Ported alias Set-Pointed and Non-scalar Tutte Functions Seth Chaiken

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Traditionally a **Tutte Function** F

$$F: \left\{ egin{array}{l} {
m Matroids} \\ {
m (or \ Graphs)} \end{array}
ight.
ightarrow {
m Commutative \ Ring} \ (R,+,\cdot) \end{array}$$

$$F(\mathcal{N}) = g_e F(\mathcal{N}/e) + r_e F(\mathcal{N} \setminus e) \tag{A}$$

for all e not a loop or inthmus. g_e , r_e are parameters or 1.

$$F(\mathcal{N}_1 \oplus \mathcal{N}_2) = F(\mathcal{N}_1) \cdot F(\mathcal{N}_2) \tag{M}$$

We survey results where

(1) $(R, +, \cdot)$ is replaced by discrete or other algebraic structures (Matroids!), or "Matroids" is replaced by matroid presentations;

and (2) given a distinguished subset P (ports or set of points), (A) is restricted to $e \notin P$.

- 1. $F: Matroids \rightarrow Matroids$.
- 2. $F: \text{Extensors} \to \text{Extensors}$. The multiplication of exterior (aka Cayley, Grassmann) algebra is anticommutative: $\mathbf{N}_1\mathbf{N}_2 = (\mathbf{N}_1 \wedge \mathbf{N}_2) = \mathbf{N}_2\mathbf{N}_1(-1)^{r(\mathbf{N}_1)r(\mathbf{N}_2)}$
- 3. F: (Oriented) Matroids \rightarrow Commutative Ring where a substitution expresses (2) in the unimodular (regular) oriented case.
- 4. Algebraic expressions of (3) for P-unions and generalized parallel connections over P.

In all cases, "ports", "set of points" P, for which deletion/contraction is forbidden, must satisfy $P \neq \emptyset$ for interesting results.

Result 1: (Construction of Recski, Weinberg 70's; new observation here)

Given: Matroid $\mathcal{N}(P, E)$ has ground set $P \cup E$.

 P_V and P_I are two disjoint copies of P:

$$P_V = \{p_V : p \in P\}; P_I = \{p_I : p \in P\}.$$

(The matroid!) $\mathcal{M}_E(\mathcal{N})(P_I \cup P_V) := (\mathcal{N}(P_I, E)) \cup \mathcal{N}^{\perp}(P_V, E))/E$ satisfies

$$\mathcal{M}_{E}(\mathcal{N}) = \mathcal{M}_{E'}(\mathcal{N}/e) \cup_{\mathcal{B}} \mathcal{M}_{E'}(\mathcal{N} \setminus e) \text{ if } e \notin P \text{ and } E' = E \setminus e,$$

where $\cup_{\mathcal{B}}$ denotes union of matroid basis collections; and

$$\mathcal{M}_Eig(\mathcal{N}_1(E_1,P_1)\oplus\mathcal{N}_2(E_2,P_2)ig)=\mathcal{M}_{E_1}ig(\mathcal{N}_1(E_1,P_1)ig)\oplus\mathcal{M}_{E_2}ig(\mathcal{N}_2(E_2,P_2)ig).$$

where \oplus denotes matroid direct sum.

Proof: E is independent in $\mathcal{N}(P_I, E) \cup \mathcal{N}^{\perp}(P_V, E)$, so B is a basis of $\mathcal{M}_E(\mathcal{N})$ iff $A \subseteq E$, $B \cup A \cup (E \setminus A)$ is a basis of the union; thence either $e \in A$ or $e \in (E \setminus A)$.

Result 2. applies to a given **decomposible** $(\mathbf{N}(P, E) = \mathbf{v}_1 \mathbf{v}_2 \cdots \mathbf{v}_r)$ of vectors) in the **exterior algebra** \mathcal{E} over vector space $k(P \cup E)$ with distinguished basis $(P \cup E)$.

We say an **extensor** is a decomposible element in \mathcal{E} .

Let $P \cup E$ label the columns of matrix N (full row rank r).

The (oriented) matroid of column dependencies (rank r) is determined by the row space of $N \leftrightarrow k$ -multiples of one extensor \mathbb{N} , such as $\mathbb{N} = \wedge (\text{row vectors of } N) \in \mathcal{E}$.

 \mathcal{E} is quotient of the assoc. algebra generated by $k(P \cup E)$ modulo the ideal generated by \mathbf{v}^2 , $\mathbf{v} \in k(P \cup E)$. \mathcal{E} has dimension $2^{|P| \cup |E|} = 2^n$.

 \mathcal{E} is graded: At rank r, \mathcal{E}_r has dim. $\binom{n}{r}$, $r = 0, 1, \ldots, n$.

Natural coordinates of extensor $\mathbf{N}(P, E)$ are the $r \times r$ determinants in matrix N.

Exterior sum can be expressed by addition of the expansion coefficients under the basis of all 2^n subsets of $P \cup E$, (each subset with an element order fixed).

But, the sum of two extensors is not necessarily decomposible, not necessarily an extensor.

The exterior *product* of extensors for disjoint subspaces represents the subspace join.

When a ground set S is given (like $S = E \cup P$, distinguished basis for $k(E \cup P)$), **deletion** and **contraction** of $e \in S$, and **dualization**, known from multilinear algebra, represent the corresponding (oriented) matroid operations.

We define deletion/contraction so

$$\mathbf{N} = \mathbf{N} \setminus e + (\mathbf{N}/e)\mathbf{e}$$

Dualization: Copy the oriented matroid chirotope dualization formula (also known as Hodge star): Coefficients (determinants!) $\mathbf{N}^{\perp}[X] = \mathbf{N}[\overline{X}]\epsilon(\overline{X}, X)$.

Our Tutte-like function $\mathbf{M}_E(\mathbf{N})$: Extensors \rightarrow Extensors.

Given N (matrix), construct N^{\perp} so their row spaces are orthogonal complements (N^{\perp} presents the (oriented) dual of the matroid from N).

Form the matrix: $(G = \operatorname{diag}(g_e), R = \operatorname{diag}(r_e))$

$$M = \begin{bmatrix} N(P) & 0 & N(E)G \\ \hline 0 & N^{\perp}(P) & N^{\perp}(E)R \end{bmatrix}$$

with columns labelled by $P_I \cup P_V \cup E$.

Extensor \mathbf{M} over $k[g_e, r_e](P_V \cup P_I \cup E)$ is the product of M's row vectors, and define $\mathbf{M}_E(\mathbf{N})$ by:

$$\mathbf{M} = \mathbf{M}_E(\mathbf{N})\mathbf{e_1}\mathbf{e_2}\cdots\mathbf{e}_{|E|} + (\cdots)$$

Result 2: (2003)

$$\epsilon(PE) \mathbf{M}_{E}(\mathbf{N}(P, E)) =$$

$$\epsilon(PE') \left(g_{e} \mathbf{M}_{E'}(\mathbf{N}/e) + r_{e} \mathbf{M}_{E'}(\mathbf{N} \setminus e) \right)$$

$$\epsilon(P_1 P_2 E) \ \mathbf{M}_E(\ \mathbf{N}_1(P_1, E_1) \ \mathbf{N}_2(P_2, E_2)) =$$

$$\epsilon(P_1 E_1) \epsilon(P_2 E_2) \ \mathbf{M}_{E_1}(\mathbf{N}_1(P_1, E_1)) \ \mathbf{M}_{E_2}(N_2(P_2, E_2))$$

Corollory:

$$\epsilon(PE)\mathbf{M}_{E}(\mathbf{N}) = \epsilon(P) \qquad \sum_{A \subseteq E : \mathbf{r}_{\mathbf{N}}A = |A|, \\ \mathbf{r}\mathbf{N} - \mathbf{r}(\mathbf{N}/A|P) - \mathbf{r}_{\mathbf{N}}A = 0} \mathbf{M}_{\emptyset}(\mathbf{N}/A|P)g_{A}r_{\overline{A}}. \quad (1)$$

Compare: (with u = 0 and v = 0)

$$R(\mathcal{N}(P,E)) = \sum_{A \subseteq E} \left[\mathcal{N}/A|P \right] g_A r_{\overline{A}} u^{r\mathcal{N}-r[\mathcal{N}/A|P]-rA} v^{|A|-rA}.$$

Result 3: The ported, parametrized corank-nullity polynomials of oriented and non-oriented matroids

$$R_P(\mathcal{N}(P,E)) = \sum_{A \subseteq E} [\mathcal{N}/A|P] g_A r_{\overline{A}} u^{r\mathcal{N} - r[\mathcal{N}/A|P] - rA} v^{|A| - rA}.$$

satisfy the ported Tutte equations.

(In the invariant case, this R is universal for Tutte P-invariants of oriented and non-oriented matroids)

 $[\mathcal{N}/A|P]$ is a (commutative) monomial whose factors are **connected** (oriented) matroids over subsets of P.

(Las Vergnas "Big Tutte Polynomial" ('75,'99), oriented/parametrized by sdc.)

 R_P can distinguish some orientations of the same matroid when $|P| \geq 2$.

Result 4 pertains to three matroid combination operations:

Given $\mathcal{N}_1(P, E_1)$ and $\mathcal{N}_2(P, E_2)$ with only elements P in common.

- (1.) Ported matroid union: $\mathcal{B}(\mathcal{N}_1 \cup \mathcal{N}_2) = \{B_1 \cup B_2 : B_i \in \mathcal{B}(\mathcal{N}_i)\}$
- (2.) Duality conjugate \cup^* of \cup .
- (3.) Given that P is a modular flat and a common submatroid in \mathcal{N}_1 and \mathcal{N}_2 , the generalized parallel connection.

When |P| = 1, both dual \cup and generalized parallel connection REDUCE to (one base point) parallel connection.

K=polynomial ring containing u and v. K_P =commutative K-module generated by monomials $[q_i]$ signifying matroids q_i over subsets of P, and $[\emptyset] = 1$.

Recall ported corank-nullity polynomial R_P : Matroids $\to K_P$.

Result 4: For each combination operation $(*_i, i = 1, 2, 3)$ there is a bilinear $M_i: K_P \times K_P \to K_P$ map and an $u^j v^k$ -valued function f_i such that

$$R_P(\mathcal{N}_1 *_i \mathcal{N}_2) = f_i(\mathcal{N}_1, \mathcal{N}_2, \mathcal{N}_1 *_i \mathcal{N}_2) M_i(R_P(\mathcal{N}_1), R_P(\mathcal{N}_2))$$

In other words, once $*_i$ is determined on pairs of matroids over subsets of P, the ported R_P for $\mathcal{N}_1 *_i \mathcal{N}_2$ can be calculated by formal multiplication

$$f_i(..)R_P(\mathcal{N}_1) *_i R_P(\mathcal{N}_2) = f_i(..)M_i(R_P(\mathcal{N}_1), R_P(\mathcal{N}_2))$$

and substitutions of $(1/f_i(q_a, q_b, q_a *_i q_b))[q_a *_i q_b] \leftarrow [q_a] *_i [q_b].$

Splitting Formulas generalize $F(\mathcal{N}_1 \oplus \mathcal{N}_2) = F(\mathcal{N}_1)F(\mathcal{N}_2)$ and solve this type of problem:

Given $\mathcal{N}_1(P \cup E_1)$, $\mathcal{N}_2(P \cup E_2)$, can we calculate the Tutte polynomial of $\mathcal{N}_1 *_i \mathcal{N}_2(P \cup E_1 \cup E_2)$ from Tutte polynomials of minors of \mathcal{N}_1 and \mathcal{N}_2 gotten by deletion/contraction of subsets of P?

For one-point series and parallel connections, we can re-derive Brylawski's (1971) formulas by the above bilinear method (1989).

For generalized parallel connection, with P a modular flat and common restriction in both \mathcal{N}_1 and \mathcal{N}_2 , a splitting formula was obtained by Bonin and de Mier (2004).

Their formula, for the Tutte polynomial, is in terms of:

- 1. The lattice of flats $F \leq P$,
- 2. characteristic polys. of P/F, and
- 3. Tutte polynomials of \mathcal{N}_1/F and \mathcal{N}_2/F .

Our formulas are (1) for R_P (Big Tutte polynomial) and (2) are in terms of $R_P(\mathcal{N}_1) *_i R_P(\mathcal{N}_2)$. Our bilinear forms' coefficients and f in $R_P(\mathcal{N}_1 *_i \mathcal{N}_2) = f_i(...)R_P(\mathcal{N}_1) *_i R_P(\mathcal{N}_2)$:

1. $* = \cup (1989)$

$$f(\mathcal{N}_1, \mathcal{N}_2, \mathcal{N}_1 \cup \mathcal{N}_2) = u^{r \mathcal{N}_1 \cup \mathcal{N}_2 - r \mathcal{N}_1 - r \mathcal{N}_2}.$$

2. $* = \cup^*$ (1989) (nullity $n\mathcal{N} = |\mathcal{N}| - r\mathcal{N}$)

$$f(\mathcal{N}_1, \mathcal{N}_2, \mathcal{N}_1 \cup^* \mathcal{N}_2) = v^{n(\mathcal{N}_1 \cup^* \mathcal{N}_2) - n\mathcal{N}_1 - n\mathcal{N}_2}$$

3. Generalized Parallel P-Connection * (1991) Since P is a modular flat, quotients $Q_i = \mathcal{N}_i/A_i|P$ correspond to flats in P, and $[Q_i] * [Q_j] = (1/f)[Q']$ where Q' is the quotient corresponding to the join of those flats in P.

$$f(\mathcal{N}_1, \mathcal{N}_2, \mathcal{N}_1 * \mathcal{N}_2) = v^{n(\mathcal{N}_1 * \mathcal{N}_2) - n\mathcal{N}_1 - n\mathcal{N}_2}$$

Brylawski's splitting formulas for |P| = 1 (series/union and parallel/co-union conn.) can be derived from our $R_P(\mathcal{N}_1) * R_P(\mathcal{N}_2)$ formula.

Can Bonin and de Meir's generalized parallel connection splitting formula for all |P| also be derived that way?

Are there other expressions for the splitting function?

Example $P = \{p\}$: The 2 matroids over P are $[\mathcal{P}_i]$, rank i = 0, 1.

Problem: express $R_P(\mathcal{N}_1 * \mathcal{N}_2)$ in terms of 4 polynomials $R(\mathcal{N}_j \setminus p)$, $R(\mathcal{N}_j/p)$, j = 1, 2.

Tool 1: for various \mathcal{N} , write $R_P(\mathcal{N}) = [\mathcal{P}_1]R^{(1)}(\mathcal{N}) + [\mathcal{P}_0]R^{(0)}(\mathcal{N})$ and try to express $R^{(0)}(\mathcal{N})$, $R^{(1)}(\mathcal{N})$ in terms of $R(\mathcal{N} \setminus p)$, $R(\mathcal{N}/p)$.

Solve the following equations:

$$R(\mathcal{N}/p) = R_P(\mathcal{N})|_{[\mathcal{P}_0]} \leftarrow u^0 v^1 \qquad R(\mathcal{N} \setminus p) = R_P(\mathcal{N})|_{[\mathcal{P}_0]} \leftarrow u^0 v^0$$
$$[\mathcal{P}_1] \leftarrow u^0 v^0 \qquad [\mathcal{P}_1] \leftarrow u^1 v^0$$
$$= vR^{(0)} + R^{(1)} \qquad = R^{(0)} + uR^{(1)}$$

Solution: (Brylawski 1971, Cor. 6.14)

$$R^{(0)}(\mathcal{N}) = \frac{1}{1 - uv} \left(R(\mathcal{N} \setminus p) - uR(\mathcal{N}/p) \right)$$
$$R^{(1)}(\mathcal{N}) = \frac{1}{1 - uv} \left(R(\mathcal{N}/p) - vR(\mathcal{N} \setminus p) \right)$$

Tool 2: $R(\mathcal{N}_{1} * \mathcal{N}_{2}) = R_{P}(\mathcal{N}_{1} * \mathcal{N}_{2})|_{[\mathcal{P}_{0}] \leftarrow 1 + v; [\mathcal{P}_{1}] \leftarrow 1 + u}$ before substitution $= v^{n\mathcal{N}_{1} * \mathcal{N}_{2} - n\mathcal{N}_{1} - n\mathcal{N}_{2}}$ $\left([\mathcal{P}_{0}] R^{(0)}(\mathcal{N}_{1}) + [\mathcal{P}_{1}] R^{(1)}(\mathcal{N}_{1}) \right) * \left([\mathcal{P}_{0}] R^{(0)}(\mathcal{N}_{2}) + [\mathcal{P}_{1}] R^{(1)}(\mathcal{N}_{2}) \right)$ $= v^{-1} \left([\mathcal{P}_{0}] * [\mathcal{P}_{0}] R^{(0)}(\mathcal{N}_{1}) R^{(0)}(\mathcal{N}_{2}) + [\mathcal{P}_{0}] * [\mathcal{P}_{1}] \left(R^{(0)}(\mathcal{N}_{1}) R^{(1)}(\mathcal{N}_{2}) + R^{(1)}(\mathcal{N}_{1}) R^{(0)}(\mathcal{N}_{2}) \right)$

$$[\mathcal{P}_0] * [\mathcal{P}_0] \leftarrow v[\mathcal{P}_0] \leftarrow v(v+1)$$
$$[\mathcal{P}_0] * [\mathcal{P}_1] \leftarrow 1 \cdot [\mathcal{P}_0] \leftarrow (v+1)$$
$$[\mathcal{P}_1] * [\mathcal{P}_1] \leftarrow 1 \cdot [\mathcal{P}_1] \leftarrow (u+1)$$

 $+ [\mathcal{P}_1] * [\mathcal{P}_1] R^{(1)}(\mathcal{N}_1) R^{(1)}(\mathcal{N}_2)$

now rederives splitting formula of Brylawski (1971, Thm. 6.15), using

$$R^{(0)}(\mathcal{N}_2) = \frac{1}{1 - uv} \left(R(\mathcal{N}_2 \setminus p) - uR(\mathcal{N}_2/p) \right) \text{ etc.}$$