

# Internal Field Report: Boolean Function Learnability (Nicolau et al., NeSy 2025)

## Implications for Transformer Reasoning in SAT (Pan et al.)

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### Abstract

This field report summarizes my takeaways from Nicolau et al. [2025] (NeSy 2025) and triangulates those findings against our SAT-Transformer work [Pan et al., 2025]. I (i) restate results I verified in the primary text, (ii) separate what is genuinely deep from what is confirmatory for our program, and (iii) recommend concrete integration points for our Transformer-SAT pipeline (data, curricula, diagnostics, and hybridization). I include the key formulas we reference and format them to be column-safe in two-column venues.

## 1 Why this matters to us

Our paper proves by construction that, for bounded input sizes  $(p, c)$ , a decoder-only Transformer with  $O(p^2)$  parameters can implement a DPLL-like procedure via CoT and *decide* 3-SAT; we also compile this specification with PARAT and show perfect accuracy up to  $p=20$  near the phase-transition band [Pan et al., 2025, Thm. 1.1; PARAT]. We then train Transformers end-to-end on reasoning traces: models generalize across distributions *within* the trained size range but lose accuracy beyond it—the expected “length generalization” cliff. Our datasets are deliberately constrained around  $\alpha \approx 4.26$  and include *marginal* SAT/UNSAT twins to suppress shortcut signals.

Nicolau et al. [2025] ask a complementary question: how well do small MLPs learn *Boolean functions* from examples when those functions encode symbolic/combinatorial content? Their per-formula, balanced datasets (positives via near-uniform sampling; negatives via random assignments) and strict metric (100% 5-fold CV to count as “learned”) produce clean signals about learnability as a function of size and constrainedness.

## 2 What Nicolau et al. (2025) actually show

**Setup.** For each formula  $F$  over  $n$  variables, build a balanced dataset of  $(x, \mathbb{1}[x \models F])$  with  $\sim 500$  positives and  $\sim 500$  negatives per formula; for large or constrained formulas, positives come from UNIGEN2. Learn with shallow MLPs (2 layers; 200/100; ReLU vs. sigmoid) and evaluate via 5-fold CV; a formula is *perfectly learned* only if held-out accuracy is 100% [Nicolau et al., 2025].

### Main results (with implications).

1. **MLP > DT / Valiant** in generalization on these datasets (DTs overfit; Valiant improves with constraints but lags at low  $\alpha$ ). *Implication:* neural approximators capture Boolean concepts compactly; we should feel comfortable delegating sub-scorers to small nets while keeping symbolic checks.
2. **Small/shallow MLPs learn large encodings** (e.g., graph  $k$ -coloring,  $k$ -clique CNFs) almost perfectly. *Implication:* literal/clause heuristic heads can be very lightweight.
3. **Smaller formulas are harder** to learn perfectly (coverage sparsity and few negative patterns). *Implication:* curriculum and data coverage matter at low  $n$ .
4. **Under-constrained 3-CNFs are harder** than over-constrained; hardest-learning does *not* coincide with the SAT phase transition. *Implication:* avoid flooding training with ultra-low- $\alpha$  unless you handle it explicitly.

**Random-3CNF macro-experiment.**  $\sim 110k$  formulas across  $n \in \{10, 20, \dots, 100\}$  and 11  $\alpha$  settings around the phase transition show: (a) strong ReLU advantage over sigmoid; (b)  $n=10$  never reaches perfection even at 256 neurons; (c) learnability rises from very low  $\alpha$ , peaks, then mildly drops at high  $\alpha$ —and the critical  $\alpha$  is *not* the minimizer. These patterns align with the four bullets above.

## 3 Key formulas (column-safe)

Let  $C_i$  be the  $i$ -th clause,  $A$  a (partial) assignment, and  $E(\cdot)$  the standard indicator encoding. First, clause membership:

$$E(B)_v := \mathbb{1}[x_v \in B], \quad E(B)_{v+p} := \mathbb{1}[\neg x_v \in B].$$

The “not-false” and “assigned” encodings:

$$E_{\text{not-false}}(A)_v := \mathbb{1}[\neg x_v \notin A], \quad E_{\text{not-false}}(A)_{v+p} := \mathbb{1}[x_v \notin A],$$

$$E_{\text{assigned}}(A)_v = E_{\text{assigned}}(A)_{v+p} := \mathbb{1}[x_v \in A \text{ or } \neg x_v \in A].$$

Satisfaction and conflict tests (vectorized):

$$A \models F \iff \min_{i \in [c]} E(C_i) \cdot E(A) \geq 1, \quad (1)$$

$$F \models \neg A \iff \min_{i \in [c]} E(C_i) \cdot E_{\text{not-false}}(A) = 0. \quad (2)$$

Unit-propagation consequences (column-fit via `resizebox`):

$$E(D) = \max \left\{ \min \left( \sum_{i=1}^c E(C_i) \mathbb{1}[E(C_i) \cdot E_{\text{not-false}}(A) = 1], 1 \right) - E_{\text{assigned}}(A), 0 \right\}.$$

These tests drive a parallel clause-level deduction step within a single forward pass.

## 4 Our existence result and compiled model

[Pan et al.] For any  $p, c \in \mathbb{N}_+$  there exists a decoder-only Transformer with  $O(p^2)$  parameters that autoregressively decides 3-SAT $_{p,c}$  using chain-of-thought. The compiled model via PARAT achieves 100% SAT/UNSAT and valid traces up to  $p=20$  near the 4.26 band; in practice, the longest CoT observed is  $\approx 8p^{2^{0.08p}}$ , far below the worst-case upper bound.

## 5 Alignment: where Nicolau et al. helps us

**Learning vs. reasoning.** Nicolau learns  $f(x) \in \{0, 1\}$ ; we learn an *algorithmic trace*. Both are sensitive to data regimes. Their per-formula balance and near-uniform positive sampling echo our own use of near-threshold distributions and marginal twins to suppress shortcuts.

**Constrainedness.** Their under- $\alpha$  difficulty cautions against naively mixing easy satisfiable cases; our training wisely fixed  $\alpha$  near 4.26. We can now broaden to extremes *after* mastering the band.

**Activation & capacity.** ReLU>sigmoid for Boolean functions maps cleanly onto our use of modern non-saturating MLP activations in Transformer FFNs; their width-vs- $n$  curves motivate scaling or curricula for length generalization.

## 6 What is deep vs. confirmatory

**Deep:** (i) *Under-constrained* is consistently harder to learn from examples; (ii) *small MLPs* nail complex encodings. **Confirmatory:** balance, per-formula evaluation, and DT/VA baselines behaving as expected.

## 7 Recommended integration into our pipeline

### 7.1 Data & curricula

1. **Constrainedness curriculum.** Train near  $\alpha \in [4.1, 4.4]$  until stable; then blend bins  $\{3.2, 3.5, 3.8, 4.1, 4.4, 4.7, 5.0\}$  at 10% each. *Success:*  $\geq 99\%$  SAT/UNSAT and  $\geq 95\%$  full-trace across bins; identify first failing bin.
2. **Semantic pretraining (assignment satisfaction).** Pre-train on  $(F, x) \mapsto \mathbb{1}[x \models F]$  before CoT. *Success:*  $> 2\times$  faster CoT convergence, fewer clause-evaluation mistakes on under-constrained SAT.

3. **Length curriculum.** Expand sizes gradually (e.g.,  $6-10 \rightarrow 6-12 \rightarrow \dots \rightarrow 6-20$ ) while retaining earlier sizes to avoid forgetting. *Success:* smooth accuracy vs.  $p$ ; no cliff at  $p+1$ .

### 7.2 Hybridization (light MLP modules)

1. **Clause/literal scoring head.** A 2-layer 200/100 ReLU MLP estimates  $h(l \mid F)$  from static CNF features; we *gate* next-decision logits with  $h$  while the symbolic/compiled checks arbitrate. *Success:*  $\geq 10\%$  fewer CoT steps at constant exactness.
2. **Fallback at small  $p$ .** Where data coverage is sparse (small formulas), let the MLP propose top- $k$  literals; Transformer verifies via (1)–(2).

### 7.3 Diagnostics & ablations

1. **Length-gen dashboard.** Track SAT/UNSAT (solid) and full-trace (dashed) vs.  $p$ ; alert on drift (our Fig. 3 analogue).
2. **Activation ablation.** Swap FFN activation to sigmoid in an otherwise identical model; record convergence, trace errors.
3. **Capacity scaling.** Progressively add layers/heads when moving to larger  $p$ ; warm-start from smaller model weights.
4. **Mixed-difficulty stress test.** Sprinkle 10% extreme low/high- $\alpha$  satisfiable formulas; monitor for shortcutting (trace validation should prevent it).
5. **Knowledge extraction.** Probes/attribution (e.g., SHAP) over the scoring head and Transformer states to surface clause/variable importance patterns.

## 8 Notes on unit propagation and backtracking

In our compiled/learned models, deduction uses (1)–(2) and the column-fitted unit rule. Conflicts trigger backtracking (a backjump in the abstract DPLL sense): negate the last decision literal and resume; the compiled model mirrors this behavior exactly.

## 9 Bottom line (my read)

Nicolau et al. mostly *confirm* our data/activation instincts while adding two practical guardrails: treat *constrainedness* as a curriculum axis, and exploit *small MLPs* for heuristic scoring under a symbolic/compiled arbiter. Together with semantic pretraining and size curricula, these changes should push our length generalization frontier and reduce reasoning steps without sacrificing guarantees.

### Action items (next sprint).

1. Implement  $\alpha$ -curriculum datasets and dashboard.
2. Add assignment-satisfaction pretraining stage before CoT.

3. Wire the lightweight scoring head (on/off ablation) with strict verification.
4. Run activation and capacity ablations; adopt the best config.

## Acknowledgments

Written in my capacity as coauthor on Pan et al. [2025]; any errors are mine.

## References

- L. Pan, V. Ganesh, J. Abernethy, C. Esposito, and W. Lee. Can Transformers Reason Logically? A Study in SAT Solving. *arXiv:2410.07432*, 2025.
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