



FACULTAD DE CIENCIAS

**Search for dark matter production in
association with top quarks in the
dilepton final state at $\sqrt{s} = 13$ TeV**

A thesis submitted in fulfilment of the requirements for the

Degree of Doctor of Philosophy

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Abstract

Resumen

Acknowledgements

Acronyms used

SM Standard Model

DM Dark Matter

LHC Large Hadron Collider

CMS Compact Muon Solenoid

ATLAS A Toroidal LHC ApparatuS

CERN European Council for Nuclear Research

CMB Cosmic Microwave Background

ML Machine Learning

MFV Minimal Flavour Violation

WIMP Weakly Interactive Massive Particle

PF Particle Flow

Contents

1	Introduction	1
2	The Dark Matter case	5
2.1	At the origins of Dark Matter	5
2.2	Dark Matter properties	5
2.2.1	Dark Matter candidates	5
2.2.2	Dark Matter searches	5
2.2.3	Production at the LHC	5
3	The experimental device	6
3.1	The LHC accelerator	6
3.2	The CMS detector	6
3.2.1	Tracker	6
3.2.2	Electromagnetic calorimeter	6
3.2.3	Hadronic calorimeter	6
3.2.4	Muon system	6
3.2.5	Trigger	6
3.2.6	Data acquisition	6
4	Objects reconstruction	7
4.1	Particle Flow algorithm	7
4.2	Leptons reconstruction	7
4.2.1	Electrons	7
4.2.2	Muons	7
4.3	Jets reconstruction	7
4.3.1	B-tagging	7
4.4	Missing transverse energy	7
5	Data, signals and backgrounds	8
5.1	Data samples	8
5.2	Signal samples	8
5.3	Background prediction	8
5.3.1	The main background: $t\bar{t}$	8
5.3.2	Drell-Yan estimation	8
5.3.3	Non prompt contamination	8
5.3.4	Smaller backgrounds	8
5.3.5	Weights and corrections applied	8

6	Event selection	9
6.1	Signal regions	9
6.2	Control regions	9
6.3	Background-signal discrimination	9
6.3.1	Discriminating variables	9
6.3.2	Neural network	9
7	Results and interpretations	10
7.1	Systematics and uncertainties	10
7.2	Results	10
8	Conclusions	11
8.1	Future prospects	11
	Appendices	12
	Bibliography	13

Chapter 1

Introduction

The Standard Model (SM) of particle physics is nowadays the most accepted mathematical model used to describe the elementary particles and three of the four fundamental forces of nature (electromagnetic, weak and strong interactions). This model is quite simple in concept, but has been able to describe most of the phenomena observed in nature so far with an incredible level of precision, and made a lot of predictions that have now been proven to be true, such as the postulate of the Higgs mechanism [1, 2] followed by the discovery of the Higgs boson itself in 2012 [3, 4] by the Compact Muon Solenoid (CMS) and A Toroidal LHC Apparatus (ATLAS) experiments of the European Council for Nuclear Research (CERN).

However, as accurate as it seems to be, this theory is known to have several shortcomings which require further investigation. Eventual exotic particles which do not fit in the current model could be the sign of new physics and have therefore been extensively searched for over the course of the last decades in order to enhance our understanding of the Universe and all its constituents.

In this context, the first serious Dark Matter (DM) hypothesis was introduced in the 1970s because of gravitational anomalies observed by several astrophysicists, as a way to explain the apparent nonluminous missing mass in the Universe [5]. Indeed, the visible mass in most galaxies appears to be way too low to explain several astrophysical processes, such as the rotation curves of the galaxies [6], which seems to be incompatible with the well established laws of gravitation. Some additional measurements of the gravitational lensing (in the Bullet Cluster, for example [7]) and the anisotropies observed in the Cosmic Microwave Background (CMB) [8] can be quoted amongst other evidences for the existence of DM, as explained in details in Section 2.1.

As far as we currently know from cosmological measurements, ordinary baryonic matter only constitutes around 5% of the Universe, while DM represents around 26% of the energy density of the Universe (the rest is being considered as dark energy) [9]. Understanding the nature and properties of this new kind of exotic matter is therefore crucial to try and understand the physics in the Universe.

Nowadays, the existence of DM is well established in the physics community, even though it has never been observed directly, since our only evidences so far for its existence come from its large-scale gravitational effects. While its nature is still unknown and extensively

studied, one of the best DM candidate is the so-called Weakly Interactive Massive Particle (WIMP)s, predicted to interact both gravitationnaly and weakly with SM particles. This would allow direct and indirect direction of such candidates, used as the driving process of many of experiments over the last decades, trying to find the hint of a possible interaction between standard baryonic particles and eventual DM particles, or even between several DM particles themselves. Dark matter production through the use of a particle accelerator colliding SM particles together, such as the Large Hadron Collider (LHC), is also a possibility, and will be consider as the main channel towards the eventual detection of this exotic matter throughout this work. The production through colliders is actually able to provide constraints on low dark matter masses as well, in a region where both the direct and indirect searches are less efficient, which makes the LHC a perfect tool to study this kind of beyond the SM particles.

However, observing DM is still extremely difficult, mainly because it barely interacts with ordinary baryonic matter, except through gravity (we have to assume that it does interact with SM at least weakly for the sake of this work though, as we would not be able to discover it as an individual particle if it were not the case). This means that nowadays, all the experiments searching for DM have only been able to put constraints on the DM particle mass and on the interaction cross sections between the dark and standard sectors. Actually, even if the collisions between protons produced by the LHC do have an sufficient amount of energy to produce this kind of particles, we would not expect them to interact with our detector, meaning that a direct detection is out of our hands for now. The eventual presence of such matter has to be inferred from the study of the interaction between SM particles and CMS itself, since a typical DM-like event consists of at least one energetic SM particle produced in association with a large imbalance in the transverse momentum due to the presence of an eventual DM candidate that was able to escape our detection.

In the context of this work, DM is searched for in association with one or two top quarks which play the role of the SM particle allowing us to trigger the event. This is indeed a perfect channel for this kind of searches if we assume that the interaction between the dark and standard sectors respect the principle of Minimal Flavour Violation (MFV), which can be consistently defined independently of the structure of the new physics model [10]. In this case, this interaction should follow the same Higgs-like Yukawa coupling structure as the usual SM baryonic particles. This is an important consequence, since it will be shown in Section 2.2 that this coupling is actually stronger with more massive particles: the heavier the SM particle considered is, the easier it is for this particle to couple with the dark sector. This makes the top quark, the most massive of all the fundamental particles observed by far, is therefore an excellent object to study in this context.

However, this also means that its phenomenology is mostly driven by its large mass and that it decays before hadronization can occur, usually into a W boson and a bottom quark ($\sim 96\%$ branching ratio [11]). The final state of the process we are interested in is then made out of some b jets, leptons and/or quarks and is categorized depending on this number of b jets and on the decay of the W itself. This work will actually be focused on the two leptons final state, also known as the dileptonic channel, mostly since this channel does not have lots of background processes raising to a similar final state, even though its branching ratio is the smallest, as will be explained in Section 2.2.3. Additionally, leptons are by reconstruction much cleaner than jets. This means that their identification and momentum calculation is easier to perform, and that the uncertainties associated to these measurements will be smaller.

The LHC has now been running for 10 years, and several similar searches have already been carried out and published in the past by the CMS and ATLAS collaborations, at different center of mass energies. First of all, at 8 TeV, several searches for a pair of top quarks were published by the CMS (in association with DM in the semileptonic [12] and dileptonic [13] final states) and ATLAS collaborations [14]. At 13 TeV, the ATLAS collaboration published on one hand several studies, considering different final states [15, 16, 17]. On the other hand, the CMS collaboration published a few extremely important papers for this study [19, 20]. For the first time in 2019, the results obtained by the single top and $t\bar{t}$ analyses have also been combined and published using the 2016 data [21]. Our main objective is now to repeat and improve this analysis that was performed while considering a larger dataset, globally improving the analysis strategy and including the dileptonic final state for the first time in this combination.

After a detailed introduction about DM in general, the experimental device will be detailed in Chapter 3. This will include a discussion about the LHC itself, along with a complete description of CMS, the detector used to collect the data that will be analyzed throughout this work. This data has been collected during the years 2016, 2017 and 2018 and corresponds to an integrated luminosity of $\sim 138 \text{ fb}^{-1}$, collected during the Run II of operation of the LHC and at a center of mass energy $\sqrt{s} = 13 \text{ TeV}$. In particular, a particular care will be given to the explanation of the Particle Flow (PF) algorithm, used to reconstruct the different objects used and that will be defined in Chapter 4, while the estimation of the different backgrounds and the selection of interesting events will be detailed throughout the Chapters 5 and 6.

Distinguishing between the signal we are searching for and backgrounds having a much higher cross-section and kinematically really close, such as the SM $t\bar{t}$ without production of DM is not a straightforward task (sometimes a production of missing transverse energy

due to the presence of physical neutrinos is even obtained). To isolate the signal and to obtain some discriminations between these kind of processes, an algebraic reconstruction of the event and top-notch Machine Learning (ML) techniques are used in this work, in order to train a network of neurons. The main objective is to make them learn how to combine the discriminating power of a set of input variables in order to create a single output variable describing the probability of a single event to be classified as signal or background. All this process will be detailed in the Section 6.3 of this work.

Finally, a statistical interpretation of our data will be performed and different sources of systematic uncertainties will be considered in Chapter 7. This will allow us to set upper limits on the cross section production value of DM particles in our particular channel and for the simplified models considered in this analysis. The conclusions of this work and some additional future prospects will then finally be presented in Chapter 8.

Chapter 2

The Dark Matter case

2.1 At the origins of Dark Matter

2.2 Dark Matter properties

2.2.1 Dark Matter candidates

2.2.2 Dark Matter searches

2.2.3 Production at the LHC

The single top production channel

The $t\bar{t}$ production channel

Chapter 3

The experimental device

3.1 The LHC accelerator

3.2 The CMS detector

3.2.1 Tracker

3.2.2 Electromagnetic calorimeter

3.2.3 Hadronic calorimeter

3.2.4 Muon system

3.2.5 Trigger

3.2.6 Data acquisition

Chapter 4

Objects reconstruction

4.1 Particle Flow algorithm

4.2 Leptons reconstruction

4.2.1 Electrons

4.2.2 Muons

4.3 Jets reconstruction

4.3.1 B-tagging

4.4 Missing transverse energy

Chapter 5

Data, signals and backgrounds

5.1 Data samples

5.2 Signal samples

5.3 Background prediction

5.3.1 The main background: $t\bar{t}$

$t\bar{t}$ reconstruction

5.3.2 Drell-Yan estimation

5.3.3 Non prompt contamination

5.3.4 Smaller backgrounds

5.3.5 Weights and corrections applied

Chapter 6

Event selection

6.1 Signal regions

6.2 Control regions

6.3 Background-signal discrimination

6.3.1 Discriminating variables

6.3.2 Neural network

Chapter 7

Results and interpretations

7.1 Systematics and uncertainties

7.2 Results

Chapter 8

Conclusions

8.1 Future prospects

Appendices

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