

BLG 335E – Analysis of Algorithms I

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Outline

- Elementary Data Structures
- Medians and Order Statistics
- Hash Tables



- Although **MAX-HEAPIFY** costs $O(\lg n)$ time and there are $O(n)$ calls to it, still we can find a tighter bound than $O(n \lg n)$ for:

BUILD-MAX-HEAP(A)

```
1  heap-size[ $A$ ]  $\leftarrow$  length[ $A$ ]  
2  for  $i \leftarrow \lfloor \text{length}[A]/2 \rfloor$  downto 1  
3      do MAX-HEAPIFY( $A, i$ )
```

- Because, cost of **MAX-HEAPIFY** depends on the height of the node in the tree, and the heights of most nodes are small.

BUILD-MAX-HEAP(A, n)

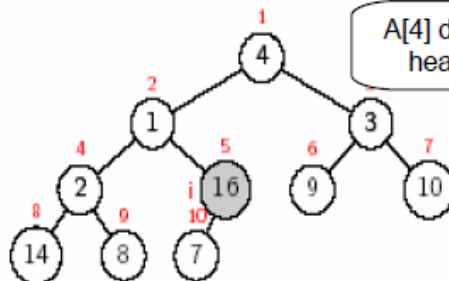
for $i \leftarrow \lfloor n/2 \rfloor$ downto 1

do MAX-HEAPIFY(A, i, n)

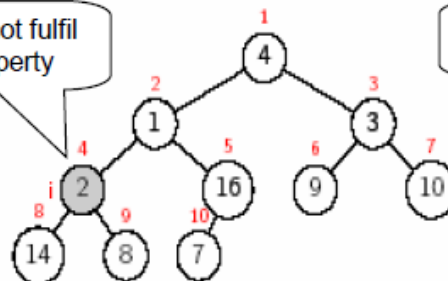
Input array A

1	2	3	4	5	6	7	8	9	10
4	1	3	2	16	9	10	14	8	7

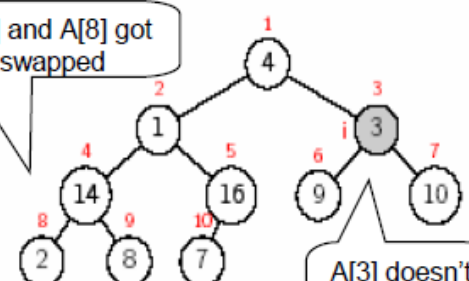
$A[10], A[9], \dots, A[6]$ have already been inserted, all fulfilling heap property



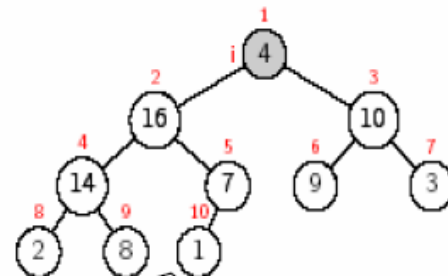
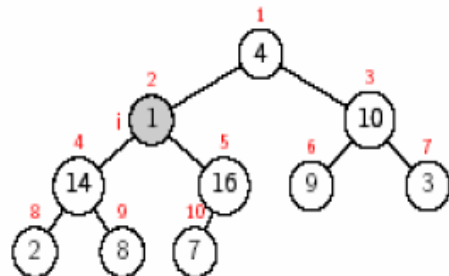
$A[4]$ does not fulfil heap property



$A[4]$ and $A[8]$ got swapped

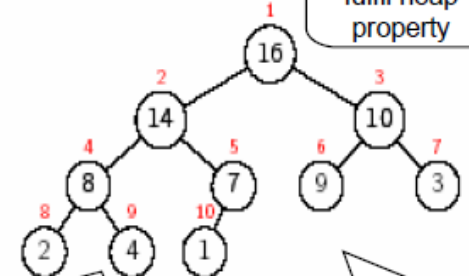


$A[3]$ doesn't fulfil heap property



$A[2]=1$ was moved down to $A[10]$

$A[1]=4$ was moved down to $A[9]$



Resulting tree fulfills heap property

Exercise 1

- Using a similar reasoning, can we find a tighter bound than $O(n \lg n)$ for **Heapsort**?

HEAPSORT(*A*)

```
1  BUILD-MAX-HEAP(A)
2  for i  $\leftarrow$  length[A] downto 2
3      do exchange A[1]  $\leftrightarrow$  A[i]
4          heap-size[A]  $\leftarrow$  heap-size[A] - 1
5          MAX-HEAPIFY(A, 1)
```



Exercise 1-Solution

HEAPSORT(A)

1. BUILD-MAX-HEAP(A)
2. **for** $i \leftarrow \text{length}[A]$ **downto** 2
3. **do** exchange $A[1] \leftrightarrow A[i]$
4. $\text{heap-size}[A] \leftarrow \text{heap-size}[A] - 1$
5. MAX-HEAPIFY($A, 1$)

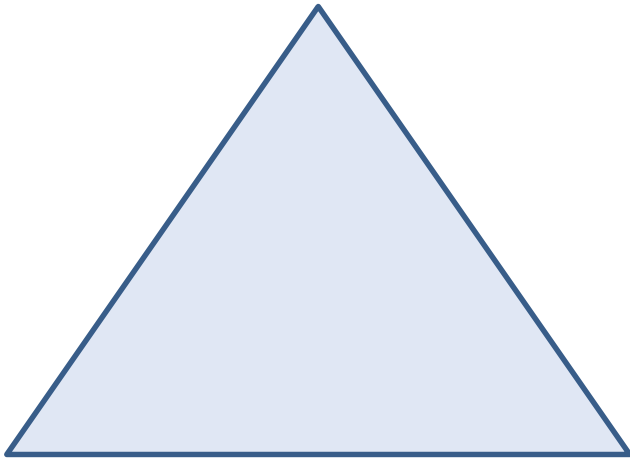
- Call to BUILD-MAX-HEAP takes time $O(n)$
- $n-1$ calls to MAX-HEAPIFY
- Each call MAX-HEAPIFY takes $O(\lg n)$

Result: $O(n) + (n-1) * O(\lg n) = O(n \lg n)$

Exercise 1 – Solution

BUILD-MAX-HEAP(*A*)

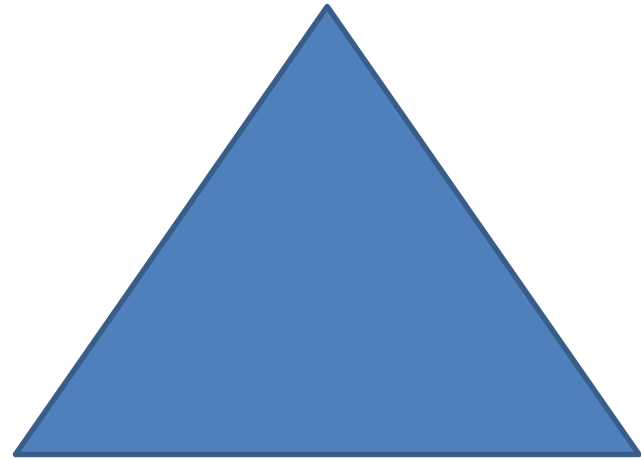
```
1  heap-size[A] ← length[A]  
2  for i ← ⌊length[A]/2⌋ downto 1  
3      do MAX-HEAPIFY(A, i)
```



$O(n)$

HEAPSORT(*A*)

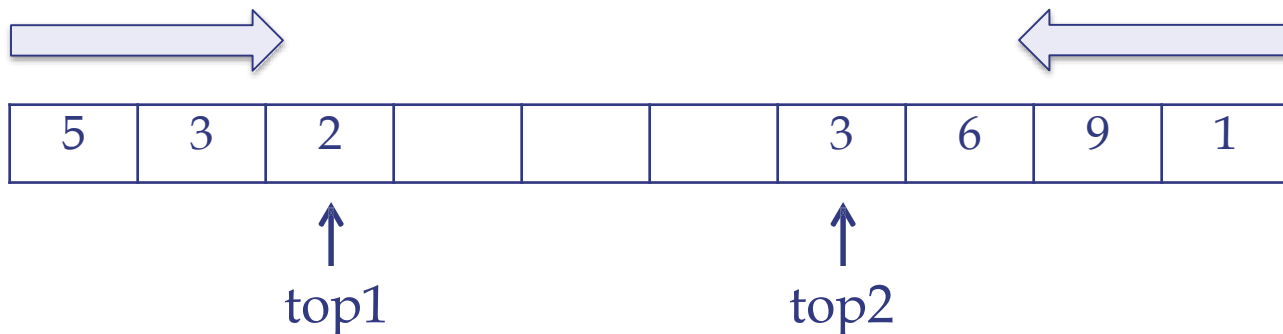
```
1  BUILD-MAX-HEAP(A)  
2  for i ← length[A] downto 2  
3      do exchange A[1] ↔ A[i]  
4          heap-size[A] ← heap-size[A] – 1  
5          MAX-HEAPIFY(A, 1)
```



$O(n \log n)$

Exercise 2a

- Explain how to implement **two stacks** in **one array** $A[1..n]$ in such a way that
 - neither stack overflows unless the total number of elements in both stacks is n .
 - the PUSH and POP operations should run in $O(1)$ time.



Exercise 2b

- Implement a **queue** by a singly linked list **L**. The operations **Enqueue** and **Dequeue** should still take **$O(1)$** time.



Exercise 2b-Solution

- Implement a **queue** by a singly linked list **L**. The operations **Enqueue** and **Dequeue** should still take **$O(1)$** time.



Exercise 2b-Solution

- Implement a **queue** by a singly linked list **L**. The operations **Enqueue** and **Dequeue** should still take **$O(1)$** time.
- Using a tail pointer beside head pointer !



Exercise 2c

- Write an $O(n)$ -time procedure that, given an n -node **binary tree**, prints out the key of each node in the tree.
 - a) Recursively
 - b) Non-recursively using a **stack**



Tree Traversal (Recursively) İTÜ

- Inorder
- Preorder
- Postorder



Tree Traversal (Recursively) İTÜ

- Inorder

```
void Inorder(node *nptr){  
    if(nptr){  
        Inorder(nptr->left);  
        cout << nptr->number << endl;  
        Inorder(nptr->right);  
    }  
}
```

Tree Traversal (Recursively) İTÜ

- Preorder

```
void Preorder(node *nptr){  
    if(nptr){  
        cout << nptr->number << endl;  
        Preorder(nptr->left);  
        Preorder(nptr->right);  
    }  
}
```

Tree Traversal (Recursively) İTÜ

- Postorder

```
void Postorder(node *nptr){  
    if(nptr){  
        Postorder(nptr->left);  
        Postorder(nptr->right);  
        cout << nptr->number << endl;  
    }  
}
```


Exercise 3

- Show that the second smallest of n elements can be found with $n + \lceil \lg n \rceil - 2$ comparisons in the worst case.
(*Hint: Also find the smallest element.*)



Exercise 3

- What about the minimum element?
- Conduct a tournament by using the pairs all the time.
- Consider a tree structure. Leaves are numbers, each inner node corresponds to comparisons.
- By doing so, the minimum element can be found with $n - 1$ comparisons.



Exercise 3

- What about the second minimum?
- In the search for the smallest number, the second smallest number must have come out smallest in every comparison made with it until it was eventually compared with the smallest.
- So the second smallest is one of them !
- What to do now?



Exercise 3

- Second tournament is applied to this subset again.
- At most $\lceil \lg n \rceil$ elements (Depth of the tree).
- What about the number of comparisons?
- $\lceil \lg n \rceil - 1$



Exercise 3

- What about total number of comparisons?
- $n - 1 + \lceil \lg n \rceil - 1$
- $n + \lceil \lg n \rceil - 2$



Exercise 4

- Consider inserting the keys 10, 22, 31, 4, 15, 28, 17, 88, 59 into a hash table of length $m = 11$ using open addressing with the primary hash function $h'(k) = k \bmod m$.
- Illustrate the result of inserting these keys using **linear probing**, using **quadratic probing** with $c_1 = 1$ and $c_2 = 3$, and using **double hashing** with $h_2(k) = 1 + (k \bmod (m - 1))$.

Answer 4: Using Linear Probing İTÜ



- **Linear probing:** $h(k, i) = (h'(k) + i) \bmod m$
- $h'(k) = k \bmod m$
- $m = 11, i = \{0, 1, 2, \dots, m - 1\}$
- Set of keys: $\{10, 22, 31, 4, 15, 28, 17, 88, 59\}$

$$h(10, 0) = 10$$

$$h(22, 0) = 0$$

$$h(31, 0) = 9$$

$$h(4, 0) = 4$$

$$h(15, 0) = 4$$

$$h(15, 1) = 5$$

$$h(28, 0) = 6$$

$$h(17, 0) = 6$$

$$h(17, 1) = 7$$

$$h(88, 0) = 0$$

$$h(88, 1) = 1$$

$$h(59, 0) = 4$$

$$h(59, 1) = 5$$

$$h(59, 2) = 6$$

$$h(59, 3) = 7$$

$$h(59, 4) = 8$$

□ The resulting hash table:

$$H = \{22, 88, \text{nil}, \text{nil}, 4, 15, 28, 17, 59, 31, 10\}$$

Answer 4: Using Quadratic Probing



- **Quadratic probing:** $h(k, i) = (h'(k) + c_1i + c_2i^2) \bmod m$
- $h'(k) = k \bmod m, c_1 = 1, c_2 = 3$
- $m = 11, i = \{0, 1, 2, \dots, m - 1\}$
- Set of keys: $\{10, 22, 31, 4, 15, 28, 17, 88, 59\}$

$$h(10,0) = 10$$

$$h(22,0) = 0$$

$$h(31,0) = 9$$

$$h(4,0) = 4$$

$$h(15,0) = 4$$

$$h(15,1) = 8$$

$$h(28,0) = 6$$

$$h(17,0) = 6$$

$$h(17,1) = 10$$

$$h(17,2) = 9$$

$$h(17,3) = 3$$

$$h(88,0) = 0$$

$$h(88,1) = 4$$

$$h(88,2) = 3$$

$$h(88,3) = 8$$

$$h(88,4) = 8$$

$$h(88,5) = 3$$

$$h(88,6) = 4$$

$$h(88,7) = 0$$

$$h(88,8) = 2$$

$$h(59,0) = 4$$

$$h(59,1) = 8$$

$$h(59,2) = 7$$

□ The resulting hash table:

$$H = \{22, \text{nil}, 88, 17, 4, \text{nil}, 28, 59, 15, 31, 10\}$$

Answer 4: Using Double Hashing

- **Double Hashing:** $h(k, i) = (h_1(k) + ih_2(k)) \bmod m$
- $h_1(k) = k \bmod m$ and $h_2(k) = 1 + k \bmod (m - 1)$
- $m = 11, i = \{0, 1, 2, \dots, m - 1\}$
- Set of keys: $\{10, 22, 31, 4, 15, 28, 17, 88, 59\}$

$$h(10,0) = 10$$

$$h(22,0) = 0$$

$$h(31,0) = 9$$

$$h(4,0) = 4$$

$$h(15,0) = 4$$

$$h(15,1) = 10$$

$$h(15,2) = 5$$

$$h(28,0) = 6$$

$$h(17,0) = 6$$

$$h(17,1) = 3$$

$$h(88,0) = 0$$

$$h(88,1) = 9$$

$$h(88,2) = 7$$

$$h(59,0) = 4$$

$$h(59,1) = 3$$

$$h(59,2) = 2$$

□ The resulting hash table:

$$H = \{22, \text{nil}, 59, 17, 4, 15, 28, 88, \text{nil}, 31, 10\}$$