

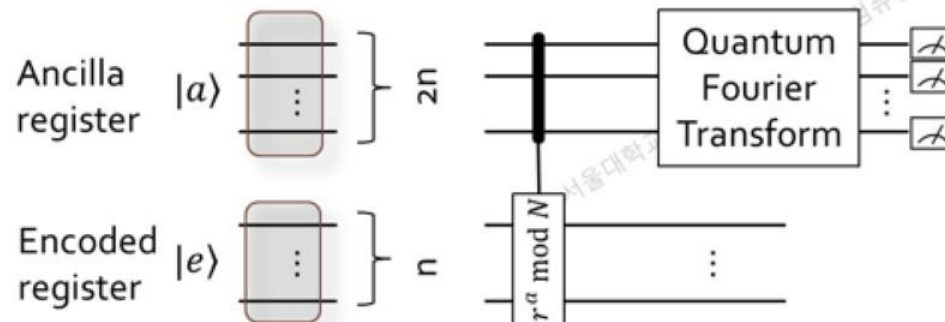
# Shor's Algorithm (Factoring algorithm)

- Chapter 5
- Example for factorization of number 15
  - Choose a random number that has the following properties
    - No common divisor with 15 (target of factorization)
    - Smaller than 15 (target of factorization)
    - Ex)  $r = 7$
  - Calculate  $r^a \pmod{15}$  for all  $a$  between 0 and 255
  - Find the period among these values

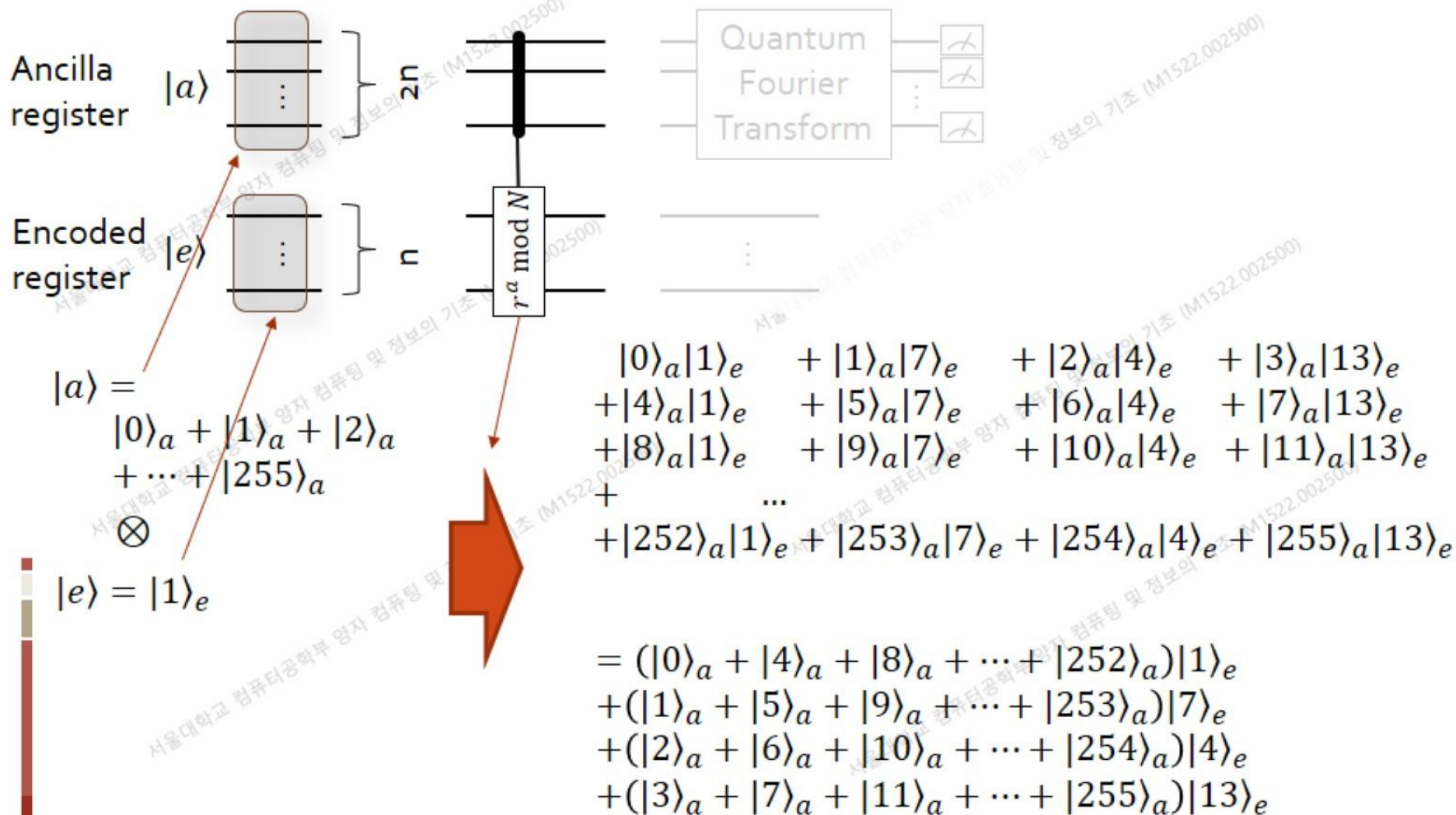
Ex)

$7^0$	$7^1$	$7^2$	$7^3$	$7^4$	$7^5$	$7^6$	$7^7$	$7^8$	$7^9$	$7^{10}$	$7^{11}$	$7^{12}$	...
1	7	4	13	1	7	4	13	1	7	4	13	1	...

- $7^4 = 1 \pmod{15} \Rightarrow 7^4 - 1 = (7^2 - 1)(7^2 + 1) = N * 15$
- $\gcd(7^2 - 1, 15) = 3, \gcd(7^2 + 1, 15) = 5$

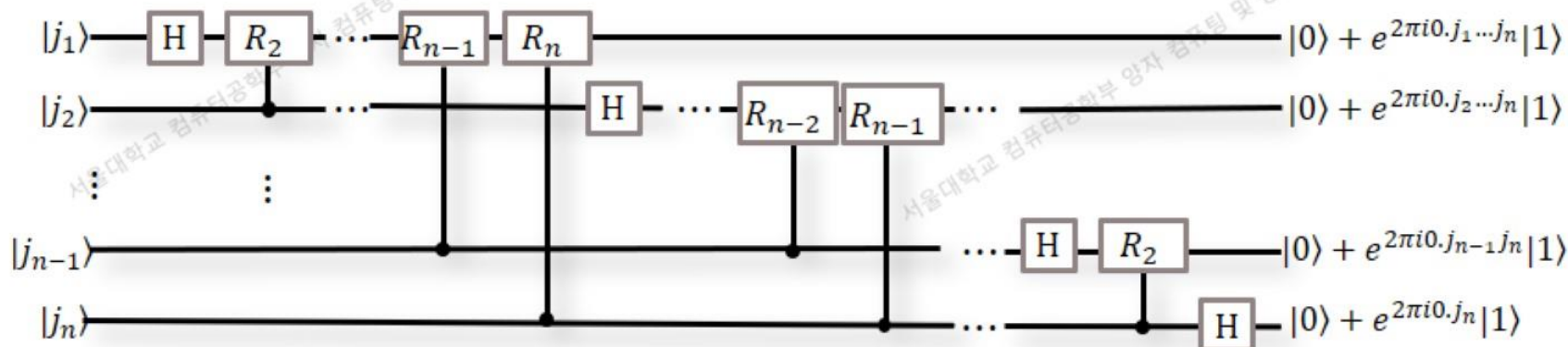


# Analysis of Factorization Process I



# Summary of Quantum Fourier Transform

- Discrete Fourier transform (DFT)
  - Input data for DFT:  $x_0, \dots, x_{N-1}$
  - Output data of DFT:  $y_k = \frac{1}{\sqrt{N}} \sum_{j=0}^{N-1} x_j e^{2\pi i j k / N}$
- Quantum Fourier transform (QFT)
  - Input quantum state: each input data is used as the probability amplitude of the corresponding basis  $\sum_{j=0}^{N-1} x_j |j\rangle$
  - Output quantum state: has the output of DFT as the probability amplitude of the corresponding basis  $\sum_{k=0}^{N-1} y_k |k\rangle$
  - $\sum_{j=0}^{N-1} x_j |j\rangle \rightarrow \sum_{k=0}^{N-1} y_k |k\rangle$
- Implementation of QFT circuit
  - Need a quantum circuit that can transform the basis ket  $|0\rangle, \dots, |N-1\rangle$  of the input quantum state in the following way:  $|j\rangle \rightarrow \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{2\pi i \frac{k \cdot j}{N}} |k\rangle$
  - Circuit example for QFT where  $R_k = \begin{bmatrix} 1 & 0 \\ 0 & e^{2\pi i / 2^k} \end{bmatrix}$



# Derivation of QFT circuit I

- Section 5.1
- $N = 2^n$
- $j = j_1 j_2 \dots j_n = j_1 2^{n-1} + j_2 2^{n-2} + \dots + j_n 2^0$
- $0.j_l j_{l+1} \dots j_m = j_l/2 + j_{l+1}/2^2 + \dots + j_m/2^{m-l+1}$
- QFT:  $|j_1, \dots, j_n\rangle \rightarrow \frac{1}{2^{n/2}} (|0\rangle + e^{2\pi i 0.j_n} |1\rangle) \cdot (|0\rangle + e^{2\pi i 0.j_{n-1} j_n} |1\rangle) \dots (|0\rangle + e^{2\pi i 0.j_1 j_2 \dots j_n} |1\rangle)$

$$\begin{aligned}
 |j\rangle &\rightarrow \frac{1}{2^{n/2}} \sum_{k=0}^{2^n-1} e^{2\pi i j k / 2^n} |k\rangle \\
 &= \frac{1}{2^{n/2}} \sum_{k=0}^1 \dots \sum_{k_n=0}^1 e^{2\pi i j \sum_{l=1}^n k_l 2^{-l}} |k_1 \dots k_n\rangle \\
 &= \frac{1}{2^{n/2}} \sum_{k=0}^1 \dots \sum_{k_n=0}^1 \bigotimes_{l=1}^n e^{2\pi i j k_l 2^{-l}} |k_l\rangle \\
 &= \frac{1}{2^{n/2}} \bigotimes_{l=1}^n \left[ \sum_{k_l=0}^1 e^{2\pi i j k_l 2^{-l}} |k_l\rangle \right] \\
 &= \frac{1}{2^{n/2}} \bigotimes_{l=1}^n \left[ |0\rangle + e^{2\pi i j 2^{-l}} |1\rangle \right] \\
 &= \frac{(|0\rangle + e^{2\pi i 0.j_n} |1\rangle)(|0\rangle + e^{2\pi i 0.j_{n-1} j_n} |1\rangle) \dots (|0\rangle + e^{2\pi i 0.j_1 j_2 \dots j_n} |1\rangle)}{2^{n/2}}
 \end{aligned}$$

## Derivation of QFT circuit II

- Example for  $N = 2^2 = 4$
- $$|j\rangle \rightarrow \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{2\pi i \frac{k \cdot j}{N}} |k\rangle = \frac{1}{2} \left( e^{2\pi i \frac{0 \cdot j}{4}} |00_2\rangle + e^{2\pi i \frac{1 \cdot j}{4}} |01_2\rangle + e^{2\pi i \frac{2 \cdot j}{4}} |10_2\rangle + e^{2\pi i \frac{3 \cdot j}{4}} |11_2\rangle \right)$$
- $$|j=0\rangle \rightarrow \frac{1}{2} (|0\rangle|0\rangle + |0\rangle|1\rangle + |1\rangle|0\rangle + |1\rangle|1\rangle) = \frac{1}{2} (|0\rangle + |1\rangle)(|0\rangle + |1\rangle)$$
- $$\begin{aligned}
 |j=1\rangle &\rightarrow \frac{1}{2} \left( e^{2\pi i \frac{(0 \cdot 2^1 + 0 \cdot 2^0) \cdot 1}{4}} |0\rangle|0\rangle + e^{2\pi i \frac{(0 \cdot 2^1 + 1 \cdot 2^0) \cdot 1}{4}} |0\rangle|1\rangle + e^{2\pi i \frac{(1 \cdot 2^1 + 0 \cdot 2^0) \cdot 1}{4}} |1\rangle|0\rangle + \right. \\
 &\quad \left. e^{2\pi i \frac{(1 \cdot 2^1 + 1 \cdot 2^0) \cdot 1}{4}} |1\rangle|1\rangle \right) \\
 &= \frac{1}{2} \left( e^{2\pi i \frac{0 \cdot 2^1 \cdot 1}{4}} |0\rangle e^{2\pi i \frac{0 \cdot 2^0 \cdot 1}{4}} |0\rangle + e^{2\pi i \frac{0 \cdot 2^1 \cdot 1}{4}} |0\rangle e^{2\pi i \frac{1 \cdot 2^0 \cdot 1}{4}} |1\rangle + e^{2\pi i \frac{1 \cdot 2^1 \cdot 1}{4}} |1\rangle e^{2\pi i \frac{0 \cdot 2^0 \cdot 1}{4}} |0\rangle \right. \\
 &\quad \left. + e^{2\pi i \frac{1 \cdot 2^1 \cdot 1}{4}} |1\rangle e^{2\pi i \frac{1 \cdot 2^0 \cdot 1}{4}} |1\rangle \right) \\
 &= \frac{1}{2} \left( e^{2\pi i \frac{0 \cdot 2^1 \cdot 1}{4}} |0\rangle + e^{2\pi i \frac{1 \cdot 2^1 \cdot 1}{4}} |1\rangle \right) \left( e^{2\pi i \frac{0 \cdot 2^0 \cdot 1}{4}} |0\rangle + e^{2\pi i \frac{1 \cdot 2^0 \cdot 1}{4}} |1\rangle \right)
 \end{aligned}$$
- $$|j\rangle \rightarrow \frac{1}{2} \left( |0\rangle + e^{2\pi i \frac{2^1 \cdot j}{4}} |1\rangle \right) \left( |0\rangle + e^{2\pi i \frac{2^0 \cdot j}{4}} |1\rangle \right)$$

## Derivation of QFT circuit III

- Generally we want  $|j\rangle \rightarrow \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{2\pi i \frac{k \cdot j}{N}} |k\rangle$
- From the previous page, for  $n = 2$  and  $N = 2^n = 4$ ,  $|j\rangle \rightarrow \frac{1}{2} (|0\rangle + e^{2\pi i \frac{2^1 \cdot j}{4}} |1\rangle) (|0\rangle + e^{2\pi i \frac{2^0 \cdot j}{4}} |1\rangle)$
- For  $n = 3$ ,  $|j\rangle \rightarrow \frac{1}{\sqrt{8}} (|0\rangle + e^{2\pi i \frac{2^2 \cdot j}{8}} |1\rangle) (|0\rangle + e^{2\pi i \frac{2^1 \cdot j}{8}} |1\rangle) (|0\rangle + e^{2\pi i \frac{2^0 \cdot j}{8}} |1\rangle)$

When  $j = 111_2$ ,  $\frac{2^2 \cdot j}{8} = \frac{2^2 \cdot (1 \cdot 2^2 + 1 \cdot 2^1 + 1 \cdot 2^0)}{2^3} = \frac{1 \cdot 2^4 + 1 \cdot 2^3 + 1 \cdot 2^2}{2^3}$ .

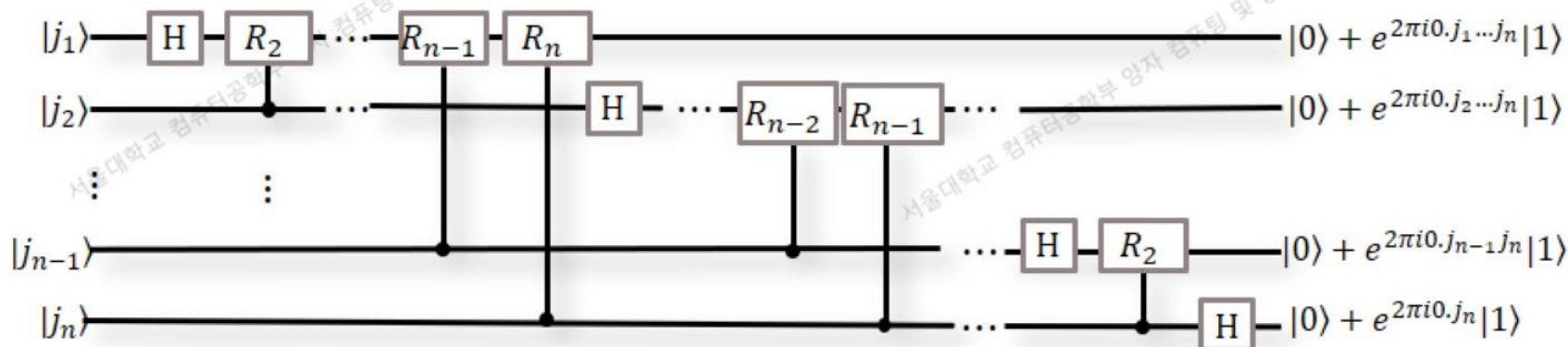
- As the exponent of  $e^{2\pi i \frac{2^2 \cdot j}{8}}$ ,  $1 \cdot 2^4 + 1 \cdot 2^3$  in the numerator is meaningless. Why?

- Therefore, when  $j = j_1 j_2 j_3$ ,

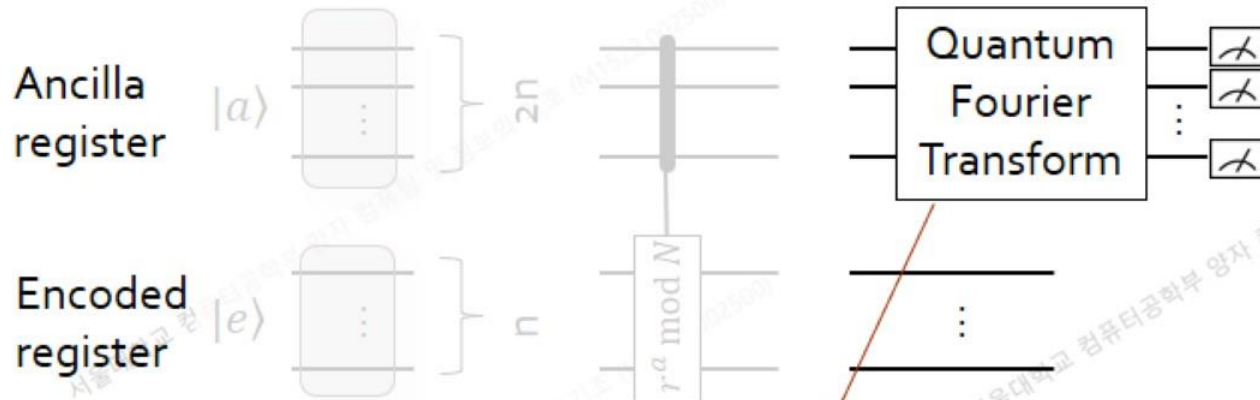
$$\begin{aligned} & \frac{1}{\sqrt{8}} (|0\rangle + e^{2\pi i \frac{j_3}{2}} |1\rangle) (|0\rangle + e^{2\pi i \frac{j_2 j_3}{4}} |1\rangle) (|0\rangle + e^{2\pi i \frac{j_1 j_2 j_3}{8}} |1\rangle) \\ &= \frac{1}{\sqrt{8}} (|0\rangle + e^{2\pi i 0 \cdot j_3} |1\rangle) (|0\rangle + e^{2\pi i 0 \cdot j_2 j_3} |1\rangle) (|0\rangle + e^{2\pi i 0 \cdot j_1 j_2 j_3} |1\rangle) \end{aligned}$$

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  - $\sum_{j=0}^{N-1} x_j |j\rangle \rightarrow \sum_{k=0}^{N-1} y_k |k\rangle$
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  - Need a quantum circuit that can transform the basis ket  $|0\rangle, \dots, |N-1\rangle$  of the input quantum state in the following way:  $|j\rangle \rightarrow \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{2\pi i \frac{k \cdot j}{N}} |k\rangle$
  - Circuit example for QFT where  $R_k = \begin{bmatrix} 1 & 0 \\ 0 & e^{2\pi i / 2^k} \end{bmatrix}$

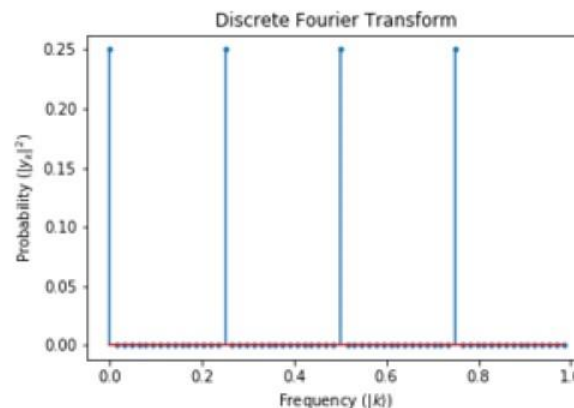
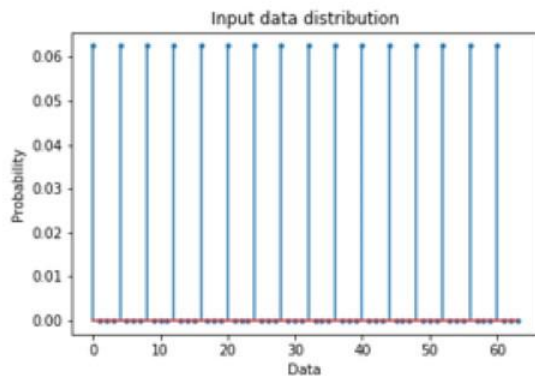


# Analysis of Factorization Process II



$$\begin{aligned}
 &= (|0\rangle_a + |4\rangle_a + |8\rangle_a + \dots + |252\rangle_a)|1\rangle_e \\
 &+ (|1\rangle_a + |5\rangle_a + |9\rangle_a + \dots + |253\rangle_a)|7\rangle_e \\
 &+ (|2\rangle_a + |6\rangle_a + |10\rangle_a + \dots + |254\rangle_a)|4\rangle_e \\
 &+ (|3\rangle_a + |7\rangle_a + |11\rangle_a + \dots + |255\rangle_a)|13\rangle_e
 \end{aligned}$$

$$\begin{aligned}
 &= (|k=0\rangle + |k=64\rangle + |k=128\rangle + |k=192\rangle)_y |1\rangle_e \\
 &+ (|k=0\rangle + e^{i\pi/2}|k=64\rangle + e^{i\pi}|k=128\rangle + e^{i3\pi/2}|k=192\rangle)_y |7\rangle_e \\
 &+ (|k=0\rangle + e^{i\pi}|k=64\rangle + e^{i2\pi}|k=128\rangle + e^{i\pi}|k=192\rangle)_y |4\rangle_e \\
 &+ (|k=0\rangle + e^{i3\pi/2}|k=64\rangle + e^{i\pi}|k=128\rangle + e^{i\pi/2}|k=192\rangle)_y |13\rangle_e
 \end{aligned}$$

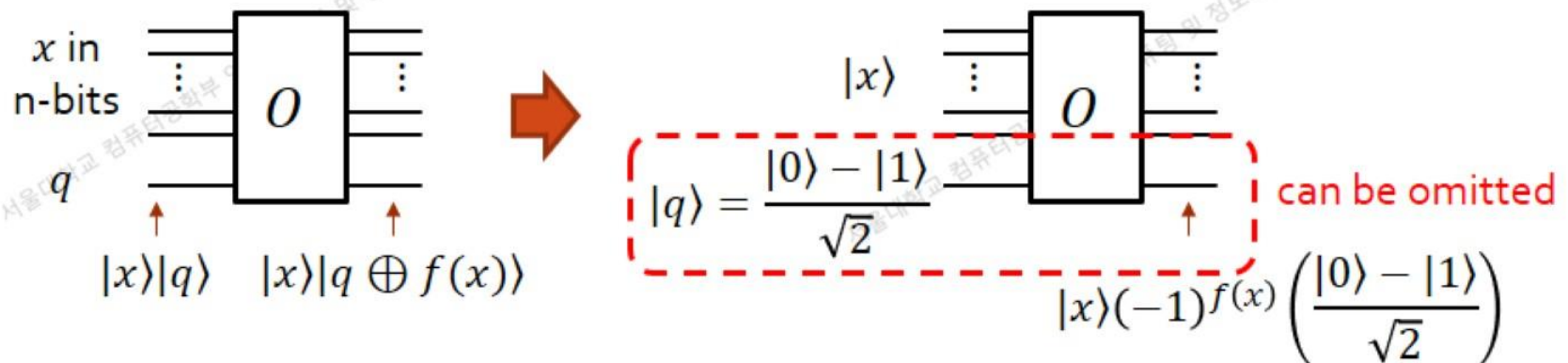


The above plots are generated using 64 inputs instead of 256 for readability

If  $|k=192\rangle$  quantum state is measured, the corresponding frequency is  $192/256=3/4$ . From this value, we can learn that there exist a high probability that the period is 4.<sup>8</sup>

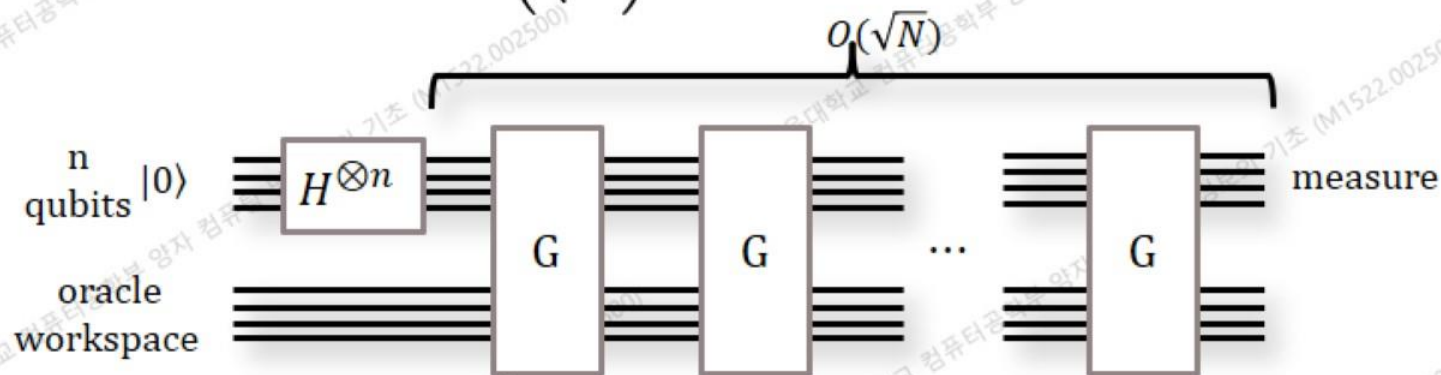
# Grover search algorithm

- Section 6.1
- Search space:  $N = 2^n$
- Number of solutions:  $M$  where  $1 \leq M \leq N$
- $f(x) = \begin{cases} 1 & \text{if } x \text{ is a solution to the search problem} \\ 0 & \text{otherwise} \end{cases}$
- Quantum oracle  $O$ 
  - $|x\rangle|q\rangle \xrightarrow{O} |x\rangle|q \oplus f(x)\rangle$
  - By feeding  $|q\rangle = \frac{|0\rangle - |1\rangle}{\sqrt{2}}$  as input and due to  $|x\rangle \left( \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right) \xrightarrow{O} (-1)^{f(x)} |x\rangle \left( \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right)$ , we can implement quantum circuit which converts  $|x\rangle \xrightarrow{O} (-1)^{f(x)} |x\rangle$ .



# Grover search algorithm

- In classical case:  $O\left(\frac{N}{M}\right)$  oracle query
- In quantum case:  $O\left(\sqrt{\frac{N}{M}}\right)$  oracle query



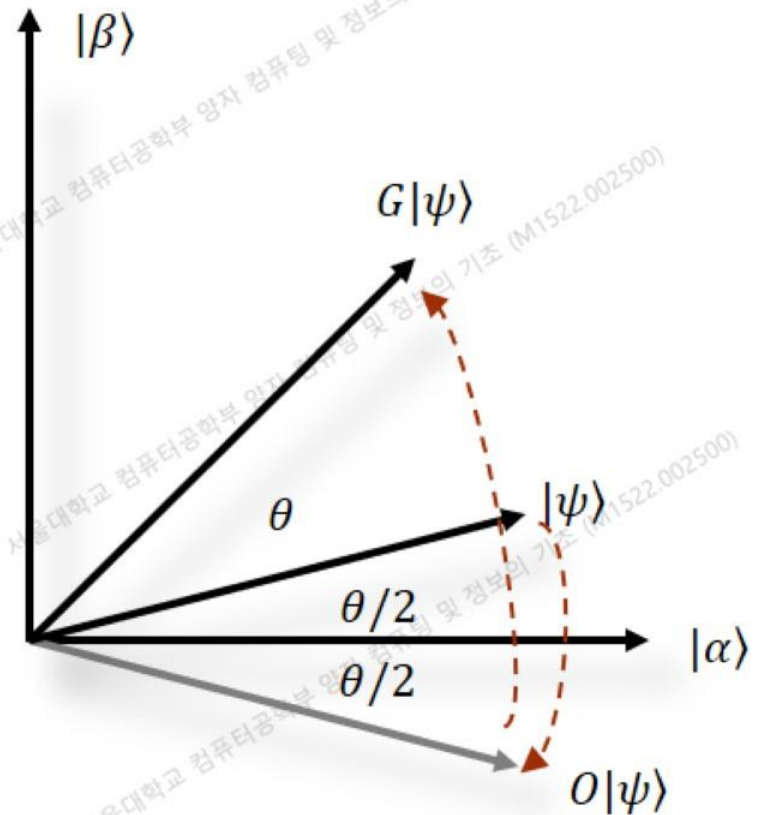
- Strategy for the quantum search
  - Create the superposition of all the inputs
  - Before the measurement, increase the probability amplitudes of the solutions and decrease the probability amplitudes of the wrong inputs
  - Measure the states

# Grover search algorithm

- $|\alpha\rangle \equiv \frac{1}{\sqrt{N-M}} \sum_x'' |x\rangle$ : sum over all  $x$  which are **not** solutions to the search problem
- $|\beta\rangle \equiv \frac{1}{\sqrt{M}} \sum_x' |x\rangle$ : sum over all  $x$  which are solutions to the search problem
- $|\psi\rangle \equiv \frac{1}{\sqrt{N}} \sum_{x=0}^{N-1} |x\rangle = \frac{\sqrt{N-M}}{\sqrt{N}} |\alpha\rangle + \frac{\sqrt{M}}{\sqrt{N}} |\beta\rangle$ : sum over all inputs
- Reflection about some arbitrary normalized vector  $|\phi\rangle$ :  $(2|\phi\rangle\langle\phi| - I)$ 
  - Assume some initial state  $|\gamma\rangle$  is given
  - Decompose  $|\gamma\rangle$  into two components
  - Components along  $|\phi\rangle$ :  $|\parallel\rangle = (|\phi\rangle\langle\phi|)|\gamma\rangle$
  - Components orthogonal to  $|\phi\rangle$ :  $|\perp\rangle = (I - |\phi\rangle\langle\phi|)|\gamma\rangle$
  - Reflection with respect to  $|\phi\rangle$  axis:  $|\parallel\rangle - |\perp\rangle = (2|\phi\rangle\langle\phi| - I)|\gamma\rangle$

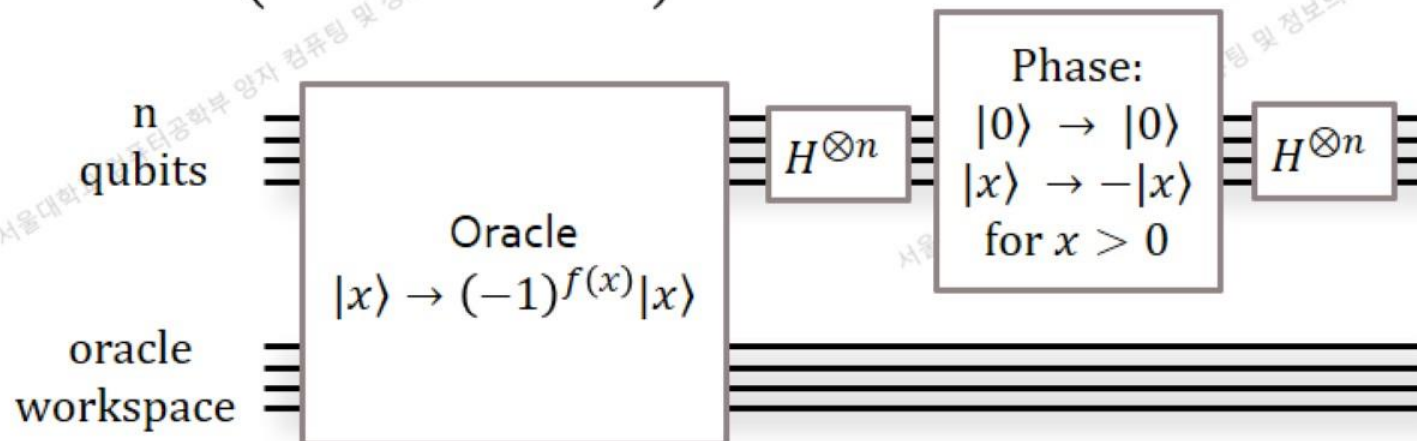
# Grover search algorithm

- Graphical interpretation of Grover operator
  - Reflection about  $|\alpha\rangle$  which is the sum over all  $x$  which are **not** solutions to the search problem
  - Reflection about  $|\psi\rangle$  which is the sum over all possible inputs
- The angle  $\theta/2$  between  $|\psi\rangle$  and  $|\alpha\rangle$  can be obtained by calculating inner product.
- Single Grover operation can rotate the vector by  $\theta$  w.r.t. the previous vector

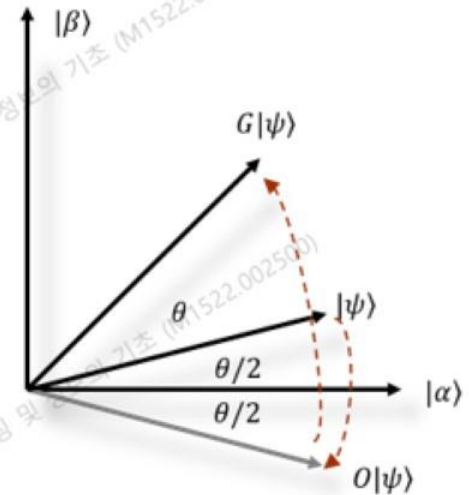
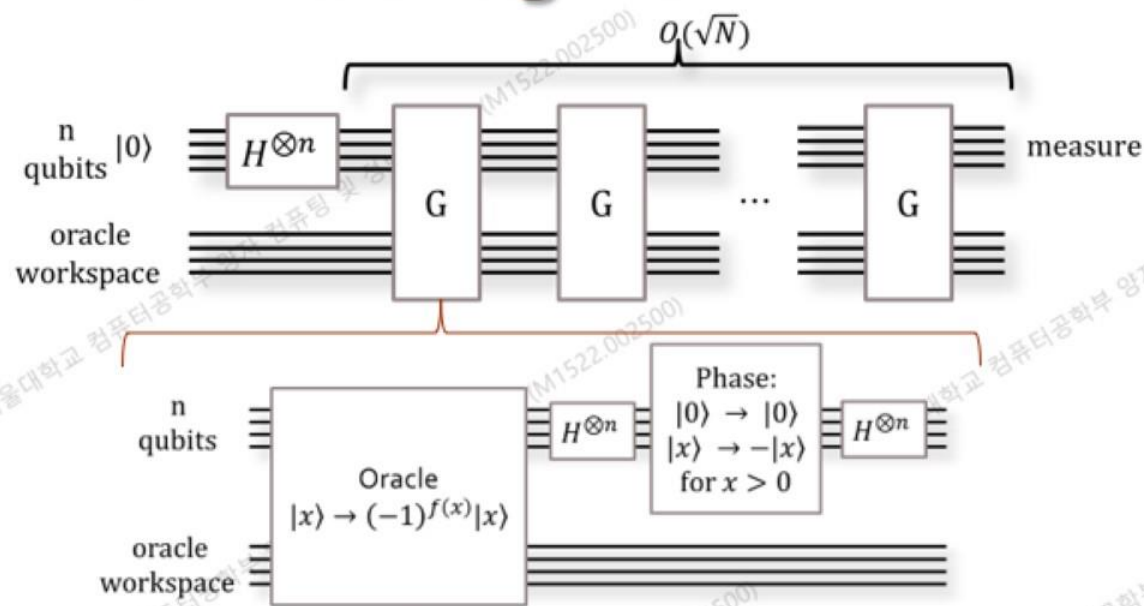


# Grover search algorithm

- Reflection about  $|\alpha\rangle$ 
  - Oracle operator  $O: |x\rangle \xrightarrow{O} (-1)^{f(x)}|x\rangle$  automatically reflects with respect to  $|\alpha\rangle$
  - $O(a|\alpha\rangle + b|\beta\rangle) = a|\alpha\rangle - b|\beta\rangle$
- Reflection about  $|\psi\rangle$ 
  - $2|\psi\rangle\langle\psi| - I$
  - $|\psi\rangle = H^{\otimes n}|0\rangle^{\otimes n}$
  - $2|\psi\rangle\langle\psi| - I = 2H^{\otimes n}|0\rangle^{\otimes n}\langle 0|^{\otimes n}H^{\otimes n} - I$   
 $= H^{\otimes n}(2|0\rangle^{\otimes n}\langle 0|^{\otimes n} - I)H^{\otimes n}$



# Grover search algorithm



## How many Grover operations are necessary?

- $\theta/2$  is determined by inner product between  $|\psi\rangle = \frac{\sqrt{N-M}}{\sqrt{N}}|\alpha\rangle + \frac{\sqrt{M}}{\sqrt{N}}|\beta\rangle$  and  $|\alpha\rangle = \frac{1}{\sqrt{N-M}}\sum_x''|x\rangle$ .  $\rightarrow \cos \frac{\theta}{2} = \langle \alpha | \psi \rangle = \frac{\sqrt{N-M}}{\sqrt{N}}$ .
- Single application of Grover operation rotates the vector by  $\theta$  w.r.t. the previous vector
- We need to find  $m$  which will make  $(m + \frac{1}{2})\theta$  closest to  $\frac{\pi}{2}$ .