



STATE PROCUREMENT OFFICE
NOTICE OF REQUEST FOR EXEMPTION
FROM HRS CHAPTER 103D

STATE PROCUREMENT OFFICE
STATE OF HAWAII

12 DEC 10 P 3:47

TO: Chief Procurement Officer

FROM: Office of Information Management and Technology
Name of Requesting Department

Pursuant to HRS § 103D-102(b)(4) and HAR chapter 3-120, the Department requests a procurement exemption for the following:

1. Describe the goods, services or construction: See attached
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2. Vendor/Contractor/Service Provider:	Socrata, Inc.	3. Amount of Request: \$ 299,919
4. Term of Contract From: 12/17/2012 To: 3/20/2014	5. Prior SPO-007, Procurement Exemption (PE): None	

6. Explain in detail, why it is not practicable or not advantageous for the department to procure by competitive means: See attached.
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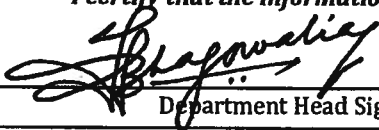
7. Explain in detail, the process that will be or was utilized in selecting the vendor/contractor/service provider: See attached.
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8. Identify the primary responsible staff person(s) conducting and managing this procurement. (Appropriate delegated procurement authority and completion of mandatory training required).

*Point of contact (Place asterisk after name of person to contact for additional information).

Name	Division/Agency	Phone Number	e-mail address
Debra A. Gagne*	OIMT	586-1930 x534	Debra.A.Gagne@hawaii.gov

*All requirements/approvals and internal controls for this expenditure is the responsibility of the department.
I certify that the information provided above is, to the best of my knowledge, true and correct.*



Department Head Signature

12-10-2012
Date

For Chief Procurement Officer Use Only

Date Notice Posted: 12/14/12

Inquiries about this request shall be directed to the contact named in No. 8. Submit written objection to this notice to issue an exempt contract within seven calendar days or as otherwise allowed from date notice posted to:

state.procurement.office@hawaii.gov

Chief Procurement Officer (CPO) Comments:

☐ Approved

☐ Disapproved

☐ No Action Required

Chief Procurement Officer Signature

Date

NEIL ABERCROMBIE
GOVERNOR



STATE OF HAWAII
OFFICE OF INFORMATION MANAGEMENT & TECHNOLOGY
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SANJEEV "SONNY"
BHAGOWALIA
CHIEF INFORMATION
OFFICER

RANDY BALDEMOR
DEPUTY CHIEF INFORMATION
OFFICER - BUSINESS
TRANSFORMATION

KEONE KALI
DEPUTY CHIEF INFORMATION
OFFICER - OPERATIONS

OIMT-CORR 12.0057

December 5, 2012

To: Aaron Fujioka
Chief Procurement Officer

From: Sanjeev "Sonny" Bhagowalia
Chief Information Officer

Regarding: Request for Procurement Exemption from HRS Chapter 103D

1. Describe the goods, services, or construction:

Renewal of license for Socrata Open Data Portal – Premium Plan

Socrata is the most-widely adopted Open Data platform in the world. The Socrata Open Data Portal is used by a wide variety of governments to host their Open Government Data sites, including cities such as Seattle, Chicago, San Francisco, New Orleans, Austin, Baltimore, Boston, New York City, District of Columbia, and Honolulu, states including Colorado, Illinois, Missouri, Oklahoma, Oregon, and Washington, plus the Federal Government's Data.gov site. Sharing a common open data platform with these governments allows Hawaii to federate its data catalogs, providing citizens direct access to a vast resource of government data. Federation is especially useful with the Federal site and the City and County of Honolulu, both, as noted above, Socrata users.

The product is used to display data loaded into the State's data.hawaii.gov website. Renewal of the license provides the state with:

- Up to 250GB of data storage and 1.25TB of delivery
- Open Data Catalog and Private URL (data.hawaii.gov).
- Privately branded Social Data Player
- Basic API
- Advanced performance metrics
- Advanced visualization
- Advanced comment moderation
- Dataset nomination, routing, and approval
- Dataset discovery widget
- Socrata Mondara geospatial module, which adds support for KML and Shapefiles plus geocoding capabilities
- Socrata Story DataSlate Module providing the ability to design rich, data-centric web pages
- Socrata Open Extensions for the Esri ArcGIS Module
- Socrata Agency Microsite for the County of Hawai'i, Maui or Kaua'i to share the resources of data.hawaii.gov

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- Socrata data applications Checkbook Explorer, which enables drill-down of financial data to the individual check level, and the Socrata Election app, which enables real-time reporting of election information as they are tabulated
- Socrata University – unlimited access to Socrata training courses including publishing, visualization, site configuration, data player embedding, open data adoption and success strategy, API publishing, and API consumption

GovStat

In addition to the standard open data hosting and visualizations, OIMT also wishes to procure the GovStat module of the Socrata Platform. GovStat builds upon the base open data hosting solution by providing a means to clearly represent the strategic goals the governor has for the State of Hawaii and that delivers insight into how individual agencies are making use of current data to improve performance on each priority. The GovStat module provides departmental users with a central location and interface to populate performance measurements, reducing the need for independent spreadsheet tracking of key performance areas and helping to architect the State's data around strategic goals, plans, and problems. Federation also provides an opportunity for quick comparisons between operational divisions to seed strategies for resources sharing, cost cutting, best practice proliferation, and consolidation.

The GovStat subscription includes 12 months of access to the cloud-hosted software-as-a-service, to coincide with the renewal period of the open data platform subscription (3/21/13 – 3/20/14) as well as one-time setup, configuration, and consulting fees. The setup fee includes access to the GovStat service from the time of contract award through 3/20/13, when the annual subscription period begins.

Procuring GovStat in conjunction with the open data platform supports the CIO's strategic objective of leveraging common platforms that share data structures and user interfaces, reducing complexity and costs, and providing a common solution to multiple business functions.

2. Vendor/Contractor/Service Provider Name:

Kevin Merritt/President & CEO Socrata, Inc.
Socrata, Inc.
101 Yesler Way Suite 402
Seattle, WA 98104 Email: kevin.merritt@socrata.com
Phone: (425) 301-8142

3. Amount of Request:

The total cost for the requested sole source procurement is as follows:

Item	Unit Price	Quantity	Extended Cost
Socrata Open Data Platform – Premium (monthly)	\$4,999.00	12	\$59,988.00
Agency Microsites (monthly)	\$199.00	12	\$2,388.00
Esri ArcGIS Integration Module (monthly)	\$999.00	12	\$11,988.00
Socrata DataSlate (monthly)	\$999.00	12	\$11,988.00
Checkbook Explorer (monthly)	\$244.00	12	\$2,928.00
Elections Application (monthly)	\$244.00	12	\$2,928.00

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Socrata University (monthly)	\$999.00	12	\$11,988.00
Consulting Support (one-time)	\$2,430.00	1	\$2,430.00
Socrata GovStat (monthly)	\$10,500.00	12	\$126,000.00
Implementation & Setup	\$55,000.00	1	\$55,000.00
Consulting Support	\$12,293.00	1	\$12,293.00
TOTAL COST			\$299,919.00

These prices are offered as a firm-fixed price for twelve months of service (plus period from contract award through 3/20/13). Prices are inclusive of applicable taxes

4. Term of contract:

Contract award (December 2012) through March 20, 2014 with 4 option years.

5. Prior SPO-001, Sole Source (SS) No:

N/A

6. Explain in detail, why it is not practicable or not advantageous for the department to procure by competitive means:

The Socrata Open Data Platform is the most widely-adopted Open Data solution used by governments at all levels across the country and the world. Implementations of Open Data sites either use the Socrata platform, a tailored implementation of open-source modules, or a custom-built solution built in-house with programmers and developers expert at Web 2.0 constructs and architecture. Socrata provides a turnkey, cloud-based, proven platform that reduces cost, effort, and time.

Datasets loaded to data.hawaii.gov are open and made available to the public. Considerable time and effort has been spent by State staff to configure the Socrata tool in populating the site with approximately 500 data sets from areas such as DBEDT, Agriculture, Education, Tourism, Ethics, Energy, Elections, DAGS, Campaign Spending, Civil Defense, Libraries, Labor, Health, and Hawaiian Homelands. We have also taken advantage of the Embed feature to cross-link data.hawaii.gov datasets and provide active links to Socrata from within individual Departmental websites.

Even if there were comparable service offerings available, switching to a different provider would involve essentially re-designing and re-building the data.hawaii.gov site from scratch. Agencies that have loaded their open data into the system would have to re-enter it into the new system, citizens who have taken the time to learn to use the site would need to re-learn a new platform, and any applications that have been designed to take advantage of the data posted on the site via APIs would need to be re-designed. All the work and value that has been created on the existing contract would be lost.

7. Explain in detail, the process that will be or was utilized in selecting the vendor/contractor/service provider:

The Socrata platform was selected as the foundation for Hawaii's Open Government Data site, data.hawaii.gov, after an open competition in early 2012 for a turnkey solution to provide an open data portal to the public. At the time, OIMT was operating with Hawaii Community Foundation funds managed by the Research Corporation of the University of Hawaii. Normally,

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such a foundational element of a major public-facing State service would best be served by a 5 year contract (base plus four option years). However, the terms of the RCUH project limited the contract term to one year.

There were only two responses to the RFP: Socrata and DR Fortress. The DR Fortress proposal offered to program a solution from scratch. This alternative would take significant time, staff effort, and a substantial amount of additional funding to re-create the functionality and APIs that are already available in the Socrata platform. Furthermore, the DR Fortress proposal did not meet the technical specifications of the RFP, including the ability for citizens to view the data live online, access the data via Application Program Interfaces (APIs), and create visualizations of the data (including maps and graphs). Our market research shows that other potential vendors' offerings are focused on hosting datasets for internal use, not for making data publicly visible and available for use.

Now that OIMT has received and is operating with State funds, we need to establish a new contract between Socrata and the State of Hawaii to continue providing the platform for Hawaii's Open Government Data site.

8. Identify the primary responsible staff person(s) conducting and managing this procurement.

Debra Gagne
OIMT
586-1930 ext. 534
Debra.A.Gagne@hawaii.gov

PE 13-04213