1. **Overview**

Due to the recent progress of cost-effective depth sensors, many tasks in smart hospitals have been automated through AI-assisted solutions. One of these tasks, automating hand-hygiene compliance, can be used to prevent hospital acquired infections. In this paper, convolutional networks (CNNs) are used to classify top-view depth images as “using dispenser” or “not using dispenser.” One model is trained per sensor.

1. **Related Work**

Yeung et al. [1] used CNNs to detect if a dispenser was used. To compare their proposed

approach with another model, they developed a pose estimation model on RGB-based images to provide information on the person performing the action. They first segmented and detected humans in each frame using a background subtraction-based method, and then detected the hand of each human using a CNN-based hand detector trained on a large hands dataset. The pose-estimation model performed better when the CNN classifier is fed the entire image. However, the CNN-based classifier performed better than the pose estimate model when the CNN was fed in cropped regions containing the dispenser.

Haque et al [2] built upon previous work on automating hand-dispenser detection by focusing on tracking individuals. For each individual captured in the depth map, the model classified whether or not the individual used the dispenser or not. The model combined many computer vision techniques, such as pedestrian detection, tracking across cameras, and hand hygiene activity classification.

1. **Data**

During preprocessing, images are transformed to highlight the important regions, such as the dispenser and the individuals near the container. As a result, the first step is to reduce the noise in the images. In the case of depth images, noise usually comes in the form of zero-valued pixels. In depth images, pixels of value of zero are interpreted as being close to the camera. These virtual highly-elevated (relative to the ground) objects will distract the model from paying attention to real objects that are close to the camera relative to the floor, such as a person or a dispenser. To get rid of these virtual objects, all pixels with a value of zero are replaced by the average pixel value of the entire image. In addition, a 4x4 median filter is used to smooth the images.

1. **Methodology**

Inspired by the work of Yeung et al. [1] and Haque [2], the method that is used to classify a depth image as “using dispenser” or “not using” can be broken into steps:

* 1. Background/Foreground Segmentation Approximation:

Basic thresholding is used for background segmentation. There are two types of thresholding methods: histogram-based and local.

In histogram-based methods, pixels with similar intensities are bucketed, creating a distribution of intensities. This distribution is used to create a binary mask that will attempt to segment the background from the foreground.

Local methods classify an individual pixel by looking at its neighboring pixels’ intensities. As a result, local methods tend to require more computation time than histogram-based methods.

Otsu’s threshold, a type of histogram-based method, involves calculating an optimal threshold by maximizing the variance between two classes of pixels [3]. As a result, the method works well

with an image that is dominated by two peaks of pixel intensities in a histogram of pixel

intensities [3].

Because the depth images that are collected are top-view, there will be two classes of intensities, where one class will be representing the pixels that are close to the floor and the other class will be representing the pixels that are close to the sensor. As a result, otsu thresholding is used to mask out the floor and highlight objects that are near the camera, such as a person and the dispenser. Some examples of the final processed images, with threshold values, can be found in the following file: *viewing\_processed\_images.ipynb.*

* 1. CNN-Hand Hygiene Detection:

Four convolutional layers are used, where each layer is followed immediately by a pooling layer. The

output of the layer is flattened to be feed into a one layer feed forward network. The output of the feed

forward network is a binary classification of whether the hand hygiene action is occurring, and we

optimize a logistic loss function using stochastic gradient descent.

1. **Results**

|  |  |
| --- | --- |
| Sensor | Accuracy on Dev Set |
| 02 | 0.8233173076923077 |
| 04 | 0.9622641509433962 |
| 06 | 0.7219827586206896 |
| 08 | 0.8968023255813954 |
| 10\* | No one\_labeled images |
| 11 | 0.8646449704142012 |
| 15 | 0.967032967032967 |
| 21\* | No one\_labeled images |
| 22\* | No one\_labeled\_images |
| 23 | 0.8520220588235294 |
| 24\* | No one\_labeled\_images |
| 39 | 0.9085526315789474 |
| 52 | 0.7809244791666666 |
| 59 | 0.860625 |
| 62 | 0.8016666666666666 |
| 63 | 0.49270833333333336 |
| 72 | 0.647159090909091 |

1. **Discussion**

During training, when the model exhausts through one class of images, and then move onto a different class of images, the model will only accurately predict the labels of the class that it has seen most recently. As a result, when feeding the data into the model, there are two paths, one path contains all the filenames of the zero-labeled images and the other path contains all the filenames of the one-labeled images. When preparing the dataset, one type of image will be followed by a different type of image (i.e. [image1\_zero, image2\_one, image3\_zero, and so one]. This resulted in better classification accuracies for all models.

1. **Future Work**

Optical flow can be used to segment moving pixels from static pixels by analyzing consecutive frames in the video. In the data, for almost all the sensors, there are batches of images that were taken immediately one after the other. Optical flow can be applied to these group of images to detect the objects that are moving. This information may help to track individuals and determine which individuals are doing the action of using the dispenser. Furthermore, the image that is produced from masking out all static pixels and leaving only pixels that have moved from one frame to the next will be added back to the training set to be fed into the CNN classifier.

1. **Hardware Specifications**

The hardware specifications of the machine used to train the models are the following: a) MacBookPro (Retina, 13-inch, Early 2015), b) Processor: 3.1 GHz Intel Core i7 c) Memory: 16 GB 1867 MHz DDR3

1. **References**

[1] Yeung et al. Vision-Based Hand Hygiene Monitoring in Hospitals, 2016.

[2] Haque et al. Towards Vision-Based Smart Hospitals: A system for Tracking and Monitoring Hand Hygiene Compiance, 2017.

[3] Dhawan et al. Implementation of Hand Detection based Techniques for Human Computer Interaction, 2013