

# Equilibration of forced barotropic turbulence by stimulated generation of near-inertial waves

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## 1 The model

In the vertical plane-wave model, waves affect the balanced flow via rectification terms in the quasi-geostrophic potential vorticity  $q$ :

$$q = \underbrace{\Delta\psi}_{\stackrel{\text{def}}{=} \zeta} + \underbrace{\frac{1}{f_0} \left[ \frac{1}{4} \Delta |\phi|^2 + \frac{i}{2} J(\phi^*, \phi) \right]}_{\stackrel{\text{def}}{=} q^w}, \quad (1) \quad \boxed{\text{qgpv}}$$

where  $\psi(x, y, t)$  is the wave-averaged streamfunction and  $\phi(x, y, t)$  is the near-inertial back-rotated velocity of a vertical plane wave with wavenumber  $m$ ; the total velocity is

$$u + iv = \phi e^{i\varpi} - \psi_y + i\psi_x, \quad (2) \quad \boxed{\text{phi}}$$

where  $\varpi \stackrel{\text{def}}{=} mz - f_0 t$  is the phase of the near-inertial wave.

The balanced dynamics satisfies the potential vorticity equation

$$q_t + J(\psi, q) = \mathcal{F}_q - \mu\zeta + \mathcal{D}_q, \quad (3) \quad \boxed{\text{balanced_d}}$$

where  $\mathcal{F}_q(x, y, t)$  is a forcing,  $\mu$  is the linear bottom drag.  $\mathcal{F}_q$  is a stochastic forcing that renovates every  $\tau$ ,

$$\mathcal{F}_q = \xi_q(x, y, t)/\tau^{1/2}, \quad (4) \quad \boxed{\text{F\_q}}$$

where  $\xi_q$  is a normally-distributed random field with annular horizontal wavenumber spectrum centered at  $k_f$ :

$$\mathbb{E}(\hat{\mathcal{F}}_q^* \hat{\mathcal{F}}_q) = A \exp \left\{ -[(k^2 + l^2)^{1/2} - k_f]^2 / 2\Delta_f^2 \right\}, \quad (5) \quad \boxed{\text{spec_forci}}$$

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where  $\Delta_f$  is the width of the spectrum and  $\hat{\mathcal{F}}_q$  is the Fourier transform of  $\mathcal{F}$ :

$$\hat{\mathcal{F}}_q(k, l) = \frac{1}{(2\pi)^2} \iint \mathcal{F}_q(x, y) e^{i(kx+ly)} dx dy. \quad (6)$$

The normalization condition,

$$\frac{1}{(2\pi)^2} \iint \frac{1}{2}(k^2 + l^2) \mathbb{E}(\hat{\mathcal{F}}_q^* \hat{\mathcal{F}}_q) dk dl = 1, \quad (7) \quad \boxed{\text{norm\_fq}}$$

determines the constant A. In the waveless case, this normalization ensures that the expectation of the energy input equals the variance of the forcing  $\sigma_q^2$

$$\mathbb{E}[\xi_q(n)\xi_q(m)] = \sigma_q^2 \tau \delta_{mn}; \quad (8)$$

see discussion in the next section.

The vertical plane-wave model is completed by the YBJ equation for the evolution of the back-rotated near-inertial velocity  $\phi$ :

$$\phi_t + J(\psi, \phi) + \phi \frac{i}{2} \zeta - \frac{i}{2} \eta \Delta \phi = \xi_\phi - \gamma \phi + \mathcal{D}_\phi. \quad (9) \quad \boxed{\text{ybj\_dynamics}}$$

In (9)  $\gamma$  is a linear damping coefficient, which is related to the vertical viscosity that damps the near-inertial velocity:

$$\nu \partial_z^2 (\phi e^{i\varpi}) = - \underbrace{m^2 \nu}_{\stackrel{\text{def}}{=} \gamma} \phi, \quad (10)$$

where  $\varpi = mz - f_0 t$ .

Also in (9),  $\mathcal{F}_\phi(t)$  is a stochastic forcing that renovates every  $\tau$  with variance  $\mathbb{E}(\mathcal{F}_\phi^* \mathcal{F}_\phi) = \sigma_\phi^2$ ;  $\mathcal{F}_\phi$  has no spatial structure. The advantage of forcing the waves with this type of stochastic forcing is the a priori knowledge of the energy input. Experimenting with constant and shot-noise yields qualitatively similar results to the solutions forced by white-noise.

In (3) and (9), the  $\mathcal{D}_q$  and  $\mathcal{D}_{phi}$  represent small-scale horizontal dissipation, which are necessary for numerical stability. In the solution described below, small-scale horizontal dissipation contributes insignificant sinks to the energy budgets.

## 1.1 Power integrals

The balanced kinetic energy equation is

$$\frac{d}{dt} \underbrace{\frac{1}{2} \langle |\nabla \psi|^2 \rangle}_{\stackrel{\text{def}}{=} \mathcal{K}} = -\langle \Gamma_r + \Gamma_a \rangle + \Xi + -\langle \psi \xi_q \rangle - \mu \langle |\nabla \psi|^2 \rangle - \langle \psi \mathcal{D}_q \rangle, \quad (11) \quad \boxed{\text{Ke}}$$

where  $\Gamma_r$  and  $\Gamma_a$  are energy conversion terms and  $\Xi$  is a source of balanced kinetic energy due to wave dissipation (cf. RWY);  $\langle \rangle$  represents spatial average. If there were no waves, i.e.,

Table 1: Details of the reference solution.

Parameter	Description	Value
<b>N</b>	Number of modes	512
$L_d$	Domain size	$2\pi \times 200$ km
$\sigma_g^2$	Balanced-forcing variance	$1.45 \cdot 10^{-8} \text{ m}^2 \text{ s}^{-3}$
$\sigma_w^2$	Wave-forcing variance	$5.78 \cdot 10^{-8} \text{ m}^2 \text{ s}^{-3}$
$k_f L_d / 2\pi$	Balanced-forcing wavenumber	8
$dk_f L_d / 2\pi$	Balanced-forcing width	1
$\mu$	Linear bottom drag coefficient	$5.78 \cdot 10^{-8} \text{ s}^{-1}$
$\gamma$	Linear wave damping coefficient	$2.31 \cdot 10^{-7} \text{ s}^{-1}$
$N$	Buoyancy frequency	$5 \cdot 10^{-3} \text{ s}^{-1}$
$f_0$	Coriolis frequency	$1 \cdot 10^{-4} \text{ s}^{-1}$
$\mathcal{D}_\phi$	Exponential spectral filter	—
$\mathcal{D}_q$	Exponential spectral filter	—

parameters\_reference

$\phi(t=0) = 0$  and  $\sigma_\phi^2 = 0$ , then  $\Gamma_r = \Gamma_a = 0$ , and the expectation of the work due to the white-noise forcing is  $\mathcal{E} - \langle \psi \xi_q \rangle = \sigma_q^2$ . Ignoring small-scale dissipation, the expectation of equilibrated energy level is  $\mathcal{K} = \sigma_q^2 / 2\mu$ .

The wave action equation is

$$\frac{d}{dt} \underbrace{\frac{1}{2f_0} \langle |\phi|^2 \rangle}_{\stackrel{\text{def}}{=} \mathcal{A}} = \frac{1}{2f_0} \langle \phi^* \xi_\phi + \phi \xi_\phi^* \rangle - \frac{1}{f_0} \gamma \langle |\phi|^2 \rangle + \frac{1}{2f_0} \langle \phi^* \mathcal{D}_\phi + \phi \mathcal{D}_\phi^* \rangle. \quad (12) \quad \boxed{\text{A}}$$

The expectation of the work due to the white-noise forcing is  $\frac{1}{2} \langle \phi^* \xi_\phi + \phi \xi_\phi^* \rangle = \sigma_\phi^2$ , and the expectation of the equilibrated action is  $\mathcal{A} = \sigma_\phi^2 / 2f_0\gamma$ .

The potential energy equation is

$$\frac{d}{dt} \underbrace{\frac{\lambda^2}{4} \langle |\nabla \phi|^2 \rangle}_{\stackrel{\text{def}}{=} \mathcal{P}} = \Gamma_r + \Gamma_a - \frac{\lambda^2}{2} \gamma \langle |\nabla \phi|^2 \rangle - \frac{\lambda^2}{2} \langle \Delta \phi^* \mathcal{D}_\phi + \Delta \phi \mathcal{D}_\phi^* \rangle. \quad (13) \quad \boxed{\text{P}}$$

## 2 A reference solution

Figure 1 shows snapshots of potential vorticity and wave action density for a solution with  $\sigma_w^2 = 2\sigma_q^2$  and  $\gamma = 4\mu$ ; table 1 describes in detail the parameters of this reference solution. The equilibrated potential vorticity in figure 1a resembles the vorticity field of waveless two-dimensional turbulence with its ubiquitous eddies, filaments, and coherent structures. A main difference is that the the potential vorticity of this wave-modified turbulence is more fine-grained (see spectrum?).

The snapshot of wave action density depicts the incoherent nature of the equilibrated wave field, which is being scrambled by the turbulent balanced field (figure 1b). The wave

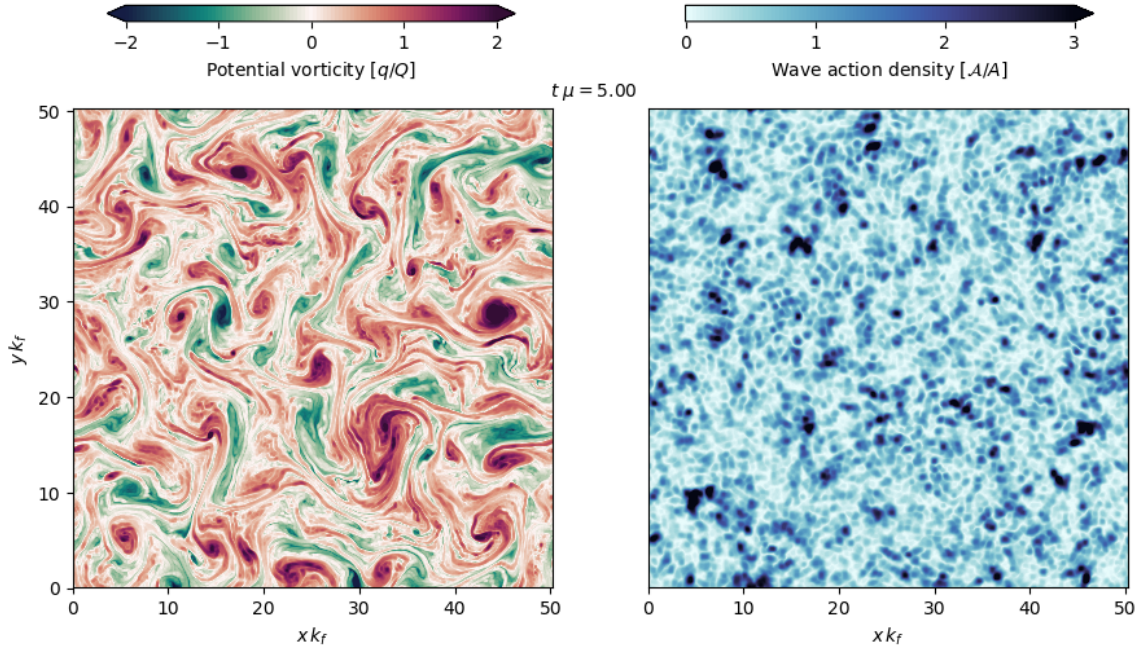


Figure 1: Snapshot of potential vorticity and wave action density for the solution with parameters in table 1. The scale of potential vorticity is  $Q = \sigma_q/\mu^{1/2}$  and the scale of wave action density is  $A = \sigma_w^2/f_0\gamma$ .

snapshots\_1

field develops scales smaller than the balanced eddies due to straining by the flow and wave interference. This snapshot resembles the wave field in decaying wave-modified two-dimensional turbulence (RWY).

Figure (2) shows time series of balanced kinetic energy and wave action and wave potential energy. The system equilibrates after  $\sim 1\mu^{-1} = 4\gamma^{-1}$ . Wave action  $\mathcal{A}$  displays large fluctuations (50% of the time-average equilibrated value). Balanced kinetic energy  $\mathcal{K}$  and wave potential energy  $\mathcal{P}$ , on the other hand, show much smaller fluctuations (10% of the equilibrated levels). Interestingly,  $\mathcal{K}$  and  $\mathcal{P}$  fluctuate largely out of phase.

The wave kinetic energy equilibrate at 60% of theoretical prediction for waveless turbulence forced by white-noise:  $E = \sigma_q^2/\mu$ . And the wave potential energy equilibrates at about 10% of the wave kinetic energy level, which suggests that stimulated generation plays a crucial role in the equilibration of forced barotropic turbulence. Indeed, figure 3a shows that stimulated generation,  $-(\Gamma_r + \Gamma_a)$  in (11), contributes about half of the sink of wave kinetic energy—bottom drag,  $-2\mu\mathcal{K}$  in (11), accounts for the other half. Wave streaming,  $\Xi$  in (11), is small but significant;  $\Xi$  contributes about 5% source of  $\mathcal{K}$ . See table X1 for details of the budget.

The wave potential energy budget (figure 3b) confirms that linear dissipation  $-2\gamma\mathcal{P}$  damps most of  $\mathcal{P}$  created via stimulated generation; the residual is smaller than 1% (table X2). Similarly, linear dissipation  $-2\gamma\mathcal{A}$  removes virtually all the wave action  $\mathcal{A}$  input by the white-noise forcing. While the forcing input is nearly constant, wave action and the linear

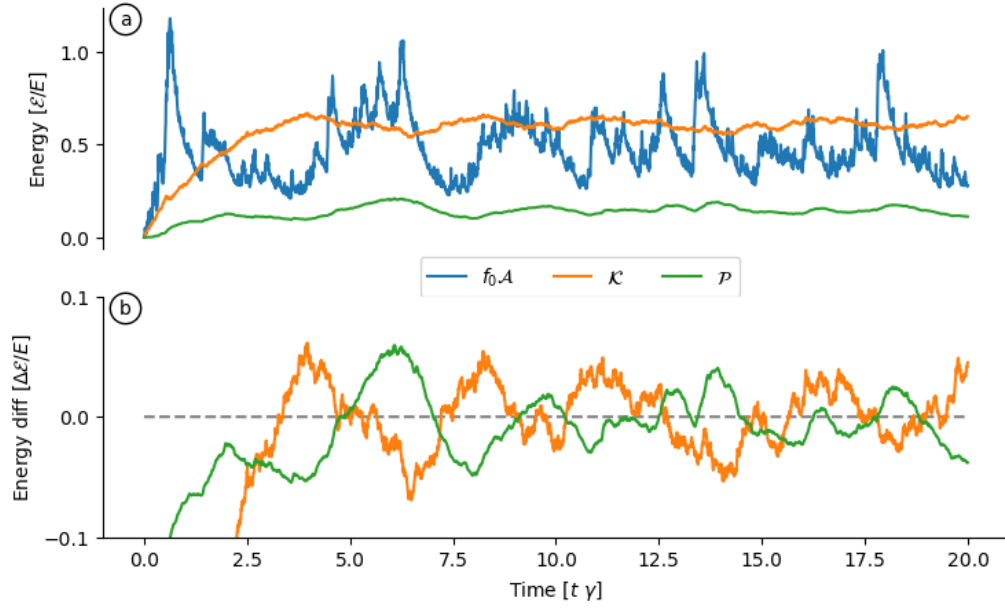


Figure 2: (a) Balanced kinetic energy ( $\mathcal{K}$ ) and wave potential energy ( $\mathcal{P}$ ) and wave kinetic energy ( $f_0 \mathcal{A}$ ) for the solution with parameters in table 1. The energy difference,  $\Delta \mathcal{K}$  and  $\Delta \mathcal{P}$ , about a time average after equilibration ( $t \gamma \geq 5$ ).

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dissipation are highly interment. Thus, vertical viscosity damps the waves and the details of horizontal small-scale dissipation are irrelevant.

The balanced kinetic energy spectrum has a submesoscale spectrum much smaller the one of waveless turbulence. Stimulated generation also appears to slow down the inverse cascade of balanced kinetic energy: there's more energy at large-scales in the spectrum of waveless turbulence. The wave action has a nearly flat spectrum between the forcing scale  $k_f$  and the dissipative scale  $k_d$ .

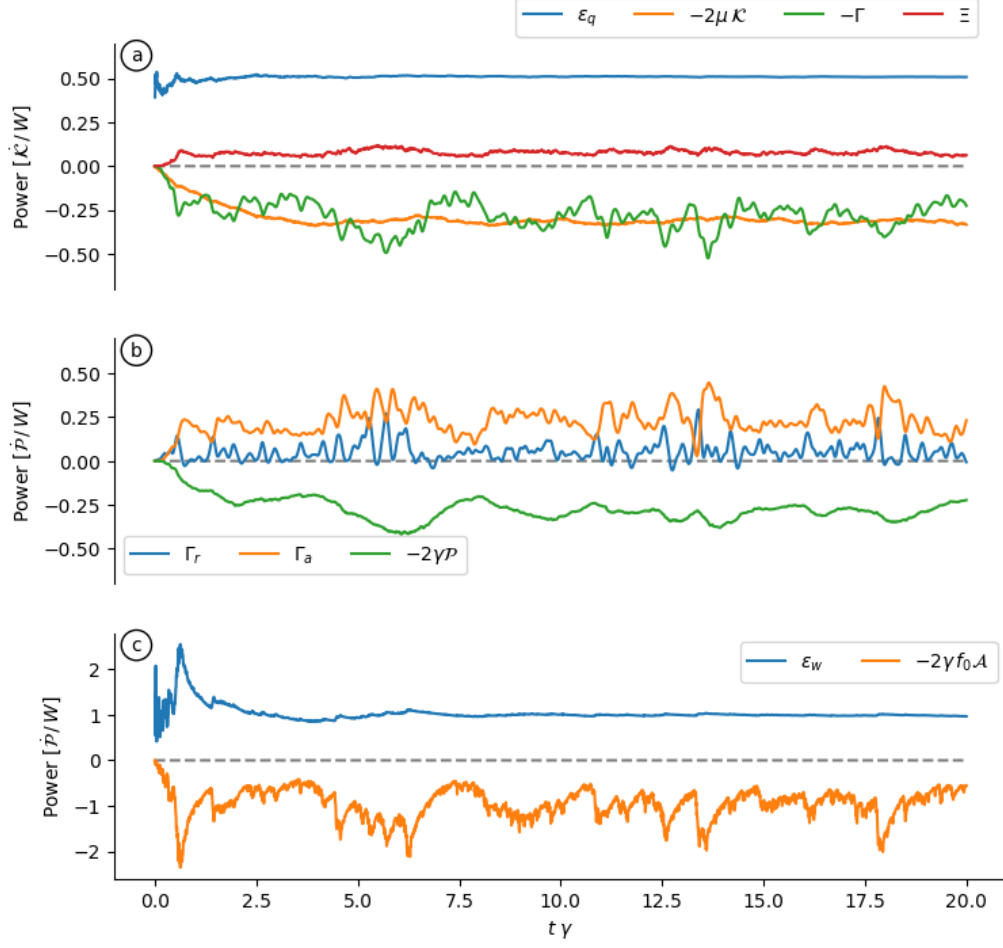


Figure 3: The budget of (a) balanced kinetic energy ( $\mathcal{K}$ ), wave potential energy ( $\mathcal{P}$ ), and (c) wave kinetic energy ( $f_0\mathcal{A}$ ) for the solution with parameters in table 1. The power is scaled by the work due to the wave forcing  $W = \sigma_w^2/2$ .

energy\_budg