u, q'[\gamma']\right) = \bigoplus_{\substack{q'' \in Q \\ p' \in P}} w_c(q, c, p, c', p', q'') \otimes \text{weight}_A\left(q'' \left[ \begin{array}{c} \langle c', p' \rangle \\ \langle c, p \rangle \\ \gamma \end{array} \right], u, q'[\gamma']\right)$$

$$\text{weight}_A(q[\perp], c u, q'[\gamma']) = \bigoplus_{\substack{q'' \in Q \\ p \in P}} w_c^e(q, c, p, q'') \otimes \text{weight}_A(q''[\langle c, p \rangle], u, q'[\gamma'])$$

$$\text{weight}_A\left(q \left[ \begin{array}{c} \langle c, p \rangle \\ \gamma \end{array} \right], r u, q'[\gamma']\right) = \bigoplus_{q'' \in Q} w_r(q, c, p, r, q'') \otimes \text{weight}_A(q''[\gamma], u, q'[\gamma'])$$

$$\text{weight}_A(q[\perp], r u, q'[\gamma']) = \bigoplus_{q'' \in Q} w_r^e(q, r, q'') \otimes \text{weight}_A(q''[\perp], u, q'[\gamma'])$$

The weight associated by  $A$  to  $s \in \Omega^*$  is defined according to empty stack semantics:

$$A(s) = \bigoplus_{q, q' \in Q} \text{in}(q) \otimes \text{weight}_A(q[\perp], s, q'[\perp]) \otimes \text{out}(q'). \quad (6)$$

► **Example 13.** structured words with timed symbols... intro language of music notation? (markup = time division, leaves = events etc)

Every swA  $A = \langle Q, \text{in}, w_1, \text{out} \rangle$ , over  $\Sigma, \mathbb{S}$  and  $\bar{\Phi}$  is a particular case of sw-VPA  $\langle Q, \emptyset, \text{in}, \bar{w}, \text{out} \rangle$  over  $\Omega, \mathbb{S}$  and  $\bar{\Phi}$  with  $\Omega_i = \Sigma$  and  $\Omega_c = \Omega_r = \emptyset$ , and computing with an always empty stack:  $w_i^e = w_1$  and all the other functions of  $\bar{w}$  are the constant  $\mathbb{0}$ .

Like VPA and symbolic VPA, the class of sw-VPA is closed under the binary operators of the underlying semiring.

► **Proposition 14.** Let  $A_1$  and  $A_2$  be two sw-VPA over the same  $\Omega, \mathbb{S}$  and  $\bar{\Phi}$ . There exists two effectively constructible sw-VPA  $A_1 \oplus A_2$  and  $A_1 \otimes A_2$ , such that for all  $s \in \Omega^*$ ,  $(A_1 \oplus A_2)(s) = A_1(s) \oplus A_2(s)$  and  $(A_1 \otimes A_2)(s) = A_1(s) \otimes A_2(s)$ .

375 **Proof.** The construction is essentially the same as in the case of the Boolean semiring [6]. ◀

376 Let us assume that the semiring  $\mathbb{S}$  is commutative, bounded, and complete, and that  $\bar{\Phi}$  is an  
 377 effective label theory. We propose a Dijkstra algorithm computing, for a sw-VPA  $A$  over  $\Omega$ ,  
 378  $\mathbb{S}$  and  $\bar{\Phi}$ , the minimal weight for a word in  $\Omega^*$ . We distinguish two cases : when the stack is  
 379 empty, and when it is not. In the case of an empty stack, let  $b_{\perp} : Q \times Q \rightarrow \mathbb{S}$  be such that :

$$380 \quad b_{\perp}(q, q') = \bigoplus_{s \in \Omega^*} \text{weight}_A(q[\perp], s, q'[\perp]). \quad (7)$$

381 Since  $\mathbb{S}$  is complete, the infinite sum in (7) is well defined, and, providing that  $\mathbb{S}$  is total,  
 382 it is the minimum in  $\Omega^*$ , wrt  $\leq_{\oplus}$ , of the fonction  $s \mapsto \text{weight}_A(q[\sigma], s, q'[\sigma])$ . The term  
 383  $q[\perp], s, q'[\perp]$  of this sum is the central expression in the definition (6) of  $A(s_0)$ , for the  
 384 minimum  $s_0$  of the function  $\text{weight}_A$ .

385 If the stack is not empty, let  $\top$  be a fresh stack symbol which does not belong to  $\Gamma$ , and let  
 386  $b_{\top} : Q \times P \times Q \rightarrow \Phi_c$  be such that, for every two states  $q, q' \in Q$  and stack symbol  $p \in P$ :

$$387 \quad b_{\top}(q, p, q') : c \mapsto \bigoplus_{s \in \Omega^*} \text{weight}_A\left(q \begin{bmatrix} \langle c, p \rangle \\ \top \end{bmatrix}, s, q' \begin{bmatrix} \langle c, p \rangle \\ \top \end{bmatrix}\right) \quad (8)$$

388 Intuitively, the function defined in (8) associates to  $c \in \Omega_c$  the minimum weight of a  
 389 computation of  $A$  starting in state  $q$  with a stack  $\langle c, p \rangle \cdot \gamma \in \Gamma^+$  and ending in state  $q'$  with  
 390 the same stack, such that the computation can not pop the pair made of  $c$  and  $p$  at the top  
 391 of this stack, but may only read these symbols. Moreover,  $A$  may push another pair  $\langle c', p' \rangle$   
 392 on the top of  $\langle c, p \rangle \cdot \gamma$ , following the third case of in the definition (5) of  $\text{weight}_A$ , and may  
 393 pop  $\langle c', p' \rangle$  later, following the fifth case of (5) (return symbol).

#### ■ Algorithm 1 Best search for sw-VPA

---

**initially** let  $\mathcal{Q} = (Q \times Q) \cup (Q \times P \times Q)$ , and let  $d_{\perp}(q_1, q_2) = d_{\top}(q_1, p, q_2) = \mathbb{1}$  if  
 $q_1 = q_2$  and  $d_{\perp}(q_1, q_2) = d_{\top}(q_1, p, q_2) = 0$  otherwise  
**while**  $\mathcal{Q} \neq \emptyset$  **do**  
     **extract**  $\langle q_1, q_2 \rangle$  or  $\langle q_1, p, q_2 \rangle$  from  $\mathcal{Q}$  such that  $d_{\perp}(q_1, q_2)$ , resp.  
      $\bigoplus_{c \in \Omega_c} d_{\top}(q_1, p, q_2)(c)$ , is minimal in  $\mathbb{S}$  wrt  $\leq_{\oplus}$   
     **update**  $d_{\perp}$  with  $\langle q_1, q_2 \rangle$  or  $d_{\top}$  with  $\langle q_1, p, q_2 \rangle$  (Figure 3).

---

394 Algorithm 1 constructs iteratively markings  $d_{\perp} : Q \times Q \rightarrow \mathbb{S}$  and  $d_{\top} : Q \times P \times Q \rightarrow \Phi_c$   
 395 that converges eventually to  $b_{\top}$  and  $b_{\perp}$ .

396 The infinite sums in the updates of  $d$  in Algorithm 1, Figure 3 are well defined since  $\mathbb{S}$   
 397 is complete. \*\* effectively computable by hypothesis that the label theory is effective\*\*  
 398 The algorithm performs  $2 \cdot |Q|^2$  iterations until  $P$  is empty, and each iteration has a time  
 399 complexity  $O(|Q|^2 \cdot |P|)$ . That gives a time complexity  $O(|Q|^4 \cdot |P|)$ . It can be reduced by  
 400 implementing  $P$  as a priority queue, prioritized by the value returned by  $d$ .

401 The correctness of Algorithm 1 is ensured by the invariant expressed in the following  
 402 lemma.

403 ► **Lemma 15.** For all  $\langle q_1, q_2 \rangle \notin \mathcal{Q}$ ,  $d_{\perp}(q_1, q_2) = b_{\perp}(q_1, q_2)/$

404 The proof is by contradiction, assuming a counter-example minimal in the length of the  
 405 witness word.

406 ► **Lemma 16.** For all  $\langle q_1, p, q_2 \rangle \notin \mathcal{Q}$ ,  $d_{\top}(q_1, p, q_2) = b_{\top}(q_1, p, q_2),$

total?

introduced 2 cases  
for b

so ?

 $b_{\top}$  : mot bien par-  
enthsé c/rexplication Fig. 3  
suivant cas de (5)

complete \*\*

detail with nb tr.  
and states

## XX:12 Symbolic Weighted Language Models and Parsing over Infinite Alphabets

For all  $q_0, q_3 \in Q$ ,

$$\begin{aligned}
d_{\top}(q_1, p, q_3) &\oplus= d_{\top}(q_1, p, q_2) \otimes \bigoplus_{\Omega_i} w_i(q_2, p, q_3) \\
d_{\perp}(q_1, p, q_3) &\oplus= d_{\perp}(q_1, q_2) \otimes \bigoplus_{\Omega_i} w_i^e(q_2, q_3) \\
d_{\top}(q_0, p, q_3) &\oplus= \bigoplus_{\Omega_c}^2 [(w_c(q_0, p, p', q_1) \otimes_2 d_{\top}(q_1, p', q_2)) \otimes_2 \bigoplus_{\Omega_r} w_r(q_2, p', q_3)] \\
d_{\perp}(q_0, q_3) &\oplus= \bigoplus_{\Omega_c} (w_c^e(q_0, p, q_1) \otimes d_{\top}(q_1, p, q_2) \otimes \bigoplus_{\Omega_r} w_r(q_2, p, q_3)) \\
d_{\perp}(q_1, q_3) &\oplus= d_{\perp}(q_1, q_2) \otimes \bigoplus_{\Omega_r} w_r^e(q_2, q_3) \\
d_{\top}(q_1, p, q_3) &\oplus= d_{\top}(q_1, p, q_2) \otimes d_{\top}(q_2, p, q_3), \text{ if } \langle q_2, \top, q_3 \rangle \notin P \\
d_{\perp}(q_1, q_3) &\oplus= d_{\perp}(q_1, q_2) \otimes d_{\perp}(q_2, q_3), \text{ if } \langle q_2, \perp, q_3 \rangle \notin P
\end{aligned}$$

■ **Figure 3** Update  $d_{\perp}$  with  $\langle q_1, q_2 \rangle$  or  $d_{\top}$  with  $\langle q_1, p, q_2 \rangle$ .

407 For computing the minimal weight of a computation of  $A$ , we use the fact that, at the  
 408 termination of Algorithm 1,  $\bigoplus_{s \in \Omega^*} A(s) = \bigoplus_{q, q' \in Q} \text{in}(q) \otimes d_{\perp}(q, q') \otimes \text{out}(q')$ .

409 In order to obtain effectively a witness (word of  $\Omega^*$  with a computation of  $A$  of minimal  
 410 weight), we require the additional property of convexity of weight functions.

411 ► **Proposition 17.** *For a sw-VPA  $A$  over  $\Omega$ ,  $\mathbb{S}$  commutative, bounded, total and complete,  
 412 and  $\bar{\Phi}$  effective, one can construct in PTIME a word  $t \in \Omega^*$  such that  $A(t)$  is minimal wrt  
 413 the natural ordering for  $\mathbb{S}$ .*

### 5 Symbolic Weighted Parsing

415 Let us now apply the models and results of the previous sections to the problem of parsing  
 416 over an infinite alphabet. Let  $\Sigma$  and  $\Omega = \Omega_i \uplus \Omega_c \uplus \Omega_r$  be countable input and output  
 417 alphabets, let  $\langle \mathbb{S}, \oplus, \emptyset, \otimes, \mathbb{1} \rangle$  be a commutative, bounded, and complete semiring and let  $\bar{\Phi}$   
 418 be an effective label theory over  $\mathbb{S}$ , containing  $\Phi_{\Sigma}$ ,  $\Phi_{\Sigma, \Omega_i}$ , as well as  $\Phi_i$ ,  $\Phi_c$ ,  $\Phi_r$ ,  $\Phi_{cr}$  (following  
 419 the notations of Section 4). We assume given the following input:

- 420 – a swT  $T$  over  $\Sigma$ ,  $\Omega_i$ ,  $\mathbb{S}$ , and  $\bar{\Phi}$ , defining a measure  $T : \Sigma^* \times \Omega_i^* \rightarrow \mathbb{S}$ ,
- 421 – a sw-VPA  $A$  over  $\Omega$ ,  $\mathbb{S}$ , and  $\bar{\Phi}$ , defining a measure  $A : \Omega^* \rightarrow \mathbb{S}$ ,
- 422 – an input word  $s \in \Sigma^*$ .

423 For all  $u \in \Sigma^*$  and  $t \in \Omega^*$ , let  $d(u, t) = T(u, t|_{\Omega_i})$ , where  $t|_{\Omega_i} \in \Omega_i^*$  is the projection of  $t$   
 424 onto  $\Omega_i$ , obtained from  $t$  by removing all symbols in  $\Omega \setminus \Omega_i$ . *Symbolic weighted parsing* is the  
 425 problem, given the above input, to find  $t \in \Omega^*$  minimizing  $d(s, t) \otimes A(t)$  wrt  $\leq_{\oplus}$ , i.e. s.t.

$$426 \quad d(s, t) \otimes A(t) = \bigoplus_{t' \in \mathcal{T}(\Omega)} d(s, t') \otimes A(t') \quad (9)$$

427 Following the terminology of [21], sw-parsing is the problem of computing the distance (9)  
 428 between the input  $s$  and the output weighted language of  $A$ , and returning a witness  $t$ .

429 ► **Proposition 18.** *The problem of Symbolic Weighted parsing can be solved in PTIME in  
 430 the size of the input swT  $T$ , sw-VPA  $A$  and input word  $s$ , and the computation time of the  
 431 functions and operators of the label theory.*

**Proof.** (sketch) We follow a *Bar-Hillel* construction, for parsing by intersection. Let us first extend the **swT**  $T$  over  $\Sigma, \Omega_i$  into a **swT**  $T'$  over  $\Sigma$  and  $\Omega$  (and the same semiring and label theory  $\mathbb{S}$  and  $\bar{\Phi}$ ), such that for all  $u \in \Sigma^*$ , and  $t \in \Omega^*$ ,  $T'(u, u) = T(u, t|_{\Omega_i})$ . The transducer  $T'$  simply skips every symbol  $b \in \Omega \setminus \Omega_i$ , by the addition to  $T$ , of new transitions of the form  $w_{01}(q, \varepsilon, b, q')$ . Then, using Corolary 11, we construct from the input word  $s \in \Sigma^*$  and  $T'$  a **swA**  $B_{s,T'}$ , such that for all  $t \in \Omega^*$ ,  $B_{s,T'}(t) = d(s, t)$ . Next, we compute the **sw-VPA**  $B_{s,T'} \otimes A$ , using Proposition 14. It remains to compute a best nested-word  $t \in \Omega^*$  using the best-search procedure of Proposition 17. ◀

The **sw**-parsing generalizes the problem of searching the best derivation (AST) of a weighted CF-grammar that yields a given input word. The latter problem, sometimes called *weighted parsing*, (see *e.g.* [13] and [23] for general weighted parsing frameworks) corresponds to **sw**-parsing in the case of finite alphabets, a transducer  $T$  computing the identity and some **sw-VPA**  $A$  obtained from the weighted CF grammar. Indeed, the *depth-first* traversal of an AST  $\tau$  yields a well-parenthesised word  $\text{lin}(\tau)$  over an alphabet  $\Omega = \Omega_i \uplus \Omega_c \uplus \Omega_r$ , assuming *e.g.* that  $\Omega_i$  contain the symbols labelling the leaves of  $\tau$  (symbols of rank 0) and  $\Omega_c$  and  $\Omega_r$  contain respectively one left and right parenthesis  $\langle_b$  and  $\rangle_b$  for each symbol  $b$  labelling inner nodes of  $\tau$  (symbols of rank  $> 0$ ). With this representation, the projection  $\text{lin}(t)|_{\Omega_i}$  is then the sequence of leaves of  $\tau$ . We show in Appendix A how to convert a (**sw**) tree automaton  $A$  into a **sw-VPA** computing  $A(\text{lin}(\tau))$  for every tree  $\tau$ . That also holds for the set of ASTs of a weighted CF-grammar.

Ah oui, ça aurait pu être dit avant.

## Conclusion

We have introduced weighted language models (SW transducers and visibly pushdown automata) computing over infinite alphabets, and applied them to the problem of parsing with infinitely many possible input symbols (typically timed events). This approach extends conventional parsing and weighted parsing by computing a derivation tree modulo a generic distance between words, defined by a SW transducer given in input. This enables to consider finer word relationships than strict equality, opening possibilities of quantitative analysis via this method.

Ongoing and future work include

- The study of other theoretical properties of SW models, such as the extension of the best search algorithm from 1-best to  $n$ -best [17], and to  $k$ -closed semirings [20] (instead of *bounded*, which corresponds to 0-closed).
- ...there is room to improve the complexity bounds for the algorithms ... modular approach with oracles ...
- present here an offline algorithm for best search, semi-online implementation for AMT (bar-by-bar approach) with an on-the-fly automata construction.

2 lines Application to Automated Music Transcription: implementation  $\neq$  but same principle, on-the-fly automata construction during best search, for efficiency.

TODO future work

## References

- 1 Rajeev Alur and Parthasarathy Madhusudan. Adding nesting structure to words. *Journal of the ACM (JACM)*, 56(3):1–43, 2009.
- 2 Mikołaj Bojańczyk, Claire David, Anca Muscholl, Thomas Schwentick, and Luc Segoufin. Two-variable logic on data words. *ACM Transactions on Computational Logic (TOCL)*, 12(4):1–26, 2011.
- 3 Patricia Bouyer, Antoine Petit, and Denis Thérien. An algebraic approach to data languages and timed languages. *Information and Computation*, 182(2):137–162, 2003.

- 477   **4**   Mathieu Caralp, Pierre-Alain Reynier, and Jean-Marc Talbot. Visibly pushdown automata  
478       with multiplicities: finiteness and k-boundedness. In *International Conference on Developments*  
479       *in Language Theory*, pages 226–238. Springer, 2012.
- 480   **5**   Hubert Comon, Max Dauchet, Rémi Gilleron, Florent Jacquemard, Christoph Löding, Denis  
481       Lugiez, Sophie Tison, and Marc Tommasi. *Tree Automata Techniques and Applications*.  
482       <http://tata.gforge.inria.fr>, 2007.
- 483   **6**   Loris D’Antoni and Rajeev Alur. Symbolic visibly pushdown automata. In *International*  
484       *Conference on Computer Aided Verification*, pages 209–225. Springer, 2014.
- 485   **7**   Loris D’Antoni and Margus Veanes. The power of symbolic automata and transducers. In  
486       *International Conference on Computer Aided Verification*, pages 47–67. Springer, 2017.
- 487   **8**   Loris D’Antoni and Margus Veanes. Automata modulo theories. *Communications of*  
488       *the ACM*, 64(5):86–95, 2021. URL: [seealsoseealsohttps://pages.cs.wisc.edu/~loris/](https://pages.cs.wisc.edu/~loris/symbolicautomata.html)  
489       [symbolicautomata.html](https://pages.cs.wisc.edu/~loris/symbolicautomata.html).
- 490   **9**   E. W. Dijkstra. A note on two problems in connexion with graphs. *Numerische Mathematik*,  
491       1(1):269–271, 1959.
- 492   **10**   Manfred Droste and Werner Kuich. Semirings and formal power series. In *Handbook of*  
493       *Weighted Automata*, pages 3–28. Springer, 2009.
- 494   **11**   Manfred Droste, Werner Kuich, and Heiko Vogler. *Handbook of weighted automata*. Springer  
495       Science & Business Media, 2009.
- 496   **12**   Francesco Foscari, Florent Jacquemard, Philippe Rigaux, and Masahiko Sakai. A Parse-  
497       based Framework for Coupled Rhythm Quantization and Score Structuring. In *Mathematics*  
498       *and Computation in Music (MCM)*, volume 11502 of *Lecture Notes in Artificial Intelligence*,  
499       Madrid, Spain, 2019. Springer. URL: <https://hal.inria.fr/hal-01988990>, doi:10.1007/  
500       978-3-030-21392-3\_20.
- 501   **13**   Joshua Goodman. Semiring parsing. *Computational Linguistics*, 25(4):573–606, 1999.
- 502   **14**   Elaine Gould. *Behind Bars: The Definitive Guide to Music Notation*. Faber Music, 2011.
- 503   **15**   Dick Grune and Criel J.H. Jacobs. *Parsing Techniques*. Number 2nd edition in Monographs  
504       in Computer Science. Springer, 2008.
- 505   **16**   Liang Huang. Advanced dynamic programming in semiring and hypergraph frameworks. In  
506       *In COLING*, 2008.
- 507   **17**   Liang Huang and David Chiang. Better k-best parsing. In *Proceedings of the Ninth International*  
508       *Workshop on Parsing Technology*, Parsing ’05, pages 53–64, Stroudsburg, PA, USA, 2005.  
509       Association for Computational Linguistics. URL: [http://dl.acm.org/citation.cfm?id=](http://dl.acm.org/citation.cfm?id=1654494)  
510       1654494.1654500.
- 511   **18**   Michael Kaminski and Nissim Francez. Finite-memory automata. *Theor. Comput. Sci.*,  
512       134:329–363, November 1994. URL: [http://dx.doi.org/10.1016/0304-3975\(94\)90242-9](http://dx.doi.org/10.1016/0304-3975(94)90242-9),  
513       doi:[http://dx.doi.org/10.1016/0304-3975\(94\)90242-9](http://dx.doi.org/10.1016/0304-3975(94)90242-9).
- 514   **19**   Sylvain Lombardy and Jacques Sakarovitch. The removal of weighted  $\varepsilon$ -transitions. In  
515       *International Conference on Implementation and Application of Automata*, pages 345–352.  
516       Springer, 2012.
- 517   **20**   Mehryar Mohri. Semiring frameworks and algorithms for shortest-distance problems. *Journal*  
518       *of Automata, Languages and Combinatorics*, 7(3):321–350, 2002.
- 519   **21**   Mehryar Mohri. Edit-distance of weighted automata: General definitions and al-  
520       gorithms. *International Journal of Foundations of Computer Science*, 14(06):957–982,  
521       2003. URL: <https://www.worldscientific.com/doi/abs/10.1142/S0129054103002114>,  
522       arXiv:<https://www.worldscientific.com/doi/pdf/10.1142/S0129054103002114>, doi:10.  
523       1142/S0129054103002114.
- 524   **22**   Mehryar Mohri. Edit-distance of weighted automata: General definitions and algorithms.  
525       *International Journal of Foundations of Computer Science*, 14(06):957–982, 2003.
- 526   **23**   Richard Mörbitz and Heiko Vogler. Weighted parsing for grammar-based language models.  
527       In *Proceedings of the 14th International Conference on Finite-State Methods and Natural*  
528       *Language Processing*, pages 46–55, Dresden, Germany, September 2019. Association for



- 529 Computational Linguistics. URL: <https://www.aclweb.org/anthology/W19-3108>, doi:10.  
530 18653/v1/W19-3108.
- 531 24 Mark-Jan Nederhof. Weighted deductive parsing and Knuth's algorithm. *Computational*  
532 *Linguistics*, 29(1):135–143, 2003. URL: <https://doi.org/10.1162/089120103321337467>.
- 533 25 Frank Neven, Thomas Schwentick, and Victor Vianu. Finite state machines for strings  
534 over infinite alphabets. *ACM Trans. Comput. Logic*, 5(3):403–435, July 2004. URL: <http://doi.acm.org/10.1145/1013560.1013562>, doi:10.1145/1013560.1013562.
- 535
- 536 26 Luc Segoufin. Automata and logics for words and trees over an infinite alphabet. In *Computer*  
537 *Science Logic*, volume 4207 of *LNCS*. Springer, 2006.
- 538 27 Moshe Y Vardi. Linear-time model checking: automata theory in practice. In *International*  
539 *Conference on Implementation and Application of Automata*, pages 5–10. Springer, 2007.

## 540 **A** Nested-Words and Parse-Trees

541 The hierarchical structure of nested-words, defined with the *call* and *return* markup symbols  
 542 suggest a correspondence with trees. The lifting of this correspondence to languages, of tree  
 543 automata and VPA, has been discussed in [1], and [4] for the weighted case. In this section,  
 544 we describe a correspondence between the symbolic-weighted extensions of tree automata  
 545 and VPA.

546 Let  $\Omega$  be a countable ranked alphabet, such that every symbol  $a \in \Omega$  has a rank  
 547  $\text{rk}(a) \in [0..M]$  where  $M$  is a fixed natural number. We denote by  $\Omega_k$  the subset of all symbols  
 548  $a$  of  $\Omega$  with  $\text{rk}(a) = k$ , where  $0 \leq k \leq M$ , and  $\Omega_{>0} = \Omega \setminus \Omega_0$ . The free  $\Omega$ -algebra of finite,  
 549 ordered,  $\Omega$ -labeled trees is denoted by  $\mathcal{T}(\Omega)$ . It is the smallest set such that  $\Omega_0 \subset \mathcal{T}(\Omega)$   
 550 and for all  $1 \leq k \leq M$ , all  $a \in \Omega_k$ , and all  $t_1, \dots, t_k \in \mathcal{T}(\Omega)$ ,  $a(t_1, \dots, t_k) \in \mathcal{T}(\Omega)$ . Let us  
 551 assume a commutative semiring  $\mathbb{S}$  and a label theory  $\bar{\Phi}$  over  $\mathbb{S}$  containing one set  $\Phi_{\Omega_k}$  for  
 552 each  $k \in [0..M]$ .

553 **► Definition 19.** A symbolic-weighted tree automaton (*swTA*) over  $\Omega$ ,  $\mathbb{S}$ , and  $\bar{\Phi}$  is a triplet  
 554  $A = \langle Q, \text{in}, \bar{w} \rangle$  where  $Q$  is a finite set of states,  $\text{in} : Q \rightarrow \Phi_{\Omega}$  is the starting weight function,  
 555 and  $\bar{w}$  is a tuple of transition functions containing, for each  $k \in [0..M]$ , the functions  
 556  $w_k : Q \times Q^k \rightarrow \Phi_{\Omega_{>0}, \Omega_k}$  and  $w_k^e : Q \times Q^k \rightarrow \Phi_{\Omega_k}$ .

557 We define a transition function  $w : Q \times (\Omega_{>0} \cup \{\varepsilon\}) \times \Omega \times \bigcup_{k=0}^M Q^k \rightarrow \mathbb{S}$  by:

$$\begin{aligned} 558 \quad w(q_0, a, b, q_1 \dots q_k) &= \eta(a, b) & \text{where } \eta &= w_k(q_0, q_1 \dots q_k) \\ w(q_0, \varepsilon, b, q_1 \dots q_k) &= \phi(b) & \text{where } \phi &= w_k^e(q_0, q_1 \dots q_k). \end{aligned}$$

559 where  $q_1 \dots q_k$  is  $\varepsilon$  if  $k = 0$ . The first case deals with a strict subtree, with a parent node  
 560 labeled by  $a$ , and the second case is for a root tree.

561 Every swTA defines a mapping from trees of  $\mathcal{T}(\Omega)$  into  $\mathbb{S}$ , based on the following intermediate  
 562 function  $\text{weight}_A : Q \times (\Omega \cup \{\varepsilon\}) \times \mathcal{T}(\Omega) \rightarrow \mathbb{S}$

$$563 \quad \text{weight}_A(q_0, a, t) = \bigoplus_{q_1 \dots q_k \in Q^k} w(q_0, a, b, q_1 \dots q_k) \otimes \bigotimes_{i=1}^k \text{weight}_A(q_i, b, t_i) \quad (10)$$

564 where  $q_0 \in Q$ ,  $a \in \Omega_{>0} \cup \{\varepsilon\}$  and  $t = b(t_1, \dots, t_k) \in \mathcal{T}(\Omega)$ ,  $0 \leq k \leq M$ .

565 Finally, the weight associated by  $A$  to  $t \in \mathcal{T}(\Omega)$  is

$$566 \quad A(t) = \bigoplus_{q \in Q} \text{in}(q) \otimes \text{weight}_A(q, \varepsilon, t) \quad (11)$$

567 Intuitively,  $w(q_0, a, b, q_1 \dots q_k)$  can be seen as the weight of a production rule  $q_0 \rightarrow b(q_1, \dots, q_k)$   
 568 of a regular tree grammar [5], that replaces the non-terminal symbol  $q_0$  by  $b(q_1, \dots, q_k)$ ,  
 569 provided that the parent of  $q_0$  is labeled by  $a$  (or  $q_0$  is the root node if  $a = \varepsilon$ ). The  
 570 above production rule can also be seen as a rule of a weighted CF grammar, of the form  
 571  $[a, b] q_0 := q_1 \dots q_k$  if  $k > 0$ , and  $[a] q_0 := b$  if  $k = 0$ . In the first case,  $b$  is a label of the rule,  
 572 and in the second case, it is a terminal symbol. And in both cases,  $a$  is a constraint on the  
 573 label of rule applied on the parent node in the derivation tree. This features of observing  
 574 the parent's label are useful in the case of infinite alphabet, where it is not possible to  
 575 memorize a label with the states. The weight of a labeled derivation tree  $t$  of the weighted  
 576 CF grammar associated to  $A$  as above, is  $\text{weight}_A(q, t)$ , when  $q$  is the start non-terminal. We  
 577 shall now establish a correspondence between such derivation tree  $t$  and some word describing  
 578 a linearization of  $t$ , in a way that  $\text{weight}_A(q, t)$  can be computed by a sw-VPA.

579 Let  $\hat{\Omega}$  be the countable (unranked) alphabet obtained from  $\Omega$  by:  $\hat{\Omega} = \Omega_i \uplus \Omega_c \uplus \Omega_r$ , with  
 580  $\Omega_i = \Omega_0$ ,  $\Omega_c = \{ \langle a \mid a \in \Omega_{>0} \rangle \}$ ,  $\Omega_r = \{ \langle a \rangle \mid a \in \Omega_{>0} \}$ .

581 We associate to  $\hat{\Omega}$  a label theory  $\hat{\Phi}$  like in Section 4, and we define a linearization of trees of  
 582  $\mathcal{T}(\Omega)$  into words of  $\hat{\Omega}^*$  as follows:

583  $\text{lin}(a) = a$  for all  $a \in \Omega_0$ ,

584  $\text{lin}(b(t_1, \dots, t_k)) = \langle_b \text{lin}(t_1) \dots \text{lin}(t_k)_b \rangle$  when  $b \in \Omega_k$  for  $1 \leq k \leq M$ .

585 ► **Proposition 20.** *For all swTA  $A$  over  $\Omega$ ,  $\mathbb{S}$  commutative, and  $\bar{\Phi}$ , there exists an effectively*  
 586 *constructible sw-VPA  $A'$  over  $\hat{\Omega}$ ,  $\mathbb{S}$  and  $\hat{\Phi}$  such that for all  $t \in \mathcal{T}(\Omega)$ ,  $A'(\text{lin}(t)) = A(t)$ .*

587 **Proof.** Let  $A = \langle Q, \text{in}, \bar{w} \rangle$  where  $\bar{w}$  is presented as above by a function We build  $A' =$   
 588  $\langle Q', P', \text{in}', \bar{w}', \text{out}' \rangle$ , where  $Q' = \bigcup_{k=0}^M Q^k$  is the set of sequences of state symbols of  $A$ , of  
 589 length at most  $M$ , including the empty sequence denoted by  $\varepsilon$ , and where  $P' = Q'$  and  $\bar{w}'$  is  
 590 defined by:

$$\begin{array}{lll}
 \mathbf{w}_i(q_0 \bar{u}, \langle_c \bar{p}, a, \bar{u} \rangle) & = & \mathbf{w}(q_0, c, a, \varepsilon) \quad \text{for all } c \in \Omega_{>0}, a \in \Omega_0 \\
 \mathbf{w}_i^e(q_0 \bar{u}, a, \bar{u}) & = & \mathbf{w}(q_0, \varepsilon, a, \varepsilon) \quad \text{for all } a \in \Omega_0 \\
 \mathbf{w}_c(q_0 \bar{u}, \langle_c \bar{p}, \langle_d \bar{u}, \bar{q} \rangle) & = & \mathbf{w}(q_0, c, d, \bar{q}) \quad \text{for all } c, d \in \Omega_{>0} \\
 591 \quad \mathbf{w}_c^e(q_0 \bar{u}, \langle_c \bar{u}, \bar{q} \rangle) & = & \mathbf{w}(q_0, \varepsilon, c, \bar{q}) \quad \text{for all } c \in \Omega_{>0} \\
 \mathbf{w}_r(\varepsilon, \langle_c \bar{p}, c \rangle, \bar{p}) & = & \mathbb{1} \quad \text{for all } c \in \Omega_{>0} \\
 \mathbf{w}_r^e(\bar{u}, c, \bar{q}) & = & \mathbb{0} \quad \text{for all } c \in \Omega_{>0}
 \end{array}$$

592 All cases not matched by one of the above equations have a weight  $\mathbb{0}$ , for instance  $\mathbf{w}_r(\bar{u}, \langle_c \bar{p}, d \rangle, \bar{q}) =$   
 593  $\mathbb{0}$  if  $c \neq d$  or  $\bar{u} \neq \varepsilon$  or  $\bar{q} \neq \bar{p}$ . ◀

594 **Todo list**

595	register: skip refs and details, add Mikolaj recent . . . . .	2
596	La figure 2 est citée avant la figure 1 mais apparait longtemps après. A corriger. . .	2
597	Tu fais une différence entre model et automata? . . . . .	2
598	This sentence (symbols as variables) is not immediately clear to me. Maybe a short	
599	example or intuition? . . . . .	2
600	modified . . . . .	2
601	Tu veux dire: les modèles formels que tu combines? . . . . .	2
602	chap. intersection in [15] . . . . .	3
603	The notation $A_{T,s}$ has not been introduced so far. It is not clear why $T$ is a	
604	parameter there . . . . .	3
605	expressiveness: VPA have restricted equality test. comparable to pebble automata?	
606	→ conclusion . . . . .	3
607	is total necessary? . . . . .	4
608	Ca j'ai pas compris . . . . .	4
609	Here the difference between $\mathbb{S}$ as a structure and as a domain is blurred. . . . .	4
610	$j \in \mathbb{N}$ : $j$ is an element of $\mathbb{N}$ , not the same as $j \subset \mathbb{N}$ . . . . .	4
611	results of this paper: for semirings commutative, bounded, total and complete . . .	4
612	OK, donc c'est là que les fonctions d'étiquettes prennent en argument l'input de la	
613	règle. Je ne sais pas dans quelle mesure il faut donner un peu d'explications pour	
614	faciliter la compréhension du formalisme. . . . .	5
615	partial application is needed? . . . . .	5
616	notion of diagram of functions akin BDD for transitions in practice . . . . .	5
617	mv appendix? . . . . .	5
618	Je trouve qu'il y a beaucoup de notions à retenir (complete, effective) et ça devient	
619	difficile pour un lecteur non spécialiste. Est-ce que tout est nécessaire (je ne sais	
620	plus qui m'avait dit: un concept en plus, un point en moins. . . . .	6
621	$\exists$ oracle returning ... in worst time complexity $T$ . . . . .	6
622	I missed sth: what is this $\varepsilon$ ? Intuitively clear but not defined? . . . . .	6
623	added $u$ and $v$ def . . . . .	6
624	OK tout ça se lit bien :-)	7
625	Je crois qu'il faudrait numéroter les exemples indépendamment des définitions. Cet	
626	exemple est le premier qui donne des détails sur l'application visée. Il arrive	
627	peut-être un peu tard et est long. On pourrait introduire la motivation dans	
628	l'intro, et développer des petits exemples au fur et à mesure. . . . .	7
629	unique → similar . . . . .	7
630	similar → single . . . . .	7
631	modif. . . . .	7
632	changed end . . . . .	7
633	reformulated this sentence . . . . .	8
634	ccl to the ex . . . . .	8
635	proof correctness . . . . .	9
636	revise with nb of tr. and states . . . . .	9
637	Là je crois qu'il faudrait expliquer ces Omega, je commence à fatiguer et je suis un peu	
638	largué par toutes ces définitions. J'intuite qu'il s'agit des symboles, parenthèses	
639	ouvrantes et fermantes? Pourquoi il faut un alphabet pour les parenthèses? . . .	9
640	Est-ce que tout le monde sait ce qu'est un pushdown automata? Je suppose que	
641	c'est lié à la pile. . . . .	9

642	■ moved this to the beginning . . . . .	9
643	■ intro to func . . . . .	10
644	■ introduced the 6 cases . . . . .	10
645	■ notation $cp$ for $\langle c, p \rangle$ ? . . . . .	10
646	■ $c\ p$ to $\langle c, p \rangle$ . . . . .	10
647	■ todo example VPA . . . . .	10
648	■ total? . . . . .	11
649	■ introduced 2 cases for $b$ . . . . .	11
650	■ so ? . . . . .	11
651	■ $b_{\top}$ : mot bien parenthésé $c/r$ . . . . .	11
652	■ explication Fig. 3 suivant cas de (5) . . . . .	11
653	■ complete ** . . . . .	11
654	■ detail with nb tr. and states . . . . .	11
655	■ total? . . . . .	12
656	■ Ah oui, ça aurait pu être dit avant. . . . .	13
657	■ 2 lines Application to Automated Music Transcription: implementation $\neq$ but same principle, on-the-fly automata construction during best search, for efficiency. . . .	13
658		
659	■ TODO future work . . . . .	13