## Database Systems

Non-Relational Databases

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#### **Topics**

#### Non-Relational Databases

Introduction NoSQL Serialization

#### Data Models

Key-Value Stores Document Stores XML Databases Graph Databases

#### Relational Model

- relational model is not the best solution for all types of problems
- storing user preferences
- processing data from Wikipedia pages
- building a social network

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## Example: User Preferences

- ▶ user, preference type, selected option
- example task: retrieve notification setting of a given user
- ▶ no complex queries that would require SQL

#### Example: Wikipedia Pages

#### Casino Royale (2006 film) From Wikipedia, the free encyclopedia James Bond film series and the first to star Daniel Craig as the fictional MI6 agent James Bond. Directed by Martin Campbell and written by Neal Purvis & Robert Wade and Paul Haggis, the film marks the third screen adaptation of lan Fleming's 1953 novel of the same name. Casino Royale is set at the beginning of Bond's career as Agent 007, just as he is earning his licence to kill. After preventing a terrorist attack at Miami International Airport, Bond falls in love with Vesper Lynd, the treasury employee assigned to provide the money he needs to bankrupt a terrorist financier, Le Chiffre, by beating him in a high-stakes poker game. The story arc continues in the following Bond film, Quantum of Solace (2008), with explicit references to characters and events in Spectre (2015). Casino Royale reboots the series, establishing a new timeline and narrative framework not meant to precede or succeed any previous Bond film,[3][4] which allows the film to show a less experienced and more vulnerable Bond.<sup>[5]</sup> Additionally, the character Miss Moneypenry is, for the first time in the series, completely absent.<sup>[6]</sup> Casting the film involved a widespread search for a new actor to portray James Bond, and significant controversy surrounded Craig when he was selected to succeed Pierce Brosnan in October 2005. Location filming took place in the Czech Republic, the Bahamas, Italy and the United Kingdom with interior sets built at Pinewood Studios. Although part of the storyline is set in

- combination of structured and unstructured data
- example task: retrieve first paragraph of all James Bond movies starring Daniel Craig

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difficult to represent as a relation

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## Example: Social Network

- ▶ users: userid, name, age, gender, ...
- ▶ friends: userid1, userid2
- example tasks: find all friends of a given user find all friends of friends of a given user find all female friends of male friends of a given user find all friends of friends of ... friends of a given user
- ▶ too many complicated joins

## Problems: Representation

- ▶ difficult to handle unstructured and semistructured data
- ▶ difficult to represent hierarchy and neighborhood
- ▶ rigid schemas: all rows need to store all fields
- ▶ even if not applicable
- ▶ fixed in advance
- ▶ to make changes: shut down, alter table, restart

## Problems: Scaling

- ▶ when volume of data increases:
- ▶ scale up: faster processor
- ▶ works up to a point
- ► scale out: more processors
- commodity hardware

#### NoSQL Databases

- ▶ NoSQL ≠ "don't use SQL"
- ► Not Only SQL
- ▶ use relational for some parts and non-relational for other parts
- ► key-value stores
- column family stores
- document stores
- ► graph databases

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## **NoSQL** Principles

- ▶ flexible schema
- ▶ focus on performance
- no joins
- ► massive scalability
- ▶ focus on availability
- updates should always be allowed

## Availability vs Consistency

- ▶ focus on availability → relaxed consistency
- ▶ fewer transactional guarantees
- ► BASE instead of ACID:
- ► Basic availability
- ► Soft state
- ► Eventual consistency

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## Sharding

- ▶ when a server nears full capacity for data
- ▶ sharding: break data into chunks
- ▶ spread chunks across distributed servers
- ▶ increases efficiency
- ightharpoonup more servers ightarrow more points of failure

#### Replication

- replicate data between servers
- ▶ increases fault tolerance
- copies might diverge
- eventual consistency: temporary inconsistency is allowed
- ▶ when system stops, all copies will be the same

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## **CAP Properties**

- ► Consistency: all clients can read a single, up-to-date version of data from replicated partitions
- ► Availability: internal communication failures between replicated data don't prevent updates
- ▶ Partition tolerance: system keeps responding even if there is a communication failure between partitions

#### **CAP Theorem**

➤ Any distributed database can provide at most two of the three CAP properties. (Eric Brewer - 2000)

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## Query Language

- ▶ no declarative query language
- ▶ programmatic handling of data

#### Serialization

- ▶ how to make objects persist?
- ▶ simple method: write to file
- ▶ in which format?
- ▶ simple format: string
- ▶ on write: object → serial format (serialization)
- ▶ on read: serial format → object (deserialization)

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### Serialization Formats

- common formats: XML, JSON
- ▶ human-readable
- useful for data interchange
- ▶ useful for representing semistructured data

#### XML

- ▶ XML is not a language itself
- ► framework for defining languages
- ► XML-based languages: XHTML, DocBook, SVG, ...
- ► XML processing languages: XPath, XQuery, XSL Transforms, . . .

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#### XML Structure

- ▶ an XML document forms a tree (hierarchy)
- ▶ nodes: *elements*
- elements represented by opening and closing tags
- nesting determines hierarchy
- ▶ non-container elements: self-closing tags
- ▶ elements can have attributes
- elements can have text as child node: character data (CDATA)

XML Example: XHTML

```
<html>
    <html>
    <head>
        <title>...</title>
        <meta charset="utf-8" />
        </head>
        <body>
            <hl>...</hl>
            ...
            <img src="..." alt="..." />
            </body>
        </html>
```

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#### XML Example: Movie

```
<movie color="Color">
  <title>The Usual Suspects</title>
  <year>1995</year>
  <score>8.7</score>
  <votes>35027</votes>
  <director>Bryan Singer</director>
  <cast>
      <actor>Gabriel Byrne</actor>
      <actor>Benicio Del Toro</actor>
  </cast>
  </movie>
```

### XML Example: Movies

```
<movies>
  <movie color="Color">
      <title>The Usual Suspects</title>
      <year>1995</year>
      ...
  </movie>
  <movie color="Color">
      <title>Being John Malkovich</title>
      <year>1999</year>
      ...
  </movie>
      ...
  </movies>
```

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#### Well-Formed Documents

- ▶ well-formed: conforming to XML rules
- syntactically correct
- ▶ single root element
- proper nesting of elements: matched tags
- ▶ unique attributes within elements
- ➤ XML parsers convert well-formed XML documents into DOM objects (Document Object Model)

#### Valid Documents

- ▶ valid: conforming to domain rules
- semantically correct
- ▶ DTD, XML Schema
- validating XML parsers also check for validity

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**JSON** 

- ► JavaScript Object Notation
- ▶ base values: number, string, . . .
- ▶ objects: sets of key-value pairs
- arrays of values
- nested structure

## JSON Example

```
{
  "title": "The Usual Suspects",
  "year": 1995,
  "score": 8.7,
  "votes": 35027,
  "director": "Bryan Singer",
  "cast": [
     "Gabriel Byrne",
     "Benicio Del Toro"
  ]
}
```

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## JSON Example

#### Valid Documents

► JSON Schema

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# Key-Value Stores

- ► model: (key, value) pairs
- ▶ indexed by keys
- ► keys are distinct
- ▶ value is an arbitrary large blob of data
- ▶ very simple interface: put, get, delete
- ► no queries on values
- ▶ products: Redis, Riak, Memcache, Amazon DynamoDB

# Key-Value Store Examples

- ▶ web page caching
- ▶ key: URL, value: web page
- ► image store
- ▶ key: path to image, value: image

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## **Key-Value Stores**

- ▶ distribute records to computing nodes based on key
- ▶ advanced: data structures in value
- ▶ not just a blob of data

#### Column Family Stores

- ▶ key is a (row, column) pair
- ▶ sparse matrix
- ▶ advanced keys: (row, column family, column, timestamp)
- ▶ column family: groups of columns
- ▶ timestamp: store multiple values over time
- ▶ products: Apache Cassandra, Apache HBase, Google BigTable

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## Column Family Store Example

- user preferences
- privacy settings, contact information, notifications, . . .
- ▶ typically under 100 fields, 1 KB
- ▶ only the associated user makes changes: no ACID requirements
- mostly read
- ▶ has to be fast and scalable

#### **Document Stores**

- ▶ model: (key, document) pairs
- ▶ document: JSON formatted data
- query based on document contents
- documents automatically indexed
- ▶ documents grouped into collections: hierarchical structure
- products: MongoDB, CouchDB
- ▶ application example: content management systems

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## MongoDB Insert Example

```
itucsdb.movies.insert(
    {
        "title": "Ed Wood",
        "year": 1994,
        "score": 7.8,
        "votes": 6587,
        "director": "Tim Burton",
        "cast": [
            "Johnny Depp"
        ]
    }
}
```

## MongoDB Insert Example

```
itucsdb.movies.insert(
    {
       "title": "Three Kings",
       "year": 1999,
       "score": 7.7,
       "votes": 10319,
       "cast": [
            "George Clooney",
            "Spike Jonze"
       ]
    }
}
```

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## MongoDB Find Example

```
itucsdb.movies.find()
itucsdb.movies.find(
    {"year": 1999}
)
itucsdb.movies.find(
    {"year": {$gt 1999}}
)
```

#### XML Databases

- variant of document stores
- ▶ document: XML formatted data
- query using XPath
- products: Oracle Berkeley DBXML, BaseX, eXist

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#### **XPath**

- ▶ XPath: selecting nodes and data from XML documents
- ▶ path of nodes to find: chain of location steps
- starting from the root (absolute)
- ▶ starting from the current node (relative)

#### XPath Examples

- ▶ all movies: /movies/movie
- ▶ actors of current movie: ./cast/actor
- ▶ ../../year

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## **Location Steps**

- ▶ location step structure: axis::node\_selector[predicate]
- ► axis: where to search
- selector: what to search
- predicate: under which conditions

#### Axes

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- ► child: all children, one level (default axis)
- ▶ descendant: all children, recursively (shorthand: //)
- ▶ parent: parent node, one level
- ▶ ancestor: parent nodes, up to document element
- ► attribute: attributes (shorthand: @)
- ▶ following-sibling: siblings that come later
- ▶ preceding-sibling: siblings that come earlier

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#### **Node Selectors**

- ▶ node tag
- ▶ node attribute
- ▶ node text: text()
- ▶ all children: \*

#### XPath Examples

- names of all directors:
  /movies/movie/director/text()
  //director/text()
- ▶ all actors in this movie:
  - ./cast/actor
  - .//actor
- colors of all movies: //movie/@color
- scores of movies after this one:
  - ./following-sibling::movie/score

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#### XPath Predicates

- ▶ testing node position: [position]
- testing existence of a child: [child\_tag]
- ▶ testing value of a child: [child\_tag="value"]
- testing existence of an attribute: [@attribute]
- ▶ testing value of an attribute: [@attribute="value"]

## XPath Examples

- ▶ title of the first movie: /movies/movie[1]/title
- ► all movies in the year 1997: movie[year="1997"]
- black-and-white movies: movie[@color="BW"]

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## **Graph Databases**

- ▶ model: nodes and edges
- ▶ nodes have properties
- edges have labels
- ▶ for relationship intensive data: social networks, . . .
- ► traversals instead of joins
- products: Neo4J

## **Graph Databases**

- better suited for tasks like: shortest path, friends of friends, neighboring nodes with specific properties
- ▶ difficult to scale out
- ▶ declarative query languages: Cypher, Gremlin

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## Cypher

- ▶ locate the initial nodes
- select and traverse relationships
- ► change and/or return values

# Cypher: Nodes

```
▶ (name)
```

▶ (name:Type)

► (name:Type {attributes})

```
(matrix)
(matrix:Movie)
(matrix:Movie {title: "The Matrix"})
(matrix:Movie {title: "The Matrix", released: 1997})
```

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## Cypher: Relationships

```
b undirected: --
b directed: --> <--
b with details: -[]-

-[role]->
-[role:ACTED_IN]->
-[role:ACTED_IN {roles: ["Neo"]}]->
```

## Cypher: Patterns

- combine nodes and relationships
- ▶ give names to patterns

```
(keanu:Person {name: "Keanu Reeves"})
-[role:ACTED_IN {roles: ["Neo"] } ]->
(matrix:Movie {title: "The Matrix"})
acted_in = (:Person)-[:ACTED_IN]->(:Movie)
```

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## Cypher: Creating Data

```
CREATE (:Movie {title: "The Matrix", released: 1997})

CREATE (p:Person {name: "Keanu Reeves", born: 1964})

RETURN p

CREATE (a:Person {name: "Tom Hanks", born:1956 })
   -[r:ACTED_IN {roles: ["Forrest"]}]->
   (m:Movie {title: "Forrest Gump", released: 1994})

CREATE (d:Person {name: "Robert Zemeckis", born: 1951})
   -[:DIRECTED]-> (m)

RETURN a, d, r, m
```

## Cypher: Matching Patterns

```
MATCH (m:Movie)
RETURN m

MATCH (p:Person {name:"Keanu Reeves"})
RETURN p

MATCH (p:Person {name:"Tom Hanks"})
   -[r:ACTED_IN]-> (m:Movie)
RETURN m.title, r.roles
```

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#### References

#### Supplementary Reading

- ► Making Sense of NoSQL, by Dan McCreary and Ann Kelly, Manning Publications
- ► The Neo4J Manual: Tutorials http://neo4j.com/docs/stable/tutorials.html