

Determining Causes of Death with ChatGPT: A Case Study of Verbal Autopsy Data in Sierra Leone from 2019-2022

Richard Wen^{1*}, Rajeev Kamadol^{1,5}, Cheryl Chin¹,
Asha Behdinan^{1,3}, Leslie Newcombe¹, Anteneh Tesfaye Assalif¹,
Areeba Zubair^{1,3}, Thomas Kai Sze Ng¹, Patrick Brown^{1,4},
Prabhat Jha^{1,2}

¹*Centre for Global Health Research, St. Michael's Hospital, Unity Health Toronto, 30 Bond St, Toronto, M5B 1W8, Ontario, Canada.

²Dalla Lana School of Public Health, University of Toronto, 155 College Street, Toronto, M5T 3M7, Ontario, Canada.

³Department of Surgery, University of Toronto, 149 College Street, Toronto, M5T 1P5, Ontario, Canada.

⁴Department of Statistical Sciences, University of Toronto, 700 University Avenue, Toronto, M5G 1Z5, Ontario, Canada.

⁵Kentropy Technologies Pvt. Ltd., 2nd Main Rd, Bengaluru, 560 034, Bangalore, India.

*Corresponding author(s). E-mail(s): richard.wen@unityhealth.to;

Contributing authors: rajeevk@kentropy.com;
cheryl.chin@unityhealth.to; asha.behdinan@mail.utoronto.ca;
leslie.newcombe@unityhealth.to; antenehta@gmail.com;
areeba.zubair@mail.utoronto.ca; KaiSze.Ng@unityhealth.to ;
prabhat.jha@utoronto.ca;

Abstract

The abstract serves both as a general introduction to the topic and as a brief, non-technical summary of the main results and their implications. Authors are advised to check the author instructions for the journal they are submitting to for word limits and if structural elements like subheadings, citations, or equations are permitted.

1 Background

In 2019, 41 million people die prematurely from noncommunicable diseases every year, accounting for 74% of all deaths globally [1]. Most of these deaths are preventable, but require resources, interventions, and policies that are guided by evidence [2]. Thus, reliable counts and diagnoses of deaths provide decision makers with evidence to save lives and reduce premature deaths worldwide [3–6]. However, most low-income countries do not have data on deaths or have registered less than half of the deaths in their country, with an even fewer 8% of these registered deaths having a Cause of Death (COD) recorded [7]. To fill this gap in death registrations, an alternative method known as Verbal Autopsy (VA) is used to collect data on deaths and determine their likely causes at scale [8–10], outside of traditional healthcare facilities where over half of deaths occur at home [11].

VA involves two major components: survey and COD assignment [12, 13]. In the survey component, trained lay surveyors interview those familiar with the deceased (e.g. living spouse, children, family, friends) to gather information using standardized questionnaires and open narratives. In the COD assignment component, physicians evaluate information available from the questionnaires and open narratives to assign probable CODs. Although the survey component has been an effective alternative to collect mortality data at scale, the COD assignment component has been criticized to be expensive and difficult to reproduce due to reliance on physician assigned CODs [14, 15]. As an alternative to physician assigned CODs, computer models, such as InterVA [16] and InSilicoVA [14], have recently been studied to automatically assign CODs with performances close to physicians at the population level, but poor performances at the individual level [17–20]. These computer models often utilized data from the structured questionnaire, but often omit the free-text open narrative, which misses latent information, such as chronology or health-seeking behaviors, that may potentially help models perform better than using the questionnaire alone [21–23].

Recently, Large Language Models (LLM), leveraging massive datasets and deep learning approaches, have made advances in performing a variety of Natural Language Processing (NLP) tasks using free-text, such as question answering, code generation, and even medical diagnosis [24–27]. On November 30, 2022, a widely-available LLM called ChatGPT was released by OpenAI with capabilities of answering natural language text inquiries using training data up to September 2021. ChatGPT-3 was based on several Generative Pre-trained Transformer (GPT) models between 2018 to 2020, namely GPT-1 to GPT-3, which had notable differences in training data sizes of 5 gigabytes to 45 terabytes from web sources that resulted in 117 million to 175 billion parameter models [28]. On March 14, 2023, ChatGPT-4 was released with human-level performance on various professional and academic exams and benchmarks that outperformed ChatGPT-3 [29]. Given the limited usage of free-text open narratives in computer models for determining CODs, and recent advances in LLMs that leverage

natural language text prompts, we conduct a case study with Sierra Leone deaths from VA in 2019 to 2022 to compare four models, ChatGPT-3.5, ChatGPT-4, InterVA-5, and InSilicoVA, for determining CODs.

2 Methods

The performances of four models, ChatGPT-3.5, ChatGPT-4, InterVA-5, and InSilicoVA, for determining CODs were evaluated using 6939 physician agreed records of death in 2019 to 2022 from the Health Sierra Leone (HEAL-SL) study [30, 31]. COD outputs from the four models were compared to CODs assigned by physicians. Physician agreed records are records where two physicians had similar COD assignments for each dual-coded record.

Initially, 11,920 records were collected from dual-coded Electronic Verbal Autopsy (EVA), where each record was randomly coded by two different physicians that assigned CODs as International Classification of Diseases Revision 10 (ICD-10) codes [32]. The codes from the two physicians were compared in the EVA system to determine if they agreed using Central Medical Evaluation Agreement 10 (CMEA-10) codes, which groups ICD-10 codes into a set of ranges considered to have similar codes (codes in agreement, see Appendix A). When codes were not in agreement for a , the death enters a reconciliation phase, where the two physicians would be provided diagnoses from each other to keep their initial code, assign the other physician's code or assign a new code. If the codes still do not agree, the death enters an adjudication phase, where a third more experienced physician evaluates both physicians' diagnoses and assigns a final code. The 11,799 deaths were filtered for cases where both physicians agreed on the assigned codes (cases that were not reconciled or adjudicated).

Physicians were able to assign CODs for 11,799 of the 11,920 deaths, where 121 of these deaths could not be assigned a COD due to missing or inadequate information. The ICD-10 codes from the 11,799 deaths were then transformed into Centre for Global Health Research 10 (CGHR-10) codes that generalized ICD-10 codes into 19, 10, and 7 categories for the adult (12 years or older), child (28 days to 11 years), and neonatal (under 28 days) age groups. Free-text open narratives were used as input for ChatGPT-3.5 and ChatGPT-4, while structured questionnaire data transformed into WHO v1.5.1 format were used as input for InterVA-5 and InSilicoVA.

3 Results

x.

4 Discussion

Discussions should be brief and focused. In some disciplines use of Discussion or ‘Conclusion’ is interchangeable. It is not mandatory to use both. Some journals prefer a section ‘Results and Discussion’ followed by a section ‘Conclusion’. Please refer to Journal-level guidance for any specific requirements.

5 Conclusion

Conclusions may be used to restate your hypothesis or research question, restate your major findings, explain the relevance and the added value of your work, highlight any limitations of your study, describe future directions for research and recommendations.

In some disciplines use of Discussion or 'Conclusion' is interchangeable. It is not mandatory to use both. Please refer to Journal-level guidance for any specific requirements.

Supplementary information. If your article has accompanying supplementary file/s please state so here.

Authors reporting data from electrophoretic gels and blots should supply the full unprocessed scans for key as part of their Supplementary information. This may be requested by the editorial team/s if it is missing.

Please refer to Journal-level guidance for any specific requirements.

Acknowledgments. Acknowledgments are not compulsory. Where included they should be brief. Grant or contribution numbers may be acknowledged.

Please refer to Journal-level guidance for any specific requirements.

Declarations

Some journals require declarations to be submitted in a standardised format. Please check the Instructions for Authors of the journal to which you are submitting to see if you need to complete this section. If yes, your manuscript must contain the following sections under the heading 'Declarations':

- Funding
- Conflict of interest/Competing interests (check journal-specific guidelines for which heading to use)
- Ethics approval
- Consent to participate
- Consent for publication
- Availability of data and materials
- Code availability
- Authors' contributions

If any of the sections are not relevant to your manuscript, please include the heading and write 'Not applicable' for that section.

Appendix A Central Medical Evaluation Agreement 10 (CMEA-10) Codes

References

- [1] World Health Organization.: Non Communicable Diseases: Key Facts. Available from: <https://www.who.int/news-room/fact-sheets/detail/noncommunicable-diseases>.

- [2] Benziger CP, Roth GA, Moran AE. The Global Burden of Disease Study and the Preventable Burden of NCD. *Global Heart*. 2016 Dec;11(4):393–397. <https://doi.org/10.1016/j.gheart.2016.10.024>.
- [3] Lawn JE, Kerber K, Enweronu-Laryea C, Cousens S. 3.6 Million Neonatal Deaths—What Is Progressing and What Is Not? *Seminars in Perinatology*. 2010 Dec;34(6):371–386. <https://doi.org/10.1053/j.semperi.2010.09.011>.
- [4] Lassi ZS, Bhutta ZA. Community-based Intervention Packages for Reducing Maternal and Neonatal Morbidity and Mortality and Improving Neonatal Outcomes. *Cochrane Database of Systematic Reviews*. 2015;(3). <https://doi.org/10.1002/14651858.CD007754.pub3>.
- [5] Liu NH, Daumit GL, Dua T, Aquila R, Charlson F, Cuijpers P, et al. Excess Mortality in Persons with Severe Mental Disorders: A Multilevel Intervention Framework and Priorities for Clinical Practice, Policy and Research Agendas. *World Psychiatry*. 2017;16(1):30–40. <https://doi.org/10.1002/wps.20384>.
- [6] Ewig S, Torres A. Community-Acquired Pneumonia as an Emergency: Time for an Aggressive Intervention to Lower Mortality. *European Respiratory Journal*. 2011 Aug;38(2):253–260. <https://doi.org/10.1183/09031936.00199810>.
- [7] World Health Organization. SCORE for Health Data Technical Package: Global Report on Health Data Systems and Capacity, 2020; 2021. Available from: <https://www.who.int/publications/i/item/9789240018709>.
- [8] de Savigny D, Riley I, Chandramohan D, Odhiambo F, Nichols E, Notzon S, et al. Integrating Community-Based Verbal Autopsy into Civil Registration and Vital Statistics (CRVS): System-Level Considerations. *Global Health Action*. 2017 Jan;10(1):1272882. <https://doi.org/10.1080/16549716.2017.1272882>.
- [9] Thomas LM, D'Ambruoso L, Balabanova D. Verbal Autopsy in Health Policy and Systems: A Literature Review. *BMJ Global Health*. 2018 May;3(2):e000639. <https://doi.org/10.1136/bmjgh-2017-000639>.
- [10] Rampatige R, Mikkelsen L, Hernandez B, Riley I, Lopez AD. Systematic Review of Statistics on Causes of Deaths in Hospitals: Strengthening the Evidence for Policy-Makers. *Bulletin of the World Health Organization*. 2014 Sep;92:807–816. <https://doi.org/10.2471/BLT.14.137935>.
- [11] Adair T. Who Dies Where? Estimating the Percentage of Deaths That Occur at Home. *BMJ Global Health*. 2021 Sep;6(9):e006766. <https://doi.org/10.1136/bmjgh-2021-006766>.
- [12] World Health Organization. Verbal Autopsy Standards: 2022 WHO Verbal Autopsy Instrument; 2023. Available from: <https://www.who.int/publications/m/item/>

- training-curriculum-for-the-training-of-verbal-autopsy-master-trainers-and-supervisors.
- [13] Chandramohan D, Fottrell E, Leitao J, Nichols E, Clark SJ, Alsokhn C, et al. Estimating Causes of Death Where There Is No Medical Certification: Evolution and State of the Art of Verbal Autopsy. Global Health Action. 2021 Oct;14(sup1):1982486. <https://doi.org/10.1080/16549716.2021.1982486>.
 - [14] McCormick TH, Li ZR, Calvert C, Crampin AC, Kahn K, Clark SJ. Probabilistic Cause-of-Death Assignment Using Verbal Autopsies. Journal of the American Statistical Association. 2016 Jul;111(515):1036–1049. <https://doi.org/10.1080/01621459.2016.1152191>.
 - [15] Morris SK, Bassani DG, Kumar R, Awasthi S, Paul VK, Jha P. Factors Associated with Physician Agreement on Verbal Autopsy of over 27000 Childhood Deaths in India. PloS one. 2010;5(3):e9583.
 - [16] Byass P, Hussain-Alkhateeb L, D'Ambruoso L, Clark S, Davies J, Fottrell E, et al. An Integrated Approach to Processing WHO-2016 Verbal Autopsy Data: The InterVA-5 Model. BMC Medicine. 2019 May;17(1):102. <https://doi.org/10.1186/s12916-019-1333-6>.
 - [17] Jha P, Kumar D, Dikshit R, Budukh A, Begum R, Satti P, et al. Automated versus Physician Assignment of Cause of Death for Verbal Autopsies: Randomized Trial of 9374 Deaths in 117 Villages in India. BMC Medicine. 2019 Jun;17(1):116. <https://doi.org/10.1186/s12916-019-1353-2>.
 - [18] Leitao J, Desai N, Aleksandrowicz L, Byass P, Miasnikof P, Tollman S, et al. Comparison of Physician-Certified Verbal Autopsy with Computer-Coded Verbal Autopsy for Cause of Death Assignment in Hospitalized Patients in Low- and Middle-Income Countries: Systematic Review. BMC Medicine. 2014 Feb;12(1):22. <https://doi.org/10.1186/1741-7015-12-22>.
 - [19] Desai N, Aleksandrowicz L, Miasnikof P, Lu Y, Leitao J, Byass P, et al. Performance of Four Computer-Coded Verbal Autopsy Methods for Cause of Death Assignment Compared with Physician Coding on 24,000 Deaths in Low- and Middle-Income Countries. BMC Medicine. 2014 Feb;12(1):20. <https://doi.org/10.1186/1741-7015-12-20>.
 - [20] Tunga M, Lungo J, Chambua J, Kateule R. Verbal Autopsy Models in Determining Causes of Death. Tropical Medicine & International Health. 2021;26(12):1560–1567. <https://doi.org/10.1111/tmi.13678>.
 - [21] Jeblee S, Gomes M, Jha P, Rudzicz F, Hirst G. Automatically Determining Cause of Death from Verbal Autopsy Narratives. BMC Medical Informatics and Decision Making. 2019 Jul;19(1):127. <https://doi.org/10.1186/s12911-019-0841-9>.

- [22] Blanco A, Pérez A, Casillas A, Cobos D. Extracting Cause of Death From Verbal Autopsy With Deep Learning Interpretable Methods. *IEEE Journal of Biomedical and Health Informatics*. 2021 Apr;25(4):1315–1325. <https://doi.org/10.1109/JBHI.2020.3005769>.
- [23] King C, Zamawe C, Banda M, Bar-Zeev N, Beard J, Bird J, et al. The Quality and Diagnostic Value of Open Narratives in Verbal Autopsy: A Mixed-Methods Analysis of Partnered Interviews from Malawi. *BMC Medical Research Methodology*. 2016 Feb;16(1):13. <https://doi.org/10.1186/s12874-016-0115-5>.
- [24] Chang Y, Wang X, Wang J, Wu Y, Yang L, Zhu K, et al.: A Survey on Evaluation of Large Language Models. arXiv. Available from: <http://arxiv.org/abs/2307.03109>.
- [25] Lund BD, Wang T. Chatting about ChatGPT: How May AI and GPT Impact Academia and Libraries? *Library Hi Tech News*. 2023 Jan;40(3):26–29. <https://doi.org/10.1108/LHTN-01-2023-0009>.
- [26] Svyatkovskiy A, Deng SK, Fu S, Sundaresan N. IntelliCode Compose: Code Generation Using Transformer. In: Proceedings of the 28th ACM Joint Meeting on European Software Engineering Conference and Symposium on the Foundations of Software Engineering. ESEC/FSE 2020. New York, NY, USA: Association for Computing Machinery; 2020. p. 1433–1443. Available from: <https://doi.org/10.1145/3368089.3417058>.
- [27] Haupt CE, Marks M. AI-Generated Medical Advice—GPT and Beyond. *JAMA*. 2023 Apr;329(16):1349–1350. <https://doi.org/10.1001/jama.2023.5321>.
- [28] Wu T, He S, Liu J, Sun S, Liu K, Han QL, et al. A Brief Overview of ChatGPT: The History, Status Quo and Potential Future Development. *IEEE/CAA Journal of Automatica Sinica*. 2023;10(5):1122–1136. <https://doi.org/10.1109/JAS.2023.123618>.
- [29] OpenAI, Achiam J, Adler S, Agarwal S, Ahmad L, Akkaya I, et al.: GPT-4 Technical Report. arXiv. Available from: <http://arxiv.org/abs/2303.08774>.
- [30] Njala University.: Healthy Sierra Leone. Available from: <https://healsl.org/>.
- [31] Carshon-Marsh R, Aimone A, Ansumana R, Swaray IB, Assalif A, Musa A, et al. Child, Maternal, and Adult Mortality in Sierra Leone: Nationally Representative Mortality Survey 2018–20. *The Lancet Global Health*. 2022 Jan;10(1):e114–e123. [https://doi.org/10.1016/S2214-109X\(21\)00459-9](https://doi.org/10.1016/S2214-109X(21)00459-9).
- [32] World Health Organization. ICD-10: International Statistical Classification of Diseases and Related Health Problems (10th Revision); 2011.