# Chapter 4

Intermediate SQL

Slides by Silbershatz, Modifications by Rogers and Brown

# Chapter 4: Intermediate SQL

- Join Expressions
- Views
- Transactions
- Integrity Constraints
- SQL Data Types and Schemas
- Authorization

## Joined Relations

- Join operations take two relations and return as a result another relation
- A join operation is a Cartesian product which requires that tuples in the two relations match (under some condition). It also specifies the attributes that are present in the result of the join.
- The join operations are typically used as subquery expressions in the from clause

# Join Operations – Example

• Relation – course

course_id	title	dept_name	credits
BIO-301	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

• Relation – prereq

course_id	prereq_id
BIO-301	BIO-101
CS-190	CS-101
CS-347	CS-101

 Note: prereq information missing for CS-315 and course information missing for CS-437

## Outer Join

- An extension of the join operation that avoids loss of information
- Computes the join and then adds tuples from one relation that does not match tuples in the other relation to the result of the join
- Uses null values
- Has three (3) options for how you want to combine the data

## Join #1 – Left Outer Join

course natural left outer join prereq

course_id	title	dept_name	credits	prere_id
BIO-301		Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null

Note : read prere\_id as prereq\_id

## Join #2 – Right Outer Join

course natural right outer join prereq

course_id	title	dept_name	credits	prere_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-347	null	null	null	CS-101

## Join #3 – Full Outer Join

course natural full outer join prereq

course_id	title	dept_name	credits	prere_id
		Biology	4	BIO-101
CS-190	Game Design		4	CS-101
CS-315	Robotics	Comp. Sci.	3	null
CS-347	null	null	null	CS-101

## Joined Relations

- Join operations take two relations and return as a result another relation
- These additional operations are typically used as subquery expressions in the from clause
- Join condition: defines which tuples in the two relations match, and what attributes are present in the result of the join
- Join type: defines how tuples in each relation that do not match any tuple in the other relation (based on the join condition) are treated

Join Conditions
natural
on <pre><pre>con</pre></pre>
using (A <sub>1</sub> , A <sub>2</sub> ,,

## Joined Relations – Examples

• course inner join prereq on course.course\_id =
prereq.course id

course_id	title	dept_name	credits	prere_id	course_id
BIO-301	Genetics	Biology	4	BIO-101	BIO-301
CS-190	Game Design	Comp. Sci.	4	CS-101	CS-190

• course left outer join prereq on course.course id = prereq.course id

course_id	title	dept_name	credits	prere_id	course_id
BIO-301	Genetics	Biology	4	BIO-101	BIO-301
CS-190	Game Design	Comp. Sci.	4	CS-101	CS-190
CS-315	Robotics	Comp. Sci.	3	null	null

## Joined Relations – Examples

course natural right outer join prereq

course_id	title	dept_name	credits	prere_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-347	null	null	null	CS-101

 course right outer join prereq using (course id)

course_id	title	dept_name	credits	prere_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null
CS-347	null	null	null	CS-101

#### **Views**

- In some cases, it is not desirable for all users to see the entire logical model (that is, all the actual relations stored in the database)
- Consider a person who needs to know an instructors' name and department but not salary. This person should see a relation described, in SQL, by

select ID, name, dept\_name
from instructor

- A view provides a mechanism to hide certain data from the view of certain users
  - Any relation that is not of the conceptual model but is made visible to a user as a "virtual relation" is called a view

#### View Definition

• A view is defined using the create view statement which has the form:

```
create view v as <query expression>
```

where <query expression> is any legal SQL expression. The view name is represented by v

- Once a view is defined, the view name can be used to refer to the virtual relation that the view generates
- View definition is not the same as creating a new relation by evaluating the query expression
  - Rather, a view definition causes the saving of an expression; the expression is substituted into queries using the view.

## **Example Views**

group by dept name;

## Views Defined Using Other Views

```
• create view physics_fall_2009 as
    select course.course_id, sec_id, building,
        room_number
    from course, section
    where course.course_id = section.course_id
        and course.dept_name = 'Physics'
        and section.semester = 'Fall'
        and section.year = '2009';

• create view physics_fall_2009_watson as
        select course_id, room_number
        from physics_fall_2009
        where building = "Watson";
```

## View Expansion

- A way to define the meaning of views defined in terms of other views
- Let view v1 be defined by an expression e1 that may itself contain uses of view relations
- View expansion of an expression repeats the following replacement step:

#### repeat

Find any view relation  $v_i$  in  $e_1$ Replace the view relation  $v_i$  by the expression defining  $v_i$ until no more view relations are present in  $e_1$ 

 As long as the view definitions are not recursive, this loop will terminate

## View Expansion

Expand use of a view in a query/another view

```
create view physics fall 2009 watson as
  (select course_id, room_number
    from (select course.course_id, building,
    room_number
        from course, section
        where course.course_id = section.course_id
            and course.dept_name = 'Physics'
            and section.semester = 'Fall'
            and section.year = '2009')
    where building = 'Watson')
```

## Views Defined Using Other Views

- One view may be used in the expression defining another view
- A view relation v<sub>1</sub> is said to depend directly on a view relation v<sub>2</sub> if v<sub>2</sub> is used in the expression defining v<sub>1</sub>
- A view relation v<sub>1</sub> is said to depend on view relation v<sub>2</sub> if either v<sub>1</sub>
  depends directly to v<sub>2</sub> or there is a path of dependencies from v<sub>1</sub> to v<sub>2</sub>
- A view relation v is said to be recursive if it depends on itself

## Update of a View

Add a new tuple to faculty view (which we defined earlier)

```
insert into faculty values ('30765',
    'Green', 'Music');
```

this insertion must be represented by the insertion of the tuple

```
('30765', 'Green', 'Music', null)
```

into the instructor relation

## Some Updates Cannot be Translated Uniquely...

```
    create view instructor info as

            select ID, name, building
            from instructor, department
where instructor.dept_name =
                    department.dept name;

    insert into instructor info values ('69987',
```

- 'Taylor')
  - Which department, if multiple departments are in Taylor?
  - What if NO department is in Taylor?
- Most SQL implementations allow updates only on simple views
  - The from clause has only one database relation
  - The select clause contains only attribute names of the relation, and does not have any expressions, aggregates or distinct specifications
  - Any attribute not list in the select clause can be set to null
  - The query does not have a group by or having clause

## ...And Some Not at All

## **Transactions**

- Unit of Work
- Atomic Transaction
  - Either fully executed or rolled back as if it never occurred
- Isolation from Concurrent Transactions
- Transactions begin implicitly
  - Ended by commit work or rollback work
- But default on most databases: each SQL statement commits automatically
  - Can turn off auto commit for a session (e.g. using API)
  - In SQL:1999 can use: begin atomic.... endl

## **Integrity Constraints**

- Integrity Constraints guard against accidental damage to the database, by ensuring that authorized changes to the database do not result in a loss of data consistency
  - A Checking account must have a balance greater than \$10,000.00
  - A salary of a bank employee must be at least \$14.00 an hour
  - A customer must have a (non-null) phone number

## Constraints on a Single Relation

- not null
- primary key
- unique
- check (P)
  - P is a predicate
    - Quick check : what is a predicate?

## Not Null and Unique Constraints

- not null
  - Declare name and budget to be not null

```
name varchar (20) not null
budget numeric (12, 2) not null
```

- unique (A<sub>1</sub>, A<sub>2</sub>, A<sub>3</sub>, ..., A<sub>n</sub>)
  - The unique specification states that the attributes A<sub>1</sub>, A<sub>2</sub>, ..., A<sub>n</sub> form a candidate key
  - Candidate keys are permitted to be null (in contrast to primary keys)

## The check Clause

```
check (P)
```

- P is a predicate

```
• Example : ensure that semester is one of Fall, Winter, Spring or Summer
     create table section (
                                   varchar (8),
           course id
           sec id
                                   varchar
           semester
                                   varchar
                                   numeric
           year
           building
                                   varchar
           room_number
                                   varchar
           time slot id
                                   varchar
           primary key (course id, sec id, semester,
                             year),
in ('Fall', 'Winter',
'Summer'
           check (semester in
                                    'Spring', 'Summer'))
     );
```

## Referential Integrity

- Ensures that a value that appears in one relation for a given set of attributes also appears for a certain set of attributes in another relation
  - Example: If 'Biology' is a department name appearing in one of the tuples in the instructor relation, then there exists a tuple in the department relation for 'Biology'
- Let A be a set of attributes. Let R and S be two relations that contain attributes A and where A is the primary key of S. A is said to be a foreign key of R if for any values of A appearing in R these values also appear in S

## Cascading Actions in Referential Integrity

```
    create table course (
        course_id char (5), primary key varchar (20)
        dept_name varchar (20) references department);
    create table course (
        dept_name varchar (20), foreign key (dept_name) references department on delete cascade on update cascade, ...);
    alternative actions to cascade: set null, set default
```

# Integrity Constraint Violation During Transactions

## Complex Check Clauses

- check (time\_slot\_id in (select time\_slot\_id from time\_slot))
  - why not use a foreign key here?
- Every section has at least one instructor teaching the section
  - How do I write this?
- Unfortunately: subquery in check clause not supported by pretty much any database
  - Alternative : triggers (later)
- create assertion <assertion-name> check check
  - Also not supported by anyone

## Built-in Data Types in SQL

- date
  - Dates, containing a (4 digit) year, month and date
  - Example : date '2005-7-28'
- time
  - Time of day, in hours, minutes and seconds
  - Example: time '09:13:03' time '08:15:28.74'
- timestamp
  - date plus time of day
  - Example: timestamp '2005-7-28 08:15:28.74'
- interval
  - Example : interval '1' day
  - Subtracting a date/time/timestamp value from another gives an interval value
  - Interval values can be added to date/time/timestamp values

## Other Features

## Large-Object Types

- Large objects (photos, videos, CAD Files, etc) are stored as a large object:
  - blob
    - Binary large object: object is a large collection of uninterpreted binary data (whose interpretation is left to an application outside of the database system)
  - clob
    - Character large object : object is a large collection of character data
- When a query returns a large object, a pointer is returned rather than the large object itself

## **User-Defined Types**

• create type construct in SQL creates user-defined type

#### **Domains**

- create domain construct in SQL-92
  - Creates user defined domain types

    create domain person name char (20) not null
- Types and domains are similar.
  - Domains can have constraints such as not null specified on them

## Authorization

- Forms of authorization on parts of the database :
  - Read: allows reading, but not modification of data
  - Insert: allows insertion of new data, but not modification of existing data
  - Update: allows modification, but not deletion of data
  - Delete: allows deletion of data
- Forms of authorization to modify the database schema:
  - Index: allows creation and deletion of indices
  - Resources : allows creation of new relations
  - Alteration : allows addition or deletion of attributes in a relation
  - Drop: allows deletion of relations

## Authorization Specification in SQL

- The grant statement is used to confer authorization grant <pri>ivilege list> on <relation name or view name> to <user list>
- <user list> is :
  - a user-id
  - public, which allows all valid users the privilege granted
  - a role (more on this later)
- Granting a privilege on a view does not imply granting any privilege on the underlying relations
- The grantor of the privilege must already hold the privilege on the specified item (or be the DBA)

## Privileges in SQL

- select: allows read access to relation, or the ability to query using the view
  - Example : grant users  $\rm U_1,\, U_2$  and  $\rm U_3$  select authorization on the branch relation
    - grant select on instructor to  $U_1$ ,  $U_2$ ,  $U_3$
- insert : the ability to insert tuples
- update : the ability to update using the SQL update statement
- delete : the ability to delete tuples
- all privileges : used as a short form for all the allowable privileges

## Revoking Authorization in SQL

- The revoke statement is used to revoke authorization revoke <privilege list> on <relation name or view name> from <user list>
- Example :

```
revoke select on branch from U1, U2, U3
```

- <privilege list> may be all to revoke all privileges the revokee may hold
- If <user list> includes public, all users lose the privilege except those granted in explicitly
- If the same privilege was granted twice to the same user by different grantees, the user may retain the privilege after the revocation
- All privileges that depend on the privilege being revoked are also revoked

## Roles

- create role instructor
- Privileges can be granted to roles:
  - grant select on takes to instructor,
- Roles can be granted to users, as well as to other roles
  - create roll student;
  - grant instructor to Amit;
  - create role dean:
  - grant instructor to dean;
  - grant dean to Satoshi;

#### Authorization on Views

```
    create view geo_instructor as(
        select *
        from instructor
        where dept_name = 'Geology');
    grant select on geo_instructor to staff
    Suppose that a staff member issues
        select *
        from geo_instructor
    What if
        - Staff does not have permissions on instructor
        - Creator of the view did not have some permissions on instructor?
```

## Other Authorization Features

```
    references privilege to create foreign key
        -grant reference (dept_name) on department to
        Mariano;
        -Why is this required?
    transfer of privileges
        -grant select on department to Amit with grant option;
        -revoke select on department from Amit, Satoshi cascade;
        -revoke select on department from Amit, Satoshi restrict;
    Read 4.6 for others
```

