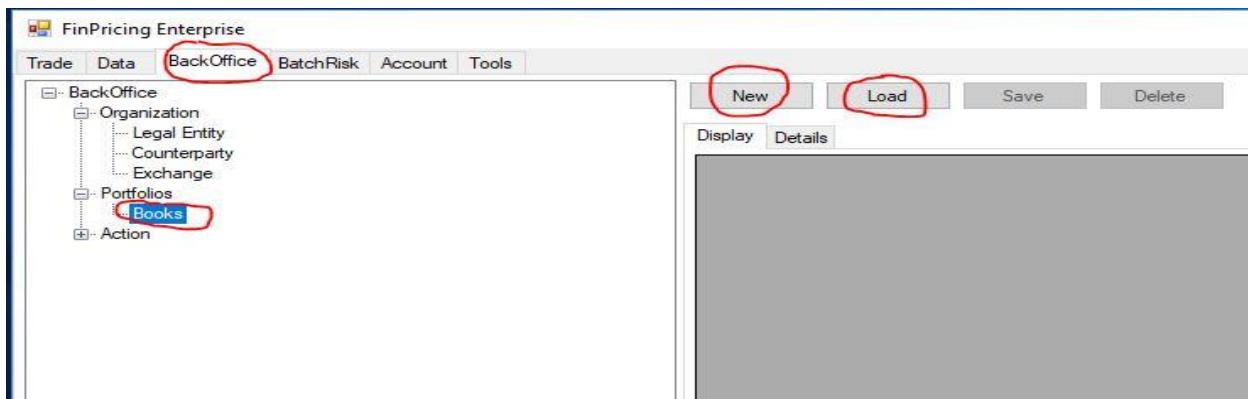


Back Office Entities

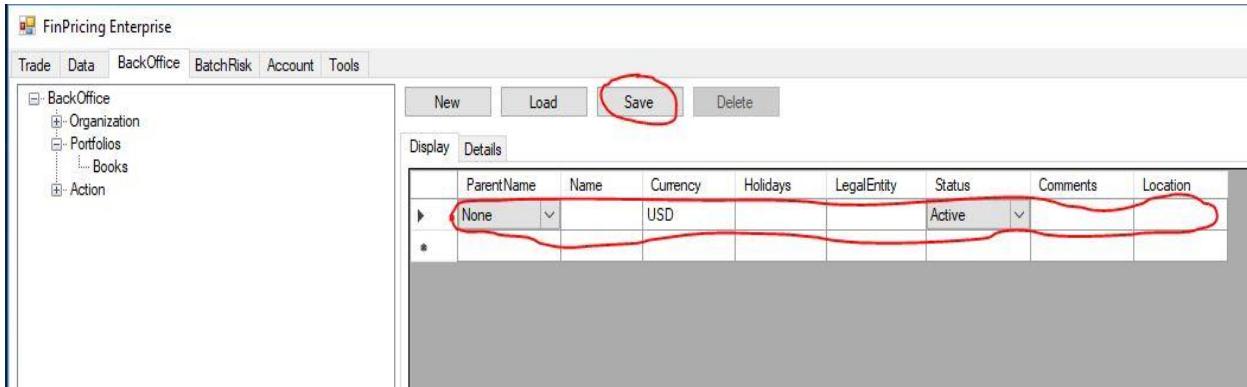
A back office is the portion of a company made up of administration and support personnel who are behind-the-scenes and not client-facing, while front office staffs are the folks in contact with the consumers or clients. Back office functions include settlements, clearances, record maintenance, regulatory compliance, accounting, and IT services.

The back office is the supporting department of a company that carries out administrative functions that assist the front office to facilitate the course of work and the business transactions. Back office functions include settlements, clearances, record maintenance, regulatory compliance, accounting, and IT services.

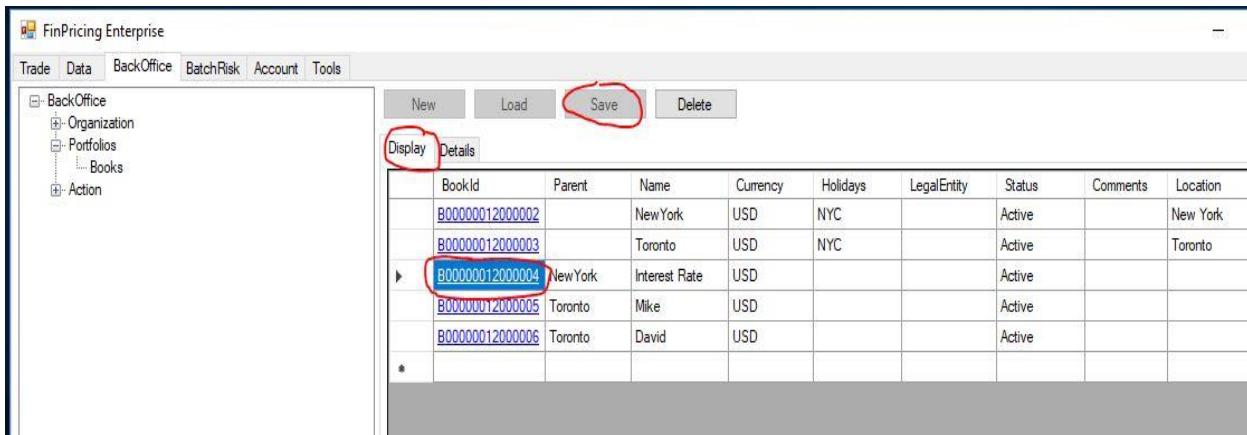
- Click the BackOffice tab at the top-left corner of the application. Then, expend BackOffice -> Portfolios -> Books. You can click the New button to create a new book or the Load button to extract an existing one.



- If you click the New button, a new book template is displayed in the main window. The ParentName field allows you to build portfolio hierarchy. If there is no hierarchy, simply select NA. Fill all fields and then click the Save button. A new book has been generated.



- If you click the Load button, all the existing books are loaded in the Display tab of the main window. You can modify the fields and then click the Save button to save all changes.



- Note that all the bookIds above are underlined that means you can further drill down these books. Clicking a bookId (e.g., B00000012000004), all the trades within this book are displayed in the Details tab of the main window.

FinPricing Enterprise

The screenshot shows the FinPricing Enterprise application window. At the top, there is a menu bar with tabs: Trade, Data, BackOffice, BatchRisk, Account, and Tools. Below the menu is a toolbar with buttons for New, Load, Save, and Delete. To the left, there is a sidebar with a tree view under the BackOffice category, showing Organization, Portfolios (with Books), and Action. The main area contains a grid table with columns: TradeId, Book, ProductName, Status, AssetClass, TradeDate, and MaturityDate. The first row of the grid is highlighted with a blue background. The 'Details' tab is circled in red at the top of the grid header.

TradeId	Book	ProductName	Status	AssetClass	TradeDate	MaturityDate
T000000120030...	Interest Rate	IrSwaption	Active	Interest Rate	2018-05-10	2023-05-10
T000000120030...	Interest Rate	IrSwaption	Active	Interest Rate	2017-07-18	2021-07-24
T000000120030...	Interest Rate	IrSwaption	Active	Interest Rate	2017-06-26	2023-07-02
T000000120030...	Interest Rate	IrSwaption	Active	Interest Rate	2017-06-26	2020-06-28
T000000120040...	Interest Rate	FiBond	Active	Fixed Income	2018-02-10	2028-05-10
T000000120040...	Interest Rate	FiBond	Active	Fixed Income	2016-05-02	2020-04-30
T000000120040...	Interest Rate	FiBond	Active	Fixed Income	2008-05-16	2028-05-15
T000000120040...	Interest Rate	FiBond	Active	Fixed Income	2018-03-02	2030-08-31
T000000120040...	Interest Rate	FiBond	Active	Fixed Income	2015-07-31	2025-07-31
T000000120050...	Interest Rate	FiCallableBond	Active	Fixed Income	2018-03-10	2028-06-10
T000000120050...	Interest Rate	FiCallableBond	Active	Fixed Income	2018-03-10	2028-06-10

Reference:

<https://finpricing.com/lib/EqWarrant.html>