# Evaluating Effectiveness of Shallow and Deep Networks to Intrusion Detection System

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#### Introduction

- Information and communication technology (ICT) systems are essential for today's rapidly growing powerful technologies. At the same time, ICT system has been encountered by various attacks.
- Network intrusion detection system (NIDS) is a tool used to detect and classify the network breaches dynamically in ICT systems in both academia and industries.

# Methodology

 Feature sets of connection records are passed to shallow networks such as Logistic regression, Naive Bayes, k-nearest neighbor, decision tree, Ada boost, random forest, support vector machine and extreme learning machine and deep networks such as multi layer perceptron and deep belief network.

## Description of the data set and Results

Network intrusion detection data sets: DARAPA / KDDCup '99' [1] and NSL-KDD [2].

Table 1. Description of Data set

| Attack   | Data instances 10%<br>data |        |        |         |  |  |  |  |  |
|----------|----------------------------|--------|--------|---------|--|--|--|--|--|
| Category | KI                         | DD     | NSL-   | NSL-KDD |  |  |  |  |  |
|          | Train                      | Test   | Train  | Test    |  |  |  |  |  |
| Normal   | 97278                      | 60593  | 67343  | 9710    |  |  |  |  |  |
| DOS      | 391458                     | 229853 | 45927  | 7458    |  |  |  |  |  |
| Probe    | 4107                       | 4166   | 11656  | 2422    |  |  |  |  |  |
| R2L      | 1126                       | 16189  | 995    | 2887    |  |  |  |  |  |
| U2R      | 52                         | 228    | 52     | 67      |  |  |  |  |  |
| Total    | 494021                     | 311029 | 125973 | 22544   |  |  |  |  |  |

| Algorithm   |          | KDDCup    | <b>'99'</b> |         | NSL-KDD  |           |        |         |  |  |  |
|-------------|----------|-----------|-------------|---------|----------|-----------|--------|---------|--|--|--|
| Aigoritiiii | Accuracy | Precision | Recall      | F-score | Accuracy | Precision | Recall | F-score |  |  |  |
| LR          | 0.848    | 0.989     | 0.821       | 0.897   | 0.720    | 0.620     | 0.905  | 0.736   |  |  |  |
| NB          | 0.929    | 0.988     | 0.923       | 0.955   | 0.728    | 0.622     | 0.935  | 0.747   |  |  |  |
| KNN         | 0.929    | 0.998     | 0.913       | 0.954   | 0.788    | 0.677     | 0.971  | 0.798   |  |  |  |
| DT          | 0.929    | 0.999     | 0.913       | 0.954   | 0.796    | 0.687     | 0.969  | 0.804   |  |  |  |
| AB          | 0.925    | 0.995     | 0.911       | 0.951   | 0.774    | 0.662     | 0.970  | 0.787   |  |  |  |
| RF          | 0.927    | 0.999     | 0.910       | 0.952   | 0.779    | 0.667     | 0.975  | 0.792   |  |  |  |
| SVM         | 0.924    | 0.996     | 0.909       | 0.950   | 0.772    | 0.666     | 0.947  | 0.782   |  |  |  |

Table 2. Detailed results of various classical machine learning classifiers

| Network | Number of         |          | KDDCup    | <b>'99'</b> |         | NSL-KDD  |           |        |         |  |  |
|---------|-------------------|----------|-----------|-------------|---------|----------|-----------|--------|---------|--|--|
| Layers  | Neurons           | Accuracy | Precision | Recall      | F-score | Accuracy | Precision | Recall | F-score |  |  |
| MLP1    | [60]              | 0.924    | 0.996     | 0.908       | 0.950   | 0.799    | 0.717     | 0.879  | 0.790   |  |  |
| MLP2    | [90,90]           | 0.934    | 0.995     | 0.922       | 0.958   | 0.811    | 0.701     | 0.879  | 0.817   |  |  |
| MLP3    | [120,120,120]     | 0.938    | 0.988     | 0.934       | 0.960   | 0.861    | 0.766     | 0.977  | 0.859   |  |  |
| MLP4    | [150,150,150,150] | 0.939    | 1.000     | 0.924       | 0.960   | 0.866    | 0.768     | 0.988  | 0.864   |  |  |
| DBN1    | [200]             | 0.924    | 0.996     | 0.909       | 0.950   | 0.885    | 0.821     | 0.939  | 0.876   |  |  |
| DBN2    | [250,250]         | 0.929    | 0.998     | 0.913       | 0.954   | 0.896    | 0.814     | 0.984  | 0.891   |  |  |
| DBN3    | [300,300,300]     | 0.937    | 0.999     | 0.923       | 0.960   | 0.914    | 0.838     | 0.992  | 0.909   |  |  |
| DBN4    | [350,350,350,350] | 0.997    | 1.000     | 0.997       | 0.998   | 0.973    | 0.944     | 0.996  | 0.969   |  |  |

Table 3. Summary of test results of various MLP and DBN networks

|          | KDDCup '99' |            |       |       |         |         |       |       |       |       |       |
|----------|-------------|------------|-------|-------|---------|---------|-------|-------|-------|-------|-------|
| Minimal  | NOR         | NORMAL DOS |       | PRO   | PROBE U |         | U2R   |       | 2L    | ACC   |       |
| Features | TPR         | FPR        | TPR   | FPR   | TPR     | FPR     | TPR   | FPR   | TPR   | FPR   | - ACC |
| MLP4     | 0.871       | 0.079      | 0.927 | 0.170 | 0.553   | 0.011   | 0.0   | 0.0   | 0.0   | 0.0   | 0.886 |
| MLP8     | 0.995       | 0.092      | 0.938 | 0.015 | 0.712   | 0.002   | 0.0   | 0.0   | 0.01  | 0.0   | 0.921 |
| MLP12    | 0.996       | 0.081      | 0.941 | 0.040 | 0.794   | 0.002   | 0.0   | 0.0   | 0.001 | 0.0   | 0.923 |
| DBN4     | 1.0         | 0.081      | 0.938 | 0.099 | 0.0     | 0.0     | 0.0   | 0.0   | 0.0   | 0.0   | 0.912 |
| DBN8     | 0.998       | 0.081      | 0.939 | 0.052 | 0.654   | 0.002   | 0.0   | 0.0   | 0.018 | 0.0   | 0.921 |
| DBN12    | 0.999       | 0.743      | 0.248 | 0.095 | 0.0002  | 0.0     | 0.0   | 0.0   | 0.0   | 0.0   | 0.381 |
|          |             |            |       |       |         | NSL-KDD |       |       |       |       |       |
| MLP12    | 0.760       | 0.168      | 0.901 | 0.318 | 0.470   | 0.012   | 0.0   | 0.0   | 0.0   | 0.0   | 0.684 |
| MLP8     | 0.999       | 0.530      | 0.55  | 0.044 | 0.464   | 0.0024  | 0.0   | 0.0   | 0.0   | 0.0   | 0.667 |
| MLP4     | 0.871       | 0.079      | 0.927 | 0.17  | 0.553   | 0.011   | 0.0   | 0.0   | 0.0   | 0.0   | 0.885 |
| DBN12    | 0.996       | 0.095      | 0.781 | 0.024 | 0.849   | 0.077   | 0.447 | 0.001 | 0.529 | 0.019 | 0.686 |
| DBN8     | 1.0         | 0.065      | 0.807 | 0.043 | 0.773   | 0.108   | 0.358 | 0.008 | 0.387 | 0.003 | 0.799 |
| DBN4     | 0.999       | 0.003      | 0.928 | 0.059 | 0.842   | 0.109   | 0.388 | 0.003 | 0.194 | 0.001 | 0.715 |
|          |             |            |       |       |         |         |       |       |       |       |       |

Table 4. KDDCup '99' and NSL-KDD attack detection rate with minimal feature sets such as 4, 8 and 12

| Algorithm –<br>LR<br>NB | NOR<br>TPR<br>0.969<br>0.711<br>0.994 | FPR<br>0.185<br>0.077 | TPR<br>0.841<br>0.848 | OS<br><b>FPR</b><br>0.07 | PRO<br>TPR<br>0.073 | DBE<br>FPR | TPR   | 2R<br>FPR | TPR   | 2L     | ACC   |
|-------------------------|---------------------------------------|-----------------------|-----------------------|--------------------------|---------------------|------------|-------|-----------|-------|--------|-------|
| LR                      | 0.969<br>0.711                        | 0.185<br>0.077        | 0.841                 |                          |                     | FPR        | TPR   | FPR       | TPR   | EDD    | ACC   |
|                         | 0.711                                 | 0.077                 |                       | 0.07                     | 0.073               |            |       |           | 111   | FPR    | ACC   |
| NB                      |                                       |                       | 0.848                 |                          | 0.075               | 0.002      | 0.0   | 0.0       | 0.0   | 0.0    | 0.833 |
|                         | 0.994                                 |                       | 31010                 | 0.023                    | 0.963               | 0.076      | 0.8   | 0.053     | 0.152 | 0.001  | 0.803 |
| KNN                     |                                       | 0.087                 | 0.939                 | 0.012                    | 0.693               | 0.004      | 0.229 | 0.0       | 0.063 | 0.001  | 0.922 |
| DT                      | 0.995                                 | 0.085                 | 0.941                 | 0.004                    | 0.745               | 0.002      | 0.343 | 0.002     | 0.125 | 0.0003 | 0.926 |
| AB                      | 0.99                                  | 0.625                 | 0.383                 | 0.03                     | 0.116               | 0.002      | 0.0   | 0.0       | 0.003 | 0.0    | 0.487 |
| RF                      | 0.995                                 | 0.093                 | 0.940                 | 0.004                    | 0.753               | 0.002      | 0.271 | 0.0       | 0.001 | 0.0    | 0.922 |
| SVM                     | 0.987                                 | 0.061                 | 0.939                 | 0.004                    | 0.928               | 0.026      | 0.0   | 0.0       | 0.147 | 0.0001 | 0.926 |
| ELM                     | 0.997                                 | 0.073                 | 0.940                 | 0.005                    | 0.809               | 0.009      | 0.157 | 0.001     | 0.172 | 0.002  | 0.929 |
|                         |                                       |                       |                       |                          | N                   | SL-KDD     |       |           |       |        |       |
| LR                      | 0.926                                 | 0.468                 | 0.641                 | 0.122                    | 0.171               | 0.020      | 0.0   | 0.0       | 0.0   | 0.0    | 0.635 |
| NB                      | 0.269                                 | 0.083                 | 0.762                 | 0.165                    | 0.539               | 0.02       | 0.806 | 0.258     | 0.365 | 0.104  | 0.478 |
| KNN                     | 0.976                                 | 0.333                 | 0.73                  | 0.031                    | 0.589               | 0.038      | 0.179 | 0.0001    | 0.084 | 0.016  | 0.741 |
| DT                      | 0.971                                 | 0.334                 | 0.756                 | 0.012                    | 0.715               | 0.032      | 0.328 | 0.002     | 0.01  | 0.019  | 0.753 |
| AB                      | 0.936                                 | 0.37                  | 0.796                 | 0.146                    | 0.11                | 0.009      | 0.0   | 0.0       | 0.0   | 0.0    | 0.685 |
| RF                      | 0.975                                 | 0.408                 | 0.749                 | 0.013                    | 0.618               | 0.02       | 0.254 | 0.0003    | 0.002 | 0.0002 | 0.741 |
| SVM                     | 0.977                                 | 0.242                 | 0.697                 | 0.014                    | 0.913               | 0.108      | 0.0   | 0.0       | 0.011 | 0.0009 | 0.755 |
| ELM                     | 0.974                                 | 0.232                 | 0.774                 | 0.015                    | 0.854               | 0.091      | 0.0   | 0.0       | 0.023 | 0.001  | 0.776 |

Table 5. Detailed results for KDDCup '99' and NSL-KDD using classical machine learning classifiers

|         | KDDCup '99' |        |       |       |       |        |     |       |        |        |       |
|---------|-------------|--------|-------|-------|-------|--------|-----|-------|--------|--------|-------|
| Network | NOR         | NORMAL |       | DOS   |       | PROBE  |     | U2R   |        | R2L    |       |
| Layers  | TPR         | FPR    | TPR   | FPR   | TPR   | FPR    | TPR | FPR   | TPR    | FPR    | - ACC |
| MLP 1   | 0.989       | 0.091  | 0.939 | 0.015 | 0.771 | 0.003  | 0.0 | 0.0   | 0.001  | 0.0    | 0.919 |
| MLP 2   | 0.981       | 0.095  | 0.938 | 0.019 | 0.674 | 0.003  | 0.0 | 0.0   | 0.001  | 0.0001 | 0.917 |
| MLP 3   | 0.983       | 0.144  | 0.882 | 0.013 | 0.785 | 0.006  | 0.0 | 0.0   | 0.0003 | 0.0    | 0.876 |
| MLP 4   | 0.984       | 0.134  | 0.899 | 0.021 | 0.669 | 0.003  | 0.0 | 0.0   | 0.0    | 0.0    | 0.887 |
| DBN 1   | 0.988       | 0.078  | 0.941 | 0.051 | 0.616 | 0.002  | 0.0 | 0.0   | 0.134  | 0.002  | 0.922 |
| DBN 2   | 0.988       | 0.076  | 0.939 | 0.022 | 0.709 | 0.003  | 0.0 | 0.001 | 0.332  | 0.003  | 0.928 |
| DBN 3   | 0.979       | 0.071  | 0.959 | 0.023 | 0.708 | 0.003  | 0.0 | 0.0   | 0.001  | 0.001  | 0.933 |
| DBN 4   | 0.953       | 0.082  | 0.937 | 0.127 | 0.105 | 0.003  | 0.0 | 0.0   | 0.0    | 0.0    | 0.902 |
|         |             |        |       |       | N     | SL-KDD | )   |       |        |        |       |
| MLP 1   | 0.975       | 0.093  | 0.768 | 0.031 | 0.775 | 0.128  | 0.0 | 0.0   | 0.214  | 0.027  | 0.789 |
| MLP 2   | 0.976       | 0.149  | 0.777 | 0.058 | 0.817 | 0.065  | 0.0 | 0.0   | 0.343  | 0.007  | 0.812 |
| MLP 3   | 0.972       | 0.254  | 0.758 | 0.025 | 0.785 | 0.089  | 0.0 | 0.0   | 0.035  | 0.001  | 0.764 |
| MLP 4   | 0.975       | 0.392  | 0.658 | 0.018 | 0.733 | 0.056  | 0.0 | 0.0   | 0.0    | 0.0    | 0.721 |
| DBN 1   | 0.998       | 0.084  | 0.828 | 0.073 | 0.839 | 0.114  | 0.0 | 0.0   | 0.002  | 0.004  | 0.793 |
| DBN 2   | 0.974       | 0.233  | 0.778 | 0.015 | 0.857 | 0.091  | 0.0 | 0.0   | 0.029  | 0.001  | 0.776 |
| DBN 3   | 0.982       | 0.092  | 0.776 | 0.014 | 0.922 | 0.111  | 0.0 | 0.0   | 0.261  | 0.029  | 0.817 |
| DBN 4   | 0.979       | 0.256  | 0.778 | 0.014 | 0.809 | 0.058  | 0.0 | 0.0   | 0.089  | 0.015  | 0.793 |
|         |             |        |       |       |       |        |     |       |        |        |       |

Table 6. Detailed results for KDDCup '99' and NSL-KDD using deep networks

## Summary

- Shallow and Deep network is applied for network intrusion detection.
- Deep network performed well in comparison to the shallow networks.
- The primary reason to that is, a deep network passes the information through the several layers and nonlinearity in each layer facilitates to learn the distinguishable patterns between normal and attack connection records.

#### **Future Work**

KDDCup '99' and NSL-KDD are most well-known and outdated. Moreover, these are not representative for today's network traffic. Applying the proposed methodologies on the recent network traffic data set is essential. This will be remained as one of significant future work direction.

#### References

[1]http://kdd.ics.uci.edu/databases/kddcup99/kddcup99.html

[2] M. Tavallaee, E. Bagheri, W. Lu, and A. A. Ghorbani, "A detailed analysis of the kdd cup 99 data set," in Computational Intelligence for Security and Defense Applications, 2009. CISDA 2009. IEEE Symposium on. IEEE, 2009, pp. 1–6