## Air cargo load and route planning in pickup and delivery operations

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## 1. The mathematical modeling

Given the assumptions, scenarios and parameters described in the previous section, we are ready to present the mathematical modeling of ACLP+RPDP, which is one of the contributions of our work.

The flight plan will be carried out by a **single** aircraft, which has predefined values of  $W_{max}$ ,  $limit_{long}^{CG}$ ,  $limit_{lat}^{CG}$ ,  $d_{pallet}^{CG}$ ,  $c_d$  and  $c_g$ . This aircraft has a set  $M = \{p_1, p_2, \ldots, p_m\}$  of m pallets, where each pallet  $p_i$ ,  $1 \le i \le m$ , has weight capacity  $wp_i$ , volume capacity  $vp_i$ , and distance  $dp_i$  to the CG of aircraft. Table ?? shows the data of the aircraft considered in this work.

Let  $L = \{l_0, l_1, \dots, l_K\}$  be the set of K+1 nodes (or destinations), where  $l_0$  is the origin and the end of a tour. Let  $d(l_i, l_j)$  be the distance from  $l_i$  to  $l_j$ , where  $0 \le i, j \le K$ . By definition,  $d(l_i, l_i) = 0$ . Let  $C = \{c_{ij}\}$  be the cost matrix of the aircraft, where  $c_{ij} = c_d * d(l_i, l_j), 0 \le i, j \le K$ .

Let  $S_K = \{s : \{1, ..., K\} \to \{1, ..., K\}\}$  be the set of the K! permutations of the nodes, which correspond to all possible tours (or itineraries) that have  $l_0$  as origin and end, passing through the others K nodes.

The objective of ACLP+RPDP is to find the permutation  $\pi \in S_K$ , with the corresponding allocation of items on the pallets at each node, that maximizes the function  $\tilde{s}_{\pi}/\tilde{c}_{\pi}$  1, where  $\tilde{s}_{\pi}$  is the total score of transported items, and  $\tilde{c}_{\pi}$  is the total cost of fuel consumed. In this way, the tour plan will be  $l_0, l_{\pi(1)}, \ldots, l_{\pi(K)}, l_0$ . Later, we will describe the calculations of  $\tilde{s}_{\pi}$  and  $\tilde{c}_{\pi}$ .

$$\max_{\pi \in S_K} \tilde{s}_{\pi} / \tilde{c}_{\pi} \tag{1}$$

Let us now describe the input and output data at each node  $l_k$  of the flight plan, where  $0 \le k \le K$ . Let  $L_k$  2 be the set of remaining nodes when the aircraft is in  $l_k$ ,  $0 \le k \le K$ .

$$L_0 = L; L_k = L_{k-1} - \{l_{\pi(k)}\}; \ k \in \{1, 2, \dots, K\}$$

Let  $N_k = \{t_1^k, t_2^k, \dots, t_{n_k}^k\}$  be the input set of  $n_k$  items to be loaded in node  $l_k$ ,  $0 \le k \le K$ . Each item  $t_j^k$ ,  $1 \le j \le n_k$ , has score  $st_j^k$ , weight  $wt_j^k$ , volume  $vt_j^k$ , and destination  $lt_j^k \in L_k$ . Let  $N = \bigcup_{0 \le k \le K} N_k$  be the input set of items of all nodes along a tour.

Let  $X_{ij}^k$  be output binary variables, where  $0 \le k \le K$ ,  $1 \le i \le m$  and  $1 \le j \le n_k$ .  $X_{ij}^k = 1$  if  $t_j^k$  is assigned to  $p_i$  in node  $l_k$ , and 0 otherwise 3.

$$X_{ij}^k = 0; \ i \in \{1, 2, \dots, m\}; \ j \in \{1, 2, \dots, n_k\}; \ k \in \{1, 2, \dots, K\}; \ lt_j^k \notin L_k$$
 (3)

In this way, we can consider  $\tilde{s}_{\pi}$  as the sum of the scores of the items loaded on the aircraft throughout the flight plan 4.

$$\tilde{s}_{\pi} = \sum_{k=0}^{K} \sum_{i=1}^{m} \sum_{j=1}^{n_k} X_{ij}^k \times st_j^k \tag{4}$$

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Let  $Q_k = \{a_1^k, a_2^k, \dots, a_{m_k}^k\}$  be the input set of  $m_k \leq m$  consolidated items at node  $l_k, 0 \leq k \leq K$ .  $a_i^k$  $1 \le i \le m_k$ , is a package of items allocated in some of the previous nodes, with total weight  $wa_i^k$ , total volume  $va_i^k$ , and destination  $la_i^k \in L_k$ . By definition,  $m_0 = 0$  5. Consolidated items that were destined to node  $l_k$  are unloaded, that is, they are not considered in  $Q_k$ .

$$m_0 = 0 (5)$$

Let  $Y_{iq}^k$  be output binary variables, where  $0 \le k \le K$ ,  $1 \le i \le m$  and  $1 \le q \le m_k$ .  $Y_{iq}^k = 1$  if  $a_q^k$  is assigned to  $p_i$  in node  $l_k$ , and 0 otherwise 6.

$$Y_{iq}^k = 0; \ i \in \{1, 2, \dots, m\}; \ q \in \{1, 2, \dots, m_k\}; \ k \in \{1, 2, \dots, K\}; \ la_i^k \notin L_k$$
 (6)

Therefore, allocations of items and consolidated items to pallets in node  $l_k$  can be seen as a bipartite graph  $G_k(V_k, E_k)$ , where  $V_k = M \cup N_k \cup Q_k$ ,  $E_k = E_k^N \cup E_k^Q$ ,  $(p_i, t_j^k) \in E_k^N$  if  $X_{ij}^k = 1$ , and  $(p_i, a_q^k) \in E_k^Q$  if  $Y_{iq}^k = 1$ . Through the output binary variables  $X_{ij}^k$  and  $Y_{iq}^k$ , we can calculate the lateral 78 and the longitudinal 9

torques, and the CG deviation 10, caused by shipped items at node  $l_k$ .

$$LatIt_k = \sum_{i=1}^m \sum_{j=1}^{n_k} (X_{ij}^k \times wt_j^k \times (i\%2) - X_{ij}^k \times wt_j^k \times (i+1)\%2); \ k \in \{0, 1, \dots, K\}$$
 (7)

$$LatCons_k = \sum_{i=1}^{m} \sum_{q=1}^{m_k} (Y_{iq}^k \times wa_q^k \times (i\%2) - Y_{iq}^k \times wa_q^k \times (i+1)\%2); \ k \in \{0, 1, \dots, K\}$$
 (8)

$$\tau_k = \sum_{i=1}^{m} [dp_i \times (\sum_{j=1}^{n_k} X_{ij}^k \times wt_j^k + \sum_{q=1}^{m} Y_{iq}^k \times wa_q^k)]; \ k \in \{0, 1, \dots, K\}$$
 (9)

$$\epsilon_k = \frac{\tau_k}{W_{max} \times limit_{long}^{CG}}; \ k \in \{0, 1, \dots, K\}$$
(10)

With the CG deviations, the distances covered and the cost matrix of the aircraft, we calculate the total fuel consumption  $\tilde{c}_{\pi}$  11 along the flight plan.

$$\tilde{c}_{\pi} = c_{0,\pi(1)} \times (1 + c_g \times |\epsilon_0|) + \sum_{k=1}^{K-1} [c_{\pi(k),\pi(k+1)} \times (1 + c_g \times |\epsilon_{\pi(k)}|)] + c_{\pi(K),0} \times (1 + c_g \times |\epsilon_{\pi(K)}|)$$
(11)

Finally, we can consider the constraints on each node  $l_k$ :

- The latitudinal 12 and the longitudinal 13 torques must be within the limits of the aircraft;
- The items allocated to each pallet cannot exceed its weight 14 and volume 15 limits;
- At most, each item is associated with a single pallet 16;
- Consolidated items that have not yet reached their destination must remain on board 17;
- Items allocated on the same pallet must have the same destinations. In this case, we need two constraints: 18 and 19, where B is a big value. If  $X_{ij}^k = X_{iq}^k = 1$ , both constraints require that  $lt_j^k = lt_q^k$ ; otherwise these constraints have no effect.
- If there is a consolidated item on the pallet, it must also have the same destination as the other items. Similarly, we use two constraints: 20 and 21.

$$s.t.: d_{pallet}^{CG} \times |LatIt_k + LatCons_k| \le W_{max} \times limit_{lat}^{CG}; \ k \in \{0, 1, \dots, K\}$$

$$(12)$$

$$s.t.: |\tau_k| \le W_{max} \times limit_{long}^{CG}; \ k \in \{0, 1, \dots, K\}$$

$$\tag{13}$$

$$s.t.: \sum_{i=1}^{n_k} X_{ij}^k \times wt_j^k + \sum_{q=1}^{m_k} Y_{iq}^k \times wa_q^k \le wp_i; \ i \in \{1, 2, \dots, m_k\}; \ k \in \{0, 1, \dots, K\}$$

$$(14)$$

$$s.t.: \sum_{i=1}^{n_k} X_{ij}^k \times vt_j^k + \sum_{q=1}^{m_k} Y_{iq}^k \times va_q^k \le vp_i; \ i \in \{1, 2, \dots, m_k\}; \ k \in \{0, 1, \dots, K\}$$

$$(15)$$

$$s.t.: \sum_{i=1}^{m} X_{ij}^{k} \le 1; \ j \in \{1, 2, \dots, n_k\}; \ k \in \{0, 1, \dots, K\}$$

$$(16)$$

$$s.t.: Y_{iq}^k = 1; \ q \in \{1, 2, \dots, m_k\}; \ k \in \{0, 1, \dots, K\}; \ la_q^k \in L_k$$
 (17)

$$s.t.: lt_j^k - lt_q^k \ge -B \times (1 - X_{ij}^k \times X_{iq}^k); \ j, q \in \{1, 2, \dots, n_k\}; \ k \in \{0, 1, \dots, K\}$$

$$(18)$$

$$s.t.: lt_j^k - lt_q^k \le B \times (1 - X_{ij}^k \times X_{iq}^k); \ j, q \in \{1, 2, \dots, n_k\}; \ k \in \{0, 1, \dots, K\}$$

$$(19)$$

$$s.t.: lt_j^k - la_q^k \ge -B \times (1 - X_{ij}^k \times Y_{iq}^k); \ j \in \{1, 2, \dots, n_k\}; \ q \in \{1, 2, \dots, m_k\}; \ k \in \{0, 1, \dots, K\}$$
 (20)

$$s.t.: lt_j^k - la_q^k \le B \times (1 - X_{ij}^k \times Y_{iq}^k); \ j \in \{1, 2, \dots, n_k\}; \ q \in \{1, 2, \dots, m_k\}; \ k \in \{0, 1, \dots, K\}$$
 Thus, ACLP+RPDP is defined through the equations 1 to 11, subject to constraints 12 to 21.