

Risk & Oversight Plan Implementation

Purpose

This set of materials provide a status update on the implementation of the CGIAR Integrated Partnership Risk & Oversight Plan (ROP) together with a set of policy documents for approval by the System Council following concurrence by the Integrated Partnership Board, as follows:

For information

- **SC22-07a** – Implementation of the Integrated Partnership Risk & Oversight Plan: Status Report to the 22nd System Council Meeting

For Decision

- **SC22-07ci** – CGIAR Integrated Partnership Code of Ethics and Business Conduct for Personnel
- **SC22-07cii** – CGIAR Integrated Partnership Anti-Harassment and Discrimination Policy
- **SC22-07ciii** – CGIAR Integrated Partnership Policy on Whistleblowing and Protection from Retaliation
- **SC22-07civ** – CGIAR Integrated Partnership Policy on Protection Against Sexual Misconduct, Exploitation, Abuse, and Human Trafficking
- **SC22-07di** – CGIAR Integrated Partnership Risk Management & Internal Controls Framework
- **SC22-07dii** – CGIAR Integrated Partnership: Risk Appetite

A list of the IPB's concurrence decisions and approvals of other ROP deliverables is provided in an annex to this cover paper.

Action Requested

Following concurrence of the Integrated Partnership Board, and pursuant to Article 6.2 p) and s) of the CGIAR System Framework, the System Council is requested to approve:

- CGIAR Integrated Partnership Risk Management & Internal Controls Framework
- CGIAR Integrated Partnership: Risk Appetite
- The following four revised Ethics and Business Conduct policies (to take effect upon approval of the CGIAR Integrated Partnership Ethics & Business Conduct Escalation Framework):
 - CGIAR Integrated Partnership Code of Ethics and Business Conduct for Personnel
 - CGIAR Integrated Partnership Anti-Harassment and Discrimination Policy

- CGIAR Integrated Partnership Policy on Whistleblowing and Protection from Retaliation
- CGIAR Integrated Partnership Policy on Protection Against Sexual Misconduct, Exploitation, Abuse, and Human Trafficking

Document category: Working document of the System Council.

There is no restriction on the circulation of this document

Prepared by: ICI Committee

Annex: Related Integrated Partnership Board Decisions

Concurrence decisions, with subsequent System Council approval required:

- **Decision - IPB/M004/DP6: (11 April 2025)**
Pursuant to Article 8.2g of the Charter of the CGIAR System Organization, the Integrated Partnership Board concurred with the following documents and recommended their submission to the System Council for approval:
 - i. CGIAR Integrated Partnership Risk Management & Internal Controls Framework
 - ii. CGIAR Integrated Partnership: Risk Appetite
- **Decision - IPB/M005/DP4 (16 May 2025)**
Pursuant to Article 8.2g of the Charter of the CGIAR System Organization, the Integrated Partnership Board concurred with the following policies and recommended their submission to the System Council for approval (to take effect upon approval of the CGIAR Integrated Partnership Ethics & Business Conduct Escalation Framework):
 - i. CGIAR Integrated Partnership Code of Ethics and Business Conduct for Personnel
 - ii. CGIAR Integrated Partnership Anti-Harassment and Discrimination Policy
 - iii. CGIAR Integrated Partnership Policy on Whistleblowing and Protection from Retaliation
 - iv. CGIAR Integrated Partnership Policy on Protection Against Sexual Misconduct, Exploitation, Abuse, and Human Trafficking

Decisions taken by the IPB or the IPB-AFRC shared for information – no System Council decision required. Links to the documents are included for reference.

- **Decision - IPB/M004/DP5 (11 April 2025)**
Pursuant to Article 8.2h of the Charter of the CGIAR System Organization, the Integrated Partnership Board approved the [CGIAR Integrated Partnership Risk Management & Internal Controls Policy](#).
- **Decision - IPB/M005/DP5 (16 May 2025)**
Pursuant to Article 8.2h of the Charter of the CGIAR System Organization, the Integrated Partnership Board approved the following:
 - [Terms of Reference for the Integrated Partnership Internal Audit Function](#)
 - [Terms of Reference for the Integrated Partnership Risk and Internal Controls Function](#)
 - [Terms of Reference for the Integrated Partnership Ethics & Business Conduct Function](#)
- **Decision – IPB-AFRC /M004/DP7 (12 May 2025)**
Pursuant to article 7a of its Terms of Reference, the IPB-AFRC endorsed the Revised [External Auditing Guidelines \('FG-3A'\)](#).