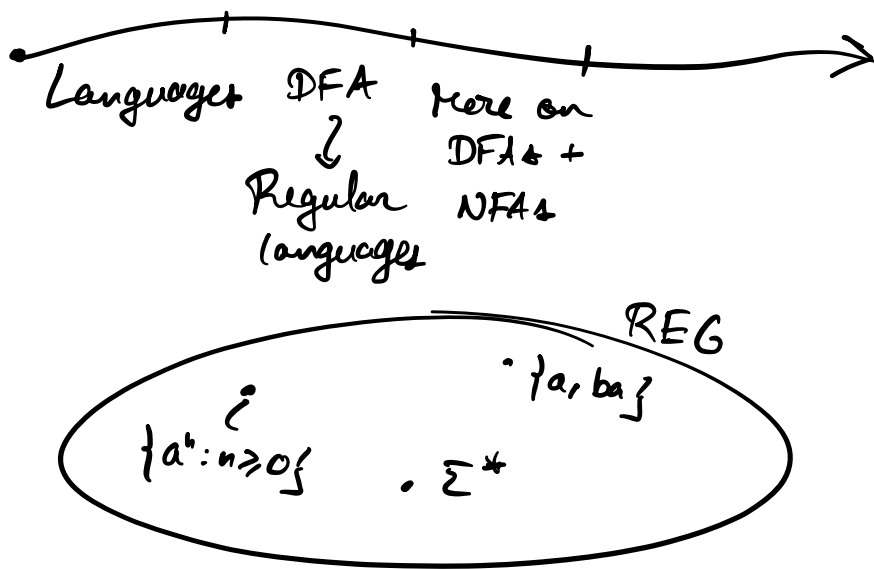


# Lecture 4 - Comp 330 - September 12<sup>th</sup> 2023

Admin

- Induction
- Less stressed.

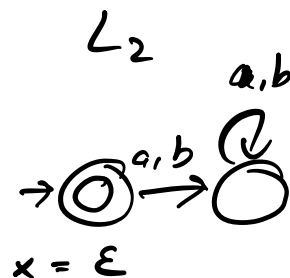
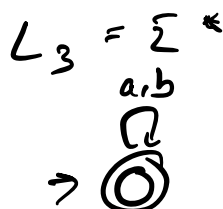
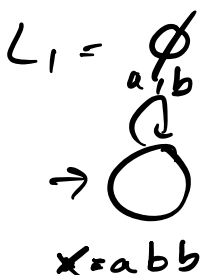


Exercise (Warm-up)  $\Sigma = \{a, b\}$ . Which of the following languages are regular?

$$L_1 = \emptyset$$

$$L_2 = \{\epsilon\}$$

$$L_3 = \Sigma^*$$

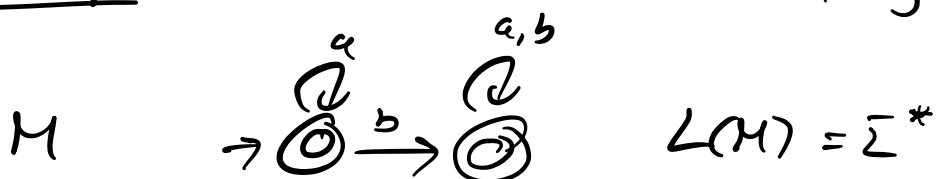


Recall When designing DFAs, states should encode information

↳ If we don't carefully design DFA, may produce redundant states

For example

$$\Sigma = \{a, b\}^*$$



$M$  has redundancy  $\Rightarrow M$  is not minimal

Def (Minimal DFA) Given a DFA  $M$ , we say that  $M$  is minimal if  $\nexists$  a DFA  $N$  s.t.  $L(N) = L(M)$  &  $N$  has fewer states than  $M$ .

Ex  $M \rightarrow$   is minimal w.r.t  $\Sigma^*$

Proof technique Proving that a machine  $M$  is minimal.

① Construct minimal DFA  $M = (Q, \Sigma, \delta, q_0, F)$

↳ Each state should encode a not redundant/unique piece of information

② Argue  $M$  is minimal

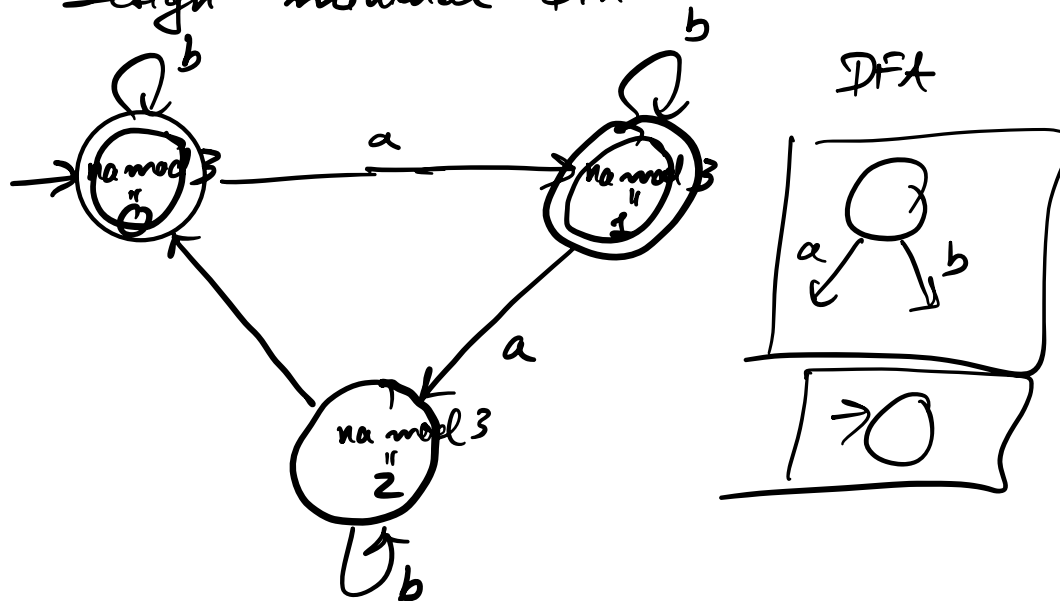
Ⓐ Consider arbitrary DFA  $N$

Ⓑ Find  $|Q|$  strings using  $M$

Ⓒ Argue that each pair of strings must go to a different state in  $N$

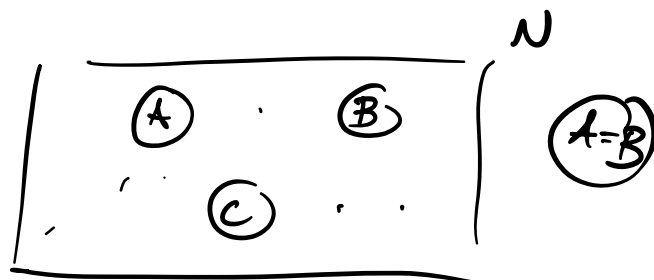
Ex Design a minimal DFA  $M$  s.t.  
 $L(M) = \{ w \in \{a,b\}^* : \underline{na(w)} \bmod 3 = 0 \text{ or } 1 \}$   
 $\hookrightarrow \# \text{ of } a\text{'s in } w$

1. Design minimal DFA



2. Ⓐ Consider arbitrary DFA  $N$

s.t.  $L(N) = L(M)$



③  $w_0 = \epsilon$      $w_1 = a$      $w_2 = aa$

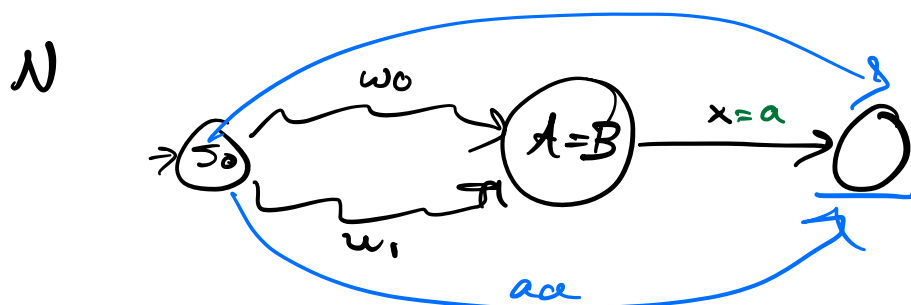
Suppose  $w_0$  goes to A,

$w_1$  goes to B,

$w_2$  goes to C.

④ argue  $A \neq B$ ,  $B \neq C$ ,  $C \neq A$ .

$A \neq B$  Proof by contradiction.



$w_0x$  &  $w_1x$  should go to the same state

Suppose  $x=a$

$$w_0x = w_0a = \epsilon \cdot a = a$$

$$w_1x = a \cdot a = aa$$

This is impossible since  $h_a(\underline{a}) = 1 \bmod 3 = 1$  should be accepted

$$h_a(\underline{aa}) = 2 \bmod 3 = 2$$

should not be accepted

Repeat this for  $B \neq C$ ,  $C \neq A$ .

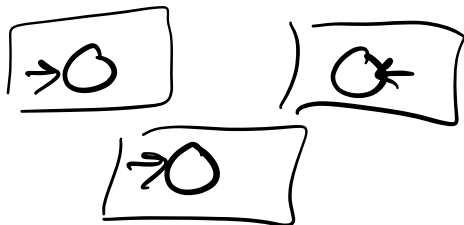
# Non-deterministic finite automata (NFA)

In DFA, the behaviour of the machine  $M$  is uniquely determined by the state it's in and the symbol it's reading. That is, DFA don't guess / make choices.

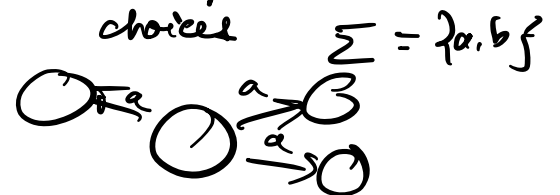
What happens when give an FA multiple possible choices.

Def (Informal) An NFA is a comp. machine which is similar to DFA except that it is allowed to have 0 or more "transition choices".

Multiple start states



Multiple transition choices



Ex NFA  $N$   $\Sigma = \{0, 1\}$

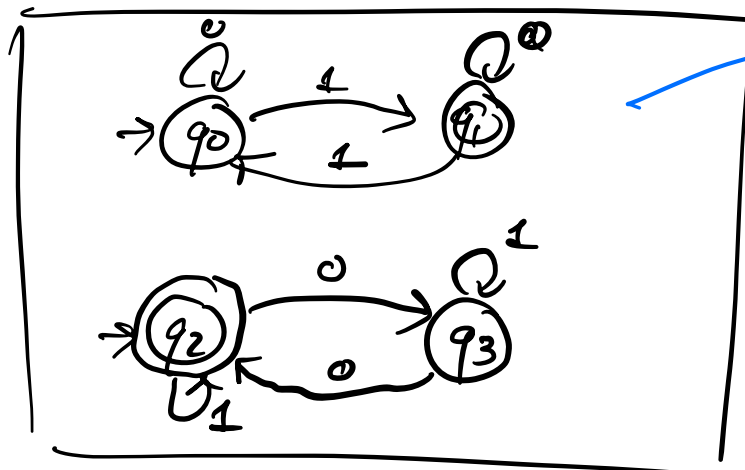
$L(N)$

$\{x \in \{0, 1\}^* :$

$n_1(x)$  is odd

OR

$n_0(x)$  is even $\}$



DFA from Lecture 3

Input:  $x = 1001$

$\rightarrow q_0 \xrightarrow{1} q_1 \xrightarrow{0} q_1 \xrightarrow{0} q_1 \xrightarrow{1} q_0$ , Failed computation  
 $\rightarrow q_2 \xrightarrow{1} q_2 \xrightarrow{0} q_3 \xrightarrow{0} q_2 \xrightarrow{1} q_2$ , Successful comp.

Since  $N$  has at least 1 successful comp., it accepts  $x$

Input:  $x = 101$  is rejected.

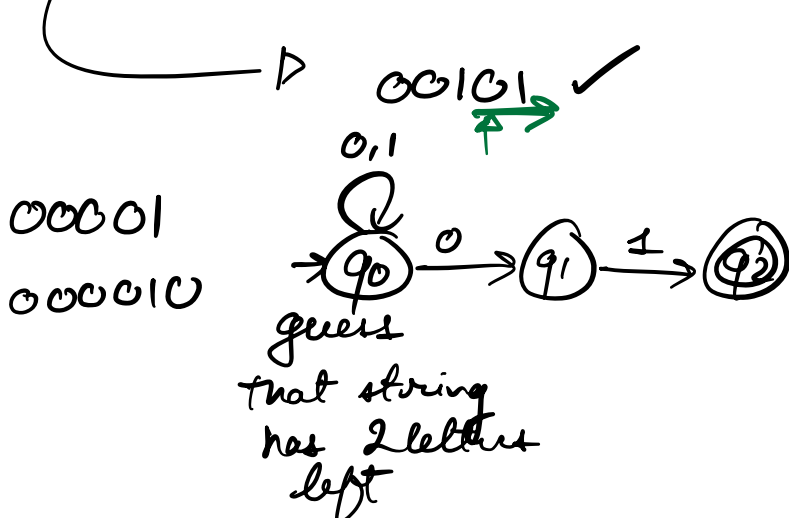
In general,  $N$  accepts a string  $x \iff$   
 $\exists$  one successful comp:  $N$  starts at a start state,  $N$  reads the entire string  $x$ ,  $N$  ends in an accept state.

Exercise Design a DFA that accepts  $L(N)$ .

Exercise Design an NFA  $M$  s.t.

$L(N) = \{w \in \{0,1\}^* : w \text{ ends in } 01\}$

$A1 \rightarrow$  (Must use non-determinism)



$x = 0 \overset{\downarrow}{0} 1$   
 $\left\{ \begin{array}{l} q_0 \xrightarrow{0} q_0 \xrightarrow{0} q_0 \xrightarrow{1} q_0 \\ (Machine \text{ too patient}) \\ q_0 \xrightarrow{0} q_1 \rightarrow \text{Jams at } q_1 \\ \text{Reject.} \end{array} \right.$

$q_0 \xrightarrow{0} q_0 \xrightarrow{0} q_1 \xrightarrow{1} \textcircled{q_2}$   
 success

$x = 001$  is accepted

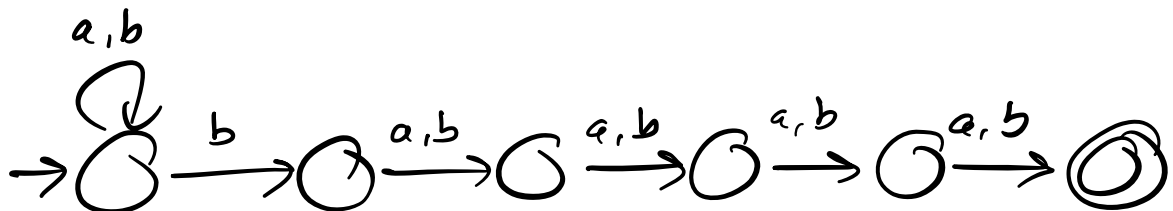
$x = 0010$

ends at  $q_2$

ⓐ Not a success.

Exercise Design an NFA that accepts the language  $\{w \in \{a, b\}^* : |w| \geq 5, \text{ the fifth to last letter of } w \text{ is } b\}$   $\rightarrow 2^5 = 32$

$x = abbaaab$   
 5<sup>th</sup> to last



Def (NFA) An NFA  $N$  is a 5-tuple  $(Q, \Sigma, \Delta, S_0, F)$   $\Sigma, \Sigma^*$

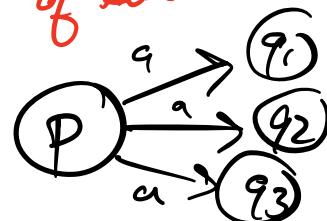
$Q$ : finite set of states

$\Sigma$ : input alphabet

$\Delta$ : transition function

$\Delta: Q \times \Sigma \rightarrow 2^Q$

the data type is a set of subsets of  $Q$



$S_0$ : set of start states  $S_0 \subseteq Q$   $\Delta(p, a) = \{q_1, q_2, q_3\}$

$F$ : set of accept states ,  $F \subseteq Q$