# Impact of the 2014-16 marine heatwave on North American West Coast fisheries: surprises and lessons from key case studies

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## Abstract

Marine heatwaves are increasingly affecting marine ecosystems, with cascading impacts on coastal economies, communities, and food systems. Studies of heatwaves provide crucial insights into potential ecosystem shifts under future climate change and put fisheries social-ecological systems through “stress tests” that expose both vulnerabilities and resilience. The 2014-16 Northeast Pacific heatwave was the strongest and longest marine heatwave on record and resulted in profound ecological changes that impacted fisheries management and human livelihoods. Here, we synthesize the impacts of the 2014-16 marine heatwave on North American West Coast fisheries and extract key lessons for preparing global fisheries science, management, and industries for the future. We set the stage with a brief review of the impacts of the heatwave on marine ecosystems and the first systematic analysis of the economic impacts of these changes on commercial and recreational fisheries. We then examine ten key case studies that provide instructive examples of the complex and surprising challenges that heatwaves pose to fisheries social-ecological systems. These reveal important insights into improving the resilience of monitoring, management, and adaptive capacity to future stressors. Key recommendations include: (1) expanding monitoring to enhance mechanistic understanding, provide early warning signals, and improve predictions of impacts; (2) increasing the flexibility, adaptiveness, and inclusiveness of management where possible, and using simulation testing to help guide management decisions; and (3) enhancing the adaptive capacity of fishing communities by promoting engagement, flexibility, experimentation, and failsafes. These advancements are important as global fisheries prepare for a changing ocean.

**Keywords:** climate change, ocean warming, marine heatwaves, fisheries, productivity, recruitment, range shift, distribution shift, harmful algal blooms, ecological surprises

## 1. Introduction

Marine heatwaves have increased in frequency, duration, and intensity over the last century [(Oliver et al., 2018)](https://www.zotero.org/google-docs/?mAk8BX) and are expected to become even more common and severe under climate change [(Frölicher et al., 2018; Laufkötter et al., 2020)](https://www.zotero.org/google-docs/?2nn2eK). These discrete and extended periods of warm water anomalies [(Hobday et al., 2016)](https://www.zotero.org/google-docs/?Xpr0qn) can greatly impact marine ecosystems [(Smale et al., 2019)](https://www.zotero.org/google-docs/?VZSy0o) with cascading impacts on coastal economies, communities, and food systems [(Smith et al., 2021)](https://www.zotero.org/google-docs/?qQwWMm). Learning from past heatwaves is essential to building resilience to both future heatwaves and to directional warming for two key reasons. First, conditions during heatwaves are likely a harbinger of the future and provide insights on what to expect and how to prepare. Second, heatwaves put management systems and livelihoods through a “stress test” that exposes both vulnerabilities and opportunities for increasing resilience.

As of 2022, the 2014-2016 heatwave in the Northeast Pacific was the strongest and longest marine heatwave in recorded history [(Laufkötter et al., 2020)](https://www.zotero.org/google-docs/?hiYbVZ). It lasted >700 days, spanned >2.5 million km2 at its largest extent, and sea surface temperatures were, on average, >2.0°C above the climatological mean on average [(Gentemann et al., 2017)](https://www.zotero.org/google-docs/?wsv9xp). The heatwave occurred in one of the best monitored and managed regions of the world [(Gallo et al., 2022; Hilborn et al., 2020; Melnychuk et al., 2021)](https://www.zotero.org/google-docs/?tWOpI2), yet still greatly affected marine ecosystems and economies [(Cavole et al., 2016)](https://www.zotero.org/google-docs/?ENwHZb). For example, the heatwave caused (1) the loss of kelp forests and the abalone and urchin fisheries that depend on kelp for habitat [(Rogers-Bennett & Catton, 2019, p.)](https://www.zotero.org/google-docs/?gCJhEg); (2) an unprecedented harmful algal bloom that resulted in coastwide shellfish fishery closures [(McCabe et al., 2016)](https://www.zotero.org/google-docs/?oWXRLs); (3) a spike in humpback whale (*Megaptera novaeangliae*) entanglements resulting from increased overlap of whale foraging grounds with the Dungeness crab (*Metacarcinus magister*) fishery [(Santora et al., 2020)](https://www.zotero.org/google-docs/?KWDqps); and (4) recruitment failures for several species resulting from unfavorable environmental conditions [(Laurel & Rogers, 2020; McClatchie et al., 2016)](https://www.zotero.org/google-docs/?BJxKpJ). Learning from these impacts can bolster the resilience of monitoring programs, management systems, and fishing communities to the negative impacts of future heatwaves and climate change.

The heatwave also benefited many species [(Cavole et al., 2016)](https://www.zotero.org/google-docs/?lqaSk3), which present their own unique management challenges. For example, an explosion in the abundance of shortbelly rockfish (*Sebastes jordani*), a non-target bycatch species, in the northern California Current required rapid management action to avoid the closure of the Pacific hake (*Merluccius productus*) fishery, which nearly exceeded its bycatch limits within the first two weeks of the season [(NMFS, 2020)](https://www.zotero.org/google-docs/?uHe5DS). Similarly, the northward expansion in the distribution and abundance of market squid (*Doryteuthis opalescens*) [(Chasco et al., 2022)](https://www.zotero.org/google-docs/?iRosT4) required rapid management action to regulate the newly emerging fishery in northern latitudes, especially with regards to gear conflicts, bycatch, and impacts on benthic habitats [(ODFW, 2021)](https://www.zotero.org/google-docs/?BUyaH9). In addition, the movement of large Pacific bluefin tuna (*Thunnus orientalis*) into U.S. waters during the heatwave was a boon for the U.S. recreational fishing sector [(Runcie et al., 2019)](https://www.zotero.org/google-docs/?lgAf5n). However, it also increased fishing mortality on this already overfished stock and highlighted the incomplete understanding of the relationship between local availability and stockwide abundance. Flexible, agile, and informed management is thus crucial to preparing coastal communities for both positive and negative climate change impacts.

In this paper, we synthesize the impacts of the 2014-16 marine heatwave on fishing communities along the Pacific Coast of the United States and Canada and extract key lessons for preparing fisheries science, management, and industries throughout the region for future climate change and heatwaves based on our recent experiences. We set the stage with a brief review of the impacts of the heatwave on the ecosystem and the first systematic analysis of the economic impacts of these changes on commercial and recreational fisheries. This analysis examines the change in commercial fisheries revenues and recreational fisheries landings that occurred during and after the heatwave relative to before the heatwave. We then examine ten key case studies that provide instructive examples of the complex, and often surprising, challenges that heatwaves pose to fisheries social-ecological systems. These reveal important insights into improving the resilience of monitoring, management, and adaptive capacity to future stressors.

## 2. The 2014-16 marine heatwave

The marine heatwave (MHW) began in the fall of 2013 as a large “blob” of anomalously warm water in the Gulf of Alaska (**Figure 1**) [(Bond et al., 2015)](https://www.zotero.org/google-docs/?11M836). This warm-water pool began to spread south in the spring of 2014 and encompassed the entire California Current Ecosystem by late 2014. The pool formed initially as a result of an unusually persistent ridge of high atmospheric pressure that suppressed zonal winds, limiting heat loss from surface waters and reducing the advection of cooler water into the upper ocean [(Bond et al., 2015)](https://www.zotero.org/google-docs/?QIyvcQ). It persisted as a result of a strong El Niño starting in mid-2015 that caused warm conditions to last until the summer of 2016 in the California Current [(Di Lorenzo & Mantua, 2016; Jacox et al., 2016)](https://www.zotero.org/google-docs/?gZZfS8) and through 2017 in the Gulf of Alaska [(Suryan et al., 2021)](https://www.zotero.org/google-docs/?PGfOfu). Throughout this period, anomalously warm conditions only abated seasonally during spring, in nearshore upwelling zones under favorable wind stress [(Gentemann et al., 2017)](https://www.zotero.org/google-docs/?3OOXpg). Furthermore, the high-pressure conditions that initiated the heatwave weakened typical winter storm and wind patterns [(Whitney, 2015)](https://www.zotero.org/google-docs/?KPgIYW). This resulted in persistent stratification of the surface layer, and a reduction in upwelling-favorable alongshore winds. Increased stratification and reduced upwelling limited the vertical mixing of cold, nutrient-rich, deep water into surface waters, leading to reduced nutrient fluxes into the euphotic zone and deepening of the nutricline in 2014-2015 [(Zaba & Rudnick, 2016)](https://www.zotero.org/google-docs/?9l5FKi).

These physical changes had profound impacts on phytoplankton and zooplankton communities throughout the California Current marine ecosystem. In nearshore waters, enhanced stratification reduced nutrient renewal, leading to low phytoplankton abundance [(Delgadillo-Hinojosa et al., 2020; Peña et al., 2019; Whitney, 2015)](https://www.zotero.org/google-docs/?CnD8Fc). However, in offshore waters, increased stratification increased effective light levels in the surface layers and increased production in an area normally co-limited by iron and light [(Peña et al., 2019)](https://www.zotero.org/google-docs/?PNOR5W). These conditions contributed to a harmful algal bloom of unprecedented size, duration, and intensity along the U.S. West Coast, leading to widespread fishery closures and potentially contributing to mass mortalities of seabirds and marine mammals [(McCabe et al., 2016; McKibben et al., 2017)](https://www.zotero.org/google-docs/?gyh8Cv). The bloom, composed of diatoms in the *Pseudo-nitzschia* genus, was induced through a perfect storm of events. First, anomalously warm conditions allowed *Pseudo-nitzschia*, which is tolerant of low nutrient levels, to thrive in warm, nutrient-poor, offshore waters north of its typical range. Then, a series of seasonal storms transported the offshore bloom to the coast, where seasonal upwelling injected nutrients that further intensified the bloom [(McCabe et al., 2016)](https://www.zotero.org/google-docs/?0qyxI5). Unrelated to the bloom, zooplankton assemblages were dominated by warm-water species, and the abundance of zooplankton remained high throughout the heatwave (Lilly and Ohman 2021) , as there was little corresponding loss in the abundance of cool water species [(Batten et al., 2022; Brodeur et al., 2019; McKinstry et al., 2022; Peterson et al., 2017)](https://www.zotero.org/google-docs/?GHBcul). The abundance of cool-water lipid-rich copepods in the California Current has been linked with productivity of higher trophic level species and years dominated by a lipid-poor zooplankton community have typically been less productive (Peterson et al., 2017).

The MHW also induced changes to higher trophic level species. In general, the ranges of southern warm water fish and large invertebrates extended northward, and the ranges of offshore warm water species extended inshore as waters warmed coastwide [(Thompson et al., 2022)](https://www.zotero.org/google-docs/?iotEGF). Interestingly, many cool water species generally appeared to persist within their historical geographic ranges, likely due to the presence of pockets of cool water [(Sanford et al., 2019)](https://www.zotero.org/google-docs/?XxBoDj). The heatwave also induced shifts, both positive and negative, in the productivity of many ecologically and economically important fish species [(Cavole et al., 2016)](https://www.zotero.org/google-docs/?FySduY). For example, while rockfish (*Sebastes* spp.) and Northern anchovy (*Engraulis mordax*) recruitment was high during the heatwave, salmon and Pacific sardine recruitment was low [(Munsch et al., 2022; Schroeder et al., 2019; Thompson et al., 2022)](https://www.zotero.org/google-docs/?nu4iOz); hypothesized mechanisms are discussed in greater detail in the case studies below. Furthermore, the heatwave reduced the nutrient content of key forage fish species as result of shifts in the availability of their prey [(Mantua et al., 2021; von Biela et al., 2019)](https://www.zotero.org/google-docs/?DRnyOX). Finally, on one hand, changes in the abundance, composition, and nutrient content of forage fish triggered a number of mass mortality events for some marine mammals [(NMFS, 2022)](https://www.zotero.org/google-docs/?4ximDV) and seabirds [(Drever et al., 2018; Jones et al., 2018, 2019; Piatt et al., 2020, p. 2)](https://www.zotero.org/google-docs/?ixJapz). On the other hand, high recruitment of anchovy and other fishes during the marine heatwave fueled production of marine mammals and birds that have persisted to at least 2021 (Thompson et al. 2022b).

## 3. Socioeconomic impacts of the heatwave on fisheries

The socioeconomic impacts of the heatwave on commercial, recreational, and Indigenous fisheries are documented for a few high profile fisheries suffering large negative impacts but have not been systematically quantified for the majority of the coast’s fisheries. In the United States, federal fisheries disasters were declared as a result of the heatwave for commercial and Indigenous fisheries targeting Dungeness crab and rock crab (*Cancer* spp.), Pacific sardine (*Sardinops sagax*), red sea urchin (*Mesocentrotus franciscanus*), and many salmon stocks (**Figure 2**), resulting in over US$141 million in relief to impacted fishers, processors, and dealers [(L. Bellquist et al., 2021)](https://www.zotero.org/google-docs/?PWtXwm). Among these disaster declarations, the largest appropriation (US$56.3 million) was to the Gulf of Alaska pink salmon (*Oncorhynchus gorbuscha*) industry following low salmon returns that were attributed to poor oceanographic conditions [(Pritzker, 2017a)](https://www.zotero.org/google-docs/?Xmgc1f). The second largest appropriation (US$25.8 million) was to the California Dungeness crab industry following extended fishery closures due to harmful algal blooms [(Pritzker, 2017b)](https://www.zotero.org/google-docs/?BODO1z). Amongst recreational fisheries, negative economic impacts are best documented for razor clams (*Siliqua patula*) [(Ekstrom et al., 2020; Moore et al., 2019; Ritzman et al., 2018)](https://www.zotero.org/google-docs/?GZJaCj), which support large tourist economies in Washington and Oregon [(Dyson & Huppert, 2010)](https://www.zotero.org/google-docs/?FyEebi). The 2015 harmful algal bloom event caused widespread closures in both states causing an estimated loss of US$22 million in tourism revenues [(Mapes, 2015)](https://www.zotero.org/google-docs/?rVC23k). In addition to causing increased financial hardship, these events contributed to increased emotional stress and reduced sociocultural well-being [(Moore et al., 2020, p. 96)](https://www.zotero.org/google-docs/?t0qHxO).

To provide the first systematic overview of the potential economic impacts of the heatwave on the commercial fisheries of the North American West Coast, we compared revenues during (2014-2016) and after the heatwave (2017-2019) with revenues before the heatwave (2011-2013) using commercial landings data compiled from various landings databases (see supplemental information). To account for inflation, we adjusted all revenues to 2020 US dollars. This analysis is limited in that it cannot attribute causality, it does not account for lags in heatwave impacts, and it assumes that profits are proportional to revenues, but it still provides useful insights into the identity and rank order of potential heatwave “winners” and “losers”. We found that fleetwide revenues fell during the heatwave in California and Alaska, were stable in Oregon and Washington, and increased in British Columbia. The largest decreases occurred in California (**Figure 3A**), largely due to exceptionally high revenue losses in California’s Dungeness crab, Pacific sardine, and market squid fisheries (**Figure 3B)**. Whereas a small dip in revenues rebounded to pre-heatwave levels in Oregon and Washington, revenues remained low in both Alaska and California throughout the three years following the heatwave (**Figure 3A**). British Columbia experienced higher revenues after the heatwave than in either the periods before or during the heatwave. All four U.S. states saw revenue losses in coastal pelagic fisheries and significant revenue increases in shrimp fisheries during the heatwave. Only California saw increases in revenues in fisheries for highly migratory species during the heatwave, and only Oregon saw increases in revenues from bivalve fisheries (**Figure 3B).** Among management groups with reduced revenues during the heatwave, recovery to pre-heatwave revenues only occurred in Oregon and Washington’s Dungeness crab fisheries and British Columbia’s salmon fisheries. Species-specific results show an array of winners and losers, illustrating the complex heterogeneity of heatwave impacts (**Figure 4**).

We performed a similar analysis on recreational fisheries landings using estimates of the number of fish retained across all fishing modes (e.g., party boats, private boats, jetties, piers, beaches, etc.) compiled from various landings databases (see supplemental information). Recreational fisheries are significantly larger in California than in British Columbia or the other U.S. states (**Figure 5**). Overall, recreational landings in California declined during and after the heatwave, though this may be part of a longer-term trend (**Figure 5A**). Declines during the heatwave were driven by large declines in coastal pelagics, flatfish, and other miscellaneous species and were only slightly offset by large increases in tuna, roundfish, surfperch, and rockfish (**Figure 5B**). Overall, recreational landings in Oregon, Washington, and Alaska have been relatively constant through time and even increased during the heatwave (**Figure 5A**). In these states, increased landings were apparent in every species group except sharks and rays and the “other fish” category (**Figure 5B**). As with commercial fisheries revenues, species-specific results show a diversity of complex impacts (**Figure 6**).

Indigenous fisheries in the Pacific Northwest were disproportionately impacted, although limited availability of landings/revenue data in public databases precluded tribe-specific impact analyses. Perhaps the best data source available for capturing socioeconomic impacts to U.S. Native American fisheries can be found in the federal fishery disaster declarations. Tribal fishery disaster declarations increased significantly beginning in 2017 as understanding of the impacts of the west coast marine heatwave grew [(L. Bellquist et al., 2021)](https://www.zotero.org/google-docs/?5OkjIS). These federally-declared disasters in indigenous communities occurred primarily among salmon fisheries. Fifteen individual tribes were named specifically for the community impacts felt, as well as four tribal associations that represent approximately two hundred tribes across the Pacific Northwest and Alaska [(L. Bellquist et al., 2021)](https://www.zotero.org/google-docs/?FvJiMY). The increasing trend in tribal fishery disasters, the particular impacts to salmon fisheries, and the numerous tribes named in federal declarations highlight clear gaps in our knowledge of community equity in the context of climate impacts to fisheries. Disaster declarations, while informative for understanding fishery disaster events in a general sense, do not fully capture the complex and profound nature of impacts to Indigenous communities.

## 4. Case studies

In this section, we present 10 key case studies that provide instructive examples of the complex, and sometimes surprising, challenges that heatwaves pose to fisheries social-ecological systems and reveal important insights into improving the resilience of monitoring, management, and adaptive capacity to future stressors (**Figure 7**). These case studies represent a diversity of management regimes (international, federal, and state), sectors (commercial, recreational, and Indigenous), and taxonomic groups (finfish, crabs, shrimp, squid, abalone, urchins). The case studies were selected to describe a variety of heatwave impacts. The five case studies focused on negative heatwave impacts include five fisheries that received U.S. federal disaster relief as a result of the heatwave: Pacific cod (*Gadus macrocephalus*), Chinook salmon (*Oncorhynchus tshawytscha*), Dungeness crab, urchin/abalone, and Pacific sardine. The five case studies focused on positive heatwave impacts were selected based on common examples from the literature (California market squid, Pacific bluefin tuna, two rockfish species; see Cavole et al. 2016) and prominent examples from this study’s data analysis (shrimp). In each case study, we provide a brief overview of the fishery, the impact of the heatwave on the fishery, the response of the industry and management to these impacts, and the revealed opportunities for improving resilience to future heatwaves and climate change. Expanded versions of these case studies are published in the supplemental information.

### 4.1. Pacific cod

Pacific cod has long supported a productive commercial fishery in the Gulf of Alaska. However, in 2017, a sudden and severe decline in biomass was detected that could not be explained by harvest alone [(S. Barbeaux et al., 2021)](https://www.zotero.org/google-docs/?jn0X6W). Rather, the stock experienced the double impact of increased adult mortality and sustained low recruitment, both linked to the 2014-16 marine heatwave. High mortality of adult cod was associated with poor body condition [(S. J. Barbeaux et al., 2020)](https://www.zotero.org/google-docs/?dBY8I4), consistent with other findings of a reduction in prey and increased metabolic demands by predators during the heatwave [(Piatt et al., 2020; Rogers et al., 2021; von Biela et al., 2019)](https://www.zotero.org/google-docs/?rtfjl9). At the same time, warm thermal conditions at depth likely reduced egg survival [(Laurel & Rogers, 2020)](https://www.zotero.org/google-docs/?sbAMJv), contributing to record low recruitment. Heatwave conditions returned in 2019, further depressing recruitment and delaying recovery of the stock. The sharp stock decline in 2017 resulted in severe reductions to catch limits for 2018 and 2019. However, the stock continued to decline, and the North Pacific Fisheries Management Council closed the directed federal Pacific cod fishery for 2020 [(S. Barbeaux et al., 2021)](https://www.zotero.org/google-docs/?k79L1e) (**Figure 8E**). Impacts to fishing communities were significant, and ultimately, a U.S. federal fisheries disaster was declared. By 2022, the stock was increasing, but catch limits were still a small fraction of pre-heatwave levels.

The management response to the dramatic stock declines reflects the system of ecosystem-based fisheries management in Alaska and highlights lessons for fisheries management under rapidly changing climate conditions. First, precautionary buffers, which reduce catch limits from the maximum allowable, have a precedent for use when ecosystem conditions raise red flags for a stock that are not captured in the stock assessment process [(Dorn & Zador, 2020)](https://www.zotero.org/google-docs/?y96aju). Such reductions were made in 2018, 2019, and 2020. The continued incorporation of ecosystem information into the management process can allow managers to respond precautionarily, but requires effective monitoring and research to be most effective [(Peterson Williams et al., 2022)](https://www.zotero.org/google-docs/?8PleM2). Second, a forward-looking perspective is needed: for instance, recruitment projections based on historical observations become less informative as we encounter unprecedented ocean conditions [(Litzow et al., 2021)](https://www.zotero.org/google-docs/?SWEOP6). Early warning indicators can enable proactive management in the case of rapid ecosystem or stock shifts [(Litzow et al., 2022)](https://www.zotero.org/google-docs/?1LxKr2). Finally, climate-linked stock assessment approaches (e.g., [(S. Barbeaux et al., 2021)](https://www.zotero.org/google-docs/?yJIOvo)) will be important for proactively responding to future heatwaves and other extreme events.

### 4.2. Chinook salmon

Chinook salmon range from central California to Alaska and support Indigenous, commercial, and recreational fisheries of considerable economic [(Richerson et al., 2018)](https://www.zotero.org/google-docs/?oVLqym), subsistence [(Poe et al., 2015)](https://www.zotero.org/google-docs/?OVHZ9T), and cultural [(Campbell & Butler, 2010)](https://www.zotero.org/google-docs/?wPhFGU) value. Ocean Chinook salmon fisheries south of Cape Falcon, Oregon are primarily regulated using harvest control rules that limit harvests in ways expected to achieve escapement goals based on forecasts of preseason abundance for both the Sacramento and Klamath River Fall Chinook stocks. In general terms, both forecast models are based on the previous year’s returns [(Peterman, 1982; Winship et al., 2015)](https://www.zotero.org/google-docs/?CuTBZN); they do not explicitly include environmental covariates despite their known importance [(Friedman et al., 2019; Wells et al., 2016)](https://www.zotero.org/google-docs/?K0vD3r). The marine heatwave impacted juveniles entering the ocean in 2014-16 from both these stocks, with cohorts predominantly returning as adults in 2016-18 in the Sacramento and 2017-2019 in the Klamath River. Both stocks’ models forecasted low preseason abundance, but both also nonetheless overestimated actual return size (**Figure 8D**). In the Klamath River, the 2016 run size was the lowest since 1983 and the 2017 run size was the second-lowest since 1992. In the Sacramento River, 2016 escapement was below average and 2017 escapement was the second-lowest since 1983. As a result of low escapements, both stocks were declared overfished in 2018. Following both restricted fishing opportunity (based on low preseason abundance forecasts) and low abundance of harvestable fish, harvests and incomes were greatly reduced and several federal fishery disasters were declared, impacting all fishery sectors, including both commercial harvesters and the Klamath Basin tribes. These disasters were attributed to the marine heatwave and simultaneous extreme drought conditions that resulted in warmer river temperatures and anomalously low water levels, particularly on the Klamath River [(PFMC, 2019a, 2019b)](https://www.zotero.org/google-docs/?6r3U7m). While catch limits were adjusted downwards in response to low preseason abundance forecasts, they were not reduced as much as they would have been if the impacts of the heatwave (and other factors) had been perfectly forecast. Thus, optimistic model forecasts and/or insufficiently precautionary control rules may have contributed to overharvest and the eventual overfished designation. This suggests that improved forecasts and control rules could ameliorate overharvest risk; however, even with perfect foresight, poor environmental conditions still lead to loss in commercial revenues, recreational fishing opportunities, and cultural and subsistence benefits in Indigenous fisheries [(O’Rourke, 2018; PFMC, 2018, 2019b)](https://www.zotero.org/google-docs/?sZsVio). This highlights the importance of restoring freshwater habitats to buffer against poor ocean conditions and increasing community resilience through additional policy actions that, for example, promote the ability to switch to alternative fisheries or reform disaster relief to be more accurate, timely, and equitable.

### 4.3. Kelp, urchin, abalone

In 2015, a perfect storm of stressors tipped some bull kelp (*Nereocystis luetkeana*) forests into unproductive urchin barrens in northern California, ultimately causing the collapse of the recreational abalone and commercial urchin fisheries, as both species are kelp herbivores [(Rogers-Bennett & Catton, 2019)](https://www.zotero.org/google-docs/?CDfWIm). First, Sea Star Wasting syndrome caused a massive die-off of sunflower sea stars (*Pycnopodia helianthoides*), an important predator of urchins in kelp forest ecosystems, beginning in the summer of 2013, and the mortality event was likely exacerbated by high water temperatures during the heatwave [(Harvell et al., 2019)](https://www.zotero.org/google-docs/?tzDPHZ). Then, in 2014, warm waters and nutrient limitation throughout the summer growing season likely suppressed kelp growth and spore production, reducing productivity [(Rogers-Bennett & Catton, 2019)](https://www.zotero.org/google-docs/?31uXCg). As a result of reduced productivity and increased urchin grazing pressure following a sea star predation release, bull kelp forests were reduced by >90% along the northern California coast [(McPherson et al., 2021; Rogers-Bennett & Catton, 2019)](https://www.zotero.org/google-docs/?tiRwNK). In 2015, the loss of kelp forage resulted in the collapse of the commercial red sea urchin fishery. While the abundance of red sea urchins, which are marketed for their roe, remained high, starvation due to lack of kelp led to poor gonad production and unmarketable urchins. This collapse was declared a federal fisheries disaster and $3.3 million in disaster relief was distributed to impacted fishers, processors, and dealers [(L. Bellquist et al., 2021)](https://www.zotero.org/google-docs/?Fd3KTb). In 2017, the mass mortality of red abalone (*Haliotis rufescens*) due to starvation (kelp is the primary food resource for abalone) led to the closure of the recreational abalone fishery in California and Oregon (**Figure 8C**), which previously supported an estimated 35,000 participants and the infusion of $24-44 million annually into local economies [(Reid et al., 2016)](https://www.zotero.org/google-docs/?txYP6b). The fishery remains closed at the time of writing (Oct 2022). Active recovery facilitated by reductions in urchin grazing pressure and enhancements to kelp growth could increase the resilience of kelp forests and the fisheries they support to climate change[(Hamilton et al., 2022; Hohman, 2019)](https://www.zotero.org/google-docs/?DCgge1). The first will require encouraging new fisheries for purple sea urchin (*Strongylocentrotus purpuratus*), which are less attractive than red urchins because they are smaller, produce less roe, and require more effort to harvest and process [(Parker & Ebert, 2003)](https://www.zotero.org/google-docs/?fApxkz). The latter will require restoration through seeding. Restoration is expensive and may require developing innovative strategies for financing the restoration of these ecologically and economically vital ecosystems [(Eger et al., 2020)](https://www.zotero.org/google-docs/?SVh0eX).

### 4.4. Dungeness crab

The Dungeness crab fishery is the U.S. West Coast’s most lucrative commercial fishery and is the central source of income for a large proportion of fishers coastwide [(Fuller et al., 2017)](https://www.zotero.org/google-docs/?kMBNLy). Historically, this fishery has been managed profitably and sustainably by limiting harvest to large male crabs during a November-August season (Richerson et al., 2020). However, the heatwave significantly disrupted this fishery through two indirect pathways. First, the 2015-16 harmful algal bloom triggered widespread fishery closures due to unsafe levels of biotoxins in crabs (**Figure 8A**). Closures were especially harmful in California, extending from the traditional November start of the season into mid-April [(McCabe et al., 2016)](https://www.zotero.org/google-docs/?gpl9H3). As a result, the 2015-16 season was declared a federal fisheries disaster and $25.8 million in disaster relief was allocated to impacted fishers, processors, and dealers, though not until over three years later [(C. Bonham, personal communication, July 19, 2018)](https://www.zotero.org/google-docs/?oC2qX6). When indirect losses from other fisheries were included, the delay was associated with over $43 million in lost income [(Holland & Leonard, 2020)](https://www.zotero.org/google-docs/?yGtIYN). Second, the delayed opening of the fishery and the heatwave-induced nearshore compression of coastal upwelling increased the overlap between fishing and the foraging grounds of returning humpback whales, causing a dramatic spike in entanglements of whales in crab pot lines, especially in California [(Santora et al., 2020)](https://www.zotero.org/google-docs/?bnypD5). This precipitated a lawsuit by the Center for Biological Diversity alleging that California’s management of the Dungeness crab fishery threatened endangered species and was non-compliant with the Endangered Species Act (CA-DOJ 2017). These events prompted an overhaul of California’s entanglement risk management program (CDFW 2020), which has implemented early closures in the last four fishing seasons (2018-19 to 2021-22) to reduce entanglement risk, but at significant cost to fishers [(Seary et al., 2022)](https://www.zotero.org/google-docs/?ZJdtbx). Increasing the resilience of the Dungeness crab fishery could be advanced by: (1) expanding the spatial-temporal scale of biotoxin monitoring to enable surgical closures that protect public health with the least impacts on fishers [(Free, Moore, et al., 2022)](https://www.zotero.org/google-docs/?7zhUaa); (2) developing entanglement preventions strategies that are proven to be effective, robust or adaptable to changing conditions, and co-developed with stakeholders [(Samhouri et al., 2021)](https://www.zotero.org/google-docs/?9qWbKl); (3) reforming the federal fisheries disaster program to enable fast, accurate, and equitable relief [(L. Bellquist et al., 2021)](https://www.zotero.org/google-docs/?w1rKXn); and (4) easing access to alternative fisheries as a means of diversifying fishing opportunities [(Oken et al., 2021)](https://www.zotero.org/google-docs/?3PIuny) and potentially escaping the “gilded trap” presented by the lucrative, yet volatile, Dungeness crab fishery [(Fisher et al., 2021)](https://www.zotero.org/google-docs/?YnzvJz).

### 4.5. Sardine and anchovy

Pacific sardine and northern anchovy have historically been two of the most abundant and ecologically important forage fish species in the California Current. Populations of both species are characterized by highly variable “boom-and-bust” cycles, even in the absence of fishing [(McClatchie et al., 2018)](https://www.zotero.org/google-docs/?hFIcc1). For many decades, this variability was believed to relate to basin-scale oceanographic regimes (e.g., as characterized by the Pacific Decadal Oscillation), with warm conditions favoring sardine and cool conditions favoring anchovy [(Chavez et al., 2003)](https://www.zotero.org/google-docs/?BUWWO2). Several hypotheses were proposed to explain this apparent relationship. While some studies suggest that anchovy larvae are more tolerant of cold water than sardine larvae [(Lasker, 1964; Lluch-Belda et al., 1991)](https://www.zotero.org/google-docs/?wnxvqc), others suggest that stronger upwelling during warm periods may favor the small planktonic prey preferred by adult sardine [(Rykaczewski & Checkley, 2008)](https://www.zotero.org/google-docs/?2N03ao). However, the paradigm of warm conditions favoring sardine has been upended over the past two decades. Although it was predominantly cool from 1999-2013, anchovies were abundant during warm conditions from 2004-06 and remained scarce during the other cool years [(Sydeman et al., 2020)](https://www.zotero.org/google-docs/?s5GvHT). Moreover, the heatwave was expected to help recover the declining sardine population and curb growth in the increasing anchovy population; instead, sardine abundance continued to decline throughout the heatwave [(Nielsen et al., 2021)](https://www.zotero.org/google-docs/?c10Mtc), contributing to the closure of the directed fishery in 2015 (**Figure 8B**), and anchovy abundance rose to near record highs [(Thompson et al., 2022)](https://www.zotero.org/google-docs/?J1dLmF). Although the environmental mechanisms driving fluctuations in sardine and anchovy abundance remain poorly resolved, [(Swalethorp et al., 2022)](https://www.zotero.org/google-docs/?suiiQ8) found that changes in larval anchovy diet explained a significant proportion of spawning stock biomass two years later. Shifting anchovy and sardine dynamics illustrate the risks of relying on historical statistical correlations to guide management decisions, as climate change increasingly results in no-analog conditions in ecosystems such as the California Current. Although anchovy do not support substantial fisheries, their high biomass inshore likely contributed to increased entanglements of humpback whales with crab fishing gear [(Santora et al., 2020)](https://www.zotero.org/google-docs/?zSr2hI), but also appears to have led to a trend of more and healthier sea lion pups since 2016 in the California Channel Islands [(Weber et al., 2021)](https://www.zotero.org/google-docs/?jrCOOw) and successful nesting of resident seabirds on Southeast Farallon Island (Fennie et al. under review). While the MHW did not trigger the initial decline in sardine biomass, the lack of recovery of this species continued to cause loss of revenue for direct commercial fisheries, and for the live-bait fishery supporting recreational fishers [(PFMC, 2020, p.)](https://www.zotero.org/google-docs/?ZQYenE). Successfully managing these species under future climate conditions will require a better understanding of the links between complex environmental changes (beyond temperature alone), foraging ecology, and productivity of the stock, and/or using management strategies that are robust to these dynamics [(Siple et al., 2019)](https://www.zotero.org/google-docs/?jeHHOw).

### 4.6. Shortbelly rockfish

Shortbelly rockfish are an important prey species for seabirds and marine mammals in the California Current, and a non-target bycatch species in the commercial rockfish and Pacific hake trawl fisheries. In 2018, the explosion of shortbelly rockfish abundance following high recruitment during the marine heatwave nearly caused the closure of the hake fishery. In 2001, the Pacific Fisheries Management Council (PFMC) established a catch limit of 13,900 metric tons for shortbelly rockfish based on the belief that a commercial fishery would develop [(Field et al., 2007)](https://www.zotero.org/google-docs/?bPGfok). Although a directed fishery did not emerge, catch limits remain in place. Historically, shortbelly bycatch in the hake fishery has not approached the catch limit, but this changed radically as a result of the heatwave. Within the first two weeks of the 2018 fishing season, the commercial hake fishery off Oregon encountered several shortbelly bycatch hotspots and came very close to exceeding the annual catch limit (**Figure 9A**). Without management intervention, the high catch of shortbelly rockfish threatened to shut down the hake fishery at the very beginning of its season. To make a rapid but informed decision, the PFMC examined recruitment estimates from NOAA’s Rockfish Recruitment and Ecosystem Assessment Survey [(Sakuma et al., 2015)](https://www.zotero.org/google-docs/?kLFqAj). They found that recruitment increased for most rockfish species during the heatwave and that shortbelly recruitment jumped an order of magnitude above even those winners. This was likely due to the predominance of subarctic source water in upper depths (100-400 m) over the outer shelf-slope where many rockfish spawn; that water is generally cooler, fresher, and more oxygenated than other source waters and is correlated with high rockfish recruitment [(Schroeder et al., 2019)](https://www.zotero.org/google-docs/?GD9v8w). As the fastest-lived rockfish (i.e., fast growth, early age at maturity, high mortality; [(Love et al., 2002)](https://www.zotero.org/google-docs/?aLfPhN)), shortbelly rockfish were probably particularly poised to benefit from these favorable conditions [(Field et al., 2007; Pearson et al., 1991)](https://www.zotero.org/google-docs/?Q9iCtL). As a result of these massive recruitment events, shortbelly abundance was likely higher than it had been in decades. After considering this best available science and statements from advisory bodies and the public, the PFMC decided to raise the catch limit for the 2018 season, saving the hake fishery from early closure. This case study highlights the importance of nimble and flexible management in increasing the resilience of fisheries to ecological surprises.

### 4.7. Market squid

The heatwave triggered significant range expansions and geographical shifts in the productivity of California market squid, which have persisted beyond the heatwave years and resulted in emerging fisheries in sudden need of management. Historically, the range of market squid has been concentrated in California, where it supports one the state’s largest and most valuable fisheries [(Free, Vargas Poulsen, et al., 2022)](https://www.zotero.org/google-docs/?oywNYJ). In the past, strong El Niño conditions have supported temporary (i.e., weeks long) extensions of market squid range as far north as the Gulf of Alaska. However, the 2014-16 marine heatwave resulted in an especially pronounced northward shift that has persisted for a longer duration than ever recorded [(Burford et al., 2022; Chasco et al., 2022; M. Navarro, 2020)](https://www.zotero.org/google-docs/?nDm02j). From 2016-2020, California’s landings fell by more than 50% relative to the previous 5 years, while Oregon’s landings increased by orders of magnitude (**Figure 9B**). During the same time period, squid observations increased throughout the Gulf of Alaska, with squid spawning as far as Kodiak Island [(M. O. Navarro et al., 2018)](https://www.zotero.org/google-docs/?d83Yxx) and adults seen as far as the Shumagin (East Aleutian) Islands [(Eiler, 2021)](https://www.zotero.org/google-docs/?MUvced). The development of a significant squid fishery in Oregon has led to a number of new proposed fishery regulations, particularly in response to concerns over conflicts with other fishing gears (e.g., Dungeness crab pots), bycatch (e.g., Dungeness crab and salmon), and impacts on benthic habitats [(ODFW, 2021)](https://www.zotero.org/google-docs/?mHrYjA). Similarly, a proposal for a new market squid fishery in Alaska was submitted in 2017 [(Peeler, 2018)](https://www.zotero.org/google-docs/?XjPVyG), but was not passed due to concerns over bycatch of Chinook salmon, which are declining in abundance. Similar proposals are likely to resurface as warming waters decrease the productivity of traditional target species (e.g., salmon, crabs, shellfish) and increase the availability of market squid as a profitable alternative. These issues are further complicated by the critical role of market squid as prey for both protected and commercially targeted marine predators [(Hunsicker et al., 2010)](https://www.zotero.org/google-docs/?78UKQb). Competition between fishers and marine predators is likely to increase if both fishers [(Aguilera et al., 2015)](https://www.zotero.org/google-docs/?yHSegM) and predators [(Lowry & Carretta, 1999)](https://www.zotero.org/google-docs/?7ZkhFB) switch to targeting market squid when they are abundant. This case study illustrates how managers will need to prepare for rapidly emerging fisheries that introduce novel conflicts between fisheries and between economic and conservation goals. While improved monitoring and forecasting may help, decisions will still need to be made on short notice and with limited data, especially for species with fast life histories like squid.

### 4.8. Shrimp

In our systematic analysis of fisheries revenues, West Coast commercial shrimp fisheries showed one of the strongest and most consistent increases in revenues through the marine heatwave (**Figure 3**) yet have received surprisingly little attention in the scientific literature. Revenues of Pacific pink shrimp (*Pandalus jordani*), the 5th most important U.S. West Coast fishery species in terms of revenues over the last decade and by far the most significant shrimp species [(PSMFC, 2021)](https://www.zotero.org/google-docs/?3MGejw), experienced an enormous spike in revenues in both Oregon and Washington (**Figure 9C**). Similarly, ridgeback prawn (*Sicyonia ingentis*) experienced a profound spike in revenues in California, the only state in which it is fished (**Figure 9C**). Spot prawn (*Pandalus platyceros*) revenues increased throughout the heatwave, continuing growth observed since 2003 (**Figure 9C**). Not only have these results received little attention, but they are unexpected. Pacific shrimp are generally thought to experience low recruitment in warm years and to have low landings following El Niño events [(Groth et al., 2017; Groth & Hannah, 2018)](https://www.zotero.org/google-docs/?zrd8DD). Furthermore, jellies, which clog bycatch reduction devices required in shrimp trawl nets, were highly abundant during the heatwave, requiring shrimpers to develop innovative methods for maintaining adequate flow through nets [(Groth et al., 2017)](https://www.zotero.org/google-docs/?7QYPC8). In its 2017 review of the fishery, the Oregon Department of Fish and Wildlife (ODFW) identified revisiting the relationship between shrimp recruitment and environmental conditions as a top research priority [(Groth et al., 2017)](https://www.zotero.org/google-docs/?YCbDHx). However, ODFW highlighted that continued monitoring and improved stock assessment are, perhaps, more important to near-term fisheries outcomes. This case study highlights two important resilience insights: (1) innovation by fishermen can overcome some negative climate change impacts; and (2) addressing climate change impacts may not be the highest priority if larger and more pressing bottlenecks exist in the system.

### 4.9. Bluefin tuna

Pacific bluefin tuna, targeted by recreational fisheries in both U.S. and Mexican waters, and by commercial fisheries primarily in Mexican waters, appeared to increase in availability and size during the heatwave [(Heberer & Lee, 2019; Runcie et al., 2019)](https://www.zotero.org/google-docs/?yQmHng). While total recreational bluefin landings increased prior to the heatwave (i.e., in 2011), increases in other availability metrics coincided with heatwave years. For example, the proportion of annual landings showed a clear and sustained shift to U.S. waters beginning in 2014 (**Figure 9D**). Prior to 2014, the U.S. accounted for an average of 23% of annual recreational bluefin landings, but from 2014-2021, the U.S. accounted for an average of 75% of annual landings. While this shift could partially be explained by regulatory shifts, such as when Mexico began enforcing restrictions against U.S. recreational vessels in 2012, the shift occurred later and offshore fishing by U.S. vessels was still allowed with a ‘Forma Migratoria Multiple’ (FMM) tourist permit. Additionally, before the heatwave, the majority of bluefin were landed in warm summer months and were less than 2 years old [(ISC, 2020)](https://www.zotero.org/google-docs/?1Ku9EH). Since 2014, warm waters extended availability throughout the year and more large bluefin (many 4-6 year-olds) were landed [(James et al., 2021)](https://www.zotero.org/google-docs/?VuSdpL). This increase in age/size is also supported by timeseries analyses of recreational “trophy” sizes of bluefin tuna [(L. F. Bellquist et al., 2016)](https://www.zotero.org/google-docs/?MgHTec), which we recently updated (**Figure S1**) to include the heatwave and post-heatwave periods. Furthermore, the heatwave drove shifts in bluefin diets that may have affected availability [(Portner et al., 2022)](https://www.zotero.org/google-docs/?4vxc24). In 2015-2016, bluefin tuna diets abruptly switched to being dominated by pelagic red crabs (*Pleuroncodes planipes*), coincident with the anomalous northwards advection of this southern crustacean [(Cimino et al., 2021)](https://www.zotero.org/google-docs/?gknlcm). In 2016, bluefin increased their consumption of anomalously abundant anchovies [(Thompson et al., 2022)](https://www.zotero.org/google-docs/?WhAOUH). This switch towards more epipelagic prey may have increased the aggregation of bluefin near the surface, where they are more vulnerable to fishing. Increased availability and size drove interest in recreational trips targeting bluefin and provided substantial economic benefits to the for-hire fleet. This was especially valuable given low numbers of albacore (*T. alalunga*), the traditional target of many of these vessels, over the previous 10 years. Benefits for commercial vessels were limited given low quotas for this overfished stock [(ISC, 2020)](https://www.zotero.org/google-docs/?yDTNdc); in fact, increased availability introduced management challenges. In 2017, the U.S. exceeded its catch limit by more than 50 metric tons due to high local availability, increased purse seine effort, and a several day lag in catch reporting, resulting in the August closure of the fishery [(Laughlin, 2018)](https://www.zotero.org/google-docs/?WHE6q0). Mexico’s purse seine fishery also reached its harvest limits early, by July in 2014 and 2015. This illustrates how the locally increased abundance of species subject to strict harvest control rules can challenge fisheries management. Increasing the resilience of this highly migratory species will require improved understanding of bluefin ecology, distribution, and migratory movements to help managers better anticipate and respond to challenges posed by future change.

### 4.10. Bocaccio rockfish

In British Columbia, Canada, bocaccio rockfish (*Sebastes paucispinis*) occur at depths of 60–300 m along most of the coast and are regularly caught by the commercial trawl fleet [(Starr & Haigh, 2022)](https://www.zotero.org/google-docs/?BdaPap). The stock experienced a prolonged decline in spawning biomass from the 1930s that was only arrested somewhat by a series of moderate recruitment events in the 1970s. As a result, the Committee on the Status of Endangered Wildlife in Canada (COSEWIC) designated the stock as Threatened in 2002 and Endangered in 2013 [(COSEWIC, 2013)](https://www.zotero.org/google-docs/?6fUqOW). In response, management reduced allowable catch and introduced trip limits with priority access for First Nations and scientific surveys—the total mortality cap reached a low of 80 metric tons (mt) by 2016 [(DFO, 2022)](https://www.zotero.org/google-docs/?jNDdb6). The commercial fleet was largely successful in actively avoiding the species and averaged only 69 mt from 2015–2019. However, by the late 2010s, the fleet began experiencing considerable challenges avoiding bocaccio—an increasing ubiquitousness of the species began limiting the ability for the fleet to avoid bocaccio and thereby target other species (e.g., [(Pawson, 2021)](https://www.zotero.org/google-docs/?FDFfiV)). A stock assessment conducted in 2019 estimated a massive recruitment event in 2016 at 44 times (30–58 times 90% CI) average recruitment from the previous 85 years and of a magnitude sufficient to rebuild the stock above the limit reference point with 95% probability within four years [(DFO, 2020; Starr & Haigh, 2022)](https://www.zotero.org/google-docs/?aRjaWR). It is assumed this recruitment may have been due to the availability of oxygen-rich water at depth during gestation [(Schroeder et al., 2019)](https://www.zotero.org/google-docs/?5pqa8d) associated with the coincident marine heatwave [(DFO, 2020; Starr & Haigh, 2022)](https://www.zotero.org/google-docs/?MqQquX). An update to the assessment in 2021 estimated an even larger 2016 year class (47 vs. 25 million one-year olds in 2017) and a more rapid recovery with the stock in the “healthy zone” (> 0.8 BMSY) with high (87%) probability as of 2022 and near 100% probability by 2024 [(DFO, 2021)](https://www.zotero.org/google-docs/?Lzxykj). Given this new science advice, management raised the bocaccio total mortality cap to 300 mt in 2020/21, 500 mt in 2021/22, and 1800 mt for 2022/23 [(DFO, 2022)](https://www.zotero.org/google-docs/?mnrDJb). However, First Nations raised concerns about the rapidity of the TAC increases and about the suitability of MSY-based reference points given the recruitment patterns (REF). This case study is a success story in terms of rebuilding an endangered fish stock, but highlights institutional challenges to respond rapidly to sudden increases in abundance of choke species, and raises questions about long term management of stocks dependent on rare, environmentally-driven recruitment events.

## 5. Lessons learned

### 5.1. For improving monitoring

The resilience of fisheries to heatwaves and climate change can be increased by improving the scale, utility, diversity, accessibility, and funding of monitoring programs. First, strategically enhancing the spatial-temporal scale of monitoring can promote dynamic management that reduces tradeoffs among competing management objectives. For example, increased spatial-temporal monitoring of harmful algal blooms and biotoxin contamination can protect public health while minimizing impacts on fishing opportunities [(Free, Moore, et al., 2022)](https://www.zotero.org/google-docs/?5gFAmt). Similarly, data generated from expanded monitoring enables the development of predictive models that can, for example, help to avoid bycatch of protected species under changing environmental conditions [(Hazen et al., 2018)](https://www.zotero.org/google-docs/?VZjeI9). Second, targeted monitoring is necessary to understand drivers of the surprising shifts that have occurred during past heatwaves and to use this knowledge to better prepare for future heatwaves. For instance, targeted monitoring is necessary to resolve the relationship between the local availability and stockwide abundance of Pacific bluefin tuna and the reasons for the unexpected reversal in the relationship between warming and sardine and anchovy abundance [(Thompson et al., 2022, p. 65)](https://www.zotero.org/google-docs/?HHmuBO). Third, developing novel monitoring programs can accelerate the detection and understanding of sudden and/or unexpected shifts in productivity or distributions. By complementing existing fisheries-independent surveys with information derived from fisheries-dependent data, heatwave-driven shifts in abundance and distribution could be detected earlier and more comprehensively [(Maureaud et al., 2021)](https://www.zotero.org/google-docs/?FfhKMX). Furthermore, cooperative research with fishers [(Gawarkiewicz & Malek Mercer, 2019; Lomonico et al., 2021)](https://www.zotero.org/google-docs/?b37MVI), citizen science programs [(Walker et al., 2020)](https://www.zotero.org/google-docs/?NDnEy3), and emerging technologies such as eDNA [(Pikitch, 2018)](https://www.zotero.org/google-docs/?83Prqd) and autonomous sampling present opportunities to expand coverage while also reducing costs. Fourth, developing tools for rapidly processing, visualizing, and disseminating raw monitoring data can democratize and accelerate the rate at which “unknown unknowns” are detected and responded to [(Anderson et al., 2020)](https://www.zotero.org/google-docs/?6asDRv). The standardized summaries of available fisheries-dependent and fisheries-independent data for Canadian Pacific groundfish developed by Fisheries and Oceans Canada (DFO) scientists provide a useful template for such tools. Finally, monitoring enhancements can be achieved under flat or reduced funding conditions through technological advancements that make monitoring cheaper (e.g., electronic monitoring, automated sensors, autonomous vehicles, etc.), partnerships between public, private, and industry groups that make monitoring more efficient [(Lomonico et al., 2021)](https://www.zotero.org/google-docs/?POno9d), and the implementation of institutions that align fisheries and management incentives (e.g., catch shares, fisheries cooperatives, insurance programs, etc.).

### 5.2. For improving management

The resilience of fisheries to heatwaves and climate change can also be increased by increasing the inclusivity, flexibility, and adaptiveness of fisheries management and by using simulation testing to compare and choose between alternative management strategies. First, arguably, the most fundamental step towards improving the resilience of fisheries management is to broaden co-management systems that leverage stakeholder knowledge, lower monitoring and management costs, and empower diverse stakeholder voices [(Wilson et al., 2018)](https://www.zotero.org/google-docs/?cgJoSb). For example, the inclusion of fishermen in the management of whale entanglement risk in the California Dungeness crab fishery assisted in identifying and implementing management solutions that are likely to be feasible, equitable, and effective [(Humberstone et al., 2020)](https://www.zotero.org/google-docs/?YyCdoG). Second, increasing the agility and flexibility of fisheries management institutions and procedures may allow management to respond to surprises quickly and effectively. As illustrated by the shortbelly rockfish case study, this may require establishing procedures for updating bycatch quotas outside of the usual process in response to unexpectedly high recruitment events. As illustrated by the market squid case study, it may also involve establishing plans for evaluating and managing rapidly-emerging fisheries that introduce novel conflicts between fisheries and between economic and conservation goals. Third, fisheries management must be adaptive and/or robust to the impacts of heatwaves and climate change. This need has been well-described in many reviews (e.g., [(Holsman et al., 2019; Karp et al., 2019; Pinsky & Mantua, 2014)](https://www.zotero.org/google-docs/?O1sK93)), but key suggestions are to account for shifting productivity by incorporating climate variables into stock assessments [(Marshall et al., 2019)](https://www.zotero.org/google-docs/?qD79jF) and to design harvest control rules (HCRs) that are robust to climate impacts [(Free, Mangin, et al., 2022; Wainwright, 2021)](https://www.zotero.org/google-docs/?vAg0vz). During the 2014-16 marine heatwave, Pacific sardine would have benefited from an HCR that was more robust to process uncertainty in the assumed relationship between temperature and productivity and Chinook salmon would have benefitted from HCR application that was more robust to assessment uncertainty in the pre-season abundance forecast. Finally, wider use of climate-linked management strategy evaluation [(Punt et al., 2014)](https://www.zotero.org/google-docs/?2GzpUg) to compare the performance of alternative management strategies under climate change will help to quantitatively inform management decisions. Management strategy evaluation uses a closed-loop simulation to measure and compare the performance of alternative management strategies using a set of predefined performance metrics. Critically, it can evaluate the robustness of performance across various climate change trajectories, assumed relationships between climate change and the fishery, levels of observation and assessment uncertainty, and any other key sources of variability [(Punt et al., 2016)](https://www.zotero.org/google-docs/?J4vtfU). Thus, management strategy evaluation represents the gold standard in using quantitative evidence to guide climate-ready fisheries management decisions.

### 5.3. For improving adaptive capacity of fishing communities

The resilience of fishing communities to climate change depends on their adaptive capacity, which can be enhanced by policies that promote inclusivity, flexibility, experimentation, and failsafes, such as disaster relief or insurance. First, as indicated in the section above, the adaptive capacity of fishing communities can be enhanced by strengthening co-management systems that seek to leverage stakeholder knowledge and that their diverse opinions are considered [(Wilson et al., 2018)](https://www.zotero.org/google-docs/?ezXCsS). Second, policies that promote livelihood diversification can help to buffer fishing communities against the negative impacts of heatwaves and climate change. For example, easing access to fishing permits can promote target species diversification and buffer revenues against heatwaves, climate change, and other market shocks [(Cline et al., 2017; Sethi et al., 2014)](https://www.zotero.org/google-docs/?cIaIlv), though tradeoffs exist between ease of access and financial viability of permit structures (cite). Third, the promotion and enhancement of state and federal Exempted Fishing Permits programs, which allow experimentation in new fisheries, conservation engineering, health and safety, environmental cleanup, and data collection that would otherwise be prohibited, could accelerate innovation in climate-ready strategies [(Bonito et al., 2022)](https://www.zotero.org/google-docs/?KAmuf8). For example, Exempted Fishing Permits could be leveraged to stimulate an expanded purple sea urchin fishery that enhances kelp reforestation, design whale-safe fishing gear or practices that jointly prevent entanglements and fishery closures, or develop new fisheries-dependent data streams that enhance adaptive management. Fourth, enhancing programs that provide economic relief in response to negative environmental impacts can improve the resilience of fishing communities to climate change. This could be achieved by reforming the federal fisheries disasters relief program to be faster, more accurate, and more equitable in its assessment and distribution of disaster relief [(L. Bellquist et al., 2021)](https://www.zotero.org/google-docs/?8w8Ypu). Alternatively, this program could be complemented or replaced by novel fisheries insurance programs. If index-based, such programs could provide immediate payouts following an environmental trigger. As with the Caribbean Oceans and Aquaculture Sustainability Facility fisheries insurance, in which policy-holding nations only receive insurance payouts triggered by storms if they invest in best practices in fisheries management, insurance programs may even be designed to incentivize the adoption of climate-resilient management and/or fleet behavior [(Sainsbury et al., 2019)](https://www.zotero.org/google-docs/?2bkGcq).

## 6. Conclusions

The 2014-16 Northeast Pacific heatwave was the largest marine heatwave on record [(Laufkötter et al., 2020)](https://www.zotero.org/google-docs/?SUgStt) and impacts of the heatwave on the fisheries of the Pacific Coast of the U.S. and Canada provide important insights into improving the resilience of global fisheries to climate change. The heatwave resulted in positive as well as negative ecological impacts, both of which generated challenges for fisheries management. Increasing the resilience of fisheries to future heatwaves and directional climate change will require improvements throughout fisheries social-ecological systems, from monitoring to management to the adaptive capacity of communities. Key improvements include 1) expanding monitoring to provide early warnings of impacts, gain better mechanistic understanding of impacts, and inform predictive models of impacts; 2) increasing the flexibility, adaptiveness, inclusiveness of management and using management strategy evaluation to guide strategic management decisions; and 3) enhancing the adaptive capacity of fishing communities by promoting engagement, flexibility, experimentation, and failsafes. These improvements come with increased costs, which can be reduced through technological advancements, partnerships, and incentives that make monitoring and management more efficient [(Bradley et al., 2019; Lomonico et al., 2021)](https://www.zotero.org/google-docs/?m2egNu). Investments in these initiatives will be vital to ensuring that fisheries continue to support livelihoods, food, and nutrition for millions of people around the globe [(Costello et al., 2020)](https://www.zotero.org/google-docs/?KQTu6U).

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## Data Availability Statement

All data and code associated with this paper is available on GitHub here: <https://github.com/cfree14/wc_mhw_case_studies>

## Conflict of Interest Statement

The authors have no conflicts of interest to declare.

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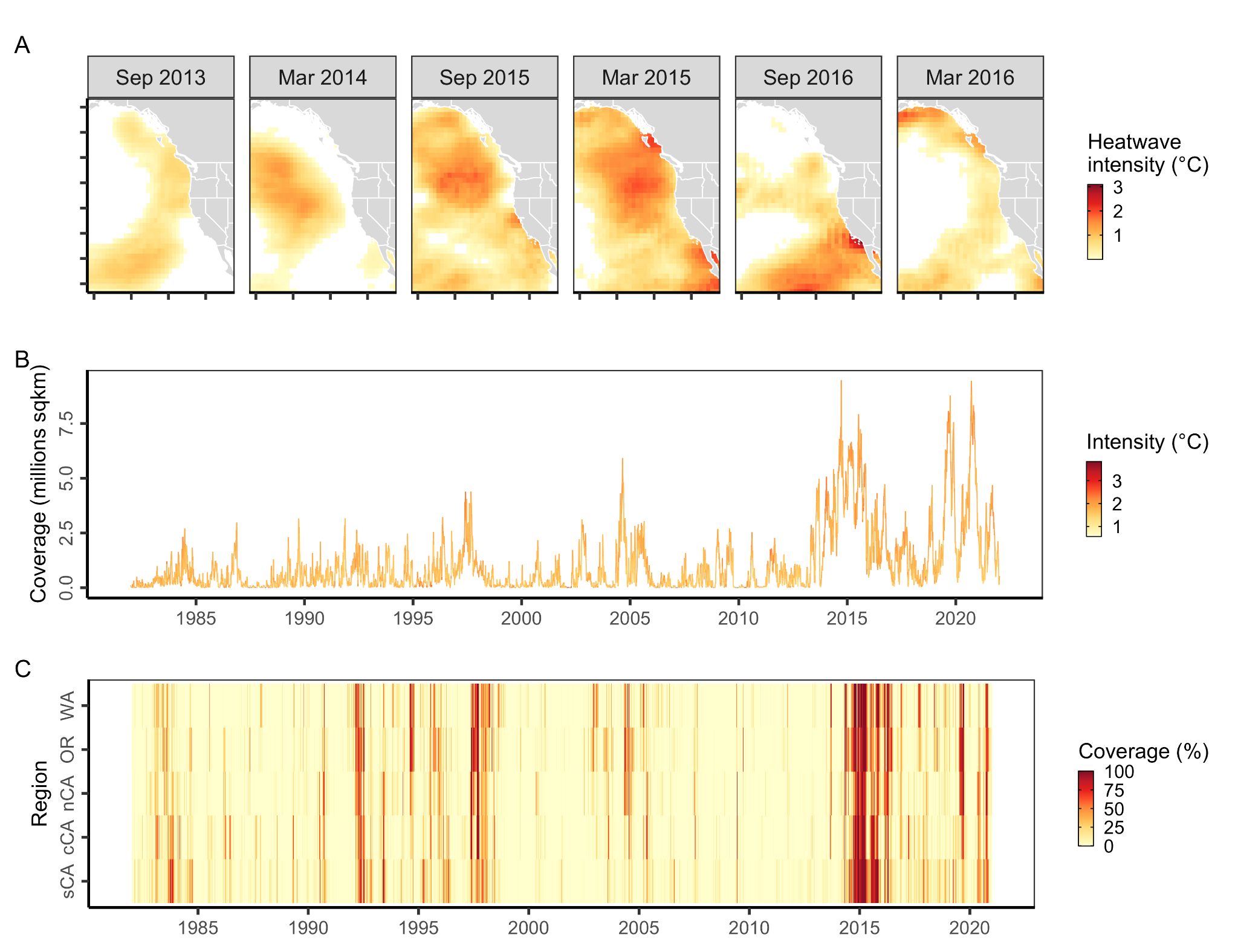
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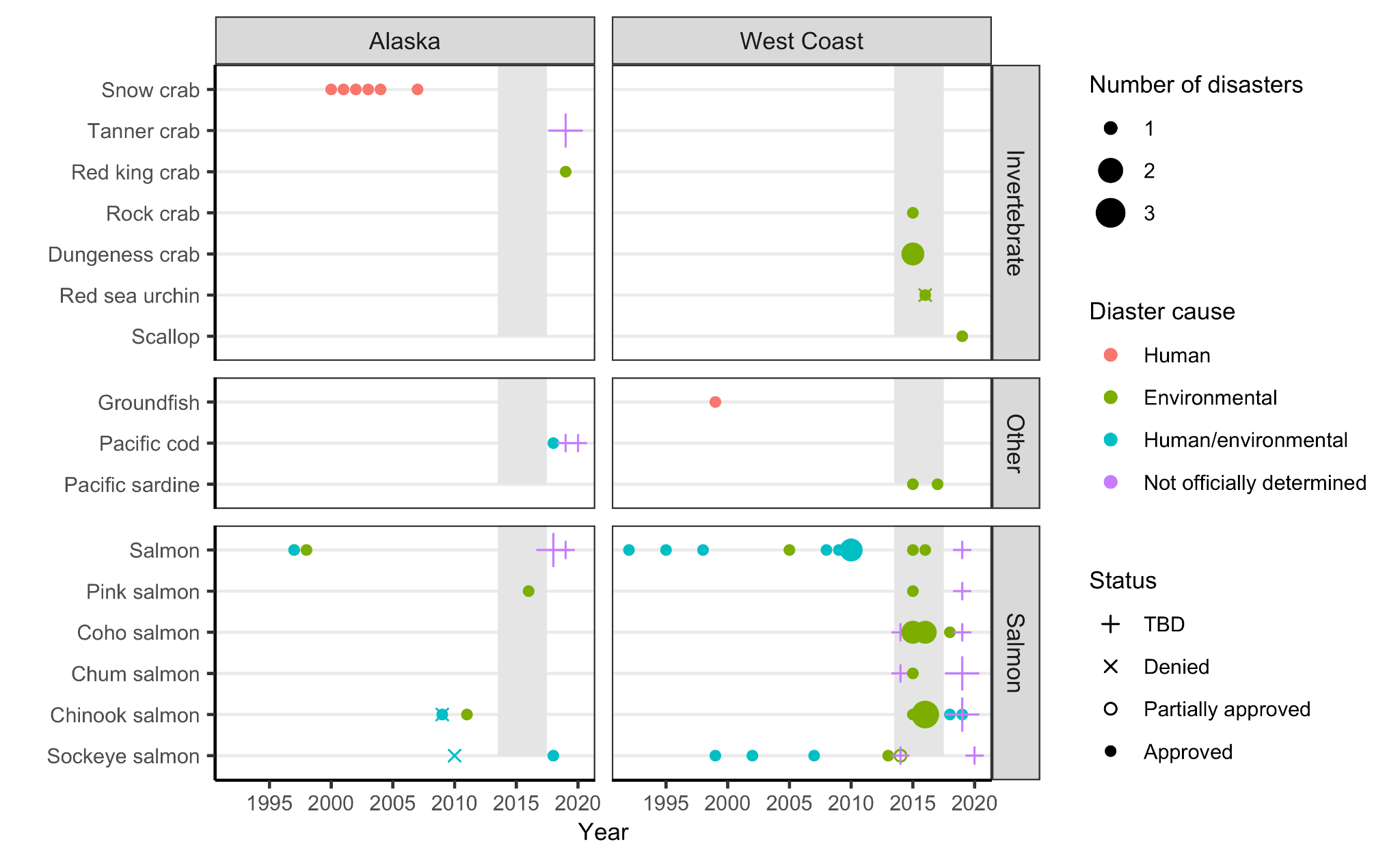
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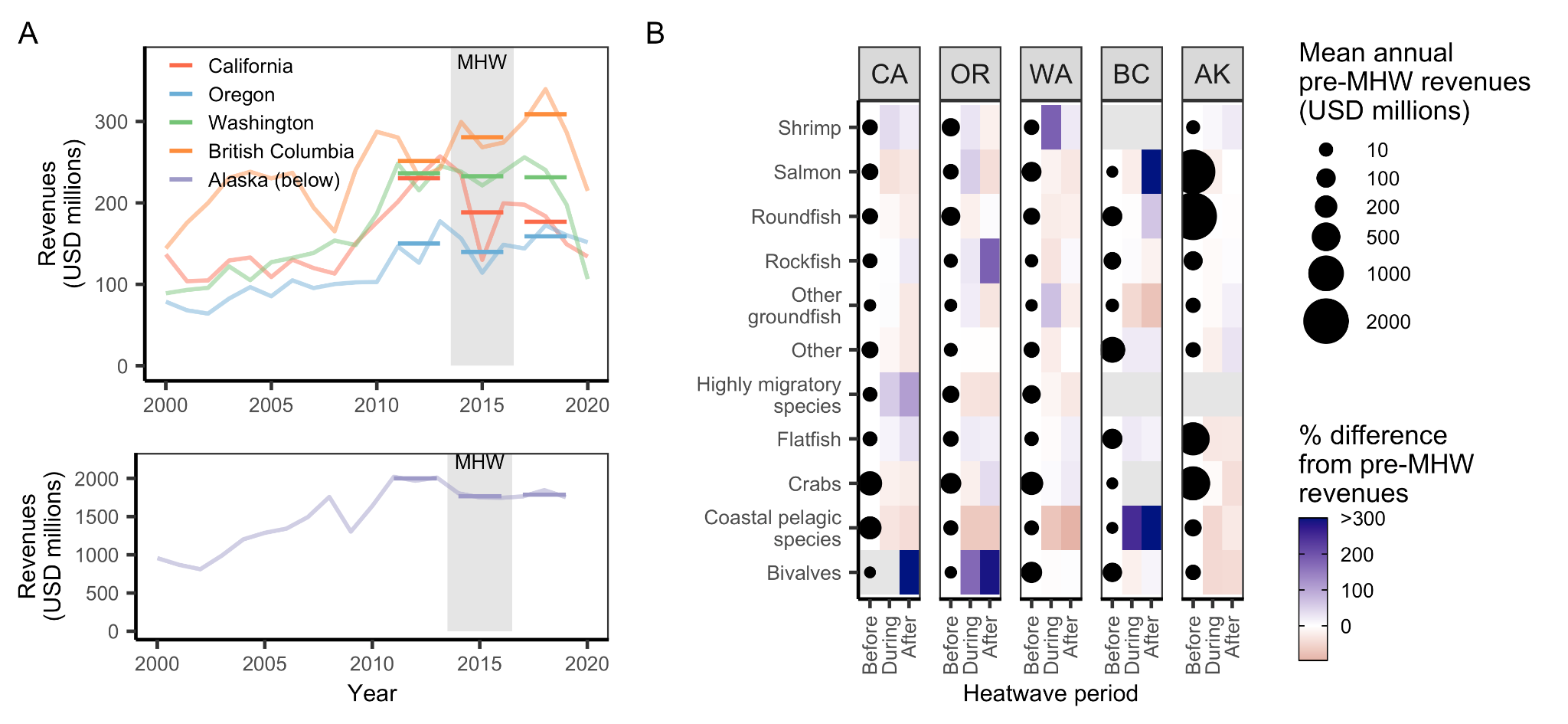
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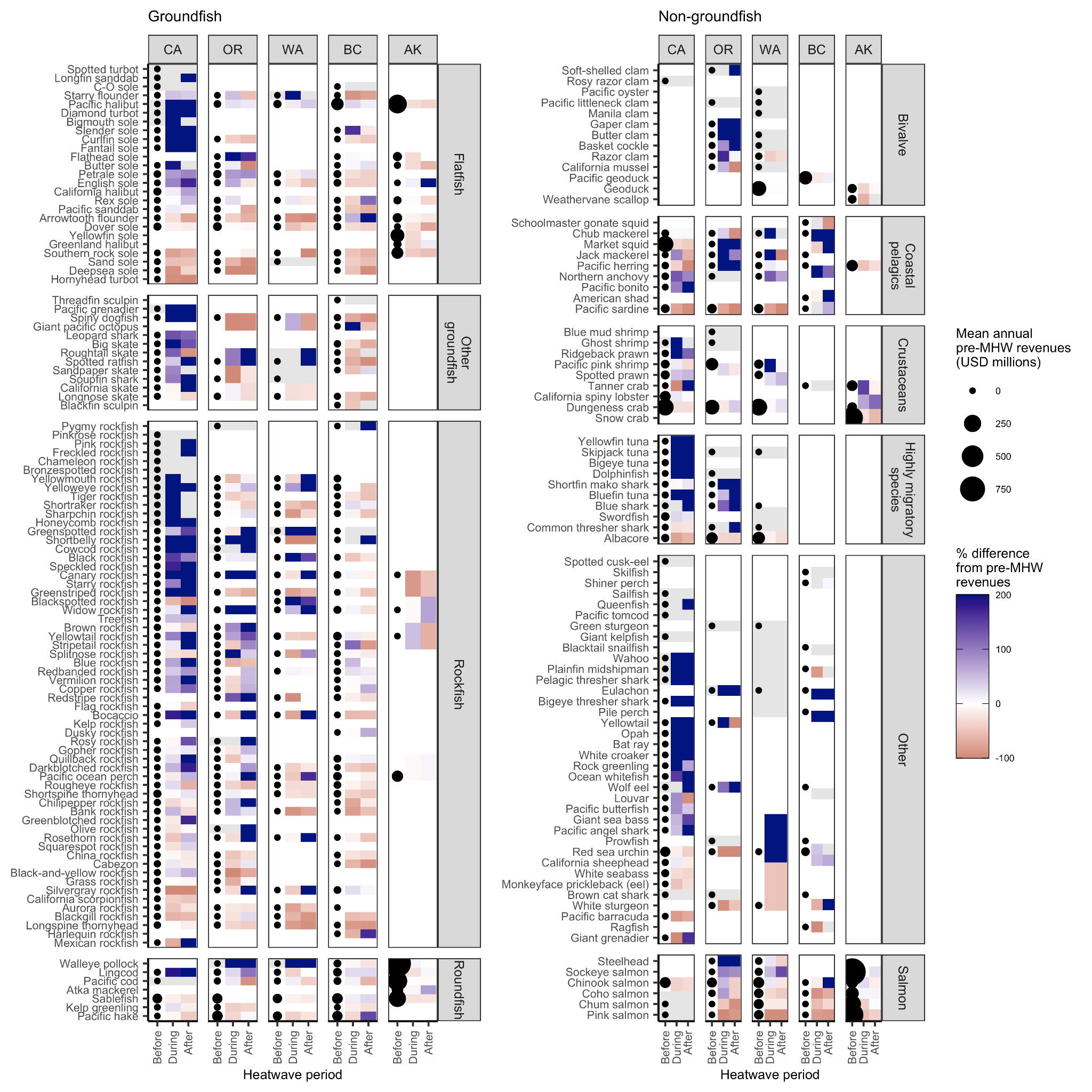
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## Tables & Figures

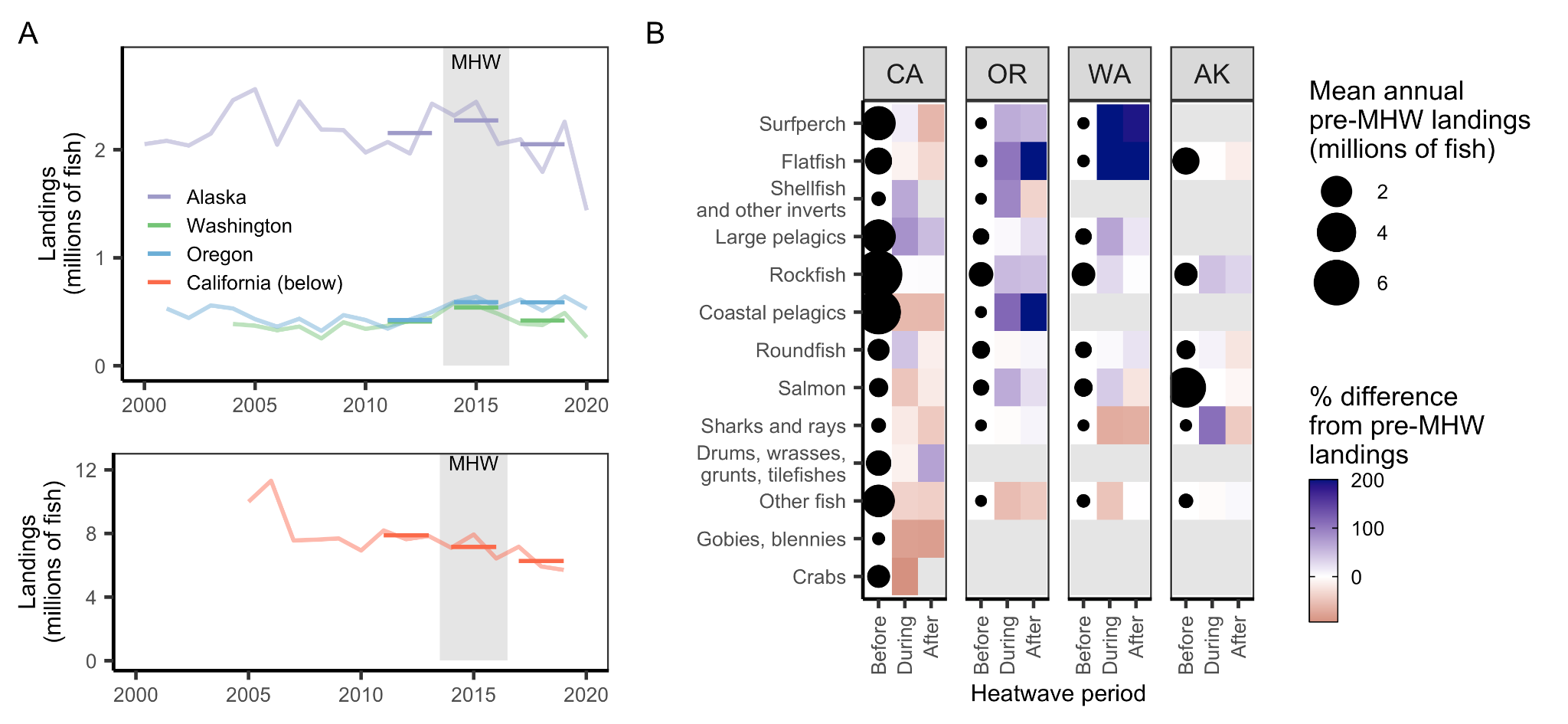
**Figure 1.** History of marine heatwaves (MHW) on the North American West Coast: **(A)** the evolution of the 2014-2016 marine heatwave; **(B)** history of marine heatwaves in the era of satellite-detected sea surface temperatures (SST) based on data from the California Current Integrated Ecosystem Assessment (CCIEA); and **(C)** detailed history of the 2014-2016 marine heatwave by region based on data from the CCIEA. Heatwave intensity is measured as the degree anomaly in SST relative to historical climatology.

**Figure 2.** History of U.S. federal fisheries disaster declarations on the West Coast from 1989-2020 based on the database of [(L. Bellquist et al., 2021)](https://www.zotero.org/google-docs/?k8iL7a). Gray shading indicates years of the 2014-16 marine heatwave.

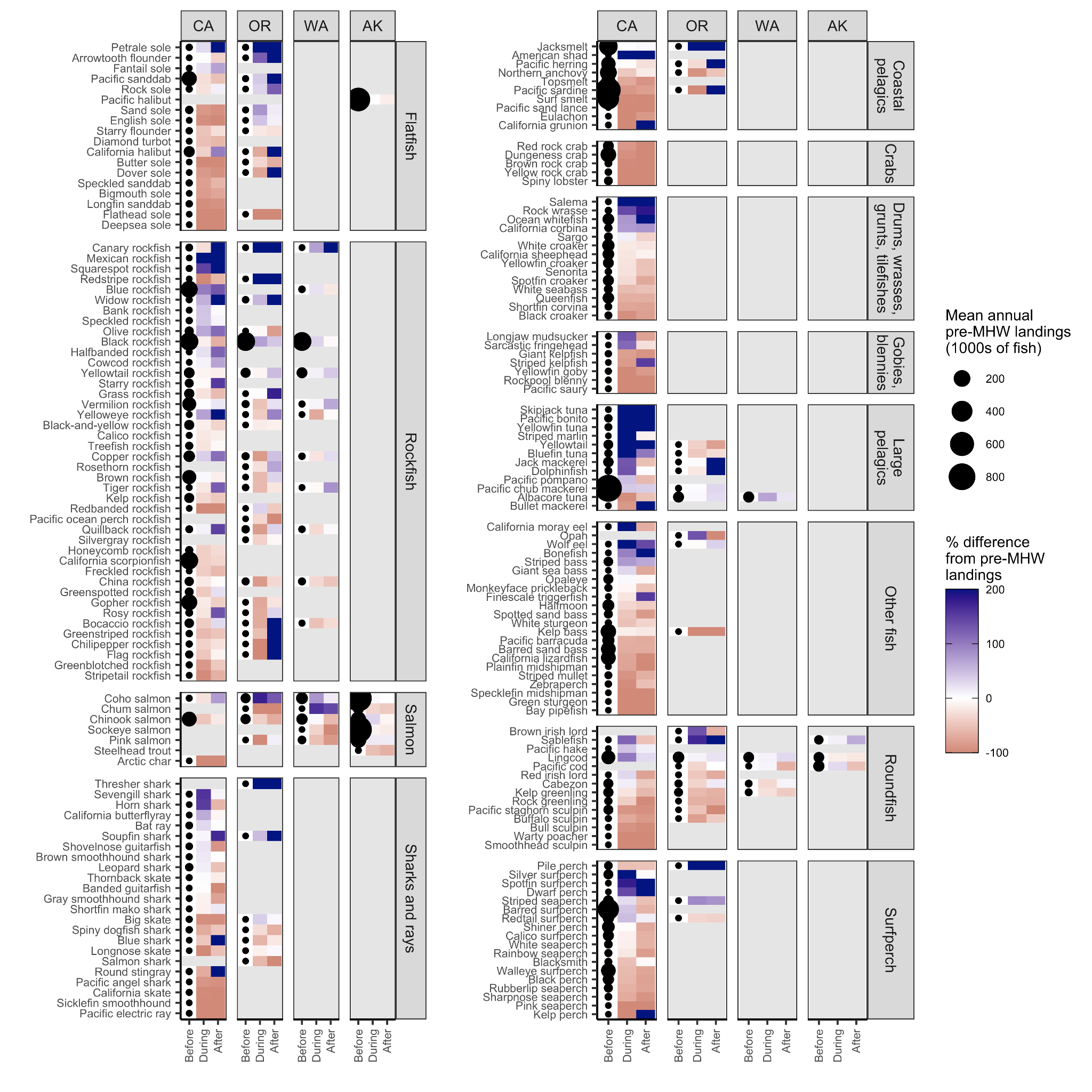
**Figure 3.** Commercial fisheries revenues by **(A)** state and **(B)** state and management group before, during, and after the 2014-16 marine heatwave (MHW). In **(A)**, light lines indicate time series of total annual revenues and dark lines indicate the mean total annual revenue for years before (2011-13), during (2014-16), and after (2017-19) the heatwave. In **(B)**, the size of the points plotted in the “before” column indicate mean annual revenues during the years before the heatwave and the colors plotted in the “during” and “after” columns indicate the percent change in revenues relative to the years before the heatwave. Management groups are vertically ordered from greatest losses (bottom) to the greatest gains (top) in revenues during the heatwave averaged across states.

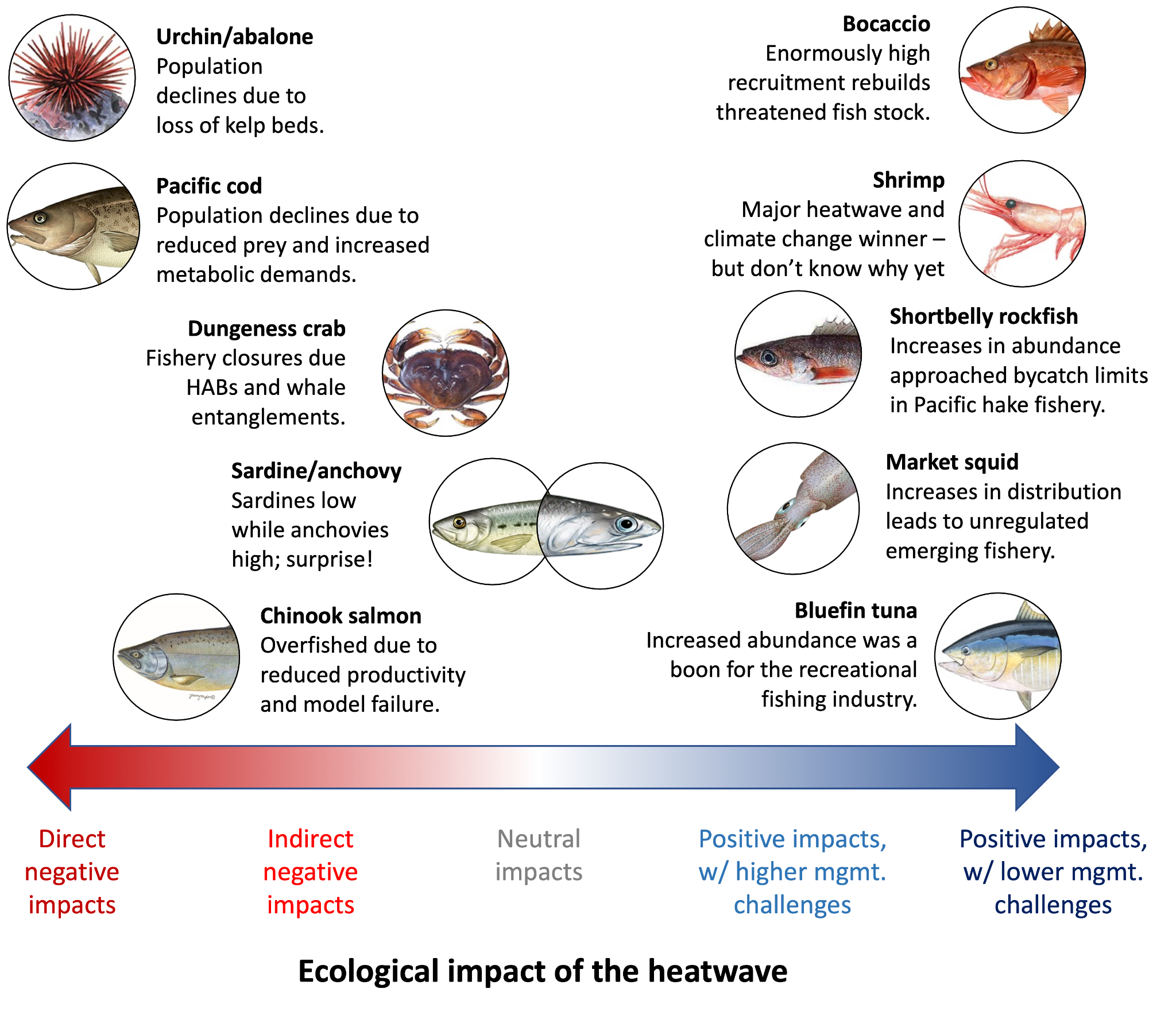


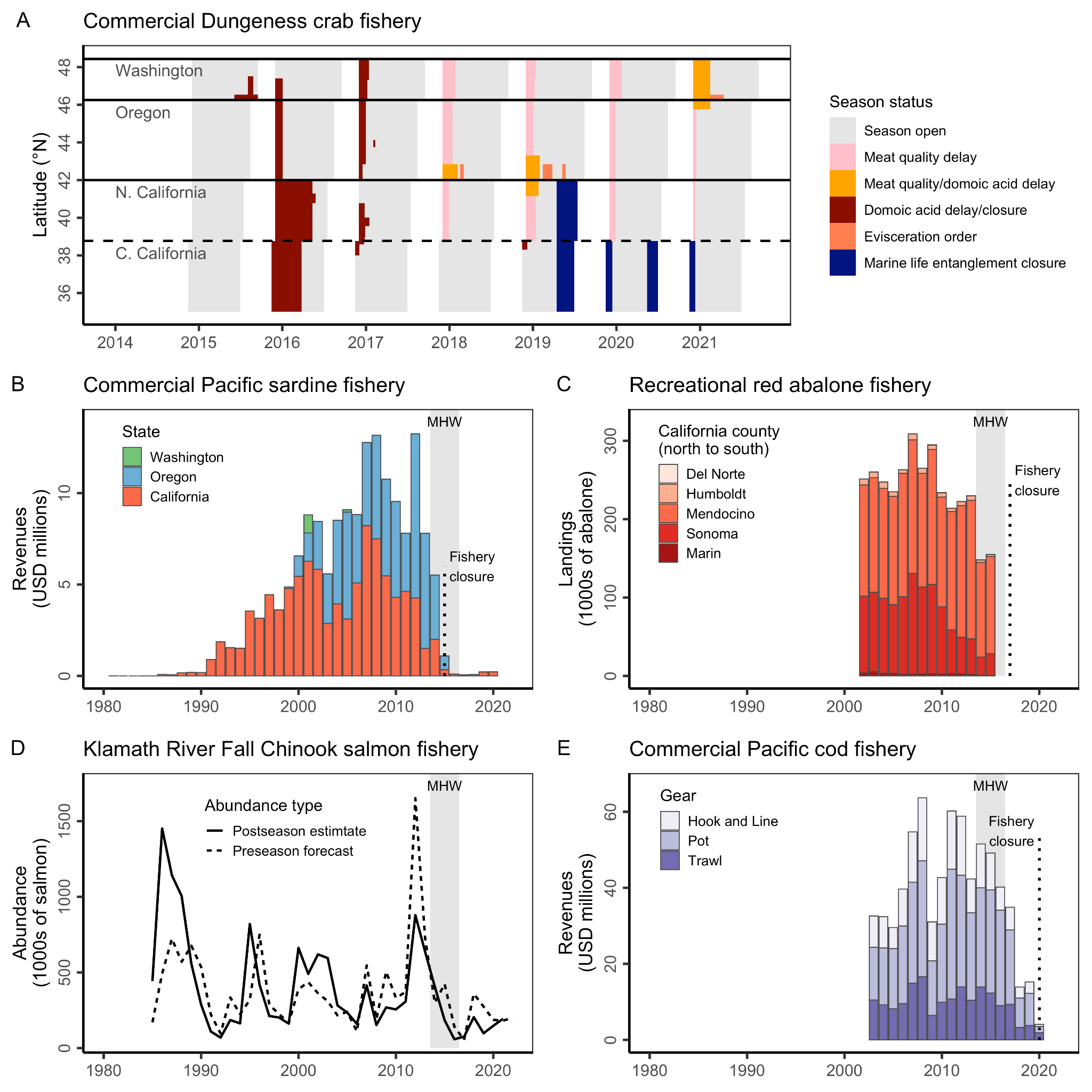
**Figure 4.** Commercial fisheries revenues before the 2014-16 marine heatwave and the percent change in revenues during and after the heatwave by state, management group, and species. Species (rows) are grouped by management group and are vertically ordered from greatest losses (bottom) to the greatest gains (top) in revenues during the heatwave averaged across states. The size of the points plotted in the “before” column indicate mean annual revenues during the years before the heatwave and the colors plotted in the “during” and “after” columns indicate the percent change in revenues relative to the years before the heatwave.

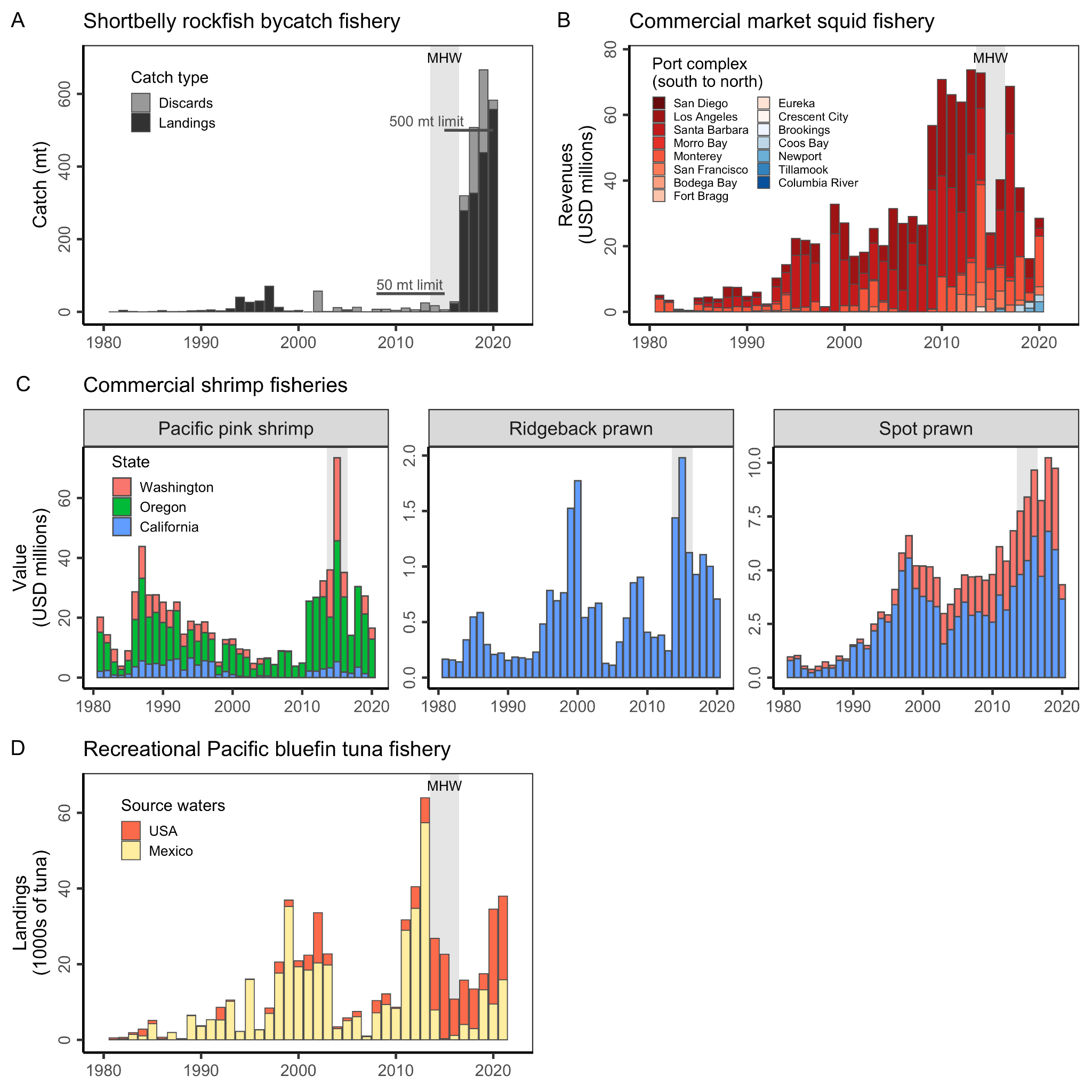


**Figure 5.** Recreational fisheries landings by **(A)** state and **(B)** state and taxonomic group before, during, and after the 2014-16 marine heatwave (MHW) based on RecFin data. In **(A)**, light lines indicate time series of total annual landings and dark lines indicate the mean total annual landings for years before (2011-13), during (2014-16), and after (2017-19) the heatwave. In **(B)**, the size of the points plotted in the “before” column indicate mean annual landings during the years before the heatwave and the colors plotted in the “during” and “after” columns indicate the percent change in revenues relative to the years before the heatwave. Taxonomic groups are vertically ordered from greatest losses (bottom) to the greatest gains (top) in revenues during the heatwave averaged across states.

**Figure 6.** Recreational fisheries landings (number of retained fish) before the 2014-16 marine heatwave and the percent change in landings during and after the heatwave by state, management group, and species based on RecFIN landings data. Species (rows) are grouped by taxonomic group and are vertically ordered from greatest losses (bottom) to the greatest gains (top) in landings during the heatwave averaged across states. The size of the points plotted in the “before” column indicate mean annual landings during the years before the heatwave and the colors plotted in the “during” and “after” columns indicate the percent change in revenues relative to the years before the heatwave.

**Figure 7.** Case studies evaluated in this paper. Case studies were selected to illustrate instructive examples of West Coast fisheries that experienced either positive (n=5) or negative (n=5) ecological impacts during the 2014-16 marine heatwave and to derive insights into improving monitoring, management, and adaptive capacity of communities to be more resilient to future heatwaves and climate change. Photo credits: NOAA (Pacific bluefin tuna, Pacific sardine, Pacific cod, California market squid, northern anchovy), CDFW (Chinook salmon, Dungeness crab, Pacific pink shrimp, red sea urchin), and WDFW (shortbelly rockfish).

**Figure 8.** Illustrations of some of the negative ecological and economic impacts of the 2014-16 marine heatwave. Panel **A** shows the history of closures to the commercial Dungeness crab fishery due to domoic acid contamination, whale entanglement, and meat quality. Panel **B** shows the collapse of the commercial Pacific sardine fishery and its closure during the heatwave. Panel **C** shows the collapse and closure of the recreational red abalone fishery during the heatwave. Panel **D** shows the collapse of the commercial Klamath River Fall Chinook salmon fishery after the marine heatwave and the contribution of overly optimistic model forecasts. Panel **E** shows the collapse of the commercial Pacific cod fishery in the Gulf of Alaska after increased adult mortality and reduced juvenile recruitment during the heatwave.

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**Figure 9.** Illustrations of some of the positive ecological impacts of the 2014-16 marine heatwave. Panel **A** illustrates the explosion in shortbelly rockfish bycatch following anomalous recruitment during the heatwave. Panel **B** illustrates the persistent northward shift of market squid landings initiated during the heatwave. Red colors indicate port complexes in California and blue colors indicate port complexes in Oregon. Panel **C** illustrates the spike in revenues in the commercial Pacific pink shrimp and ridgeback prawn fisheries during the heatwave and the continued growth of the commercial spot prawn fishery through the heatwave. Panel **D** illustrates the increased availability of Pacific bluefin tuna in U.S. waters during the heatwave. Panel **E** illustrates how the enormous spike in British Columbia bocaccio recruitment is projected to lead to the rebuilding of this endangered stock [(DFO, 2021)](https://www.zotero.org/google-docs/?7jkwYV).

## Supplemental Information

### Supplemental methods

This paper compiles several datasets to illustrate impacts of the 2014-16 marine heatwave on North American fisheries. We describe the compilation of these datasets below.

**Federal fisheries disaster data**

The federal fisheries disaster data was developed by Bellquist et al. (2021).

**Commercial revenues data**

We used annual statewide fisheries revenue data to evaluate impacts of the heatwave on commercial fisheries. To create this dataset, we combined data from a few sources. We used annual revenue data from the PacFIN database for the U.S. West Coast (California, Oregon, and Washington) and data from the NOAA FOSS database for Alaska. We used data from the NOAA FOSS database rather than from the AKFIN database (i.e., the equivalent of PacFIN for Alaska) for Alaska because the AKFIN database only includes crabs and groundfish (i.e., it is less comprehensive) and is not species-specific (i.e., it is more generic). The NOAA FOSS database is more comprehensive and species-specific. While we could have also used the NOAA FOSS database for the other West Coast states, we preferred to use PacFIN because it’s closer to the raw data (i.e., NOAA FOSS is built using PacFIN data). We used annual revenue data provided directly by Fisheries and Oceans Canada (DFO) for British Columbia.

**Recreational landings data**

We used estimates of annual statewide fisheries landings (i.e., number of retained fish) to evaluate impacts of the heatwave on recreational fisheries. To create this dataset, we combined data from a few sources. We used estimates of annual landings from the RecFIN database for the U.S. West Coast (California, Oregon, and Washington) and from the ADFG website for Alaska. However, the RecFIN data does not include catches of highly migratory species in California’s for-hire (Commercial Passenger Fishing Vessel or CPFV) fleet. Thus, we used data from the CDFW Landings Reports for these species. We used the ADFG database for Alaska because the AKFIN database does not include recreational landings estimates. Although the NOAA FOSS database includes estimates of recreational landings by state, these estimates have been transformed into biomass (pounds) and are thus less representative of the original data. Furthemore, they do not include recreational landings estimates for Alaska.

**Case study time series data**

Dungeness crab management history: We obtained the spatial-temporal history of the Dungeness crab fishery shown in **Figure 8A** from Free et al. (2022).

Pacific sardine revenues data: We obtained time series of commercial Pacific sardine fisheries revenues from the PacFIN database [(PSMFC, 2021)](https://www.zotero.org/google-docs/?Z3BZKD), as compiled in the CALFISH database [(Free, Vargas Poulsen, et al., 2022)](https://www.zotero.org/google-docs/?ZzzewS).

Red abalone landings data: We obtained time series of recreational red abalone landings estimates from a CDFW report [(CDFW, 2015)](https://www.zotero.org/google-docs/?TTGbfm). CDFW estimated these values using abalone “report cards” (i.e. creel survey) and telephone surveys [(Kalvass & Geibel, 2006)](https://www.zotero.org/google-docs/?lBKE4W).

GOA Pacific cod revenues data: We obtained time series of commercial Gulf of Alaska (GOA) Pacific cod fisheries revenues by gear and subarea from the AKFIN database.

Klamath River Fall Chinook escapement forecasts and observations: We obtained time series of Klamath River Fall Chinook salmon pre-season escapement forecasts and post-season escapement observations from the 2022 pre-season report [(PFMC, 2022)](https://www.zotero.org/google-docs/?wpqXsW).

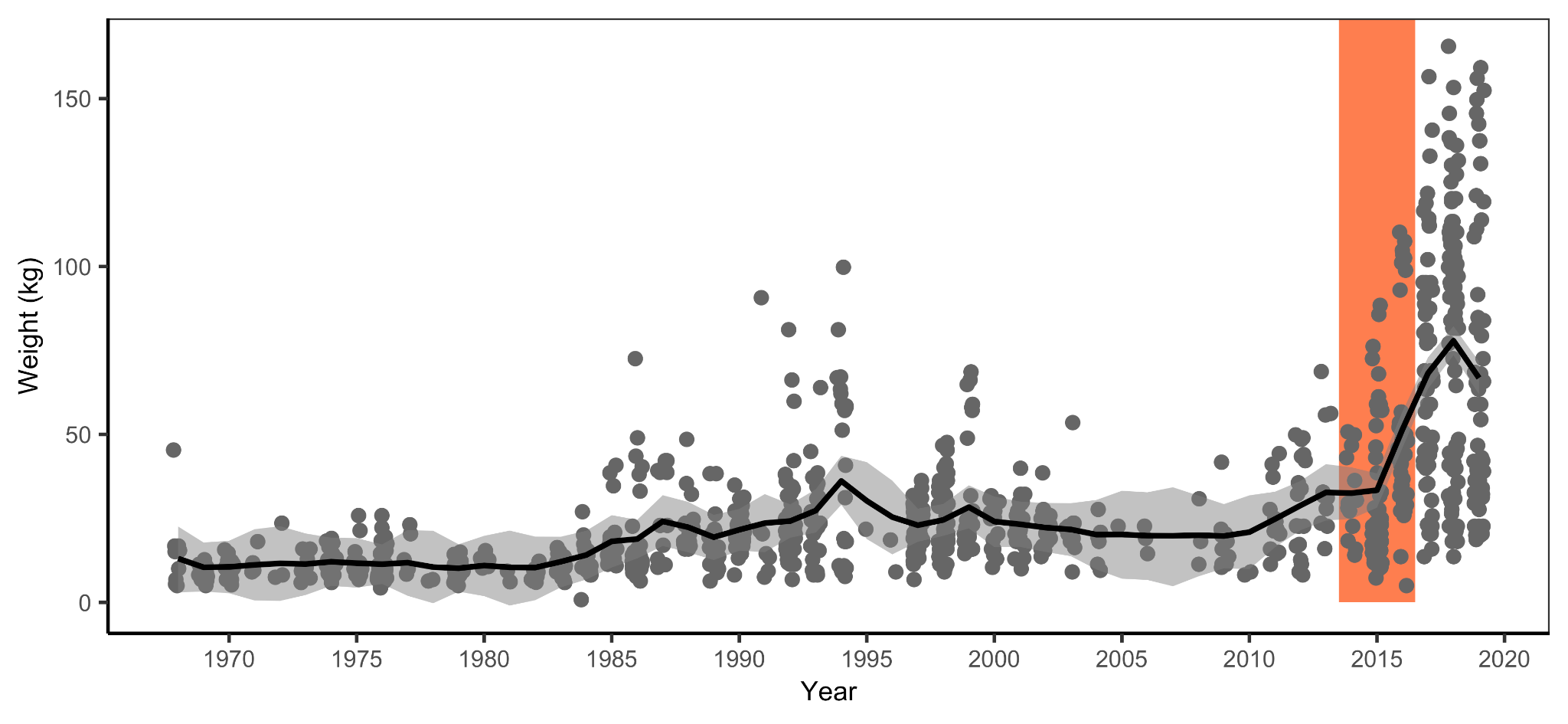
Shortbelly rockfish bycatch data: We obtained time series of shortbelly rockfish landings and discard estimates from the Groundfish Expanded Mortality Multiyear (GEMM) [(Somers et al., 2020, 2021)](https://www.zotero.org/google-docs/?Gh3lxw).

Market squid revenues data: We obtained time series of commercial market squid fisheries revenues by port complex from the PacFIN database [(PSMFC, 2021)](https://www.zotero.org/google-docs/?IKrkWu), as compiled in the CALFISH database [(Free, Vargas Poulsen, et al., 2022)](https://www.zotero.org/google-docs/?skL8eQ).

Pacific bluefin tuna landings data: We obtained time series of Pacific bluefin tuna landings by California’s recreational for-hire fleet from the California Marine Logbook System (MLS). The data query was submitted and processed by a co-author who is a CDFW employee.

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### Supplemental figures

**Figure S1.** Time series of trophy size Pacific bluefin tuna reported in the “Whoppers of the Week” section of Western Outdoor News from 1968-2019. The vertical orange rectangle illustrates the 2014-16 marine heatwave. The black line and shading indicate the median and 95% confidence interval of a state-space model fit to the data. The data and modeling framework are an extension of that published in [(L. F. Bellquist et al., 2016)](https://www.zotero.org/google-docs/?JLSfjY).

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### Case study vignettes

* [Chinook salmon](https://docs.google.com/document/d/1HPgHDMfFMJTQQuK1RbldGtEZujoEePaMEfN6bWr5468/edit)
* [Dungeness crab](https://docs.google.com/document/d/1zx-nZ7v-0Dns0nY0PeB4wZLNQYFUzVmPW0QJn7u74aE/edit)
* [Market squid](https://docs.google.com/document/d/1EVIA6Lx010DJs1Nvfjqmu3Eag8pNIHQX-bLuMv52Zv4/edit)
* [Shortbelly rockfish](https://docs.google.com/document/d/1K_z-uVgKeG1BQO4p9y8BsrcV9rwwqyLzTmrY3RMN-gw/edit)
* [Pacific bluefin tuna](https://docs.google.com/document/d/14BnXB-2ntfTrsauij3kjGCDIY5kJFvBeX5_57mRYg-E/edit)
* [Sardine/anchovy](https://docs.google.com/document/d/1DeyoFI8Ii-Dya8N7DBbI5-59PPgrHlFcLezGQIwP7Xw/edit)