

## On the Primitivity of Prime Rings

CHARLES LANSKI\*

*University of Southern California, Los Angeles, California 90007*

RICHARD RESCO

*University of Southern California, Los Angeles, California 90007*

AND

LANCE SMALL\*

*University of California, San Diego, La Jolla, California 92093*

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In this paper we obtain some conditions which force prime rings to be primitive. Our main theorems are converses to well-known results on the primitivity of certain subrings of primitive rings. Applications are given to the case of primitive domains, and a tensor product theorem is proved which answers a question of Herstein on the primitivity of  $E[x_1, \dots, x_n]$ , for  $E$  the endomorphism ring of a vector space over a division ring.

Throughout the paper, all modules are right (unital) modules and "primitive" will mean right primitive. When  $R$  has an identity, the existence of a faithful irreducible  $R$  module is equivalent to the existence in  $R$  of a proper right ideal  $T$  satisfying  $T + I = R$  for every nonzero ideal  $I$  of  $R$  [2, Theorem 1, p. 508]. We begin by stating a useful and well-known result, the proof of which is straightforward using the existence of a faithful irreducible module.

**LEMMA 1.** *Let  $R$  be a prime ring and  $I$  a nonzero ideal of  $R$ . Then  $R$  is a primitive ring if and only if  $I$  is a primitive ring.*

Our next result will allow us to assume that the prime rings under consideration have identity.

**LEMMA 2.** *Let  $K$  be a commutative domain with identity, and  $R$  a prime  $K$ -algebra. Then there exists a prime  $K$ -algebra  $R_1$ , which has an identity and in which  $R$  embeds as an ideal.*

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*Proof.* Let  $A = R \times K$ , the Cartesian product of  $R$  with  $K$ , with addition defined componentwise and multiplication given by  $(r, k)(r', k') = (rr' + kr' + k'r, kk')$ . Set  $T = \{y \in A \mid y(I, 0) = (0, 0) \text{ for } I \text{ a nonzero ideal of } R\}$ . Since  $R$  is a prime  $K$ -algebra,  $T$  is a prime  $K$ -algebra ideal of  $A$  and  $(R, 0) \cap T = (0, 0)$ . Thus  $R_1 = A/T$  is the desired  $K$ -algebra.

We can now prove the main result of the paper. One direction is well-known and trivial.

**THEOREM 1.** *If  $R$  is a prime ring containing a nonzero idempotent  $e$ , then  $R$  is a primitive ring if and only if  $eRe$  is a primitive ring.*

*Proof.* If  $R$  is a primitive ring with faithful irreducible module  $M$ , then  $Me$  is a faithful irreducible  $eRe$  module. To prove the other direction we may assume that  $R$  has an identity. For considering  $R$  as an ideal in the ring  $R_1$  of Lemma 2,  $eRe = eR_1e$ , so it suffices to prove the theorem for  $R_1$ , using Lemma 1. Let  $V$  be a proper right ideal of  $eRe$  comaximal with each nonzero ideal of  $eRe$ , and set  $T = VR + (1 - e)R$ . Clearly,  $T$  is a right ideal of  $R$  and is proper, for  $e \in T$  would mean  $e = \sum v_i r_i + (1 - e)x$  so that  $e = \sum e v_i e r_i e \in V$ , contradicting  $V \neq eRe$ . If  $I$  is a nonzero ideal of  $R$ ,  $eIe$  is nonzero since  $R$  is prime, so  $T + I$  contains  $V + eIe = eRe$  by choice of  $V$ . Thus  $R = eR + (1 - e)R \subset T + I$ ,  $T$  is comaximal with each nonzero ideal of  $R$ , and so  $R$  is a primitive ring.

It is possible to construct a faithful irreducible module for  $R$  in Theorem 1 from one for  $eRe$ . If  $N$  is a faithful irreducible  $eRe$  module,  $M = N \otimes_{eRe} eR$ , and  $L = \{m \in M \mid mRe = 0\}$ , then it can be shown that  $M/L$  is a faithful irreducible  $R$  module.

We now apply Theorem 1 to obtain a theorem on tensor products of primitive rings. Recall that if  $R$  is a primitive ring with nonzero socle, each minimal right ideal of  $R$  has the form  $eR$  for  $e$  an idempotent; these are all isomorphic as  $R$  modules, and the division rings  $eRe \cong \text{End}_R(eR)$  are all isomorphic. For such an idempotent in  $R$ , call  $D = eRe$  the division ring associated to  $R$ .

**THEOREM 2.** *Let  $F$  be a field,  $R$  a primitive  $F$ -algebra with nonzero socle, and  $D$  the division ring associated to  $R$ . For any  $F$ -algebra  $A$ ,  $R \otimes_F A$  is a primitive ring if and only if  $D \otimes_F A$  is a primitive ring.*

*Proof.* For either implication  $A$  must be a prime algebra, so by Lemma 2,  $A$  embeds as an ideal in a prime algebra  $A_1$  with identity. For any  $F$ -algebra  $S$ ,  $S \otimes_F A$  is an ideal of  $S \otimes_F A_1$ , so Lemma 1 allows us to assume that  $A$  has identity. Let  $e \in R$  be an idempotent with  $D \cong eRe$ . Then  $f = e \otimes 1$  is an idempotent in  $R \otimes_F A$  and  $f(R \otimes_F A)f \cong D \otimes_F A$ . Applying Theorem 1 shows that it suffices to prove that  $R \otimes_F A$  is a prime ring when  $D \otimes_F A$  is primitive. We do this by showing that for  $T$  an ideal of  $R \otimes_F A$ ,  $T \neq 0$  implies  $fTf \neq 0$ . If  $\sum r_i \otimes a_i \in T - (0)$  with  $\{a_i\}$   $F$ -independent in  $A$  and  $r_1 \neq 0$ , then the

primeness of  $R$  implies that  $eRr_1Re \neq 0$ , so  $exr_1ye \neq 0$  for some  $x, y \in R$ . Thus  $t = \sum x r_i y \otimes a_i \in T$  and  $tf \neq 0$  by the independence of the  $\{a_i\}$ .

We record as a corollary to Theorem 2 the answer to the question of Herstein mentioned in the Introduction. Information on when the conditions in the corollary are satisfied can be found in [1].

**COROLLARY.** *Let  $D$  be a division algebra,  $V$  a vector space over  $D$ , and set  $R = \text{End}_D(V)$ . Then  $R[x_1, \dots, x_n]$  is a primitive ring exactly when  $D[x_1, \dots, x_n]$  is a primitive ring. In particular, if  $D$  is a field,  $R[x_1, \dots, x_n]$  is never primitive.*

*Proof.* Let  $F$  be the center of  $D$  and take  $A = F[x_1, \dots, x_n]$  in Theorem 2.

We remark that Theorem 2 holds when  $F$  is replaced by a commutative domain  $K$ . However, in this case one must assume that  $R$  is a faithful  $K$ -algebra and that  $A$  is a flat  $K$  module. These assumptions guarantee that  $R \otimes_K A$  is a torsion-free  $K$  module so that our proof works by choosing  $\{a_i\}$  independent over the quotient field of  $K$ .

We turn to another application of Theorem 1. As with that result, this one is essentially the converse of a well-known fact. Recall that for any nonempty subset  $S$  of  $R$ ,  $l(S) = \{x \in R \mid xS = 0\}$ .

**THEOREM 3.** *Let  $R$  be a prime ring and  $V$  a right ideal of  $R$ . Then  $R$  is a primitive ring exactly when  $V/(V \cap l(V))$  is a primitive ring.*

*Proof.* That  $V/(V \cap l(V))$  is a primitive ring when  $R$  is a primitive ring is well-known and follows by taking a faithful irreducible  $R$  module  $M$ , considering  $N = M/L$  for  $L = \{m \in M \mid mV = 0\}$ , and observing that  $N$  is a faithful irreducible  $V/(V \cap l(V))$  module. Assume now that  $V/(V \cap l(V))$  is a primitive ring. Using Lemma 2 and Lemma 1 shows that we may assume that  $R$  has identity, since  $V$  is a right ideal in the  $R_1$  given by Lemma 2, and  $V \cap l(V)$  is independent of the overring containing  $V$ .

Let  $S = \{r \in R \mid rV \subset V\}$  be the idealizer of  $V$  in  $R$  and let  $T$  be the subring of  $M_2(R)$  given by  $T = \begin{pmatrix} R & R \\ V & S \end{pmatrix}$ . We claim that  $U = \begin{pmatrix} 0 & {}^{l(V)}\cap R \\ 0 & l(V) \end{pmatrix}$  is a prime ideal of  $T$ . That  $U$  is an ideal of  $T$  follows easily. It is straightforward to show that  $U$  is a prime ideal. Briefly, if  $xTy \subset U$  for  $x, y \in T$  with  $y = \begin{pmatrix} y_1 & y_2 \\ y_3 & y_4 \end{pmatrix}$ , then  $x \begin{pmatrix} R & 0 \\ 0 & 0 \end{pmatrix} y \subset U$  implies that either  $y_1 = 0$  or that the first column of  $x$  is zero. Assuming  $y_1 \neq 0$ ,  $x \begin{pmatrix} 0 & 0 \\ V & 0 \end{pmatrix} y \subset U$  forces  $x \in U$ . If  $x \notin U$ , then  $y_1 = 0$ , but now considering  $xT \begin{pmatrix} 0 & 0 \\ 0 & R \end{pmatrix} y \subset U$  and then  $xT \begin{pmatrix} 0 & 0 \\ V & 0 \end{pmatrix} y \subset U$  yields  $y \in U$ . Therefore,  $W = T/U$  is a prime ring with identity containing  $e = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$ , and  $eWe \cong S/l(V)$ . Now  $V/(V \cap l(V))$  embeds in  $eWe$  as an ideal, so our hypothesis and Lemma 1 show that  $eWe$  is a primitive ring. Using Theorem 1 yields first that  $W$  is a primitive ring, and then that  $R \cong (1 - e)W(1 - e)$  is a primitive ring, completing the proof of the theorem.

One consequence of Theorem 3 is a result applying to domains and demonstrating that many subrings of primitive rings are primitive.

**COROLLARY.** *Let  $R$  be a semi-prime ring and  $V$  a right ideal of  $R$  with  $l(V) = 0$ . Then the following statements are equivalent:*

- (i)  $V$  is a primitive ring;
- (ii) Every subring of  $R$  containing  $V$  is primitive;
- (iii) Some subring of  $R$  containing  $V$  is primitive.

*Proof.* By Theorem 3 it suffices to show that when  $V$  is a primitive ring, any subring  $S$  of  $R$  containing  $V$  is a prime ring. Now  $R$  is a semi-prime ring, so for any nonzero ideal  $I$  of  $R$ ,  $IV \neq 0$  implies that  $0 \neq VI \subset V \cap I$ . Hence,  $R$  is a prime ring when  $V$  is primitive. But  $AV$  is a nonzero right ideal of  $R$  for any nonzero ideal  $A$  of  $S$ , so it follows that  $S$  must be a prime ring.

An amusing consequence of the last corollary is that one can prove the primitivity of a free algebra in a finite or countable set of indeterminates from the classical result for two variables. Specifically, for any field  $F$ , let  $R = F\langle x, y \rangle$  be the free algebra over  $F$  in noncommuting indeterminates. Then  $R$  is a primitive ring [3, p. 36]. Let  $V = xR$  and  $S = \{r \in R \mid rV \subset V\}$ , the idealizer of  $V$ . Clearly,  $S = F + xR$ , and as an  $F$ -algebra  $S$  is generated by  $\{xy^i \mid i \geq 0\}$ . These generators are free since  $Rx \cap Ry = 0$  forces  $\sum Rxy^i$  to be direct. Thus  $S \cong F\langle X \rangle$ , for  $X$  a countably infinite set of noncommuting indeterminates, and  $S$  is a primitive ring by the corollary. Finally, if  $T = F\langle x_1, \dots, x_n \rangle$  for  $n > 1$ ,  $U = x_1T$ , and  $W$  is the idealizer of  $U$  in  $T$ , then  $W = F + x_1T$ . If  $\{m_i\}$  is the standard monomial  $F$ -basis for  $F\langle x_2, \dots, x_n \rangle$ , then  $\{x_1m_i\}$  is a free set of generators for the  $F$ -algebra  $W$ . Thus  $W \cong S$  is a primitive ring, so  $T$  is a primitive ring by the corollary.

*Note added in proof.* Theorem 1 can be obtained from Theorem 27 in S. A. Amitsur, Rings of quotients and Morita context, *J. Algebra* 17 (1971), 273–298. Also there is some overlap of this paper with a forthcoming paper by W. K. Nicholson and J. Watters to appear in the *J. Lond. Math. Soc.*

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