Symbolic Weighted Language Models andQuantitative Parsing over Infinite Alphabets

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S Abstract

- We propose a framework for weighted parsing over infinite alphabets. It is based on language models called Symbolic Weighted Automata (swA) at the joint between Symbolic Automata (sA) and
- 8 Weighted Automata (wA), as well as Transducers (swT) and Visibly Pushdown (sw-VPA) variants.
- 9 Like sA, swA deal with large or infinite input alphabets, and like wA, they output a weight value
- in a semiring domain. The transitions of swA are labeled by functions from an infinite alphabet
- into the weight domain. This is unlike sA whose transitions are guarded by boolean predicates
- $_{12}$ overs symbols in an infinite alphabet and also unlike wA whose transitions are labeled by constant
- 13 weight values, and who deal only with finite automata. We present some properties of swA, swT
- $_{14}$ $\,$ and sw-VPA models, that we use to define and solve a variant of parsing over infinite alphabets.
- 15 We illustrate the models with examples taken from a motivating application, namely a parse-based
- 16 approach to automated music transcription.
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1 Introduction

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Parsing is the problem of structuring a linear representation on input (a finite word), according to a language model. Most of the context-free parsing approaches [15] assume a finite and reasonably small input alphabet. Such a restriction makes perfect sense in the context of NLP tasks such as constituency parsing, or of programming languages compilers or interpreters. Considering large or infinite alphabets can however be of practical interest, for instance, when dealing with large characters encodings such as UTF-16, e.g. for vulnerability detection in Web-applications [8], for the analysis (e.g. validation or filtering) of data streams or serialization of structured documents (with textual or numerical attributes) [26], or for processing timed execution traces [3].

The latter case is related to a study that motivated the present work: automated music transcription. Most representations of music are essentially linear. This is true for audio files, but also for widely used symbolic representations like MIDI. Such representations ignore the hierarchical structures that frame the conception of music, at least in the western area. These structures, on the other hand, are present, either explicitly or implicitly, in music notation [14]: music scores are partitioned in measures, measures in beats, and so on so forth. Music events do not occur at arbitrary timestamps, but respect a discrete division of the timeline incurred by these recursive divisions. The transcription problem takes a linear input (audio or MIDI) and aims at re-constructing these structures by mapping input events to this hierarchical rhythmic space. It can therefore be stated as a parsing problem [12], over an infinite alphabet of timed events.

Various extensions of language models for handling infinite alphabets have been studied.

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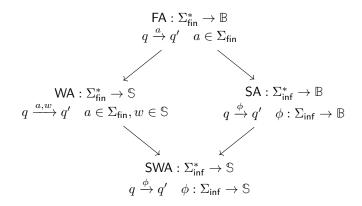


Figure 1 Classes of Symbolic/Weighted Automata. Σ_{fin} is a finite alphabet, Σ_{inf} is a countable alphabet, \mathbb{B} is the Boolean algebra, \mathbb{S} is a commutative semiring, $q \xrightarrow{\cdots} q'$ is a transition between states q and q'.

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For instance, some automata with memory extensions allow restricted storage and comparison of input symbols, (see [26] for a survey), with pebbles for marking positions [25], registers [18], or the possibility to compute on subsequences with the same attribute values [2]. The automata at the core of model checkers compute on input symbols represented by large bitvectors [27] (sets of assignments of Boolean variables) and in practice, each transition accepts a set of such symbols (instead of an individual symbol), represented by Boolean formula or Binary Decision Diagrams. Following a similar idea, in symbolic automata (sA) [7, 8], the transitions are guarded by predicates over infinite alphabet domains. With appropriate closure conditions on the sets of such predicates, all the good properties enjoyed by automata over finite alphabets are preserved.

Other extensions of language models help in dealing with non-determinism, by the computation of weight values. With an ambiguous grammar, there may exist several derivations (abstract syntax trees – AST) yielding one input word. The association of one weight value to each AST permits to select a best one (or n bests). This is roughly the principle of weighted parsing approaches [13, 24, 23]. In weighted language models, like e.g. probabilistic context-free grammars and weighted automata (wA) [11], a weight value is associated to each transition rule, and the rule's weights can be combined with an associative product operator \otimes into the weight of an AST. A second operator \oplus , associative and commutative, is moreover used to resolve the ambiguity raised by the existence of several (in general exponentially many) AST associated to a given input word. Typically, \oplus will select the best of two weight values. The weight domain, equipped with these two operators shall be, at minima, a semiring where \oplus can be extended to infinite sums, such as the Viterbi semiring and the tropical min-plus algebra, see Figure 2.

La figure 2 est citée avant la figure 1 mais apparait longtemps après. A 6 corriger.

Tu fais une différence entre model et automata?

Tu veux dire: les modèles formels que In this paper, we present a framework for weighted parsing over infinite input alphabets. It is based on *symbolic weighted* finite states language models (swM), generalizing both sA, with functions into an arbitrary semiring instead of Boolean guards, and wA, by handling infinite alphabets, see Figure 1. In the transition rules of swM models, input symbols appear as variables, and the weight associated to a transition rule is a function of these variables. The models presented here are finite automata called symbolic-weighted (swA), transducers (swT), and pushdown automata with a visibly restriction [1] (sw-VPA). The latter model of automata operates on *nested words* [1], a structured form of words parenthesized with markup symbols, corresponding to a linearization of trees. In the context of parsing, they

can represent (weighted) AST of CF grammars. More precisely, a sw-VPA A associates a weight value A(t) to a given nested word t, which is the linearization of an AST. On the other hand, a swT can define a distance T(s,t) between finite words s and t over infinite alphabets. Then, the SW-parsing problem aims at finding t minimizing $T(s,t) \otimes A(t)$ (wrt the ranking defined by \oplus), given an input word s. The latter value is called the distance between s and A in [21].

Like weighted-parsing methods [13, 24, 23], our approach proceeds in two steps, based on properties of the swM. The first step is an intersection (Bar-Hillel construction [15]) where, given a swT T, a sw-VPA A, and an input word s, a sw-VPA $A_{T,s}$ is built, such that for all t, $A_{T,s}(t) = T(s,t) \otimes A(t)$. In the second step, a best AST t is found by applying to $A_{T,s}$ a best search algorithm similar to the shortest distance in graphs [20, 17].

The main contributions of the paper are: (i) the introduction of automata, swA, transducers, swT (Section 3), and visibly pushdown automata sw-VPA (Section 4), generalizing the corresponding classes of symbolic and weighted models, (ii) a polynomial best-search algorithm for sw-VPA, and (iii) a uniform framework (Section 5) for parsing over infinite alphabets, the keys to which are (iii.a) the swT-based definition of generic edit distances between input and output (yield) words, and (iii.b) the use, convenient in this context, of nested words, and sw-VPA, instead of syntax trees and grammars.

chap. intersection in [15]

The notation $A_{T,s}$ has not been introduced so far. It is not clear why T is a parameter there

expressiveness: VPA have restricted equality test. comparable to pebble automata? \rightarrow conclusion

The results are established for a general class of semirings. They can be instantiated for con-

confusion in the text between the struture and the domain S. Not essential

Preliminary Notions

Semirings

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We shall consider semirings for the weight values of our language models. A semiring $\langle \mathbb{S}, \oplus, \mathbb{O}, \otimes, \mathbb{1} \rangle$ is a structure with a domain \mathbb{S} , equipped with two associative binary operators \oplus and \otimes , with respective neutral elements \mathbb{O} and $\mathbb{1}$, and such that:

 \oplus is commutative: $(\mathbb{S}, \oplus, \mathbb{O})$ is a commutative monoid and $(\mathbb{S}, \otimes, \mathbb{1})$ a monoid,

 \blacksquare 0 is absorbing for \otimes : $\forall x \in \mathbb{S}$, $\mathbb{O} \otimes x = x \otimes \mathbb{O} = \mathbb{O}$.

Intuitively, in the models presented in this paper, \oplus selects an optimal value from two given values, in order to handle non-determinism, and \otimes combines two values into a single value, in a chaining of transitions.

A semiring $\mathbb S$ is *commutative* if \otimes is commutative. It is *idempotent* if for all $x \in \mathbb S$, $x \oplus x = x$. Every idempotent semiring $\mathbb S$ induces a partial ordering \leq_{\oplus} called the *natural* ordering of $\mathbb S$ [20] defined, by: for all $x,y \in \mathbb S$, $x \leq_{\oplus} y$ iff $x \oplus y = x$. The natural ordering is sometimes defined in the opposite direction [10]; We follow here the direction that coincides with the usual ordering on the Tropical semiring min-plus (Figure 2). An idempotent semiring $\mathbb S$ is called total if it \leq_{\oplus} is total i.e. when for all $x,y \in \mathbb S$, either $x \oplus y = x$ or $x \oplus y = y$.

is total necessary?

Lemma 1 (Monotony, [20]). Let $\langle \mathbb{S}, \oplus, \mathbb{O}, \otimes, \mathbb{1} \rangle$ be an idempotent semiring. For all $x, y, z \in \mathbb{S}$, if $x \leq_{\oplus} y$ then $x \oplus z \leq_{\oplus} y \oplus z$, $x \otimes z \leq_{\oplus} y \otimes z$ and $z \otimes x \leq_{\oplus} z \otimes y$.

To express the property of Lemma 1, we call S monotonic $wrt \leq_{\oplus}$. Another important semiring property in the context of optimization is superiority [16], which corresponds to the non-negative weights condition in shortest-path algorithms [9]. Intuitively, it means that combining elements with \otimes always increase their weight. Formally, it is defined as the property (i) below.

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	domain	\oplus	\otimes	0	1
Boolean	$\{\bot, \top\}$	V	٨	Τ	Т
Counting	N	+	×	0	1
Viterbi	$[0,1] \subset \mathbb{R}$	max	×	0	1
Tropical min-plus	$\mathbb{R}_+ \cup \{\infty\}$	min	+	∞	0

Figure 2 Some commutative, bounded, total and complete semirings.

▶ **Lemma 2** (Superiority, Boundedness). Let $(S, \oplus, 0, \otimes, 1)$ be an idempotent semiring. The two following statements are equivalent:

i. for all $x, y \in \mathbb{S}$, $x \leq_{\oplus} x \otimes y$ and $y \leq_{\oplus} x \otimes y$ ii. for all $x \in \mathbb{S}$, $\mathbb{1} \oplus x = \mathbb{1}$.

Proof. $(ii) \Rightarrow (i) : x \oplus (x \otimes y) = x \otimes (\mathbb{1} \oplus y) = x$, by distributivity of \otimes over \oplus . Hence $x \leq_{\oplus} x \otimes y$. Similarly, $y \oplus (x \otimes y) = (\mathbb{1} \oplus x) \otimes y = y$, hence $y \leq_{\oplus} x \otimes y$. $(i) \Rightarrow (ii)$: by the 124 second inequality of (i), with y = 1, $1 \le_{\oplus} x \otimes 1 = x$, i.e., by definition of \le_{\oplus} , $1 \oplus x = 1$. 125

In [16], when the property (i) holds, S is called superior wrt the ordering \leq_{\oplus} . We have seen in the proof of Lemma 2 that it implies that $\mathbb{1} \leq_{\oplus} x$ for all $x \in \mathbb{S}$. Similarly, by the first inequality of (i) with $y=0, x\leq_{\oplus} x\otimes 0=0$. Hence, in a superior semiring, it holds that for all $x \in \mathbb{S}$, $\mathbb{1} \leq_{\oplus} x \leq_{\oplus} \mathbb{O}$. Intuitively, from an optimization point of view, it means that 1 is the best value, and 0 the worst. In [20], S with the property (ii) of Lemma 2 is called bounded – we shall use this term in the rest of the paper. It implies that, when looking for a best path in a graph whose edges are weighted by values of S, the loops can be safely avoided, because, for all $x \in \mathbb{S}$ and $n \geq 1$, $x \oplus x^n = x \otimes (\mathbb{1} \oplus x^{n-1}) = x$.

▶ **Lemma 3.** Every bounded semiring is idempotent.

Proof. By boundedness, $\mathbb{1} \oplus \mathbb{1} = \mathbb{1}$, and idempotency follows by multiplying both sides by x and distributing.

main is blurred

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 $j \in \mathbb{N}$: j is en element of \mathbb{N} , not the same s $j \subset \mathbb{N}$

We shall need below infinite sums with \oplus . A semiring S is called *complete* [11] if it has an operation $\bigoplus_{i\in I} x_i$ for every family $(x_i)_{i\in I}$ of elements of $dom(\mathbb{S})$ over an index set $I\subset\mathbb{N}$, such that:

i. infinite sums extend finite sums:
$$\bigoplus_{i \in \emptyset} x_i = \mathbb{O}, \quad \forall j \in \mathbb{N}, \bigoplus_{i \in \{j\}} x_i = x_j, \ \forall j, k \in \mathbb{N}, j \neq k, \bigoplus_{i \in \{j,k\}} x_i = x_j \oplus x_k,$$
if accordativity and commutativity:

ii. associativity and commutativity:

for all
$$I \subseteq \mathbb{N}$$
 and all partition $(I_j)_{j \in J}$ of I , $\bigoplus_{i \in J} \bigoplus_{i \in I_i} x_i = \bigoplus_{i \in I} x_i$,

for all
$$I \subseteq \mathbb{N}$$
 and all partition $(I_j)_{j \in J}$ of I , $\bigoplus_{j \in J} x_i = \bigoplus_{i \in I} x_i$,

iii. distributivity of product over infinite sum:

for all $I \subseteq \mathbb{N}$, $\bigoplus_{i \in I} (x \otimes y_i) = x \otimes \bigoplus_{i \in I} y_i$, and $\bigoplus_{i \in I} (x_i \otimes y) = (\bigoplus_{i \in I} x_i) \otimes y$.

results of this paper; for semirings com-mutative, bounded, total and complete

Label Theory

We shall now define the functions labeling the transitions of SW automata and transducers, generalizing the Boolean algebras of [7] from Boolean to other semiring domains. We consider alphabets, which are countable sets of symbols denoted Σ , Δ ,... Given a semiring $\langle \mathbb{S}, \oplus, \mathbb{O}, \otimes, \mathbb{1} \rangle$, a label theory over \mathbb{S} is a set $\bar{\Phi}$ of recursively enumerable sets denoted Φ_{Σ} ,

OK, donc c'est là que les fonc-tions d'étiquettes prennent en argu-15 ment l'input de la règle. Je ne sais p dans quelle mesure il faut donner un peu d'explications pour faciliter la com préhension du form

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containing unary functions of type \Sigma \to \mathbb{S}, or \Phi_{\Sigma,\Delta}, containing binary functions \Sigma \times \Delta \to \mathbb{S}, and such that:

154 - for all \Phi_{\Sigma,\Delta} \in \bar{\Phi}, we have \Phi_{\Sigma} \in \bar{\Phi} and \Phi_{\Delta} \in \bar{\Phi}

155 - every \Phi_{\Sigma} \in \bar{\Phi} contains all the constant functions from \Sigma into \mathbb{S},

156 - for all \alpha \in \mathbb{S} and \phi \in \Phi_{\Sigma}, \alpha \otimes \phi : x \mapsto \alpha \otimes \phi(x), and \phi \otimes \alpha : x \mapsto \phi(x) \otimes \alpha

157 belong to \Phi_{\Sigma}, and similarly for \oplus and for \Phi_{\Sigma,\Delta}

158 - for all \phi, \phi' \in \Phi_{\Sigma}, \phi \otimes \phi' : x \mapsto \phi(x) \otimes \phi'(x) belongs to \Phi_{\Sigma}

159 - for all \eta, \eta' \in \Phi_{\Sigma,\Delta}, \eta \otimes \eta' : x, y \mapsto \eta(x, y) \otimes \eta'(x, y) belongs to \Phi_{\Sigma,\Delta}

160 - for all \phi \in \Phi_{\Sigma} and \eta \in \Phi_{\Sigma,\Delta}, \phi \otimes_1 \eta : x, y \mapsto \phi(x) \otimes \eta(x, y) and

161 \eta \otimes_1 \phi : x, y \mapsto \eta(x, y) \otimes \phi(x) belong to \Phi_{\Sigma,\Delta}

162 - for all \psi \in \Phi_{\Delta} and \eta \in \Phi_{\Sigma,\Delta}, \psi \otimes_2 \eta : x, y \mapsto \psi(y) \otimes \eta(x, y) and

163 \eta \otimes_2 \psi : x, y \mapsto \eta(x, y) \otimes \psi(y) belong to \Phi_{\Sigma,\Delta}

164 - similar closures hold for \oplus.
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partial application is needed?

Intuitively, the operators \bigoplus_{Σ} return global minimum, $wrt \leq_{\oplus}$, of functions of Φ_{Σ} . When the semiring \mathbb{S} is complete, we consider the following operators on the functions of $\bar{\Phi}$.

$$\bigoplus_{\Sigma} : \Phi_{\Sigma} \to \mathbb{S}, \ \phi \mapsto \bigoplus_{a \in \Sigma} \phi(a)$$

$$\bigoplus_{\Sigma}^{1} : \Phi_{\Sigma,\Delta} \to \Phi_{\Delta}, \ \eta \mapsto \left(y \mapsto \bigoplus_{a \in \Sigma} \eta(a,y) \right) \quad \bigoplus_{\Delta}^{2} : \Phi_{\Sigma,\Delta} \to \Phi_{\Sigma}, \ \eta \mapsto \left(x \mapsto \bigoplus_{b \in \Delta} \eta(x,b) \right)$$

In what follows, we might omit the sub- and superscripts in \otimes_1 , \bigoplus_{Σ}^1 ..., when there is no ambiguity. We shall keep them only for the special case $\Sigma = \Delta$, *i.e.* $\eta \in \Phi_{\Sigma,\Sigma}$, in order to be able to distinguish between the first and the second argument.

Definition 4. A label theory $\bar{\Phi}$ is complete when the underlying semiring \mathbb{S} is complete, and for all $\Phi_{\Sigma,\Delta} \in \bar{\Phi}$ and all $\eta \in \Phi_{\Sigma,\Delta}$, $\bigoplus_{\Sigma}^1 \eta \in \Phi_{\Delta}$ and $\bigoplus_{\Delta}^2 \eta \in \Phi_{\Sigma}$.

175 The following facts are immediate.

liate.

▶ **Lemma 5.** For
$$\bar{\Phi}$$
 complete $\alpha \in \mathbb{S}$, $\phi, \phi' \in \Phi_{\Sigma}$, $\psi \in \Phi_{\Delta}$, and $\eta \in \Phi_{\Sigma,\Delta}$:

$$i. \bigoplus_{\Sigma} \bigoplus_{\Delta}^{2} \eta = \bigoplus_{\Delta} \bigoplus_{\Sigma}^{1} \eta$$

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178 *ii.*
$$\alpha \otimes \bigoplus_{\Sigma} \phi = \bigoplus_{\Sigma} (\alpha \otimes \phi)$$
 and $(\bigoplus_{\Sigma} \phi) \otimes \alpha = \bigoplus_{\Sigma} (\phi \otimes \alpha)$, and similarly for \oplus

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$$iii.$$
 $(\bigoplus_{\Sigma} \phi) \oplus (\bigoplus_{\Sigma} \phi') = \bigoplus_{\Sigma} (\phi \oplus \phi')$ and $(\bigoplus_{\Sigma} \phi) \otimes (\bigoplus_{\Sigma} \phi') = \bigoplus_{\Sigma} (\phi \otimes \phi')$

180 iv.
$$\left(\bigoplus_{\Delta}^{2} \eta\right) \oplus \left(\bigoplus_{\Delta}^{2} \eta'\right) = \bigoplus_{\Delta}^{2} (\eta \oplus \eta'), \ and \left(\bigoplus_{\Delta}^{2} \eta\right) \otimes \left(\bigoplus_{\Delta}^{2} \eta'\right) = \bigoplus_{\Delta}^{2} (\eta \otimes \eta')$$

¹⁸¹ v.
$$\phi \otimes \left(\bigoplus_{\Delta}^{2} \eta\right) = \bigoplus_{\Delta} (\phi \otimes_{1} \eta)$$
, and $\left(\bigoplus_{\Delta}^{2} \eta\right) \otimes \phi = \bigoplus_{\Delta} (\eta \otimes_{1} \phi)$, and similarly for \oplus

$$_2$$
 vi. $\psi \otimes \left(\bigoplus_{\Sigma}^{1} \eta\right) = \bigoplus_{\Sigma} (\psi \otimes_2 \eta)$, and $\left(\bigoplus_{\Sigma}^{1} \eta\right) \otimes \psi = \bigoplus_{\Sigma} (\eta \otimes_2 \psi)$, and similarly for \oplus

A label theory is called *effective* when for all $\phi \in \Phi_{\Sigma}$ and $\eta \in \Phi_{\Sigma,\Delta}$, $\bigoplus_{\Sigma} \phi$, $\bigoplus_{\Delta} \bigoplus_{\Sigma} \eta$, and $\bigoplus_{\Sigma} \bigoplus_{\Delta} \eta$ can be effectively computed from ϕ and η .

Concretely, in one of the language models defined below, we consider a finite number of base functions ϕ , η of the underlying label theory, labelling transitions, and combine them with the above operators for construction of other models. The combinations might be represented by dags (diagrams) whose leaves are labeled by base functions and inner nodes by operators.

notion of diagram of functions akin BDD for transitions in practice

mv appendix?

Je trouve qu'il y an beaucoup de notions à retenir (complete, effective) et ça devient difficile pour un lecteur non spécialiste. Est-ce que tout est nécessire (je ne sais plus qui m'avait dit: un concept en plus, un point en

 \exists oracle returning .. in worst time complexity T.

3 SW Automata and Transducers

We follow the approach of [21] for the computation of distances, between words and languages, using weighted transducers, and extend it to infinite alphabets. The models introduced in this section generalize weighted automata and transducers [11] by labeling each transition with a weight function (instead of a simple weight value), that takes the input and output symbols as parameters. These functions are similar to the guards of symbolic automata [7, 8], but they can return values in a generic semiring, whereas the latter guards are restricted to the Boolean semiring.

Let $\mathbb S$ be a commutative semiring, Σ and Δ be alphabets called respectively *input* and *output*, and $\bar{\Phi}$ be a label theory over $\mathbb S$ containing Φ_{Σ} , Φ_{Δ} , $\Phi_{\Sigma,\Delta}$.

Definition 6. A symbolic-weighted transducer (swT) over Σ , Δ , \mathbb{S} and $\bar{\Phi}$ is a tuple $T = \langle Q, \mathsf{in}, \bar{\mathsf{w}}, \mathsf{out} \rangle$, where Q is a finite set of states, $\mathsf{in}: Q \to \mathbb{S}$ (respectively $\mathsf{out}: Q \to \mathbb{S}$) are functions defining the weight for entering (respectively leaving) computation in a state, and $\bar{\mathsf{w}}$ is a triplet of transition functions $\mathsf{w}_{10}: Q \times Q \to \Phi_{\Sigma}$, $\mathsf{w}_{01}: Q \times Q \to \Phi_{\Delta}$, and $\mathsf{w}_{11}: Q \times Q \to \Phi_{\Sigma,\Delta}$.

We call number of transitions of T the number of pairs of states $q, q' \in Q$ such that w_{10} or w_{01} or w_{11} is not the constant \mathbb{O} . For convenience, we shall sometimes present transitions as functions of $Q \times (\Sigma \cup \{\varepsilon\}) \times (\Delta \cup \{\varepsilon\}) \times Q \to \mathbb{S}$, overloading the function names, such that, for all $q, q' \in Q$, $a \in \Sigma$, $b \in \Delta$,

I missed sth: what is this ε ? Intuitively clear but not defined?

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$$\begin{array}{llll} & \mathsf{w}_{10}(q,a,\varepsilon,q') & = & \phi(a) & & \mathrm{where} \ \phi = \mathsf{w}_{10}(q,q') \in \Phi_{\Sigma}, \\ & \mathsf{w}_{01}(q,\varepsilon,b,q') & = & \psi(b) & & \mathrm{where} \ \psi = \mathsf{w}_{01}(q,q') \in \Phi_{\Delta}, \\ & \mathsf{w}_{11}(q,a,b,q') & = & \eta(a,b) & & \mathrm{where} \ \eta = \mathsf{w}_{11}(q,q') \in \Phi_{\Sigma,\Delta}. \end{array}$$

The swT T computes on pairs of words $\langle s,t\rangle \in \Sigma^* \times \Delta^*$, s and t, being respectively called input and output word. More precisely, T defines a mapping from $\Sigma^* \times \Delta^*$ into $\mathbb S$, based on an intermediate function weight T defined recursively, for every states $q,q' \in Q$, and every pairs of strings $\langle s,t\rangle \in \Sigma^* \times \Delta^*$, where au, and bv, denote the concatenation of the symbol $a \in \Sigma$ (resp. $b \in \Delta$) with a word $u \in \Sigma^*$ (resp. $v \in \Delta^*$).

added u and v def

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OK tout ça se lit bien :-)

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We recall that, by convention (Section 2), an empty sum with \bigoplus is equal to \mathbb{O} . Intuitively, using a transition $\mathsf{w}_{ij}(q,a,b,q')$ means for T: when reading respectively a and b at the current positions in the input and output words, increment the current position in the input word if and only if i=1, and in the output word iff j=1, and change state from q to q'. When $a=\varepsilon$ (resp. $b=\varepsilon$), the current symbol in the input (resp. output) is not read. Since \mathbb{O}

is absorbing for \otimes in \mathbb{S} , one term $w_{ij}(q, a, b, q'')$ equal to \mathbb{O} in the above expression will be ignored in the sum, meaning that there is no possible transition from state q into state q' while reading a and b. This is analogous to the case of a transition's guard not satisfied by $\langle a, b \rangle$ for symbolic transducers.

The expression (1) can be seen as a stateful definition of an edit-distance between a word $s \in \Sigma^*$ and a word $t \in \Delta^*$, see also [22]. Intuitively, $\mathsf{w}_{10}(q,a,\varepsilon,r)$ is the cost of the deletion of the symbol $a \in \Sigma$ in s, $\mathsf{w}_{01}(q,\varepsilon,b,r)$ is the cost of the insertion of $b \in \Delta$ in t, and $\mathsf{w}_{11}(q,a,b,r)$ is the cost of the substitution of $a \in \Sigma$ by $b \in \Delta$. The cost of a sequence of such operations transforming s into t, is the product, with \otimes , of the individual costs of the operations involved; and the distance between s and t is the sum, with \oplus , of all possible products. Formally, the weight associated by T to $\langle s, t \rangle \in \Sigma^* \times \Delta^*$ is:

$$T(s,t) = \bigoplus_{q,q' \in Q} \operatorname{in}(q) \otimes \operatorname{weight}_{T}(q,s,t,q') \otimes \operatorname{out}(q')$$
 (2)

▶ Example 7. In Common Western Music Notation [14], several symbols may be used to represent one single sounding event. For instance, several notes can be combined with a tie, like in \downarrow , and one note can be augmented by half its duration with a dot like in \downarrow . These notations are perceived equivalent when played, as their duration is equal, yet the notation is different. We thus want to be able to compare a music score with music played by a performer. We propose a small weighted transducer model that calculates the distance bewteen an input sequence of sounding events (music "performance") to an output sequence of written events (music "score"). Let us consider the tropical (min-plus) semiring $\mathbb S$ of Figure 2 and let $\Sigma = \mathbb R_+$ be an input alphabet of event dates and $\Delta = \{e, -\} \times \mathbb R_+$ be an output alphabet of symbols with timestamps. A symbol $\langle e, d \rangle \in \Delta$ represents an event starting at date d, and $\langle -, d \rangle$ is a continuation of the previous event.

We consider a swT with two states q_0 and q_1 whose purpose is to compare a recorded performance $s \in \Sigma^*$ with a notated music sheet $t \in \Delta^*$. One timestamp $d_i \in \Sigma$ may correspond to one notated event $\langle \mathsf{e}, d_i' \rangle \in \Delta$, in which case the weight value computed by the swT is the time distance between both (see transitions w_{11} below). If $\langle \mathsf{e}, d_i' \rangle$ is followed by continuations $\langle -, d_{i+1}' \rangle \dots$, they are just skipped with no cost (transitions w_{01} or weight 1).

$$\begin{array}{lcl} \mathbf{w}_{11}(q_0,d,\langle\mathbf{e},d'\rangle,q_0) & = & |d'-d| & \quad \mathbf{w}_{11}(q_1,d,\langle\mathbf{e},d'\rangle,q_0) & = & |d'-d| \\ \mathbf{w}_{01}(q_0,\varepsilon,\langle-,d'\rangle,q_0) & = & \mathbb{1} & \quad \mathbf{w}_{01}(q_1,\varepsilon,\langle-,d'\rangle,q_0) & = & \mathbb{1} \\ \mathbf{w}_{10}(q_0,d,\varepsilon,q_1) & = & \alpha & \end{array}$$

We also must be able to take performing errors into account, while still being able to compare with the score, since a performer could, for example, play an unwritten extra note. This is modelled by the transition w_{10} with an arbitrary weight value $\alpha \in \mathbb{S}$, switching from state q_0 (normal) to q_1 (error). The transitions in the second column below switch back to the normal state q_0 . At last, we let q_0 be the only initial and final state, with $in(q_0) = out(q_0) = 1$, and $in(q_1) = out(q_1) = 0$.

That way, an swT is capable of evaluating the differences between a score and a performance, all the while ensuring that performance errors are plausible.

The Symbolic Weighted Automata are defined similarly as the transducers of Definition 6, by simply omitting the output symbols.

Je crois qu'il faudrait numéroter les exemples indépendamment des définitions. Cet exemple est le premier qui donne des détails sur l'application visée. Il arrive peutètre un peu tard et est long. On pourrait introduire la motivation dans l'intro, et développer des petits exemples au fur et à mesure.

 $unique \rightarrow similar$

 $similar \rightarrow single$

modif.

changed end

reformulated this sentence

ccl to the ex

 \Diamond

Definition 8. A symbolic-weighted automaton (swA) over Σ, S and $\bar{\Phi}$ is a tuple $A = \langle Q, \mathsf{in}, \mathsf{w}_1, \mathsf{out} \rangle$, where Q is a finite set of states, $\mathsf{in} : Q \to \mathbb{S}$ (respectively $\mathsf{out} : Q \to \mathbb{S}$) are functions defining the weight for entering (respectively leaving) computation in a state, and w₁ is a transition function from $Q \times Q$ into Φ_{Σ} .

As above in the case of swT, when $w_1(q,q') = \phi \in \Phi_{\Sigma}$, we may write $w_1(q,a,q')$ for $\phi(a)$.

The computation of A on words $s \in \Sigma^*$ is defined with an intermediate function weight_A,

defined as follows for $q, q' \in Q$, $a \in \Sigma$, $u \in \Sigma^*$,

weight
$$_A(q, \varepsilon, q) = \mathbb{1}$$
 (3)
weight $_A(q, \varepsilon, q') = \mathbb{0}$ if $q \neq q'$
weight $_A(q, au, q') = \bigoplus_{q'' \in Q} \mathsf{w}_1(q, a, q'') \otimes \mathsf{weight}_A(q'', u, q')$

and the weight value associated by A to $s \in \Sigma^*$ is defined as follows:

$$A(s) = \bigoplus_{q,q' \in Q} \mathsf{in}(q) \otimes \mathsf{weight}_A(q,s,q') \otimes \mathsf{out}(q') \tag{4}$$

The following property will be useful to the approach on symbolic weighted parsing presented in Section 5.

Proposition 9. Given a swT T over Σ , Δ , $\mathbb S$ commutative, bounded and complete, and $\bar{\Phi}$ effective, and a swA A over Σ , $\mathbb S$ and $\bar{\Phi}$, there exists an effectively constructible swA $B_{T,A}$ over Δ , $\mathbb S$ and $\bar{\Phi}$, such that for all $t \in \Delta^*$, $B_{T,A}(t) = \bigoplus_{s \in \Sigma^*} A(s) \otimes T(s,t)$.

Proof. Let $T = \langle Q, \mathsf{in}_T, \bar{\mathsf{w}}, \mathsf{out}_T \rangle$, where $\bar{\mathsf{w}}$ contains w_{10} , w_{01} , and w_{11} , from $Q \times Q$ into respectively Φ_{Σ} , Φ_{Δ} , and $\Phi_{\Sigma,\Delta}$, and let $A = \langle P, \mathsf{in}_A, \mathsf{w}_1, \mathsf{out}_A \rangle$ with $\mathsf{w}_1 : Q \times Q \to \Phi_{\Sigma}$. The 286 state set of $B_{T,A}$ will be $Q' = P \times Q$. The entering, leaving and transition functions of $B_{T,A}$ 287 will simulate synchronized computations of A and T, while reading an output word of Δ^* . Its state entering functions is defined for all $p \in P$, $q \in Q$ by $\mathsf{in}'(p,q) = \mathsf{in}_A(p) \otimes \mathsf{in}_T(q)$. The 289 transition function w'_1 will roughly perform a synchronized product of transitions defined by 290 w_1, w_{01} (T reading in output word and not an input word) and w_{11} (T reading both an input 291 word and an output word). Moreover, w'_1 also needs to simulate transitions defined by w_{10} : 292 T reading in input word and not an output word. Since $B_{T,A}$ will read only in the output word, such a transition corresponds to an ε -transition of swA, but swA have been defined 294 without ε -transitions. Therefore, in order to take care of this case, we perform an on-the-fly 295 suppression of ε -transition in the swA in construction, following the algorithm of [19]. Initially, for all $p_1, p_2 \in P$, and $q_1, q_2 \in Q$, let 297

$$\mathsf{w}_1'\big(\langle p_1,q_1\rangle,\langle p_2,q_2\rangle\big)=\mathsf{w}_1(p_1,p_2)\otimes\big[\mathsf{w}_{01}(q_1,q_2)\oplus\bigoplus_\Sigma\mathsf{w}_{11}(q_1,q_2)\big].$$

Iterate the following for all $p_1 \in P$ and $q_1, q_2 \in Q$: for all $p_2 \in P$ and $q_3 \in Q$,

$$\mathsf{w}_1'ig(\langle p_1,q_1
angle,\langle p_2,q_3
angleig) \oplus = igoplus_\Sigma \mathsf{w}_{10}(q_1,q_2) \otimes \mathsf{w}_1'ig(\langle p_1,q_2
angle,\langle p_2,q_3
angleig)$$

proof correctness 30

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and
$$\operatorname{\mathsf{out}}'(p_1,q_1) \oplus = \bigoplus_{\Sigma} \mathsf{w}_{10}(q_1,q_2) \otimes \operatorname{\mathsf{out}}'(p_1,q_2)$$

The construction time and size for $B_{T,A}$ are $O(||T||^3.||A||^2)$, where the sizes ||T|| and ||A|| are their number of states.

Corollary 10. Given a swT T over Σ , Δ , $\mathbb S$ commutative, bounded and complete, and $\bar{\Phi}$ effective, and $s \in \Sigma^+$, there exists an effectively constructible swA $B_{T,s}$ over Δ , $\mathbb S$ and $\bar{\Phi}$, such that for all $t \in \Delta^*$, $B_{T,s}(t) = T(s,t)$.

4 SW Visibly Pushdown Automata

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The model presented in this section generalizes Symbolic VPA [6] from Boolean semirings to arbitrary semiring weight domains. It will compute on nested words over infinite alphabets, associating to every such word a weight value. Nested words are able to describe structures of labeled trees, and in the context of parsing, they will be useful to represent AST.

Let Ω be a countable alphabet that we assume partitioned into three subsets Ω_i , Ω_c , Ω_r , whose elements are respectively called *internal*, call and return symbols. Let $\langle \mathbb{S}, \oplus, \mathbb{O}, \otimes, \mathbb{1} \rangle$ be a commutative and complete semiring and let $\bar{\Phi} = \langle \Phi_i, \Phi_c, \Phi_r, \Phi_{ci}, \Phi_{cc}, \Phi_{cr} \rangle$ be a label theory over \mathbb{S} where Φ_i , Φ_c , Φ_r and Φ_{cx} (with $x \in \{i, c, r\}$) stand respectively for Φ_{Ω_i} , Φ_{Ω_c} , Φ_{Ω_r} and $\Phi_{\Omega_c, \Omega_x}$.

Definition 11. A Symbolic Weighted Visibly Pushdown Automata (sw-VPA) over $\Omega = \Omega_{\rm i} \uplus \Omega_{\rm c} \uplus \Omega_{\rm r}$, S and $\bar{\Phi}$ is a tuple $A = \langle Q, P, {\sf in}, \bar{\sf w}, {\sf out} \rangle$, where Q is a finite set of states, P is a finite set of stack symbols, ${\sf in}: Q \to \mathbb{S}$ (respectively out: $Q \to \mathbb{S}$) are functions defining the weight for entering (respectively leaving) a state, and $\bar{\sf w}$ is a sextuplet composed of the transition functions: ${\sf w_i}: Q \times P \times Q \to \Phi_{\sf ci}$, ${\sf w_i^e}: Q \times Q \to \Phi_{\sf i}$, ${\sf w_c}: Q \times P \times Q \times P \to \Phi_{\sf cc}$, ${\sf w_c^e}: Q \times P \times Q \to \Phi_{\sf c}$, ${\sf w_r^e}: Q \times Q \to \Phi_{\sf r}$.

Là je crois qu'il faudrait expliquer ces Omega, je comega, je comence à fatiguer et je suis un peu largué par toutes ces définitions. J'intuite qu'il s'agit des symboles, parenthèses ouvrantes et fermantes? Pourquoi il faut un alphabet pour les parenthèses?

Est-ce que tout le monde sait ce qu'est un pushdown automata? Je suppose que c'est lié à la pile.

Similarly as in Section 3, we extend the above transition functions as follows for all $q, q' \in Q$, $p \in P$, $a \in \Omega_i$, $c \in \Omega_c$, $r \in \Omega_r$, overloading their names:

The intuition is the following for the above transitions. w_i^e , w_c^e , and w_r^e describe the cases where the stack is empty. w_i and w_i^e both read an input internal symbol a and change state from q to q', without changing the stack. Moreover, w_i reads a pair made of $c \in \Omega_c$ and $p \in P$ on the top of the stack (c is compared to a by the weight function $\eta_{ci} \in \Phi_{ci}$). w_c and w_c^e read the input call symbol c', push it to the stack along with p', and change state from q to to q'. Moreover, w_c reads c and p at the top of the stack (c is compared to c'). w_r and w_r^e read the input return symbol r, and change state from q to to q'. Moreover, w_r reads and pop from stack a pair made of c and c is compared to c').

moved this to the

Formally, the transitions of the automaton A are defined in term of an intermediate function weight_A , like in Section 3. A configuration, denoted by $q[\gamma]$, is here composed of a state $q \in Q$ and a stack content $\gamma \in \Gamma^*$, where $\Gamma = \Omega_{\mathsf{c}} \times P$. Hence, weight_A is a function from $[Q \times \Gamma^*] \times \Omega^* \times [Q \times \Gamma^*]$ into $\mathbb S$. The empty stack is denoted by \bot , and the upmost symbol is the last pushed content. The following functions illustrate each of the possible cases, being : reading $a \in \Omega_{\mathsf{i}}$, or $c \in \Omega_{\mathsf{c}}$, or $r \in \Omega_{\mathsf{r}}$ for each possible state of the stack (empty or not), to add to $u \in \Omega^*$.

intro to func

introduced the 6

 $\mathsf{weight}_A(q[\bot], \varepsilon, q'[\bot]) = \mathbb{1} \text{ if } q = q' \text{ and } \mathbb{0} \text{ otherwise}$ (5)

notation cp for $\langle c, p \rangle$?

XX:10 Symbolic Weighted Language Models and Parsing over Infinite Alphabets

$$\begin{aligned} & \text{weight}_A\big(q\left[\begin{array}{c} \langle c,p\rangle \\ \gamma \end{array}\right], a\,u, q'[\gamma']\big) = \bigoplus_{q'' \in Q} \mathsf{w}_\mathsf{i}(q,c,p,a,q'') \otimes \mathsf{weight}_A\big(q''\left[\begin{array}{c} \langle c,p\rangle \\ \gamma \end{array}\right], u, q'[\gamma']\big) \\ & \text{weight}_A\big(q[\bot], a\,u, q'[\gamma']\big) = \bigoplus_{q'' \in Q} \mathsf{w}_\mathsf{i}^\mathsf{e}(q,a,q'') \otimes \mathsf{weight}_A\big(q''[\bot], u, q'[\gamma']\big) \\ & \text{weight}_A\big(q\left[\begin{array}{c} \langle c,p\rangle \\ \gamma \end{array}\right], c'\,u, q'[\gamma']\big) = \bigoplus_{\substack{q'' \in Q \\ p' \in P}} \mathsf{w}_\mathsf{c}(q,c,p,c',p',q'') \otimes \mathsf{weight}_A\big(q''\left[\begin{array}{c} \langle c',p'\rangle \\ \langle c,p\rangle \\ \gamma \end{array}\right], u, q'[\gamma']\big) \\ & \text{weight}_A\big(q[\bot], c\,u, q'[\gamma']\big) = \bigoplus_{\substack{q'' \in Q \\ p \in P}} \mathsf{w}_\mathsf{c}(q,c,p,q'') \otimes \mathsf{weight}_A\big(q''[\langle c,p\rangle], u, q'[\gamma']\big) \\ & \text{weight}_A\big(q\left[\begin{array}{c} \langle c,p\rangle \\ \gamma \end{array}\right], r\,u, q'[\gamma']\big) = \bigoplus_{\substack{q'' \in Q \\ p \in P}} \mathsf{w}_\mathsf{r}(q,c,p,r,q'') \otimes \mathsf{weight}_A\big(q''[\gamma], u, q'[\gamma']\big) \\ & \text{weight}_A\big(q[\bot], r\,u, q'[\gamma']\big) = \bigoplus_{\substack{q'' \in Q \\ p'' \in Q}} \mathsf{w}_\mathsf{r}(q,c,p,r,q'') \otimes \mathsf{weight}_A\big(q''[\bot], u, q'[\gamma']\big) \\ & \text{weight}_A\big(q[\bot], r\,u, q'[\gamma']\big) = \bigoplus_{\substack{q'' \in Q \\ q'' \in Q}} \mathsf{w}_\mathsf{r}^\mathsf{e}(q,r,q'') \otimes \mathsf{weight}_A\big(q''[\bot], u, q'[\gamma']\big) \end{aligned}$$

c p to <c, p>

The weight associated by A to $s \in \Omega^*$ is defined according to empty stack semantics:

$$A(s) = \bigoplus_{q,q' \in Q} \operatorname{in}(q) \otimes \operatorname{weight}_A \bigl(q[\bot], s, q'[\bot]\bigr) \otimes \operatorname{out}(q'). \tag{6}$$

todo example VPA

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▶ **Example 12.** structured words with timed symbols... intro language of music notation? (markup = time division, leaves = events etc)

Every swA $A = \langle Q, \mathsf{in}, \mathsf{w}_1, \mathsf{out} \rangle$, over Σ , $\mathbb S$ and $\bar{\Phi}$ is a particular case of sw-VPA $\langle Q, \emptyset, \mathsf{in}, \bar{\mathsf{w}}, \mathsf{out} \rangle$ over Ω , $\mathbb S$ and $\bar{\Phi}$ with $\Omega_\mathsf{i} = \Sigma$ and $\Omega_\mathsf{c} = \Omega_\mathsf{r} = \emptyset$, and computing with an always empty stack: $\mathsf{w}_\mathsf{i}^\mathsf{e} = \mathsf{w}_1$ and all the other functions of $\bar{\mathsf{w}}$ are the constant $\mathbb O$.

Like VPA and symbolic VPA, the class of sw-VPA is closed under the binary operators of the underlying semiring.

Proposition 13. Let A_1 and A_2 be two sw-VPA over the same Ω , $\mathbb S$ and $\bar{\Phi}$. There exists two effectively constructible sw-VPA $A_1 \oplus A_2$ and $A_1 \otimes A_2$, such that for all $s \in \Omega^*$, $(A_1 \oplus A_2)(s) = A_1(s) \oplus A_2(s)$ and $(A_1 \otimes A_2)(s) = A_1(s) \otimes A_2(s)$.

Proof. The construction is essentially the same as in the case of the Boolean semiring [6].

total?

Let us assume that the semiring $\mathbb S$ is commutative, bounded, and complete, and that $\bar\Phi$ is an effective label theory. We propose a Dijkstra algorithm computing, for a sw-VPA A over Ω , $\mathbb S$ and $\bar\Phi$, the minimal weight for a word in Ω^* . We distinguish two cases: when the stack is empty, and when it is not. In the case of an empty stack, let $b_\perp: Q \times Q \to \mathbb S$ be such that:

introduced 2 cases for b 366

so?

$$b_{\perp}(q,q') = \bigoplus_{s \in \Omega^*} \mathsf{weight}_A \big(q[\perp], s, q'[\perp] \big). \tag{7}$$

Since \mathbb{S} is complete, the infinite sum in (7) is well defined, and, providing that \mathbb{S} is total, it is the minimum in Ω^* , $wrt \leq_{\oplus}$, of the fonction $s \mapsto \mathsf{weight}_A(q[\sigma], s, q'[\sigma])$. The term $q[\bot], s, q'[\bot]$ of this sum is the central expression in the definition (6) of $A(s_0)$, for the minimum s_0 of the function weight_A .

For all $q_0, q_3 \in Q$,

$$\begin{array}{lll} d_{\top}(q_1,p,q_3) & \oplus = & d_{\top}(q_1,p,q_2) \otimes \bigoplus_{\Omega_{\mathbf{i}}} \mathsf{w_i}(q_2,p,q_3) \\ \\ d_{\bot}(q_1,p,q_3) & \oplus = & d_{\bot}(q_1,q_2) \otimes \bigoplus_{\Omega_{\mathbf{i}}} \mathsf{w_i^e}(q_2,q_3) \\ \\ d_{\top}(q_0,p,q_3) & \oplus = & \bigoplus_{\Omega_{\mathbf{c}}} ^2 \left[\left(\mathsf{w_c}(q_0,p,p',q_1) \otimes_2 d_{\top}(q_1,p',q_2) \right) \otimes_2 \bigoplus_{\Omega_{\mathbf{r}}} \mathsf{w_r}(q_2,p',q_3) \right] \\ \\ d_{\bot}(q_0,q_3) & \oplus = & \bigoplus_{\Omega_{\mathbf{c}}} \left(\mathsf{w_c^e}(q_0,p,q_1) \otimes d_{\top}(q_1,p,q_2) \otimes \bigoplus_{\Omega_{\mathbf{r}}} \mathsf{w_r}(q_2,p,q_3) \right) \\ \\ d_{\bot}(q_1,q_3) & \oplus = & d_{\bot}(q_1,q_2) \otimes \bigoplus_{\Omega_{\mathbf{r}}} \mathsf{w_r^e}(q_2,q_3) \\ \\ d_{\top}(q_1,p,q_3) & \oplus = & d_{\top}(q_1,p,q_2) \otimes d_{\top}(q_2,p,q_3), \text{if } \langle q_2,\top,q_3 \rangle \notin P \\ \\ d_{\bot}(q_1,q_3) & \oplus = & d_{\bot}(q_1,q_2) \otimes d_{\bot}(q_2,q_3), \text{if } \langle q_2,\bot,q_3 \rangle \notin P \end{array}$$

Figure 3 Update d_{\perp} with $\langle q_1, q_2 \rangle$ or d_{\perp} with $\langle q_1, p, q_2 \rangle$.

 b_{\prod} : mot bien parenthèsé c/r

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If the stack is not empty, let \top be a fresh stack symbol which does not belong to Γ , and let $b_{\top}: Q \times P \times Q \to \Phi_{\mathbf{c}}$ be such that, for every two states $q, q' \in Q$ and stack symbol $p \in P$:

$$b_{\top}(q,p,q'): c \mapsto \bigoplus_{s \in \Omega^*} \mathsf{weight}_A \left(q \left[\begin{array}{c} \langle c,p \rangle \\ \top \end{array} \right], s,q' \left[\begin{array}{c} \langle c,p \rangle \\ \top \end{array} \right] \right) \tag{8}$$

Intuitively, the function defined in (8) associates to $c \in \Omega_c$ the minimum weight of a computation of A starting in state q with a stack $\langle c, p \rangle \cdot \gamma \in \Gamma^+$ and ending in state q' with the same stack, such that the computation can not pop the pair made of c and p at the top of this stack, but may only read these symbols. Moreover, A may push another pair $\langle c', p' \rangle$ on the top of $\langle c, p \rangle \cdot \gamma$, following the third case of in the definition (5) of weight_A, and may pop $\langle c', p' \rangle$ later, following the fifth case of (5) (return symbol).

Algorithm 1 Best search for sw-VPA

```
initially let \mathcal{Q} = (Q \times Q) \cup (Q \times P \times Q), and let d_{\perp}(q_1, q_2) = d_{\top}(q_1, p, q_2) = \mathbb{1} if q_1 = q_2 and d_{\perp}(q_1, q_2) = d_{\top}(q_1, p, q_2) = \mathbb{0} otherwise while \mathcal{Q} \neq \emptyset do

extract \langle q_1, q_2 \rangle or \langle q_1, p, q_2 \rangle from \mathcal{Q} such that d_{\perp}(q_1, q_2), resp.

\bigoplus_{c \in \Omega_c} d_{\top}(q_1, p, q_2)(c), is minimal in \mathbb{S} wrt \leq_{\oplus}

update d_{\perp} with \langle q_1, q_2 \rangle or d_{\top} with \langle q_1, p, q_2 \rangle (Figure 3).
```

Algorithm 1 constructs iteratively markings $d_{\perp}: Q \times Q \to \mathbb{S}$ and $d_{\top}: Q \times P \times Q \to \Phi_{\mathsf{c}}$ that converges eventually to b_{\top} and b_{\perp} .

The infinite sums in the updates of d in Algorithm 1, Figure 3 are well defined since \mathbb{S} is complete. ** effectively computable by hypothesis that the label theory is effective** The algorithm performs $2.|Q|^2$ iterations until P is empty, and each iteration has a time complexity $O(|Q|^2.|P|)$. That gives a time complexity $O(|Q|^4.|P|)$. It can be reduced by implementing P as a priority queue, prioritized by the value returned by d.

explication Fig. 3 suivant cas de (5)

complete **

detail with nb tr. and states

The correctness of Algorithm 1 is ensured by the invariant expressed in the following lemma.

▶ **Lemma 14.** For all $\langle q_1, q_2 \rangle \notin \mathcal{Q}$, $d_{\perp}(q_1, q_2) = b_{\perp}(q_1, q_2) / d_{\perp}(q_1, q_2)$

Symbolic Weighted Language Models and Parsing over Infinite Alphabets

The proof is by contradiction, assuming a counter-example minimal in the length of the witness word. 392

▶ **Lemma 15.** For all $(q_1, p, q_2) \notin \mathcal{Q}$, $d_{\top}(q_1, p, q_2) = b_{\top}(q_1, p, q_2)$,

For computing the minimal weight of a computation of A, we use the fact that, at the termination of Algorithm 1, $\bigoplus_{s \in \Omega^*} A(s) = \bigoplus_{q,q' \in Q} \mathsf{in}(q) \otimes d_{\perp}(q,q') \otimes \mathsf{out}(q')$. In order to obtain effectively a witness (word of Ω^* with a computation of A of minimal 305

weight), we require the additional property of convexity of weight functions. 397

▶ Proposition 16. For a sw-VPA A over Ω , S commutative, bounded, total and complete, and Φ effective, one can construct in PTIME a word $t \in \Omega^*$ such that A(t) is minimal wrt the natural ordering for \mathbb{S} .

5 Symbolic Weighted Parsing

Let us now apply the models and results of the previous sections to the problem of parsing over infinite alphabet. Let Σ be a countable input alphabet, and $\Omega = \Omega_i \uplus \Omega_c \uplus \Omega_r$ be a countable output alphabet. Let $(S, \oplus, 0, \otimes, 1)$ be a commutative, bounded, and complete semiring and let Φ be an effective label theory over \mathbb{S} , containing Φ_{Σ} , Φ_{Σ,Ω_i} , as well as Φ_i , Φ_{c} , Φ_{r} , Φ_{cr} (following the notations of Section 4). We assume given the following input:

- a swT T over Σ , Ω_i , \mathbb{S} , and $\bar{\Phi}$, defining a measure $T: \Sigma^* \times \Omega_i^* \to \mathbb{S}$, 407

- a sw-VPA A over Ω , \mathbb{S} , and $\bar{\Phi}$, defining a measure $A:\Omega^*\to\mathbb{S}$, 408

– an input word $s \in \Sigma^*$.

For all $s \in \Sigma^*$ and $t \in \Omega^*$, let $d(s,t) = T(s,t|_{\Omega_i})$, where $t|_{\Omega_i} \in \Omega_i^*$ is the projection of t onto Ω_i , obtained from t by removing all symbols in $\Omega \setminus \Omega_i$. Symbolic weighted parsing is the 411 problem, given the above input, to find $t \in \Omega^*$ minimizing $d(s,t) \otimes A(t)$ wrt \leq_{\oplus} , i.e. s.t.

$$d(s,t) \otimes A(t) = \bigoplus_{t' \in \mathcal{T}(\Omega)} d(s,t') \otimes A(t')$$
(9)

Following the terminology of [21], sw-parsing is the problem of computing the distance (9) between the input s and the output weighted language of A, and returning a witness t. Every labeled tree t can be linearized into a nested word $lin(t) \in \Omega^*$, assuming e.g. that Ω_i contain the symbols labelling the leaves (symbols of rank 0) and Ω_c and Ω_r contain respectively one left and right parenthesis $\langle b \rangle$ for each symbol b labelling inner nodes (symbols of rank > 0). With this representation, the projection $lin(t)|_{\Omega_i}$ is then the sequence of leaves of t, enumerated in a dfs-traversal. We show in Appendix A how to convert a (sw) tree automaton A into a sw-VPA computing A(lin(t)) for every tree t. That also holds, for the set of ASTs of a weighted CF-grammar. Therefore, sw-parsing generalizes the problem of searching, the best derivation of a weighted CF-grammar that yields a given input, sometimes referred as weighted parsing, see e.g. [13] and [23] for a more general weighted parsing framework. The latter indeed corresponds to the particular case where the alphabet is finite, T computes identity and A is obtained from the weighted CF grammar.

▶ Proposition 17. The problem of Symbolic Weighted parsing can be solved in PTIME in the size of the input swTT, sw-VPA A and input word s, and the computation time of the 428 functions of the label theory.

Proof. (sketch) We follow a *Bar-Hillel* construction, also called parsing by intersection.

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Ah oui, ça aurait pu₄₁₈ être dit avant.

We first extend the swT T over Σ , $\Omega_{\rm i}$, $\mathbb S$, and $\bar{\Phi}$, into a swT T' over Σ and Ω (and the same semiring and label theory), such that for all $s \in \Sigma^*$, and $u \in \Omega^*$, $T'(s,u) = T(s,u|_{\Omega_{\rm i}})$. The transducer T' simply skips every symbol $b \in \Omega \setminus \Omega_{\rm i}$, by the addition to the transition of T, of new transitions of the form $\mathsf{w}_{01}(q,\varepsilon,b,q')$. Then, given an input word $s \in \Sigma^*$, using Corolary 10, we compute the swA $B_{T',s}$, such that for all $t \in \Omega^*$, $B_{T',s}(t) = d(s,t)$.

Next, we compute the sw-VPA $B_{T',s} \otimes A$, using Proposition 13. It remains to compute a

best nested-word $w \in \Omega^*$ using the best-search procedure of Proposition 16.

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Conclusion

We have introduced weighted language models (SW transducers and visibly pushdown automata) computing over infinite alphabets, and applied them to the problem of parsing with infinitely many possible input symbols (typically timed events). This approach extends conventional parsing and weighted parsing by computing a derivation tree modulo a generic distance between words, defined by a SW transducer given in input. This enables to consider finer word relationships than strict equality, opening possibilities of quantitative analysis via this method.

Ongoing and future work include

The study of other theoretical properties of SW models, such as the extension of the best search algorithm from 1-best to n-best [17], and to k-closed semirings [20] (instead of bounded, which corresponds to 0-closed).

 $_{451}$ – ...there is room to improve the complexity bounds for the algorithms ... modular approach with oracles ...

- present here an offline algorithm for best search, semi-online implementation for AMT (bar-by-bar approach) with an on-the-fly automata construction.

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2 lines Application to Automated Music Transcription: implementation ≠ but same principle, on-the-fly automata construction during best search, for efficiency.

TODO future work

XX:14 Symbolic Weighted Language Models and Parsing over Infinite Alphabets

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A Nested-Words and Parse-Trees

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The hierarchical structure of nested-words, defined with the *call* and *return* markup symbols suggest a correspondence with trees. The lifting of this correspondence to languages, of tree automata and VPA, has been discussed in [1], and [4] for the weighted case. In this section, we describe a correspondence between the symbolic-weighted extensions of tree automata and VPA.

Let Ω be a countable ranked alphabet, such that every symbol $a \in \Omega$ has a rank $\mathsf{rk}(a) \in [0..M]$ where M is a fixed natural number. We denote by Ω_k the subset of all symbols a of Ω with $\mathsf{rk}(a) = k$, where $0 \le k \le M$, and $\Omega_{>0} = \Omega \setminus \Omega_0$. The free Ω -algebra of finite, ordered, Ω -labeled trees is denoted by $\mathcal{T}(\Omega)$. It is the smallest set such that $\Omega_0 \subset \mathcal{T}(\Omega)$ and for all $1 \le k \le M$, all $a \in \Omega_k$, and all $t_1, \ldots, t_k \in \mathcal{T}(\Omega)$, $a(t_1, \ldots, t_k) \in \mathcal{T}(\Omega)$. Let us assume a commutative semiring $\mathbb S$ and a label theory Φ over $\mathbb S$ containing one set Φ_{Ω_k} for each $k \in [0..M]$.

▶ **Definition 18.** A symbolic-weighted tree automaton (swTA) over Ω , S, and $\bar{\Phi}$ is a triplet $A = \langle Q, \mathsf{in}, \bar{\mathsf{w}} \rangle$ where Q is a finite set of states, $\mathsf{in} : Q \to \Phi_{\Omega}$ is the starting weight function, and $\bar{\mathsf{w}}$ is a tuplet of transition functions containing, for each $k \in [0..M]$, the functions $\mathsf{w}_k : Q \times Q^k \to \Phi_{\Omega_{>0},\Omega_k}$ and $\mathsf{w}_k^e : Q \times Q^k \to \Phi_{\Omega_k}$.

We define a transition function $w: Q \times (\Omega_{>0} \cup \{\varepsilon\}) \times \Omega \times \bigcup_{k=0}^{M} Q^{k} \to \mathbb{S}$ by:

where $q_1 \dots q_k$ is ε if k = 0. The first case deals with a strict subtree, with a parent node labeled by a, and the second case is for a root tree.

Every swTA defines a mapping from trees of $\mathcal{T}(\Omega)$ into \mathbb{S} , based on the following intermediate function weight_A: $Q \times (\Omega \cup \{\varepsilon\}) \times \mathcal{T}(\Omega) \to \mathbb{S}$

$$\mathsf{weight}_A(q_0, a, t) = \bigoplus_{q_1 \dots q_k \in Q^k} \mathsf{w}(q_0, a, b, q_1 \dots q_k) \otimes \bigotimes_{i=1}^k \mathsf{weight}_A(q_i, b, t_i) \tag{10}$$

where $q_0 \in Q$, $a \in \Omega_{>0} \cup \{\varepsilon\}$ and $t = b(t_1, \dots, t_k) \in \mathcal{T}(\Omega)$, $0 \le k \le M$.

Finally, the weight associated by A to $t \in \mathcal{T}(\Omega)$ is

$$A(t) = \bigoplus_{q \in Q} \operatorname{in}(q) \otimes \operatorname{weight}_A(q, \varepsilon, t) \tag{11}$$

Intuitively, $w(q_0, a, b, q_1 \dots q_k)$ can be seen as the weight of a production rule $q_0 \to b(q_1, \dots, q_k)$ of a regular tree grammar [5], that replaces the non-terminal symbol q_0 by $b(q_1, \dots, q_k)$, provided that the parent of q_0 is labeled by a (or q_0 is the root node if $a = \varepsilon$). The above production rule can also be seen as a rule of a weighted CF grammar, of the form $[a, b] q_0 := q_1 \dots q_k$ if k > 0, and $[a] q_0 := b$ if k = 0. In the first case, b is a label of the rule, and in the second case, it is a terminal symbol. And in both cases, a is a constraint on the label of rule applied on the parent node in the derivation tree. This features of observing the parent's label are useful in the case of infinite alphabet, where it is not possible to memorize a label with the states. The weight of a labeled derivation tree t of the weighted CF grammar associated to A as above, is weight $_A(q,t)$, when q is the start non-terminal. We shall now establish a correspondence between such derivation tree t and some word describing a linearization of t, in a way that weight $_A(q,t)$ can be computed by a sw-VPA.

XX:16 Symbolic Weighted Language Models and Parsing over Infinite Alphabets

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Let \hat{\Omega} be the countable (unranked) alphabet obtained from \Omega by: \hat{\Omega} = \Omega_i \uplus \Omega_c \uplus \Omega_r, with
       \Omega_{\mathsf{i}} = \Omega_0, \ \Omega_{\mathsf{c}} = \{ \langle_a | \ a \in \Omega_{>0} \}, \ \Omega_{\mathsf{r}} = \{ \ _a \rangle \ | \ a \in \Omega_{>0} \}.
       We associate to \hat{\Omega} a label theory \hat{\Phi} like in Section 4, and we define a linearization of trees of
       \mathcal{T}(\Omega) into words of \hat{\Omega}^* as follows:
         lin(a) = a for all a \in \Omega_0,
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         lin(b(t_1,\ldots,t_k)) = \langle b lin(t_1)\ldots lin(t_k) \rangle when b \in \Omega_k for 1 \le k \le M.
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       ▶ Proposition 19. For all swTA A over \Omega, \mathbb{S} commutative, and \bar{\Phi}, there exists an effectively
       constructible sw-VPA A' over \hat{\Omega}, \mathbb{S} and \hat{\Phi} such that for all t \in \mathcal{T}(\Omega), A'(\text{lin}(t)) = A(t).
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       Proof. Let A=\langle Q,\mathsf{in},\bar{\mathsf{w}}\rangle where \bar{\mathsf{w}} is presented as above by a function We build A'=\langle Q',P',\mathsf{in'},\bar{\mathsf{w}'},\mathsf{out'}\rangle, where Q'=\bigcup_{k=0}^M Q^k is the set of sequences of state symbols of A, of
       length at most M, including the empty sequence denoted by \varepsilon, and where P'=Q' and \bar{\mathbf{w}} is
       defined by:
                                                          = \mathsf{w}(q_0, c, a, \varepsilon) \text{ for all } c \in \Omega_{>0}, a \in \Omega_0
                \mathsf{w_i}(q_0\,\bar{u},\langle_c,\bar{p},a,\bar{u})
                \mathsf{w}^\mathsf{e}_\mathsf{i}(q_0\,ar{u},a,ar{u})
                                                          = \mathsf{w}(q_0, \varepsilon, a, \varepsilon) \text{ for all } a \in \Omega_0
                \mathsf{w}_{\mathsf{c}}(q_0 \, \bar{u}, \langle_c, \bar{p}, \langle_d, \bar{u}, \bar{q}) = \mathsf{w}(q_0, c, d, \bar{q}) \text{ for all } c, d \in \Omega_{>0}
```

All cases not matched by one of the above equations have a weight \mathbb{O} , for instance $\mathbf{w}_{\mathbf{r}}(\bar{u}, \langle_c, \bar{p}, _d\rangle, \bar{q}) = \mathbb{O}$ if $c \neq d$ or $\bar{u} \neq \varepsilon$ or $\bar{q} \neq \bar{p}$.

for all $c \in \Omega_{>0}$

for all $c \in \Omega_{>0}$

 $= \mathsf{w}(q_0, \varepsilon, c, \bar{q}) \text{ for all } c \in \Omega_{>0}$

= 1

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 $\mathsf{w}_{\mathsf{c}}^{\mathsf{e}}(q_0\,\bar{u},\langle_c,\bar{u},\bar{q})$

 $\mathsf{w}_{\mathsf{r}}(\varepsilon,\langle_c,\bar{p},{}_c\rangle,\bar{p})$

 $\mathsf{w}^{\mathsf{e}}_{\mathsf{r}}(\bar{u},{}_{c}\rangle,\bar{q})$

Todo list

581	register: skip refs and details, add Mikolaj recent
582	La figure 2 est citée avant la figure 1 mais apparait longtemps après. A corriger 2
583	Tu fais une différence entre model et automata?
584	Tu veux dire: les modèles formels que tu combines?
585	chap. intersection in [15]
586	The notation $A_{T,s}$ has not been introduced so far. It is not clear why T is a
587	parameter there
588	OK, à quelques détails (pour moi), tout ça est très bien expliqué
589	expressiveness: VPA have restricted equality test. comparable to pebble automata?
590	\rightarrow conclusion
591	The results are established for a general class of semirings. They can be instantiated
592	for concrete cases
593	There is sometimes a confusion in the text between the struture and the domain \mathbb{S} .
594	Not essential
595	is total necessary?
596	Here the difference between $\mathbb S$ as a structure and as a domain is blurred
597	$j \in \mathbb{N}$: j is en element of \mathbb{N} , not the same s $j \subset \mathbb{N}$
598	results of this paper: for semirings commutative, bounded, total and complete
599	OK, donc c'est là que les fonctions d'étiquettes prennent en argument l'input de la
600	règle. Je ne sais pas dans quelle mesure il faut donner un peu d'explications pour
601	faciliter la compréhension du formalisme
602	partial application is needed?
603	notion of diagram of functions akin BDD for transitions in practice
604	mv appendix?
605	Je trouve qu'il y a beaucoup de notions à retenir (complete, effective) et ça devient
606	difficile pour un lecteur non spécialiste. Est-ce que tout est nécessaire (je ne sais
607	plus qui m'avait dit: un concept en plus, un point en moins
608	\exists oracle returning in worst time complexity T
609	I missed sth: what is this ε ? Intuitively clear but not defined?
610	added u and v def
611	OK tout ça se lit bien :-)
612	Je crois qu'il faudrait numéroter les exemples indépendamment des définitions. Cet
613	exemple est le premier qui donne des détails sur l'application visée. Il arrive
614	peut-être un peu tard et est long. On pourrait introduire la motivation dans
615	l'intro, et développer des petits exemples au fur et à mesure
616	$unique \rightarrow similar \dots \dots$
617	$similar \rightarrow single$
618	modif
619	changed end
620	reformulated this sentence
621	ccl to the ex
622	proof correctness
623	revise with nb of tr. and states
624	Là je crois qu'il faudrait expliquer ces Omega, je commence à fatiguer et je suis un peu
625	largué par toutes ces définitions. J'intuite qu'il s'agit des symboles, parenthèses
626	ouvrantes et fermantes? Pourquoi il faut un alphabet pour les parenthèses?

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627	Est-ce que tout le monde sait ce qu'est un pushdown automata? Je suppose que	
628	c'est lié à la pile	. 9
629	moved this to the beginning	. 9
630	intro to func	. 9
631	introduced the 6 cases	. 9
632	notation cp for $\langle c, p \rangle$?	. 9
633	c p to <c, p=""></c,>	. 10
634	todo example VPA	. 10
635	total?	. 10
636	introduced 2 cases for b	. 10
637	so ?	. 10
638	b_{\top} : mot bien parenthèsé c/r	. 11
639	explication Fig. 3 suivant cas de (5)	. 11
640	complete **	. 11
641	detail with nb tr. and states	. 11
642	total?	. 12
643	Ah oui, ça aurait pu être dit avant	. 12
644	2 lines Application to Automated Music Transcription: implementation \neq but same	
645	principle, on-the-fly automata construction during best search, for efficiency	. 13
646	TODO future work	. 13