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## 4. GRAPHS AND DIGRAPHS I

---

- ▶ *introduction*
- ▶ *graph representation*
- ▶ *depth-first search*
- ▶ *path finding*
- ▶ *undirected graphs*



## 4. GRAPHS AND DIGRAPHS I

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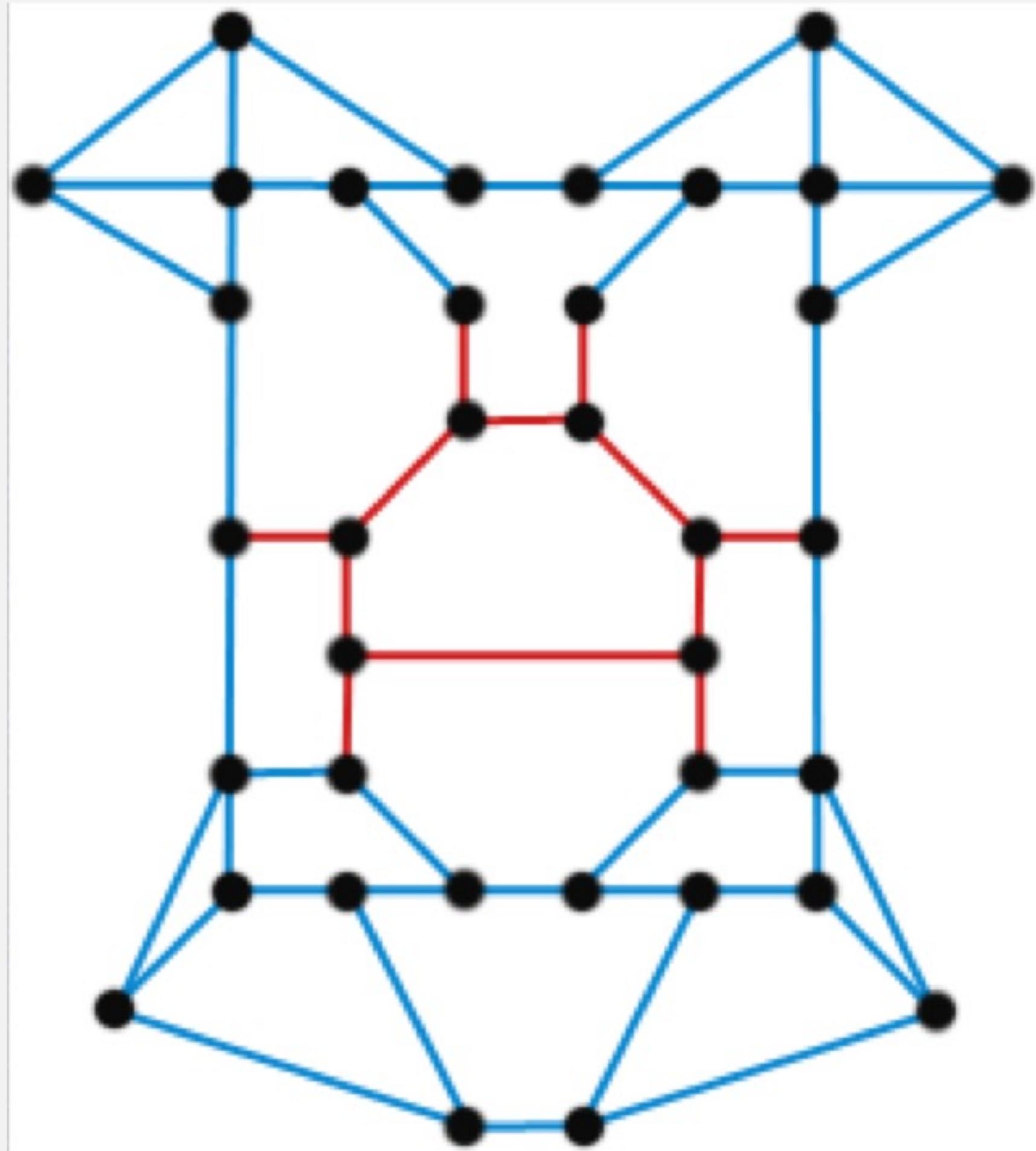
# Graphs

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**Graph.** Set of **vertices** connected pairwise by **edges**.

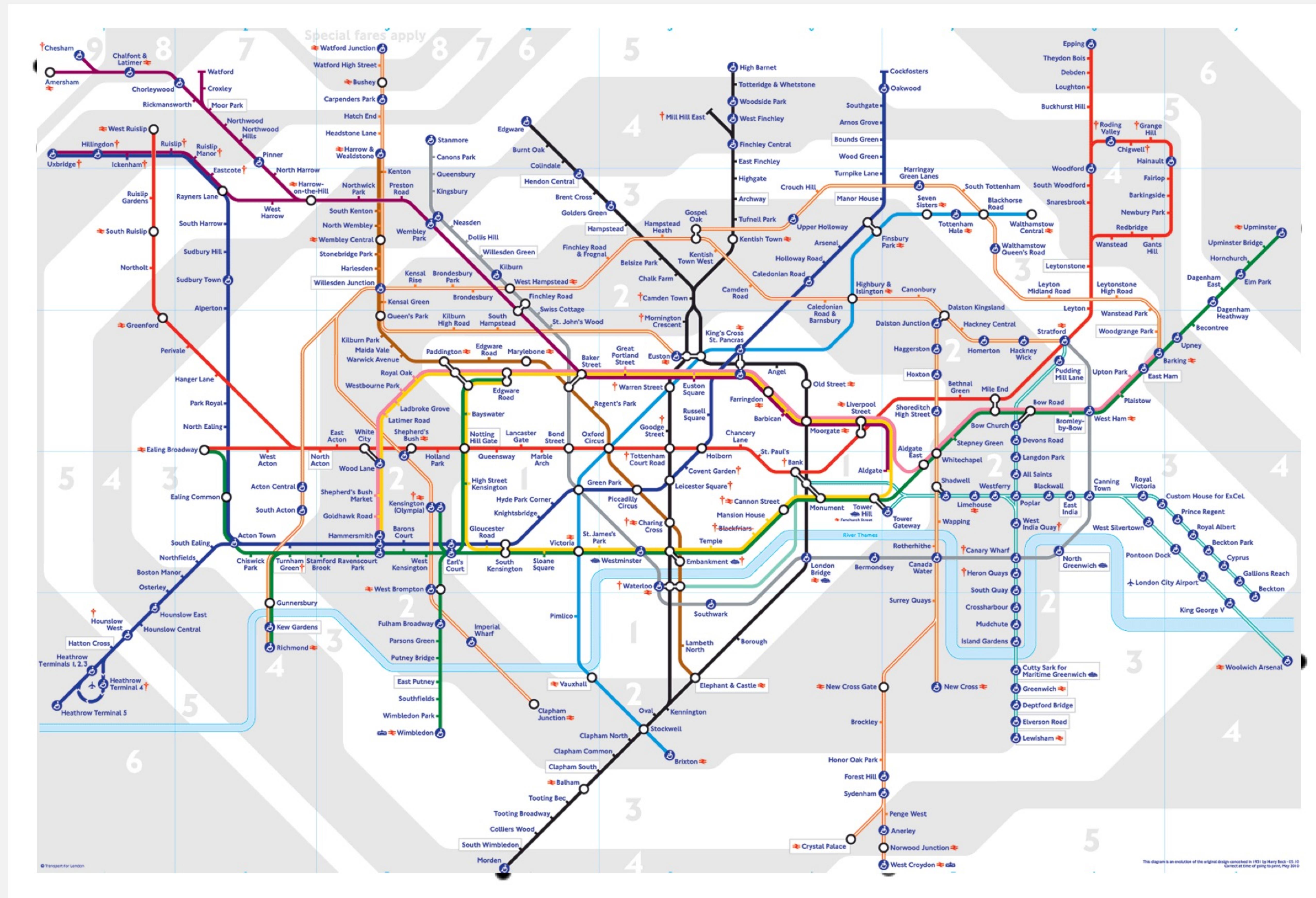
Why study graphs and graph algorithms?

- Broadly useful abstraction.
- Hundreds of graph algorithms.
- Thousands of real-world applications.
- Fascinating branch of computer science and discrete math.



# Transportation networks

**Vertex** = subway stop; **edge** = direct route.



# London Underground (Tube) Map

# Social networks

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Vertex = person; edge = social relationship.

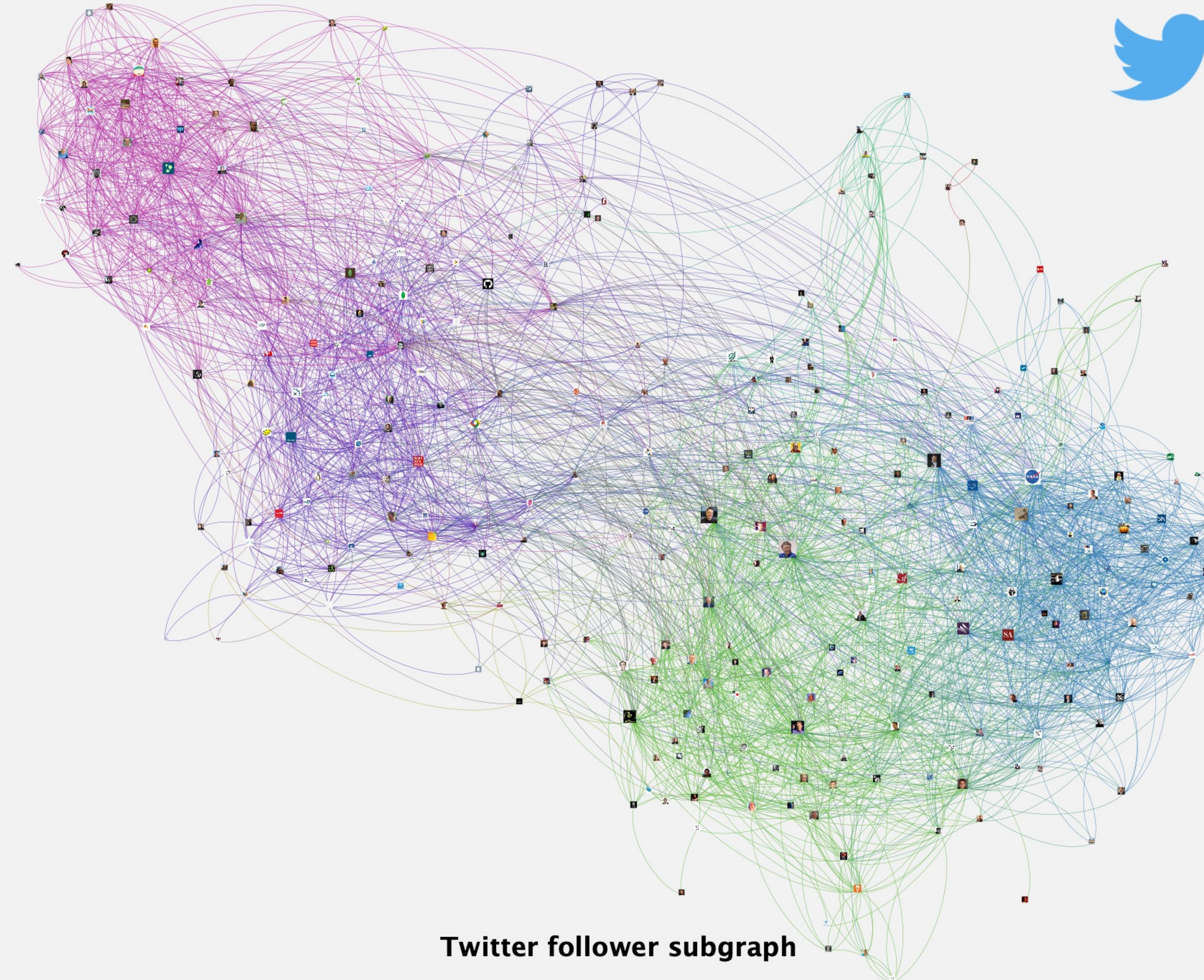


“Visualizing Friendships” by Paul Butler

# Twitter followers

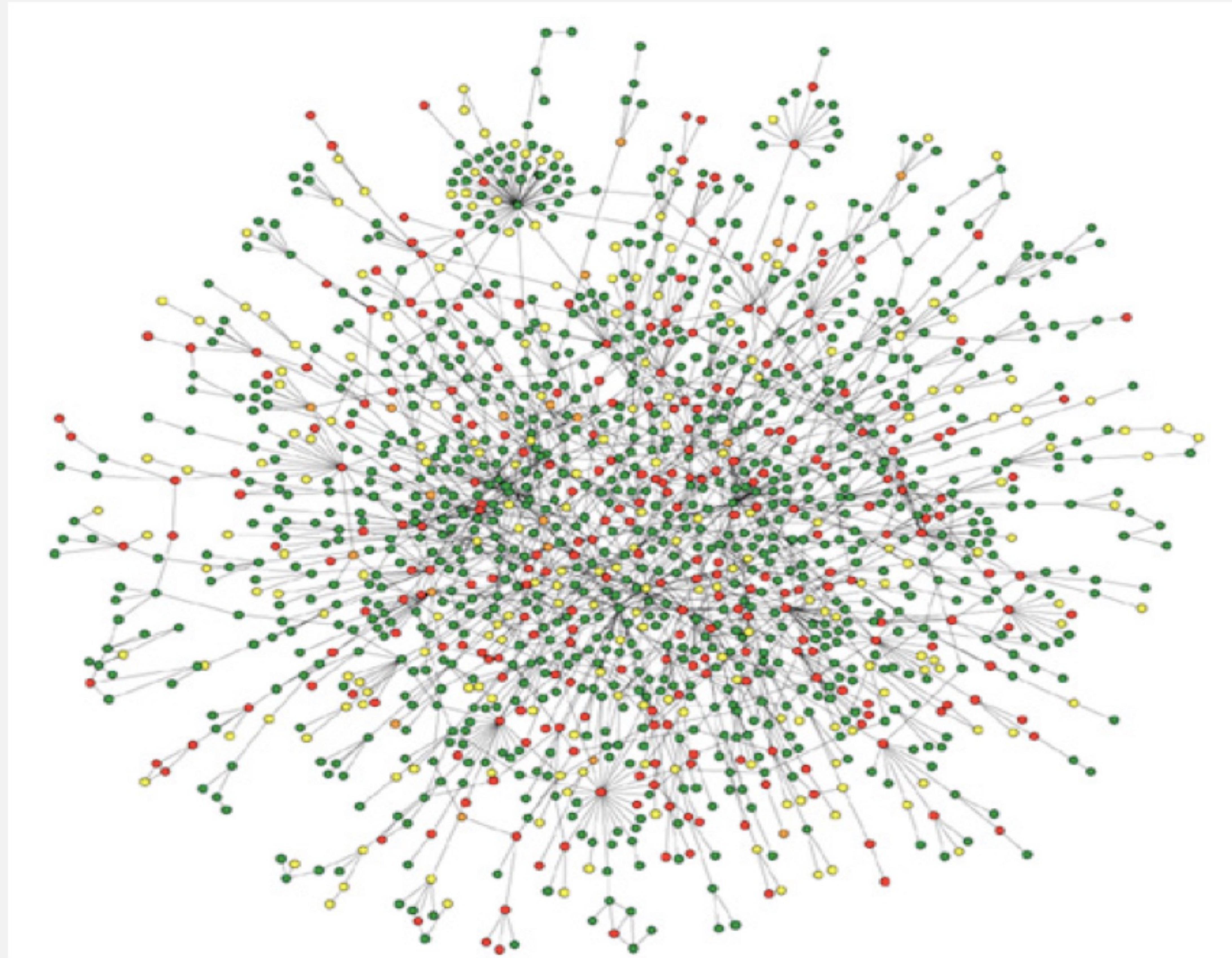
---

Vertex = Twitter account; edge = Twitter follower.



# Protein-protein interaction network

Vertex = protein; edge = interaction.



Reference: Jeong et al, Nature Review | Genetics

# Graph applications

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| graph               | vertex                    | edge                        |
|---------------------|---------------------------|-----------------------------|
| cell phone          | phone                     | placed call                 |
| infectious disease  | person                    | infection                   |
| financial           | stock, currency           | transactions                |
| transportation      | intersection              | street                      |
| internet            | router                    | fiber cable                 |
| web                 | web page                  | URL link                    |
| social relationship | person                    | friendship                  |
| object graph        | object                    | pointer                     |
| protein network     | protein                   | protein–protein interaction |
| circuit             | gate, register, processor | wire                        |
| neural network      | neuron                    | synapse                     |

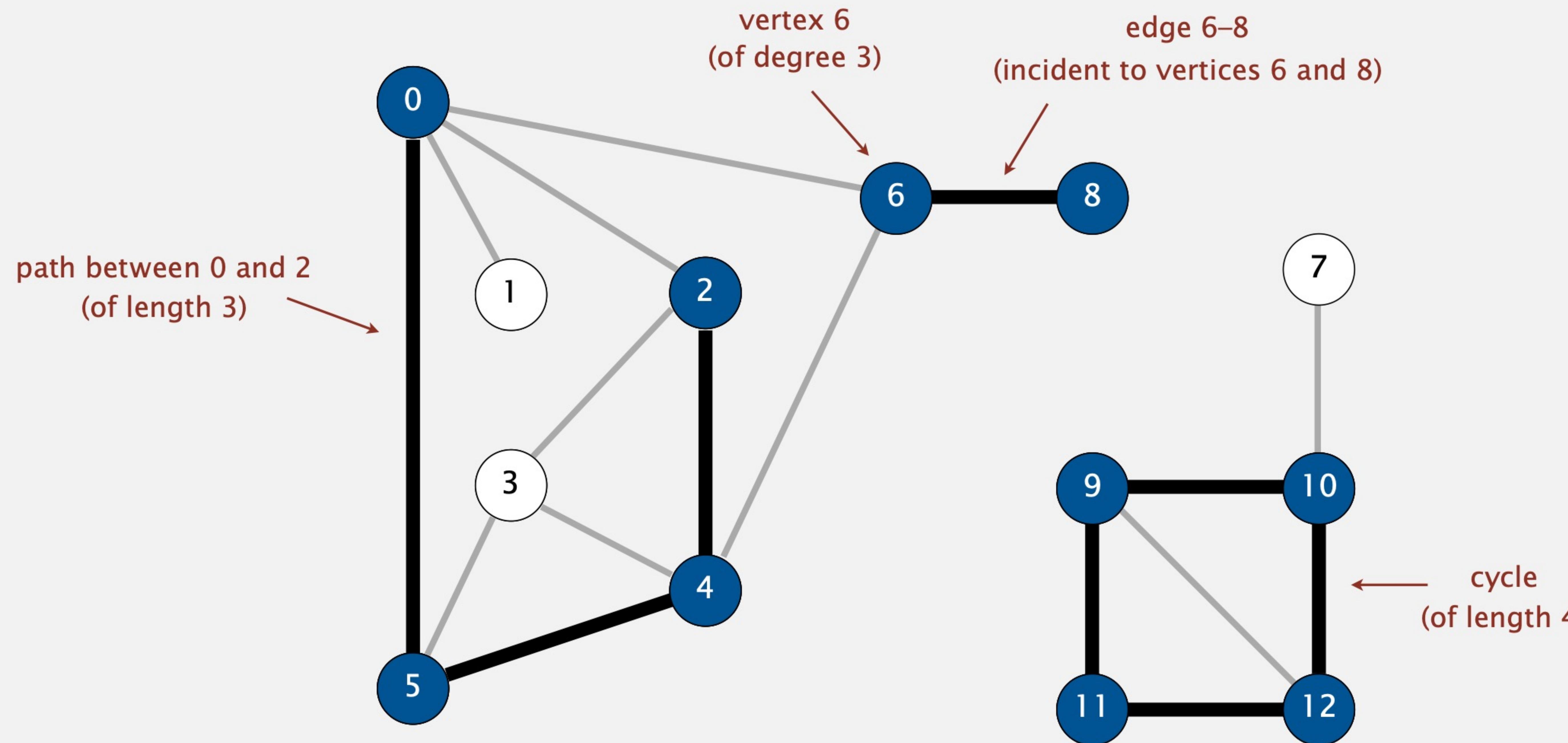
# Undirected graph terminology

**Graph.** Set of **vertices** connected pairwise by **edges**.

**Path.** Sequence of vertices connected by edges, with no repeated edges.

**Def.** Two vertices are **connected** if there is a path between them.

**Cycle.** Path (with  $\geq 1$  edge) whose first and last vertices are the same.



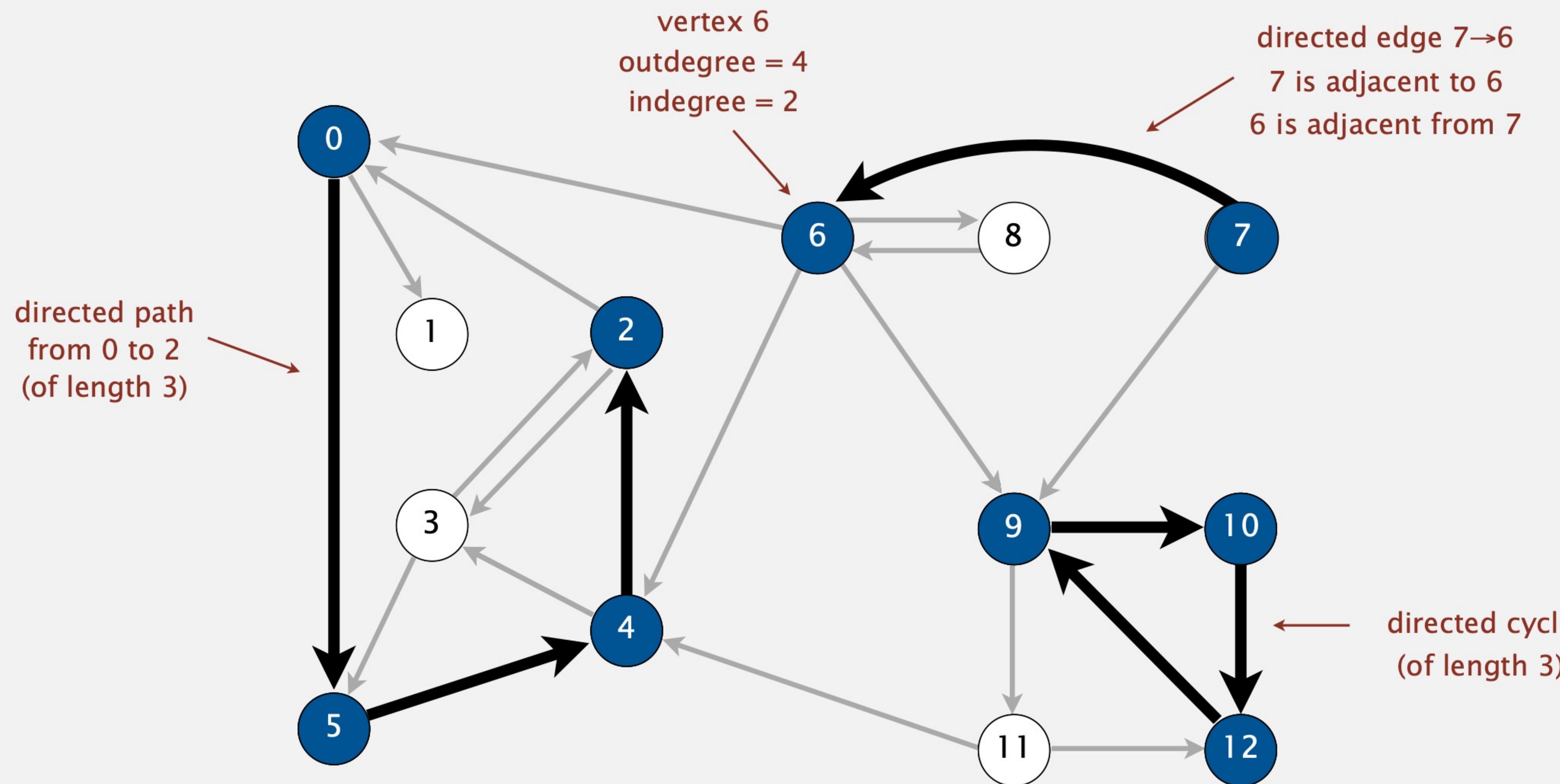
# Directed graph terminology

**Digraph.** Set of vertices connected pairwise by **directed** edges.

**Directed path.** Sequence of vertices connected by directed edges, with no repeated edges.

**Def.** Vertex  $w$  is **reachable** from vertex  $v$  if there is a directed path from  $v$  to  $w$ .

**Directed cycle.** Directed path (with  $\geq 1$  edge) whose first and last vertices are the same.





## Graphs and digraphs: quiz 1

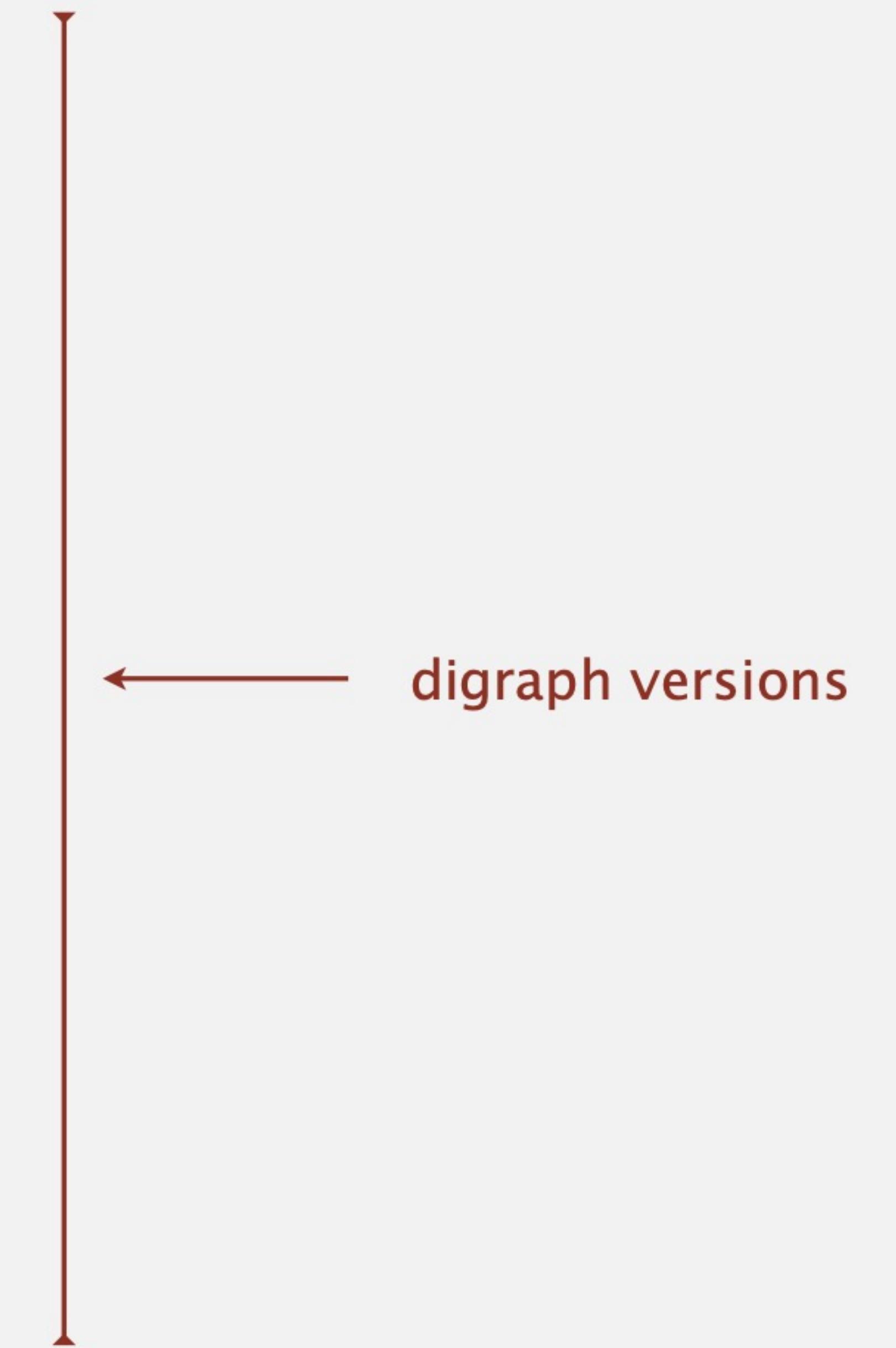
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**Which of these graphs is best modeled as a directed graph?**

- A.** Facebook: vertex = person; edge = friendship.
- B.** Web: vertex = webpage; edge = URL link.
- C.** Internet: vertex = router; edge = fiber optic cable.
- D.** Molecule: vertex = atom; edge = chemical bond.

# Some graph-processing problems

| graph problem     | description  |
|-------------------|--|
| s-t path          | <i>Find a path between s and t.</i>                        |
| shortest s-t path | <i>Find a path with the fewest edges between s to t.</i>   |
| cycle             | <i>Find a cycle.</i>                                       |
| Euler cycle       | <i>Find a cycle that uses each edge exactly once.</i>      |
| Hamilton cycle    | <i>Find a cycle that uses each vertex exactly once.</i>    |
| connectivity      | <i>Is there a path between every pair of vertices ?</i>    |
| graph isomorphism | <i>Are two graphs isomorphic?</i>                          |
| planarity         | <i>Draw the graph in the plane with no crossing edges.</i> |



Challenge. Which problems are easy? Difficult? Intractable?



## 4. GRAPHS AND DIGRAPHS I

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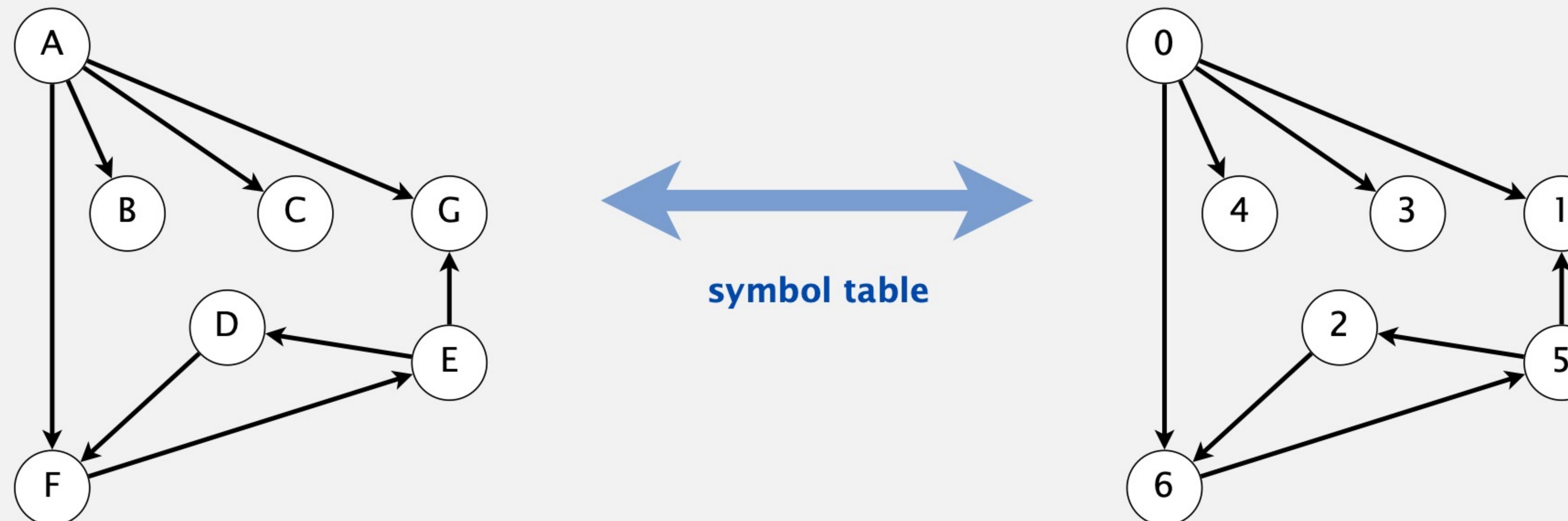
- ▶ *introduction*
- ▶ ***graph representation***
- ▶ *depth-first search*
- ▶ *path finding*
- ▶ *undirected graphs*

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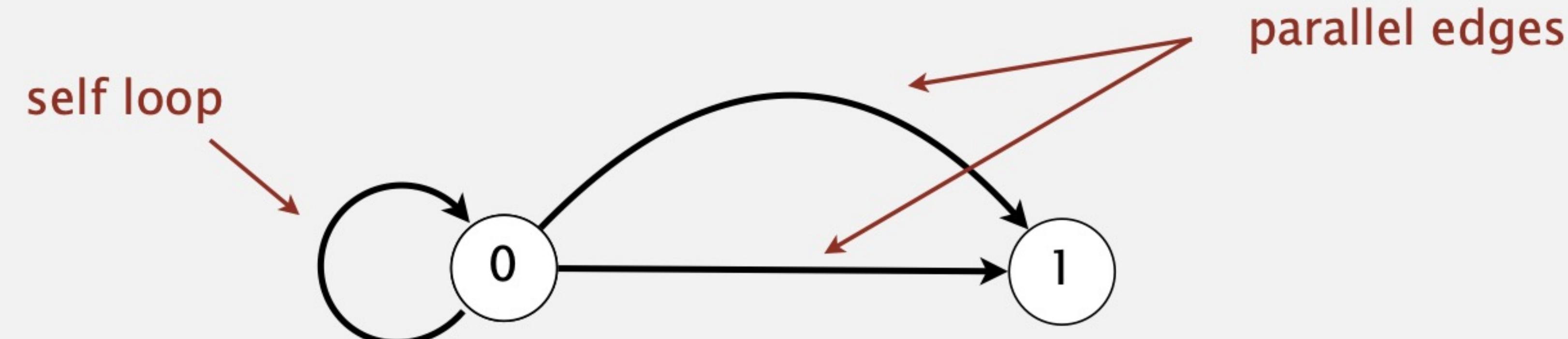
# Digraph representation

## Vertex representation.

- This lecture: integers between 0 and  $V - 1$ .
- Applications: use **symbol table** to convert between names and integers.



**Def.** A digraph is **simple** if it has no self-loops or parallel edges.



# Digraph API

```
public class Digraph
```

```
    Digraph(int V)
```

*create an empty digraph with  $V$  vertices*

```
    void addEdge(int v, int w)
```

*add a directed edge  $v \rightarrow w$*

← this API allows self loops and parallel edges

```
    Iterable<Integer> adj(int v)
```

*vertices adjacent from  $v$*

```
    int V()
```

*number of vertices*

:

:

```
// outdegree of vertex  $v$  in digraph  $G$ 
```

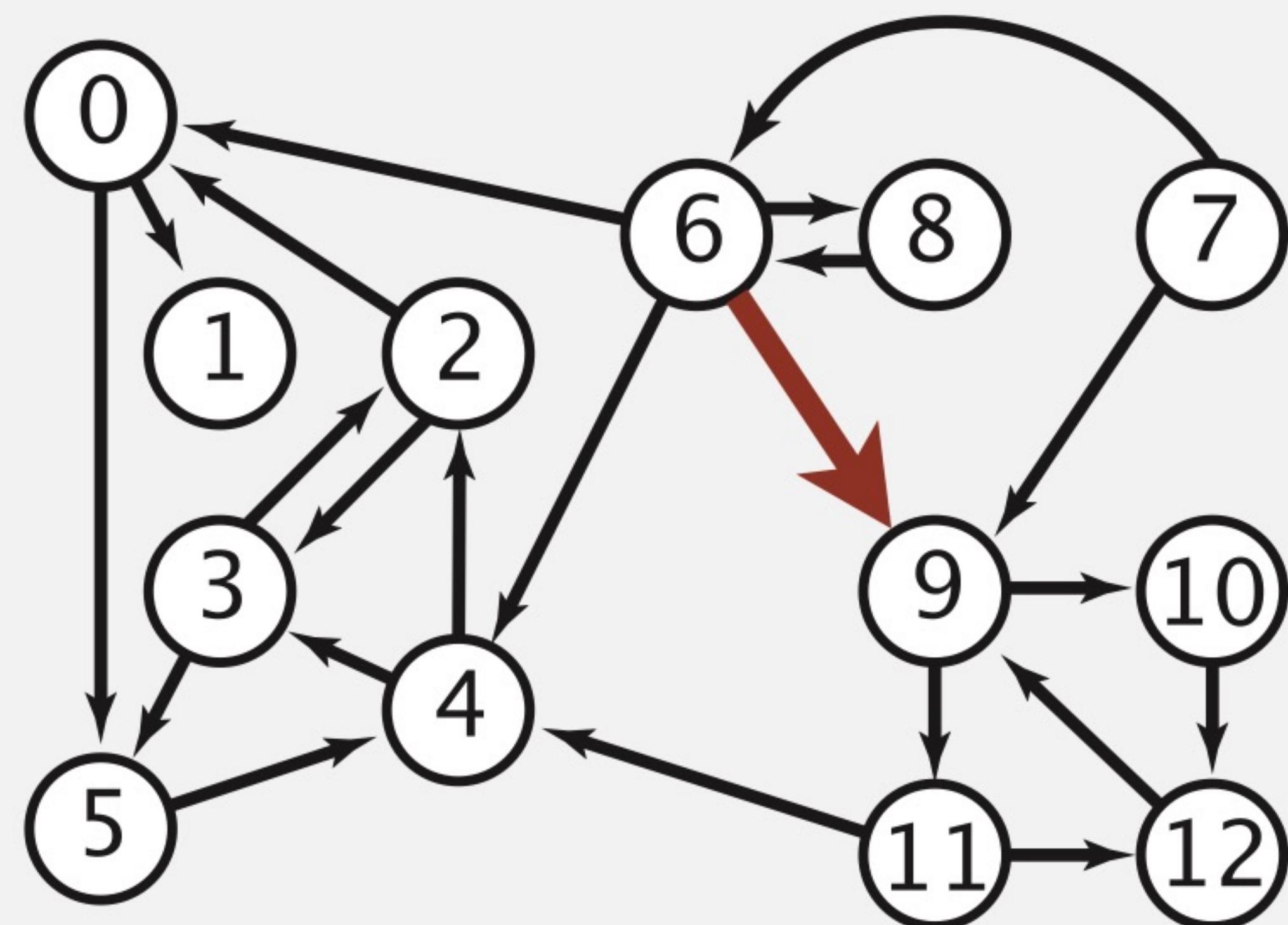
```
public static int outdegree(Digraph G, int v)
```

← Note: this method is in full Digraph API,  
so no need to re-implement

```
{  
    int count = 0;  
    for (int w : G.adj(v))  
        count++;  
    return count;  
}
```

## Adjacency-matrix representation

Maintain a  $V$ -by- $V$  boolean array; for each edge  $v \rightarrow w$  in the digraph:  $\text{adj}[v][w] = \text{true}$ .



|      | to |   |   |   |   |   |   |   |   |   |    |    |    |
|------|----|---|---|---|---|---|---|---|---|---|----|----|----|
| from | 0  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| 0    | 0  | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0  | 0  | 0  |
| 1    | 0  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0  | 0  | 0  |
| 2    | 1  | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0  | 0  | 0  |
| 3    | 0  | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0  | 0  | 0  |
| 4    | 0  | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0  | 0  | 0  |
| 5    | 0  | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0  | 0  | 0  |
| 6    | 0  | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 1 | 0  | 0  | 0  |
| 7    | 0  | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0  | 0  | 0  |
| 8    | 0  | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0  | 0  | 0  |
| 9    | 0  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1  | 1  | 0  |
| 10   | 0  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0  | 0  | 1  |
| 11   | 0  | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0  | 0  | 1  |
| 12   | 0  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0  | 0  | 0  |

Note: parallel edges disallowed

# Graphs and digraphs: quiz 2



What is the running time of the following code fragment?

Assume adjacency-matrix representation,  $V = \# \text{ vertices}$ ,  $E = \# \text{ edges}$ .

```
for (int v = 0; v < G.V(); v++)
    for (int w : G.adj(v))
        StdOut.println(v + "->" + w);
```

print each edge once

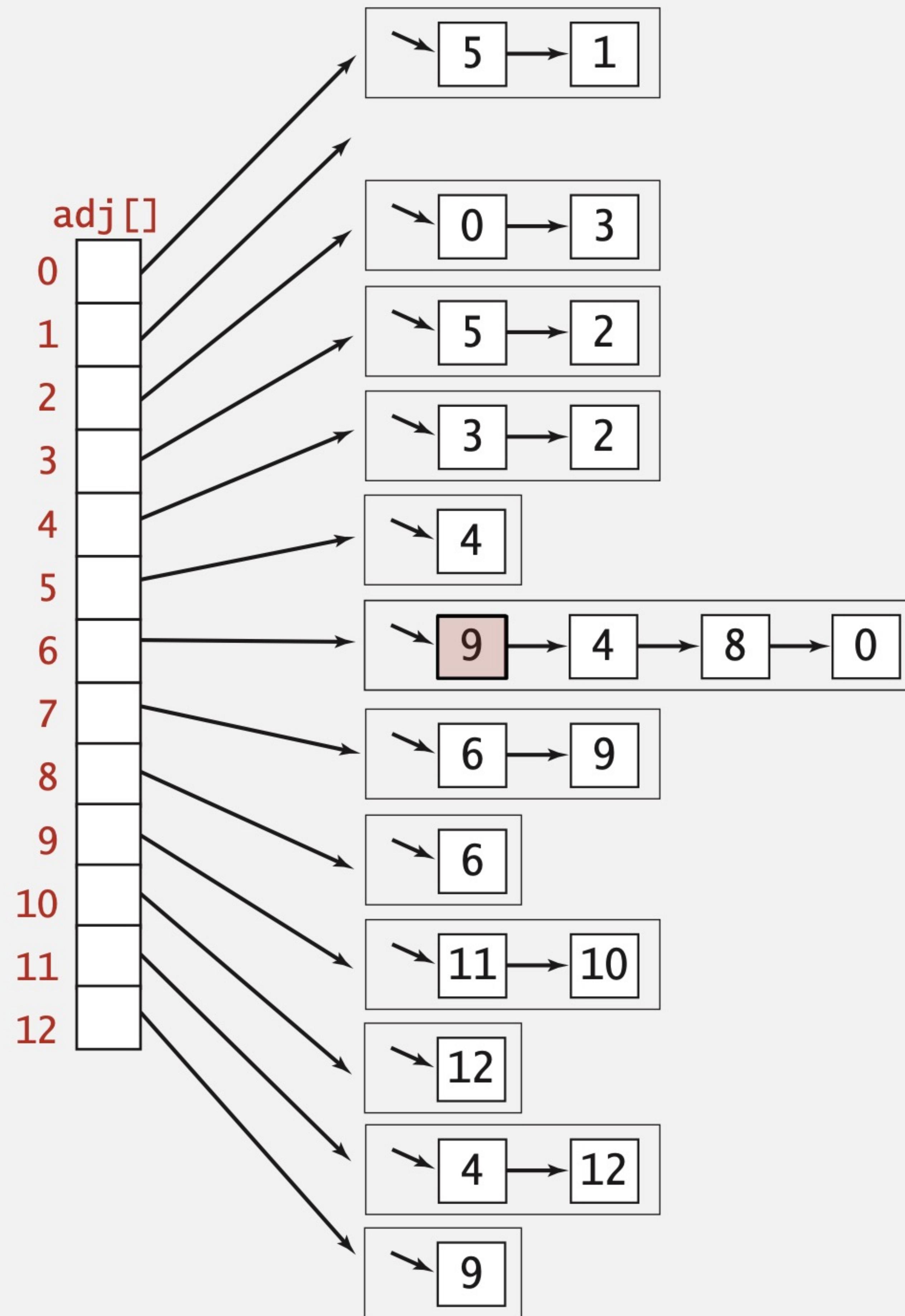
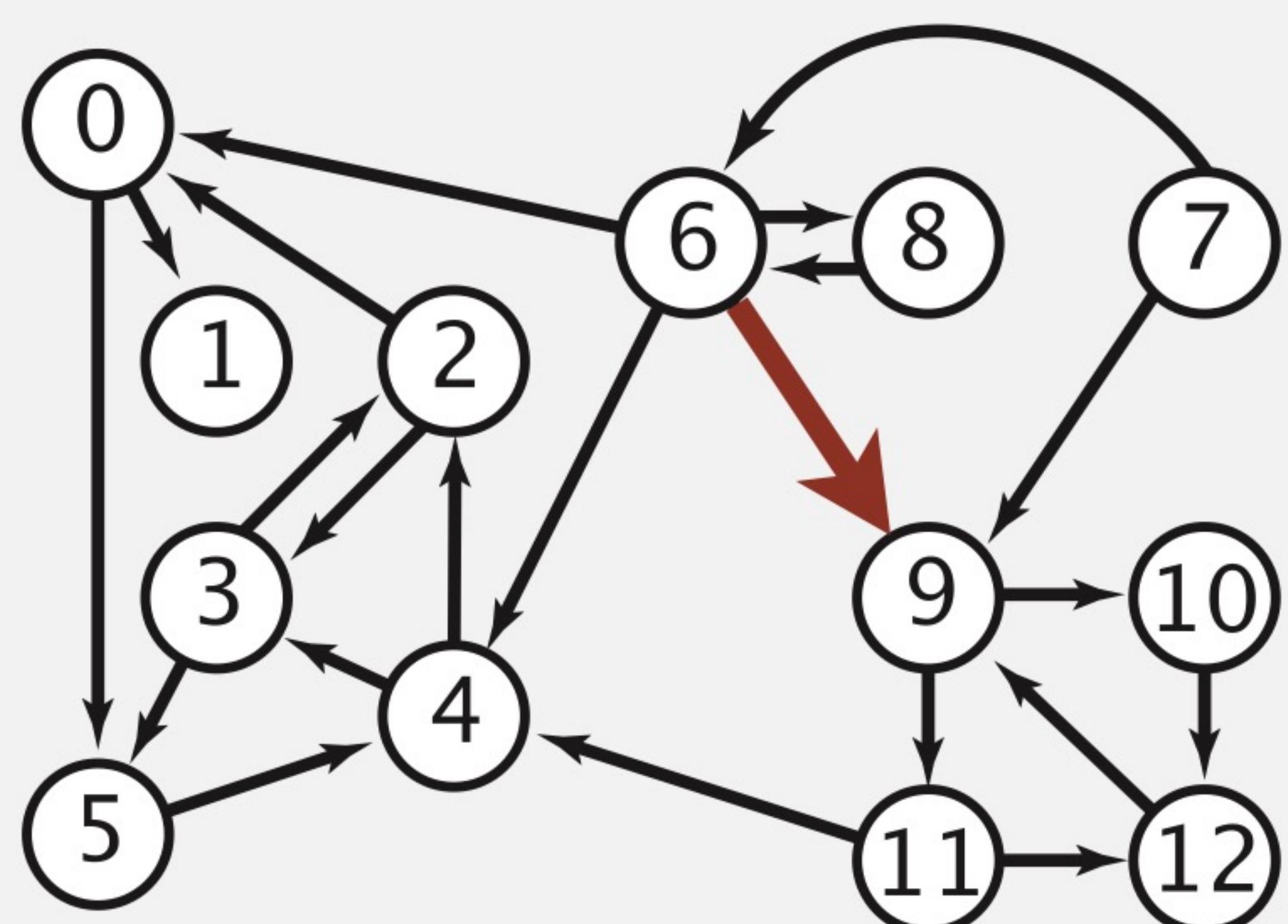
- A.  $\Theta(V)$
- B.  $\Theta(E + V)$
- C.  $\Theta(V^2)$
- D.  $\Theta(EV)$

|    | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
|----|---|---|---|---|---|---|---|---|---|---|----|----|----|
| 0  | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0  | 0  | 0  |
| 1  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0  | 0  | 0  |
| 2  | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0  | 0  | 0  |
| 3  | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0  | 0  | 0  |
| 4  | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0  | 0  | 0  |
| 5  | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0  | 0  | 0  |
| 6  | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 1 | 0  | 0  | 0  |
| 7  | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0  | 0  | 0  |
| 8  | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0  | 0  | 0  |
| 9  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1  | 1  | 0  |
| 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0  | 0  | 1  |
| 11 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0  | 0  | 1  |
| 12 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0  | 0  | 0  |

adjacency-matrix representation

# Adjacency-lists representation

Maintain vertex-indexed array of lists.





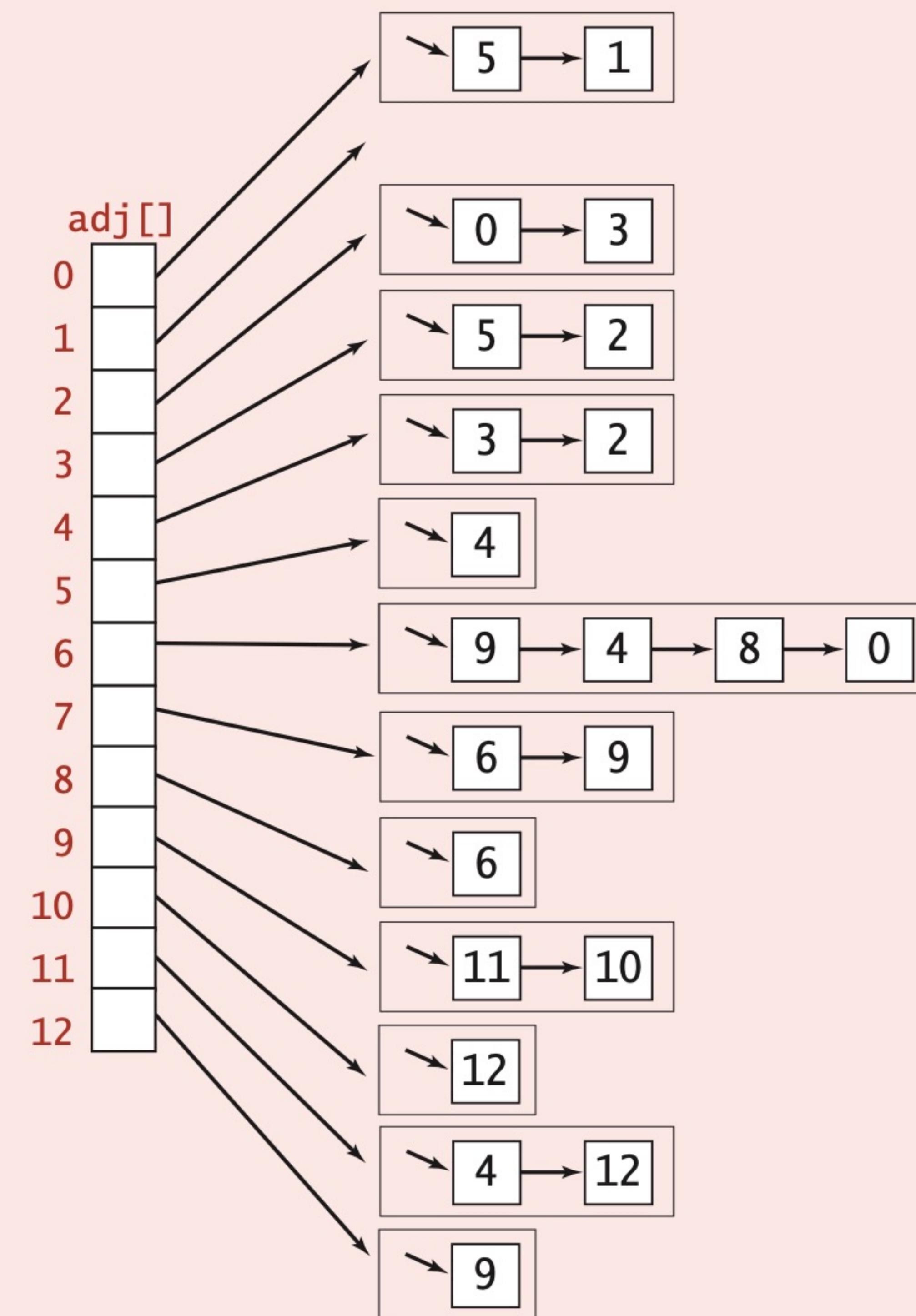
What is the running time of the following code fragment?

Assume **adjacency-lists representation**,  $V = \# \text{ vertices}$ ,  $E = \# \text{ edges}$ .

```
for (int v = 0; v < G.V(); v++)
    for (int w : G.adj(v))
        StdOut.println(v + "->" + w);
```

print each edge once

- A.  $\Theta(V)$
- B.  $\Theta(E + V)$
- C.  $\Theta(V^2)$
- D.  $\Theta(EV)$



# Digraph representations

In practice. Use adjacency-lists representation.

- Algorithms based on iterating over vertices adjacent from  $v$ .
- Real-world graphs tend to be **sparse** (not dense).

$$\begin{array}{cc} \uparrow & \uparrow \\ \Theta(V) \text{ edges} & \Theta(V^2) \text{ edges} \end{array}$$

| representation   | space   | add edge<br>from $v$ to $w$ | has edge<br>from $v$ to $w$ ? | iterate over vertices<br>adjacent from $v$ ? |
|------------------|---------|-----------------------------|-------------------------------|--|
| adjacency matrix | $V^2$   | $1^\dagger$                 | $1$                           | $V$  |
| adjacency lists  | $E + V$ | $1$                         | $outdegree(v)$                | $outdegree(v)$                               |

$\dagger$  disallows parallel edges

# Digraph representation (adjacency lists): Java implementation

```
public class Digraph
{
    private final int V;
    private Bag<Integer>[] adj; ← adjacency lists

    public Digraph(int V)
    {
        this.V = V;
        adj = (Bag<Integer>[]) new Bag[V]; ← create empty digraph with V vertices
        for (int v = 0; v < V; v++)
            adj[v] = new Bag<Integer>();
    }

    public void addEdge(int v, int w) ← add edge  $v \rightarrow w$ 
    { adj[v].add(w); } ← (parallel edges and self-loops allowed)

    public Iterable<Integer> adj(int v) ← iterator for vertices adjacent from  $v$ 
    { return adj[v]; }

}
```



## 4. GRAPHS AND DIGRAPHS I

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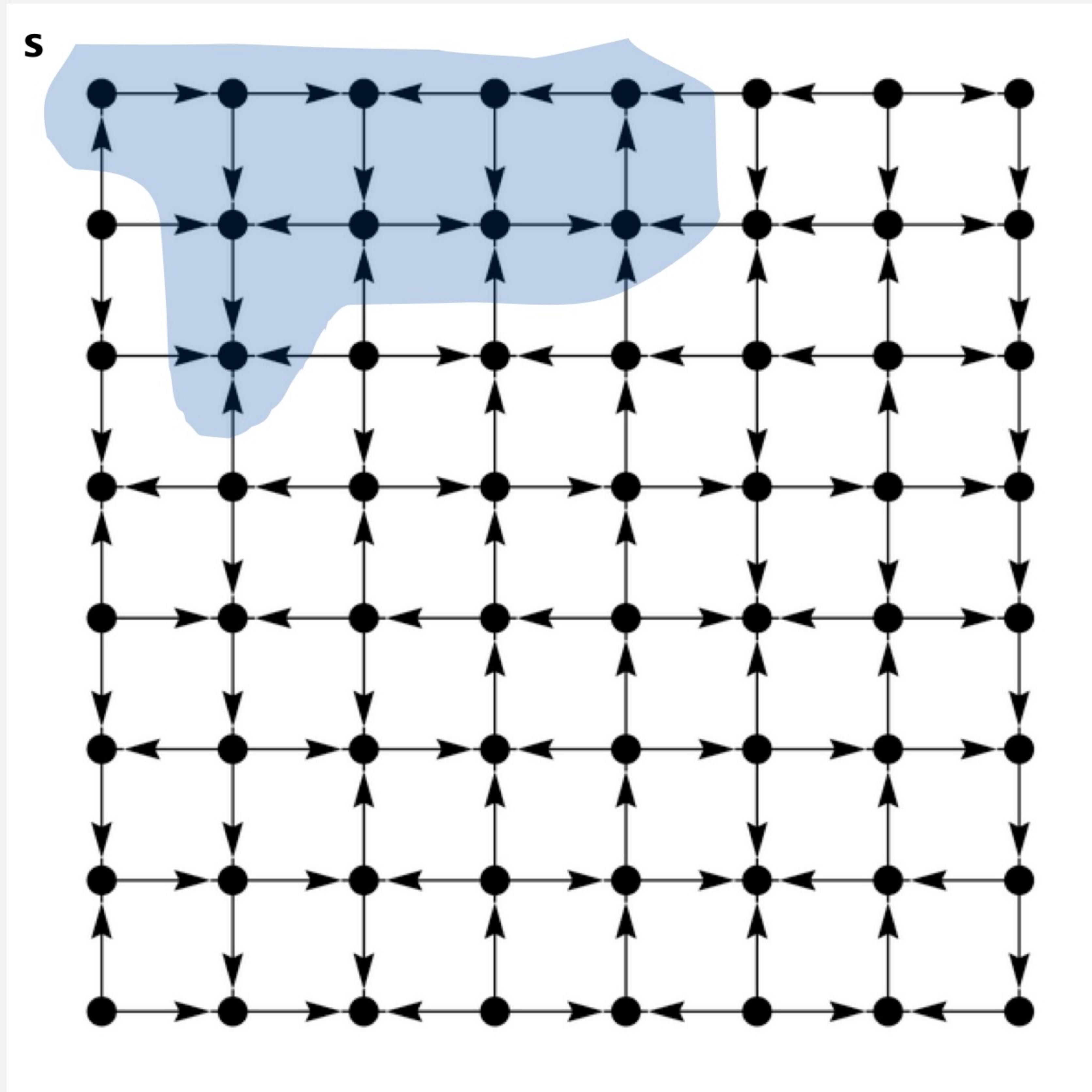
ROBERT SEDGEWICK | KEVIN WAYNE

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# Digraph reachability

---

**Problem.** Given a digraph  $G$  and vertex  $s$ , find all vertices **reachable** from  $s$ .



# Depth-first search

---

**Goal.** Systematically traverse a digraph.

**DFS (to visit a vertex  $v$ )**

---

**Mark vertex  $v$ .**

**Recursively visit all unmarked  
vertices  $w$  adjacent from  $v$ .**

---

**Typical applications.**

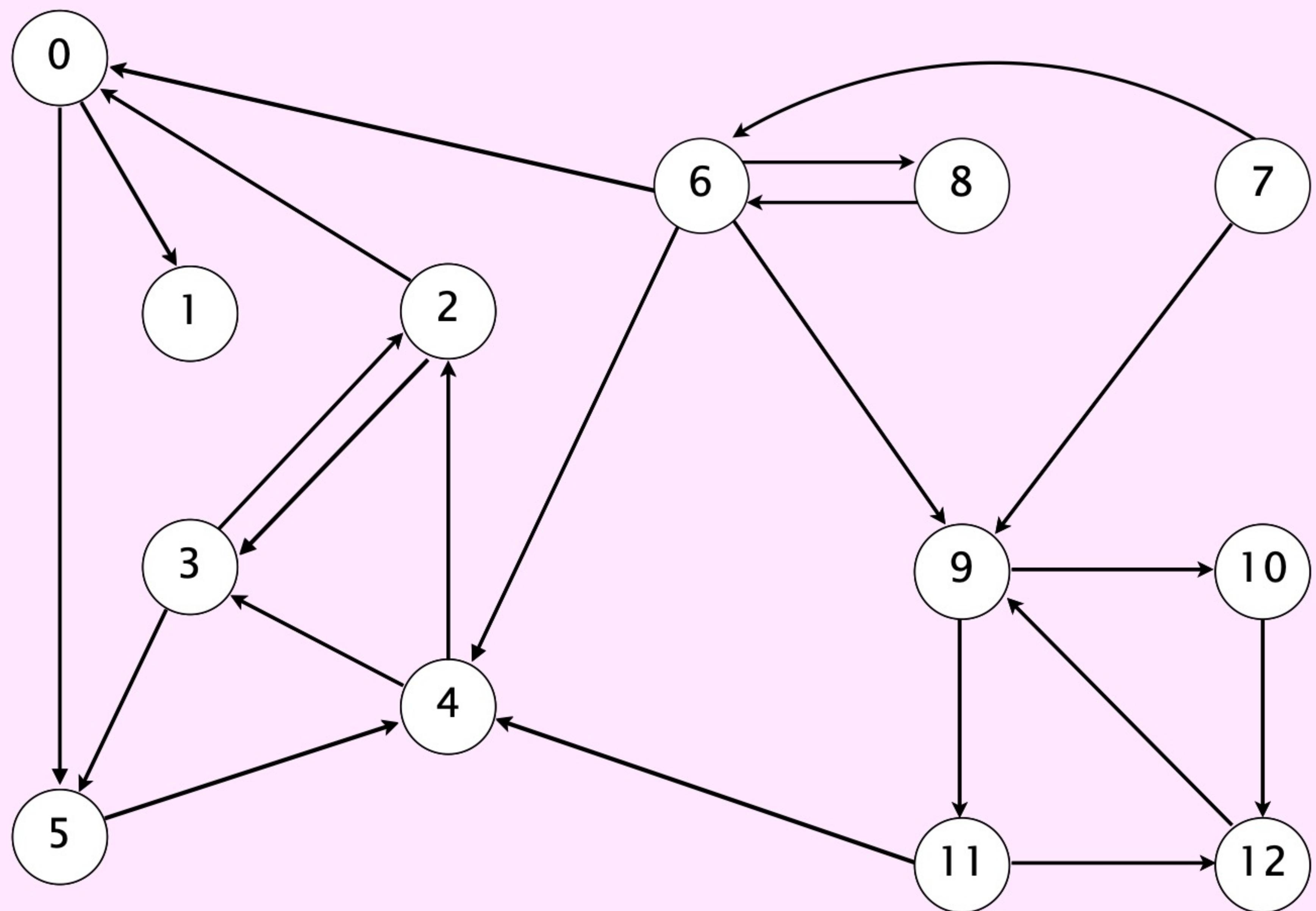
- Reachability: find all vertices reachable from a given vertex.
- Path finding: find a directed path from one vertex to another vertex.

# Directed depth-first search demo



To visit a vertex  $v$ :

- Mark vertex  $v$ .
- Recursively visit all unmarked vertices adjacent from  $v$ .



a directed graph

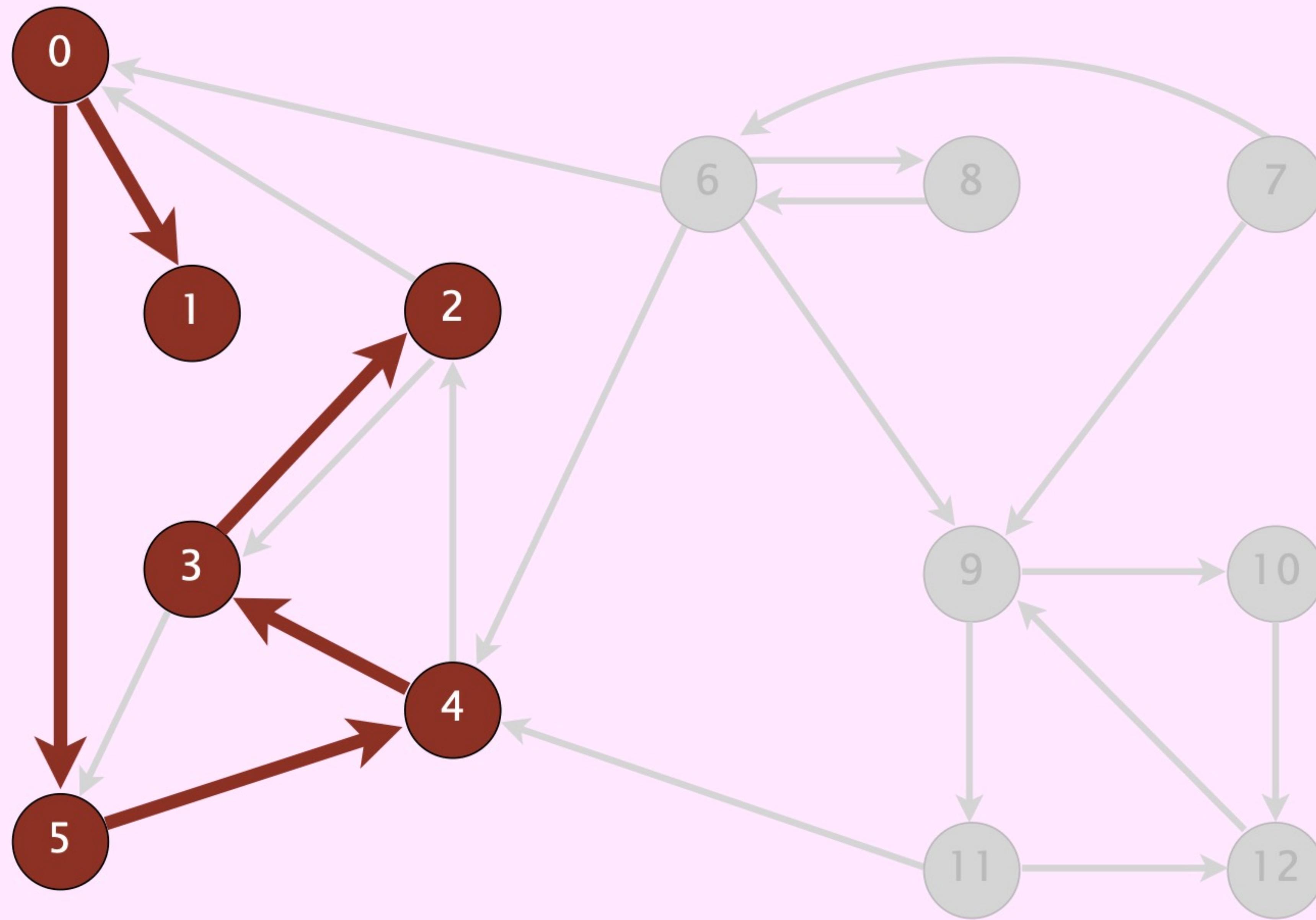
4→2  
2→3  
3→2  
6→0  
0→1  
2→0  
11→12  
12→9  
9→10  
9→11  
8→9  
10→12  
11→4  
4→3  
3→5  
6→8  
8→6  
5→4  
0→5  
6→4  
6→9  
7→6

# Directed depth-first search demo



To visit a vertex  $v$ :

- Mark vertex  $v$ .
- Recursively visit all unmarked vertices adjacent from  $v$ .



reachable from 0

| $v$ | marked[] |
|-----|----------|
| 0   | T        |
| 1   | T        |
| 2   | T        |
| 3   | T        |
| 4   | T        |
| 5   | T        |
| 6   | F        |
| 7   | F        |
| 8   | F        |
| 9   | F        |
| 10  | F        |
| 11  | F        |
| 12  | F        |

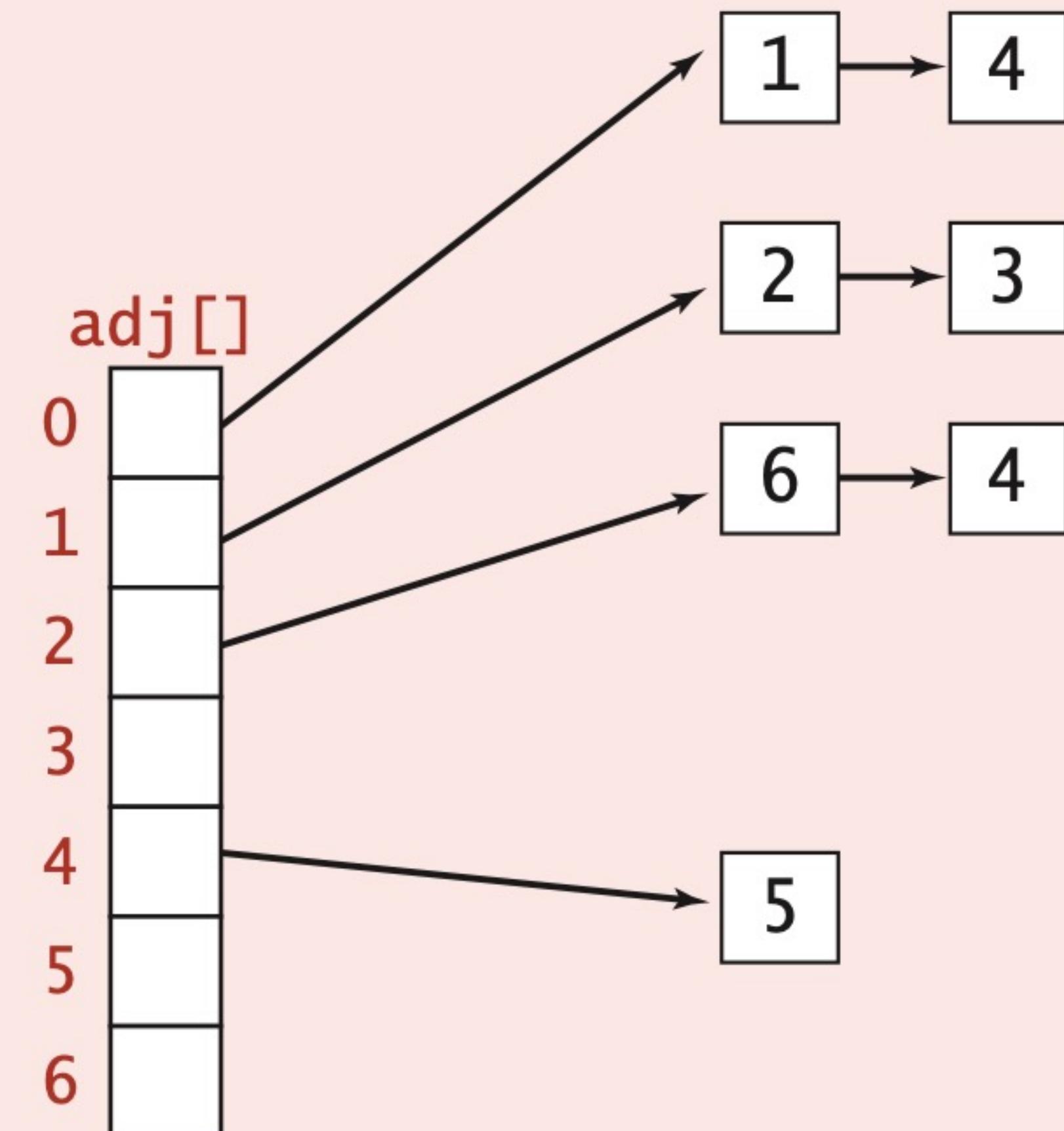
reachable from vertex 0



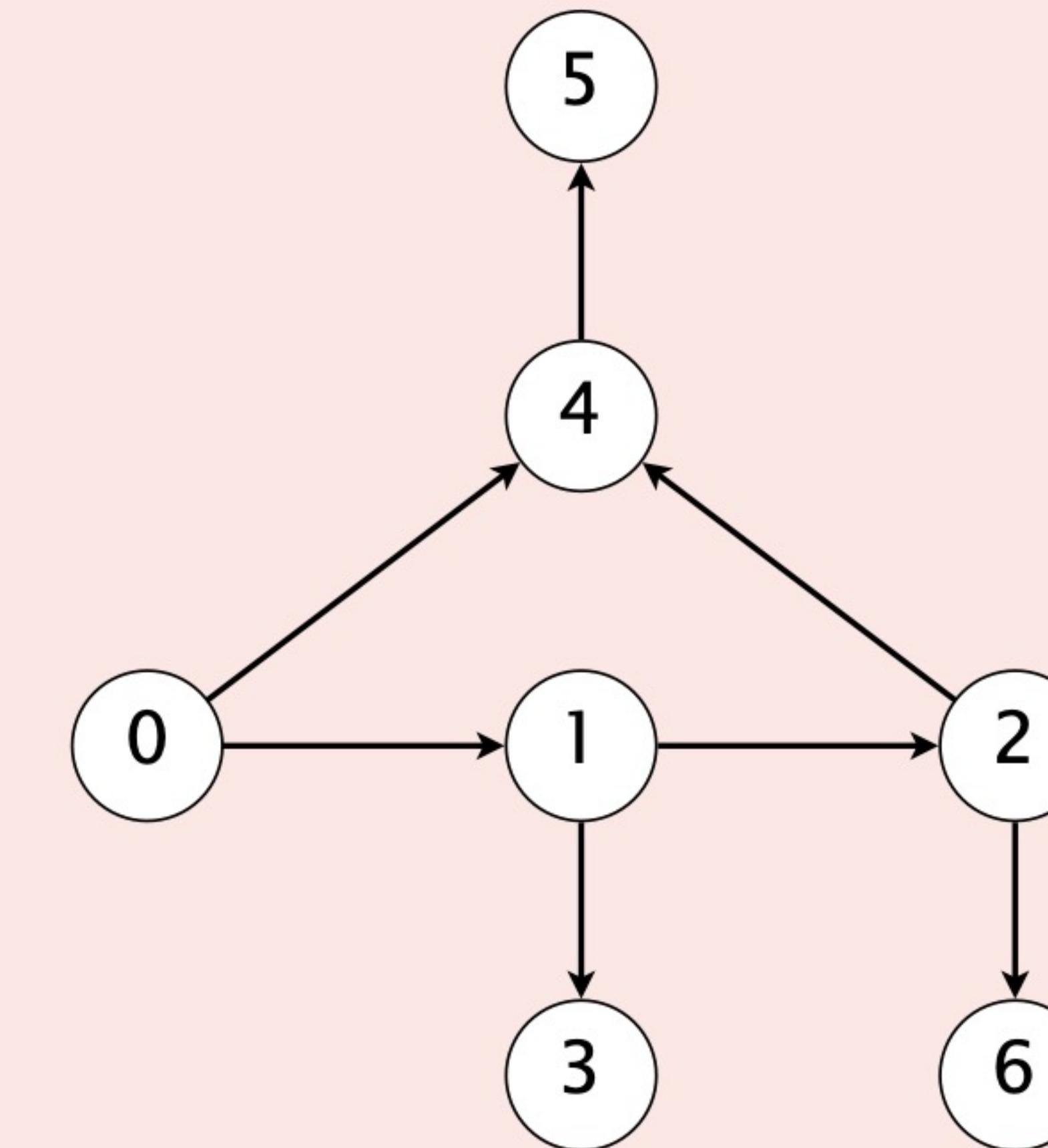
Run DFS using the following adjacency-lists representation of digraph G,  
starting at vertex 0. In which order is  $\text{dfs}(G, v)$  called?

DFS preorder

- A. 0 1 2 4 5 3 6
- B. 0 1 2 4 5 6 3
- C. 0 1 3 2 6 4 5
- D. 0 1 2 6 4 5 3



adjacency-lists representation



digraph G

# Depth-first search: Java implementation

```
public class DirectedDFS
{
    private boolean[] marked;

    public DirectedDFS(Digraph G, int s)
    {
        marked = new boolean[G.V()];
        dfs(G, s);
    }

    private void dfs(Digraph G, int v)
    {
        marked[v] = true;
        for (int w : G.adj(v))
            if (!marked[w])
                dfs(G, w);
    }

    public boolean isReachable(int v)
    {
        return marked[v];
    }
}
```

marked[v] = true if  $v$  reachable from  $s$

constructor marks vertices reachable from  $s$

recursive DFS does the work

is  $v$  reachable from  $s$ ?

## Depth-first search: properties

---

**Proposition.** DFS marks all vertices reachable from  $s$  in  $\Theta(E + V)$  time in the worst case.

Pf.

- Initializing an array of length  $V$  takes  $\Theta(V)$  time.
- Each vertex is visited at most once.
- Visiting a vertex takes time proportional to its outdegree:

$$\text{outdegree}(v_0) + \text{outdegree}(v_1) + \text{outdegree}(v_2) + \dots = E$$



in worst case,  
all  $V$  vertices reachable from  $s$

**Note.** If all vertices are reachable from  $s$ , then  $E \geq V - 1$ , so  $V$  is a lower-order term.

# Reachability application: program control-flow analysis

Every program is a digraph.

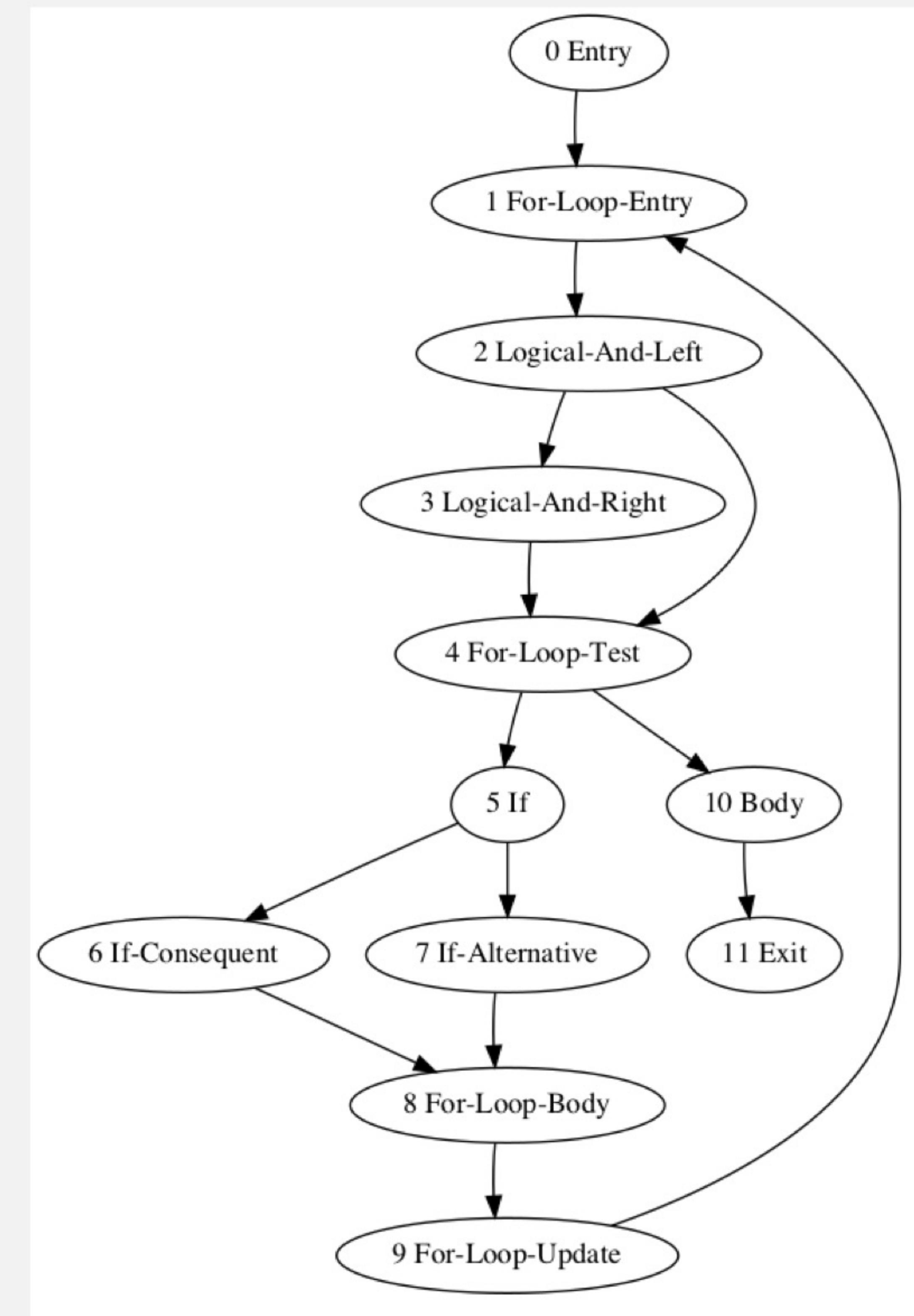
- Vertex = basic block of instructions (straight-line program).
- Edge = jump.

Dead-code elimination.

Find (and remove) unreachable code.

Infinite-loop detection.

Determine whether exit is unreachable.



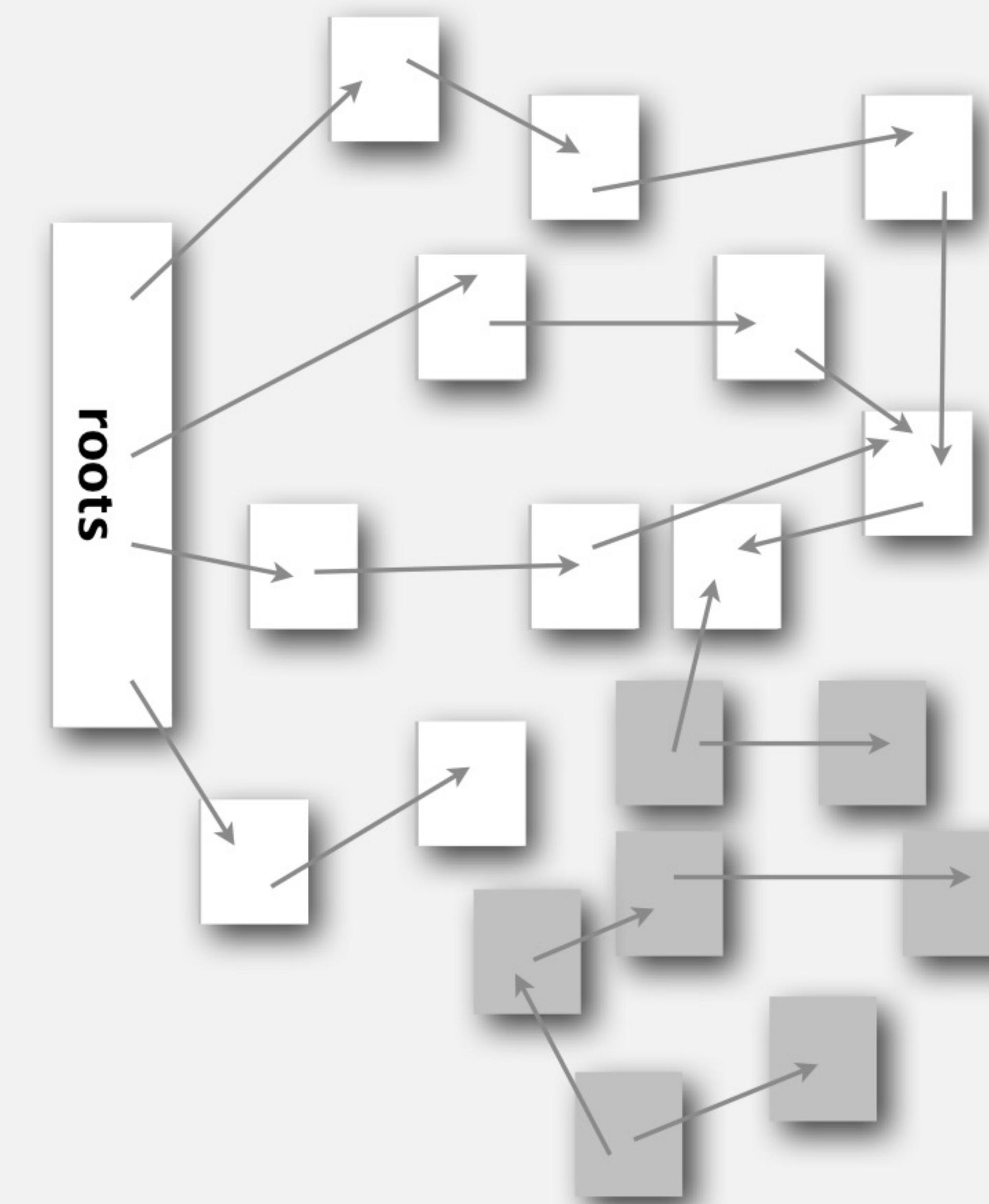
# Reachability application: mark-sweep garbage collector

**Every data structure is a digraph.**

- Vertex = object.
  - Edge = reference/pointer.

**Roots.** Objects known to be directly accessible by program (e.g., stack frame).

**Reachable objects.** Objects indirectly accessible by program  
(starting at a root and following a chain of pointers).



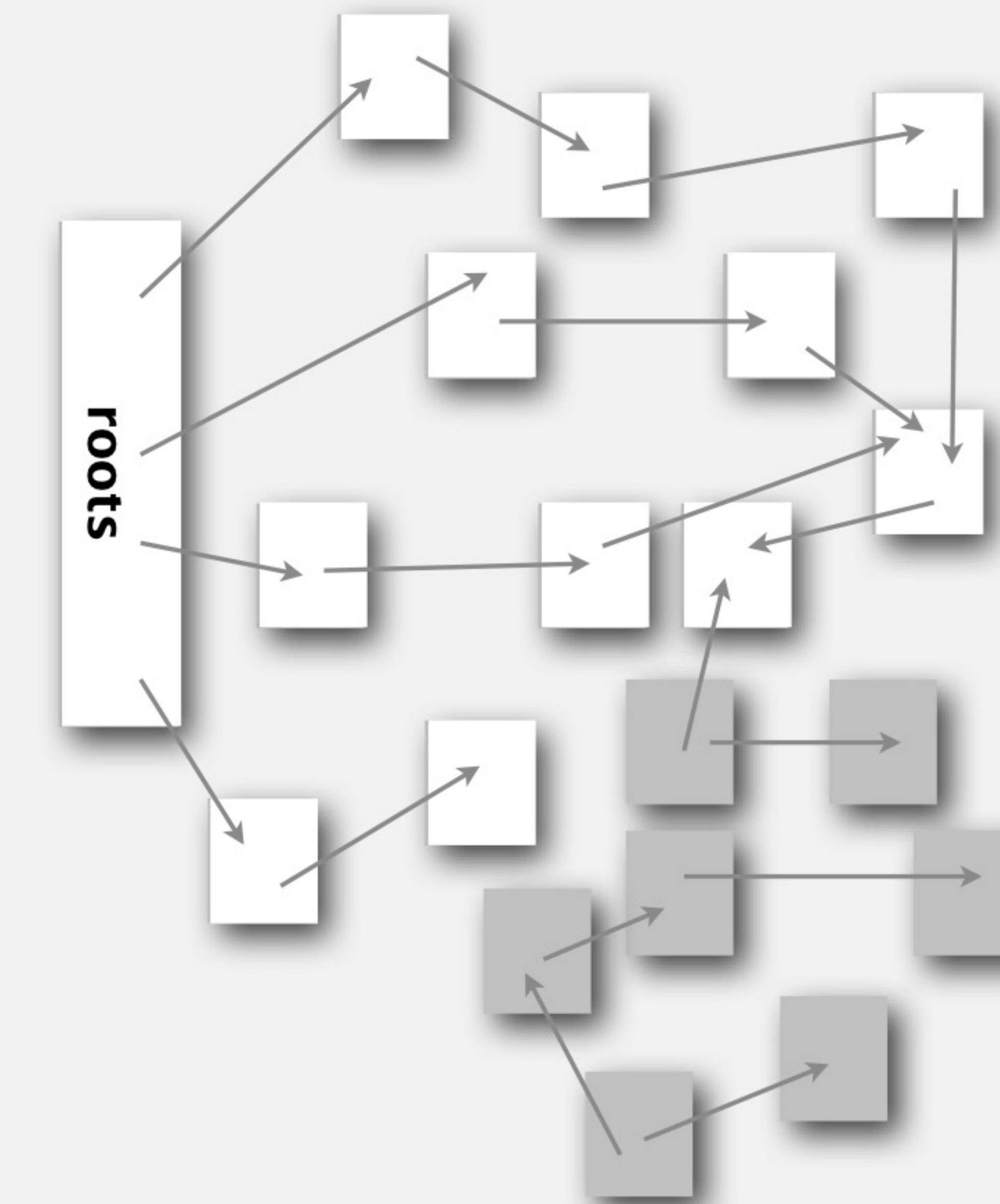
# Reachability application: mark-sweep garbage collector

---

Mark-sweep algorithm. [McCarthy, 1960]

- Mark: mark all reachable objects.
- Sweep: if object is unmarked, it is garbage (so add to free list).

Memory cost. Uses 1 extra mark bit per object (plus DFS function-call stack).





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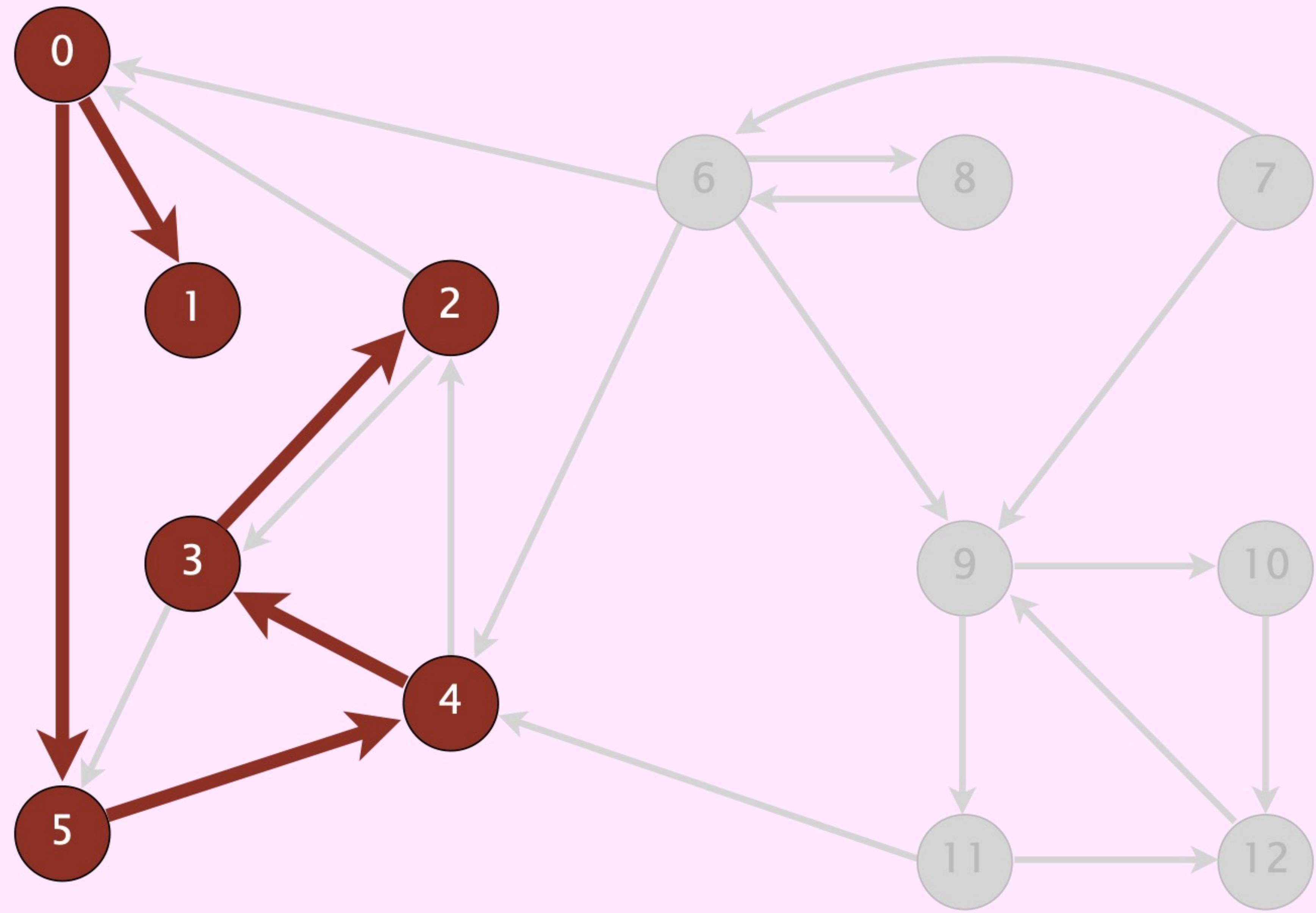
- ▶ *introduction*
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- ▶ *path finding***
- ▶ *undirected graphs*

# Directed paths DFS demo



**Goal.** DFS determines which vertices are reachable from  $s$ . How to reconstruct paths?

**Solution.** Use parent-link representation.



reachable from 0

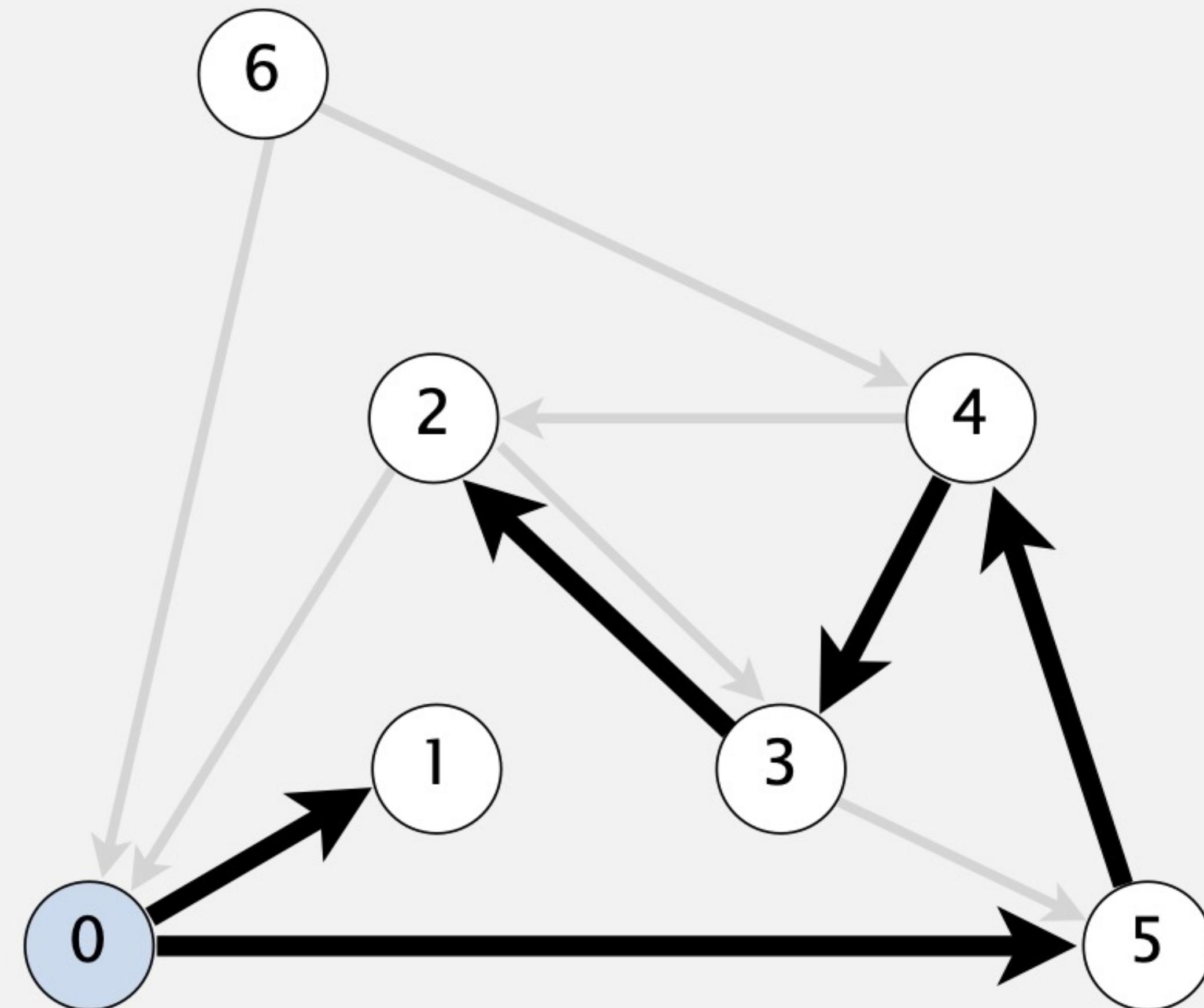
| v  | marked[] | edgeTo[] |
|----|----------|----------|
| 0  | T        | -        |
| 1  | T        | 0        |
| 2  | T        | 3        |
| 3  | T        | 4        |
| 4  | T        | 5        |
| 5  | T        | 0        |
| 6  | F        | -        |
| 7  | F        | -        |
| 8  | F        | -        |
| 9  | F        | -        |
| 10 | F        | -        |
| 11 | F        | -        |
| 12 | F        | -        |

parent-link representation  
of paths from vertex 0

# Depth-first search: path finding

Parent-link representation of paths from  $s$ .

- Maintain an integer array  $\text{edgeTo}[]$ .
- Interpretation:  $\text{edgeTo}[v]$  is the next-to-last vertex on a path from  $s$  to  $v$ .
- To reconstruct path from  $s$  to  $v$ , trace  $\text{edgeTo}[]$  backward from  $v$  to  $s$  (and reverse).



| $v$ | $\text{marked}[]$ | $\text{edgeTo}[]$ |
|-----|-------------------|-------------------|
| 0   | T                 | -                 |
| 1   | T                 | 0                 |
| 2   | T                 | 3                 |
| 3   | T                 | 4                 |
| 4   | T                 | 5                 |
| 5   | T                 | 0                 |
| 6   | F                 | -                 |

```
public Iterable<Integer> pathTo(int v)
{
    if (!marked[v]) return null;
    Stack<Integer> path = new Stack<>();
    for (int x = v; x != s; x = edgeTo[x])
        path.push(x);
    path.push(s);
    return path;
}
```

## Depth-first search (with path finding): Java implementation

```
public class DepthFirstDirectedPaths
{
    private boolean[] marked;
    private int[] edgeTo;
    private int s;

    public DepthFirstDirectedPaths(Graph G, int s)
    {
        ...
        dfs(G, s);
    }

    private void dfs(Digraph G, int v)
    {
        marked[v] = true;
        for (int w : G.adj(v))
            if (!marked[w])
            {
                edgeTo[w] = v;           ← v→w is edge that led to w
                dfs(G, w);
            }
    }
}
```

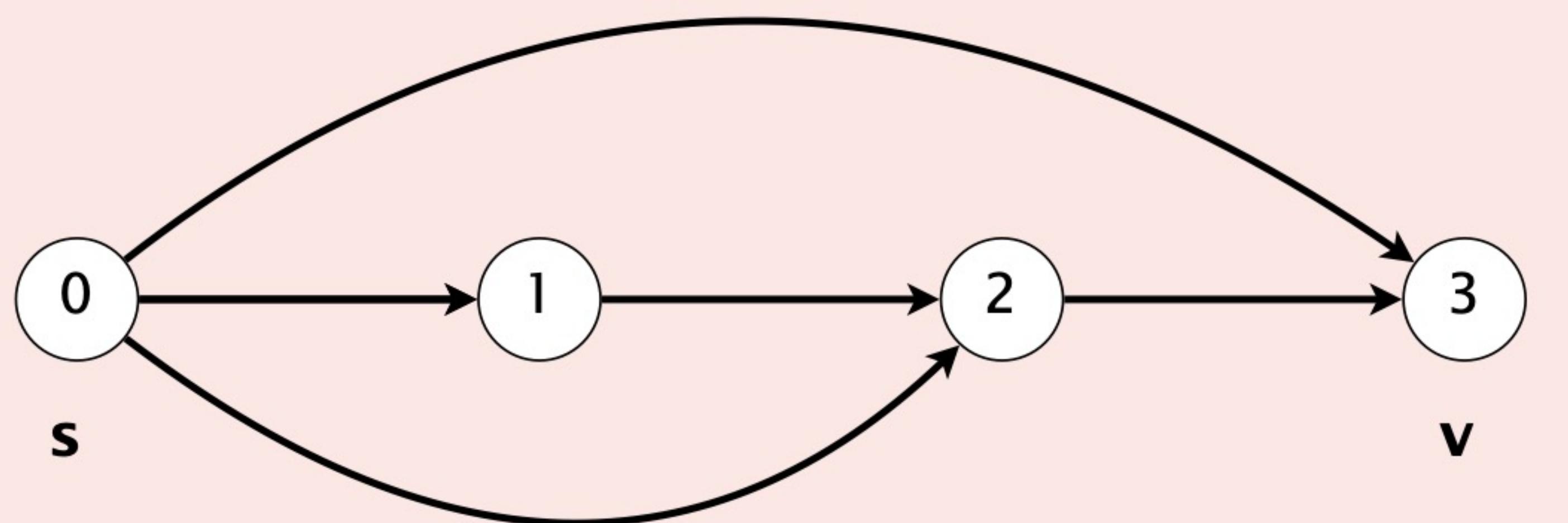
edgeTo[v] = previous vertex on path from s to v

<https://algs4.cs.princeton.edu/42digraph/DepthFirstDirectedPaths.java.html>



Suppose there are many paths from  $s$  to  $v$ . Which one does DepthFirstDirectedPaths find?

- A. A shortest path (fewest edges).
- B. A longest path (most edges).
- C. Depends on digraph representation.





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# FLOOD FILL



**Problem.** Implement flood fill (Photoshop magic wand).



## Depth-first search in undirected graphs

---

**Problem.** Given an undirected graph  $G$  and vertex  $s$ , find all vertices **connected** to  $s$ .

**Solution.** Treat undirected graph as a digraph, replacing each edge with two antiparallel edges.

**DFS (to visit a vertex  $v$ )**

---

**Mark vertex  $v$ .**

**Recursively visit all unmarked  
vertices  $w$  adjacent to  $v$ .**

---

**Typical applications.**

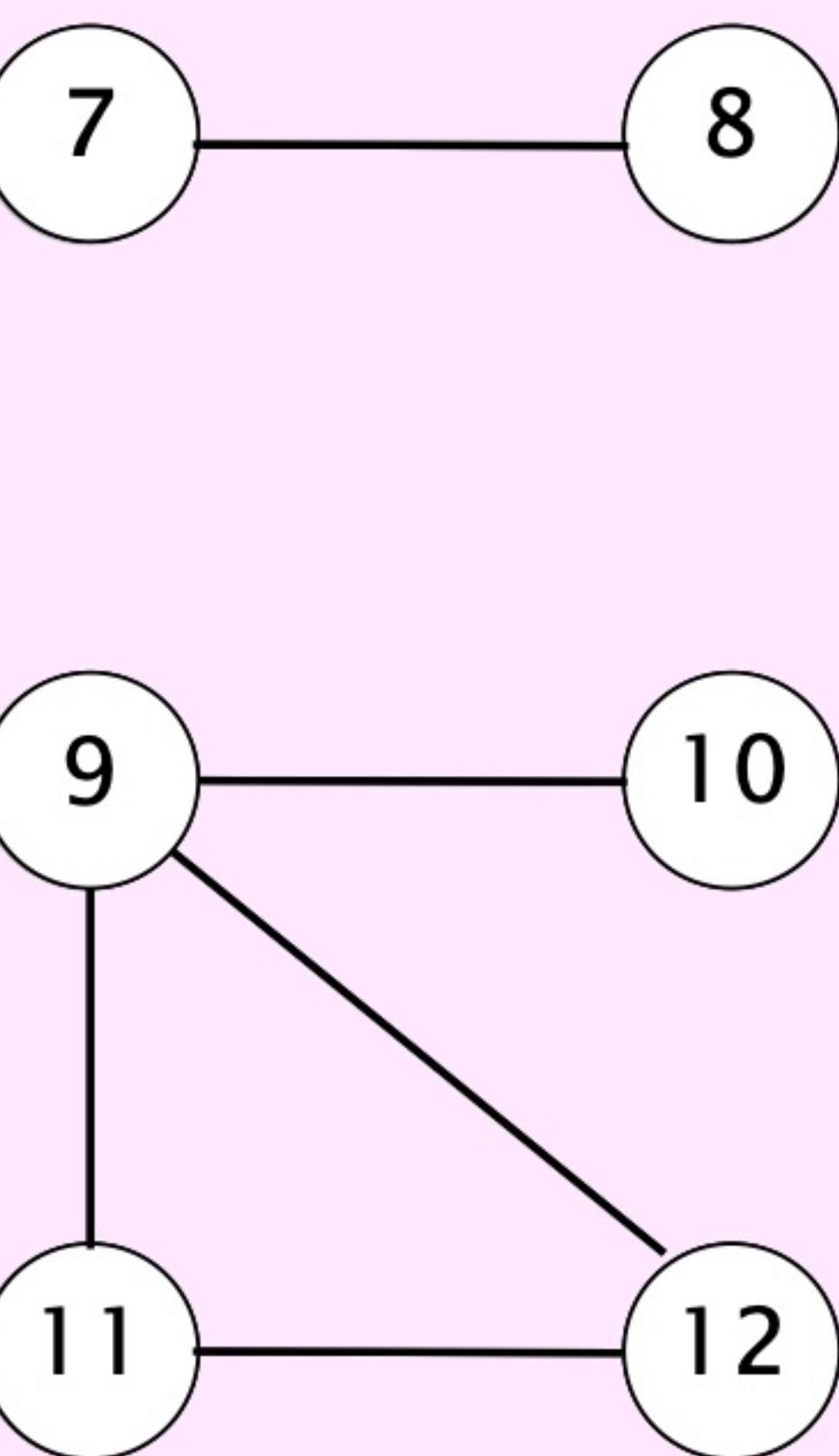
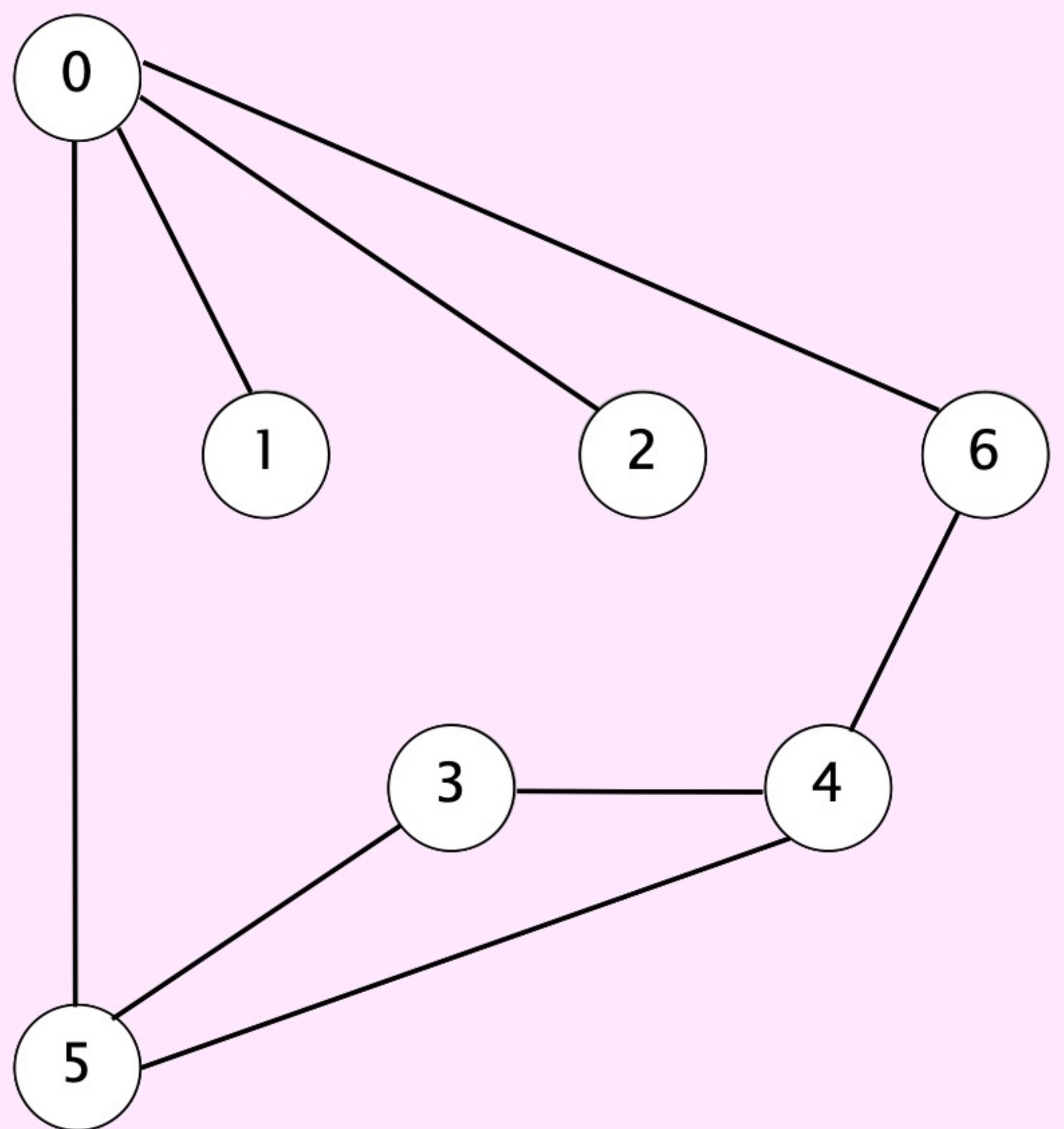
- Find all vertices connected to a given vertex.
- Find a path between two vertices.

# Depth-first search demo



To visit a vertex  $v$ :

- Mark vertex  $v$ .
- Recursively visit all unmarked vertices adjacent to  $v$ .



**tinyG.txt**

$V \rightarrow$  13  
13  $\leftarrow E$

|    |    |
|----|----|
| 0  | 5  |
| 4  | 3  |
| 0  | 1  |
| 9  | 12 |
| 6  | 4  |
| 5  | 4  |
| 0  | 2  |
| 11 | 12 |
| 9  | 10 |
| 0  | 6  |
| 7  | 8  |
| 9  | 11 |
| 5  | 3  |

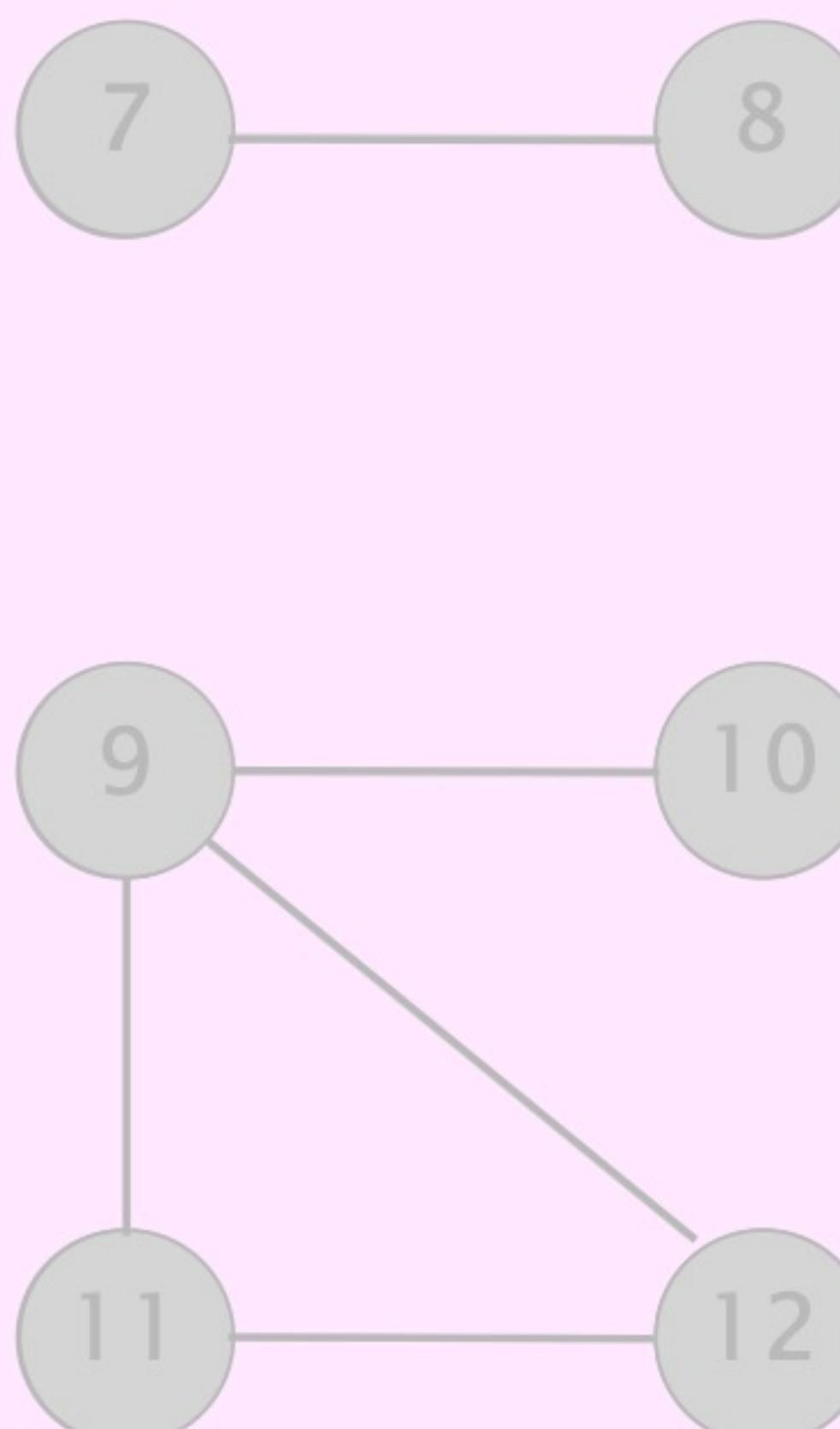
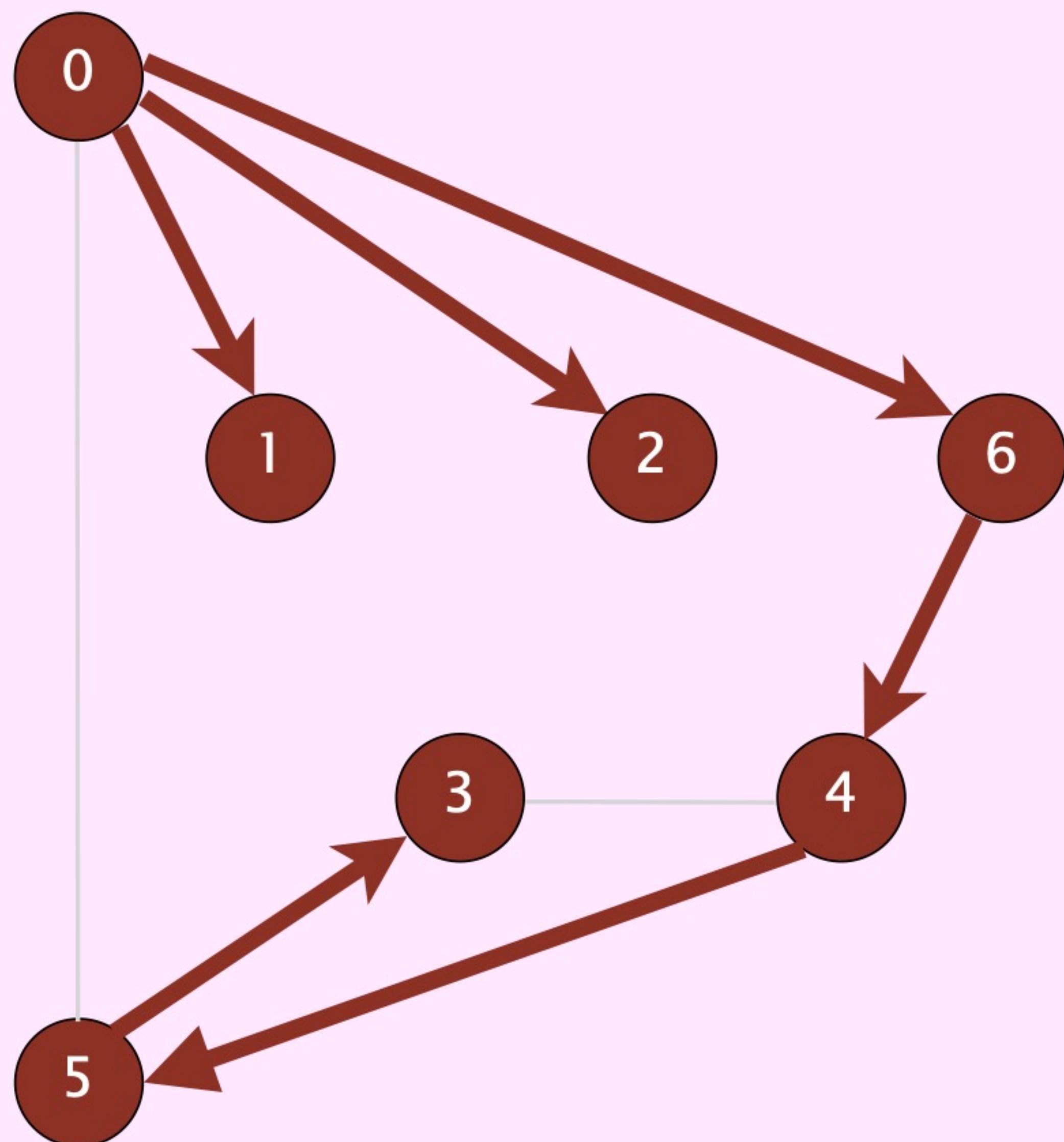
**graph G**



# Depth-first search demo

To visit a vertex  $v$ :

- Mark vertex  $v$ .
- Recursively visit all unmarked vertices adjacent to  $v$ .



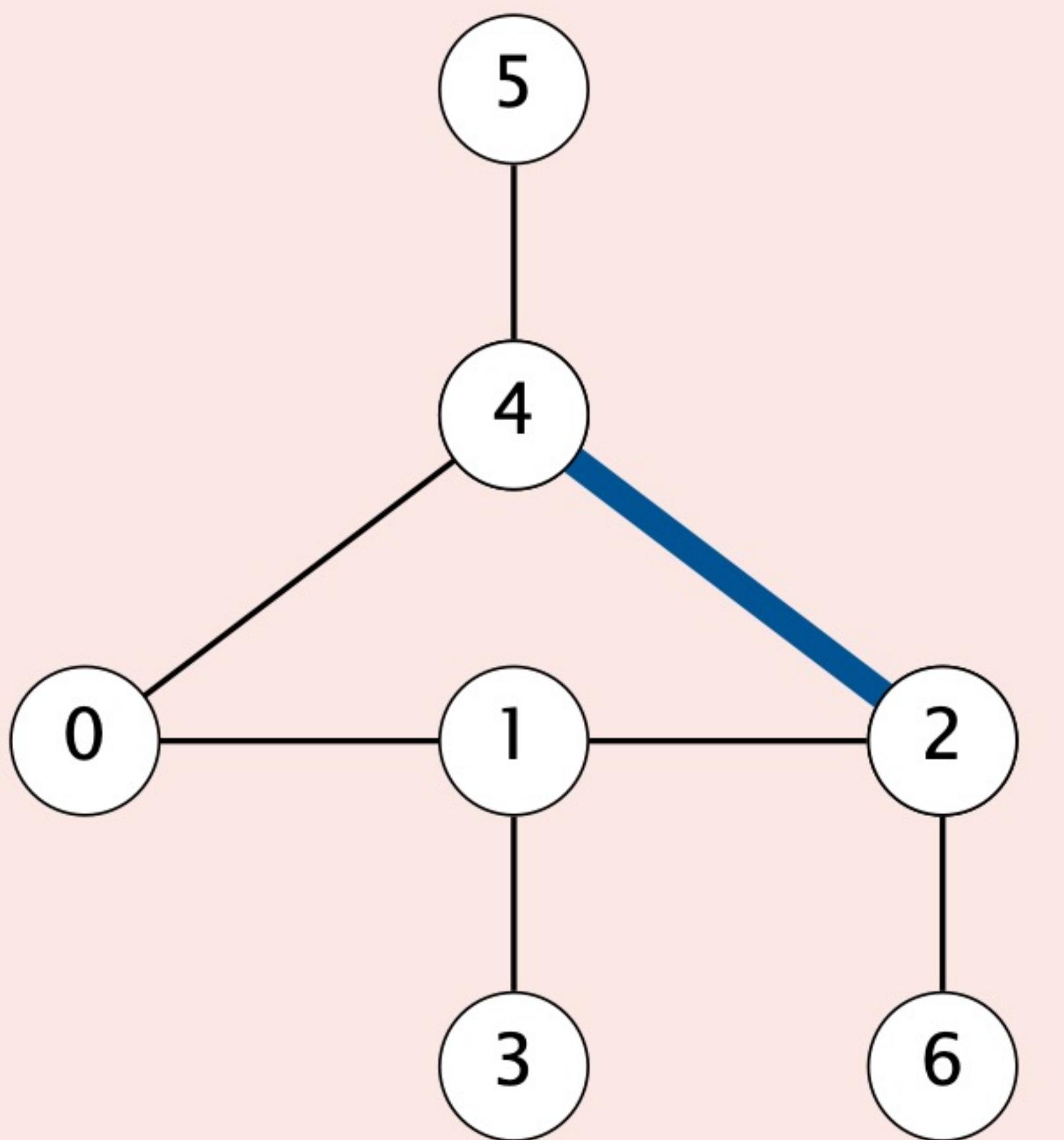
| $v$ | marked[] | edgeTo[] |
|-----|----------|----------|
| 0   | T        | -        |
| 1   | T        | 0        |
| 2   | T        | 0        |
| 3   | T        | 5        |
| 4   | T        | 6        |
| 5   | T        | 4        |
| 6   | T        | 0        |
| 7   | F        | -        |
| 8   | F        | -        |
| 9   | F        | -        |
| 10  | F        | -        |
| 11  | F        | -        |
| 12  | F        | -        |

**vertices connected to 0  
(and associated paths)**



## How to represent an undirected edge $v-w$ using adjacency lists?

- A. Add  $w$  to adjacency list for  $v$ .
- B. Add  $v$  to adjacency list for  $w$ .
- C. Both A and B.
- D. None of the above.



# Digraph representation (review)

```
public class Digraph
{
    private final int V;
    private Bag<Integer>[] adj; ← adjacency lists

    public Digraph(int V)
    {
        this.V = V;
        adj = (Bag<Integer>[]) new Bag[V]; ← create empty digraph with  $V$  vertices
        for (int v = 0; v < V; v++)
            adj[v] = new Bag<Integer>();
    }

    public void addEdge(int v, int w)
    {
        adj[v].add(w); ← add edge  $v \rightarrow w$ 
    }

    public Iterable<Integer> adj(int v) ← iterator for vertices adjacent from  $v$ 
    {
        return adj[v];
    }
}
```

# Graph representation

```
public class Graph
{
    private final int V;
    private Bag<Integer>[] adj; ← adjacency lists

    public Graph(int V)
    {
        this.V = V;
        adj = (Bag<Integer>[]) new Bag[V]; ← create empty graph with V vertices
        for (int v = 0; v < V; v++)
            adj[v] = new Bag<Integer>();
    }

    public void addEdge(int v, int w)
    {
        adj[v].add(w); ← add edge v-w
        adj[w].add(v); ← (parallel edges and self-loops allowed)
    }

    public Iterable<Integer> adj(int v) ← iterator for vertices adjacent to v
    {
        return adj[v];
    }
}
```

# Depth-first search (in digraphs)

Recall code for **digraphs**.

```
public class DirectedFS
{
    private boolean[] marked;

    public DirectedDFS(Digraph G, int s)
    {
        marked = new boolean[G.V()];
        dfs(G, s);
    }

    private void dfs(Digraph G, int v)
    {
        marked[v] = true;
        for (int w : G.adj(v))
            if (!marked[w])
                dfs(G, w);
    }

    public boolean visited(int v)
    {
        return marked[v];
    }
}
```

marked[v] = true if  $v$  reachable from  $s$

constructor marks vertices reachable from  $s$

recursive DFS does the work

is vertex  $v$  is reachable from  $s$  ?

# Depth-first search (in undirected graphs)

Code for **undirected** graphs is essentially identical to code for digraphs.

```
public class DepthFirstSearch
{
    private boolean[] marked;

    public DepthFirstSearch(Graph G, int s)
    {
        marked = new boolean[G.V()];
        dfs(G, s);
    }

    private void dfs(Graph G, int v)
    {
        marked[v] = true;
        for (int w : G.adj(v))
            if (!marked[w])
                dfs(G, w);
    }

    public boolean visited(int v)
    {
        return marked[v];
    }
}
```

marked[v] = true if  $v$  connected to  $s$

constructor marks vertices connected to  $s$

recursive DFS does the work

is vertex  $v$  is connected to  $s$  ?

# Depth-first search summary

DFS enables direct solution of simple graph and digraph problems.

- Reachability (in a digraph). ✓
- Connectivity (in a graph). ✓
- Path finding (in a graph or digraph). ✓
- Topological sort. ← next lecture
- Directed cycle detection. ← precept

DFS provides basis for solving difficult graph problems.

- Euler cycle.
- 2-satisfiability.
- Planarity testing.
- Strong components.

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## DEPTH-FIRST SEARCH AND LINEAR GRAPH ALGORITHMS\*

ROBERT TARJAN†

**Abstract.** The value of depth-first search or “backtracking” as a technique for solving problems is illustrated by two examples. An improved version of an algorithm for finding the strongly connected components of a directed graph and an algorithm for finding the biconnected components of an undirected graph are presented. The space and time requirements of both algorithms are bounded by  $k_1V + k_2E + k_3$  for some constants  $k_1, k_2$ , and  $k_3$ , where  $V$  is the number of vertices and  $E$  is the number of edges of the graph being examined.

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