Impact of the 2014-16 marine heatwave on U.S. and Canada West Coast fisheries: surprises and lessons from key case studies

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Key terms:	climate change, ocean warming, harmful algal blooms, ecological surprises, climate-adaptive management, climate-resilient fisheries

Abstract:

Marine heatwaves are increasingly affecting marine ecosystems, with cascading impacts on coastal economies, communities, and food systems. Studies of heatwaves provide crucial insights into potential ecosystem shifts under future climate change and put fisheries social-ecological systems through "stress tests" that expose both vulnerabilities and resilience. The 2014-16 Northeast Pacific heatwave was the strongest and longest marine heatwave on record and resulted in profound ecological changes that impacted fisheries management and human livelihoods. Here, we synthesize the impacts of the 2014-16 marine heatwave on U.S. and Canada West Coast fisheries and extract key lessons for preparing global fisheries science, management, and industries for the future. We set the stage with a brief review of the

	impacts of the heatwave on marine ecosystems and the first systematic analysis of the economic impacts of these changes on commercial and recreational fisheries. We then examine ten key case studies that provide instructive examples of the complex and surprising challenges that heatwaves pose to fisheries social-ecological systems. These reveal important insights into improving the resilience of monitoring and management and increasing adaptive capacity to future stressors. Key recommendations include: (1) expanding monitoring to enhance mechanistic understanding, provide early warning signals, and improve predictions of impacts; (2) increasing the flexibility, adaptiveness, and inclusiveness of management where possible; (3) using simulation testing to help guide management decisions; and (4) enhancing the adaptive capacity of fishing communities by promoting engagement, flexibility, experimentation, and failsafes. These advancements are important as global fisheries prepare for a changing ocean.

February 27, 2023

Dear Dr. Carvalho,

On behalf of the author team, I am pleased to submit our revised manuscript, "Impact of the 2014-16 marine heatwave on U.S. and Canada West Coast fisheries: surprises and lessons from key case studies", for consideration as an Original Article in *Fish and Fisheries*.

We carefully reviewed the comments from you and the reviewers and are grateful for this thoughtful feedback. We address each comment individually below with the original comment shown in black text and the response shown in indented blue text. This feedback and the associated revisions have greatly improved the manuscript.

Briefly, we made the following notable changes to the manuscript text:

1. Revised the case study vignettes to follow a more consistent structure;
2. Added a new section that discusses the broader relevance of our study to other regions;
3. Modified the figures in response to the reviewer feedback and moved Figures 4 and 6 from the initial submission to the supplemental materials.

During the revision process, we also gained access to a version of the Alaska commercial fisheries landings data that divides landings from the Gulf of Alaska (GOA) and the Bering Sea/Aleutian Islands regions. Because the impacts of the 2014-16 marine heatwave were contained to the GOA region, we revised the analysis to only examine these data (note: the analysis of the recreational fisheries landings data was already limited to the GOA). Slight changes to the figures are highlighted below. The results are qualitatively unchanged.

Thank you for your consideration and please let us know if you have any questions.

On behalf of all authors,
Sincerely,

Christopher Free

Editor

It is important therefore that you address the various concerns as fully as possible, and in particular key aspects relating to the species-specific sections and use of a more implicit and consistent structure, proposed modifications to the Figures, a more comparative consideration of the presented extreme event with other salient events (such comparative consideration is a particular feature of FAF published articles), and the added value that such impacts can have on the long term approach to management in this and any other salient regions (where appropriate generic trends emerge). We look forward to hearing from you in due course and thank you in advance for your interest in FAF.

We are grateful for your thoughtful handling of our manuscript and for this helpful guidance on revising it to address the reviewer feedback. Among other revisions, we:

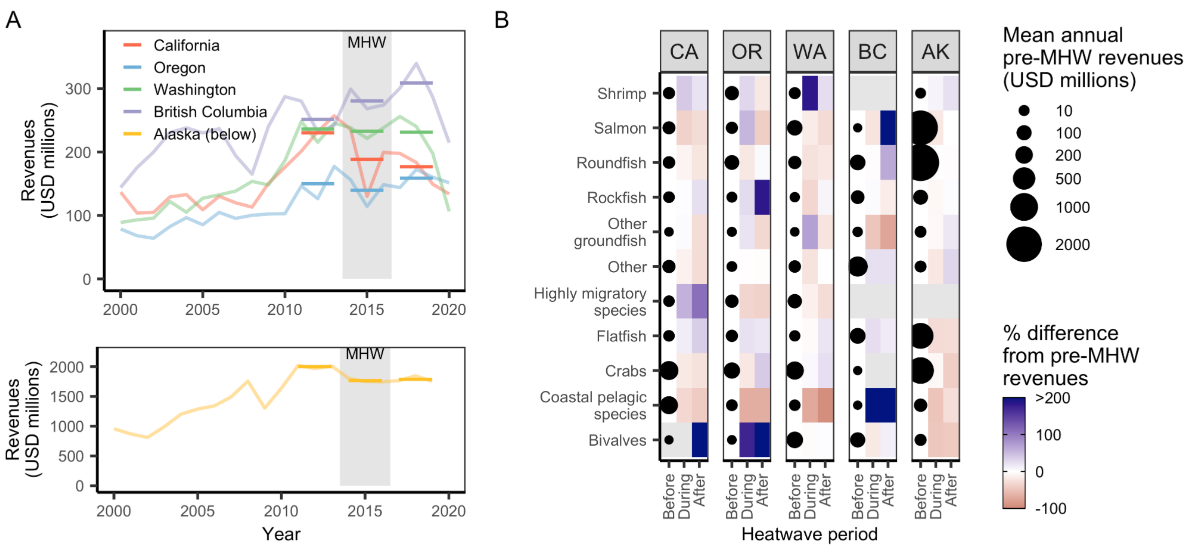
1. Revised the case study vignettes to follow a more consistent structure;
2. Modified all of the figures in accordance with the reviewer feedback;
3. Added a new section to discuss the relevance of our study to other regions.

During the revision process, we also gained access to a version of the Alaska commercial fisheries landings data that divides landings from the Gulf of Alaska (GOA) and the Bering Sea/Aleutian Islands regions. Because the impacts of the 2014-16 marine heatwave were contained to the GOA region, we revised the analysis to only examine these data (note: the analysis of the recreational fisheries landings data was already limited to the GOA). This resulted in changes to the Alaska portion of Figures 3 and S1. These are shown in the following two pages. We revised the following underlined text to the supplemental methods to reflect this:

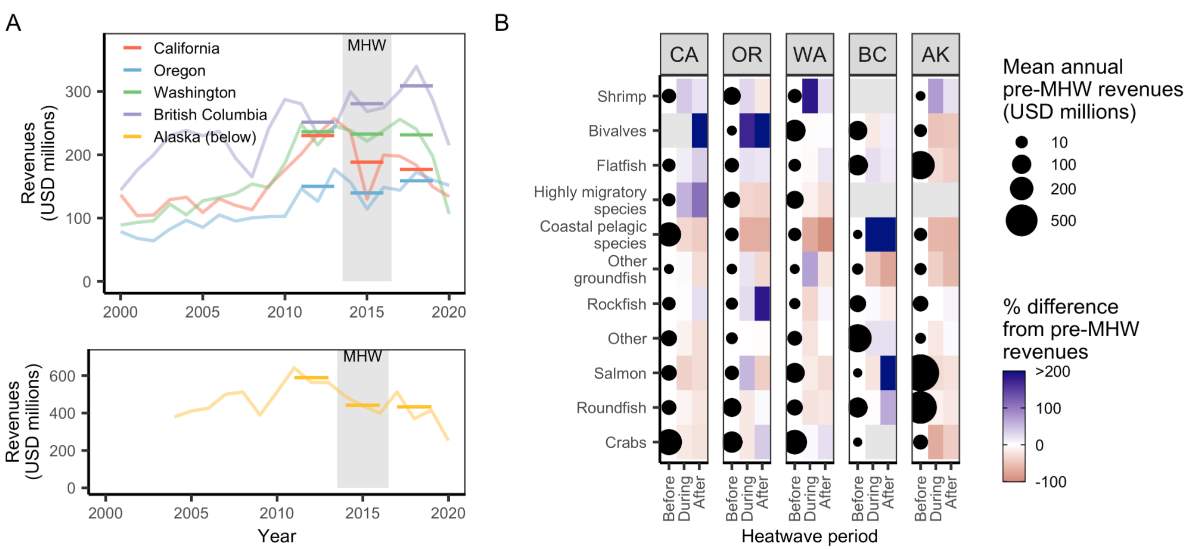
> "We used annual revenue data from the PacFIN database for the U.S. West Coast (California, Oregon, and Washington) and data provided directly from NOAA for the Gulf of Alaska. We were unable to use the AKFIN database (i.e., the equivalent of PacFIN for Alaska) for Alaska because the AKFIN database only includes crabs and groundfish (i.e., it is less comprehensive), is not species-specific (i.e., it is more generic), and does not separate the Gulf of Alaska from the Bering Sea and Aleutian Islands regions. We focus on the Gulf of Alaska region because this was the region impacted by the 2014-16 marine heatwave."

The results text did not require adjustment because the patterns remained qualitatively unchanged. The changes to the Alaska portion of Figures 3 and S1 are shown below.

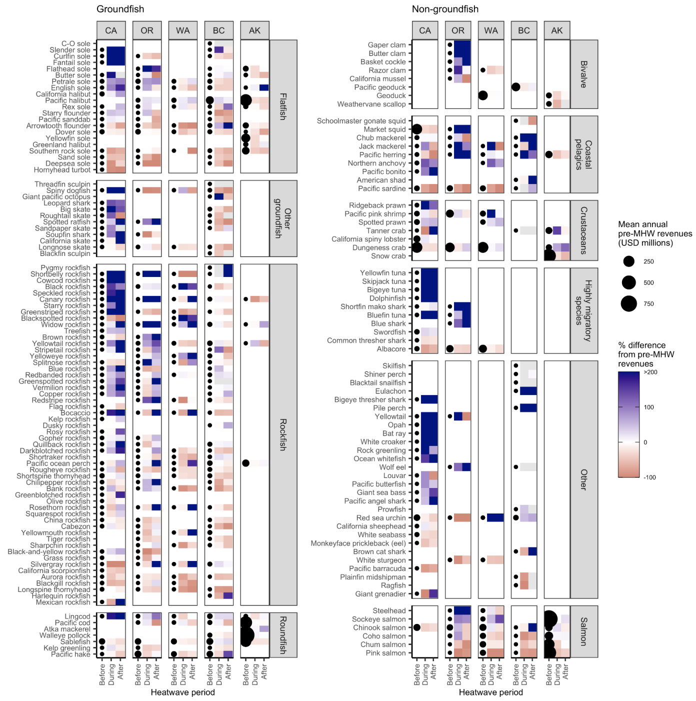
Original Figure 3



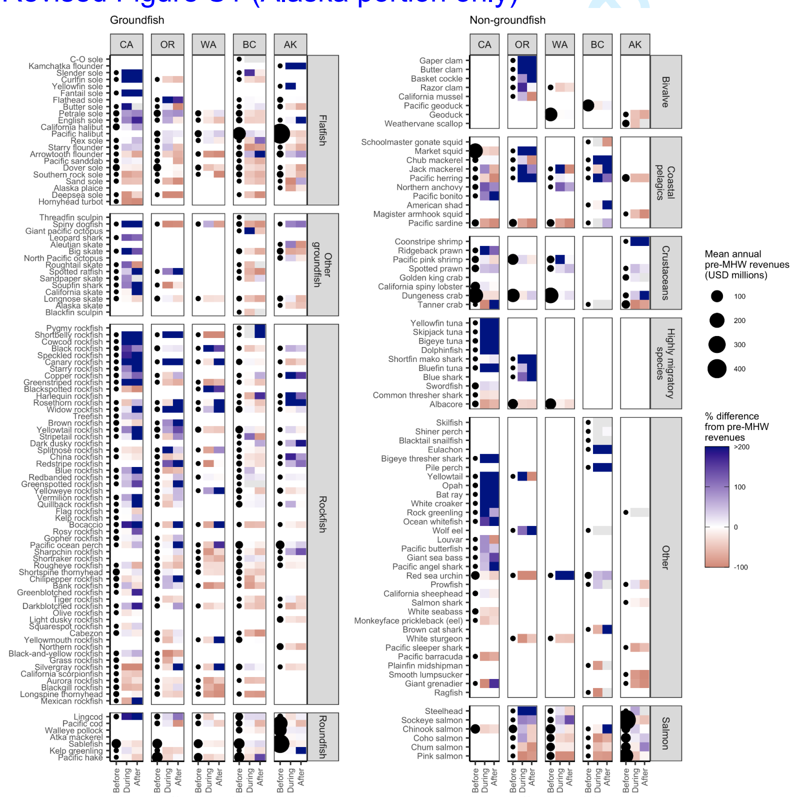
Updated Figure 3 (Alaska portion only)



Original Figure S1



Revised Figure S1 (Alaska portion only)



Reviewer 1

This is a very well written, informative, interesting, and timely manuscript that reviews impacts of the 2014-16 marine heatwave on U.S. and Canada West Coast fisheries. Novel contributions offered in this manuscript include a comprehensive synthesis of economic impacts and an assessment of key lessons learned about the fishery socio-economic system challenges associated with each of 10 case studies that are briefly reviewed. Strengths of this contribution include the success of this manuscript in effectively describing many contrasting and many common features of the fishery impacts associated with the 2014-16 marine heatwave from Alaska to California. The story is told especially well with very clear writing and an extensive and up to date list of citations. The economic analysis also provides a coast-wide picture of impacts that is likely to include surprises for many readers. Surprises for me included coast-wide (excluding BC) increases in commercial shrimp, CA-OR bivalve, BC CPS, and CA HMS fishery revenues. I suspect that negative impacts of the MHW on West Coast fisheries have tended to receive more attention from the scientific community, resource management agencies, media, and general public, so getting this information out about economic winners and losers is a valuable contribution.

As noted in a few places in this manuscript, some impacts of the 2014-16 MHW appear to have been limited to a select number of years, while others continued to at least 2022. It might be worth noting that episodic climate events like the warm blob may alter ecosystems and fisheries for an undetermined period of time, perhaps long after the climate event has ended. Examples of persistent impacts mentioned in this article include the sustained change in large bluefin landed in California (Fig S1), the persistence of whale entanglement-risk associated Dungeness crab fishery closures (through December 2022), persistence of the California abalone fishery and red urchin fishery closure through 2022, persistence of high anchovy and low sardine biomass in the California Current System, and persistence of low Pacific cod biomass and fishery restrictions in the Gulf of Alaska.

Overall I think this manuscript will make an outstanding contribution to the literature on impacts of marine heatwaves specifically, and more generally to understanding different management responses and challenges in response to climate change. Below I offer a number of comments and suggestions for the authors to consider in revising this manuscript. I recommend that this manuscript be accepted for publication pending minor revisions.

Nate Mantua
NOAA/NMFS
Southwest Fisheries Science Center
Santa Cruz, CA

> We are grateful for your close review of our manuscript and for your thoughtful and constructive feedback. We agree with all of your comments and suggestions and detail how we addressed them in the indented blue text below.

Figures:

I found Figures 4 and 6 to be really packed with information, and somewhat challenging to visually interpret without carefully reading the axis labels, legends and figure captions. On the plus side, there is a great deal of information compressed into these figures so a reader can both assess overall color patterns for each sub-region and make comparisons by looking across regions for the same species. I much prefer seeing these figures than tables of numbers. I appreciate the way that these follow the more aggregated information in Figures 3 and 5.

We appreciate this feedback. Because Reviewer 2 also found the figures overly detailed and because they are not discussed in detail in the manuscript, we moved these figures to the supplementary materials for the especially interested reader.

Line colors in Figures 3A and 5A for California and BC are similar on my computer, so doing something to better distinguish them may be warranted. Likewise, I had the same issue with the colors for Meat quality/DA delay and Evisceration order in Fig 8A.

We swapped the colors used for AK and BC so that the lines would be easier to differentiate in Figures 3A and Figure 4A (formerly 5A). A screenshot is provided below.

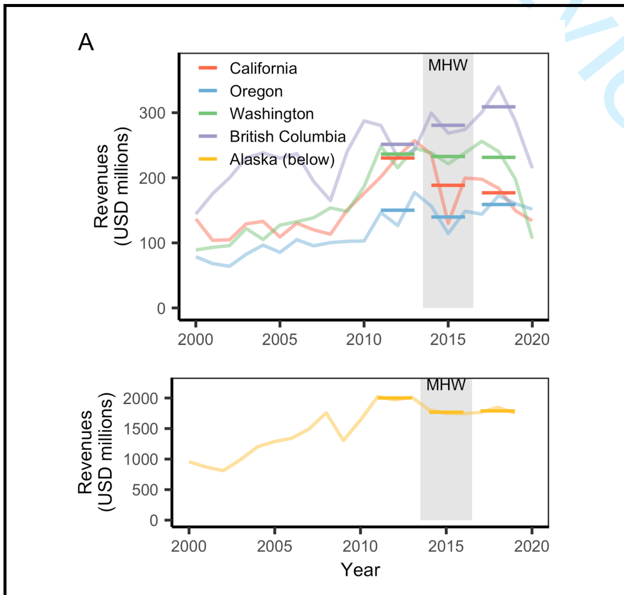


Figure 3A

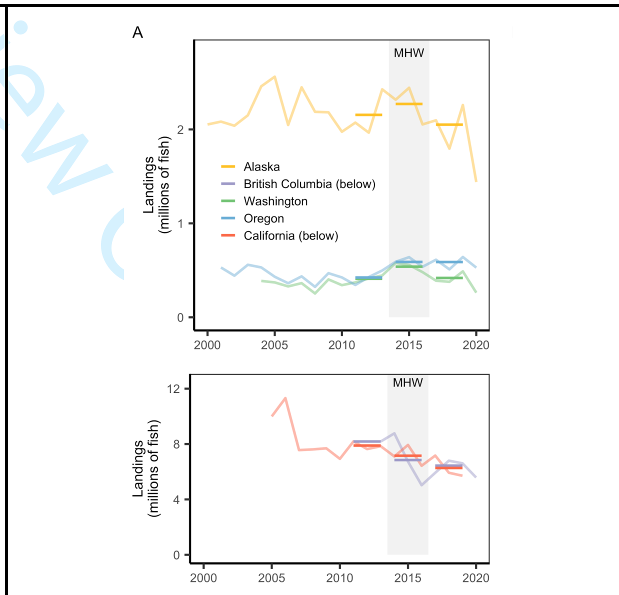


Figure 4A (formerly 5A)

We also revised the colors used for “evisceration orders” in Figure 6A (formerly 8A) to be easier to differentiate from “meat quality/DA delays”. A screenshot is provided below:

A

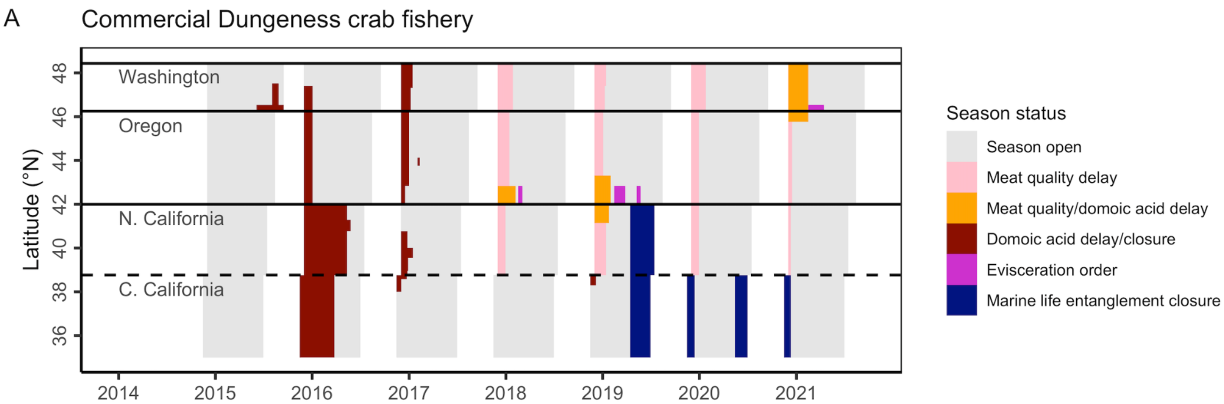
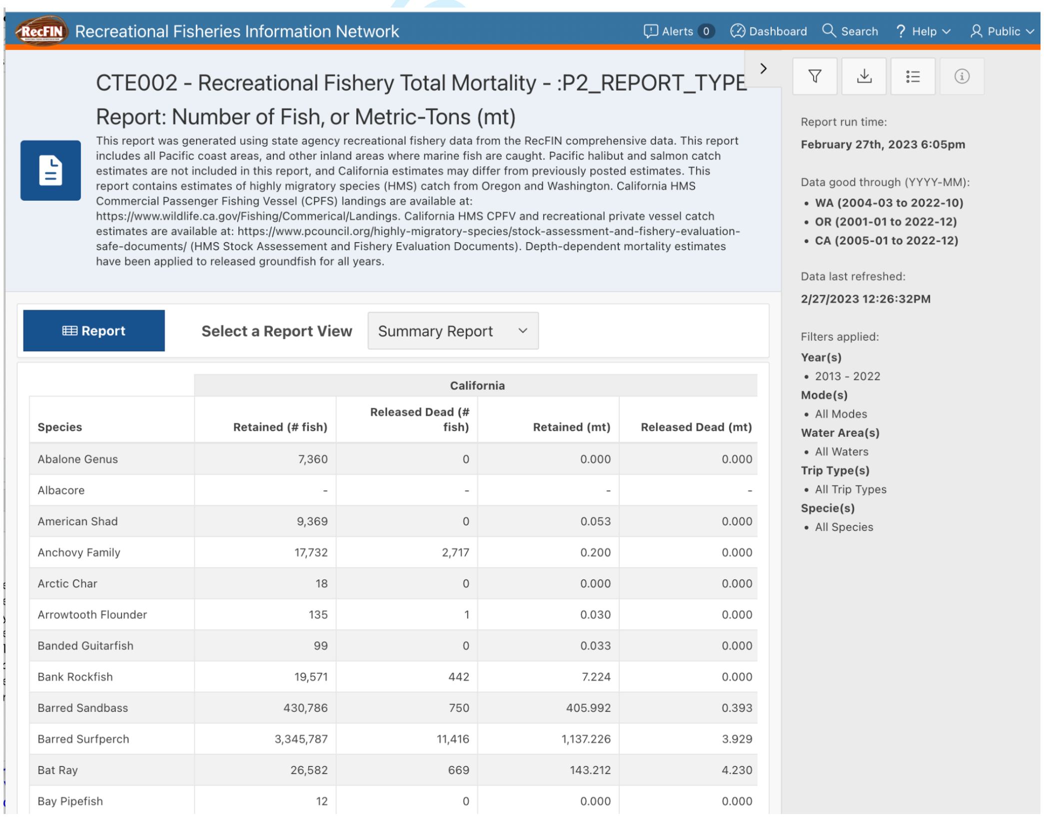


Figure 6 includes data for a CA Arctic char recreational (coastal pelagic) fishery. I don't think there is an Arctic char fishery of any kind in CA, is there? Maybe those data came from AK?

Indeed, the catch of Arctic char in California has been extremely rare, but it has been reported to occur. Specifically, 18 Arctic char were estimated to have been caught and retained on California private boats in 2013. See snapshot from RecFIN below:



RecFIN Recreational Fisheries Information Network
Alerts 0 Dashboard Search Help Public

CTE002 - Recreational Fishery Total Mortality - :P2_REPORT_TYPE

Report: Number of Fish, or Metric-Tons (mt)

This report was generated using state agency recreational fishery data from the RecFIN comprehensive data. This report includes all Pacific coast areas, and other inland areas where marine fish are caught. Pacific halibut and salmon catch estimates are not included in this report, and California estimates may differ from previously posted estimates. This report contains estimates of highly migratory species (HMS) catch from Oregon and Washington. California HMS Commercial Passenger Fishing Vessel (CPFS) landings are available at: https://www.wildlife.ca.gov/Fishing/Commerical/Landings. California HMS CPFV and recreational private vessel catch estimates are available at: https://www.pcouncil.org/highly-migratory-species/stock-assessment-and-fishery-evaluation-safe-documents/ (HMS Stock Assessement and Fishery Evaluation Documents). Depth-dependent mortality estimates have been applied to released groundfish for all years.

Report run time:
February 27th, 2023 6:05pm

Data good through (YYYY-MM):
- WA (2004-03 to 2022-10)
- OR (2001-01 to 2022-12)
- CA (2005-01 to 2022-12)

Data last refreshed:
2/27/2023 12:26:32PM

Filters applied:
Year(s)
- 2013 - 2022
Mode(s)
- All Modes
Water Area(s)
- All Waters
Trip Type(s)
- All Trip Types
Specie(s)
- All Species

Report | Select a Report View | Summary Report

	California			
Species	Retained (# fish)	Released Dead (# fish)	Retained (mt)	Released Dead (mt)
Abalone Genus	7,360	0	0.000	0.000
Albacore	-	-	-	-
American Shad	9,369	0	0.053	0.000
Anchovy Family	17,732	2,717	0.200	0.000
Arctic Char	18	0	0.000	0.000
Arrowtooth Flounder	135	1	0.030	0.000
Banded Guitarfish	99	0	0.033	0.000
Bank Rockfish	19,571	442	7.224	0.000
Barred Sandbass	430,786	750	405.992	0.393
Barred Surfperch	3,345,787	11,416	1,137.226	3.929
Bat Ray	26,582	669	143.212	4.230
Bay Pipefish	12	0	0.000	0.000

Additional comments (following line numbers):

35-38: I prefer the title as written on this submission, but I also like your alternative on lines 37-38

We appreciate this endorsement and have retained the original title.

53: consider revising this line to “improving the resilience of monitoring and management, and increasing adaptive capacity to future stressors.”

We rewrote this sentence using the suggested language.

57: maybe split off “simulation testing to help guide management decisions” so it can be a stand alone recommendation that supports improved decision-making?

This is a great suggestion. The sentence now reads as follows:

> “Key recommendations include: (1) expanding monitoring to enhance mechanistic understanding, provide early warning signals, and improve predictions of impacts; (2) increasing the flexibility, adaptiveness, and inclusiveness of management where possible; (3) using simulation testing to help guide management decisions; and (4) enhancing the adaptive capacity of fishing communities by promoting engagement, flexibility, experimentation, and failsafes.”

130-131: In the "blob initiation region" of the interior Gulf of Alaska, persistent high pressure led to reduced storminess, weak surface winds, reduced heat loss to the atmosphere, reduced vertical mixing, warming and persistent stratification of the surface layer (Bond et al. 2015). The fall 2013 initiation of the warm blob in the Gulf of Alaska did not include weaker than normal alongshore winds off the PNW coast, and near average to cooler than normal nearshore SSTs persisted off the PNW coast persisted into summer 2014. Beginning in early 2014, a distinct and distant upper ocean warming developed in the Southern California Current System (SCCS). The SCCS warming involved reduced alongshore winds and a reduction in coastal upwelling (Zaba and Rudnick, 2016), and it was in fall 2014 these two warm anomaly areas rapidly expanded and merged into a broad pattern of warming that encompassed the entire NE Pacific (DiLorenzo and Mantua, 2016). These are more details than you need for this article, but the description offered here mixes up some key parts of the MHW evolution.

We appreciate this clarification. We significantly edited this paragraph to more accurately describe the initiation of the heatwave and its merger with the separate warming event in the SCCS. The underlined text was significantly revised from the original text:

> “The marine heatwave began in fall 2013 as a large “blob” of anomalously warm water in the Gulf of Alaska (**Figure 1**) (Bond et al., 2015). This warm water pool formed as a result of an unusually persistent ridge of high atmospheric pressure that reduced storminess, weakened surface winds, intensified stratification, and reduced both heat loss to the atmosphere and advection of cooler water into the upper ocean (Bond et al., 2015). In spring 2014, a separate upper ocean warm

pool developed in the distant southern California Current ecosystem, associated with reduced alongshore wind and coastal upwelling. By fall 2014, these two warm water anomalies merged, encompassing much of the Northeast Pacific (Di Lorenzo and Mantua, 2016). The heatwave persisted as a result of a strong El Niño that began in mid-2015 and caused warm conditions to last until summer 2016 in the California Current (Di Lorenzo & Mantua, 2016; Jacox et al., 2016) and through 2017 in the Gulf of Alaska (Suryan et al., 2021). Throughout this period, anomalously warm conditions only abated in spring in nearshore upwelling zones during periods of favorable wind stress (Gentemann et al., 2017). However, cool, nutrient-rich, subarctic source water was locally available before and during the heatwave (Schroeder et al., 2019). During the southern warming event, weakened winter storms and upwelling-favorable alongshore winds resulted in persistent stratification of the surface layer. This limited the vertical mixing of cold, nutrient-rich, deep water into surface waters, leading to reduced nutrient fluxes into the euphotic zone and deepening of the nutricline in 2014-15 (Zaba & Rudnick, 2016)."

138: I would not cite Whitney (2015) after discussing nearshore productivity, as that article focused on the transition zone well offshore of the continental shelf (from 130W-170W).

We removed the citation to Whitney (2015) here. This leaves the citations for Delgadillo-Hinojosa et al. (2020) and Peña et al. (2019).

201: seems worth noting that lags between the heatwave event and fisheries impacts are likely to vary widely - for instance range shifts are likely to have small or no lags, but impacts on recruitment for species that enter the fishery age 2+ are likely to exhibit lags in abundance and related landing changes. Noting this here would foreshadow your summary of the rise in tribal salmon fishery federal fishery disaster declarations starting with the 2017 harvest year (lines 241-243).

This is a great suggestion. We added the following underlined text and citation to highlight this:

"This analysis is limited in that it cannot attribute causality, it does not account for lags in heatwave impacts (which may be minimal for range shifts or for especially fast-lived species, or delayed for species that recruit into the fishery at age 2 or older; White et al., 2022), and it assumes that profits are proportional to revenues, but it still provides useful insights into the identity and rank order of potential heatwave "winners" and "losers"."

White, J. W., Barceló, C., Hastings, A., & Botsford, L. W. (2022). Pulse disturbances in age-structured populations: Life history predicts initial impact and recovery time. *Journal of Animal Ecology*, *91*(12), 2370–2383. https://doi.org/10.1111/1365-2656.13828

249-250: You might add that more resilience bolstering actions are needed to mitigate climate impacts on tribal fishery SESs (not just research)

This is an important point. We added the following underlined text to capture this:

"More cooperative research is necessary to characterize the impacts of climate change and heatwaves on Indigenous communities and to identify and implement actions for bolstering their resilience to these impacts (Mason et al. 2022)."

Mason, J. G., Eurich, J. G., Lau, J. D., Battista, W., Free, C. M., Mills, K. E., Tokunaga, K., Zhao, L. Z., Dickey-Collas, M., Valle, M., Pecl, G. T., Cinner, J. E., McClanahan, T. R., Allison, E. H., Friedman, W. R., Silva, C., Yáñez, E., Barbieri, M. Á., & Kleisner, K. M. (2022). Attributes of climate resilience in fisheries: From theory to practice. *Fish and Fisheries*, *23*(3), 522–544. https://doi.org/10.1111/faf.12630

308-309: The authors accurately note that the abundance for SRFC and KRFC forecasts do not explicitly involve environmental covariates despite their known importance. However, correlations between environmental covariates and salmon abundance do not guarantee better abundance forecast performance - for example, see Winship et al.'s (2005) evaluation for Sacramento River fall Chinook. Wainwright (2021) also provides an interesting and relevant analysis of Oregon Production Index coho salmon productivity and climate. Winship et al paper showed that a simpler model (without environmental variables) had the best forecast overall forecast performance for SRFC. Wainwright noted that "Results demonstrate that predictive skill of EBF [Environment-Based Forecast] models is often ephemeral, arising and falling suddenly across time".

Winship, AJ, MR O'Farrell, WH Satterthwaite, BK Wells, and MS Mohr. 2015 Expected future performance of salmon abundance forecast models with varying complexity. Can. J. Fish. Aquat. Sci. 72: 1–13 (2015) dx.doi.org/10.1139/cjfas-2014-0247

Wainwright, TC. 2021. Ephemeral relationships in salmon forecasting: A cautionary tale. Progress in Oceanography,193. https://doi.org/10.1016/j.pocean.2021.102522

We added the following underlined text to capture these important points and citations:

"In general terms, both forecast models are based on the previous year's returns (Peterman, 1982; Winship et al., 2015); they do not explicitly include environmental covariates, despite their known importance (Friedman et al., 2019; Wells et al., 2016), due partially to concerns about their long-term predictive power (Winship et al. 2015; Wainwright 2021)."

311: The marine heatwave would have primarily affected fisheries and the number of spawners from 2016-2019 for both Sacramento River (SRFC) and Klamath River fall Chinook (KRFC). Thus the forecasts for those management years are of interest.

We appreciate this clarification. We edited the text to read:

> "The marine heatwave impacted juveniles entering the ocean in 2014-16, which means that the impacts of the heatwave were not realized until these cohorts returned as adults, primarily in 2016-19".

The sentence previously read:

> "The marine heatwave impacted juveniles entering the ocean in 2014-16 from both these stocks, with cohorts predominantly returning as adults in 2016-18 in the Sacramento and 2017-2019 in the Klamath River."

311-318, 325-327: Looking at management years 2016-19, KRFC were over forecast in 2016, 18, 19, but slightly under forecast in 2017. SRFC were over forecast in 2016 and 17, almost perfectly forecast in 2018, and under forecast in 2019. The key point here is that this period saw more frequent over forecast than under forecast errors, but error signs and magnitude varied by year and stock.

This is a useful observation and we rewrote this sentence to clarify that there was a general tendency for the model to over forecast during this period, though for some stocks and years, there were the noted exceptions. These two sentence now read:

> "The marine heatwave impacted juveniles entering the ocean in 2014-16, which means that the impacts of the heatwave were not realized until these cohorts returned as adults in 2016-2019. During the return period, the models for each stock successfully forecasted low preseason abundance, but tended to overestimate the actual return size (**Figure 6D**)."

They previously read:

> "The marine heatwave impacted juveniles entering the ocean in 2014-16 from both these stocks, with cohorts predominantly returning as adults in 2016-18 in the Sacramento and 2017-2019 in the Klamath River. Both stocks' models forecasted low preseason abundance, but both also nonetheless overestimated actual return size (**Figure 6D**)."

330-334: Given forecast and fishery model uncertainty, building in precautionary fishery management measures and restoring resilience in the salmon production system to all climate extremes (drought, flood, marine and terrestrial heat waves via freshwater and estuary habitat restoration) is something that should be prioritized (you could cite Munsch et al. 2022 here).

This is a great point. We greatly expanded this text, which now reads:

"This highlights the importance of incorporating additional precaution to account for uncertainty (Satterthwaite & Shelton, 2023) and enhancing the resilience of the salmon production to all climate impacts (e.g., drought, flood, terrestrial heatwaves) through freshwater and estuarine habitat restoration (Munsch et al. 2022; Sturrock et al. 2019). It also highlights the importance of increasing community resilience by, for example, promoting the ability to switch to alternative fisheries."

Satterthwaite, W. H., & Shelton, A. O. (in press). Methods for assessing and responding to bias and uncertainty in U.S. West Coast salmon abundance forecasts. *Fisheries Research*.

Sturrock, A. M., Satterthwaite, W. H., Cervantes-Yoshida, K. M., Huber, E. R., Sturrock, H. J. W., Nusslé, S., & Carlson, S. M. (2019). Eight Decades of Hatchery Salmon Releases in the California Central Valley: Factors Influencing Straying and Resilience. *Fisheries*, *44*(9), 433–444. https://doi.org/10.1002/fsh.10267

It previously read:

"This highlights the importance of restoring freshwater habitats to buffer against poor ocean conditions and increasing community resilience through additional policy actions that, for example, promote the ability to switch to alternative fisheries or reform disaster relief to be more accurate, timely, and equitable."

390-392: I believe that step 2 of the 4 listed here is well underway with the Rapid Assessment and Mitigation Program (RAMP) that includes near real-time monitoring, a multi-stakeholder California Dungeness crab Fishing Gear Working Group that makes recommendations to CDFW's Director, and in-season assessments that impact in-season management actions: see https://www.opc.ca.gov/risk-assessment-and-mitigation-program-ramp/ and https://wildlife.ca.gov/Conservation/Marine/Whale-Safe-Fisheries

We replaced "developing" with "continuing to refine" and added a reference to the RAMP rules to clarify that significant headway has already been made on this point. We also rearranged (moved the stakeholder bit) and expanded the text (added the "minimize impacts on fishers" bit) to help address the comment below.

The text now reads:

"(2) continuing to refine entanglement prevention strategies that are co-developed with stakeholders and are proven to be effective, robust or adaptable to changing conditions, and minimally impactful on fishers, (CDFW 2020; Samhouri et al., 2021);"

CDFW (2020) Risk Assessment Mitigation Program: Commercial Dungeness Crab Fishery, 132.8. California Code of Regulations, Title 14 (2020). https://nrm.dfg.ca.gov/FileHandler.ashx?DocumentID=184189&inline

It previously read:

> "(2) developing entanglement prevention strategies that are proven to be effective, robust or adaptable to changing conditions, and co-developed with stakeholders (Samhouri et al., 2021);"

365-396: You might also add that indirect effects of the MHW diminished the effectiveness of different management strategies to resolve trade-offs between conservation benefits and costs to the Dungeness crab fishery (Samhouri et al. 2021). This situation has persisted to date with the delayed opening of the fall 2022 crab fishery due to the presence of large numbers of whales in Central California's crab fishing areas (as detailed on ca.gov web-site listed above). While the RAMP has been very effective at reducing whale entanglements, the delayed season openings continue to bring negative economic impacts to the commercial fisheries.

We added the following underlined text to capture this point:

> "These events prompted an overhaul of California's entanglement risk management program (CDFW 2020), which has implemented early closures in the last four fishing seasons (2018-19 to 2021-22) to reduce entanglement risk. This has been effective at reducing entanglements but at significant cost to fishers (Seary et al., 2022).

We hope that the increased clarity in point #2 (addressed in the comment above) helps to better highlight the value of identifying strategies that are either robust or adaptable to changing conditions, such as those experienced during the 2014-16 heatwave.

378-381: Santora et al (2020) showed that the dramatic spike in whale entanglements was due to a MHW-induced change in forage availability (specifically a reduction in the availability of krill along the shelf-break and submarine canyon heads) at the same time there was an increased concentration of anchovies inside the shelf-break where the crab fishery (and many other fisheries) are conducted. Humpback whales typically feed on krill, but when krill were scarce they switched to feeding on inshore anchovies, which increased the spatial overlap with fishing activity. The already boosted space-time overlap of whales and fishing gear was further intensified by the HAB-induced delayed opening of the 2015-16 Dungeness crab fishery, but that was only a compounding issue in the 2015-16 season. As shown in your Figure 8A, California's Dungeness crab fishery experienced delayed openings in 2019-2021 (and 2022, but that just happened!) in response to high whale entanglement risks.

We added the following underlined text to succinctly capture these additional mechanistic details:

> "Second, these delays led the fishery to open when humpback whales were returning north, intensifying the overlap between nearshore fishing and migrating

whales. This overlap was further exacerbated by the heatwave-induced nearshore compression of coastal upwelling, which caused spatial shifts in forage species availability (i.e., offshore krill abundance decreased while inshore anchovy abundance increased), leading to a dramatic spike in whale entanglements in crab pot lines (Santora et al., 2020)."

406: Rykaczewski and Checkley (2008) hypothesized that stronger offshore/open ocean upwelling (caused by wind-stress curl, rather than equatorward alongshore winds) during warm periods benefited the production of small plankton favored by sardine.

We deleted this text in the process of shortening and reorganizing the case studies.

512-513: Is it possible that ODFW's efforts to improve stock assessments and monitoring are actually addressing climate change impacts by better tracking the shrimp stock status? Isn't improved monitoring, along with rapid-assessment, of short-lived species likely to provide better decision-support information than what you would get when you are faced with uncertainty in both climate forecasts and environmentally-based shrimp forecasts?

This is a really interesting point. We added the following underlined text to make this point and further broaden the relevance of the case study for short-lived species:

"Although the Oregon Department of Fish and Wildlife identified revisiting the relationship between shrimp recruitment and environmental conditions as a top research priority (Groth et al., 2017), it also highlighted that continued monitoring and improved stock assessment are, perhaps, more important to near-term fisheries outcomes. In fact, improved monitoring and more frequent assessments may explain the apparent resilience of these stocks to climate change, as rapid observations and assessments may provide more useful decision-support information than climate-linked forecasts for short-lived species. This case study highlights that: (1) global markets and lagged population dynamics can potentially mitigate (or, in other situations, exacerbate) heatwave impacts; (2) innovation by fishermen can overcome some negative heatwave impacts; and (3) addressing climate impacts may not be the highest priority if there are more pressing concerns (e.g., improving stock assessments, especially for short-lived species)."

653: climate (or other) stressor

We added the suggested text.

1429-30: please specify which of the 3 Free et al (2022) articles are being cited here (I think it is the Harmful Algae article)

Thank you for catching this. This is the *Harmful Algae* paper. We updated the citation.

Reviewer 2

GENERAL ASSESSMENT

This paper aims at providing a synthesis of the ecological and economic consequences of the heatwave occurred in 2014-2016 in the Northeast Pacific affecting the marine ecosystems and the fisheries of the U.S and Canada west coast. The authors provide a review of the main ecological changes observed and main impacts on the most representative fisheries resources and human livelihoods. The authors concluded with a section illustrating the lessons learned at different levels: monitoring, management, and for improving the adaptive capacity of the fishing communities.

I liked a lot the manuscript as it can be an illustrative example of the implications and management applications that heatwaves and other extreme events related to climate change can have in other regions of the world. However, there are numerous elements that, to my understanding, must be improved to make this contribution more useful of readers. These mainly affect the presentation and organization of the material presented.

> We are grateful for your close review of our manuscript and for your thoughtful and constructive feedback. We agree with all of your comments and suggestions and detail how we addressed them in the indented blue text below.

MAJOR (MODERATE) ISSUES

Species-specific sections: There is a strong heterogeneity among the species specific examples (case studies), which should follow more consistently the same structure with information on the (lines 265-268): i) ecological process that trigger the impact of the fishery, ii) the a succinct overview of the impact on the fishery, iii) the response of the management, and iv) the lessons learnt for improving resilience on this specific recourse. However, many case studies provide a very extensive description of the history of the fishery with unnecessary information (many numbers) that difficult the capacity of the reader to take the most important messages in iii) and iv). I recommend the authors to make cases studies more succinct and homogeneous among them.

> We took several actions to address this important point.
>
> First, we rearranged the presentation of the case studies to follow a more purposeful order (see response to comment below for details; comment = "Organize the Figure 8…"). This enabled us to cut words from the case studies by avoiding repetitive text.
>
> Second, we carefully reviewed the case studies and removed unnecessary information and numbers, which also reduced the word count and improved clarity.

Third, we edited the case studies to follow the structure proposed in the comment, which reflects the structure we also describe in the introduction to the case studies:

> "In each case study, we provide a brief overview of the fishery, the impact of the heatwave on the fishery, the response of industry and management to these impacts, and the revealed opportunities for improving resilience to future heatwaves and climate change."

These edits greatly improved consistency, clarity, and brevity. Overall, we removed 369 words from Sections 3 and 4, reducing a total of 5590 words to 5221 words.

Section 3: Socio-economic impacts. I've realized that authors have carefully avoid to anticipate information that can results repetitive with that provided in the following case studies. However, I think that there is still room to avoid a bit more some repetition. Figures 3 and 5 are very illustrative in this section while Figures 4 and 6 have too much information, with very small fonts and not used at all in the text. I suggest to allocate figures 4 and 6 in the Supplementary Material.

We significantly edited Sections 3 and 4 to avoid repetition. Overall, we removed 369 words, reducing the sections from a joint total of 5590 words to 5221 words.

Because Reviewer 2 also found Figures 4 and 6 to be overly detailed and because they are not discussed in detail in the manuscript, we moved these figures to the supplementary materials for the especially interested reader.

Section 5 – lessons learned: This section is very illustrative but it would be helped by a Table summarizing the main elements in 5.1, 5.2. and 5.3. In Section 5.3, some information related to the potential limitations to the implementation of the measures proposed would be useful.

We added a table to the supplementary materials (**Table S1**) that summarizes the principles recommended in these sections. The table also provides a practical example of how each principle might be implemented. We added this table to the supplementary material rather than to the main text because, while it is extremely useful (it is very easy to absorb), it directly repeats the text already written in section 5.

We added the following underlined text to Section 5.3 to describe additional limitations of the proposed actions for enhancing adaptive capacity in fishing communities:

> "Because adaptive capacity depends on social and demographic factors that are heterogeneous across West Coast fishing communities (Koehn et al., 2022), the success of the suggested strategies will be context dependent. <u>Communities with the lowest adaptive capacity typically have lower incomes, higher poverty rates, and higher unemployment. Because economic assets are a key component of adaptive capacity, communities with more financial assets are more likely to be</u>

able to take advantage of opportunities like Exempted Fishing Permits. Moreover, in California fishing communities, low adaptive capacity was related to having a high percent of persons of minority and a high percent of the population that does not speak English well (Koehn et al., 2022), which can lead to additional barriers to participating in fisheries management processes or learning about new programs. Beyond focusing on financial assets, strategies that enhance social networks, education, and agency can also improve adaptive capacity of fishing communities (Barnes et al., 2020). In addition to social considerations, easing access to permits will only help communities in locations where new or alternative target species are available (Fisher et al., 2021)."

Surprises – Authors highlight it the title and the abstract the surprising challenges and unexpected impacts. They are now dispersed in the different case studies, while it would be more helpful to have them compiled and synthesized in an independent section.

We very much appreciate this point but have opted not to add a standalone surprises section. The paper is already quite long and we preferred to use all additional text space to address the very valuable point that you make in the next comment: i.e., the need to connect the lessons learned from the 2014-16 Northeast Pacific marine heatwave to other regions and other categories of extreme events. In our view, each of the selected case studies was a surprise and the "lessons learned" sections thus represent synthesized lessons for addressing surprising challenges and unexpected impacts.

Contextualize this HW with other HWs and extreme events. While we now know that there are many more climate change impacts beyond warming, it would be nice to contextualize this HW with others and other extreme events as there is a diversity in these impacts and they often tend to be grouped under the term 'extreme events'. However, to my understanding, this particular HW was temporally and spatially more extensive compared with other HWs that can last for few weeks, which could be indeed more comparable to winter extreme events that can last for few days or a week. This is important from the perspective of a reader that try to establish parallelisms (and maybe apply some of the measures and lessons learned) with events occurred in other locations.

We added a significant new subsection (~800 words) to section "5. Lessons learned" called "5.4. Lessons for and from other regions" to describe the implications of the lessons learned from 2014-16 Northeast Pacific fisheries for other regions and also the lessons learned from heatwaves in other regions for the Northeast Pacific region.

HW are not turning into the new and exclusive environmental influence on fisheries. Sometimes, one feels that authors call for a change in the paradigm in regards the influence of environment on fisheries to be from now on focused in HWs. Lessons learnt should complement and have added value on the long-term knowledge acquired in this region.

We added the following underlined text to the conclusions section to emphasize that the success of climate-adaptive fisheries management depends on an effective foundation of traditional fisheries management measures:

"Furthermore, the success of these improvements depends on an effective foundation of traditional fisheries management measures (Melnychuk et al., 2021), which have both improved fisheries outcomes (Hilborn et al., 2020) and conferred climate resilience (Free et al., 2019). Investments in both traditional and climate-adaptive fisheries management will thus be vital to ensuring that fisheries continue to support livelihoods, food, and nutrition for billions of people, despite climate change (Costello et al., 2020; Free, Cabral, et al., 2022)."

Hilborn, R., Amoroso, R. O., Anderson, C. M., Baum, J. K., Branch, T. A., Costello, C., de Moor, C. L., Faraj, A., Hively, D., Jensen, O. P., Kurota, H., Little, L. R., Mace, P., McClanahan, T., Melnychuk, M. C., Minto, C., Osio, G. C., Parma, A. M., Pons, M., … Ye, Y. (2020). Effective fisheries management instrumental in improving fish stock status. Proceedings of the National Academy of Sciences, 117(4), 2218–2224. https://doi.org/10.1073/pnas.1909726116

Melnychuk, M. C., Kurota, H., Mace, P. M., Pons, M., Minto, C., Osio, G. C., Jensen, O. P., de Moor, C. L., Parma, A. M., Richard Little, L., Hively, D., Ashbrook, C. E., Baker, N., Amoroso, R. O., Branch, T. A., Anderson, C. M., Szuwalski, C. S., Baum, J. K., McClanahan, T. R., … Hilborn, R. (2021). Identifying management actions that promote sustainable fisheries. Nature Sustainability, 4(5), Article 5. https://doi.org/10.1038/s41893-020-00668-1

Free, C. M., Thorson, J. T., Pinsky, M. L., Oken, K. L., Wiedenmann, J., & Jensen, O. P. (2019). Impacts of historical warming on marine fisheries production. Science, 363(6430), 979–983. https://doi.org/10.1126/science.aau1758

Free, C. M., Cabral, R. B., Froehlich, H. E., Battista, W., Ojea, E., O'Reilly, E., Palardy, J. E., García Molinos, J., Siegel, K. J., Arnason, R., Juinio-Meñez, M. A., Fabricius, K., Turley, C., & Gaines, S. D. (2022). Expanding ocean food production under climate change. Nature, 605(7910), 490–496. https://doi.org/10.1038/s41586-022-04674-5

MAJOR ISSUES

Data: Some description of data used in Section 3 and how it has been structured and handled is needed. It seems that some information should be available in the SM, but it does not appear there.

We addressed this comment in three ways.

First, we expanded the headers for each of the analyzed datasets to indicate the figure in which each dataset is featured. Thus, the data used in Section 3 are now highlighted under the following section headers: *"Commercial revenues data (Figures 3 & S1)"* and

"Recreational landings data (Figures 4 & S2)". We arranged the dataset descriptions to match the order in which they are presented in the figures.

Second, we added new text to describe the following previously undescribed datasets: *"Sea surface temperature data (Figure 1)"*, "*Northern anchovy index of abundance data (Figure S3)*", and "*Pacific bluefin tuna trophy size fish data (Figure S4)".*

Third, we expanded the descriptions to include information that was previously missing. A few examples of additions added are provided below:

To disaster data: "These data describe information on every U.S. federal fisheries disaster declaration occurring from 1989-2020, including information on the fishery impacted, the cause of the disaster, the amount of relief money requested and awarded, and other relevant information."

To Dungeness crab data: "These data describe the location and duration of every closure (or evisceration order) in the West Coast Dungeness crab fishery from 2014-2021."

Organize the Figure 8 according to the sequence of presentation of the case studies, or present the case studies in a different order otherwise.

This really helpful comment triggered a number of changes to the text and figures.

First, it led us to think carefully about the order in which the case studies are presented. As a result, we slightly rearranged the case study order and then propagated this order into Figures 5, 6, and 7 (formerly Figures 7, 8, 9). The only exception is in Figure 6, where the width of the Dungeness crab panel demands an entire row, forcing us to make this figure slightly deviate from the true case study order.

Another benefit of this careful thinking about case study order was that it led us to add an additional element to Figure 5 (formerly Figure 7) to separate the positive impacts associated with range shifts from those associated with recruitment spikes. This is a useful tool for helping the reader to see connections between the case studies.

We carefully reread and edited the text to ensure that rearranging the case study order did not impact clarity by changing the order in which information is presented.

Lines 294-298: It reads as the work done by previous research is not valid anymore, and I doubt this is the case. I think that lessons learned at all levels in the HW should help to complement or revise previous research not to invalid them.

We edited this sentence to clarify that we are just saying that historical relationships derived based on historical conditions become less reliable when they are applied to non-analog (i.e., novel, unprecedented conditions) conditions. The cited paper shows this, i.e., we are not invalidating the cited paper. The sentence now reads:

> "Second, a forward-looking perspective is needed: for instance, recruitment projections based on historical observations and relationships become less informative when applied to unprecedented ocean conditions (Litzow et al., 2021)."

Previously, it read:

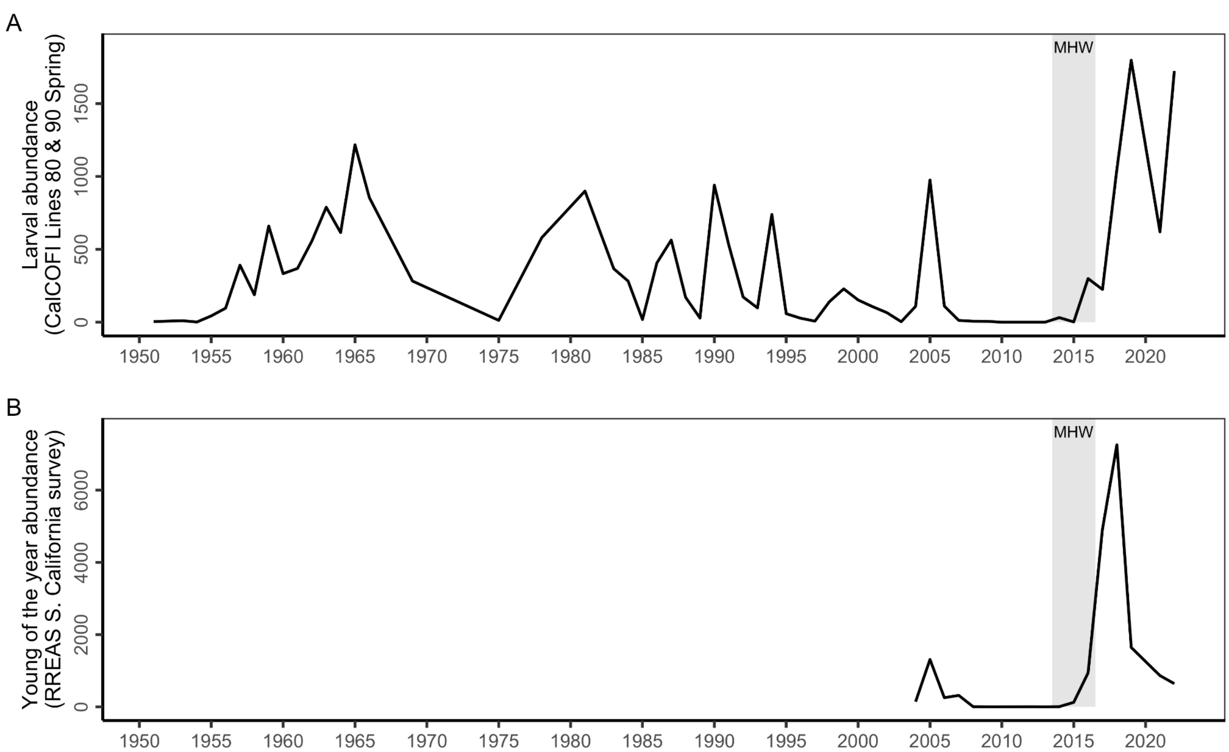
> "Second, a forward-looking perspective is needed: for instance, recruitment projections based on historical observations become less informative as we encounter unprecedented ocean conditions (Litzow et al., 2021)."

Line 356: Revise this at the time of reviewing the ms.

We can confirm that the sardine fishery remains closed at the time of resubmission.

Line 414: A panel on anchovy is missing in Figure 9.

This is a good point. We added the following figure to the supplementary materials (**Figure S3**) to illustrate the anchovy portion of the sardine-anchovy case study:



We added the following underlined text to the caption of Figure 6 (formerly Figure 8), where the sardine portion of the sardine-anchovy case study is featured, to refer the reader to the new figure illustrating the anchovy portion of the case study:

> "Panel **E** shows the collapse of the commercial Pacific sardine fishery and its closure during the heatwave (see **Figure S3** for the increase in Northern anchovy documented in this case study)."

Line 425-426: No clear the role of the HW in the sardine decline.

Yes, this is correct: the impact of the heatwave on sardines remains poorly understood and surprising. The text above this sentence describes this well (key parts underlined):

> "Moreover, the heatwave was expected to help recover the declining sardine population and curb growth in the increasing anchovy population; instead, sardine abundance continued to decline throughout the heatwave (Nielsen et al., 2021), contributing to the closure of the directed fishery in 2015 (**Figure 6B**), and anchovy abundance rose to near record highs (Thompson et al., 2022). Although the environmental mechanisms driving fluctuations in sardine and anchovy abundance remain poorly resolved, (Swalethorp et al., 2022) found that changes in larval anchovy diet explained a significant proportion of spawning stock biomass two years later. Shifting anchovy and sardine dynamics illustrate the risks of relying on historical statistical correlations to guide management decisions, as climate change increasingly results in no-analog conditions in ecosystems such as the California Current."

Line 432: Design management strategies to anticipate the impacts of future decrease of anchovy on seabirds and sea lions.

We added the following underlined text to address this important point:

> "Successfully managing these species under future climate conditions will require a better understanding of the links between complex environmental changes (beyond temperature alone), foraging ecology, and productivity of the stock, and/or using management strategies that are robust to these dynamics and limit impacts on seabirds, marine mammals, and other protected species (Siple et al., 2019)."

Line 463-465: A bit more of the ecological process behind. The same in lines 552-556.

We added the following underlined text to the market squid case study to explain the ecological mechanisms for the range shifts that occur during El Niños and heatwaves:

"The heatwave triggered significant range expansions and geographical shifts in the productivity of California market squid, a southern warm-water species, which have persisted beyond the heatwave years and resulted in emerging fisheries in sudden need of management. Historically, the range of market squid has been concentrated in California, where it supports one the state's largest and most valuable fisheries (Free, Vargas Poulsen, et al., 2022). In the past, strong El Niño conditions have supported temporary (weeks long) extensions of market squid range as far north as the Gulf of Alaska, where waters are normally too cold for this warm-water species. However, the 2014-16 marine heatwave resulted in a pronounced northward shift that has persisted longer than ever recorded (Burford et al., 2022; Chasco et al., 2022; M. Navarro, 2020)."

We added the following underlined text to the bocaccio case study to provide more information on the suspected reasons for the historical periods of decline:

"The stock experienced a prolonged decline in spawning biomass from 1935-2020, despite relatively low exploitation rates, due to sustained low recruitment and lower productivity than expected (Starr and Haigh 2022; **Figure 7E**)."

Lines 577: When it is said 'rebuilding', does it refer to naturally rebuilt or to a success of the management measures?

We added "natural and unexpected" to this sentence to further clarify that rebuilding was due an extreme recruitment event rather than to successful management measures:

"This case study is a success story in terms of the natural and unexpected rebuilding an endangered fish stock, but highlights institutional challenges to respond rapidly to sudden increases in abundance of "choke species", and raises questions about long-term management of stocks dependent on rare, environmentally driven recruitment events."

Lines 592-595: Further investigation to better establishing the mechanistic link between local impacts a regional dynamics should be extended to all species. Also, the other way around, tools to identify the most sensitive areas (local scales) to regionally driven dynamics.

We rewrote this sentence to make it broader and added a citation. It now reads:

"For instance, targeted monitoring is necessary to resolve the relationship between the local availability and stockwide abundance of highly migratory species (see the bluefin tuna case study) and the reasons for unexpected reversals in long-believed relationships between the environment and fisheries productivity (see the sardine and anchovy case study) (Myers, 1998)."

Myers, R.A. When Do Environment–recruitment Correlations Work?. Reviews in Fish Biology and Fisheries 8, 285–305 (1998). https://doi.org/10.1023/A:1008828730759

It formerly read:

"For instance, targeted monitoring is necessary to resolve the relationship between the local availability and stockwide abundance of Pacific bluefin tuna and the reasons for the unexpected reversal in the relationship between warming and sardine and anchovy abundance (Thompson et al., 2022, p. 65)."

Line 601: The content of Maureaud et al 2021 is not related to the content of this sentence.

Thank you for catching this. We replaced this citation with the following citation:

"By complementing existing fisheries-independent surveys with information derived from fisheries-dependent data, heatwave-driven shifts in abundance and distribution could be detected earlier and more comprehensively (Hobday & Evans 2013)."

Hobday, A.J., Evans, K. Detecting climate impacts with oceanic fish and fisheries data. Climatic Change 119, 49–62 (2013). https://doi.org/10.1007/s10584-013-0716-5

Line 641: Climate-linked MSE - Need to have well identified the climate trigger, the environmental driver and the mechanistic ecological processes to have really MSE useful.

This is a good point. We replaced "levels of observation and assessment uncertainty" in the following sentence to highlight this more important point instead:

"Finally, wider use of climate-linked management strategy evaluation (Kaplan et al., 2021) to compare the performance of alternative management strategies under climate change will help to quantitatively inform management decisions. Management strategy evaluation uses closed-loop simulation to compare the performance of alternative management strategies (Punt et al., 2016). Critically, it can evaluate the robustness of performance across various climate change trajectories, assumed relationships between climate change and the fishery, <u>levels of certainty in the assumed environmental relationship</u>, and any other key sources of variability (<u>Punt et al., 2014</u>; Haltuch et al., 2019; Jacobsen et al., 2022)."

We also added a reference to Punt et al. 2014 *ICES,* where this is explicitly examined:

André E. Punt, Teresa A'mar, Nicholas A. Bond, Douglas S. Butterworth, Carryn L. de Moor, José A. A. De Oliveira, Melissa A. Haltuch, Anne B. Hollowed, Cody Szuwalski, Fisheries management under climate and environmental uncertainty: control rules and

performance simulation, ICES Journal of Marine Science, Volume 71, Issue 8, October 2014, Pages 2208–2220, https://doi.org/10.1093/icesjms/fst057

Lines 645-648: From lessons learn, relationships between climate change and fishery must now include/combine long-term effects (e.g. warming) and short-middle term effects (e.g. HW).

This is an important point and we added the following underlined text to incorporate it:

"Thus, management strategy evaluation represents the gold standard in using quantitative evidence to guide climate-ready fisheries management decisions that are robust or adaptive to short-term (heatwave) and long-term (warming) climate impacts."

Lines 681-683: Develop this passage a bit more.

We added the following underlined text to Section 5.3 to describe additional limitations of the proposed actions for enhancing adaptive capacity in fishing communities:

"Because adaptive capacity depends on social and demographic factors that are heterogeneous across West Coast fishing communities (Koehn et al., 2022), the success of the suggested strategies will be context dependent. Communities with the lowest adaptive capacity typically have lower incomes, higher poverty rates, and higher unemployment. Because economic assets are a key component of adaptive capacity, communities with more financial assets are more likely to be able to take advantage of opportunities like Exempted Fishing Permits. Moreover, in California fishing communities, low adaptive capacity was related to having a high percent of persons of minority and a high percent of the population that does not speak English well (Koehn et al., 2022), which can lead to additional barriers to participating in fisheries management processes or learning about new programs. Beyond focusing on financial assets, strategies that enhance social networks, education, and agency can also improve adaptive capacity of fishing communities (Barnes et al., 2020). In addition to social considerations, easing access to permits will only help communities in locations where new or alternative target species are available (Fisher et al., 2021)."

Impact of the 2014-16 marine heatwave on U.S. and Canada West Coast fisheries: surprises and lessons from key case studies

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Short running title: Fisheries lessons from a marine heatwave

Two alternative titles:

- Impact of the 2014-16 Northeast Pacific marine heatwave on U.S. and Canada West Coast fisheries: surprises and lessons from key case studies
- Fisheries management under extreme events: Surprises and lessons from the 2014-16 Northeast Pacific marine heatwave

Abstract

Marine heatwaves are increasingly affecting marine ecosystems, with cascading impacts on coastal economies, communities, and food systems. Studies of heatwaves provide crucial insights into potential ecosystem shifts under future climate change and put fisheries social-ecological systems through “stress tests” that expose both vulnerabilities and resilience. The 2014-16 Northeast Pacific heatwave was the strongest and longest marine heatwave on record and resulted in profound ecological changes that impacted fisheries management and human livelihoods. Here, we synthesize the impacts of the 2014-16 marine heatwave on U.S. and Canada West Coast fisheries and extract key lessons for preparing global fisheries science, management, and industries for the future. We set the stage with a brief review of the impacts of the heatwave on marine ecosystems and the first systematic analysis of the economic impacts of these changes on commercial and recreational fisheries. We then examine ten key case studies that provide instructive examples of the complex and surprising challenges that heatwaves pose to fisheries social-ecological systems. These reveal important insights into improving the resilience of monitoring and management and increasing adaptive capacity to future stressors. Key recommendations include: (1) expanding monitoring to enhance mechanistic understanding, provide early warning signals, and improve predictions of impacts; (2) increasing the flexibility, adaptiveness, and inclusiveness of management where possible; (3) using simulation testing to help guide management decisions; and (4) enhancing the adaptive capacity of fishing communities by promoting engagement, flexibility, experimentation, and failsafes. These advancements are important as global fisheries prepare for a changing ocean.

Keywords: climate change, climate-adaptive management, climate-resilient fisheries, ecological surprises, harmful algal blooms, ocean warming

Table of Contents

1. Introduction

Marine heatwaves have increased in frequency, duration, and intensity over the last century (Oliver et al., 2018) and are expected to become even more common and severe under climate change (Frölicher et al., 2018; Laufkötter et al., 2020). These discrete and extended periods of warm water anomalies (Hobday et al., 2016) can greatly impact marine ecosystems (Smale et al., 2019) with cascading impacts on coastal economies, communities, and food systems (Smith et al., 2021). Learning from past heatwaves is essential to building resilience to both future heatwaves and to directional warming for two key reasons. First, conditions during heatwaves are a harbinger of the future and provide insights on what to expect and how to prepare. Second, heatwaves put management systems and livelihoods through a "stress test" that exposes vulnerabilities and opportunities for increasing resilience.

As of 2022, the 2014-16 heatwave in the Northeast Pacific was the strongest and longest marine heatwave in recorded history (Laufkötter et al., 2020). It lasted >700 days, spanned >2.5 million km^2 at its largest extent, and sea surface temperatures were, on average, >2.0°C above the climatological mean (Gentemann et al., 2017). The heatwave occurred in one of the best monitored and managed regions of the world (Gallo et al., 2022; Hilborn et al., 2020; Melnychuk et al., 2021), yet still greatly affected marine ecosystems and economies (Cavole et al., 2016). For example, the heatwave caused (1) the loss of kelp forests and the abalone and urchin fisheries that depend on kelp (Rogers-Bennett & Catton, 2019); (2) an unprecedented harmful algal bloom that resulted in coastwide shellfish fishery closures (McCabe et al., 2016); (3) a spike in humpback whale (*Megaptera novaeangliae*, Balaenopteridae) entanglements resulting from increased overlap of whale foraging grounds with the Dungeness crab (*Metacarcinus magister*, Cancridae) fishery (Santora et al., 2020); and (4) recruitment failures for several fishery species (Laurel & Rogers, 2020; McClatchie et al., 2016). Learning from these impacts can bolster the resilience of monitoring programs, management systems, and fishing communities to the negative impacts of future heatwaves and climate change.

The heatwave also benefited many species (Cavole et al., 2016), which present their own unique management challenges. For example, an explosion in the abundance of shortbelly rockfish (*Sebastes jordani*, Sebastidae) in Oregon, a non-target bycatch species, required rapid management action to avoid the closure of the Pacific hake (*Merluccius productus*, Merlucciidae) fishery, which nearly exceeded its bycatch limit within the first two weeks of the season (NMFS, 2020). Similarly, the northward expansion of California market squid (*Doryteuthis opalescens*, Loliginidae) (Chasco et al., 2022) required rapid management action to regulate the newly emerging fishery in northern latitudes (ODFW, 2021). In addition, movement of large Pacific bluefin tuna (*Thunnus orientalis*, Scombridae) into U.S. waters during the heatwave was a boon for recreational fishing (Runcie et al., 2019). However, it increased fishing mortality on this already overfished stock and highlighted an incomplete understanding in the relationship between local availability and stockwide abundance. Flexible, agile, and informed management is thus crucial to preparing coastal communities for both positive and negative climate impacts.

Here, we synthesize the impacts of the 2014-16 marine heatwave on fishing communities along the West Coast of the United States and Canada and extract key lessons for preparing fisheries science, management, and industries for future climate change and heatwaves based on this experience. We set the stage with a brief review of the impacts of the heatwave on the ecosystem and the first systematic analysis of the economic impacts of these changes on commercial and recreational fisheries. This analysis examines the change in commercial fisheries revenues and recreational fisheries landings that occurred during and after the heatwave relative to before the heatwave. We then examine ten key case studies that provide instructive examples of the complex and surprising challenges that heatwaves pose to fisheries social-ecological systems. These reveal important insights into improving the resilience of monitoring, management, and adaptive capacity to future stressors.

2. The 2014-16 marine heatwave

The marine heatwave began in fall 2013 as a large “blob” of anomalously warm water in the Gulf of Alaska (**Figure 1**) (Bond et al., 2015). This warm water pool formed as a result of an unusually persistent ridge of high atmospheric pressure that reduced storminess, weakened surface winds, intensified stratification, and reduced both heat loss to the atmosphere and advection of cooler water into the upper ocean (Bond et al., 2015). In spring 2014, a separate upper ocean warm pool developed in the distant southern California Current ecosystem, associated with reduced alongshore wind and coastal upwelling. By fall 2014, these two warm water anomalies merged, encompassing much of the Northeast Pacific (Di Lorenzo & Mantua, 2016). The heatwave persisted as a result of a strong El Niño that began in mid-2015 and caused warm conditions to last until summer 2016 in the California Current (Di Lorenzo &

Mantua, 2016; Jacox et al., 2016) and through 2017 in the Gulf of Alaska (Suryan et al., 2021). Throughout this period, anomalously warm conditions only abated in spring in nearshore upwelling zones during periods of favorable wind stress (Gentemann et al., 2017). However, cool, nutrient-rich, subarctic source water was locally available before and during the heatwave (Schroeder et al., 2019). During the southern warming event, weakened winter storms and upwelling-favorable alongshore winds resulted in persistent stratification of the surface layer. This limited the vertical mixing of cold, nutrient-rich, deep water into surface waters, leading to reduced nutrient fluxes into the euphotic zone and deepening of the nutricline in 2014-15 (Zaba & Rudnick, 2016).

These physical changes had profound impacts on plankton communities throughout the California Current ecosystem. In nearshore waters, enhanced stratification reduced nutrient renewal, leading to low phytoplankton abundance (Delgadillo-Hinojosa et al., 2020; Peña et al., 2019). However, in offshore waters, increased stratification increased effective light levels in the surface layer and increased production in an area normally co-limited by iron and light (Peña et al., 2019). These conditions contributed to a harmful algal bloom of unprecedented size, duration, and intensity, leading to widespread fishery closures and contributing to mass mortalities of seabirds and marine mammals (McCabe et al., 2016; McKibben et al., 2017). The bloom, composed of diatoms in the *Pseudo-nitzschia* genus (Bacillariaceae), was induced through a perfect storm of events. First, anomalously warm conditions allowed *Pseudo-nitzschia*, which is tolerant to low nutrient levels, to thrive in warm, nutrient-poor, offshore waters north of its typical range. Then, a series of seasonal storms transported the offshore bloom to the coast, where seasonal upwelling injected nutrients that further intensified the bloom (McCabe et al., 2016). As for the zooplankton community, abundance remained high throughout the heatwave, but with dramatic changes in composition. In general, there was a surge in warm-water species from southern and offshore waters, an increase in the abundance of gelatinous zooplankton, and a decrease in the abundance of crustacean holoplankton, particularly krill (Batten et al., 2022; Brodeur et al., 2019; Lilly & Ohman, 2021; McKinstry et al., 2022; Peterson et al., 2017; Thompson, Ben-Aderet, et al., 2022). The dominance of lipid-poor warm-water zooplankton relative to lipid-rich cool-water zooplankton likely contributed to lower productivity in higher trophic levels (Peterson et al., 2017).

The heatwave induced many changes to higher trophic-level species. In general, the ranges of southern warm-water fish and large invertebrates extended northward, and the ranges of offshore warm-water species extended inshore as waters warmed coastwide (Thompson, Ben-Aderet, et al., 2022). Interestingly, many cool-water species generally appeared to persist within their historical geographic ranges, likely due to the presence of pockets of cool water (Sanford et al., 2019). The heatwave also induced shifts, both positive and negative, in the productivity of many ecologically and economically important fish species (Cavole et al., 2016). For example, while rockfish (*Sebastes* spp., Sebastidae) and Northern anchovy (*Engraulis mordax*, Engraulidae) recruitment was high during the heatwave, Pacific sardine (*Sardinops sagax*, Clupeidae) and salmon recruitment was low (Munsch et al., 2022; Schroeder et al., 2019; Thompson, Ben-Aderet, et al., 2022); hypothesized mechanisms are discussed in greater detail in the case studies below. Furthermore, the heatwave reduced the nutrient content of key forage fish species as result of shifts in the availability of their prey (Mantua et al., 2021; von Biela et al., 2019). In some cases, changes in the abundance, composition, and nutrient content of forage fish triggered the mass mortality of marine mammals (NMFS, 2022) and seabirds (Drever et al., 2018; Jones et al., 2018, 2019; Piatt et al., 2020). In other cases, high recruitment of anchovy and other fishes during the marine heatwave fueled marine mammals and seabird population growth that have persisted to at least 2021 (Thompson, Ben-Aderet, et al., 2022).

3. Socioeconomic impacts of the heatwave on fisheries

The socioeconomic impacts of the heatwave on commercial, recreational, and Indigenous fisheries are documented for some high profile fisheries suffering large negative impacts, but have not been systematically quantified for the majority of the coast's fisheries. In the United States, federal fisheries disasters were declared as a result of the heatwave for commercial and Indigenous fisheries targeting Dungeness crab and rock crab (*Cancer* spp., Cancridae), Pacific sardine, red sea urchin (*Mesocentrotus franciscanus*, Strongylocentrotidae), and many salmon stocks (**Figure 2**), resulting in over US$141 million in relief to impacted fishers, processors, and dealers (Bellquist et al., 2021). Among these disaster declarations, the largest appropriation (US$56.3 million) was to the Gulf of Alaska pink salmon (*Oncorhynchus gorbuscha*, Salmonidae) industry following low salmon returns attributed to poor oceanographic conditions (Pritzker, 2017a). The second largest appropriation (US$25.8 million) was to the California Dungeness crab industry following extended fishery closures due to harmful algal blooms (Pritzker, 2017b). Amongst recreational fisheries, negative economic impacts are best documented for razor clams (*Siliqua patula*, Pharidae) (Ekstrom et al., 2020; Moore et al., 2019;

Ritzman et al., 2018), which support large tourist economies in Oregon and Washington (Dyson & Huppert, 2010). The 2015 harmful algal bloom caused widespread closures in both states causing an estimated loss of US$22 million in tourism revenues (Mapes, 2015). In addition to causing increased financial hardship, these events contributed to increased emotional stress and reduced sociocultural well-being (Moore et al., 2020).

To provide the first systematic overview of the potential economic impacts of the heatwave on the commercial fisheries of the U.S. and Canada West Coast, we compared revenues during (2014-2016) and after the heatwave (2017-2019) with revenues before the heatwave (2011-2013) using commercial landings data (see supplemental information). To account for inflation, we adjusted all revenues to 2020 U.S. dollars. This analysis is limited in that it cannot attribute causality, it does not account for lags in heatwave impacts (which may be minimal for range shifts or for especially fast-lived species, or delayed for species that recruit into the fishery at age 2 or older; White et al., 2022), and it assumes that profits are proportional to revenues, but it still provides useful insights into the identity and rank order of potential heatwave “winners” and “losers”. We found that fleetwide revenues fell during the heatwave in California and Alaska, were stable in Oregon and Washington, and increased in British Columbia. The largest decreases occurred in California (**Figure 3A**), largely due to exceptionally high revenue losses in California’s Dungeness crab, Pacific sardine, and market squid fisheries (**Figure 3B)**. Whereas a small dip in revenues rebounded to pre-heatwave levels in Oregon and Washington, revenues remained low in both Alaska and California throughout the three years following the heatwave (**Figure 3A**). British Columbia experienced higher revenues after the heatwave than in either the periods before or during the heatwave, largely driven by increases in revenues from coastal pelagic species. All four U.S. states saw revenue losses in coastal pelagic fisheries and significant revenue increases in shrimp fisheries during the heatwave. Only California saw increases in revenues in fisheries for highly migratory species during the heatwave, and only Oregon saw increases in revenues from bivalve fisheries (**Figure 3B).** Among management groups with reduced revenues during the heatwave, recovery to pre-heatwave revenues only occurred in Oregon and Washington’s Dungeness crab fisheries and British Columbia’s salmon fisheries. Species-specific results show an array of winners and losers, illustrating the complex heterogeneity of heatwave impacts (**Figure S1**).

We performed a similar analysis on recreational fisheries landings using estimates of the number of fish retained across all fishing modes (e.g., charter/private boats, jetties, piers, beaches, etc.) (see supplemental information). Recreational fisheries are significantly larger in California than in British Columbia or the other U.S. states (**Figure 4**). Overall, recreational landings in California declined during and after the heatwave, though this may be part of a longer-term trend (**Figure 4A**). Declines during the heatwave were driven by large declines in coastal pelagic species (e.g., sardine, anchovy), flatfish, and other miscellaneous species and were only slightly offset by large increases in tuna, roundfish (e.g., sablefish, hake, cod), surfperch, and rockfish (**Figure 4B**). Overall, recreational landings in Oregon, Washington, and Alaska have been relatively constant through time and even increased during the heatwave (**Figure 4A**). In these states, increased landings were apparent in every species group except sharks and rays and the “other fish” category (**Figure 4B**). As with commercial fisheries revenues, species-specific results show a diversity of impacts (**Figure S2**).

Indigenous fisheries in the Pacific Northwest are especially vulnerable to climate change (Koehn et al., 2022) and they were likely disproportionately impacted by the heatwave. Although limited information on Indigenous landings and revenues in public databases precludes impact analyses like those above, U.S. federal fishery disaster declarations provide some indication of the socioeconomic impacts of the heatwave on Native American fisheries (First Nation fisheries are not considered because Canada does not have an analogous disaster relief program). Tribal fishery disaster declarations, primarily occurring among salmon fisheries, significantly increased beginning in 2017 as the impacts of the heatwave were fully realized (Bellquist et al., 2021). Fifteen individual tribes and four tribal associations representing ~200 tribes across the Pacific Northwest and Alaska were impacted by these disasters (Bellquist et al., 2021). Overall, US$111-188 million was appropriated to tribal fishing communities as a result of the heatwave. However, disaster declarations do not fully capture impacts to Indigenous fisheries, which provide significant sociocultural and subsistence values (Crosman et al., 2019). More cooperative research is necessary to characterize the impacts of climate change and heatwaves on Indigenous communities and to identify and implement actions for bolstering their resilience to these impacts (Mason et al., 2022).

4. Case studies

In this section, we present ten key case studies that provide instructive examples of the complex and surprising challenges that heatwaves pose to fisheries social-ecological systems and reveal important insights into improving the resilience of monitoring, management, and adaptive capacity to future stressors (**Figure 5**). These case studies represent a diversity of management regimes (international, federal, state), sectors (commercial, recreational, Indigenous), and taxonomic groups (finfish, crabs, shrimp, squid, abalone, urchins). Case studies were selected to describe both positive and negative heatwave impacts. The five case studies focused on negative impacts are all fisheries that received U.S. federal disaster relief as a result of the heatwave: Pacific cod (*Gadus macrocephalus*, Gadidae), urchin/abalone, Chinook salmon (*Oncorhynchus tshawytscha*, Salmonidae), Dungeness crab, and Pacific sardine. The five case studies focused on positive impacts were selected based on common examples from the literature (Pacific bluefin tuna, California market squid, two rockfish species; see Cavole et al. 2016) and a prominent example from this study's data analysis (shrimp). In each case study, we provide a brief overview of the fishery, the impact of the heatwave on the fishery, the response of industry and management to these impacts, and the revealed opportunities for improving resilience to future heatwaves and climate change.

4.1. Pacific cod

Pacific cod has long supported a productive commercial fishery in the Gulf of Alaska. However, in 2017, a sudden and severe decline in biomass was detected that could not be explained by harvest alone (Barbeaux et al., 2021). Rather, the stock experienced the double impact of increased adult mortality and sustained low recruitment due to the heatwave. High mortality of adult cod was associated with poor body condition (Barbeaux et al., 2020) due to reduced prey availability and increased metabolic demands during the heatwave (Piatt et al., 2020; Rogers et al., 2021; von Biela et al., 2019). Simultaneously, warm water at depth likely reduced egg survival and recruitment (Laurel & Rogers, 2020). Heatwave conditions returned in 2019, further depressing recruitment and delaying recovery of the stock. Despite severe reductions to catch limits for 2018 and 2019 in response to these declines, declines continued, leading the North Pacific Fisheries Management Council to close the directed federal Pacific cod fishery for 2020 (Barbeaux et al., 2021) (**Figure 6B**). Impacts to fishing communities were significant, leading to a federal fisheries disaster declaration. By 2022, the stock was increasing, but catch limits remained a small fraction of pre-heatwave levels. The management response to the dramatic stock declines reflects the system of ecosystem-based fisheries management in Alaska and highlights lessons for fisheries management under rapidly changing environmental conditions. First, precautionary buffers, which reduce catch limits from the maximum allowable, can be used when ecosystem conditions raise red flags for a stock that are not captured in the stock assessment process (Dorn & Zador, 2020). Continued incorporation of ecosystem information into the management process can allow managers to respond precautionarily, but requires effective monitoring and research to be most effective (Peterson Williams et al., 2022). Second, a forward-looking perspective is needed: for instance, recruitment projections based on historical observations and relationships become less informative when applied to unprecedented ocean conditions (Litzow et al., 2021). Early warning indicators can enable proactive management in the case of rapid ecosystem or stock shifts (Litzow et al., 2022). Finally, climate-linked stock assessment approaches (Barbeaux et al., 2021) will be important for proactively responding to future heatwaves and other extreme events.

4.2. Kelp, urchin, abalone

In 2015, a perfect storm of stressors tipped bull kelp (*Nereocystis luetkeana*, Laminariaceae) forests in northern California into unproductive urchin barrens, ultimately causing the collapse of the recreational abalone and commercial urchin fisheries, both of which are kelp herbivores (Rogers-Bennett & Catton, 2019). This began in summer 2013 when Sea Star Wasting syndrome caused a massive die-off of sunflower sea stars (*Pycnopodia helianthoides*, Asteriidae), an important predator of urchins in kelp forest ecosystems (Harvell et al., 2019). Then, in 2014, warm waters and nutrient limitation suppressed kelp growth and spore production, reducing productivity (Rogers-Bennett & Catton, 2019). As a result of reduced productivity and increased urchin grazing pressure following predation release, bull kelp forests were reduced by >90% along the northern California coast (McPherson et al., 2021; Rogers-Bennett & Catton, 2019). In 2015, the loss of kelp forage resulted in the collapse of the commercial red sea urchin fishery. While the abundance of red sea urchins, which are marketed for their roe, remained high, starvation due to lack of kelp led to poor gonad production and unmarketable urchins. This collapse was declared a federal fisheries disaster and $3.3 million in disaster relief was distributed to impacted fishers, processors, and dealers (Bellquist et al., 2021). In 2017, the mass mortality of red abalone (*Haliotis rufescens*, Haliotidae) due to starvation (kelp is their primary food source) led to the closure of the recreational abalone fishery in California and Oregon (**Figure 6C**), which previously supported ~35,000 participants and the infusion of $24-44 million into local economies annually (Reid et al., 2016). The fishery remains closed at the time of writing (Jan 2023). Active recovery facilitated by reductions in urchin grazing pressure and enhancements to kelp growth could increase the resilience of kelp forests and the fisheries they support to climate change (Hamilton et al., 2022; Hohman, 2019). The first could involve encouraging new fisheries for purple sea urchin (*Strongylocentrotus purpuratus*, Strongylocentrotidae), which are less attractive than red urchins because they are smaller, have smaller gonads (the marketed product), and require more effort to harvest and process (Parker & Ebert, 2003). The latter might involve area-based protection or active restoration through seeding (Arroyo-Esquivel et al., 2022); however, restoration is expensive and may require developing new strategies to finance the restoration of these ecosystems (Eger et al., 2020).

4.3. Chinook salmon

Chinook salmon range from central California to Alaska and support Indigenous, commercial, and recreational fisheries of considerable economic (Richerson et al., 2018), subsistence (Poe et al., 2015), and cultural (Campbell & Butler, 2010) value. The Sacramento and Klamath River Fall Chinook salmon stocks of southern Oregon are primarily regulated using harvest control rules based on forecasts of preseason abundance. In general, both forecast models are based on the previous year's returns (Peterman, 1982; Winship et al., 2015); they do not explicitly include environmental covariates, despite their known importance (Friedman et al., 2019; Wells et al., 2016), due partially to concerns about their long-term predictive power (Wainwright, 2021; Winship et al., 2015). The marine heatwave impacted juveniles entering the ocean in 2014-16, which means that the impacts of the heatwave were not realized until these cohorts returned as adults, primarily in 2016-19. During the return period, the models for each stock successfully forecasted low preseason abundance, but tended to overestimate the actual return size (**Figure 6D**). In the Klamath River, the 2016 run size was the lowest since 1983 and the 2017 run size was the third-lowest. In the Sacramento River, 2016 escapement was below average and 2017 escapement was the second-lowest since 1983. As a result, both stocks were declared overfished in 2018 and several federal fishery disasters were declared, impacting both commercial harvesters and Klamath Basin tribes. These disasters were attributed to the marine heatwave and simultaneous extreme drought conditions that resulted in warmer river temperatures and anomalously low water levels (PFMC, 2019a, 2019b). While catch limits were adjusted downwards in response to low preseason abundance forecasts, they were not reduced as much as they would have been if the impacts of the heatwave and drought had been perfectly forecast. Thus, optimistic model forecasts and/or insufficiently precautionary control rules may have contributed to overharvest and the eventual overfished designation. This suggests that improved forecasts and control rules could ameliorate overharvest risk. However, even with perfect foresight, poor environmental conditions still lead to loss in commercial revenues, recreational fishing opportunities, and cultural and subsistence benefits in Indigenous fisheries (O'Rourke, 2018; PFMC, 2018, 2019b). This highlights the importance of incorporating additional precaution to account for uncertainty (Satterthwaite & Shelton, 2023) and enhancing the resilience of the salmon production to all climate impacts (e.g., drought, flood, terrestrial heatwaves) through freshwater and estuarine habitat restoration (Munsch et al., 2022; Sturrock et al., 2019). It also highlights the importance of increasing community resilience by, for example, promoting the ability to switch to alternative fisheries.

4.4. Dungeness crab

The Dungeness crab fishery is the U.S. West Coast's most lucrative commercial fishery and is the primary source of income for a large proportion of fishers coastwide (Fuller et al., 2017). Historically, this fishery has been managed profitably and sustainably by limiting harvest to large male crabs during a November-August season (Richerson et al., 2020). However, the heatwave significantly disrupted the fishery through two indirect pathways. First, the 2015-16 harmful algal bloom triggered widespread fishery closures due to unsafe levels of biotoxins in crabs (**Figure 6A**). Closures were especially harmful in California, where they delayed the traditional November season start to mid-April (McCabe et al., 2016). As a result, the 2015-16 season was declared a federal fisheries disaster and $25.8 million in disaster relief was allocated to impacted fishers, processors, and dealers, though not until over three years later (C. Bonham, personal communication, July 19, 2018). When indirect losses from other fisheries were included, the delay was associated with >$43 million in lost income (Holland & Leonard, 2020). Second, these delays led the fishery to open when humpback whales were returning north, intensifying the overlap between nearshore fishing and migrating whales. This overlap was further exacerbated by the heatwave-induced nearshore compression of coastal upwelling, which caused spatial shifts in forage species availability (i.e., offshore krill abundance decreased while inshore anchovy abundance increased), leading to a dramatic spike in whale entanglements in crab pot lines (Santora et al., 2020). This precipitated a lawsuit alleging that California's management of the Dungeness crab fishery threatened endangered species and was non-compliant with the Endangered Species Act (CA-DOJ 2017). These events prompted an overhaul of California's entanglement risk management program (CDFW 2020), which has implemented early closures in the last four fishing seasons (2018-19 to 2021-22) to reduce entanglement risk. This has been effective at reducing entanglements but at significant cost to fishers (Seary et al., 2022). Increasing the resilience of the Dungeness crab fishery could be advanced by: (1) expanding the spatial-temporal scale of biotoxin monitoring to enable surgical closures that protect public health with the least impacts on fishers (Free, Moore, et al., 2022); (2) continuing to refine entanglement prevention strategies that are co-developed with stakeholders and are proven to be effective, robust or adaptable to changing conditions, and minimally impactful on fishers (CDFW, 2020; Samhouri et al., 2021); (3) reforming the federal fisheries disaster program to provide fast, accurate, and equitable relief (Bellquist et al., 2021); and (4) easing access to alternative fisheries as a means of diversifying fishing opportunities (Oken et al., 2021) and potentially escaping the "gilded trap" presented by the lucrative, yet volatile, Dungeness crab fishery (Fisher et al., 2021).

4.5. Pacific sardine and northern anchovy

Pacific sardine and northern anchovy have historically been two of the most abundant and ecologically important forage species in the California Current. Populations of both species are characterized by highly variable "boom-and-bust" cycles, even in the absence of fishing (McClatchie et al., 2018). For decades, this variability was believed to relate to basin-scale oceanographic regimes (e.g., the Pacific Decadal Oscillation), with warm conditions favoring sardine and cool conditions favoring anchovy (Chavez et al., 2003; Lluch-Belda et al., 1991; Rykaczewski & Checkley, 2008), but recent patterns have challenged this correlation. Although it was predominantly cool from 1999-2013, anchovies were abundant during warm conditions from 2004-06 and remained scarce during the other cool years (Sydeman et al., 2020). Moreover, the heatwave was expected to help recover the declining sardine population and curb growth in an increasing anchovy population; instead, sardine abundance continued to decline throughout the heatwave (Nielsen et al., 2021), contributing to the closure of the directed fishery in 2015 (**Figure 6E**), while anchovy abundance rose to near record highs (**Figure S3**) (Thompson, Ben-Aderet, et al., 2022). Although the environmental mechanisms driving fluctuations in sardine and anchovy abundance remain poorly resolved, (Swalethorp et al., 2022) found that changes in larval anchovy diet explained a significant proportion of spawning stock biomass two years later. Shifting anchovy and sardine dynamics illustrate the risks of relying on historical statistical correlations to guide management decisions, as climate change increasingly results in no-analog conditions in ecosystems such as the California Current. Although anchovy do not support substantial fisheries, their high biomass inshore likely contributed to increased entanglements of humpback whales with crab fishing gear (Santora et al., 2020), but also appears to have led to a trend of more and healthier sea lion pups since 2016 in the California Channel Islands (Weber et al., 2021) and successful nesting of resident seabirds on Southeast Farallon Island (Fennie et al. in review). While the heatwave did not trigger the initial decline in sardine biomass, the lack of recovery of this species continued to cause loss of revenue for direct commercial fisheries, and for the live-bait fishery supporting recreational fishers (PFMC, 2020). Successfully managing these species under future climate conditions will require a better understanding of the links between complex environmental changes (beyond temperature alone), foraging ecology, and productivity of the stock, and/or using management strategies that are robust to these dynamics and limit impacts on seabirds, marine mammals, and other protected species (Siple et al., 2019).

4.6. Pacific bluefin tuna

Pacific bluefin tuna, targeted by recreational fisheries in both U.S. and Mexican waters, and by commercial fisheries primarily in Mexican waters, increased in availability and size during the heatwave (Heberer & Lee, 2019; Runcie et al., 2019). For example, the proportion of annual recreational bluefin landings from Commercial Passenger Fishing Vessels (CPFVs) landings showed a shift to U.S. waters coinciding with the heatwave (**Figure 7A**). Before 2014, U.S. waters accounted for an average of 23% of annual CPFV bluefin landings, but accounted for an average of 75% of annual landings from 2014-2021. While this shift could partially be explained by regulatory shifts, such as when Mexico began enforcing restrictions against U.S. recreational vessels in 2012, the shift occurred later and offshore fishing by U.S. vessels was still allowed with a permit. Additionally, before the heatwave, the majority of bluefin were landed in warm summer months and were less than 2 years old (ISC, 2020). Since 2014, warm waters extended availability throughout the year and more large bluefin (many 4-6 year-olds) were landed (James et al., 2021). This increase in size is supported by time series analyses of recreational bluefin tuna “trophy” sizes (Bellquist et al., 2016) (**Figure S4**). Furthermore, the heatwave drove dietary shifts that may have affected availability (Portner et al., 2022). In 2015-16, bluefin diets abruptly switched to domination by pelagic red crabs (*Pleuroncodes planipes*, Munididae), coincident with the anomalous northward advection of this southern crustacean (Cimino et al., 2021). In 2016, bluefin also increased their consumption of anomalously abundant anchovies (Thompson, Ben-Aderet, et al., 2022). This switch towards more epipelagic prey may have increased the aggregation of bluefin near the surface, where they are more vulnerable to fishing. Increased availability and size drove interest in recreational trips targeting bluefin and provided substantial economic benefits to the CPFV fleet. This was especially beneficial given low numbers of albacore (*T. alalunga*, Scombridae), the traditional target for many vessels. Benefits for commercial vessels were limited given low quotas for this overfished stock (ISC, 2020); in fact, increased availability introduced management challenges. In 2017, the U.S. exceeded its catch limit by more than 50 metric tons (mt) due to high local availability, increased purse seine effort, and a several day lag in catch reporting, resulting in the August closure of the fishery (Laughlin, 2018). Mexico's purse seine fishery also reached its harvest limits by July in both 2014 and 2015. This illustrates how locally increased abundance of species subject to strict harvest control rules can challenge fisheries management. Increasing the resilience of this highly migratory species will require improved understanding of bluefin ecology, distribution, and migratory movements to help managers better anticipate and respond to challenges posed by future change.

4.7. California market squid

The heatwave triggered significant range expansions and geographical shifts in the productivity of California market squid, a southern warm-water species, which have persisted beyond the heatwave years and resulted in emerging fisheries in sudden need of management. Historically, the range of market squid has been concentrated in California, where it supports one of the state's largest and most valuable fisheries (Free, Vargas Poulsen, et al., 2022). In the past, strong El Niño conditions have supported temporary (weeks long) extensions of market squid range as far north as the Gulf of Alaska, where waters are normally too cold for this warm-water species. However, the 2014-16 marine heatwave resulted in a pronounced northward shift that has persisted longer than ever recorded (Burford et al., 2022; Chasco et al., 2022; M. Navarro, 2020). From 2016-20, California's landings fell by more than 50% relative to the previous 5 years, while Oregon's landings increased by orders of magnitude (**Figure 7B**). During the same time period, squid observations increased throughout the Gulf of Alaska, with spawning seen as far as Kodiak Island (M. O. Navarro et al., 2018) and adults seen as far as the Shumagin (East Aleutian) Islands (Eiler, 2021). The development of a significant squid fishery in Oregon ignited demand for new regulations to reduce conflicts with other fishing gears (e.g., Dungeness crab pots), bycatch (e.g., Dungeness crab and salmon), and impacts on benthic habitats (ODFW, 2021). Similarly, a proposal for a new market squid fishery in Alaska was submitted in 2017 (Peeler, 2018), but was not passed due to concerns over bycatch of Chinook salmon, which are declining in abundance. Similar proposals are likely to resurface as warming waters decrease the productivity of traditional target species (Cheung & Frölicher, 2020) and increase the availability of market squid as a profitable alternative. This case study illustrates how managers will need to prepare for rapidly emerging fisheries that introduce novel conflicts between fisheries and between economic and conservation goals. While improved monitoring and forecasting may help, decisions will still need to be made on short notice and with limited data, especially for species with fast life histories like squid.

4.8. Shrimp species

In our systematic analysis of fisheries revenues, West Coast commercial shrimp fisheries showed one of the strongest and most consistent increases in revenues during the heatwave (**Figure 3**), but have received little attention in the scientific literature. Revenues of Pacific pink shrimp (*Pandalus jordani*, Pandalidae), the 5th most important U.S. West Coast fishery species in terms of revenues over the last decade and by far the most significant shrimp species (PSMFC, 2021), experienced an enormous spike in revenues in both Oregon and Washington in 2015 (**Figure 7C**). Similarly, ridgeback prawn (*Sicyonia ingentis*, Sicyoniidae) experienced a profound spike in revenues in California, the only state in which it is fished (**Figure 7C**). Spot prawn (*Pandalus platyceros*, Pandalidae) revenues increased throughout the heatwave, continuing growth observed since 2003 (**Figure 7C**). These increases were unexpected as Pacific shrimp are generally thought to experience low recruitment in warm years and to have low landings following El Niño events (Groth et al., 2017; Groth & Hannah, 2018). Furthermore, jellies, which clog the bycatch reduction devices required in shrimp trawl nets, were highly abundant during the heatwave, requiring shrimpers to develop innovative methods for maintaining flow through nets (Groth et al., 2017). Ultimately, the 2015 revenue spike can be explained by record high prices, determined by global markets, with assistance from a strong cohort of 2-year-old shrimp from 2013 (Groth et al., 2022). Although the Oregon Department of Fish and Wildlife identified revisiting the relationship between shrimp recruitment and environmental conditions as a top research priority (Groth et al., 2017), it also highlighted that continued monitoring and improved stock assessment are, perhaps, more important to near-term fisheries outcomes. In fact, improved monitoring and more frequent assessments may explain the apparent resilience of these stocks to climate change, as rapid observations and assessments may provide more useful decision-support information than climate-linked forecasts for short-lived species. This case study highlights that: (1) global markets and lagged population dynamics can potentially mitigate (or, in other situations, exacerbate) heatwave impacts; (2) innovation by fishermen can overcome some negative heatwave impacts; and (3) addressing climate impacts may not be the highest priority if there are more pressing concerns (e.g., improving stock assessments, especially for short-lived species).

4.9. Bocaccio rockfish

In British Columbia, Canada, bocaccio rockfish (*Sebastes paucispinis*, Sebastidae) are regularly caught by the commercial trawl fleet (Starr & Haigh, 2022). The stock experienced a prolonged decline in spawning biomass from 1935-2020, despite relatively low exploitation rates, due to sustained low recruitment and lower productivity than expected (Starr & Haigh, 2022) (**Figure 7D**). As a result, the Committee on the Status of Endangered Wildlife in Canada designated the stock as Threatened in 2002 and Endangered in 2013 (COSEWIC, 2013). In response, management reduced allowable catch and introduced trip limits with priority access for First Nations and scientific surveys and the total mortality cap reached a low of 80 mt by 2016 (DFO, 2022). The commercial fleet was largely successful in actively avoiding the species and averaged only 69 mt from 2015-19. However, by the late 2010s, increasing abundance of bocaccio began to significantly limit the ability for the fleet to avoid this “choke species” (i.e., a species with low quotas relative to other species in a multi-species fishery) and target other more common species (Pawson, 2021). The 2019 stock assessment estimated a massive recruitment event in 2016 at 44 times average recruitment from the previous 85 years (**Figure 7D**), large enough to rebuild the stock above the limit reference point with 95% probability within four years (DFO, 2020; Starr & Haigh, 2022). This recruitment may have been due to the heatwave-induced availability of oxygen-rich water at depth during gestation (DFO, 2020; Starr & Haigh, 2022). The 2021 stock assessment update estimated an even larger 2016 year class (47 vs. 25 million one-year olds in 2017) and a more rapid recovery with the stock in the “healthy zone” (>0.8B_{MSY}) with 87% probability by 2022 and near 100% probability by 2024 (DFO, 2021). Given this new science advice, management raised the bocaccio total mortality cap to 300 mt in 2020-21, 500 mt in 2021-22, and 1800 mt for 2022-23 (DFO, 2022). However, First Nations raised concerns about the short-term harvest perspective implied by the rapidity of total allowable catch increases and the lack of inclusiveness in management decisions and suggested an approach that acknowledges long-term uncertainties about stock productivity and ecosystem needs (CCIRA, 2022). This case study is a success story in terms of the natural and unexpected rebuilding of an endangered fish stock, but highlights institutional challenges in responding rapidly to sudden increases in abundance of choke species, and raises questions about long-term management of stocks dependent on rare, environmentally driven recruitment events.

4.10. Shortbelly rockfish

Shortbelly rockfish are an important prey species for seabirds and marine mammals in the California Current, and a non-target bycatch species in the commercial rockfish and Pacific hake trawl fisheries. In 2018, an explosion in shortbelly rockfish abundance following high recruitment during the marine heatwave nearly caused the closure of the hake fishery. In 2001, the Pacific Fisheries Management Council (PFMC) established a catch limit for shortbelly rockfish based on the belief that a commercial fishery would develop (Field et al., 2007). Although a directed fishery did not emerge, catch limits remained in place. Historically, shortbelly bycatch in the hake fishery has not approached the limit, but this changed radically as a result of the heatwave. Within the first two weeks of the 2018 fishing season, the commercial hake fishery off Oregon encountered several shortbelly bycatch hotspots and came very close to exceeding the annual catch limit (**Figure 7E**). Without management intervention, the high catch of shortbelly rockfish threatened to shut down the hake fishery at the very beginning of its season. To make a rapid but informed decision, the PFMC examined recruitment estimates from NOAA's Rockfish Recruitment and Ecosystem Assessment Survey (Sakuma et al., 2015). They found that recruitment increased for most rockfish species during the heatwave and that shortbelly recruitment jumped an order of magnitude above other rockfish winners. This was likely due to the predominance of subarctic source water in upper depths (100-400 m) over the outer shelf-slope where many rockfish spawn; subarctic source water is generally cooler, fresher, and more oxygenated than other source waters and is correlated with high rockfish recruitment (Schroeder et al., 2019). As the fastest-lived rockfish (i.e., fast growth, early age at maturity, high mortality; Love et al., 2002), shortbelly rockfish were poised to benefit from these favorable conditions (Field et al., 2007; Pearson et al., 1991). As a result of these massive recruitment events, shortbelly abundance was likely higher than it had been in decades. After considering this best available science and statements from advisory bodies and the public, the PFMC raised the catch limit for the 2018 season, saving the hake fishery from early closure. This case study highlights the importance of fishery-independent monitoring of all life stages for detecting and explaining ecological surprises and the importance of nimble and flexible management that is responsive to such surprises.

5. Lessons learned

5.1. Lessons for improving monitoring

The resilience of fisheries to heatwaves and climate change can be increased by improving the scale, utility, diversity, accessibility, and funding of monitoring programs. First, strategically enhancing the spatial-temporal scale of monitoring can promote dynamic management that reduces tradeoffs among competing management objectives. For example, increased spatial-temporal monitoring of harmful algal blooms and biotoxin contamination can protect public health while minimizing impacts on fishing opportunities (Free, Moore, et al., 2022). Similarly, data generated from expanded monitoring enables the development of predictive models that can, for example, help to avoid bycatch of protected species under changing environmental conditions (Hazen et al., 2018). Second, targeted monitoring is necessary to understand drivers of the surprising shifts that have occurred during past heatwaves and to use this knowledge to better prepare for future heatwaves. For instance, targeted monitoring is necessary to resolve the relationship between the local availability and stockwide abundance of highly migratory species (see the bluefin tuna case study) and the reasons for unexpected reversals in long-believed relationships between the environment and fisheries productivity (see the sardine and anchovy case study) (Myers, 1998). Third, developing novel monitoring programs can accelerate the detection and understanding of sudden and/or unexpected shifts in productivity or distributions. By complementing existing fisheries-independent surveys with information derived from fisheries-dependent data, heatwave-driven shifts in abundance and distribution could be detected earlier and more comprehensively (Hobday & Evans, 2013). Furthermore, cooperative research with fishers (Gawarkiewicz & Malek Mercer, 2019; Lomonico et al., 2021), citizen science programs (Walker et al., 2020), and emerging technologies such as eDNA (Pikitch, 2018) and autonomous sampling present opportunities to expand coverage while also reducing costs. Fourth, developing tools for rapidly processing, visualizing, and disseminating raw monitoring data can democratize and accelerate the rate at which “unknown unknowns” and other surprises are detected and responded to (Anderson et al., 2020). The standardized summaries of available fisheries-dependent and fisheries-independent data for Canadian Pacific groundfish (Anderson et al., 2019) provide a useful template for such tools. Finally, monitoring enhancements can be achieved without adding costs through technological advancements that make monitoring cheaper (e.g., electronic monitoring, automated sensors, autonomous vehicles, etc.) or through partnerships between public, private, and industry groups that make monitoring more efficient (Lomonico et al., 2021).

5.2. Lessons for improving management

The resilience of fisheries to heatwaves and climate change can also be increased by increasing the inclusivity, flexibility, and adaptiveness of fisheries management and by using simulation testing to compare and choose between alternative management strategies. First, arguably, the most fundamental step towards improving the resilience of fisheries management is to broaden co-management systems that leverage stakeholder knowledge, lower monitoring and management costs, and empower diverse stakeholder voices (Wilson et al., 2018). For example, the inclusion of fishermen in the management of whale entanglement risk in the California Dungeness crab fishery assisted in identifying and implementing management solutions that are likely to be feasible, equitable, and effective (Humberstone et al., 2020). Second, increasing the agility and flexibility of fisheries management institutions and procedures may allow management to respond to surprises quickly and effectively. As illustrated by the shortbelly and bocaccio rockfish case studies, this may require establishing procedures for updating bycatch quotas outside of the usual process in response to unexpectedly high recruitment events. As illustrated by the market squid case study, it may also involve establishing plans for evaluating and managing rapidly emerging fisheries that introduce novel conflicts between fisheries and between economic and conservation goals. Third, fisheries management must be adaptive and/or robust to the impacts of heatwaves and climate change. This need has been well-described in many reviews (e.g., Holsman et al., 2019; Karp et al., 2019; Pinsky & Mantua, 2014), but key suggestions are to account for shifting productivity by incorporating climate variables into stock assessments (Marshall et al., 2019) and to design harvest control rules (HCRs) that are robust to climate impacts (Free et al., 2023; Wainwright, 2021). For example, Pacific sardine might have benefited from the application of an HCR that was more robust to process uncertainty in the assumed relationship between temperature and productivity in the years leading to the heatwave. Similarly, Chinook salmon might have benefitted from HCR application that was more robust to assessment uncertainty in the pre-season abundance forecast (Satterthwaite & Shelton, 2023). Finally, wider use of climate-linked management strategy evaluation (Kaplan et al., 2021) to compare the performance of alternative management strategies under climate change will help to quantitatively inform management decisions. Management strategy evaluation uses closed-loop simulation to compare the performance of alternative management strategies (Punt et al., 2016). Critically, it can evaluate the robustness of performance across various climate change trajectories, assumed relationships between climate change and the fishery, levels of certainty in the assumed environmental relationship, and any other key sources of variability (Haltuch et al., 2019; Jacobsen et al., 2022; Punt et al., 2014). Thus, management strategy evaluation represents the gold standard in using quantitative evidence to guide climate-ready fisheries management decisions that are robust or adaptive to short-term (heatwave) and long-term (warming) climate impacts.

5.3. Lessons for improving adaptive capacity of fishing communities

The resilience of fishing communities to climate change depends on their adaptive capacity, i.e., their ability to anticipate, respond to, cope with, and recover from the effects of a climate (or other) stressor. Adaptive capacity can be enhanced by policies that promote inclusivity, flexibility, experimentation, and failsafes, such as disaster relief or insurance. First, as indicated in the section above, the adaptive capacity of fishing communities can be enhanced by strengthening co-management systems that seek to leverage stakeholder knowledge and balance diverse and sometimes diverging perspectives (Wilson et al., 2018). Second, policies that promote livelihood diversification can help to buffer fishing communities against the negative impacts of heatwaves and climate change. For example, easing access to fishing permits can promote target species diversification and buffer revenues against heatwaves, climate change, and other market shocks (Cline et al., 2017; Sethi et al., 2014), though tradeoffs exist between ease of access and the financial viability of permit structures and their effectiveness in controlling fishing effort. Third, the enhancement of state and federal Exempted Fishing Permits programs, which allow experimentation in new fisheries, conservation engineering, health and safety, environmental cleanup, and data collection that would otherwise be prohibited, could accelerate innovation in climate-ready strategies (Bonito et al., 2022). For example, Exempted Fishing Permits with good experimental design could be leveraged to stimulate an expanded purple sea urchin fishery that enhances kelp reforestation, design whale-safe fishing gear or practices that jointly prevent entanglements and fishery closures, or develop new fisheries-dependent data streams that enhance adaptive management. Fourth, enhancing programs that provide economic relief in response to negative environmental impacts can improve the resilience of fishing communities to climate change. This could be achieved by reforming the federal fisheries disasters relief program to be faster, more accurate, and more equitable in its assessment and distribution of disaster relief (Bellquist et al., 2021). Alternatively, this program could be complemented or replaced by novel fisheries insurance programs. If index-based, such programs could provide immediate payouts following an environmental trigger. As with the Caribbean Oceans and Aquaculture Sustainability Facility fisheries insurance, in which policy-holding nations only receive insurance payouts triggered by storms if they invest in best practices in fisheries management, insurance programs may even be designed to incentivize the adoption of climate-resilient management and/or fleet behavior (Sainsbury et al., 2019). Because adaptive capacity depends on social and demographic factors that are heterogeneous across West Coast fishing communities (Koehn et al., 2022), the success of the suggested strategies will be context dependent. Communities with the lowest adaptive capacity typically have lower incomes, higher poverty rates, and higher unemployment. Because economic assets are a key component of adaptive capacity, communities with more financial assets are more likely to be able to take advantage of opportunities like Exempted Fishing Permits. Moreover, in California fishing communities, low adaptive capacity was related to having a high percent of persons of minority and a high percent of the population that does not speak English well (Koehn et al., 2022), which can lead to additional barriers to participating in fisheries management processes or learning about new programs. Beyond focusing on financial assets, strategies that enhance social networks, education, and agency can also improve adaptive capacity of fishing communities (Barnes et al., 2020). In addition to social considerations, easing access to permits will only help communities in locations where new or alternative target species are available (Fisher et al., 2021).

5.4. Lessons for and from other regions

The last two decades have seen the occurrence of marine heatwaves in every ocean basin with many impacts analogous to those illustrated here (K. E. Smith et al., 2021). The lessons learned from the 2014-16 Northeast Pacific heatwave and those others can be used to bolster the resilience of other regions to future marine heatwaves. For example, the 2010-11 “Ningaloo Niño” off Western Australia tipped kelp forests into fields of algae and turf grass that have failed to recover due to heavy herbivory by a new warm-water fish community (Wernberg et al., 2016), likely contributing to the decline of important invertebrate fisheries (Caputi et al., 2019). As in the kelp, urchin, and abalone case study, restoring these kelp forests may require active restoration or the development of new fisheries and managing depleted fisheries may require more precautionary catch limits, strategic spatial-temporal closures, or improved fail safes against especially extended fisheries closures (Caputi et al., 2016). After implementing many of these measures, Western Australia’s Roe’s abalone (*Haliotis roei*, Haliotidae) and western rock lobster (*Panulirus cygnus*, Palinuridae) stocks have recovered and maintained MSC certification (de Lestang et al., 2022; Strain & Heldt, 2022), demonstrating the economic value of climate-resilient fisheries management actions. The 2012 Northwest Atlantic heatwave resulted in the northward expansion of longfin inshore squid (*Doryteuthis pealeii*, Loliginidae), a highly voracious and opportunistic predator, which may have contributed to the collapse of the locally important northern shrimp (*Pandalus borealis*, Pandalidae) fishery (Richards & Hunter, 2021). As shown in the market squid case study, geographic expansions that introduce novel conflicts and/or stimulate emerging fisheries require robust monitoring and nimble management institutions to implement timely and effective interventions and/or expansion of infrastructure to capitalize on new opportunities (Powell et al., 2022). The 2003 Mediterranean heatwave, among many others occurring in the region, contributed to mass mortalities in several mollusk fisheries (Garrabou et al., 2019) indicating the potential value for climate-linked stock assessment (as discussed in the Pacific cod and shrimp case studies) and the testing of precautionary management (as discussed in the Chinook salmon case study) through climate-linked management strategy evaluation (as discussed throughout the case studies) to improve the resilience of these fisheries to future heatwaves and climate change. Lastly, the 2015-16 Tasman Sea heatwave caused an influx of warm-water sport fish that offered apparent benefits to recreational fisheries (Oliver et al., 2017), but, as in the bluefin tuna case study, will require careful research and management to ensure that increased accessibility does not increase exploitation rates and overfishing risk.

The marine heatwaves experienced in other regions also provide instructive lessons for strengthening the resilience of U.S. and Canada West Coast coastal food systems to impacts that they have yet to experience but may experience in the future. For example, reduced aquaculture production as a result of disease outbreaks, harmful algal blooms, or reduced growth rates has been a common symptom of heatwaves globally (Oliver et al., 2017; Smith et al., 2021; Trainer et al., 2020). While such impacts did not occur (or were not publicly documented) during the 2014-16 Northeast Pacific heatwave, the growing West Coast aquaculture industry may be vulnerable to such impacts in the future. For example, outbreaks of the *Vibrio parahaemolyticus* bacterium in farmed oysters and associated increases in seafood-borne illness have been linked to elevated sea surface temperatures (Flynn et al., 2019; Taylor et al., 2018). Increasing the resilience of aquaculture to heatwaves will require improved forecasts that extend preparation timelines, improved insurance options that mitigate revenue losses, and/or improved breeding, husbandry, or technology that minimize impacts (Free, Cabral, et al., 2022). The impact of the 2012 Northwest Atlantic heatwave on the Maine lobster fishery provides an instructive example of a positive heatwave impact that does not yet have a direct analog in our study region. During the heatwave, warmer-than-usual water caused lobsters to migrate inshore and molt earlier than usual, resulting in a sudden increase in the availability and abundance of legal-sized lobsters. Record landings, seemingly a boon for lobstermen, could not be processed and cleared through the supply chain, resulting in a precipitous drop in market prices and economic crisis for lobstermen (Mills et al., 2013). To reduce the risk of future price collapses, lobstermen voted to support an advertising campaign through a surcharge levied on their annual license fees (Pershing et al., 2018). This initiative promoted the use of newly molted lobsters, made more common during heatwaves, to eastern seaboard restaurants and educated chefs, generated media impressions, and linked Maine's lobster dealers to retail buyers in urban markets through enhanced web communications. As a result, dockside prices remained high when a similar heatwave occurred in 2016 (Pershing et al., 2018). This has important lessons for the West Coast where marketing could help to mitigate impacts of a shifted Dungeness crab season, incentivize development of a purple sea urchin fishery, capitalize on an expanded market squid fishery, or develop fisheries for underutilized species that buffer against negative heatwave impacts. Furthermore, creative marketing initiatives can help to reduce the impact of other extreme market shocks, such as those caused by trade wars or global pandemics (Smith et al., 2020; Stoll et al., 2021).

6. Conclusions

The 2014-16 Northeast Pacific heatwave was the largest marine heatwave on record (Laufkötter et al., 2020) and impacts of the heatwave on the fisheries of the West Coast of the U.S. and Canada provide important insights into improving the resilience of global fisheries to climate change. The heatwave resulted in positive as well as negative ecological impacts, both of which generated challenges for fisheries management. Increasing the resilience of fisheries to future heatwaves and directional climate change will require improvements throughout fisheries social-ecological systems, from monitoring to management to the adaptive capacity of communities. Key improvements include (1) enhancing monitoring to provide early warnings of impacts, gain better mechanistic understanding of impacts, and inform predictive models of impacts; (2) increasing the flexibility, adaptiveness, and inclusiveness of management and using management strategy evaluation to guide strategic management decisions; and (3) enhancing the adaptive capacity of fishing communities by promoting engagement, flexibility, experimentation, and failsafes. These improvements come with increased costs, which can be reduced through technological advancements, partnerships, and incentives that make monitoring and management more efficient (Bradley et al., 2019; Lomonico et al., 2021). Furthermore, the success of these improvements depends on an effective foundation of traditional fisheries management measures (Melnychuk et al., 2021), which have both improved fisheries outcomes (Hilborn et al., 2020) and conferred climate resilience (Free et al., 2019). Investments in both traditional and climate-adaptive fisheries management will thus be vital to ensuring that fisheries continue to support livelihoods, food, and nutrition for billions of people, despite climate change (Costello et al., 2020; Free, Cabral, et al., 2022).

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Data Availability Statement

All data and code associated with this paper is available on GitHub here: https://github.com/cfree14/wc_mhw_case_studies

Conflict of Interest Statement

The authors have no conflicts of interest to declare.

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Tables & Figures

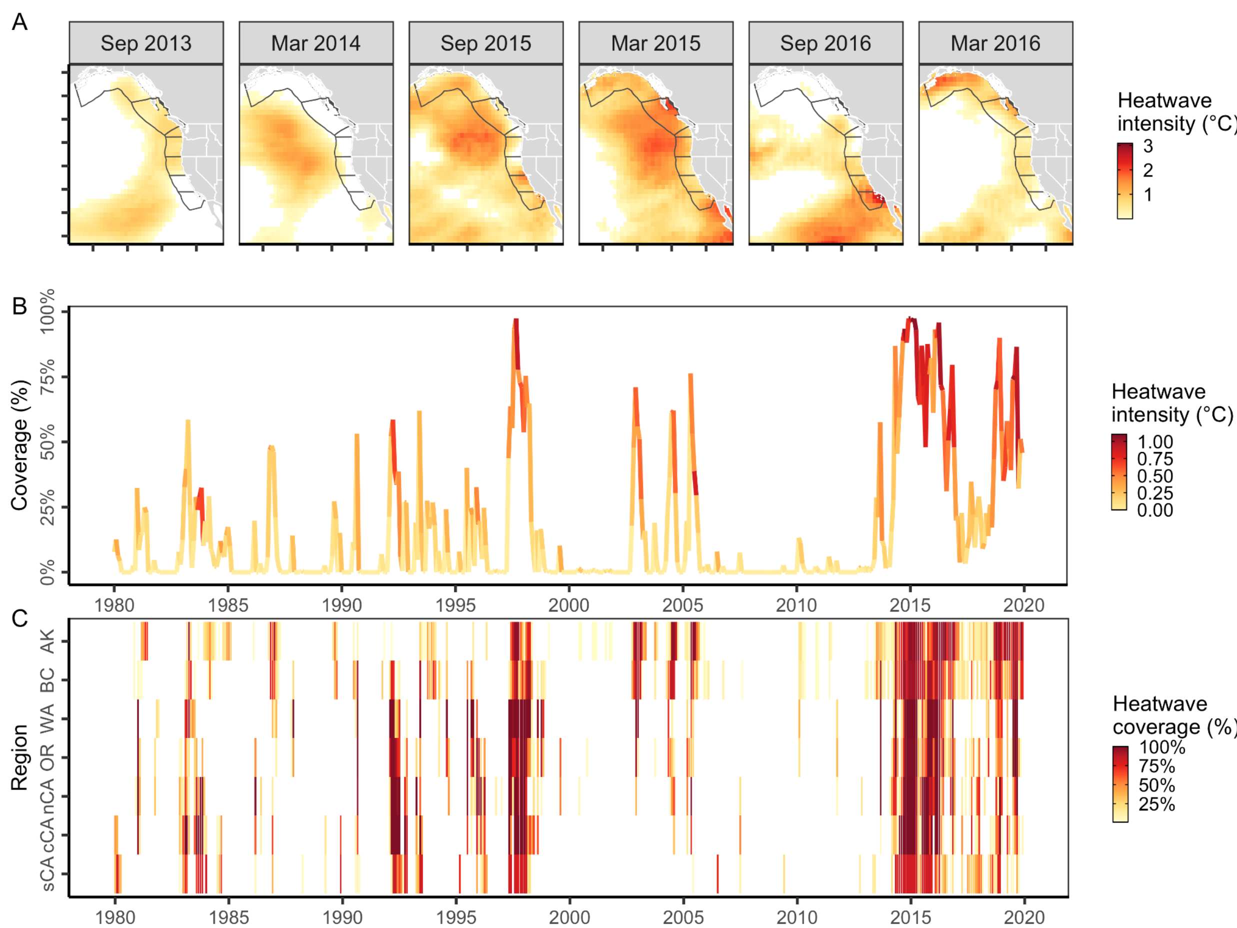

Figure 1. History of marine heatwaves on the U.S. and Canada West Coast based on analysis of the COBE Sea Surface Temperature (SST) dataset (Ishii et al., 2005). In **(A),** gray lines indicate the Exclusive Economic Zones of southern (sCA), central (cCA), and northern California (nCA), Oregon (OR), Washington (WA), British Columbia (BC), and the Gulf of Alaska (AK). The lower panels show the history of marine heatwaves **(B)** across these seven regions and **(C)** within each of the seven regions. Heatwave conditions were identified as temperatures above the 90% of the historical climatology (1980-2010) for a given month and raster cell (Hobday et al., 2016); thus, heatwave intensity represents the difference between the observed temperature and the 90% heatwave threshold. In **(B)**, heatwave intensity is averaged across all cells experiencing heatwave conditions.

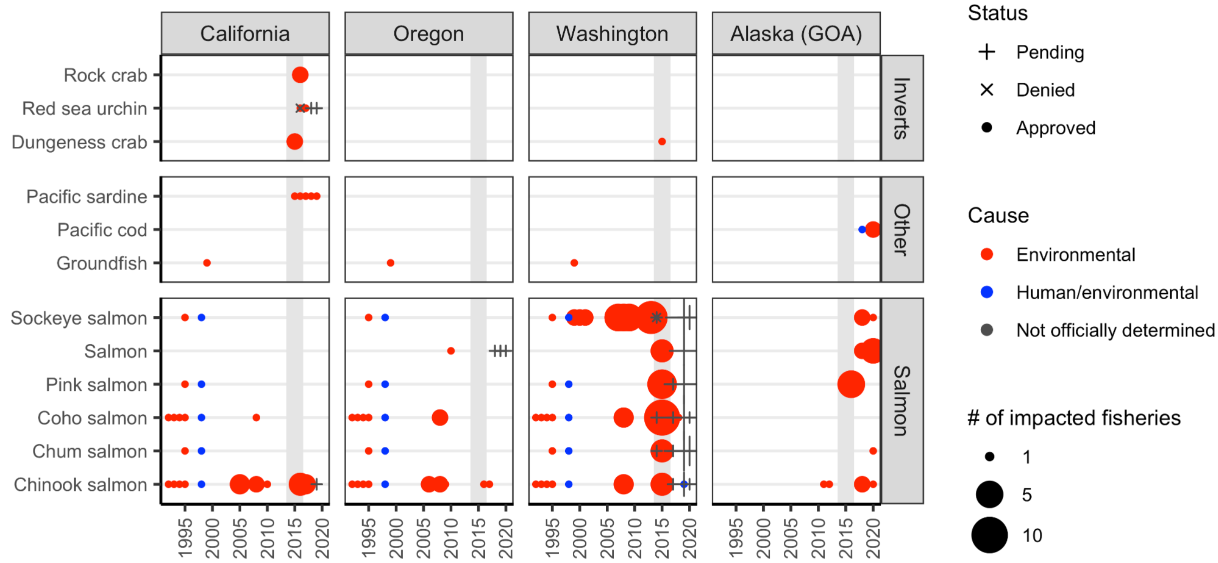

Figure 2. History of U.S. federal fisheries disaster declarations on the West Coast from 1989-2020 based on the database of (L. Bellquist et al., 2021). Gray shading indicates years of the 2014-16 marine heatwave. Disaster declarations for Alaska fisheries occurring outside the Gulf of Alaska (GOA) are excluded.

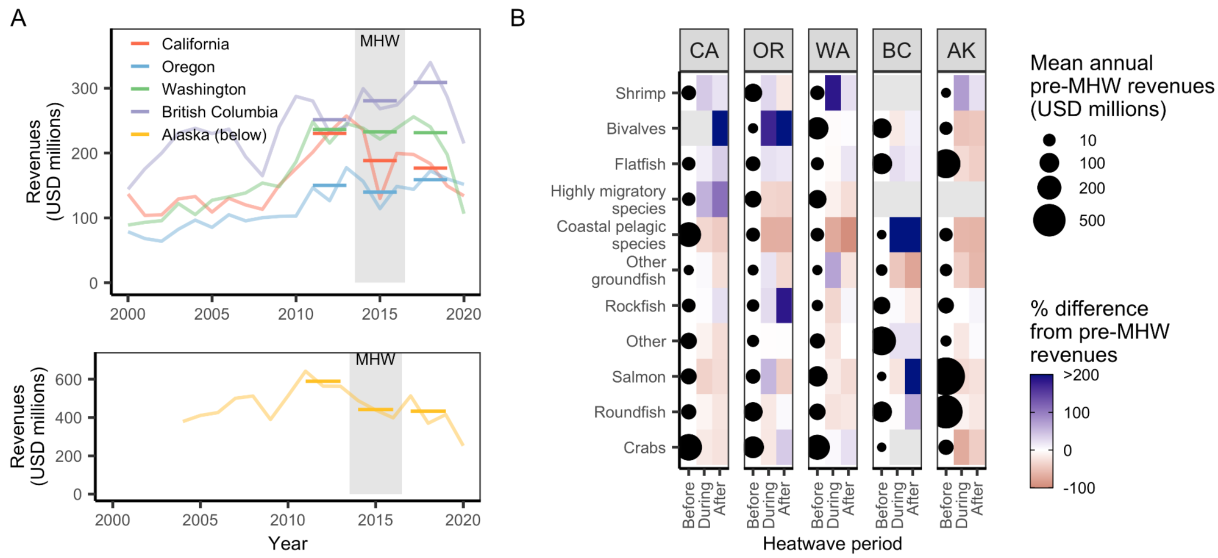

Figure 3. Commercial fisheries revenues by **(A)** state and **(B)** state and management group before, during, and after the 2014-16 marine heatwave (MHW). In **(A)**, light lines indicate time series of total annual revenues and dark lines indicate the mean total annual revenue for years before (2011-13), during (2014-16), and after (2017-19) the heatwave. In **(B)**, the size of the points plotted in the "before" column indicate mean annual revenues during the years before the heatwave and the colors plotted in the "during" and "after" columns indicate the percent change in revenues relative to the years before the heatwave. Management groups are vertically ordered from greatest losses (bottom) to the greatest gains (top) in revenues during the heatwave averaged across states.

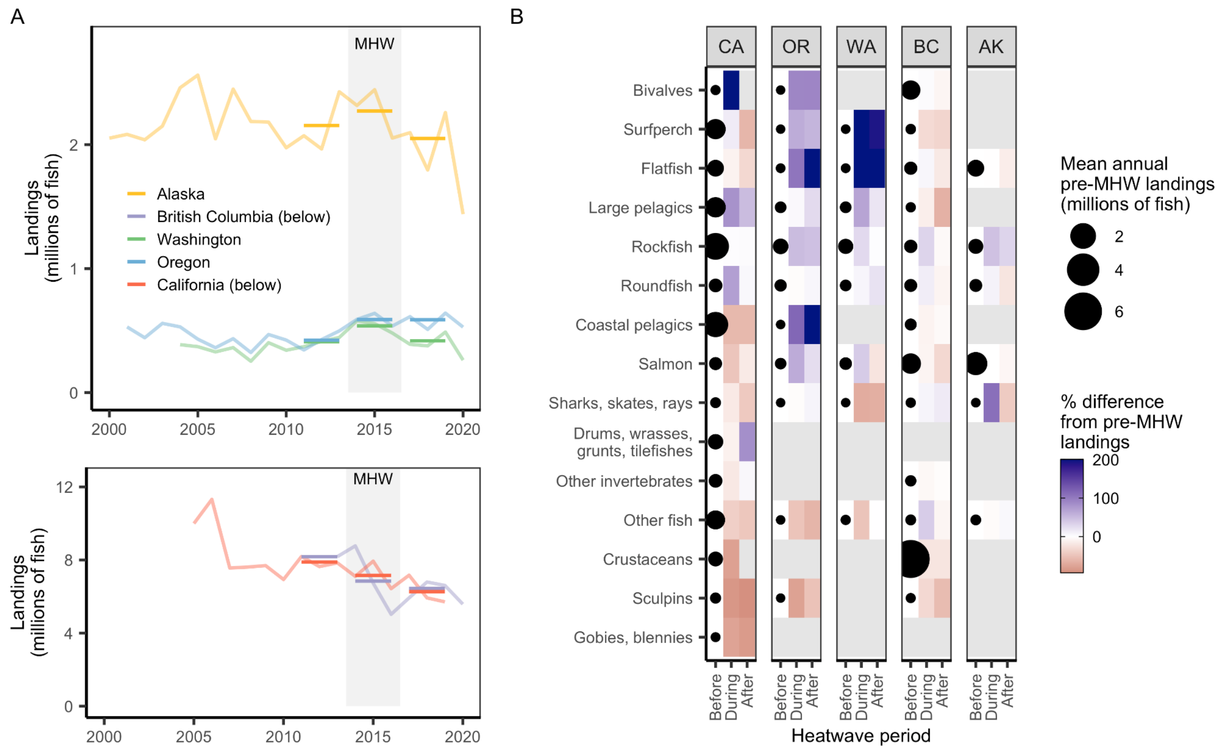

Figure 4. Recreational fisheries landings by **(A)** state and **(B)** state and taxonomic group before, during, and after the 2014-16 marine heatwave (MHW) based on multiple recreational landings databases. In **(A)**, light lines indicate time series of total annual landings and dark lines indicate the mean total annual landings for years before (2011-13), during (2014-16), and after (2017-19) the heatwave. In **(B)**, the size of the points plotted in the “before” column indicate mean annual landings during the years before the heatwave and the colors plotted in the “during” and “after” columns indicate the percent change in revenues relative to the years before the heatwave. Taxonomic groups are vertically ordered from greatest losses (bottom) to the greatest gains (top) in revenues during the heatwave averaged across states.

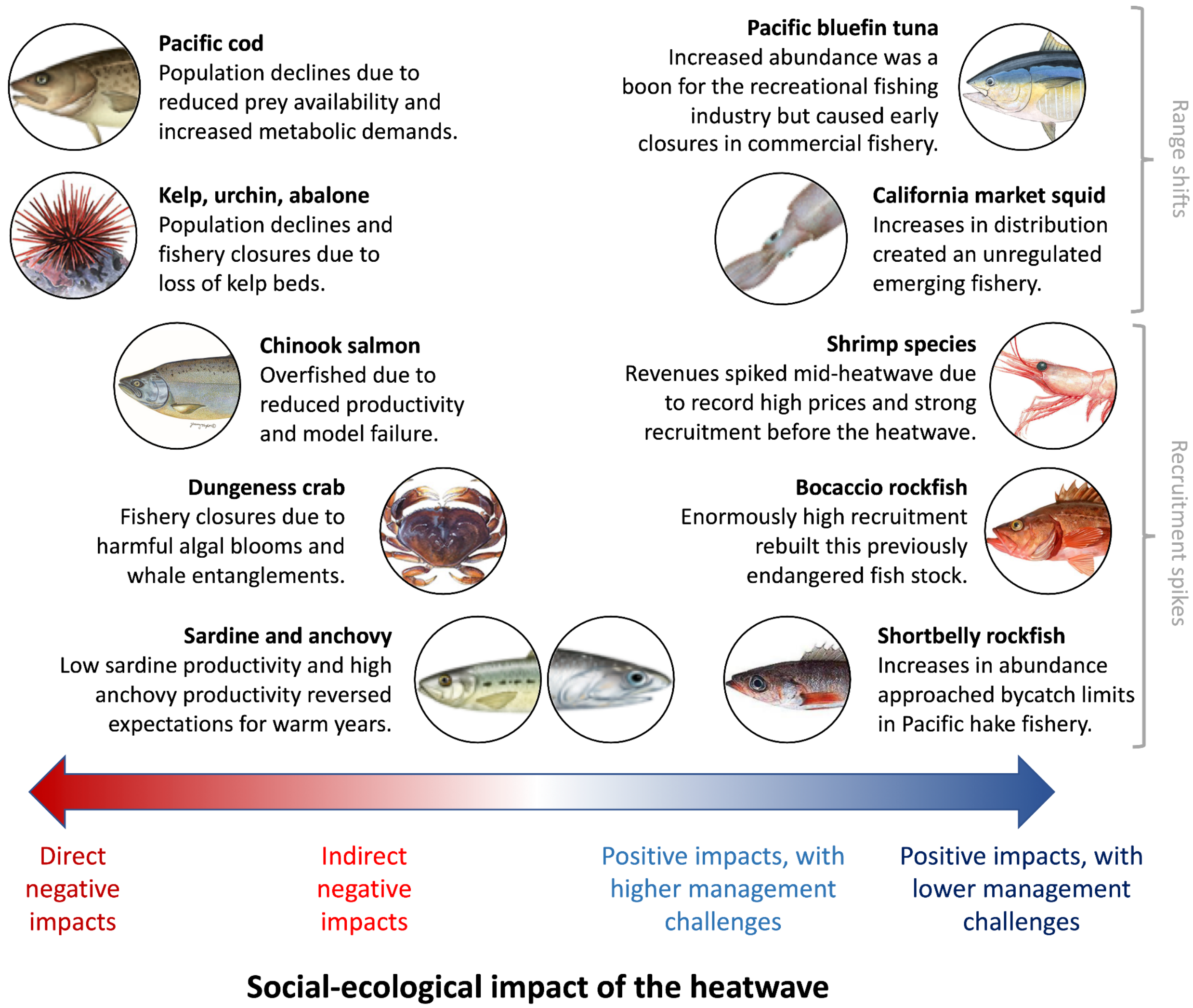

Figure 5. Case studies evaluated in this paper. Case studies were selected to illustrate instructive examples of West Coast fisheries that experienced either positive (n=5) or negative (n=5) social-ecological impacts during the 2014-16 marine heatwave and to derive insights into improving monitoring, management, and adaptive capacity of communities to be more resilient to future heatwaves and climate change. Photo credits: NOAA (California market squid, northern anchovy, Pacific bluefin tuna, Pacific sardine, Pacific cod), CDFW (Chinook salmon, Dungeness crab, Pacific pink shrimp, red sea urchin), and WDFW (shortbelly rockfish).

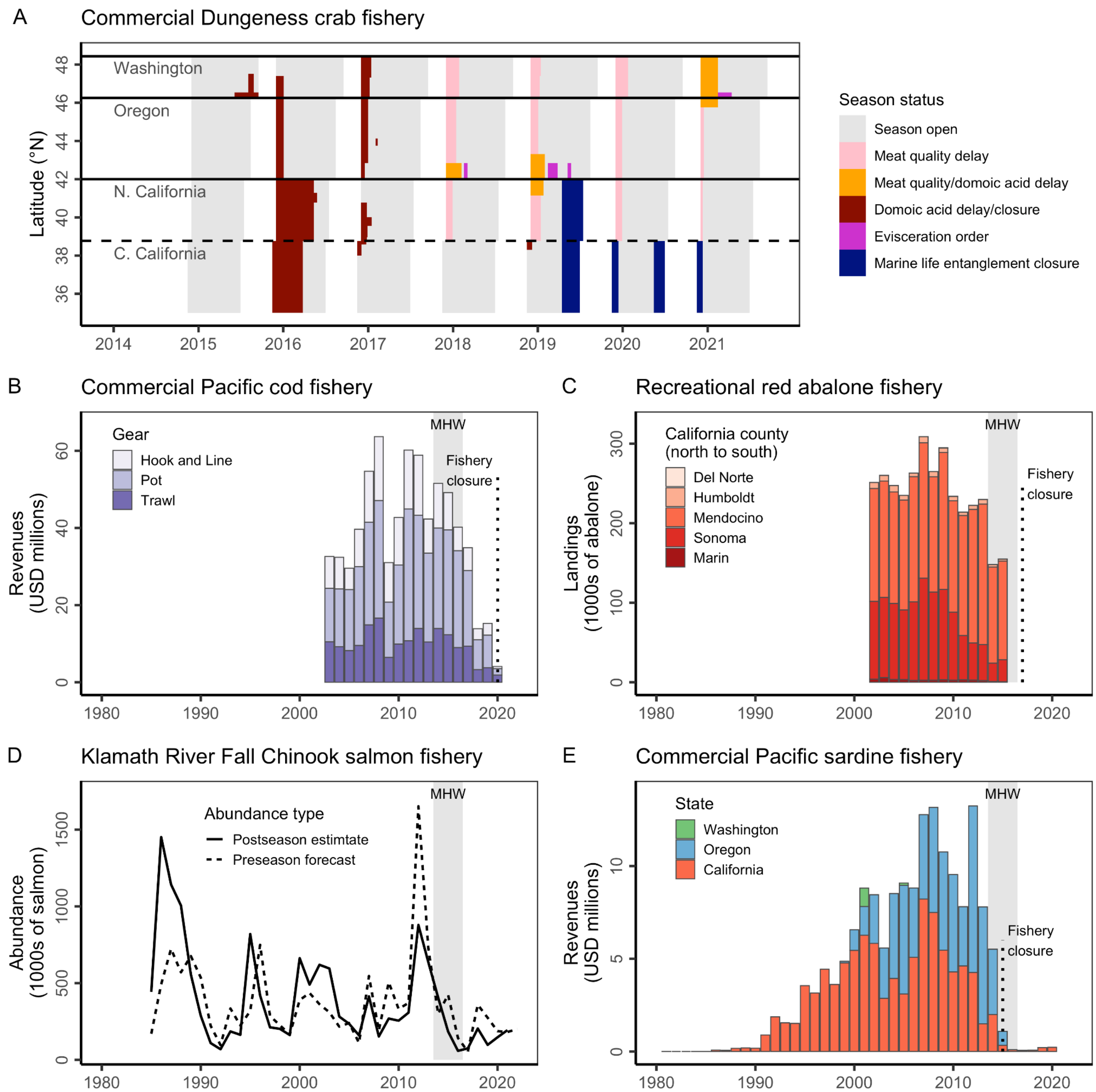

Figure 6. Illustrations of some of the negative ecological and economic impacts of the 2014-16 marine heatwave. Panel **A** shows the history of closures to the commercial Dungeness crab fishery due to domoic acid contamination, whale entanglement, and meat quality. Panel **B** shows the collapse of the commercial Pacific cod fishery in the Gulf of Alaska after increased adult mortality and reduced juvenile recruitment during the heatwave. Panel **C** shows the collapse and closure of the recreational red abalone fishery during the heatwave. Panel **D** shows the collapse of the commercial Klamath River Fall Chinook salmon fishery after the marine heatwave and the contribution of overly optimistic model forecasts. Panel **E** shows the collapse of the commercial Pacific sardine fishery and its closure during the heatwave (see **Figure S3** for the increase in Northern anchovy documented in this case study).

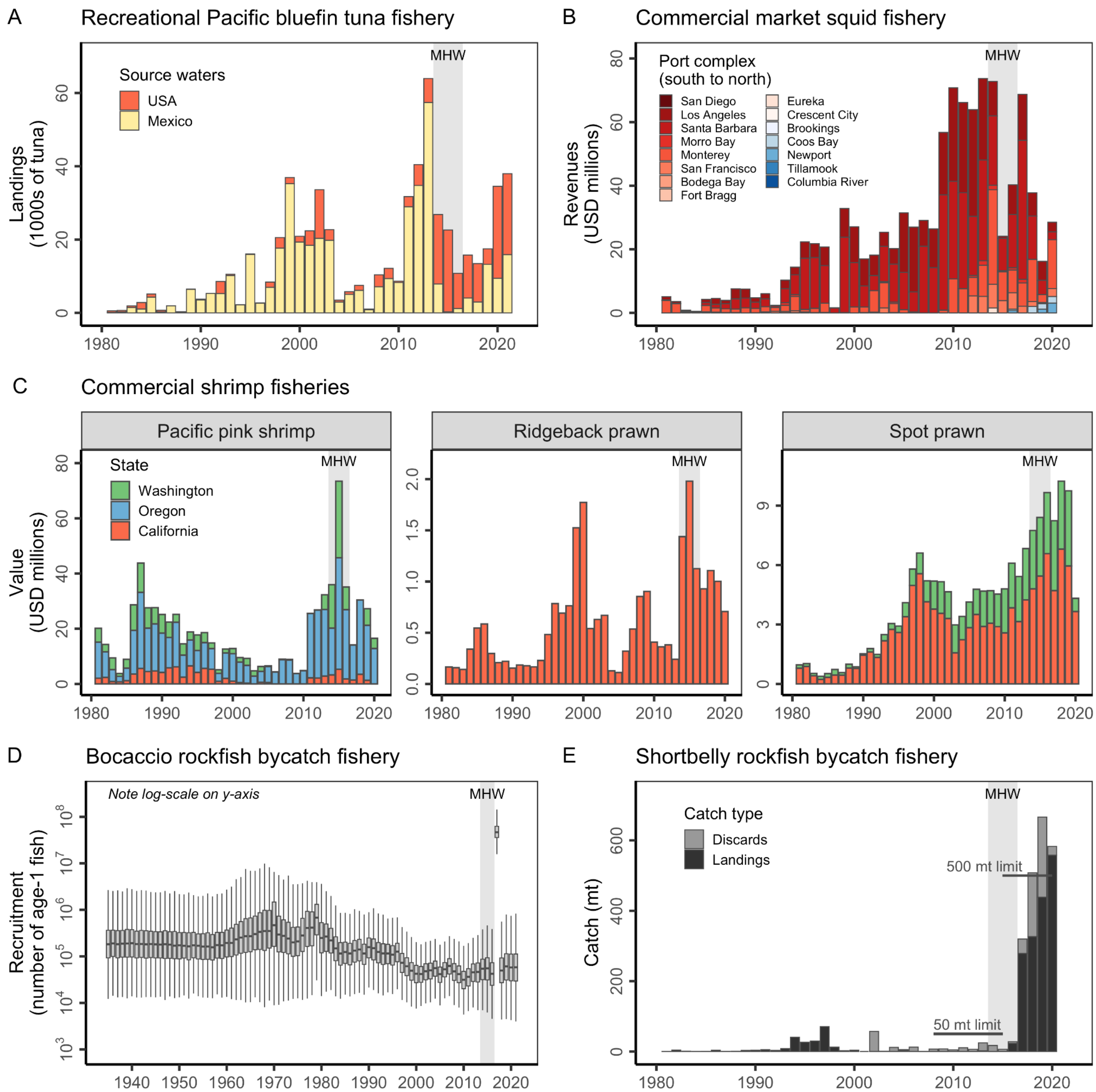

Figure 7. Illustrations of some of the positive ecological impacts of the 2014-16 marine heatwave. Panel **A** illustrates the increased availability of Pacific bluefin tuna in U.S. waters during the heatwave. Panel **B** illustrates the persistent northward shift of California market squid landings initiated during the heatwave. Red colors indicate port complexes in California and blue colors indicate port complexes in Oregon. Panel **C** illustrates the spike in revenues in the commercial Pacific pink shrimp and ridgeback prawn fisheries during the heatwave and the continued growth of the commercial spot prawn fishery through the heatwave. Panel **D** illustrates how the enormous spike in British Columbia bocaccio recruitment is projected to lead to the rebuilding of this endangered stock. In the boxplots, the solid line indicates the median, the box indicates the interquartile range (IQR; 25th to 75th percentiles), the whiskers indicate 1.5 times the IQR. Note the log-scale. Panel **E** illustrates the explosion in shortbelly rockfish bycatch following anomalous recruitment during the heatwave.

Supplemental Information

This paper compiles several datasets to illustrate impacts of the 2014-16 marine heatwave on fisheries of the U.S. and Canada West Coast. We describe the compilation of these datasets below.

Sea surface temperature data (Figure 1)

The sea surface temperature data were obtained from the the COBE Sea Surface Temperature (SST) dataset (Ishii et al., 2005), which provides monthly SST data on a globally complete 1°x1° grid from 1850-present based on an interpolation of in-situ and satellite-derived SST observations.

Federal fisheries disaster data (Figure 2)

The federal fisheries disaster data were obtained from Bellquist et al. (2021). These data describe information on every U.S. federal fisheries disaster declaration occurring from 1989-2020, including information on the fishery impacted, the cause of the disaster, the amount of relief money requested and awarded, and other relevant information.

Commercial revenues data (Figures 3 & S1)

We used annual statewide fisheries revenue data to evaluate impacts of the heatwave on commercial fisheries. To create this dataset, we combined data from a few sources. We used annual revenue data from the PacFIN database for the U.S. West Coast (California, Oregon, and Washington) and data provided directly from NOAA for the Gulf of Alaska. We were unable to use the AKFIN database (i.e., the equivalent of PacFIN for Alaska) for Alaska because the AKFIN database only includes crabs and groundfish (i.e., it is less comprehensive), is not species-specific (i.e., it is more generic), and does not separate the Gulf of Alaska from the Bering Sea and Aleutian Islands regions. We focus on the Gulf of Alaska region because this was the region impacted by the 2014-16 marine heatwave. We used annual revenue data provided directly by Fisheries and Oceans Canada (DFO) for British Columbia.

Recreational landings data (Figures 4 & S2)

We used estimates of annual statewide fisheries landings (i.e., number of retained fish) to evaluate impacts of the heatwave on recreational fisheries. To create this dataset, we combined data from a few sources. We used estimates of annual landings from the RecFIN database for the U.S. West Coast (California, Oregon, and Washington) and from the ADFG website for the Gulf of Alaska. However, the RecFIN data does not include catches of highly migratory species in California's for-hire (Commercial Passenger Fishing Vessel or CPFV) fleet. Thus, we used data from the CDFW Landings Reports for these species. We used the ADFG database for Alaska because the AKFIN database does not include recreational landings estimates. Although the NOAA FOSS database includes estimates of recreational landings by state, these estimates have been transformed into biomass (pounds) and are thus less representative of the original data. Furthermore, they do not include recreational landings estimates for Alaska.

Case study time series data

Dungeness crab management history (Figure 6A)
We obtained the spatial-temporal history of the Dungeness crab fishery from (Free, Moore, et al., 2022). These data describe the location and duration of every closure (or evisceration order) in the West Coast Dungeness crab fishery from 2014-2021.

GOA Pacific cod revenues data (Figure 6B)
We obtained time series of commercial Gulf of Alaska (GOA) Pacific cod fisheries revenues by gear and subarea from the AKFIN database.

Red abalone landings data (Figure 6C)
We obtained time series of recreational red abalone landings estimates by county from a CDFW report (CDFW, 2015). CDFW estimated these values using abalone "report cards" (i.e. creel survey) and telephone surveys (Kalvass & Geibel, 2006).

Klamath River Fall Chinook escapement forecasts and observations (Figure 6D)
We obtained time series of Klamath River Fall Chinook salmon pre-season escapement forecasts and post-season escapement observations from the 2022 pre-season report (PFMC, 2022). Escapement represents the number of salmon that escaped fishing and returned upriver.

Pacific sardine revenues data (Figure 6E)

We obtained time series of commercial Pacific sardine fisheries revenues by state from the PacFIN database (PSMFC, 2021), as compiled in the CALFISH database (Free, Vargas Poulsen, et al., 2022).

Pacific bluefin tuna landings data (Figure 7A)

We obtained time series of Pacific bluefin tuna landings by source waters (U.S. or Mexico) by California's recreational for-hire fleet from the California Marine Logbook System (MLS). The data query was submitted and processed by a co-author who is a CDFW employee.

Market squid revenues data (Figure 7B)

We obtained time series of commercial market squid fisheries revenues by port complex from the PacFIN database (PSMFC, 2021), as compiled in the CALFISH database (Free, Vargas Poulsen, et al., 2022).

Bocaccio recruitment estimates (Figure 7D)

We obtained time series of Bocaccio rockfish recruitment estimates from the first author of the most recent bocaccio rockfish stock assessment (DFO, 2021).

Shortbelly rockfish bycatch data (Figure 7E)

We obtained time series of shortbelly rockfish landings and discard estimates from the Groundfish Expanded Mortality Multiyear (GEMM) (Somers et al., 2020, 2021).

Northern anchovy index of abundance data (Figure S3)

Larval anchovy time series is from the CalCOFI spring survey. Young of the year time series is from the Rockfish Recruitment and Ecosystem Assessment Survey (RREAS) in southern California (Thompson, Bjorkstedt, et al., 2022).

Pacific bluefin tuna trophy size fish data (Figure S4)

We obtained time series of trophy size Pacific bluefin tuna reported in the "Whoppers of the Week" section of Western Outdoor News from 1968-2019 from (L. F. Bellquist et al., 2016).

Table S1. Lessons for improving monitoring, management, and adaptive capacity.

Principle		Example
For improved monitoring		
1	Strategically enhance the spatial-temporal scale of monitoring to promote dynamic management that reduces tradeoffs among competing objectives	Increased spatial-temporal monitoring of harmful algal blooms and biotoxin contamination can protect public health while minimizing impacts on fishing opportunities
2	Target monitoring to understand drivers of sudden shifts in productivity/distribution that have occurred during past heatwaves and use this knowledge to better prepare for future heatwaves	Targeted monitoring is needed to resolve (a) the relationship between local HMS availability and stockwide abundance, (b) reversals in long-believed relationships between the environment and CPS fisheries productivity, and (c) earlier detection of heatwave-driven shifts in abundance/distribution.
3	Develop tools for rapidly processing, visualizing, and disseminating raw monitoring data to democratize and accelerate the rate at which “unknown unknowns” and other surprises are detected and responded to	The standardized summaries of available fisheries-dependent and fisheries-independent data for Canadian Pacific groundfish (Anderson et al., 2019) provide a useful template for such tools.
4	Use technology that makes monitoring cheaper or partnerships that make monitoring more efficient to reduce or maintain costs	Citizen/community science programs can integrate new tools to achieve cheaper data collection at scale
For improved management		
1	Broaden co-management systems that leverage stakeholder knowledge, lower monitoring/management costs, and empower diverse voices	The inclusion of fishers in the management of whale entanglement risk in the CA Dungeness crab fishery assisted in identifying/implementing feasible, equitable, and effective management actions.
2	Increase the agility and flexibility of fisheries management institutions and procedures to expedite effective responses to surprises	This could involve establishing (a) alternative procedures for updating bycatch quotas in response to unexpectedly high recruitment events or (b) plans for evaluating and managing rapidly emerging fisheries that introduce novel conflicts between fisheries or between economic/conservation goals.
3	Enhance the adaptiveness or robustness of fisheries management to the impacts of heatwaves and climate change	This could involve (a) accounting for shifting productivity by incorporating climate variables into stock assessments and/or (b) designing harvest control rules that are robust to climate change.
4	Use climate-linked management strategy evaluation to compare the performance of alternative management strategies under climate change to quantitatively inform management decisions	Apply marine heatwave scenarios, particularly for short-lived species (e.g., CPS), species that experience critical life history bottlenecks (e.g., aggregating or diadromous species), or uniquely vulnerable species (e.g., red abalone).
For improved adaptive capacity		
1	Broaden co-management systems that leverage stakeholder knowledge, lower monitoring/management costs, and empower diverse voices *(note: this is also a lesson for improved monitoring and management)*	NOAA-funded research conducted with the recreational fishing industry facilitated the development of descending devices that reduce rockfish discard mortality. Led by the recreational fishing industry, these devices are now mandated in recreational fisheries coastwide (voluntary in California).
2	Bolster policies that promote livelihood diversification to buffer fishing communities against negative climate impacts	Easing access to fishing permits can promote target species diversification and buffer revenues against heatwaves, climate change, and other market shocks.
3	Enhance permit programs that allow experimentation to accelerate innovation in climate-ready strategies	Exempted Fishing Permits with good experimental design could, for example, be leveraged to stimulate an expanded purple sea urchin fishery that enhances kelp reforestation, design whale-safe fishing gear or practices that jointly prevent entanglements and fishery closures, or develop new fisheries-dependent data streams that enhance adaptive management.
4	Enhance programs that provide economic relief in response to negative environmental impacts to improve the resilience of fishing communities to climate change	This could involve (a) reforming disaster relief programs to be more timely, accurate, and equitable in their assessment and distribution of disaster relief and/or (b) developing fisheries insurance programs that smooth risk, mitigate losses, and/or incentivize climate-resilient actions.

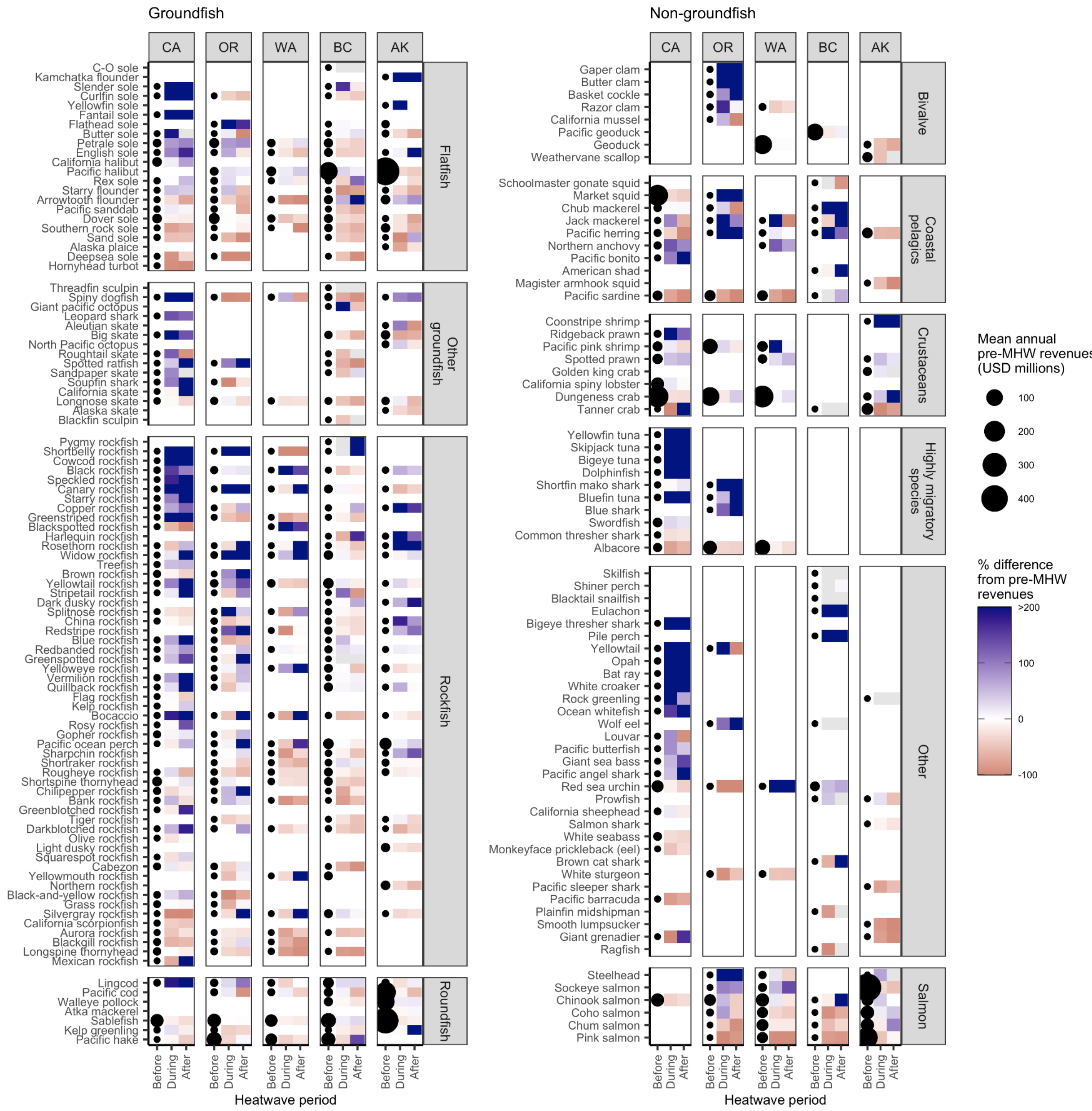

Figure S1. Commercial fisheries revenues before the 2014-16 marine heatwave and the percent change in revenues during and after the heatwave by state, management group, and species. Species (rows) are grouped by management group and are vertically ordered from greatest losses (bottom) to the greatest gains (top) in revenues during the heatwave averaged across states. The size of the points plotted in the “before” column indicate mean annual revenues during the years before the heatwave and the colors plotted in the “during” and “after” columns indicate the percent change in revenues relative to the years before the heatwave.

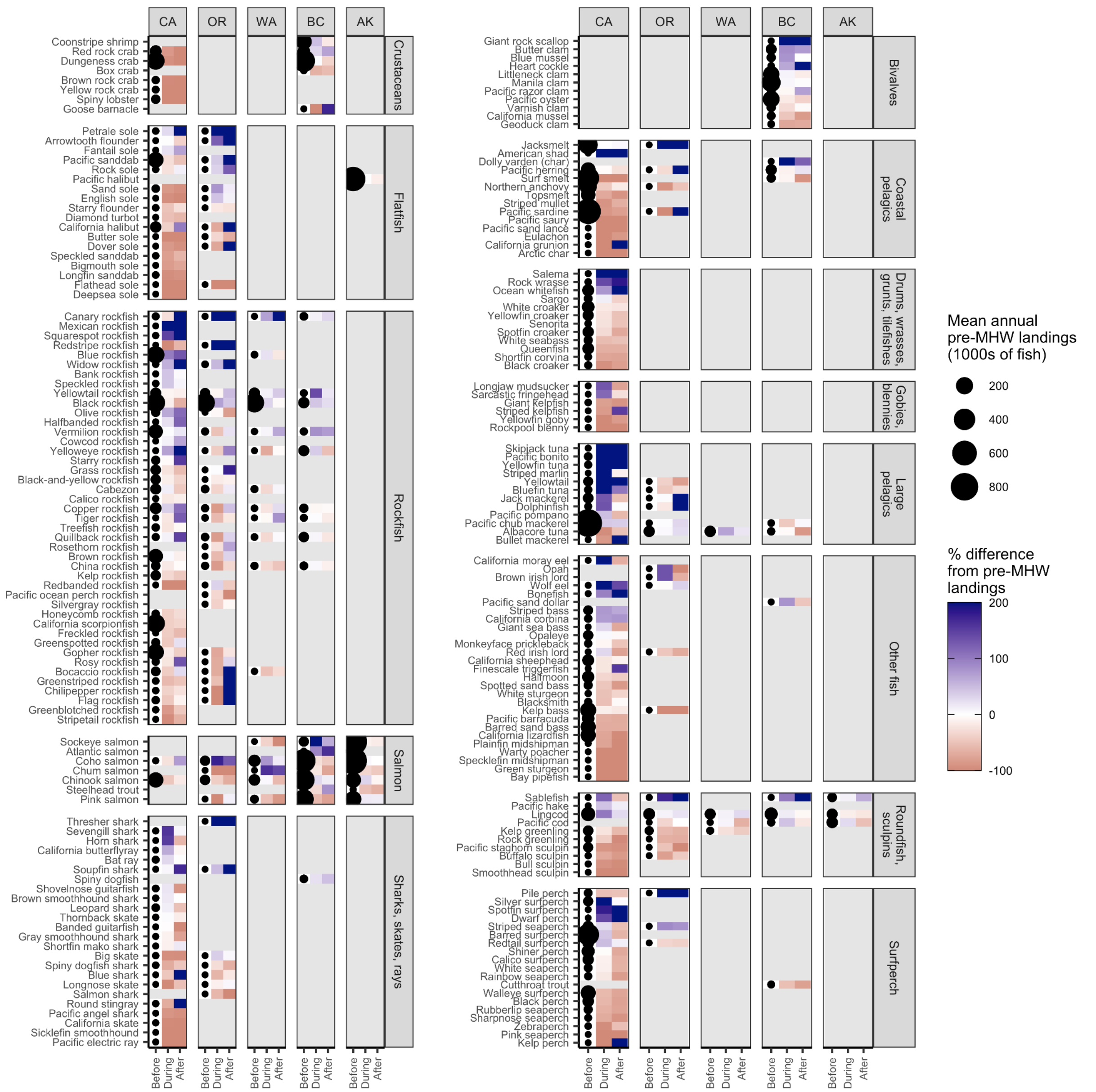

Figure S2. Recreational fisheries landings (number of retained individuals) before the 2014-16 marine heatwave and the percent change in landings during and after the heatwave by state, management group, and species based on multiple recreational landings databases. Species (rows) are grouped by taxonomic group and are vertically ordered from greatest losses (bottom) to the greatest gains (top) in landings during the heatwave averaged across states. The size of the points plotted in the "before" column indicate mean annual landings during the years before the heatwave and the colors plotted in the "during" and "after" columns indicate the percent change in revenues relative to the years before the heatwave.

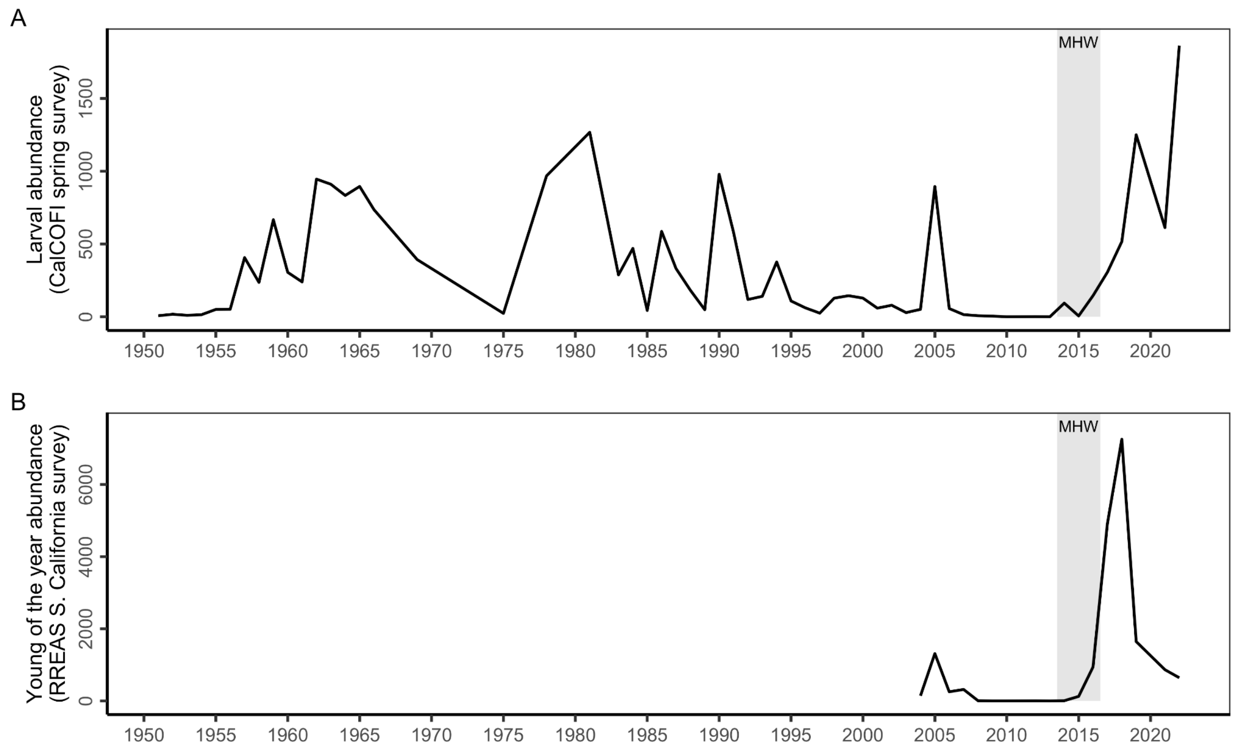

Figure S3. The mean abundance of Northern anchovy **(A)** larvae (number under 10 m^2) and **(B)** young-of-the-year in southern California (number per catch) . Larval anchovy time series is from the CalCOFI bongo net spring survey. Young of the year time series is from the summer midwater trawlRockfish Recruitment and Ecosystem Assessment Survey (RREAS) in southern California.

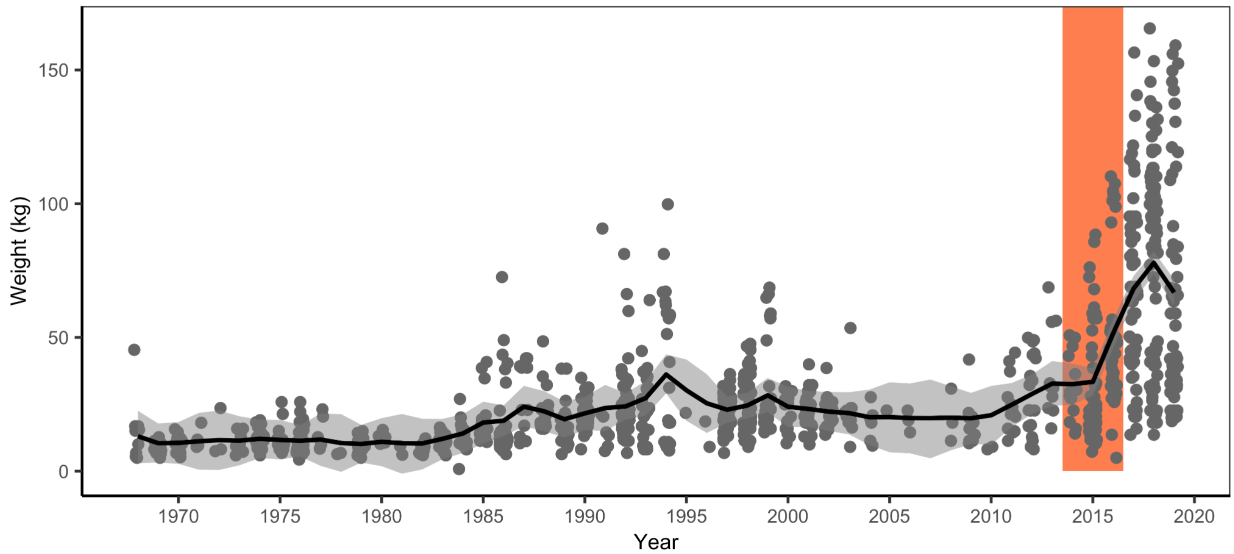

Figure S4. Time series of trophy size Pacific bluefin tuna reported in the "Whoppers of the Week" section of Western Outdoor News from 1968-2019. The vertical orange rectangle illustrates the 2014-16 marine heatwave. The black line and shading indicate the median and 95% confidence interval of a state-space model fit to the data. The data and modeling framework are an extension of that published in (L. F. Bellquist et al., 2016).