

Comparing ChatGPT-4 to Physicians for Assigning Causes of Death from Verbal Autopsy: A Case Study of 6939 Deaths in Sierra Leone from 2019-2022

Richard Wen^{1,2*}, Rajeev Kamadod^{1,5}, Cheryl Chin¹,
Asha Behdinan^{1,3}, Leslie Newcombe¹, Areeba Zubair^{1,3},
Thomas Kai Sze Ng¹, Andy Lee¹, Paijani Sheth^{1,2},
Anteneh Tesfaye Assalif¹, Patrick Brown^{1,4}, Prabhat Jha^{1,2}

^{1*}Centre for Global Health Research, St. Michael's Hospital, Unity Health Toronto, 30 Bond St, Toronto, M5B 1W8, Ontario, Canada.

²Dalla Lana School of Public Health, University of Toronto, 155 College Street, Toronto, M5T 3M7, Ontario, Canada.

³Department of Surgery, University of Toronto, 149 College Street, Toronto, M5T 1P5, Ontario, Canada.

⁴Department of Statistical Sciences, University of Toronto, 700 University Avenue, Toronto, M5G 1Z5, Ontario, Canada.

⁵Kentropy Technologies Pvt. Ltd., 2nd Main Rd, Bengaluru, 560 034, Bangalore, India.

*Corresponding author(s). E-mail(s): richard.wen@unityhealth.to;

Contributing authors: rajeevk@kentropy.com;

cheryl.chin@unityhealth.to; asha.behdinan@mail.utoronto.ca;

leslie.newcombe@unityhealth.to; areeba.zubair@mail.utoronto.ca;

KaiSze.Ng@unityhealth.to; Andy.Lee2@unityhealth.to;

paijani.sheth@mail.utoronto.ca; antenehta@gmail.com; ;

prabhat.jha@utoronto.ca;

Abstract

Background: Verbal Autopsies (VAs) collect data on deaths and their causes outside of traditional hospital settings to provide more representative counts and

Causes of Death (CODs) for reducing premature mortality. Current computer models for COD assignment in VAs perform similar to physicians at the population level, but poorly at the individual level, due to a focus on structured questionnaire data and neglecting free text from the open narratives. Recently, a Generative Pre-trained Transformer (GPT) model called ChatGPT-4 has demonstrated human-level performance on professional and academic exams using free text input. ChatGPT-4 shows promise in mimicking physician behavior for assigning CODs, but to the best of our knowledge, has yet to be tested for assigning CODs using open narratives from VAs.

Methods: 6939 records collected from VA in Sierra Leone from 2019 to 2022 were used to compare four computer models, ChatGPT-3.5, ChatGPT-4, InterVA-5, and InSilicoVA, to physicians for assigning CODs at the population and individual level. Open narratives were used for Chat-3.5/ChatGPT-4 input, while structured questionnaires were used for InterVA-5/InSilicoVA input. All COD assignments were grouped into general COD categories consisting of 19, 10, and 7 categories for the adult, child, and neonatal age groups. Cause Specific Mortality Fraction (CSMF) accuracy and Partial Corrected Concordance (PCCC) were used to compare models to physicians at the population and individual level respectively. Comparisons in CSMF and PCCC to physicians among models were evaluated for all records and by COD, age group, and age ranges.

Results: x.

Conclusion: x.

Keywords: Cause of Death, Physician Coding, Verbal Autopsy, GPT

1 Background

In 2019, 41 million people die prematurely from noncommunicable diseases every year, accounting for 74% of all deaths globally [1]. Most of these deaths are preventable, but require adequate resource allocation, guided by evidence, to implement effective interventions and policies that target populations at risk [2]. Thus, reliable counts and diagnoses of deaths enable decision makers to identify populations at risk to save lives and reduce premature deaths worldwide [3–6]. However, most low-income countries do not have data on deaths or have registered less than half of the deaths in their country, with an even fewer 8% of these registered deaths having a Cause of Death (COD) recorded [7]. To fill this gap in death registrations, an alternative method known as Verbal Autopsy (VA) is used to collect data on deaths and determine their likely causes at scale [8–10], outside of traditional healthcare facilities where over half of deaths occur at home [11].

VA involves two major components: survey and COD assignment [12–14]. In the survey component, trained lay surveyors interview those familiar with the deceased (e.g. living spouse, children, family, friends) to gather information using standardized questionnaires and open narratives. In the COD assignment component, physicians evaluate information available from the questionnaires and open narratives to assign

probable CODs. Although VA surveys have been an effective alternative to collect mortality data at scale (e.g. less than \$3 USD per house in India [15, 16]), COD assignment has been criticized to be expensive and difficult to reproduce due to reliance on physician assignment [17–19]. As an alternative to physician assignment, computer models, such as InterVA [20] and InSilicoVA [17], have recently been studied to automatically assign CODs with performances close to physicians at the population level, but poor performances at the individual level [21–25]. These computer models often utilized data from the structured questionnaire, but often omit the free-text open narrative, which misses latent information, such as chronology or health-seeking behaviors, that may potentially help models perform better than using the questionnaire alone [26–28].

Recently, Large Language Models (LLM), leveraging massive datasets and deep learning approaches, have made advances in performing a variety of Natural Language Processing (NLP) tasks using free-text, such as question answering, code generation, and even medical diagnosis [29–32]. On November 30, 2022, a widely-available LLM called ChatGPT was released by OpenAI with capabilities of answering natural language text inquiries using training data up to September 2021. ChatGPT-3 was based on several Generative Pre-trained Transformer (GPT) models between 2018 to 2020, namely GPT-1 to GPT-3, which had notable differences in training data sizes of 5 gigabytes to 45 terabytes from web sources that resulted in 117 million to 175 billion parameter models [33]. On March 14, 2023, ChatGPT-4 was released with human-level performance on various professional and academic exams and benchmarks that outperformed ChatGPT-3 [34]. Given the limited usage of free-text open narratives in computer models for determining CODs, and recent advances in LLMs that leverage natural language text prompts, we conduct a case study with Sierra Leone deaths from VA in 2019 to 2022 to compare four models, ChatGPT-3.5, ChatGPT-4, InterVA-5, and InSilicoVA, to physicians for determining CODs.

2 Methods

This study uses 6939 physician agreed records of 11,920 records collected in 2019 to 2022 from the Healthy Sierra Leone (HEAL-SL) study as described in Section 2.1. Section 2.2 describes the four models, ChatGPT-3.5, ChatGPT-4, InterVA-5, and InSilicoVA, for COD assignment, and their inputs and outputs, while section 2.3 details the performance evaluation of the four models relative to physicians overall, by ages, and by COD, using population and individual level metrics. Figure 1 details the methods used in this study.

2.1 Verbal Autopsy (VA) Data

Initially, 11,920 records from the HEAL-SL study [35, 36] were collected from dual-coded EVA, where each record was randomly coded by two different physicians that assigned CODs as International Classification of Diseases Revision 10 (ICD-10) codes [37]. Physicians were able to assign CODs for 11,820 of the 11,920 records, where 100 of these records could not be assigned a COD due to missing or inadequate information (e.g. low quality narrative, data loss). To determine if two codes were in physician

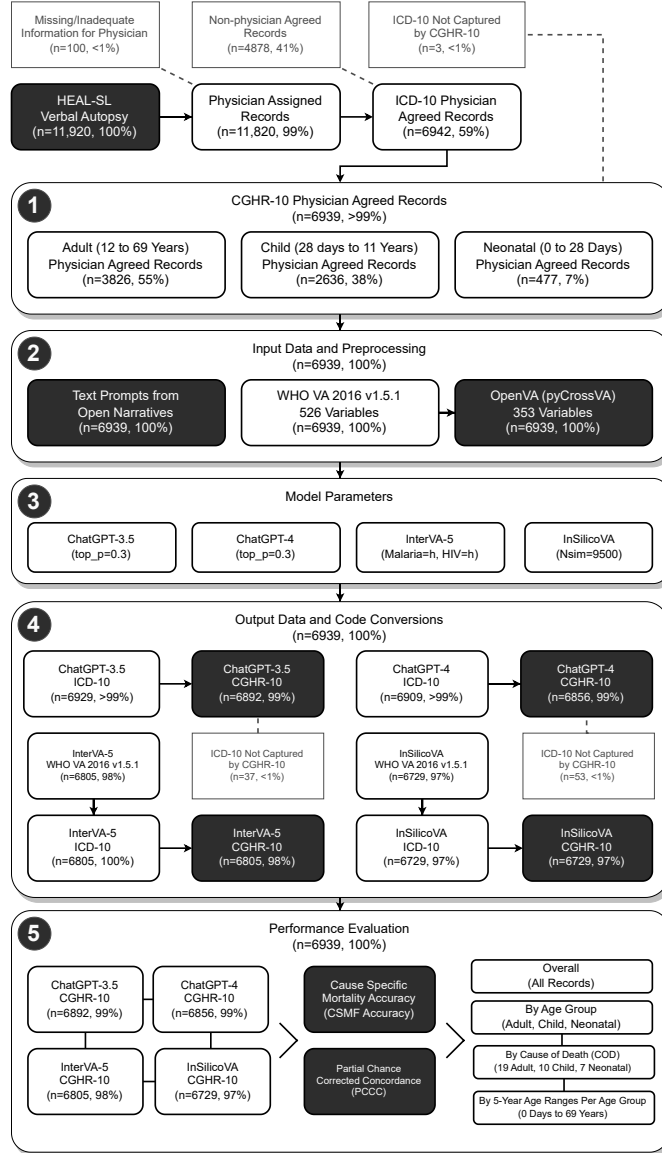


Fig. 1 Case study methods. VA records in Sierra Leone between 2019-2022 from the HEAL-SL study were filtered for physician agreed records, and pre-processed for COD assignment by ChatGPT-3.5, ChatGPT-4, InterVA-5 and InSilicoVA models. The output models were evaluated with CSMF Accuracy and PCCC overall, by age group, and by COD and five-year age ranges for each age group.

agreement per record, codes were compared for similarity using Central Medical Evaluation Agreement 10 (CMEA-10) codes, which groups a range of similar ICD-10 codes together indicating codes in agreement [38] (see Additional File 2). When codes were not in agreement, a record enters the reconciliation phase, where the two physicians

were provided reasoning and initial codes from each other to: (1) keep their initial code (2) assign the other physician’s code or (3) assign a new code. If codes were not in agreement after the reconciliation phase, a record enters the adjudication phase, where a third senior physician evaluates both physicians’ reasoning and codes before and after reconciliation, and assigns a final code based on their evaluation. The 11,820 physician coded records were further filtered for records where both physicians agreed on the assigned codes (records that were not reconciled or adjudicated) resulting in 6942 physician agreed records. Since computer models were compared to physicians in this study, there was more certainty that COD assignments agreed by both physicians were representative of physician assignment than when they disagreed [18, 39, 40]. The 6942 records were converted into CGHR-10 codes (see Additional File 1) that generalized ICD-10 codes into 19, 10, and 7 categories for the adult (12 years or older), child (28 days to 11 years), and neonatal (under 28 days) age groups. After conversion, a final total of 6939 physician agreed records (3826 adult, 2636 child, and 477 neonatal) were used for modelling and performance evaluation, where three records were removed as their ICD-10 codes did not have a matching CGHR-10 code.

The 6939 physician agreed records were relatively evenly distributed (approximately 40-57% male and female) for the adult, child, and neonatal age groups in terms of sex (Figure A1) with the most records in the 1-5 year (n=1633, 24%) age range, and the least records in the 10-14 year (n=135, 2%) and 7-27 day (n=82, 1%) age ranges (Figure A2). The other 15 five-year age ranges within 0 days to 69 years were relatively evenly distributed with approximately 4-6% of the 6939 records each. The 3826 adult records had most records in the 65-69 year (n=575, 15%), and the least in the 10-14 year (n=135, 4%) age ranges, while the other 10 age ranges were relatively evenly distributed at 7-9% of all records (Figure B3). For adult CODs, Malaria (n=799, 21%) had the highest number of adult records, and cancers (n=49, 1%), diabetes mellitus (n=27, <1%), and suicide (n=3, <1%) had the lowest number adult of adult records, while the other 15 CODs had between 2-11% of all adult records (Figure B4). The 2636 child records had most records in the 1-5 year (n=1633, 62%) age range while the three other age ranges were relatively evenly distributed at 12-14% of all records (Figure C5). For child CODs, Malaria (n=1382, 52%) and other infections (n=667, 25%) had the highest number of child records, while nutritional deficiencies (n=11, <1%) and congenital anomalies (n=1, <1%) had the lowest number of records with the five other CODs between 3-7% of all child records. The 477 neonatal records had two age ranges with most records in the 0-6 day (n=395, 83%) age range and the rest in the 7-27 day (n=82, 17%) age range (Figure D7). For neonatal CODs, stillbirth (n=172, 36%) had the highest number of neonatal records, and ill-defined (n=23, 5%), other (n=5, 1%), and congenital anomalies (n=2, <1%) had the least number of records with the rest of the other three CODs between 15-22% of all neonatal records (Figure D8). See Appendices A to D for a list of figures A1 to D8 showing distributions of the 6939 physician agreed records.

2.2 Modelling

Four computer models were used to assign COD for each of the 6939 physician agreed records: ChatGPT-3.5, ChatGPT-4, InterVA-5, and InSilicoVA. Each model required

pre-processing of the 6939 records into input data, and standardization of output COD codes from models for performance evaluation as not all models produced comparable codes across outputs. Although each model can assign multiple CODs per record, only the first generated COD response from ChatGPT-3.5 and ChatGPT-4, and the most probable COD from InterVA-5 and InSilicoVA were used for evaluation. All model outputs were converted to CGHR-10 codes to evaluate performances of models for COD assignment relative to physicians.

2.2.1 Overview of ChatGPT-3.5/4, InterVA-5, and InSilicoVA

ChatGPT-3.5 [41] and ChatGPT-4 [34] are LLMs that utilize deep neural networks with transformer architectures [42] and reinforcement learning from human feedback [43–46] to follow instructions from prompts and provide human-level responses, with known differences in ChatGPT-4 possessing multimodal capabilities (e.g. image/voice input/output), more recent training data, and improved responses compared to ChatGPT-3 [33]. ChatGPT-3.5 and ChatGPT-4 were instructed with text prompts to assign CODs based on the open narrative. InterVA-5 and InSilicoVA are widely used and studied [13, 21, 22, 24, 25, 47, 48] standard statistical models for COD assignment in VAs under the openVA framework [49]. InterVA-5 applies Bayesian probabilistic modelling [50] using a set of standardized symptoms from reports and related conditional probabilities from medical experts to assign CODs based on the highest probability [20, 51]. InSilicoVA improves upon InterVA (e.g. comparable probabilities across individuals, measures of uncertainty, and inclusion of additional data sources) with a hierarchical Bayesian framework and Markov Chain Monte Carlo (MCMC) simulations [52–54] to incorporate multiple sources of uncertainty for assigning CODs based on the highest probability [17]. For assigning CODs, ChatGPT-3.5 and ChatGPT-4 require prompts containing conversation-like textual instructions as input, while InterVA-5 and InSilicoVA require structured symptom and associated sociodemographic data as input.

2.2.2 Input Data and Preprocessing

For ChatGPT-3.5 and ChatGPT-4, 6939 text prompts were generated for each physician agreed record as input to instruct the models to assign CODs based on the open narratives. Prompts were generated with a template involving `<narrative>` from the free-text open narratives, and associated `<age>`, (age) `<unit>`, `<sex>` from the standardized questionnaire:

Determine the underlying cause of death and provide a ICD–10 code for the following narrative based on a verbal autopsy of a death in Sierra Leone, Age `<age>` `<unit>`, `<sex>`: `<narrative>`

For InterVA-5 and InSilicoVA, the standardized questionnaire data from the HEAL-SL EVA were first converted into 2016 World Health Organization (WHO) VA questionnaire revision 1.5.1 Open Data Kit (ODK) format [55, 56] consisting of 526 variables [57], followed by further conversion into OpenVA format [49] consisting of 353 variables [58] using the `pyCrossVA` Python package [59]. The 6939 records were all converted into OpenVA formatted records for InterVA-5 and InSilicoVA.

2.2.3 Model Parameters

The parameter `top_p` for ChatGPT-3.5 and ChatGPT-4, representing the sampling temperature, was set to 0.3¹ to consider only the top 30% probability mass from the token results [60]. Higher values closer to 1 may make the results more random, while lower values closer to 0 make the results more focused. The `Nsim` (number of iterations to run) parameter [61] for InSilicoVA was set to 9500², while the `HIV` (level of prevalence of human immunodeficiency virus) and `Malaria` (level of prevalence of Malaria) parameters [62] for InterVA-5 were both set to 'h' (high) reflecting HIV and Malaria disease assumptions in Sierra Leone [63, 64].

2.2.4 Output Data and Code Conversions

Of the 6939 input records, ChatGPT-3.5, ChatGPT-4, InterVA-5, and InSilicoVA were able to assign CODs for 6929, 6909, 6805, 6729 records respectively. All 6805 InterVA-5 and 6729 InSilicoVA records with WHO VA 2016 v1.5 output codes [65] were converted into ICD-10 codes. After all model outputs used ICD-10 codes, they were further converted to CGHR-10 codes. The 6929 ChatGPT-3.5 and 6909 ChatGPT-4 output records with ICD-10 codes were converted into 6892 and 6856 records with CGHR-10 codes, where 37 and 53 records did not have matching CGHR-10 codes respectively. All 6805 InterVA-5 and 6729 InSilicoVA records with ICD-10 codes were converted into records with CGHR-10 codes.

2.3 Performance Evaluation

The performance of four models, ChatGPT-3.5, ChatGPT-4, InterVA-5, and InSilicoVA, were evaluated with metrics on the population and individual level by comparing their CGHR-10 COD outputs to 6939 records. Cause Specific Mortality Fraction (CSMF) accuracy was used to evaluate models on the population level, while Partial Chance Corrected Concordance (PCCC) was used to evaluate models on the individual level [66]. Records that were assigned a COD by physicians, but not by a model were considered to be an incorrect COD assignment by the model. CSMF accuracy and PCCC were calculated for each model by three age groups, and further into age ranges and COD for each age group.

2.3.1 Cause Specific Mortality Fraction (CSMF) Accuracy

CSMF accuracy measures the performance of models at the population level, comparing distributions of CODs between the physicians and the models [66]. To calculate CSMF accuracy, we first calculate $CSMF_j$ as is the fraction of physician or model records for cause j , given by dividing the number of records for cause j with the total number of records as seen in Equation 1. Then, the $CSMF_{MaximumError}$, representing the worst possible model, is calculated using Equation 2. Finally, the

¹We tested for `top_p` values between 0.1 to 0.9 at intervals of 0.1 on five records that did not return outputs from ChatGPT-4 until all five records returned output. At `top_p`=0.3, all five records produced output.

²The default value of `Nsim`=10000 for InSilicoVA ran until 9500 iterations before it stopped due to errors, thus `Nsim`=9500 was used and ran successfully for all iterations.

CSMF accuracy is given by Equation 3, where k is the number of causes, j is a cause, $CSMF_j^{true}$ is the true physician CSMF for cause j , and $CSMF_j^{pred}$ is the prediction model CSMF for cause j . CSMF accuracy ranges from 0 to 1, where 1 means that the model completely matched the physician COD distribution and 0 means that it did not match the distribution at all.

$$CSMF_j = Records_j / Records \quad (1)$$

$$CSMFMaximumError = 2(1 - \min(CSMF_j^{true})) \quad (2)$$

$$CSMFAccuracy = 1 - \frac{\sum_{j=1}^k |CSMF_j^{true} - CSMF_j^{pred}|}{CSMFMaximumError} \quad (3)$$

2.3.2 Partial Chance Corrected Concordance (PCCC)

PCCC measures the performance of models at the individual level, comparing COD assignments between the physicians and models on a record by record basis, correcting for COD assignments made purely by chance [66]. PCCC is given by Equation 5, where k is the number of top COD assignments from the model to consider, N is number of causes, and C is fraction of records where the physician COD assignment is one of the top COD assignments from the model. For this study, we set k to 1, making C equivalent to the fraction of true positives TP or records where the physician COD assignment is equal to the model COD assignment as shown in Equation 4. Higher PCCC values closer to 1 indicate that model COD assignments are similar to physician COD assignments, while values closer to 0 indicate that model COD assignments are not similar to physicians.

$$C = \frac{TP}{Records} \quad (4)$$

$$PCCC(k) = \frac{C - \frac{k}{N}}{1 - \frac{k}{N}} \quad (5)$$

2.3.3 Metrics Overall and by Age and Cause of Death

The CSMF accuracy and PCCC metrics were calculated and compared for each model overall and by age group, followed by age ranges and COD for each age group as model performance can vary across ages and specific causes [47, 48, 67]. Metrics were calculated overall for three age groups according to the CGHR-10 codes: adult (12 years or older), child (28 days to 11 years), and neonatal (under 28 days). For each of the adult and child age groups, metrics were calculated for five-year age ranges for records with ages at death of one-year or older and five-month age ranges for 28 days or older. For the neonatal age group, the age ranges of 0-6 days and 7-27 days were used. Metrics were also calculated by CODs defined by CGHR-10 codes, which include 19, 10, and 7 CODs for adult, child, and neonatal records respectively.

3 Results

x.

3.1 Overall Performance

x.

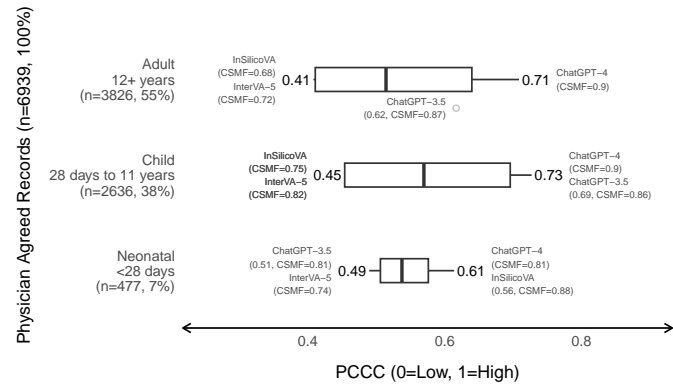


Fig. 2 Model performance by age group. ChatGPT-3.5, ChatGPT-4, InterVA-5, and InSilicoVA model performance for assigning CODs in the adult (12 to 69 Years), child (28 days to 11 years), and neonatal (under 28 days) age groups. CSMF indicates CSMF accuracy.

3.2 Performance for Adult Records (12 Years or Older)

x.

3.3 Performance for Child Records (28 Days to 11 Years)

x.

3.4 Performance for Neonatal Records (Under 28 Days)

x.

4 Discussion

x.

4.1 Advantages

x.

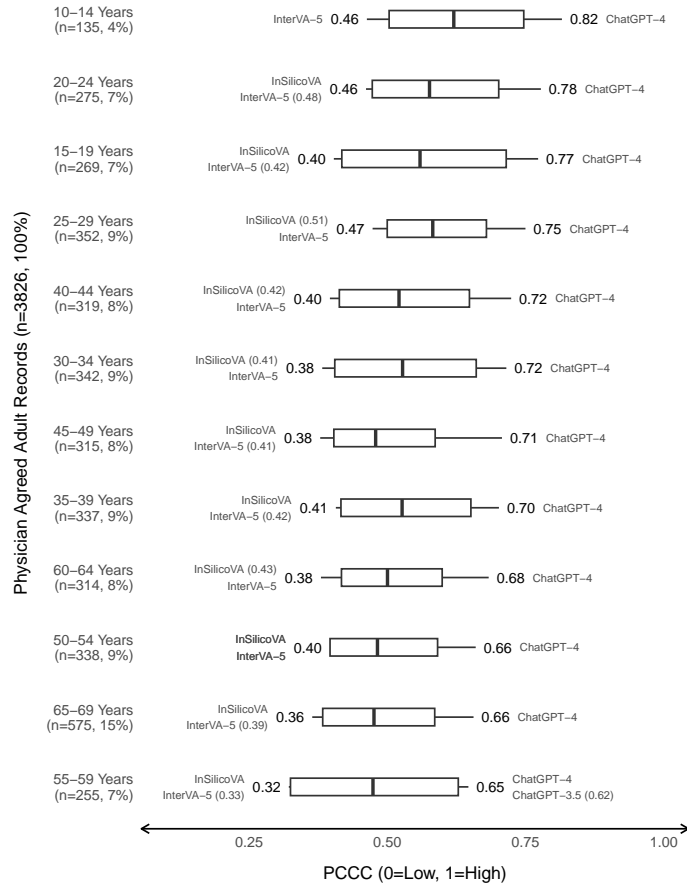


Fig. 3 Model performance for adult records by age range. ChatGPT-3.5, ChatGPT-4, InterVA-5, and InSilicoVA model performance for assigning CODs in the adult age group (12 to 69 Years) by five-year age ranges. PCCC values are sorted by the highest to lowest PCCC for each age range. Only models with PCCC values within .05 of the highest and lowest PCCC are shown.

4.2 Disadvantages

- x. Dimensions and cost of LLM versus physician.
Reliance on third-party hosting.

4.3 Limitations

- x. Did not explore effects of model parameters outside the default (e.g. ChatGPT temperature, etc).

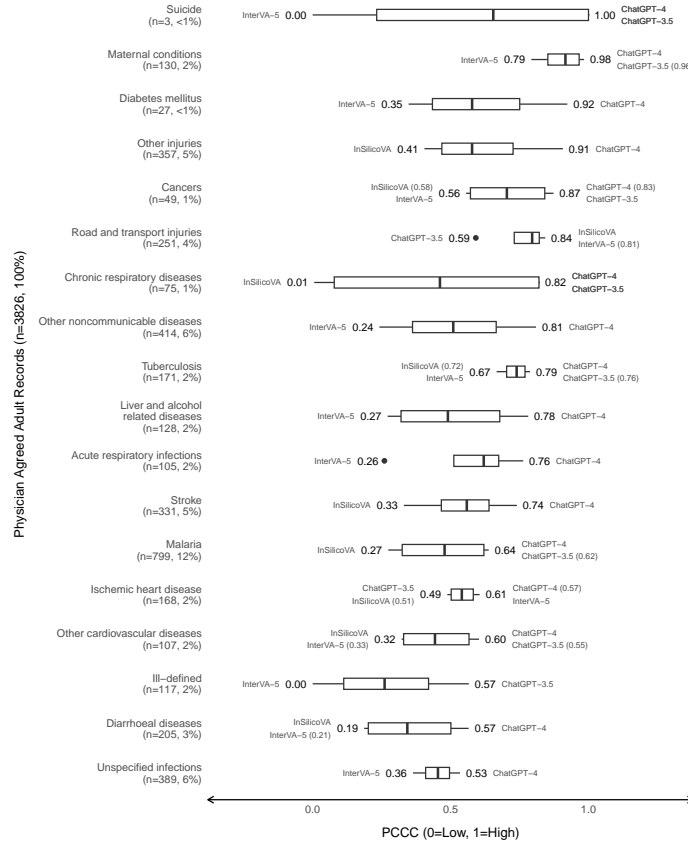


Fig. 4 Model performance for adult records by COD. ChatGPT-3.5, ChatGPT-4, InterVA-5, and InSilicoVA model performance for assigning CODs in the adult age group (12 to 69 Years) by COD. PCCC values are sorted by the highest to lowest PCCC for each age range. Only models with PCCC values within .05 of the highest and lowest PCCC are shown.

4.4 Research Opportunities

x.

5 Conclusion

Conclusions may be used to restate your hypothesis or research question, restate your major findings, explain the relevance and the added value of your work, highlight any limitations of your study, describe future directions for research and recommendations.

In some disciplines use of Discussion or 'Conclusion' is interchangeable. It is not mandatory to use both. Please refer to Journal-level guidance for any specific requirements.

Supplementary information. Additional files were used to supplement this paper:

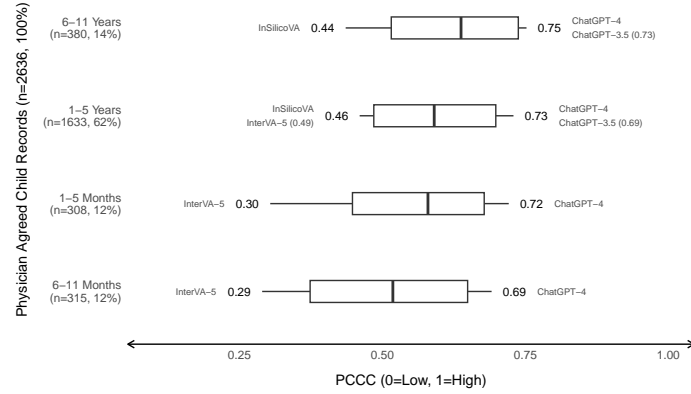


Fig. 5 Model performance for child records by age range. ChatGPT-3.5, ChatGPT-4, InterVA-5, and InSilicoVA model performance for assigning CODs in the child age group (28 days to 11 years) by five-year age ranges. PCCC values are sorted by the highest to lowest PCCC for each age range. Only models with PCCC values within .05 of the highest and lowest PCCC are shown.

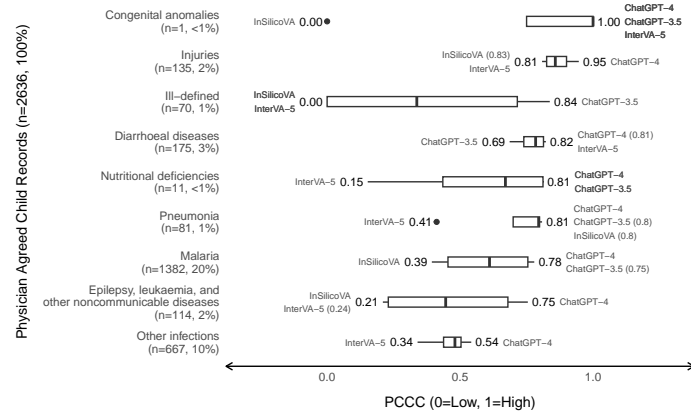


Fig. 6 Model performance for child records by COD. ChatGPT-3.5, ChatGPT-4, InterVA-5, and InSilicoVA model performance for assigning CODs in the child age group (28 days to 11 years) by COD. PCCC values are sorted by the highest to lowest PCCC for each age range. Only models with PCCC values within .05 of the highest and lowest PCCC are shown.

- Additional file 1: Centre for Global Health Research 10 (CGHR-10) codes. Codes grouping ICD-10 code ranges into generalized categories. (.csv)
- Additional file 2: Central Medical Evaluation Agreement 10 (CMEA-10) codes. ICD-10 code ranges considered in physician agreement. (.csv)

Acknowledgments.

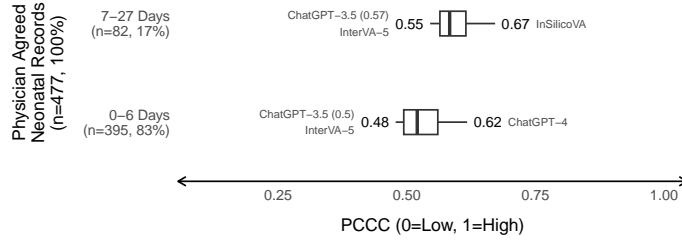


Fig. 7 Model performance for neonatal records by age range. ChatGPT-3.5, ChatGPT-4, InterVA-5, and InSilicoVA model performance for assigning CODs in the neonatal age group (under 28 days) by five-year age ranges. PCCC values are sorted by the highest to lowest PCCC for each age range. Only models with PCCC values within .05 of the highest and lowest PCCC are shown.

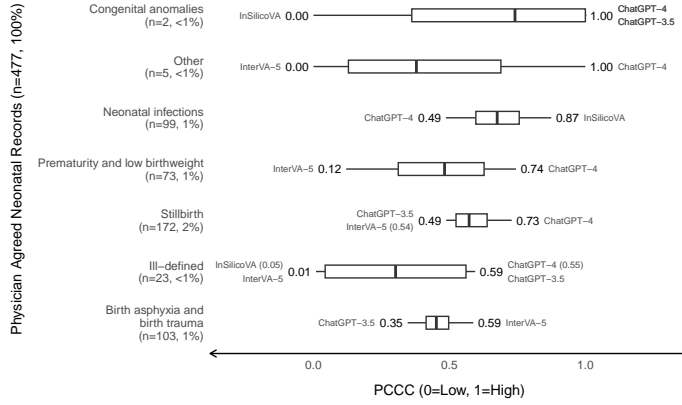


Fig. 8 Model performance for neonatal records by COD. ChatGPT-3.5, ChatGPT-4, InterVA-5, and InSilicoVA model performance for assigning CODs in the neonatal age group (under 28 years) by COD. PCCC values are sorted by the highest to lowest PCCC for each age range. Only models with PCCC values within .05 of the highest and lowest PCCC are shown.

Declarations

Availability of data and materials

The datasets supporting the conclusions of this article are included within the article (and its additional files).

Appendix A Physician Agreed Verbal Autopsy Records

The following figures visualize the distribution of all physician agreed records by age groups (Figure A1) and 5-year age ranges (Figure A2) in Sierra Leone from 2019 to 2022.

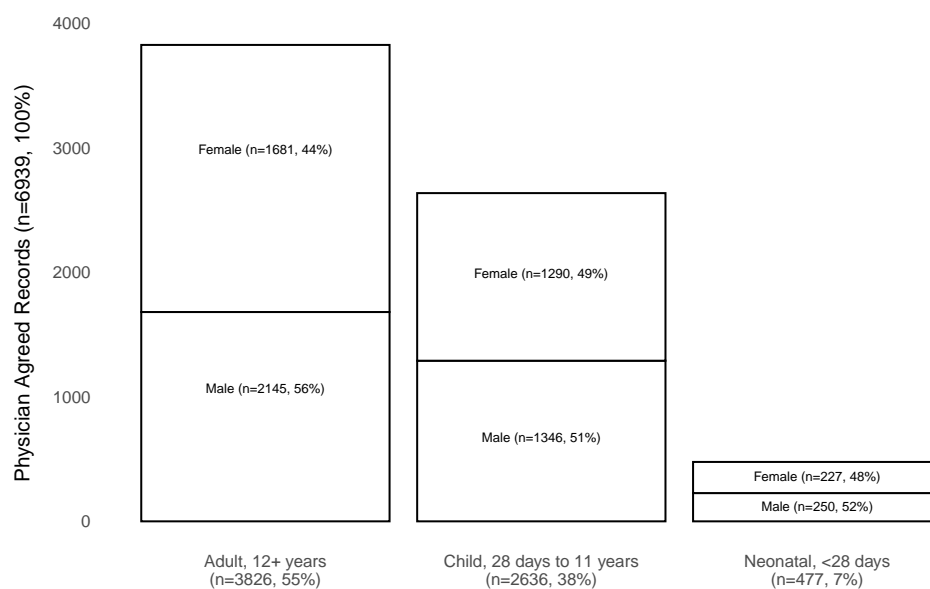


Fig. A1 Number of physician agreed VA records by adult, child, and neonatal age groups in Sierra Leone from 2019 to 2022.

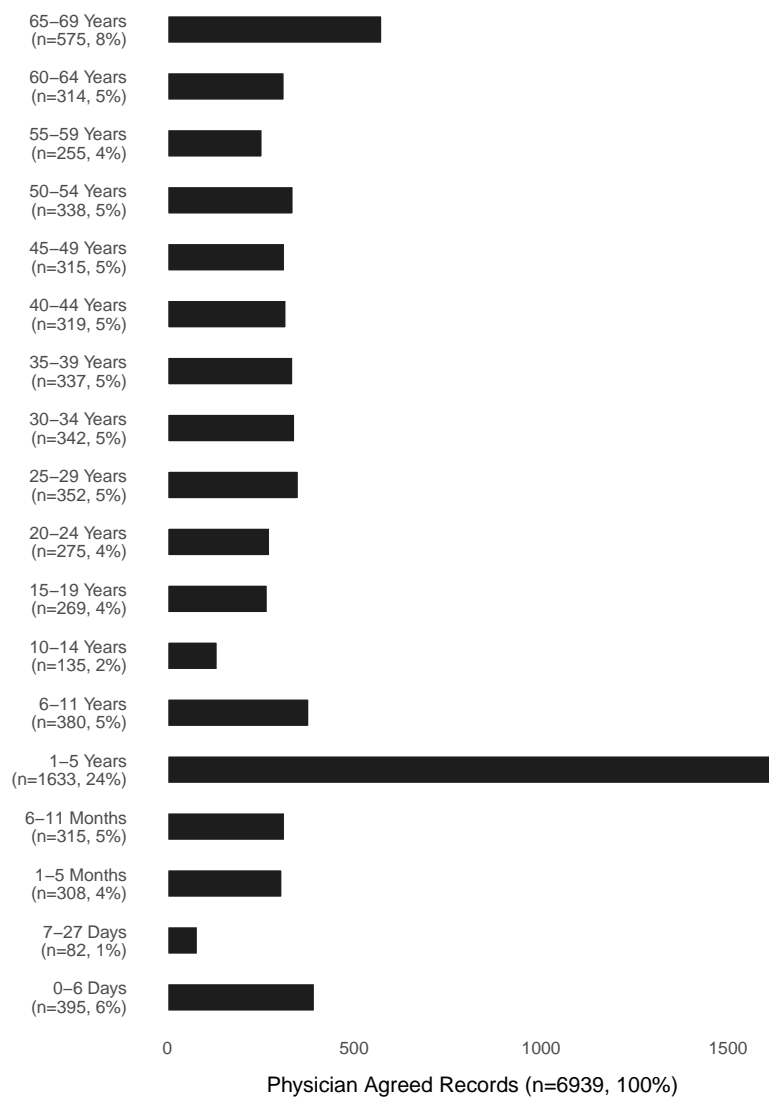


Fig. A2 Number of physician agreed VA records by 5-year age ranges in Sierra Leone from 2019 to 2022.

Appendix B Adult Physician Agreed Verbal Autopsy Records (12 Years or Older)

The following figures visualize the distribution of adult physician agreed records by 5-year age ranges (Figure B3) and CGHR-10 COD code in Sierra Leone from 2019 to 2022 (Figure B4).

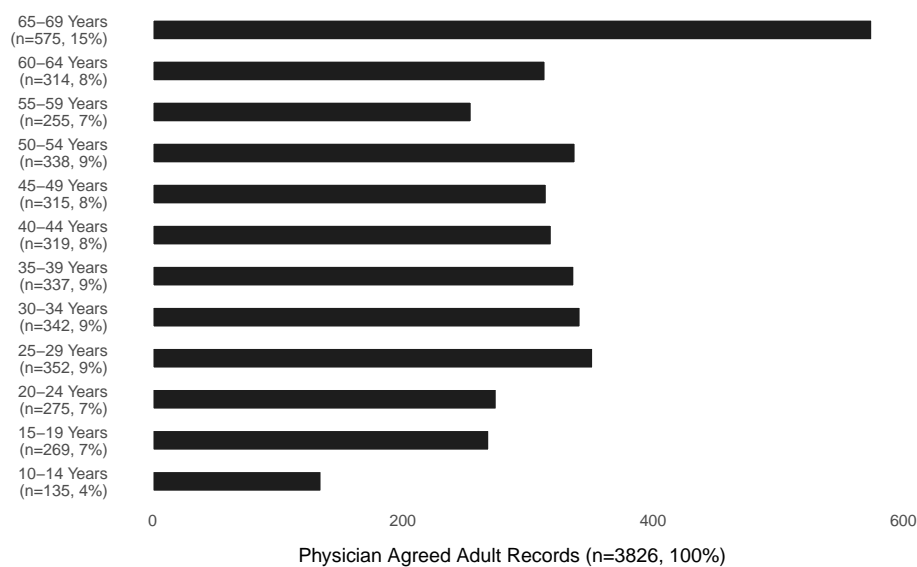


Fig. B3 Number of physician agreed adult (12 years or older) VA records by 5-year age ranges in Sierra Leone from 2019 to 2022.

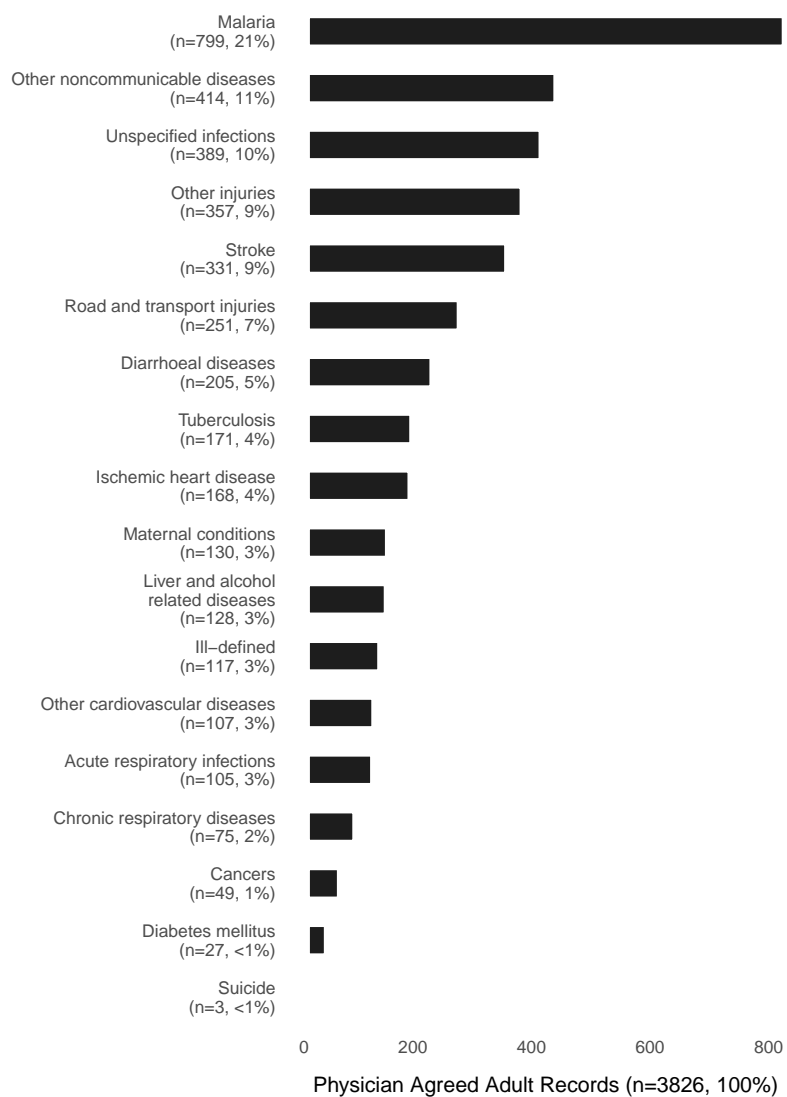


Fig. B4 Number of physician agreed adult (12 years or older) VA records by CGHR-10 COD code in Sierra Leone from 2019 to 2022.

Appendix C Child Physician Agreed Verbal Autopsy Records (28 Days to 11 Years)

The following figures visualize the distribution of child physician agreed records by 5-year age ranges (Figure C5) and CGHR-10 COD code in Sierra Leone from 2019 to 2022 (Figure C6).

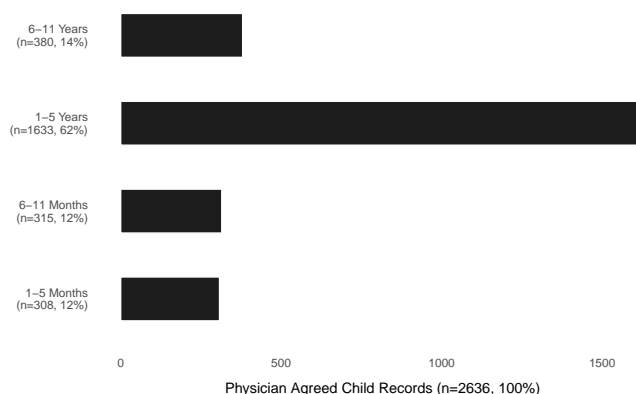


Fig. C5 Number of physician agreed child (28 days to 11 years) VA records by 5-year age ranges in Sierra Leone from 2019 to 2022.

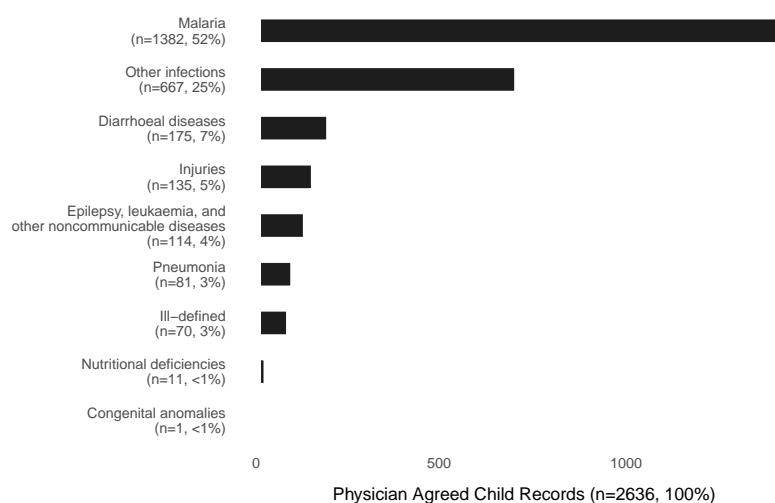


Fig. C6 Number of physician agreed child (28 days to 11 years) VA records by CGHR-10 COD code in Sierra Leone from 2019 to 2022.

Appendix D Neonatal Physician Agreed Verbal Autopsy Records (Under 28 Days)

The following figures visualize the distribution of neonatal physician agreed records by 5-year age ranges (Figure D7) and CGHR-10 COD code in Sierra Leone from 2019 to 2022 (Figure D8).

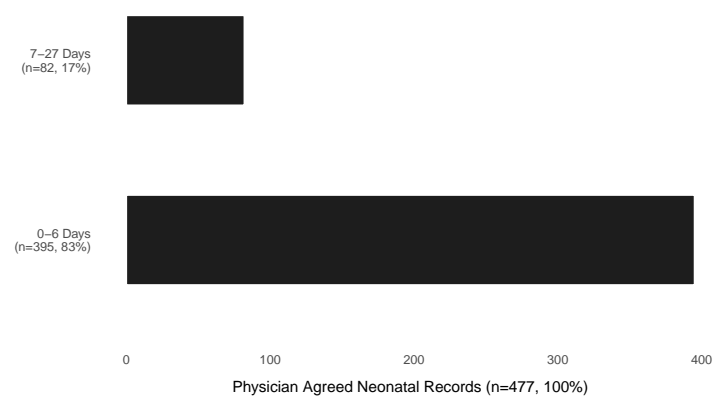


Fig. D7 Number of physician agreed neonatal (less than 28 days) VA records by 5-year age ranges in Sierra Leone from 2019 to 2022.

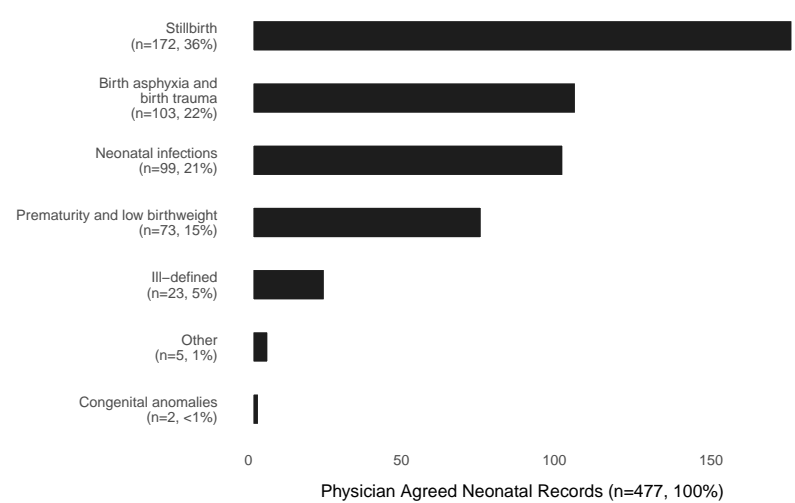


Fig. D8 Number of physician agreed neonatal (less than 28 days) VA records by CGHR-10 COD code in Sierra Leone from 2019 to 2022.

References

- [1] World Health Organization.: Non Communicable Diseases: Key Facts. <https://www.who.int/news-room/fact-sheets/detail/noncommunicable-diseases>.
- [2] Benziger CP, Roth GA, Moran AE. The Global Burden of Disease Study and the Preventable Burden of NCD. *Global Heart*. 2016 Dec;11(4):393–397. <https://doi.org/10.1016/j.gheart.2016.10.024>.
- [3] Lawn JE, Kerber K, Enweronu-Laryea C, Cousens S. 3.6 Million Neonatal Deaths—What Is Progressing and What Is Not? *Seminars in Perinatology*. 2010 Dec;34(6):371–386. <https://doi.org/10.1053/j.semperi.2010.09.011>.
- [4] Lassi ZS, Bhutta ZA. Community-based Intervention Packages for Reducing Maternal and Neonatal Morbidity and Mortality and Improving Neonatal Outcomes. *Cochrane Database of Systematic Reviews*. 2015;(3). <https://doi.org/10.1002/14651858.CD007754.pub3>.
- [5] Liu NH, Daumit GL, Dua T, Aquila R, Charlson F, Cuijpers P, et al. Excess Mortality in Persons with Severe Mental Disorders: A Multilevel Intervention Framework and Priorities for Clinical Practice, Policy and Research Agendas. *World Psychiatry*. 2017;16(1):30–40. <https://doi.org/10.1002/wps.20384>.
- [6] Ewig S, Torres A. Community-Acquired Pneumonia as an Emergency: Time for an Aggressive Intervention to Lower Mortality. *European Respiratory Journal*. 2011 Aug;38(2):253–260. <https://doi.org/10.1183/09031936.00199810>.
- [7] World Health Organization. SCORE for Health Data Technical Package: Global Report on Health Data Systems and Capacity, 2020; 2021.
- [8] de Savigny D, Riley I, Chandramohan D, Odhiambo F, Nichols E, Notzon S, et al. Integrating Community-Based Verbal Autopsy into Civil Registration and Vital Statistics (CRVS): System-Level Considerations. *Global Health Action*. 2017 Jan;10(1):1272882. <https://doi.org/10.1080/16549716.2017.1272882>.
- [9] Thomas LM, D’Ambruoso L, Balabanova D. Verbal Autopsy in Health Policy and Systems: A Literature Review. *BMJ Global Health*. 2018 May;3(2):e000639. <https://doi.org/10.1136/bmjgh-2017-000639>.
- [10] Rampatige R, Mikkelsen L, Hernandez B, Riley I, Lopez AD. Systematic Review of Statistics on Causes of Deaths in Hospitals: Strengthening the Evidence for Policy-Makers. *Bulletin of the World Health Organization*. 2014 Sep;92:807–816. <https://doi.org/10.2471/BLT.14.137935>.
- [11] Adair T. Who Dies Where? Estimating the Percentage of Deaths That Occur at Home. *BMJ Global Health*. 2021 Sep;6(9):e006766. <https://doi.org/10.1136/bmjgh-2021-006766>.

- [12] World Health Organization. Verbal Autopsy Standards: 2022 WHO Verbal Autopsy Instrument; 2023.
- [13] Chandramohan D, Fottrell E, Leitao J, Nichols E, Clark SJ, Alsokhn C, et al. Estimating Causes of Death Where There Is No Medical Certification: Evolution and State of the Art of Verbal Autopsy. *Global Health Action*. 2021 Oct;14(sup1):1982486. <https://doi.org/10.1080/16549716.2021.1982486>.
- [14] World Health Organization. Verbal Autopsy Standards: Ascertaining and Attributing Cause of Death. World Health Organization; 2007.
- [15] Gomes M, Begum R, Sati P, Dikshit R, Gupta PC, Kumar R, et al. Nationwide Mortality Studies To Quantify Causes Of Death: Relevant Lessons From India's Million Death Study. *Health Affairs*. 2017 Nov;36(11):1887–1895. <https://doi.org/10.1377/hlthaff.2017.0635>.
- [16] Jha P, Gajalakshmi V, Gupta PC, Kumar R, Mony P, Dhingra N, et al. Prospective Study of One Million Deaths in India: Rationale, Design, and Validation Results. *PLOS Medicine*. 2005 Dec;3(2):e18. <https://doi.org/10.1371/journal.pmed.0030018>.
- [17] McCormick TH, Li ZR, Calvert C, Crampin AC, Kahn K, Clark SJ. Probabilistic Cause-of-death Assignment Using Verbal Autopsies. *Journal of the American Statistical Association*. 2016;111(515):1036–1049. <https://doi.org/10.1080/01621459.2016.1152191>.
- [18] Morris SK, Bassani DG, Kumar R, Awasthi S, Paul VK, Jha P. Factors Associated with Physician Agreement on Verbal Autopsy of over 27000 Childhood Deaths in India. *PloS one*. 2010;5(3):e9583.
- [19] Soleman N, Chandramohan D, Shibuya K. Verbal Autopsy: Current Practices and Challenges. *Bulletin of the World Health Organization*. 2006;84(3):239–245.
- [20] Byass P, Hussain-Alkhateeb L, D'Ambruoso L, Clark S, Davies J, Fottrell E, et al. An Integrated Approach to Processing WHO-2016 Verbal Autopsy Data: The InterVA-5 Model. *BMC Medicine*. 2019 May;17(1):102. <https://doi.org/10.1186/s12916-019-1333-6>.
- [21] Jha P, Kumar D, Dikshit R, Budukh A, Begum R, Sati P, et al. Automated versus Physician Assignment of Cause of Death for Verbal Autopsies: Randomized Trial of 9374 Deaths in 117 Villages in India. *BMC Medicine*. 2019 Jun;17(1):116. <https://doi.org/10.1186/s12916-019-1353-2>.
- [22] Leitao J, Desai N, Aleksandrowicz L, Byass P, Miasnikof P, Tollman S, et al. Comparison of Physician-Certified Verbal Autopsy with Computer-Coded Verbal Autopsy for Cause of Death Assignment in Hospitalized Patients in Low- and Middle-Income Countries: Systematic Review. *BMC Medicine*. 2014 Feb;12(1):22.

<https://doi.org/10.1186/1741-7015-12-22>.

- [23] Desai N, Aleksandrowicz L, Miasnikof P, Lu Y, Leitao J, Byass P, et al. Performance of Four Computer-Coded Verbal Autopsy Methods for Cause of Death Assignment Compared with Physician Coding on 24,000 Deaths in Low- and Middle-Income Countries. *BMC Medicine*. 2014 Feb;12(1):20. <https://doi.org/10.1186/1741-7015-12-20>.
- [24] Tunga M, Lungo J, Chambua J, Kateule R. Verbal Autopsy Models in Determining Causes of Death. *Tropical Medicine & International Health*. 2021;26(12):1560–1567. <https://doi.org/10.1111/tmi.13678>.
- [25] Oti SO, Kyobutungi C. Verbal Autopsy Interpretation: A Comparative Analysis of the InterVA Model versus Physician Review in Determining Causes of Death in the Nairobi DSS. *Population Health Metrics*. 2010 Jun;8(1):21. <https://doi.org/10.1186/1478-7954-8-21>.
- [26] Jeblee S, Gomes M, Jha P, Rudzicz F, Hirst G. Automatically Determining Cause of Death from Verbal Autopsy Narratives. *BMC Medical Informatics and Decision Making*. 2019 Jul;19(1):127. <https://doi.org/10.1186/s12911-019-0841-9>.
- [27] Blanco A, Pérez A, Casillas A, Cobos D. Extracting Cause of Death From Verbal Autopsy With Deep Learning Interpretable Methods. *IEEE Journal of Biomedical and Health Informatics*. 2021 Apr;25(4):1315–1325. <https://doi.org/10.1109/JBHI.2020.3005769>.
- [28] King C, Zamawe C, Banda M, Bar-Zeev N, Beard J, Bird J, et al. The Quality and Diagnostic Value of Open Narratives in Verbal Autopsy: A Mixed-Methods Analysis of Partnered Interviews from Malawi. *BMC Medical Research Methodology*. 2016 Feb;16(1):13. <https://doi.org/10.1186/s12874-016-0115-5>.
- [29] Chang Y, Wang X, Wang J, Wu Y, Yang L, Zhu K, et al.: A Survey on Evaluation of Large Language Models. *arXiv*.
- [30] Lund BD, Wang T. Chatting about ChatGPT: How May AI and GPT Impact Academia and Libraries? *Library Hi Tech News*. 2023 Jan;40(3):26–29. <https://doi.org/10.1108/LHTN-01-2023-0009>.
- [31] Svyatkovskiy A, Deng SK, Fu S, Sundaresan N. IntelliCode Compose: Code Generation Using Transformer. In: *Proceedings of the 28th ACM Joint Meeting on European Software Engineering Conference and Symposium on the Foundations of Software Engineering, ESEC/FSE 2020*. New York, NY, USA: Association for Computing Machinery; 2020. p. 1433–1443.
- [32] Haupt CE, Marks M. AI-Generated Medical Advice—GPT and Beyond. *JAMA*. 2023 Apr;329(16):1349–1350. <https://doi.org/10.1001/jama.2023.5321>.

- [33] Wu T, He S, Liu J, Sun S, Liu K, Han QL, et al. A Brief Overview of ChatGPT: The History, Status Quo and Potential Future Development. *IEEE/CAA Journal of Automatica Sinica*. 2023;10(5):1122–1136. <https://doi.org/10.1109/JAS.2023.123618>.
- [34] OpenAI, Achiam J, Adler S, Agarwal S, Ahmad L, Akkaya I, et al.: GPT-4 Technical Report. arXiv.
- [35] Njala University.: Healthy Sierra Leone. <https://healsl.org/>.
- [36] Carshon-Marsh R, Aimone A, Ansumana R, Swaray IB, Assalif A, Musa A, et al. Child, Maternal, and Adult Mortality in Sierra Leone: Nationally Representative Mortality Survey 2018–20. *The Lancet Global Health*. 2022 Jan;10(1):e114–e123. [https://doi.org/10.1016/S2214-109X\(21\)00459-9](https://doi.org/10.1016/S2214-109X(21)00459-9).
- [37] World Health Organization. ICD-10: International Statistical Classification of Diseases and Related Health Problems (10th Revision); 2011.
- [38] Aleksandrowicz L, Malhotra V, Dikshit R, Gupta PC, Kumar R, Sheth J, et al. Performance Criteria for Verbal Autopsy-Based Systems to Estimate National Causes of Death: Development and Application to the Indian Million Death Study. *BMC Medicine*. 2014 Feb;12(1):21. <https://doi.org/10.1186/1741-7015-12-21>.
- [39] Barnett ML, Boddupalli D, Nundy S, Bates DW. Comparative Accuracy of Diagnosis by Collective Intelligence of Multiple Physicians vs Individual Physicians. *JAMA Network Open*. 2019 Mar;2(3):e190096. <https://doi.org/10.1001/jamanetworkopen.2019.0096>.
- [40] Hsiao M, Morris SK, Bassani DG, Montgomery AL, Thakur JS, Jha P. Factors Associated with Physician Agreement on Verbal Autopsy of over 11500 Injury Deaths in India. *PLOS ONE*. 2012 Jan;7(1):e30336. <https://doi.org/10.1371/journal.pone.0030336>.
- [41] Brown TB, Mann B, Ryder N, Subbiah M, Kaplan J, Dhariwal P, et al.: Language Models Are Few-Shot Learners. arXiv.
- [42] Vaswani A, Shazeer N, Parmar N, Uszkoreit J, Jones L, Gomez AN, et al. Attention Is All You Need. In: *Advances in Neural Information Processing Systems*. vol. 30. Curran Associates, Inc.; 2017. .
- [43] Ouyang L, Wu J, Jiang X, Almeida D, Wainwright CL, Mishkin P, et al.: Training Language Models to Follow Instructions with Human Feedback. arXiv.
- [44] Christiano PF, Leike J, Brown T, Martic M, Legg S, Amodei D. Deep Reinforcement Learning from Human Preferences. *Advances in neural information processing systems*. 2017;30.

- [45] Stiennon N, Ouyang L, Wu J, Ziegler D, Lowe R, Voss C, et al. Learning to Summarize with Human Feedback. *Advances in Neural Information Processing Systems*. 2020;33:3008–3021.
- [46] Wirth C, Akrou R, Neumann G, Fürnkranz J. A Survey of Preference-Based Reinforcement Learning Methods. *The Journal of Machine Learning Research*. 2017 Jan;18(1):4945–4990.
- [47] Murray CJ, Lozano R, Flaxman AD, Serina P, Phillips D, Stewart A, et al. Using Verbal Autopsy to Measure Causes of Death: The Comparative Performance of Existing Methods. *BMC Medicine*. 2014 Jan;12(1):5. <https://doi.org/10.1186/1741-7015-12-5>.
- [48] Benara SK, Sharma S, Juneja A, Nair S, Gulati BK, Singh KJ, et al. Evaluation of Methods for Assigning Causes of Death from Verbal Autopsies in India. *Frontiers in Big Data*. 2023 Aug;6:1197471. <https://doi.org/10.3389/fdata.2023.1197471>.
- [49] Li ZR, Thomas J, Choi E, McCormick TH, Clark SJ. The openVA Toolkit for Verbal Autopsies. *The R Journal*. 2023 Feb;p. 1.
- [50] BAYES. An Essay towards Solving a Problem in the Doctrine of Chances. *Biometrika*. 1958;45(3-4):296–315.
- [51] Byass P, Chandramohan D, Clark SJ, D’Ambruoso L, Fottrell E, Graham WJ, et al. Strengthening Standardised Interpretation of Verbal Autopsy Data: The New InterVA-4 Tool. *Global Health Action*. 2012 Dec;5(1):19281. <https://doi.org/10.3402/gha.v5i0.19281>.
- [52] Brooks S. Markov Chain Monte Carlo Method and Its Application. *Journal of the Royal Statistical Society: Series D (The Statistician)*. 1998 Mar;47(1):69–100. <https://doi.org/10.1111/1467-9884.00117>.
- [53] Chib S. Markov Chain Monte Carlo Methods: Computation and Inference. *Handbook of econometrics*. 2001;5:3569–3649.
- [54] Han C, Carlin BP. Markov Chain Monte Carlo Methods for Computing Bayes Factors: A Comparative Review. *Journal of the American Statistical Association*. 2001 Sep;96(455):1122–1132. <https://doi.org/10.1198/016214501753208780>.
- [55] World Health Organization.: ODK for Verbal Autopsy: A Quick Guide. <https://www.who.int/publications/m/item/odk-for-verbal-autopsy-a-quick-guide>.
- [56] Nafundi.: ODK - Collect Data Anywhere.
- [57] DiPasquale A, Maire N, Bratschi M.: Release ODK 2016 WHO VA Instrument 1.5.1 SwissTPH/WHO-VA. Swiss Tropical and Public Health Institute.

- [58] Byass P.: InterVA-5.1 User Guide.
- [59] Thomas J, ekarpinskiMITRE, pkmitre, owentrigueros, Choi P, Chu Y.: Pycrossva: Prepare Data from WHO and PHRMC Instruments for Verbal Autopsy Algorithms.
- [60] OpenAI.: OpenAI Platform: API Reference (Top_p Parameter). https://platform.openai.com/docs/api-reference/chat/create#chat-create-top_p.
- [61] Li ZR, McCormick T, Clark S.: InSilicoVA: Probabilistic Verbal Autopsy Coding with 'InSilicoVA' Algorithm.
- [62] Thomas J, Li Z, Byass P, McCormick T, Boyas M, Clark S.: InterVA5: Replicate and Analyse 'InterVA5'.
- [63] Yendewa GA, Poveda E, Yendewa SA, Sahr F, Quiñones-Mateu ME, Salata RA. HIV/AIDS in Sierra Leone: Characterizing the Hidden Epidemic. *AIDS reviews*. 2018;20(2).
- [64] Walker PG, White MT, Griffin JT, Reynolds A, Ferguson NM, Ghani AC. Malaria Morbidity and Mortality in Ebola-affected Countries Caused by Decreased Health-Care Capacity, and the Potential Effect of Mitigation Strategies: A Modelling Analysis. *The Lancet Infectious Diseases*. 2015;15(7):825–832.
- [65] World Health Organization.: Verbal Autopsy Standards: The 2016 WHO Verbal Autopsy Instrument. <https://www.who.int/publications/m/item/verbal-autopsy-standards-the-2016-who-verbal-autopsy-instrument>.
- [66] Murray CJ, Lozano R, Flaxman AD, Vahdatpour A, Lopez AD. Robust Metrics for Assessing the Performance of Different Verbal Autopsy Cause Assignment Methods in Validation Studies. *Population Health Metrics*. 2011 Aug;9(1):28. <https://doi.org/10.1186/1478-7954-9-28>.
- [67] Setel PW, Whiting DR, Hemed Y, Chandramohan D, Wolfson LJ, Alberti KGMM, et al. Validity of Verbal Autopsy Procedures for Determining Cause of Death in Tanzania. *Tropical Medicine & International Health*. 2006;11(5):681–696. <https://doi.org/10.1111/j.1365-3156.2006.01603.x>.