```
#!pip install -r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt
import gymnasium as gym
import pandas as pd
import matplotlib.pyplot as plt
from tqdm import tqdm
import random
```

Twe are using the Taxi-v3 environment from OpenAl's gym: https://gym.openai.com/envs/Taxi-v3/

Taxi-v3 is an easy environment because the action space is small, and the state space is large but finite.

©Environments with a finite number of actions and states are called tabular

Actions

There are 6 discrete deterministic actions:

- 0: move south
- 1: move north
- 2: move east
- 3: move west
- 4: pickup passenger
- 5: drop off passenger

Rewards

- -1 per step unless other reward is triggered.
- +20 delivering passenger.
- -10 executing "pickup" and "drop-off" actions illegally.

```
env=gym.make("Taxi-v3", render_mode="rgb_array")
class RandomAgent:
    def __init__(self,env):
        self.env=env

def get_Random_Action(self):
```

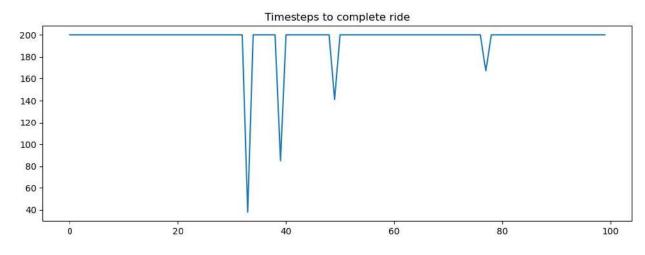
```
# ----add the code-----
return self.env.action_space.sample()
```

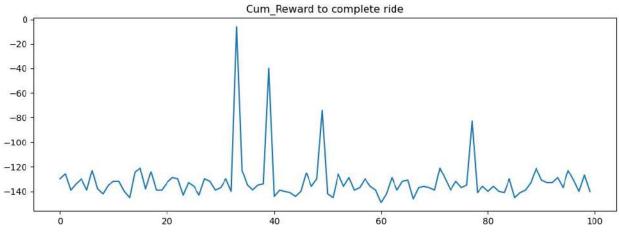
create a random Agent interacting with "Taxi-v3" environment

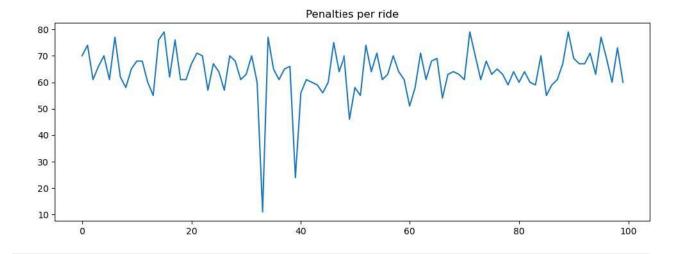
```
agent= RandomAgent(env)
```

Train the Random agent on multiples episodes

```
n = 100
# For plotting metrics
timesteps_per_episode = []
cumReward per episode = []
penalties per episode = []
for i in tqdm(range(0, n_episodes)):
   # reset environment to a random state
   #--- add code here---
   env.reset()
   # initialize the metrics
   steps, penalties, cum_reward, = 0, 0, 0
   done = False
   while not done:
        # select an action randomly
        action=agent.get Random Action()
        #execute the selected action on the environment and return the
variables:
        #==>next state, reward, terminated and truncated
        #--- add code here-----
        next state, reward, terminated, truncated, =
senv.step(action)
        if reward == -10:
           penalties += 1
        else:
           cum reward+=reward
        steps += 1
        done=terminated or truncated
   timesteps per episode.append(steps)
```







5mn4lg6ox

December 17, 2023

```
[]: #!pip install -r https://raw.githubusercontent.com/malkiAbdelhamid/

Advanced-Deep-Learning-2023-2024-esisba/master/lab1_QLearning/

requirements_lab1.txt
```

Now that we studied the Q-Learning algorithm, let's implement it from scratch and train our Q-Learning agent in Taxi-3 environment:

Q-Learning is the RL algorithm that:

- Trains *Q-Function*, an **action-value function** that encoded, in internal memory, by a *Q-table* that contains all the state-action pair values.
- Given a state and action, our Q-Function will search the Q-table for the corresponding value.
- When the training is done, we have an optimal Q-Function, so an optimal Q-Table.
- And if we have an optimal Q-function, we have an optimal policy, since we know for, each state, the best action to take.

0.0.1 Import the packages

```
[5]: import gymnasium as gym
import numpy as np
import pandas as pd
import matplotlib.pyplot as plt
import random
from tqdm import tqdm
import imageio
```

0.0.2 QAgent Class:

Is initialized with the environment *env* and the necessary hyper-parameters (*alpha*, *gamma*, *epsilon*, etc)

This class implements the most important steps of **Q-Learning** Algorithm: - Q-Table initialization - Q-Table shape=(n states * n actions)

- Epsilon-greedy policy as an acting policy
 - With *probability 1-*: we do exploitation (i.e. our agent selects the action with the highest state-action pair value).

- With *probability*: we do **exploration** (trying a random action).
- Greedy-policy as an updating policy
 - using Bellman equation Q(s,a) + lr/R(s,a) + gamma * max Q(s',a') Q(s,a)

```
[19]: class QAgent:
          def <u>init</u> (self, env, alpha, gamma, max epsilon, min_epsilon, decay_rate):
              self.env = env
              # table with q-values: n_states * n_actions
              self.q_table = np.zeros(shape=(env.observation_space.n, env.
       ⇔action_space.n))
              # hyper-parameters
                                                      # learning rate
              self.alpha = alpha
              self.gamma = gamma
                                                      # discount factor
              self.max_epsilon = max_epsilon
                                                      # Exploration probability at ___
       \hookrightarrowstart
              self.min_epsilon = min_epsilon
                                                      # Minimum exploration_
       \neg probability
              self.decay_rate = decay_rate  # Exponential decay_rate for_
       \rightarrow exploration prob
          # get action using epsilon greedy policy
          def get_action(self, state, epsilon):
              # Randomly generate a number between 0 and 1
              random_num =random.random()
              # if random_num > greater than epsilon --> exploitation
              if random_num > epsilon:
                  # Take the action with the highest value given a state
                  action = np.argmax(self.q_table[state, :])
              # else --> exploration
                  action = self.env.action_space.sample()
              return action
          def update_parameters(self, state, action, reward, next_state):
              # Q-learning formula
              # Update Q(s,a) := Q(s,a) + lr [R(s,a) + gamma * max Q(s',a') - Q(s,a)]
              old_value = self.q_table[state, action]
              next_max = np.max(self.q_table[next_state])
```

```
new_value = old_value + self.alpha * (reward + self.gamma*next_max -__
→old_value)
      # update the q table
      self.q_table[state, action] = new_value
  def sarsa update parameters(self, state, action, reward, next state,
⇔epsilon):
      # Q-learning formula
      # Update Q(s,a) := Q(s,a) + lr [R(s,a) + qamma * Q(s',a') - Q(s,a)]
      old_value = self.q_table[state, action]
      next action = self.get action(next state, epsilon)
      next_value = self.q_table[next_state, next_action]
      new_value = old_value + self.alpha * (reward + self.gamma*next_value -_
→old_value)
      # update the q_table
      self.q_table[state, action] = new_value
      return next_action
```

0.0.3 The training loop:

The training is based on *Temporal Difference (TD) learning* where the Q-Table is updated after each step

- For episode in the total of training episodes:
 - Reduce epsilon (since we need less and less exploration)
 - Reset the environment
 - For step in max timesteps:
 - * Choose the action a using epsilon greedy policy
 - * Take the action (a) and observe the outcome state(s') and reward (r)
 - * Update the Q-value Q(s,a) using Bellman equation Q(s,a) + lr [R(s,a) + gamma * max <math>Q(s',a') Q(s,a)]
 - * If done, finish the episode
 - * Our next state is the new state

```
# Reduce epsilon (because we need less and less exploration)
       epsilon = agent.min_epsilon + (agent.max_epsilon - agent.

→min_epsilon)*np.exp(-agent.decay_rate*episode)
       # Reset the environment
       state, info = env.reset()
      step = 0
       terminated = False
       truncated = False
       done=False
       action = agent.get_action(state, epsilon)
       # repeat
      while not done:
           # Choose the action At using epsilon greedy policy
           #action = agent.get_action(state, epsilon)
           # Take action At and observe Rt+1 and St+1
           # Take the action (a) and observe the outcome state(s') and reward,
\hookrightarrow (r)
           next_state, reward, terminated, truncated, info = env.step(action)
           total_rewards_ep += reward
           total_steps_ep +=1
           if reward == -10:
               total_penalties_ep += 1
           # Update Q(s,a) := Q(s,a) + lr [R(s,a) + gamma * max Q(s',a') - Q(s',a')]
\hookrightarrow Q(s,a)]
           #agent.sarsa update parameters(state, action, reward, next state)
           next action = agent sarsa update parameters(state, action, reward,
→next_state, epsilon)
           # If terminated or truncated finish the episode
           done=terminated or truncated
           if done:
               break
           # Our next state is the new state
           #--- add code here----
           state = next_state
           action = next_action
       episode_rewards.append(total_rewards_ep)
       episode_steps.append(total_steps_ep)
       episode_penalties.append(total_penalties_ep)
```

```
return episode_rewards,episode_steps, episode_penalties
```

0.0.4 Hyper-Parameters

The exploration related hyper-paramters are some of the most important ones. - We need to make sure that our agent **explores enough of the state space** to learn a good value approximation. To do that, we need to have progressive decay of the epsilon. - If you decrease epsilon too fast (too high decay_rate), **you take the risk that your agent will be stuck**, since your agent didn't explore enough of the state space and hence can't solve the problem.

```
[24]: # Training parameters
      n_training_episodes = 10000 # Total training episodes
      alpha= 0.7
                                   # Learning rate
      # Environment parameters
      gamma = 0.95
                                   # Discounting rate
      # Exploration parameters
      max_epsilon = 1.0
                                    # Exploration probability at start
      min epsilon = 0.05
                                    # Minimum exploration probability
                                    # Exponential decay rate for exploration prob
      decay_rate = 0.0005
      # Evaluation parameters
      n eval episodes = 100
                                   # Total number of test episodes
```

0.0.5 Train the Q-Agent on Taxiv3 Environment

```
[25]: env=gym.make("Taxi-v3", render_mode="rgb_array")

agent=QAgent(env, alpha, gamma, max_epsilon, min_epsilon, decay_rate)

episode_rewards,episode_steps, episode_penalties=train(n_training_episodes,u_env,agent)
```

100% | 10000/10000 [00:11<00:00, 897.01it/s]

0.0.6 Plot the training result

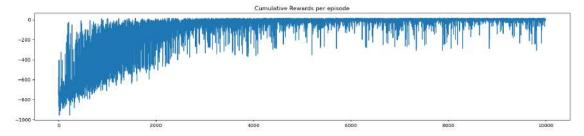
- episode_rewards: the cumulative rewards progression over all episodes (should be increased over time)
- episode_steps: the required step per episode (should be decreased over time)
- episode penalties: the total penalties per episode (should be decreased over time)

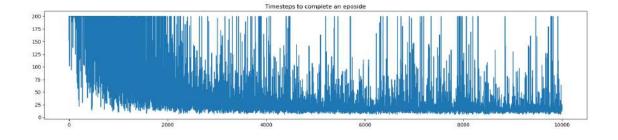
1 SARSA 10000 ep

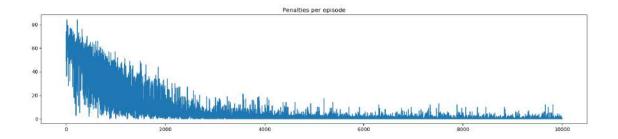
```
fig, ax = plt.subplots(figsize = (20, 4))
   ax.set_title("Cumulative Rewards per episode")
   pd.Series(episode_rewards).plot(kind='line')
   plt.show()

fig, ax = plt.subplots(figsize = (20, 4))
   ax.set_title("Timesteps to complete an eposide")
   pd.Series(episode_steps).plot(kind='line')
   plt.show()

fig, ax = plt.subplots(figsize = (20, 4))
   ax.set_title("Penalties per episode")
   pd.Series(episode_penalties).plot(kind='line')
   plt.show()
```





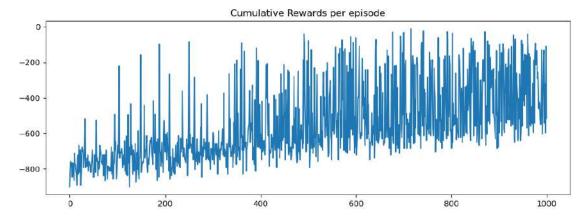


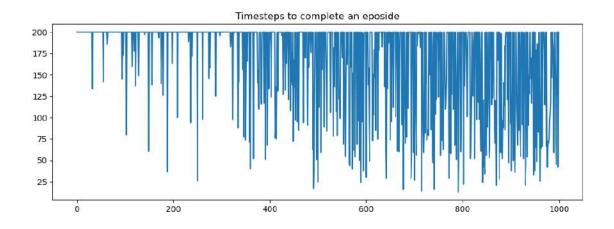
2 SARSA 1000 ep

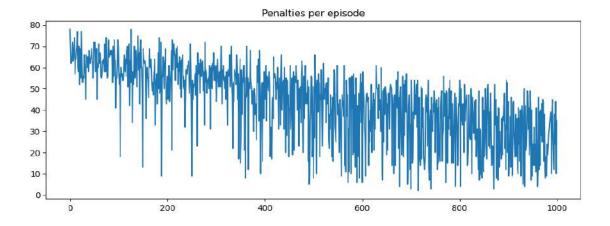
```
fig, ax = plt.subplots(figsize = (12, 4))
    ax.set_title("Cumulative Rewards per episode")
    pd.Series(episode_rewards).plot(kind='line')
    plt.show()

fig, ax = plt.subplots(figsize = (12, 4))
    ax.set_title("Timesteps to complete an eposide")
    pd.Series(episode_steps).plot(kind='line')
    plt.show()

fig, ax = plt.subplots(figsize = (12, 4))
    ax.set_title("Penalties per episode")
    pd.Series(episode_penalties).plot(kind='line')
    plt.show()
```





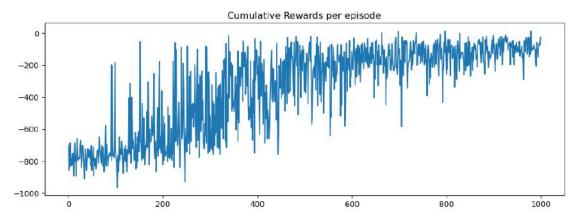


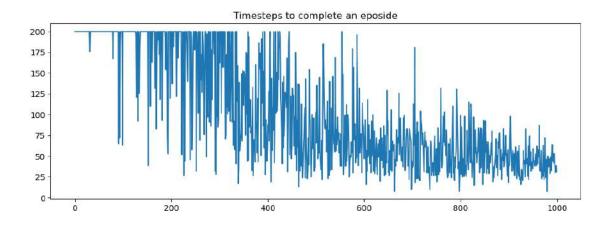
3 Q-Learning 1000 ep

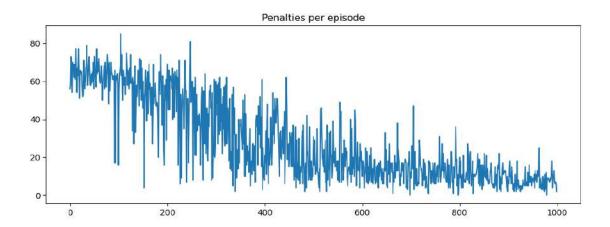
```
[114]: fig, ax = plt.subplots(figsize = (12, 4))
    ax.set_title("Cumulative Rewards per episode")
    pd.Series(episode_rewards).plot(kind='line')
    plt.show()

fig, ax = plt.subplots(figsize = (12, 4))
    ax.set_title("Timesteps to complete an eposide")
    pd.Series(episode_steps).plot(kind='line')
    plt.show()

fig, ax = plt.subplots(figsize = (12, 4))
    ax.set_title("Penalties per episode")
    pd.Series(episode_penalties).plot(kind='line')
    plt.show()
```







3.0.1 Evaluate the QAgent after training

```
[12]: def evaluate_agent(env,agent, n_eval_episodes):

"""

Evaluate the agent for ``n_eval_episodes`` episodes and returns average

reward and std of reward.

:param agent: the gent within its evaluation environment and Qtable

:param max_steps: Maximum number of steps per episode

:param n_eval_episodes: Number of episode to evaluate the agent

"""

episode_rewards = []

episode_penalties = []

episode_steps = []

for episode in tqdm(range(n_eval_episodes)):

state, info= env.reset()

step = 0
```

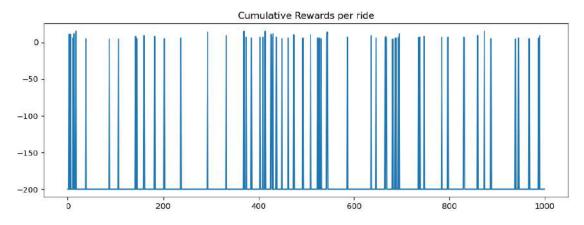
```
truncated = False
              terminated = False
              total_rewards_ep = 0
              total_penalties_ep=0
              total_steps_ep=0
              done= False
              while not done:
                  # Take the action (index) that have the maximum expected future_{\sqcup}
       ⇔reward given that state
                  # we use epsilon=0 for exploitation
                  action = agent.get_action(state,0)
                  next_state, reward, terminated, truncated, info = env.step(action)
                  total_rewards_ep += reward
                  total_steps_ep+=1
                  if reward == -10:
                      total_penalties_ep += 1
                  done=terminated or truncated
                  state = next_state
              episode_rewards.append(total_rewards_ep)
              episode_steps.append(total_steps_ep)
              episode_penalties.append(total_penalties_ep)
          mean_reward = np.mean(episode_rewards)
          std_reward = np.std(episode_rewards)
          return mean_reward, std_reward, episode_rewards,episode_steps,__
       →episode_penalties
[13]: mean_reward, std_reward,episode_rewards,episode_steps,__

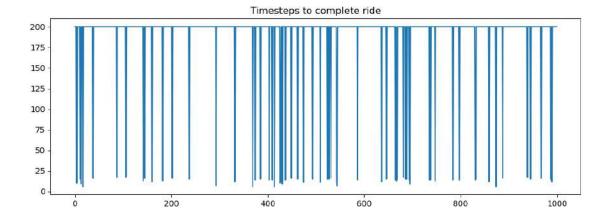
→episode_penalties=evaluate_agent(env,agent, 1000)
      print(f"Mean_reward={mean_reward:.2f} +/- {std_reward:.2f}")
                | 1000/1000 [00:03<00:00, 279.70it/s]
     100%
     Mean reward=-187.11 + /- 50.13
[14]: fig, ax = plt.subplots(figsize = (12, 4))
      ax.set_title("Cumulative Rewards per ride")
      pd.Series(episode_rewards).plot(kind='line')
```

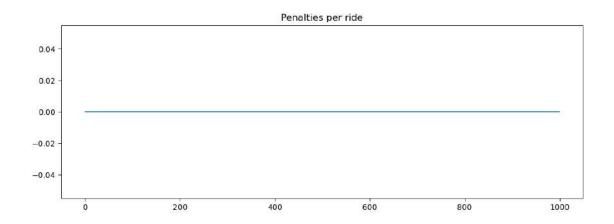
```
plt.show()

fig, ax = plt.subplots(figsize = (12, 4))
ax.set_title("Timesteps to complete ride")
pd.Series(episode_steps).plot(kind='line')
plt.show()

fig, ax = plt.subplots(figsize = (12, 4))
ax.set_title("Penalties per ride")
pd.Series(episode_penalties).plot(kind='line')
plt.show()
```



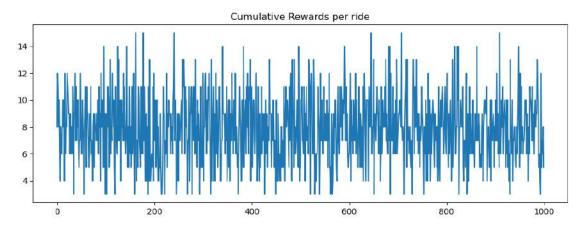


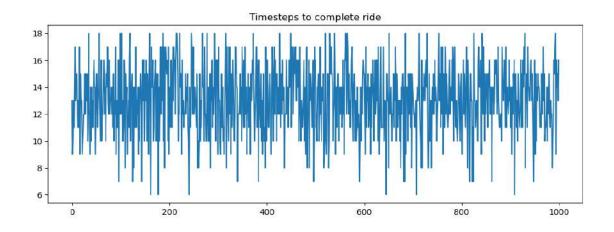


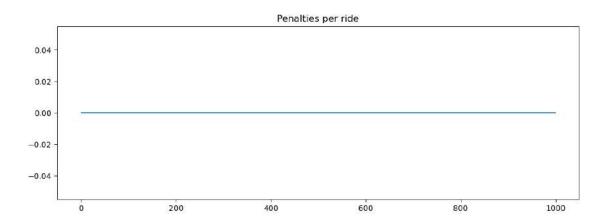
```
[117]: fig, ax = plt.subplots(figsize = (12, 4))
    ax.set_title("Cumulative Rewards per ride")
    pd.Series(episode_rewards).plot(kind='line')
    plt.show()

fig, ax = plt.subplots(figsize = (12, 4))
    ax.set_title("Timesteps to complete ride")
    pd.Series(episode_steps).plot(kind='line')
    plt.show()

fig, ax = plt.subplots(figsize = (12, 4))
    ax.set_title("Penalties per ride")
    pd.Series(episode_penalties).plot(kind='line')
    plt.show()
```







3.0.2 Record a simulation as a video

```
while not (terminated or truncated):
    # Take the action (index) that have the maximum expected future reward_
    given that state
    action = np.argmax(agent.q_table[state][:])
    state, reward, terminated, truncated, info = env.step(action) # We_
    directly put next_state = state for recording logic
    img = env.render()
    images.append(img)
    imageio.mimsave(out_directory, [np.array(img) for i, img in_
    enumerate(images)], fps=fps)
```

IMAGEIO FFMPEG_WRITER WARNING: input image is not divisible by macro_block_size=16, resizing from (550, 350) to (560, 352) to ensure video compatibility with most codecs and players. To prevent resizing, make your input image divisible by the macro_block_size or set the macro_block_size to 1 (risking incompatibility).

[swscaler @ 0x7f952e2d8000] Warning: data is not aligned! This can lead to a speed loss

[120]: <IPython.core.display.HTML object>

wjbjj4om6

December 17, 2023

```
[2]: !pip install -r https://raw.githubusercontent.com/malkiAbdelhamid/
      -Advanced-Deep-Learning-2023-2024-esisba/master/lab1_QLearning/
      →requirements_lab1.txt
    Requirement already satisfied: numpy in /usr/local/lib/python3.10/dist-packages
    (from -r https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
    Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 1))
    (1.23.5)
    Collecting gymnasium (from -r
    https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
    Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 2))
      Downloading gymnasium-0.29.1-py3-none-any.whl (953 kB)
                              953.9/953.9 kB
    17.2 MB/s eta 0:00:00
    Requirement already satisfied: pygame in /usr/local/lib/python3.10/dist-
    packages (from -r https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-
    Deep-Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line
    3)) (2.5.2)
    Collecting jupyter (from -r
    https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
    Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
      Downloading jupyter-1.0.0-py2.py3-none-any.whl (2.7 kB)
    Requirement already satisfied: pandas in /usr/local/lib/python3.10/dist-packages
    (from -r https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
    Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 5))
    (1.5.3)
    Requirement already satisfied: matplotlib in /usr/local/lib/python3.10/dist-
    packages (from -r https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-
    Deep-Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line
    6)) (3.7.1)
    Requirement already satisfied: tqdm in /usr/local/lib/python3.10/dist-packages
    (from -r https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
    Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 7))
    (4.66.1)
    Requirement already satisfied: imageio in /usr/local/lib/python3.10/dist-
    packages (from -r https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-
    Deep-Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line
    8)) (2.31.5)
```

```
Requirement already satisfied: cloudpickle>=1.2.0 in
/usr/local/lib/python3.10/dist-packages (from gymnasium->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 2))
(2.2.1)
Requirement already satisfied: typing-extensions>=4.3.0 in
/usr/local/lib/python3.10/dist-packages (from gymnasium->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 2))
(4.5.0)
Collecting farama-notifications>=0.0.1 (from gymnasium->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 2))
  Downloading Farama_Notifications-0.0.4-py3-none-any.whl (2.5 kB)
Requirement already satisfied: notebook in /usr/local/lib/python3.10/dist-
packages (from jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(6.5.5)
Collecting qtconsole (from jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1 QLearning/requirements lab1.txt (line 4))
 Downloading qtconsole-5.4.4-py3-none-any.whl (121 kB)
                          121.9/121.9 kB
13.3 MB/s eta 0:00:00
Requirement already satisfied: jupyter-console in
/usr/local/lib/python3.10/dist-packages (from jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(6.1.0)
Requirement already satisfied: nbconvert in /usr/local/lib/python3.10/dist-
packages (from jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
Requirement already satisfied: ipykernel in /usr/local/lib/python3.10/dist-
packages (from jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(5.5.6)
Requirement already satisfied: ipywidgets in /usr/local/lib/python3.10/dist-
packages (from jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(7.7.1)
Requirement already satisfied: python-dateutil>=2.8.1 in
/usr/local/lib/python3.10/dist-packages (from pandas->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
```

```
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 5))
(2.8.2)
Requirement already satisfied: pytz>=2020.1 in /usr/local/lib/python3.10/dist-
packages (from pandas->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 5))
(2023.3.post1)
Requirement already satisfied: contourpy>=1.0.1 in
/usr/local/lib/python3.10/dist-packages (from matplotlib->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 6))
Requirement already satisfied: cycler>=0.10 in /usr/local/lib/python3.10/dist-
packages (from matplotlib->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 6))
(0.12.0)
Requirement already satisfied: fonttools>=4.22.0 in
/usr/local/lib/python3.10/dist-packages (from matplotlib->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 6))
(4.43.1)
Requirement already satisfied: kiwisolver>=1.0.1 in
/usr/local/lib/python3.10/dist-packages (from matplotlib->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 6))
(1.4.5)
Requirement already satisfied: packaging>=20.0 in
/usr/local/lib/python3.10/dist-packages (from matplotlib->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 6))
(23.2)
Requirement already satisfied: pillow>=6.2.0 in /usr/local/lib/python3.10/dist-
packages (from matplotlib->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 6))
Requirement already satisfied: pyparsing>=2.3.1 in
/usr/local/lib/python3.10/dist-packages (from matplotlib->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 6))
(3.1.1)
Requirement already satisfied: imageio-ffmpeg in /usr/local/lib/python3.10/dist-
packages (from imageio->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 8))
(0.4.9)
Requirement already satisfied: psutil in /usr/local/lib/python3.10/dist-packages
```

```
(from imageio->-r https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-
Deep-Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line
8)) (5.9.5)
Collecting av (from imageio->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 8))
av-10.0.0-cp310-cp310-manylinux_2_17_x86_64.manylinux2014_x86_64.whl (31.0 MB)
                           31.0/31.0 MB
61.5 MB/s eta 0:00:00
Requirement already satisfied: six>=1.5 in /usr/local/lib/python3.10/dist-
packages (from python-dateutil>=2.8.1->pandas->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 5))
Requirement already satisfied: setuptools in /usr/local/lib/python3.10/dist-
packages (from imageio-ffmpeg->imageio->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 8))
(67.7.2)
Requirement already satisfied: ipython-genutils in
/usr/local/lib/python3.10/dist-packages (from ipykernel->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(0.2.0)
Requirement already satisfied: ipython>=5.0.0 in /usr/local/lib/python3.10/dist-
packages (from ipykernel->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(7.34.0)
Requirement already satisfied: traitlets>=4.1.0 in
/usr/local/lib/python3.10/dist-packages (from ipykernel->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(5.7.1)
Requirement already satisfied: jupyter-client in /usr/local/lib/python3.10/dist-
packages (from ipykernel->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
Requirement already satisfied: tornado>=4.2 in /usr/local/lib/python3.10/dist-
packages (from ipykernel->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(6.3.2)
Requirement already satisfied: widgetsnbextension~=3.6.0 in
/usr/local/lib/python3.10/dist-packages (from ipywidgets->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
```

```
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(3.6.6)
Requirement already satisfied: jupyterlab-widgets>=1.0.0 in
/usr/local/lib/python3.10/dist-packages (from ipywidgets->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(3.0.9)
Requirement already satisfied: prompt-toolkit!=3.0.0,!=3.0.1,<3.1.0,>=2.0.0 in
/usr/local/lib/python3.10/dist-packages (from jupyter-console->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
Requirement already satisfied: pygments in /usr/local/lib/python3.10/dist-
packages (from jupyter-console->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(2.16.1)
Requirement already satisfied: lxml in /usr/local/lib/python3.10/dist-packages
(from nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(4.9.3)
Requirement already satisfied: beautifulsoup4 in /usr/local/lib/python3.10/dist-
packages (from nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(4.11.2)
Requirement already satisfied: bleach in /usr/local/lib/python3.10/dist-packages
(from nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
Requirement already satisfied: defusedxml in /usr/local/lib/python3.10/dist-
packages (from nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
Requirement already satisfied: entrypoints>=0.2.2 in
/usr/local/lib/python3.10/dist-packages (from nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(0.4)
Requirement already satisfied: jinja2>=3.0 in /usr/local/lib/python3.10/dist-
packages (from nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(3.1.2)
Requirement already satisfied: jupyter-core>=4.7 in
```

```
/usr/local/lib/python3.10/dist-packages (from nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(5.3.2)
Requirement already satisfied: jupyterlab-pygments in
/usr/local/lib/python3.10/dist-packages (from nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(0.2.2)
Requirement already satisfied: MarkupSafe>=2.0 in
/usr/local/lib/python3.10/dist-packages (from nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(2.1.3)
Requirement already satisfied: mistune<2,>=0.8.1 in
/usr/local/lib/python3.10/dist-packages (from nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(0.8.4)
Requirement already satisfied: nbclient>=0.5.0 in
/usr/local/lib/python3.10/dist-packages (from nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(0.8.0)
Requirement already satisfied: nbformat>=5.1 in /usr/local/lib/python3.10/dist-
packages (from nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(5.9.2)
Requirement already satisfied: pandocfilters>=1.4.1 in
/usr/local/lib/python3.10/dist-packages (from nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(1.5.0)
Requirement already satisfied: tinycss2 in /usr/local/lib/python3.10/dist-
packages (from nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(1.2.1)
Requirement already satisfied: pyzmq<25,>=17 in /usr/local/lib/python3.10/dist-
packages (from notebook->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(23.2.1)
Requirement already satisfied: argon2-cffi in /usr/local/lib/python3.10/dist-
packages (from notebook->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
```

```
(23.1.0)
Requirement already satisfied: nest-asyncio>=1.5 in
/usr/local/lib/python3.10/dist-packages (from notebook->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1 QLearning/requirements lab1.txt (line 4))
(1.5.8)
Requirement already satisfied: Send2Trash>=1.8.0 in
/usr/local/lib/python3.10/dist-packages (from notebook->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(1.8.2)
Requirement already satisfied: terminado>=0.8.3 in
/usr/local/lib/python3.10/dist-packages (from notebook->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(0.17.1)
Requirement already satisfied: prometheus-client in
/usr/local/lib/python3.10/dist-packages (from notebook->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
Requirement already satisfied: nbclassic>=0.4.7 in
/usr/local/lib/python3.10/dist-packages (from notebook->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(1.0.0)
Collecting qtpy>=2.4.0 (from qtconsole->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
  Downloading QtPy-2.4.0-py3-none-any.whl (93 kB)
                           93.4/93.4 kB
12.3 MB/s eta 0:00:00
Collecting jedi>=0.16 (from ipython>=5.0.0->ipykernel->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1 QLearning/requirements lab1.txt (line 4))
 Downloading jedi-0.19.1-py2.py3-none-any.whl (1.6 MB)
                           1.6/1.6 MB
98.1 MB/s eta 0:00:00
Requirement already satisfied: decorator in
/usr/local/lib/python3.10/dist-packages (from
ipython>=5.0.0->ipykernel->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(4.4.2)
Requirement already satisfied: pickleshare in /usr/local/lib/python3.10/dist-
packages (from ipython>=5.0.0->ipykernel->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
```

```
(0.7.5)
Requirement already satisfied: backcall in /usr/local/lib/python3.10/dist-
packages (from ipython>=5.0.0->ipykernel->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(0.2.0)
Requirement already satisfied: matplotlib-inline in
/usr/local/lib/python3.10/dist-packages (from
ipython>=5.0.0->ipykernel->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
Requirement already satisfied: pexpect>4.3 in /usr/local/lib/python3.10/dist-
packages (from ipython>=5.0.0->ipykernel->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(4.8.0)
Requirement already satisfied: platformdirs>=2.5 in
/usr/local/lib/python3.10/dist-packages (from jupyter-
core>=4.7->nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1 QLearning/requirements lab1.txt (line 4))
Requirement already satisfied: jupyter-server>=1.8 in
/usr/local/lib/python3.10/dist-packages (from
nbclassic>=0.4.7->notebook->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
Requirement already satisfied: notebook-shim>=0.2.3 in
/usr/local/lib/python3.10/dist-packages (from
nbclassic>=0.4.7->notebook->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(0.2.3)
Requirement already satisfied: fastjsonschema in /usr/local/lib/python3.10/dist-
packages (from nbformat>=5.1->nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(2.18.1)
Requirement already satisfied: jsonschema>=2.6 in
/usr/local/lib/python3.10/dist-packages (from
nbformat>=5.1->nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
Requirement already satisfied: wcwidth in /usr/local/lib/python3.10/dist-
packages (from prompt-toolkit!=3.0.0,!=3.0.1,<3.1.0,>=2.0.0->jupyter-
```

```
console->jupyter->-r https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-
Deep-Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line
4)) (0.2.8)
Requirement already satisfied: ptyprocess in /usr/local/lib/python3.10/dist-
packages (from terminado>=0.8.3->notebook->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1 QLearning/requirements lab1.txt (line 4))
(0.7.0)
Requirement already satisfied: argon2-cffi-bindings in
/usr/local/lib/python3.10/dist-packages (from argon2-cffi->notebook->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(21.2.0)
Requirement already satisfied: soupsieve>1.2 in /usr/local/lib/python3.10/dist-
packages (from beautifulsoup4->nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(2.5)
Requirement already satisfied: webencodings in /usr/local/lib/python3.10/dist-
packages (from bleach->nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
Requirement already satisfied: parso<0.9.0,>=0.8.3 in
/usr/local/lib/python3.10/dist-packages (from
jedi>=0.16->ipython>=5.0.0->ipykernel->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
Requirement already satisfied: attrs>=22.2.0 in /usr/local/lib/python3.10/dist-
packages (from jsonschema>=2.6->nbformat>=5.1->nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(23.1.0)
Requirement already satisfied: jsonschema-specifications>=2023.03.6 in
/usr/local/lib/python3.10/dist-packages (from
jsonschema>=2.6->nbformat>=5.1->nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(2023.7.1)
Requirement already satisfied: referencing>=0.28.4 in
/usr/local/lib/python3.10/dist-packages (from
jsonschema>=2.6->nbformat>=5.1->nbconvert->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
Requirement already satisfied: rpds-py>=0.7.1 in /usr/local/lib/python3.10/dist-
packages (from jsonschema>=2.6->nbformat>=5.1->nbconvert->jupyter->-r
```

```
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(0.10.4)
Requirement already satisfied: anyio<4,>=3.1.0 in
/usr/local/lib/python3.10/dist-packages (from jupyter-
server>=1.8->nbclassic>=0.4.7->notebook->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(3.7.1)
Requirement already satisfied: websocket-client in
/usr/local/lib/python3.10/dist-packages (from jupyter-
server>=1.8->nbclassic>=0.4.7->notebook->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
Requirement already satisfied: cffi>=1.0.1 in /usr/local/lib/python3.10/dist-
packages (from argon2-cffi-bindings->argon2-cffi->notebook->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(1.16.0)
Requirement already satisfied: idna>=2.8 in /usr/local/lib/python3.10/dist-
packages (from anyio<4,>=3.1.0->jupyter-
server>=1.8->nbclassic>=0.4.7->notebook->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(3.4)
Requirement already satisfied: sniffio>=1.1 in /usr/local/lib/python3.10/dist-
packages (from anyio<4,>=3.1.0->jupyter-
server>=1.8->nbclassic>=0.4.7->notebook->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
Requirement already satisfied: exceptiongroup in /usr/local/lib/python3.10/dist-
packages (from anyio<4,>=3.1.0->jupyter-
server>=1.8->nbclassic>=0.4.7->notebook->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1 QLearning/requirements lab1.txt (line 4))
Requirement already satisfied: pycparser in /usr/local/lib/python3.10/dist-
packages (from cffi>=1.0.1->argon2-cffi-
bindings->argon2-cffi->notebook->jupyter->-r
https://raw.githubusercontent.com/malkiAbdelhamid/Advanced-Deep-
Learning-2023-2024-esisba/master/lab1_QLearning/requirements_lab1.txt (line 4))
(2.21)
Installing collected packages: farama-notifications, av, qtpy, jedi, gymnasium,
qtconsole, jupyter
Successfully installed av-10.0.0 farama-notifications-0.0.4 gymnasium-0.29.1
jedi-0.19.1 jupyter-1.0.0 qtconsole-5.4.4 qtpy-2.4.0
```

Now that we studied the Q-Learning algorithm, let's implement it from scratch and train our Q-Learning agent in Taxi-3 environment:

Q-Learning is the RL algorithm that:

- Trains *Q-Function*, an **action-value function** that encoded, in internal memory, by a *Q-table* that contains all the state-action pair values.
- Given a state and action, our Q-Function will search the Q-table for the corresponding value.
- When the training is done, we have an optimal Q-Function, so an optimal Q-Table.
- And if we have an optimal Q-function, we have an optimal policy, since we know for, each state, the best action to take.

0.0.1 Import the packages

```
[3]: import gymnasium as gym
import numpy as np
import pandas as pd
import matplotlib.pyplot as plt
import random
from tqdm import tqdm
import imageio
```

0.0.2 QAgent Class:

Is initialized with the environment env and the necessary hyper-parameters (alpha, gamma, epsilon, etc)

This class implements the most important steps of **Q-Learning** Algorithm: - Q-Table initialization - Q-Table shape=(n_states * n_actions)

- Epsilon-greedy policy as an acting policy
 - With *probability 1-*: we do exploitation (i.e. our agent selects the action with the highest state-action pair value).
 - With *probability*: we do **exploration** (trying a random action).
- Greedy-policy as an updating policy
 - using Bellman equation Q(s,a) + lr/R(s,a) + gamma * max Q(s',a') Q(s,a)


```
# hyper-parameters
       self.alpha = alpha
                                                # learning rate
       self.gamma = gamma
                                                # discount factor
                                                # Exploration probability at ...
       self.max_epsilon = max_epsilon
\hookrightarrowstart
      self.min_epsilon = min_epsilon
                                                # Minimum exploration
→probability
                                               # Exponential decay rate for
      self.decay_rate = decay_rate
\rightarrow exploration prob
  # get action using epsilon greedy policy
  def get_action(self, state, epsilon):
       # Randomly generate a number between 0 and 1
      random_num =random.random()
       # if random_num > greater than epsilon --> exploitation
      if random_num > epsilon:
          # Take the action with the highest value given a state
          action = np.argmax(self.q_table[state, :])
       # else --> exploration
       else:
           action = self.env.action_space.sample()
      return action
  def update_parameters(self, state, action, reward, next_state):
       # Q-learning formula
       # Update Q(s,a) := Q(s,a) + lr [R(s,a) + qamma * max Q(s',a') - Q(s,a)]
      old_value = self.q_table[state, action]
      next_max = np.argmax(self.q_table[next_state, :])
      new_value = old_value + self.alpha * (reward + self.gamma*next_max -_
→old_value)
       # update the q_table
      self.q_table[state, action] = new_value
```

0.0.3 The training loop:

The training is based on *Temporal Difference (TD) learning* where the Q-Table is updated after each step

- For episode in the total of training episodes:
 - Reduce epsilon (since we need less and less exploration)

- Reset the environment
- For step in max timesteps:
 - * Choose the action a using epsilon greedy policy
 - * Take the action (a) and observe the outcome state(s') and reward (r)
 - * Update the Q-value Q(s,a) using Bellman equation Q(s,a) + lr [R(s,a) + gamma * max <math>Q(s',a') Q(s,a)]
 - * If done, finish the episode
 - * Our next state is the new state

```
[5]: def train(n_training_episodes, env, agent):
         episode_rewards = []
         episode_penalties = []
         episode_steps = []
         for episode in tqdm(range(n_training_episodes)):
             total_rewards_ep = 0
             total_penalties_ep=0
             total_steps_ep=0
             # Reduce epsilon (because we need less and less exploration)
             epsilon = agent.min_epsilon + (agent.max_epsilon - agent.
      →min_epsilon)*np.exp(-agent.decay_rate*episode)
             # Reset the environment
             state, info = env.reset()
             step = 0
             terminated = False
             truncated = False
             done=False
             # repeat
             while not done:
                 # Choose the action At using epsilon greedy policy
                 action = agent.get_action(state, epsilon)
                 # Take action At and observe Rt+1 and St+1
                 # Take the action (a) and observe the outcome state(s') and reward \Box
      \hookrightarrow (r)
                 next_state, reward, terminated, truncated, info = env.step(action)
                 total_rewards_ep += reward
                 total steps ep +=1
                 if reward == -10:
                     total_penalties_ep += 1
```

```
# Update Q(s,a):= Q(s,a) + lr [R(s,a) + gamma * max Q(s',a') -
agent.update_parameters(state, action, reward, next_state)

# If terminated or truncated finish the episode
done=terminated or truncated

if done:
    break

# Our next state is the new state
#--- add code here------
state = next_state

episode_rewards.append(total_rewards_ep)
episode_steps.append(total_steps_ep)
episode_penalties.append(total_penalties_ep)

return episode_rewards,episode_steps, episode_penalties
```

0.0.4 Hyper-Parameters

The exploration related hyper-paramters are some of the most important ones. - We need to make sure that our agent **explores enough of the state space** to learn a good value approximation. To do that, we need to have progressive decay of the epsilon. - If you decrease epsilon too fast (too high decay_rate), **you take the risk that your agent will be stuck**, since your agent didn't explore enough of the state space and hence can't solve the problem.

```
[6]: # Training parameters
     n_training_episodes = 1000 # Total training episodes
     alpha= 0.7
                                  # Learning rate
     # Environment parameters
     gamma = 0.95
                                  # Discounting rate
     # Exploration parameters
     max epsilon = 1.0
                                   # Exploration probability at start
     min_epsilon = 0.05
                                   # Minimum exploration probability
     decay_rate = 0.0005
                                   # Exponential decay rate for exploration prob
     # Evaluation parameters
     n_{eval_{episodes}} = 100
                                  # Total number of test episodes
```

0.0.5 Train the Q-Agent on Taxiv3 Environment

```
[7]: env=gym.make("Taxi-v3", render_mode="rgb_array")

agent=QAgent(env, alpha, gamma, max_epsilon, min_epsilon, decay_rate)

episode_rewards,episode_steps, episode_penalties=train(n_training_episodes,u_env,agent)
```

100% | 1000/1000 [00:05<00:00, 177.34it/s]

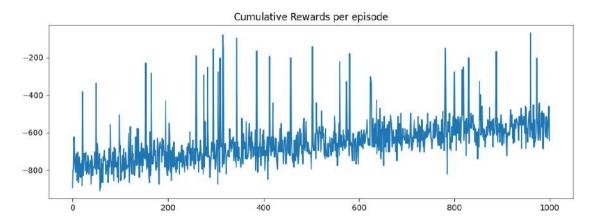
0.0.6 Plot the training result

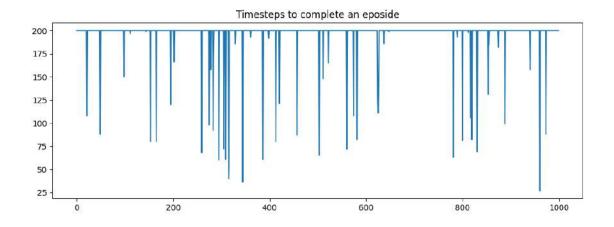
- episode_rewards: the cumulative rewards progression over all episodes (should be increased over time)
- episode_steps: the required step per episode (should be decreased over time)
- episode_penalties: the total penalties per episode (should be decreased over time)

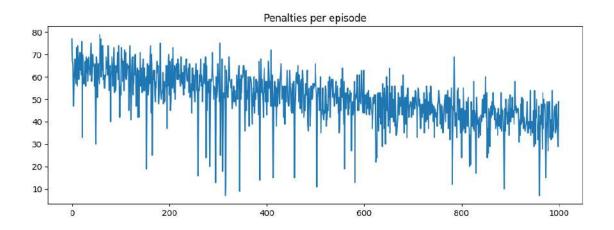
```
[8]: fig, ax = plt.subplots(figsize = (12, 4))
    ax.set_title("Cumulative Rewards per episode")
    pd.Series(episode_rewards).plot(kind='line')
    plt.show()

fig, ax = plt.subplots(figsize = (12, 4))
    ax.set_title("Timesteps to complete an eposide")
    pd.Series(episode_steps).plot(kind='line')
    plt.show()

fig, ax = plt.subplots(figsize = (12, 4))
    ax.set_title("Penalties per episode")
    pd.Series(episode_penalties).plot(kind='line')
    plt.show()
```







0.0.7 Evaluate the QAgent after training

```
[9]: import pickle
file = open('trained_taxi', 'wb')

# dump information to that file
pickle.dump(agent, file)

# close the file
file.close()
```

```
[10]: def evaluate_agent(env,agent, n_eval_episodes):
    """

Evaluate the agent for ``n_eval_episodes`` episodes and returns average
    →reward and std of reward.

:param agent: the gent within its evaluation environment and Qtable
:param max_steps: Maximum number of steps per episode
```

```
:param n_eval_episodes: Number of episode to evaluate the agent
episode_rewards = []
episode_penalties = []
episode_steps = []
for episode in tqdm(range(n_eval_episodes)):
  state, info= env.reset()
  step = 0
  truncated = False
  terminated = False
  total_rewards_ep = 0
  total_penalties_ep=0
  total_steps_ep=0
  done= False
  while not done:
    # Take the action (index) that have the maximum expected future reward _{f L}
⇒given that state
    # we use epsilon=0 for exploitation
    action = agent.get_action(state,0)
    next_state, reward, terminated, truncated, info = env.step(action)
    total_rewards_ep += reward
    total_steps_ep+=1
    if reward == -10:
          total_penalties_ep += 1
    done=terminated or truncated
    if done:
      break
    state = next_state
  episode_rewards.append(total_rewards_ep)
  episode_steps.append(total_steps_ep)
  episode_penalties.append(total_penalties_ep)
mean_reward = np.mean(episode_rewards)
std_reward = np.std(episode_rewards)
return mean reward, std reward, episode rewards, episode steps, __
→episode_penalties
```

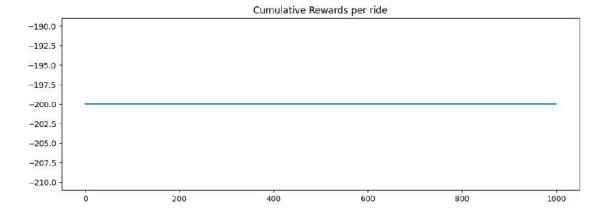
```
mean_reward, std_reward,episode_rewards,episode_steps,uepisode_penalties=evaluate_agent(env,agent, 1000)
print(f"Mean_reward={mean_reward:.2f} +/- {std_reward:.2f}")

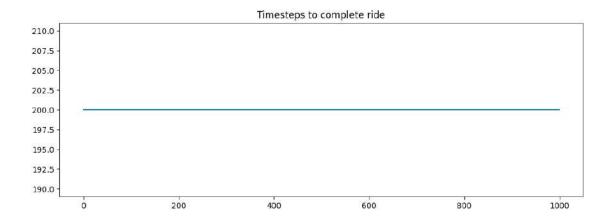
100%| | 1000/1000 [00:04<00:00, 237.69it/s]
Mean_reward=-200.00 +/- 0.00
```

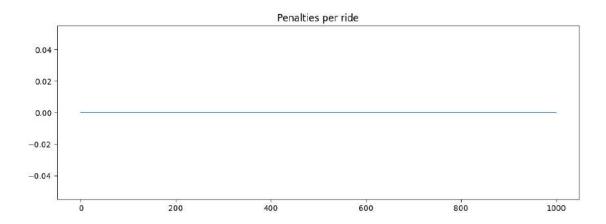
```
fig, ax = plt.subplots(figsize = (12, 4))
   ax.set_title("Cumulative Rewards per ride")
   pd.Series(episode_rewards).plot(kind='line')
   plt.show()

fig, ax = plt.subplots(figsize = (12, 4))
   ax.set_title("Timesteps to complete ride")
   pd.Series(episode_steps).plot(kind='line')
   plt.show()

fig, ax = plt.subplots(figsize = (12, 4))
   ax.set_title("Penalties per ride")
   pd.Series(episode_penalties).plot(kind='line')
   plt.show()
```







0.0.8 Record a simulation as a video

```
while not (terminated or truncated):
    # Take the action (index) that have the maximum expected future reward_
    given that state
    action = np.argmax(agent.q_table[state][:])
    state, reward, terminated, truncated, info = env.step(action) # We directly_
    put next_state = state for recording logic
    img = env.render()
    images.append(img)
imageio.mimsave(out_directory, [np.array(img) for i, img in_
    enumerate(images)], fps=fps)
```

WARNING: imageio_ffmpeg:IMAGEIO FFMPEG_WRITER WARNING: input image is not divisible by macro_block_size=16, resizing from (550, 350) to (560, 352) to ensure video compatibility with most codecs and players. To prevent resizing, make your input image divisible by the macro_block_size or set the macro_block_size to 1 (risking incompatibility).

[14]: <IPython.core.display.HTML object>

xj4nrszcf

December 17, 2023

0.1 ## Deep Q-Network (DQN) Vs Deep Q-Network without Target

In this notebook, you will implement two versions of Deep Q-Learning agent: - DQN with a fixed Target network - DQN without Target network

The two agents will be trained with OpenAI Gym's CartPole_v1 environment.

0.1.1 Import the Necessary Packages

```
[1]: import gym
import numpy as np
import pandas as pd
import matplotlib.pyplot as plt
import random
from tqdm import tqdm
import imageio
from collections import deque, namedtuple

import torch
import torch.nn as nn
import torch.nn.functional as F
import torch.optim as optim
```

0.1.2 Define some hyperparameter

```
[2]: BUFFER_SIZE = int(1e5)  # replay buffer size

BATCH_SIZE = 64  # minibatch size

GAMMA = 0.99  # discount factor

TAU = 1e-3  # for soft update of target parameters

LR = 5e-4  # learning rate

UPDATE_EVERY = 4  # how often to update the network
```

/usr/local/lib/python3.10/dist-packages/ipykernel/ipkernel.py:283:
DeprecationWarning: `should_run_async` will not call `transform_cell`
automatically in the future. Please pass the result to `transformed_cell`
argument and any exception that happen during thetransform in
`preprocessing_exc_tuple` in IPython 7.17 and above.
and should_run_async(code)

```
[3]: device = torch.device("cuda:0" if torch.cuda.is_available() else "cpu")
```

0.1.3 Define Neural Network Architecture.

Since CartPole_v1 environment is sort of simple envs, we don't need complicated architecture. We just need non-linear function approximator that maps from state to action.

```
[4]: class QNetwork(nn.Module):
         def __init__(self, state_shape, action_space_size, seed):
             """Initialize parameters and build model.
             Params
             _____
                 state_shape (int): Dimension of each state
                 action_space_size (int): Dimension of each action
                 seed (int): Random seed
             super(QNetwork, self).__init__()
             self.seed = torch.manual_seed(seed)
             self.fc1 = nn.Linear(state_shape, 64)
             self.fc2 = nn.Linear(64, 64)
             self.fc3 = nn.Linear(64, action_space_size)
         def forward(self, state):
             """Build a network that maps state -> action values."""
             x = self.fc1(state)
             x = F.relu(x)
             x = self.fc2(x)
             x = F.relu(x)
             x=self.fc3(x)
             return x
```

0.1.4 Define Replay Buffer

0.1.5 Experience Replay

To perform experience replay the authors store the agent's experiences e_t as represented by the tuple

$$e_t = (s_t, a_t, r_t, s_{t+1})$$

consisting of the observed state in period t, the reward received in period t, the action taken in period t, and the resulting state in period t + 1. The dataset of agent experiences at period t consists of the set of past experiences.

$$D_t = \{e1, e2, ..., e_t\}$$

Depending on the task it may note be feasible for the agent to store the entire history of past experiences.

During learning Q-learning updates are computed based on samples (or minibatches) of experience (s, a, r, s'), drawn uniformly at random from the pool of stored samples D_t .

The following is my Python implementation of these ideas.

```
[5]: class ReplayBuffer:
         """Fixed-size buffer to store experience tuples."""
         def __init__(self, buffer_size, batch_size, seed):
             """Initialize a ReplayBuffer object.
             Params
             _____
                 buffer_size (int): maximum size of buffer
                 batch_size (int): size of each training batch
                 seed (int): random seed
             self.memory = deque(maxlen=buffer_size)
             self.batch_size = batch_size
             self.experience = namedtuple("Experience", field_names=["state",__

¬"action", "reward", "next_state", "done"])
             self.seed = random.seed(seed)
         def add(self, state, action, reward, next state, done):
             """Add a new experience to memory."""
             e = self.experience(state, action, reward, next_state, done)
             self.memory.append(e)
         def sample(self):
             """Randomly sample a batch of experiences from memory."""
             experiences = random.sample(self.memory, k=self.batch_size)
             states = torch.from_numpy(np.vstack([e.state for e in experiences if e_
      →is not None])).float().to(device)
             actions = torch.from_numpy(np.vstack([e.action for e in experiences ifu
      →e is not None])).long().to(device)
             rewards = torch.from_numpy(np.vstack([e.reward for e in experiences ifu
      →e is not None])).float().to(device)
             next_states = torch.from_numpy(np.vstack([e.next_state for e in_
      ⇔experiences if e is not None])).float().to(device)
             dones = torch.from_numpy(np.vstack([e.done for e in experiences if e isu
      anot None]).astype(np.uint8)).float().to(device)
             return (states, actions, rewards, next_states, dones)
```

```
def __len__(self):
    """Return the current size of internal memory."""
    return len(self.memory)
```

0.1.6 Define the Deep QLearning Agent

The Deep Q-learning update at iteration i uses the following loss function

$$\mathcal{L}_i(\theta_i) = \mathbb{E}_{(s,a,r,s') \sim U(D)} \Bigg[\bigg(r + \gamma \max_{a'} Q\big(s',a';\theta_i^-\big) - Q\big(s,a;\theta_i\big) \bigg)^2 \Bigg]$$

where γ is the discount factor determining the agent's horizon, θ_i are the parameters of the Q-network at iteration i and θ_i^- are the Q-network parameters used to compute the target at iteration i. The target network parameters θ_i^- are only updated with the Q-network parameters θ_i every C steps and are held fixed between individual updates.

```
[6]: class DQAgent():
         """Interacts with and learns from the environment."""
         def __init__(self, state_shape, action_space_size, seed):
             """Initialize an Agent object.
             Params
             _____
                 state_shape (int): dimension of each state
                 action_space_size (int): dimension of each action
                 seed (int): random seed
             11 11 11
             self.state_shape = state_shape
             self.action_space_size = action_space_size
             self.seed = random.seed(seed)
             # Q-Network
             self.qnetwork_local = QNetwork(state_shape, action_space_size, seed).
             self.qnetwork_target = QNetwork(state_shape, action_space_size, seed).
      →to(device)
             self.optimizer = optim.Adam(self.qnetwork_local.parameters(), lr=LR)
             # Replay memory
             self.memory = ReplayBuffer(BUFFER_SIZE, BATCH_SIZE, seed)
             # Initialize time step (for updating every UPDATE EVERY steps)
             self.t_step = 0
         def step(self, state, action, reward, next_state, done):
```

```
# Save experience in replay memory
       self.memory.add(state, action, reward, next_state, done)
       # Learn every UPDATE_EVERY time steps.
       self.t_step = (self.t_step + 1) % UPDATE_EVERY
       if self.t_step == 0:
           # If enough samples are available in memory, get random subset and \Box
\rightarrowlearn
           if len(self.memory) > BATCH_SIZE:
               experiences = self.memory.sample()
               self.learn(experiences, GAMMA)
  def act(self, state, eps=0.):
       """Returns actions for given state as per current policy.
       Params
       _____
           state (array_like): current state
           eps (float): epsilon, for epsilon-greedy action selection
       # Epsilon-greedy action selection
       if random.random() > eps:
           state = torch.from numpy(state).float().unsqueeze(0).to(device)
           action_values = self.qnetwork_local(state)
           return np.argmax(action_values.cpu().data.numpy())
       else:
           return random.choice(np.arange(self.action_space_size))
  def learn(self, experiences, gamma):
       """Update value parameters using given batch of experience tuples.
       Params
           experiences (Tuple[torch.Variable]): tuple of (s, a, r, s', done)_{\sqcup}
\hookrightarrow tuples
           gamma (float): discount factor
       # Obtain random minibatch of tuples from D
       states, actions, rewards, next_states, dones = experiences
       ## Compute and minimize the loss
       ### Extract next maximum estimated value from target network
       q_targets_next = self.qnetwork_target(next_states).detach().max(1)[0].

unsqueeze(1)

       ### Calculate target value from bellman equation
       q_targets = rewards + gamma * q_targets_next * (1 - dones)
```

```
### Calculate expected value from local network
      q_expected = self.qnetwork_local(states).gather(1, actions)
      ### Loss calculation (we used Mean squared error)
      loss = F.mse_loss(q_expected, q_targets)
      self.optimizer.zero_grad()
      loss.backward()
      self.optimizer.step()
      self.soft_update(self.qnetwork_local, self.qnetwork_target, TAU)
  def soft_update(self, local_model, target_model, tau):
      """Soft update model parameters.
      _target = * local + (1 - )* target
      Params
      _____
          local_model (PyTorch model): weights will be copied from
          target_model (PyTorch model): weights will be copied to
          tau (float): interpolation parameter
      .....
      for target_param, local_param in zip(target_model.parameters(),_
⇔local model.parameters()):
         target_param.data.copy_(tau*local_param.data + (1.
⇔0-tau)*target_param.data)
```

0.1.7 Define the Deep QLearning Agent Without Target Network

```
[7]: class DQAgent_Without_Target():
    """Interacts with and learns from the environment."""

def __init__(self, state_shape, action_space_size, seed):
    """Initialize an Agent object.

Params
======
    state_shape (int): dimension of each state
    action_space_size (int): dimension of each action
    seed (int): random seed
    """
    self.state_shape = state_shape
    self.action_space_size = action_space_size
    self.seed = random.seed(seed)

# Q-Network
```

```
self.qnetwork_local = QNetwork(state_shape, action_space_size, seed).
→to(device)
      self.qnetwork_target = QNetwork(state_shape, action_space_size, seed).
→to(device)
      self.optimizer = optim.Adam(self.qnetwork_local.parameters(), lr=LR)
      # Replay memory
      self.memory = ReplayBuffer(BUFFER_SIZE, BATCH_SIZE, seed)
      # Initialize time step (for updating every UPDATE EVERY steps)
      self.t step = 0
  def step(self, state, action, reward, next_state, done):
      # Save experience in replay memory
      self.memory.add(state, action, reward, next_state, done)
      # Learn every UPDATE_EVERY time steps.
      self.t_step = (self.t_step + 1) % UPDATE_EVERY
      if self.t_step == 0:
           # If enough samples are available in memory, get random subset and \Box
\rightarrow learn
           if len(self.memory) > BATCH SIZE:
               experiences = self.memory.sample()
               self.learn(experiences, GAMMA)
  def act(self, state, eps=0.):
       """Returns actions for given state as per current policy.
      Params
      _____
           state (array_like): current state
           eps (float): epsilon, for epsilon-greedy action selection
      # Epsilon-greedy action selection
      if random.random() > eps:
           state = torch.from_numpy(state).float().unsqueeze(0).to(device)
           action_values = self.qnetwork_local(state)
           return np.argmax(action_values.cpu().data.numpy())
      else:
           return random.choice(np.arange(self.action space size))
  def learn(self, experiences, gamma):
       """Update value parameters using given batch of experience tuples.
      Params
       _____
```

```
experiences (Tuple[torch.Variable]): tuple of (s, a, r, s', done)_{\sqcup}
\hookrightarrow tuples
           gamma (float): discount factor
       ,, ,, ,,
       # Obtain random minibatch of tuples from D
       states, actions, rewards, next states, dones = experiences
       ## Compute and minimize the loss
       ### Extract next maximum estimated value from target network
       q_local_next = self.qnetwork_local(next_states).detach().max(1)[0].

unsqueeze(1)

       ### Calculate target value from bellman equation
       q_targets = rewards + gamma * q_local_next * (1 - dones)
       ### Calculate expected value from local network
       q_expected = self.qnetwork_local(states).gather(1, actions)
       ### Loss calculation (we used Mean squared error)
       loss = F.mse_loss(q_expected, q_targets)
       self.optimizer.zero_grad()
      loss.backward()
       self.optimizer.step()
```

0.1.8 Training Process

The code for the training loop remains unchanged For both agents (DQN and DQN Without Target).

```
[8]: def dqn(agent, n_episodes=2500, max_t=1000, eps_start=1.0, eps_end=0.01,
       \rightarroweps_decay=0.995):
          """Deep Q-Learning.
         Params
         _____
              n_episodes (int): maximum number of training episodes
              max_t (int): maximum number of timesteps per episode
              eps_start (float): starting value of epsilon, for epsilon-greedy action\Box
       \negselection
              eps end (float): minimum value of epsilon
              eps_decay (float): multiplicative factor (per episode) for decreasing_
      \hookrightarrow epsilon
          n n n
         scores = []
                                                # list containing scores from each_
      \hookrightarrowepisode
         scores_window = deque(maxlen=100) # last 100 scores
         eps = eps_start
                                                # initialize epsilon
```

```
for i_episode in range(1, n_episodes+1):
      state = env.reset()
      score = 0
      for t in range(max_t):
          action = agent.act(state, eps)
          next_state, reward, done, _ = env.step(action)
          agent.step(state, action, reward, next_state, done)
          state = next state
          score += reward
          if done:
              break
      scores_window.append(score)
                                        # save most recent score
      scores.append(score)
                                         # save most recent score
      eps = max(eps_end, eps_decay*eps) # decrease epsilon
      print('\rEpisode {}\tAverage Score: {:.2f}'.format(i_episode, np.
→mean(scores_window)), end="")
      if i episode % 100 == 0:
          print('\rEpisode {}\tAverage Score: {:.2f}'.format(i_episode, np.
→mean(scores window)))
  torch.save(agent.qnetwork_local.state_dict(), 'checkpoint.pth')
  return scores
```

0.1.9 Creating the Gym environment CartPole-v1

This environment is part of the Classic Control environments which contains general information about the environment.

0.1.10 Description

This environment corresponds to the version of the cart-pole problem described by Barto, Sutton, and Anderson in "Neuronlike Adaptive Elements That Can Solve Difficult Learning Control Problem". A pole is attached by an un-actuated joint to a cart, which moves along a frictionless track. The pendulum is placed upright on the cart and the goal is to balance the pole by applying forces in the left and right direction on the cart.

0.1.11 Action Space

The action is a ndarray with shape (1,) which can take values $\{0, 1\}$ indicating the direction of the fixed force the cart is pushed with.

- 0: Push cart to the left
- 1: Push cart to the right

Note: The velocity that is reduced or increased by the applied force is not fixed and it depends on the angle the pole is pointing. The center of gravity of the pole varies the amount of energy needed to move the cart underneath it

0.1.12 Observation Space

The observation is a ndarray with shape (4,) with the values corresponding to the following positions and velocities:

- Cart Position
- Cart Velocity
- Pole Angle
- Pole Angular Velocity

0.1.13 Rewards

Since the goal is to keep the pole upright for as long as possible, a reward of +1 for every step taken, including the termination step, is allotted. The threshold for rewards is 500

```
[9]: env = gym.make('CartPole-v1')
     print(env.reset())
     print('State shape: ', env.observation_space.shape[0])
     print('Number of actions: ', env.action_space.n)
    [ 0.02176407 -0.02655943  0.03542723  0.01530834]
    State shape: 4
    Number of actions: 2
    /usr/local/lib/python3.10/dist-packages/gym/core.py:317: DeprecationWarning:
    WARN: Initializing wrapper in old step API which returns one bool instead
    of two. It is recommended to set `new_step_api=True` to use new step API. This
    will be the default behaviour in future.
      deprecation(
    /usr/local/lib/python3.10/dist-
    packages/gym/wrappers/step_api_compatibility.py:39: DeprecationWarning:
    WARN: Initializing environment in old step API which returns one bool
    instead of two. It is recommended to set `new_step_api=True` to use new step
    API. This will be the default behaviour in future.
      deprecation(
```

0.1.14 Training the DQagent using DQN

0.1.15 Training the DQagent_Without_Target by avoiding the Target network

```
DQagent_Without_Target = DQAgent_Without_Target(state_shape=env.

observation_space.shape[0], action_space_size=env.action_space.n, seed=0)

if not load:

scores_dqn_without_target = dqn(DQagent_Without_Target)

torch.save(DQagent_Without_Target.qnetwork_local.state_dict(), "/content/

oqnetwork_local-DQagent_Without_Target.pth")

else:

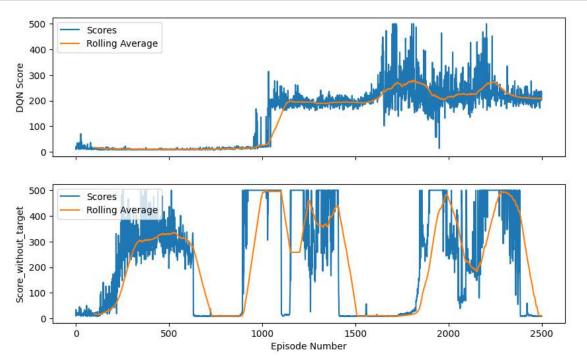
DQagent_Without_Target.qnetwork_local.load_state_dict(torch.load("/content/
oqnetwork_local-DQagent_Without_Target.pth"))
```

```
Episode 100
                Average Score: 17.52
                Average Score: 43.40
Episode 200
Episode 300
                Average Score: 244.61
Episode 400
                Average Score: 307.86
Episode 500
                Average Score: 330.34
                Average Score: 305.01
Episode 600
Episode 700
                Average Score: 85.94
Episode 800
                Average Score: 9.86
Episode 900
                Average Score: 21.21
                Average Score: 481.64
Episode 1000
Episode 1100
                Average Score: 495.50
Episode 1200
                Average Score: 258.35
Episode 1300
                Average Score: 366.34
Episode 1400
                Average Score: 437.75
Episode 1500
                Average Score: 56.54
Episode 1600
                Average Score: 11.12
Episode 1700
                Average Score: 9.46
Episode 1800
                Average Score: 15.42
Episode 1900
                Average Score: 213.74
Episode 2000
                Average Score: 470.87
Episode 2100
                Average Score: 223.82
Episode 2200
                Average Score: 285.98
Episode 2300
                Average Score: 493.18
Episode 2400
                Average Score: 338.85
Episode 2500
                Average Score: 11.41
```

0.2 Comparing DQN and DQN-Without Target

Plotting the time series of scores (scores_dqn & scores_dqn_without_target) I can use Pandas to quickly plot the time series of scores along with a 100 episode moving average. Note that training stops as soon as the rolling average crosses the target score.

```
= pd.Series(scores_dqn , name="scores")
[14]: scores dgn
      scores_dqn.describe()
[14]: count
               2500.000000
     mean
                134.790400
      std
                110.387981
     min
                  8.000000
      25%
                 12.000000
      50%
                179.000000
      75%
                212.000000
      max
                500.000000
      Name: scores, dtype: float64
[15]: scores_dqn_without_target
                                  = pd.Series(scores_dqn_without_target ,_
       →name="scores")
      scores_dqn_without_target.describe()
     /usr/local/lib/python3.10/dist-packages/ipykernel/ipkernel.py:283:
     DeprecationWarning: `should_run_async` will not call `transform_cell`
     automatically in the future. Please pass the result to `transformed_cell`
     argument and any exception that happen during thetransform in
     `preprocessing exc tuple` in IPython 7.17 and above.
       and should_run_async(code)
[15]: count
               2500.000000
                221.428800
     mean
      std
                201.691669
     min
                  8.000000
      25%
                 11.000000
      50%
                222.000000
      75%
                468.000000
                500.000000
      max
      Name: scores, dtype: float64
[17]: fig, ax = plt.subplots(2, 1, figsize=(10, 6), sharex=True, sharey=True)
      _ = scores_dqn.plot(ax=ax[0], label="Scores")
      _ = (scores_dqn.rolling(window=100)
                 .mean()
                 .rename("Rolling Average")
                 .plot(ax=ax[0]))
      _=ax[0].legend()
      _=ax[0].set_ylabel("DQN Score")
```



xe1i1tad6

December 17, 2023

0.1 ## Deep Q-Network (DQN) Vs Deep Q-Network without Experience Replay

In this notebook, you will implement two versions of Deep Q-Learning agent: - DQN with Experience Replay - DQN without Experience Replay

The two agents will be trained with OpenAI Gym's CartPole v1 environment.

0.1.1 Import the Necessary Packages

```
[1]: import gym
  import numpy as np
  import pandas as pd
  import matplotlib.pyplot as plt
  import random
  from tqdm import tqdm
  import imageio
  from collections import deque, namedtuple

import torch
  import torch.nn as nn
  import torch.nn.functional as F
  import torch.optim as optim
```

0.1.2 Define some hyperparameter

```
[2]: BUFFER_SIZE = int(1e5)  # replay buffer size

BATCH_SIZE = 64  # minibatch size

GAMMA = 0.99  # discount factor

TAU = 1e-3  # for soft update of target parameters

LR = 5e-4  # learning rate

UPDATE_EVERY = 4  # how often to update the network
```

```
/usr/local/lib/python3.10/dist-packages/ipykernel/ipkernel.py:283:
DeprecationWarning: `should_run_async` will not call `transform_cell` automatically in the future. Please pass the result to `transformed_cell` argument and any exception that happen during thetransform in `preprocessing_exc_tuple` in IPython 7.17 and above.

and should_run_async(code)
```

```
[3]: device = torch.device("cuda:0" if torch.cuda.is_available() else "cpu")
```

0.1.3 Define Neural Network Architecture.

Since CartPole_v1 environment is sort of simple envs, we don't need complicated architecture. We just need non-linear function approximator that maps from state to action.

```
[4]: class QNetwork(nn.Module):
         def __init__(self, state_shape, action_space_size, seed):
             """Initialize parameters and build model.
             Params
             _____
                 state_shape (int): Dimension of each state
                 action_space_size (int): Dimension of each action
                 seed (int): Random seed
             super(QNetwork, self).__init__()
             self.seed = torch.manual_seed(seed)
             self.fc1 = nn.Linear(state_shape, 64)
             self.fc2 = nn.Linear(64, 64)
             self.fc3 = nn.Linear(64, action_space_size)
         def forward(self, state):
             """Build a network that maps state -> action values."""
             x = self.fc1(state)
             x = F.relu(x)
             x = self.fc2(x)
             x = F.relu(x)
             x=self.fc3(x)
             return x
```

0.1.4 Define Replay Buffer

0.1.5 Experience Replay

To perform experience replay the authors store the agent's experiences e_t as represented by the tuple

$$e_t = (s_t, a_t, r_t, s_{t+1})$$

consisting of the observed state in period t, the reward received in period t, the action taken in period t, and the resulting state in period t + 1. The dataset of agent experiences at period t consists of the set of past experiences.

$$D_t = \{e1, e2, ..., e_t\}$$

Depending on the task it may note be feasible for the agent to store the entire history of past experiences.

During learning Q-learning updates are computed based on samples (or minibatches) of experience (s, a, r, s'), drawn uniformly at random from the pool of stored samples D_t .

The following is my Python implementation of these ideas.

```
[5]: class ReplayBuffer:
         """Fixed-size buffer to store experience tuples."""
         def __init__(self, buffer_size, batch_size, seed):
             """Initialize a ReplayBuffer object.
             Params
             _____
                 buffer_size (int): maximum size of buffer
                 batch_size (int): size of each training batch
                 seed (int): random seed
             self.memory = deque(maxlen=buffer_size)
             self.batch_size = batch_size
             self.experience = namedtuple("Experience", field_names=["state",__

¬"action", "reward", "next_state", "done"])
             self.seed = random.seed(seed)
         def add(self, state, action, reward, next state, done):
             """Add a new experience to memory."""
             e = self.experience(state, action, reward, next_state, done)
             self.memory.append(e)
         def sample(self):
             """Randomly sample a batch of experiences from memory."""
             experiences = random.sample(self.memory, k=self.batch_size)
             states = torch.from_numpy(np.vstack([e.state for e in experiences if e_
      →is not None])).float().to(device)
             actions = torch.from_numpy(np.vstack([e.action for e in experiences ifu
      →e is not None])).long().to(device)
             rewards = torch.from_numpy(np.vstack([e.reward for e in experiences ifu
      →e is not None])).float().to(device)
             next_states = torch.from_numpy(np.vstack([e.next_state for e in_
      ⇔experiences if e is not None])).float().to(device)
             dones = torch.from_numpy(np.vstack([e.done for e in experiences if e isu
      anot None]).astype(np.uint8)).float().to(device)
             return (states, actions, rewards, next_states, dones)
```

```
def __len__(self):
    """Return the current size of internal memory."""
    return len(self.memory)
```

0.1.6 Define the Deep QLearning Agent

The Deep Q-learning update at iteration i uses the following loss function

$$\mathcal{L}_i(\theta_i) = \mathbb{E}_{(s,a,r,s') \sim U(D)} \Bigg[\bigg(r + \gamma \max_{a'} Q\big(s',a';\theta_i^-\big) - Q\big(s,a;\theta_i\big) \bigg)^2 \Bigg]$$

where γ is the discount factor determining the agent's horizon, θ_i are the parameters of the Q-network at iteration i and θ_i^- are the Q-network parameters used to compute the target at iteration i. The target network parameters θ_i^- are only updated with the Q-network parameters θ_i every C steps and are held fixed between individual updates.

```
[6]: class DQAgent():
         """Interacts with and learns from the environment."""
         def __init__(self, state_shape, action_space_size, seed):
             """Initialize an Agent object.
             Params
             _____
                 state_shape (int): dimension of each state
                 action_space_size (int): dimension of each action
                 seed (int): random seed
             11 11 11
             self.state_shape = state_shape
             self.action_space_size = action_space_size
             self.seed = random.seed(seed)
             # Q-Network
             self.qnetwork_local = QNetwork(state_shape, action_space_size, seed).
             self.qnetwork_target = QNetwork(state_shape, action_space_size, seed).
      →to(device)
             self.optimizer = optim.Adam(self.qnetwork_local.parameters(), lr=LR)
             # Replay memory
             self.memory = ReplayBuffer(BUFFER_SIZE, BATCH_SIZE, seed)
             # Initialize time step (for updating every UPDATE EVERY steps)
             self.t_step = 0
         def step(self, state, action, reward, next_state, done):
```

```
# Save experience in replay memory
       self.memory.add(state, action, reward, next_state, done)
       # Learn every UPDATE_EVERY time steps.
       self.t_step = (self.t_step + 1) % UPDATE_EVERY
       if self.t_step == 0:
           # If enough samples are available in memory, get random subset and \Box
\rightarrowlearn
           if len(self.memory) > BATCH_SIZE:
               experiences = self.memory.sample()
               self.learn(experiences, GAMMA)
  def act(self, state, eps=0.):
       """Returns actions for given state as per current policy.
       Params
       _____
           state (array_like): current state
           eps (float): epsilon, for epsilon-greedy action selection
       # Epsilon-greedy action selection
       if random.random() > eps:
           state = torch.from numpy(state).float().unsqueeze(0).to(device)
           action_values = self.qnetwork_local(state)
           return np.argmax(action_values.cpu().data.numpy())
       else:
           return random.choice(np.arange(self.action_space_size))
  def learn(self, experiences, gamma):
       """Update value parameters using given batch of experience tuples.
       Params
           experiences (Tuple[torch.Variable]): tuple of (s, a, r, s', done)_{\sqcup}
\hookrightarrow tuples
           gamma (float): discount factor
       # Obtain random minibatch of tuples from D
       states, actions, rewards, next_states, dones = experiences
       ## Compute and minimize the loss
       ### Extract next maximum estimated value from target network
       q_targets_next = self.qnetwork_target(next_states).detach().max(1)[0].

unsqueeze(1)

       ### Calculate target value from bellman equation
       q_targets = rewards + gamma * q_targets_next * (1 - dones)
```

```
### Calculate expected value from local network
      q_expected = self.qnetwork_local(states).gather(1, actions)
      ### Loss calculation (we used Mean squared error)
      loss = F.mse_loss(q_expected, q_targets)
      self.optimizer.zero_grad()
      loss.backward()
      self.optimizer.step()
      # ------ update target network ----- #
      self.soft update(self.qnetwork local, self.qnetwork target, TAU)
  def soft_update(self, local_model, target_model, tau):
      """Soft update model parameters.
      _target = * local + (1 - )* target
      Params
      _____
          local_model (PyTorch model): weights will be copied from
          target_model (PyTorch model): weights will be copied to
          tau (float): interpolation parameter
      .....
      for target_param, local_param in zip(target_model.parameters(),_
⇔local model.parameters()):
          target_param.data.copy_(tau*local_param.data + (1.
⇔0-tau)*target_param.data)
```

0.1.7 Define the Deep QLearning Agent Without Experience Replay

- you dont need a memory buffer to store the previous experiences
- the model is trained after each experience [state, action, reward, next_state, done],
- so the method step() of the agent launches the model training based only on the last experience instead of a batch of experiences

```
self.action_space_size = action_space_size
      self.seed = random.seed(seed)
       # Q-Network
      self.qnetwork_local = QNetwork(state_shape, action_space_size, seed).
→to(device)
      self.qnetwork_target = QNetwork(state_shape, action_space_size, seed).
→to(device)
      self.optimizer = optim.Adam(self.qnetwork_local.parameters(), lr=LR)
  def step(self, state, action, reward, next_state, done):
      # Transfor the current experience to tensor
       # launch the training based on the current experience
      self.learn(state, action, reward, next_state, done, GAMMA)
  def act(self, state, eps=0.):
       """Returns actions for given state as per current policy."""
      # Epsilon-greedy action selection
      if random.random() > eps:
          state = torch.from_numpy(state).float().unsqueeze(0).to(device)
          action_values = self.qnetwork_local(state)
          return np.argmax(action values.cpu().data.numpy())
      else:
          return random.choice(np.arange(self.action_space_size))
  def learn(self, state, action, reward, next_state,done, gamma):
       """Update value parameters using given one experience tuple."""
      ## Compute and minimize the loss
      ### Extract next maximum estimated value from target network
      q_target_next = self.qnetwork_target(torch.tensor(next_state,__

¬device=device)).detach().max().item()

       ### Calculate target value from bellman equation
      q_target = torch.tensor(reward, device=device) + gamma * q_target_next_u
→* (1 - done)
       ### Calculate expected value from local network
      q_expected = self.qnetwork_local(torch.tensor(state,_

device=device))[action]
```

```
### Loss calculation (we used Mean squared error)
loss = F.mse_loss(q_expected, q_target)
self.optimizer.zero_grad()
loss.backward()
self.optimizer.step()

# ------ update target network ------ #
self.soft_update(self.qnetwork_local, self.qnetwork_target, TAU)

def soft_update(self, local_model, target_model, tau):
    for target_param, local_param in zip(target_model.parameters(),u
local_model.parameters()):
        target_param.data.copy_(tau*local_param.data + (1.
local_model.param.data)
```

0.1.8 Training Process

The code for the training loop remains unchanged For both agents (DQN and DQN Without Experience Replay).

```
[8]: def dqn(agent, n_episodes=1500, max_t=1000, eps_start=1.0, eps_end=0.01,
      ⇔eps_decay=0.995):
         """Deep Q-Learning.
         Params
         _____
             n_episodes (int): maximum number of training episodes
             max_t (int): maximum number of timesteps per episode
             eps_start (float): starting value of epsilon, for epsilon-greedy action ⊔
      \negselection
             eps_end (float): minimum value of epsilon
             eps_decay (float): multiplicative factor (per episode) for decreasing_
      \hookrightarrow epsilon
         11 11 11
         scores = []
                                              # list containing scores from each_
      \hookrightarrow episode
         scores window = deque(maxlen=100) # last 100 scores
                                              # initialize epsilon
         eps = eps_start
         for i_episode in range(1, n_episodes+1):
             state = env.reset()
             score = 0
             for t in range(max_t):
                  action = agent.act(state, eps)
                 next_state, reward, done, _ = env.step(action)
                  agent.step(state, action, reward, next_state, done)
```

```
state = next_state
          score += reward
          if done:
              break
      scores_window.append(score)
                                         # save most recent score
      scores.append(score)
                                         # save most recent score
      eps = max(eps_end, eps_decay*eps) # decrease epsilon
      print('\rEpisode {}\tAverage Score: {:.2f}'.format(i_episode, np.
→mean(scores_window)), end="")
      if i_episode % 100 == 0:
          print('\rEpisode {}\tAverage Score: {:.2f}'.format(i_episode, np.
→mean(scores_window)))
  torch.save(agent.qnetwork_local.state_dict(), 'checkpoint.pth')
  return scores
```

0.1.9 Creating the Gym environment CartPole-v1

This environment is part of the Classic Control environments which contains general information about the environment.

0.1.10 Description

This environment corresponds to the version of the cart-pole problem described by Barto, Sutton, and Anderson in "Neuronlike Adaptive Elements That Can Solve Difficult Learning Control Problem". A pole is attached by an un-actuated joint to a cart, which moves along a frictionless track. The pendulum is placed upright on the cart and the goal is to balance the pole by applying forces in the left and right direction on the cart.

0.1.11 Action Space

The action is a ndarray with shape (1,) which can take values $\{0, 1\}$ indicating the direction of the fixed force the cart is pushed with.

- 0: Push cart to the left
- 1: Push cart to the right

Note: The velocity that is reduced or increased by the applied force is not fixed and it depends on the angle the pole is pointing. The center of gravity of the pole varies the amount of energy needed to move the cart underneath it

0.1.12 Observation Space

The observation is a ndarray with shape (4,) with the values corresponding to the following positions and velocities:

- Cart Position
- Cart Velocity

- Pole Angle
- Pole Angular Velocity

0.1.13 Rewards

Since the goal is to keep the pole upright for as long as possible, a reward of +1 for every step taken, including the termination step, is allotted. The threshold for rewards is 500

```
[9]: env = gym.make('CartPole-v1')
      print(env.reset())
      print('State shape: ', env.observation_space.shape[0])
      print('Number of actions: ', env.action_space.n)
     [-0.02901609 0.01683903 0.04125848 0.02246835]
     State shape: 4
     Number of actions:
     /usr/local/lib/python3.10/dist-packages/gym/core.py:317: DeprecationWarning:
     WARN: Initializing wrapper in old step API which returns one bool instead
     of two. It is recommended to set `new_step_api=True` to use new step API. This
     will be the default behaviour in future.
       deprecation(
     /usr/local/lib/python3.10/dist-
     packages/gym/wrappers/step_api_compatibility.py:39: DeprecationWarning:
     WARN: Initializing environment in old step API which returns one bool
     instead of two. It is recommended to set `new_step_api=True` to use new step
     API. This will be the default behaviour in future.
       deprecation(
[10]: load_dqn = True
[11]: DQagent = DQAgent(state_shape=env.observation_space.shape[0],
       ⇒action_space_size=env.action_space.n, seed=0)
      if not load_dqn:
          scores_dqn = dqn(DQagent)
          torch.save(DQagent.qnetwork_local.state_dict(), "/content/

¬qnetwork_local-DQAgent.pth")
          torch.save(DQagent.qnetwork_target.state_dict(), "/content/

¬qnetwork target-DQAgent.pth")
      else:
          DQagent.qnetwork_local.load_state_dict(torch.load("/content/

¬qnetwork_local-DQAgent.pth"))
          DQagent.qnetwork_target.load_state_dict(torch.load("/content/

¬qnetwork_target-DQAgent.pth"))
```

```
DQagent_Without_ExperienceReplay = DQAgent_Without_ExperienceReplay(state_shape=env.observation_space.shape[0], cation_space_size=env.action_space.n, seed=0)
scores_dqn_without_ExperienceReplay = dqn(DQagent_Without_ExperienceReplay)
torch.save(DQagent_Without_ExperienceReplay.qnetwork_local.state_dict(), "/
content/qnetwork_local-DQagent_Without_ExperienceReplay.pth")
torch.save(DQagent_Without_ExperienceReplay.qnetwork_target.state_dict(), "/
content/qnetwork_target-DQagent_Without_ExperienceReplay.pth")
```

```
Episode 100
                Average Score: 20.96
                Average Score: 19.29
Episode 200
Episode 300
                Average Score: 18.75
Episode 400
                Average Score: 20.23
Episode 500
                Average Score: 18.18
Episode 600
                Average Score: 14.88
Episode 700
                Average Score: 9.43
Episode 800
                Average Score: 10.11
Episode 900
                Average Score: 9.44
Episode 1000
                Average Score: 9.71
Episode 1100
                Average Score: 28.34
Episode 1200
                Average Score: 38.08
Episode 1300
                Average Score: 9.51
Episode 1400
                Average Score: 43.38
Episode 1500
                Average Score: 9.44
```

0.2 Comparing DQN and DQN-Without_ExperienceReplay

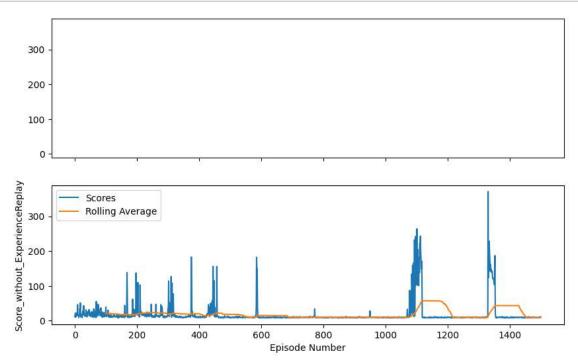
Plotting the time series of scores (scores_dqn & scores_dqn_without_ExperienceReplay) I can use Pandas to quickly plot the time series of scores along with a 100 episode moving average. Note that training stops as soon as the rolling average crosses the target score.

```
[14]:  #scores_dqn = pd.Series(scores_dqn , name="scores")  #scores_dqn .describe()
```

```
[19]: count
                1500.000000
      mean
                  18.648667
      std
                  31.997789
      min
                   8.000000
      25%
                   9.000000
      50%
                  10.000000
      75%
                  12.000000
                 371.000000
      max
```

Name: scores, dtype: float64

```
[20]: fig, ax = plt.subplots(2, 1, figsize=(10, 6), sharex=True, sharey=True)
      # = scores_dqn.plot(ax=ax[0], label="Scores")
        _ = (scores_dqn.rolling(window=100)
      #
                   .mean()
      #
                   .rename("Rolling Average")
      #
                   .plot(ax=ax[0]))
       =ax[0].legend()
      # _=ax[0].set_ylabel("DQN Score")
      _ = scores_dqn_without_ExperienceReplay.plot(ax=ax[1], label="Scores")
      _ = (scores_dqn_without_ExperienceReplay.rolling(window=100)
                 .mean()
                 .rename("Rolling Average")
                 .plot(ax=ax[1]))
      _=ax[1].legend()
      _ = ax[1].set_ylabel("Score_without_ExperienceReplay")
        = ax[1].set_xlabel("Episode Number")
```



szj7nhtum

December 17, 2023

0.1 ## Double Deep Q-Network (DDQN)

In this notebook, you will implement a Double DQN agent with OpenAI Gym's CartPole-v1 environment.

0.1.1 Import the Necessary Packages

```
import gym
import numpy as np
import pandas as pd
import matplotlib.pyplot as plt
import random
from tqdm import tqdm
import imageio
from collections import deque, namedtuple

import torch
import torch.nn as nn
import torch.nn.functional as F
import torch.optim as optim
```

0.1.2 Define some hyperparameter

```
BUFFER_SIZE = int(1e5)  # replay buffer size

BATCH_SIZE = 64  # minibatch size

GAMMA = 0.99  # discount factor

TAU = 1e-3  # for soft update of target parameters

LR = 5e-4  # learning rate

UPDATE_EVERY = 4  # how often to update the network
```

/usr/local/lib/python3.10/dist-packages/ipykernel/ipkernel.py:283:
DeprecationWarning: `should_run_async` will not call `transform_cell` automatically in the future. Please pass the result to `transformed_cell` argument and any exception that happen during thetransform in `preprocessing_exc_tuple` in IPython 7.17 and above.

and should_run_async(code)

```
[]: device = torch.device("cuda:0" if torch.cuda.is_available() else "cpu")
```

0.1.3 Define Neural Network Architecture.

Since CartPole-v1 environment is sort of simple envs, we don't need complicated architecture. We just need non-linear function approximator that maps from state to action.

```
[]: class QNetwork(nn.Module):
         def __init__(self, state_shape, action_space_size, seed):
             """Initialize parameters and build model.
             Params
             _____
                 state_shape (int): Dimension of each state
                 action_space_size (int): Dimension of each action
                 seed (int): Random seed
             11 11 11
             super(QNetwork, self).__init__()
             self.seed = torch.manual_seed(seed)
             self.fc1 = nn.Linear(state_shape, 64)
             self.fc2 = nn.Linear(64, 64)
             self.fc3 = nn.Linear(64, action_space_size)
         def forward(self, state):
             """Build a network that maps state -> action values."""
             x = self.fc1(state)
             x = F.relu(x)
             x = self.fc2(x)
             x = F.relu(x)
             x=self.fc3(x)
             return x
```

0.1.4 Define Replay Buffer

0.1.5 Experience Replay

To perform experience replay the authors store the agent's experiences e_t as represented by the tuple

$$e_t = (s_t, a_t, r_t, s_{t+1})$$

consisting of the observed state in period t, the reward received in period t, the action taken in period t, and the resulting state in period t + 1. The dataset of agent experiences at period t consists of the set of past experiences.

$$D_t = \{e1, e2, ..., e_t\}$$

Depending on the task it may note be feasible for the agent to store the entire history of past experiences.

During learning Q-learning updates are computed based on samples (or minibatches) of experience (s, a, r, s'), drawn uniformly at random from the pool of stored samples D_t .

The following is my Python implementation of these ideas.

```
[]: class ReplayBuffer:
         """Fixed-size buffer to store experience tuples."""
         def __init__(self, buffer_size, batch_size, seed):
             """Initialize a ReplayBuffer object.
             Params
             _____
                 buffer_size (int): maximum size of buffer
                 batch_size (int): size of each training batch
                 seed (int): random seed
             11 11 11
             self.memory = deque(maxlen=buffer_size)
             self.batch_size = batch_size
             self.experience = namedtuple("Experience", field_names=["state",_

¬"action", "reward", "next_state", "done"])
             self.seed = random.seed(seed)
         def add(self, state, action, reward, next_state, done):
             """Add a new experience to memory."""
             e = self.experience(state, action, reward, next_state, done)
             self.memory.append(e)
         def sample(self):
             """Randomly sample a batch of experiences from memory."""
             experiences = random.sample(self.memory, k=self.batch_size)
             states = torch.from_numpy(np.vstack([e.state for e in experiences if eu
      →is not None])).float().to(device)
             actions = torch.from numpy(np.vstack([e.action for e in experiences if___
      →e is not None])).long().to(device)
             rewards = torch.from_numpy(np.vstack([e.reward for e in experiences if_
      →e is not None])).float().to(device)
             next_states = torch.from_numpy(np.vstack([e.next_state for e in_
      ⇔experiences if e is not None])).float().to(device)
             dones = torch.from_numpy(np.vstack([e.done for e in experiences if e is_
      anot None]).astype(np.uint8)).float().to(device)
             return (states, actions, rewards, next_states, dones)
         def len (self):
             """Return the current size of internal memory."""
```

1 Improving the DQN Agent using Double Q-Learning

The key idea behind Double Q-learning is to reduce overestimations of Q-values by separating the selection of actions from the evaluation of those actions so that a different Q-network can be used in each step. When applying Double Q-learning to extend the DQN algorithm one can use the online Q-network, $Q(S, a; \theta)$, to select the actions and then the target Q-network, $Q(S, a; \theta^-)$, to evaluate the selected actions.

$$Y_t^{DoubleDQN} = R_{t+1} + \gamma Q\big(S_{t+1}, \operatorname*{argmax}_{a} \, Q(S_{t+1}, a; \theta_t), \theta_t^-\big)$$

```
[]: class DDQAgent():
         """Interacts with and learns from the environment."""
         def __init__(self, state_shape, action_space_size, seed):
             """Initialize an Agent object.
             Params
             ======
                 state_shape (int): dimension of each state
                 action_space_size (int): dimension of each action
                 seed (int): random seed
             self.state_shape = state_shape
             self.action_space_size = action_space_size
             self.seed = random.seed(seed)
             # Q-Network
             self.qnetwork_local = QNetwork(state_shape, action_space_size, seed).
      →to(device)
             self.qnetwork_target = QNetwork(state_shape, action_space_size, seed).
      →to(device)
             self.optimizer = optim.Adam(self.qnetwork_local.parameters(), lr=LR)
             # Replay memory
             self.memory = ReplayBuffer(BUFFER_SIZE, BATCH_SIZE, seed)
             # Initialize time step (for updating every UPDATE EVERY steps)
             self.t_step = 0
         def step(self, state, action, reward, next_state, done):
             # Save experience in replay memory
             self.memory.add(state, action, reward, next_state, done)
```

```
# Learn every UPDATE_EVERY time steps.
      self.t_step = (self.t_step + 1) % UPDATE_EVERY
       if self.t_step == 0:
           # If enough samples are available in memory, get random subset and \Box
\hookrightarrow learn
           if len(self.memory) > BATCH_SIZE:
               experiences = self.memory.sample()
               self.learn(experiences, GAMMA)
  def act(self, state, eps=0.):
       """Returns actions for given state as per current policy.
      Params
       _____
           state (array_like): current state
           eps (float): epsilon, for epsilon-greedy action selection
       # Epsilon-greedy action selection
       if random.random() > eps:
           state = torch.from_numpy(state).float().unsqueeze(0).to(device)
           action values = self.gnetwork local(state)
           return np.argmax(action_values.cpu().data.numpy())
       else:
           return random.choice(np.arange(self.action_space_size))
  def learn(self, experiences, gamma):
       """Update value parameters using given batch of experience tuples.
       Params
       _____
           experiences (Tuple[torch.Variable]): tuple of (s, a, r, s', done)_{\sqcup}
\hookrightarrow tuples
           gamma (float): discount factor
       # Obtain random minibatch of tuples from D
      states, actions, rewards, next_states, dones = experiences
       ## Compute and minimize the loss
       """Select the greedy action for the next_state given Local Q-network."""
       # q = self.qnetwork_local(next_states).detach()
       # print(q, q.shape)
      actions_for_next_states = self.qnetwork_local(next_states).argmax(1)
       """Compute the next_Q-values by evaluating the actions given the \Box
→next_states and the Target Q-network."""
       # q = self.qnetwork_target(next_states)
       # print(q.shape, actions for next states.shape, actions.shape)
```

```
q_targets_next = self.qnetwork_target(next_states).gather(1,__
→actions_for_next_states.view(-1, 1))
      ### Calculate target value from bellman equation
      q_targets = rewards + gamma * q_targets_next * (1 - dones)
      ### Calculate expected value from local network
      q expected = self.qnetwork local(states).gather(1, actions)
      ### Loss calculation (we used Mean squared error)
      loss = F.mse_loss(q_expected, q_targets)
      self.optimizer.zero_grad()
      loss.backward()
      self.optimizer.step()
      # ----- update target network ----- #
      self.soft_update(self.qnetwork_local, self.qnetwork_target, TAU)
  def soft update(self, local model, target model, tau):
      for target_param, local_param in zip(target_model.parameters(), __
→local_model.parameters()):
          target_param.data.copy_(tau*local_param.data + (1.
⇔0-tau)*target_param.data)
```

/usr/local/lib/python3.10/dist-packages/ipykernel/ipkernel.py:283:
DeprecationWarning: `should_run_async` will not call `transform_cell` automatically in the future. Please pass the result to `transformed_cell` argument and any exception that happen during thetransform in `preprocessing_exc_tuple` in IPython 7.17 and above.

and should_run_async(code)

1.0.1 Define the Deep QLearning Network Agent

Before Training the Double DQN Agent, we re-implement the DQN Agent in order to compare them

```
class DQAgent():
    """Interacts with and learns from the environment."""

def __init__(self, state_shape, action_space_size, seed):
    self.state_shape = state_shape
    self.action_space_size = action_space_size
    self.seed = random.seed(seed)

# Q-Network
```

```
self.qnetwork_local = QNetwork(state_shape, action_space_size, seed).
→to(device)
      self.qnetwork_target = QNetwork(state_shape, action_space_size, seed).
→to(device)
      self.optimizer = optim.Adam(self.qnetwork_local.parameters(), lr=LR)
      # Replay memory
      self.memory = ReplayBuffer(BUFFER_SIZE, BATCH_SIZE, seed)
      # Initialize time step (for updating every UPDATE EVERY steps)
      self.t step = 0
  def step(self, state, action, reward, next_state, done):
      # Save experience in replay memory
      self.memory.add(state, action, reward, next_state, done)
      # Learn every UPDATE_EVERY time steps.
      self.t_step = (self.t_step + 1) % UPDATE_EVERY
      if self.t_step == 0:
           # If enough samples are available in memory, get random subset and \Box
\rightarrow learn
           if len(self.memory) > BATCH SIZE:
               experiences = self.memory.sample()
               self.learn(experiences, GAMMA)
  def act(self, state, eps=0.):
      # Epsilon-greedy action selection
      if random.random() > eps:
           state = torch.from_numpy(state).float().unsqueeze(0).to(device)
           action_values = self.qnetwork_local(state)
           return np.argmax(action_values.cpu().data.numpy())
      else:
           return random.choice(np.arange(self.action_space_size))
  def learn(self, experiences, gamma):
       # Obtain random minibatch of tuples from D
      states, actions, rewards, next_states, dones = experiences
      ## Compute and minimize the loss
      ### Extract next maximum estimated value from target network
      q_targets_next = self.qnetwork_target(next_states).detach().max(1)[0].

unsqueeze(1)

      ### Calculate target value from bellman equation
```

1.0.2 Training Process

The code for the training loop remains unchanged For both agents (Double-DQN and DQN).

```
[]: def dqn(agent, n_episodes=1700, max_t=1000, eps_start=1.0, eps_end=0.01, \square
      ⇔eps decay=0.995):
         scores = []
                                             # list containing scores from each_
      \hookrightarrow episode
         scores_window = deque(maxlen=100) # last 100 scores
         eps = eps_start
                                             # initialize epsilon
         for i_episode in range(1, n_episodes+1):
             state = env.reset()
             score = 0
             for t in range(max_t):
                 action = agent.act(state, eps)
                 next_state, reward, done, _ = env.step(action)
                 agent.step(state, action, reward, next_state, done)
                 state = next_state
                 score += reward
                 if done:
                     break
             scores_window.append(score)
                                              # save most recent score
             scores.append(score)
                                                # save most recent score
             eps = max(eps_end, eps_decay*eps) # decrease epsilon
```

```
print('\rEpisode {}\tAverage Score: {:.2f}'.format(i_episode, np.

mean(scores_window)), end="")
    if i_episode % 100 == 0:
        print('\rEpisode {}\tAverage Score: {:.2f}'.format(i_episode, np.

mean(scores_window)))

torch.save(agent.qnetwork_local.state_dict(), 'checkpoint.pth')
    return scores
```

1.0.3 Creating the Gym environment CartPole-v1

This environment is part of the Classic Control environments which contains general information about the environment.

1.0.4 Description

This environment corresponds to the version of the cart-pole problem described by Barto, Sutton, and Anderson in "Neuronlike Adaptive Elements That Can Solve Difficult Learning Control Problem". A pole is attached by an un-actuated joint to a cart, which moves along a frictionless track. The pendulum is placed upright on the cart and the goal is to balance the pole by applying forces in the left and right direction on the cart.

1.0.5 Action Space

The action is a ndarray with shape (1,) which can take values $\{0, 1\}$ indicating the direction of the fixed force the cart is pushed with.

- 0: Push cart to the left
- 1: Push cart to the right

Note: The velocity that is reduced or increased by the applied force is not fixed and it depends on the angle the pole is pointing. The center of gravity of the pole varies the amount of energy needed to move the cart underneath it

1.0.6 Observation Space

The observation is a ndarray with shape (4,) with the values corresponding to the following positions and velocities:

- Cart Position
- Cart Velocity
- Pole Angle
- Pole Angular Velocity

1.0.7 Rewards

Since the goal is to keep the pole upright for as long as possible, a reward of +1 for every step taken, including the termination step, is allotted. The threshold for rewards is 500

```
[]: env = gym.make('CartPole-v1')
     print(env.reset())
     print('State shape: ', env.observation_space.shape[0])
     print('Number of actions: ', env.action_space.n)
    [ 0.02899956 -0.01435325  0.00254188  0.02645365]
    State shape: 4
    Number of actions: 2
    /usr/local/lib/python3.10/dist-packages/gym/core.py:317: DeprecationWarning:
    WARN: Initializing wrapper in old step API which returns one bool instead
    of two. It is recommended to set `new_step_api=True` to use new step API. This
    will be the default behaviour in future.
      deprecation(
    /usr/local/lib/python3.10/dist-
    packages/gym/wrappers/step_api_compatibility.py:39: DeprecationWarning:
    WARN: Initializing environment in old step API which returns one bool
    instead of two. It is recommended to set `new step api=True` to use new step
    API. This will be the default behaviour in future.
      deprecation(
    1.0.8 Training the DDQagent using Double DQN
```

```
DDQagent = DDQAgent(state_shape=env.observation_space.shape[0],
action_space_size=env.action_space.n, seed=0)
scores_DDQAgent = dqn(DDQagent)
```

```
Episode 100
                Average Score: 18.71
Episode 200
                Average Score: 11.94
Episode 300
                Average Score: 11.17
Episode 400
                Average Score: 10.15
Episode 500
                Average Score: 9.96
Episode 600
                Average Score: 9.69
Episode 700
                Average Score: 11.22
Episode 800
                Average Score: 12.59
Episode 900
                Average Score: 29.05
Episode 1000
                Average Score: 192.20
Episode 1100
                Average Score: 239.04
Episode 1200
                Average Score: 222.89
                Average Score: 216.29
Episode 1300
Episode 1400
                Average Score: 225.62
Episode 1500
                Average Score: 262.36
Episode 1600
                Average Score: 272.68
Episode 1700
                Average Score: 253.70
```

1.0.9 Training the DQagent using DQN

```
DQagent = DQAgent(state_shape=env.observation_space.shape[0],
      ⇒action_space_size=env.action_space.n, seed=0)
     scores_DQAgent = dqn(DQagent)
    Episode 100
                    Average Score: 16.41
    Episode 200
                    Average Score: 12.13
    Episode 300
                    Average Score: 11.09
    Episode 400
                    Average Score: 10.27
    Episode 500
                    Average Score: 10.08
    Episode 600
                    Average Score: 10.01
    Episode 700
                    Average Score: 11.12
    Episode 800
                    Average Score: 14.18
    Episode 900
                    Average Score: 31.41
    Episode 1000
                    Average Score: 155.78
                    Average Score: 170.00
    Episode 1100
    Episode 1200
                    Average Score: 185.71
    Episode 1300
                    Average Score: 304.15
    Episode 1400
                    Average Score: 294.68
    Episode 1500
                    Average Score: 153.37
    Episode 1600
                    Average Score: 147.27
    Episode 1700
                    Average Score: 135.29
```

1.1 Comparing Double DQN and DQN

Plotting the time series of scores (scores_DDQAgent & scores_DQAgent) I can use Pandas to quickly plot the time series of scores along with a 100 episode moving average. Note that training stops as soon as the rolling average crosses the target score.

```
[]: scores_DDQAgent= pd.Series(scores_DDQAgent, name="scores_DDQAgent")
    scores_DDQAgent.describe()
[]: count 1700.000000
```

```
mean 118.191765
std 117.236603
min 8.000000
25% 10.000000
50% 26.000000
75% 222.000000
max 500.000000
Name: scores_DDQAgent, dtype: float64
```

```
[]: scores_DQAgent= pd.Series(scores_DQAgent, name="scores_DQAgent")
scores_DQAgent.describe()
```

/usr/local/lib/python3.10/dist-packages/ipykernel/ipkernel.py:283: DeprecationWarning: `should_run_async` will not call `transform_cell`

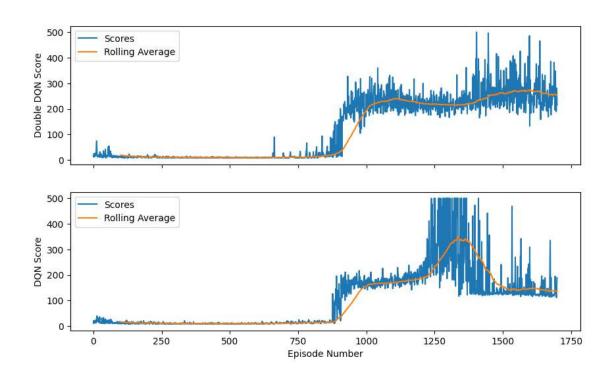
```
automatically in the future. Please pass the result to `transformed_cell` argument and any exception that happen during thetransform in `preprocessing_exc_tuple` in IPython 7.17 and above.

and should_run_async(code)
```

```
[]: count
              1700.000000
    mean
                98.408824
     std
               110.992427
    min
                 8.000000
    25%
                11.000000
    50%
                21.000000
    75%
               163.250000
               500.000000
    max
    Name: scores_DQAgent, dtype: float64
[]: fig, ax = plt.subplots(2, 1, figsize=(10, 6), sharex=True, sharey=True)
     _ = scores_DDQAgent.plot(ax=ax[0], label="Scores")
     _ = (scores_DDQAgent.rolling(window=100)
                .mean()
                .rename("Rolling Average")
                .plot(ax=ax[0]))
     _=ax[0].legend()
     _=ax[0].set_ylabel("Double DQN Score")
     _ = scores_DQAgent.plot(ax=ax[1], label="Scores")
     _ = (scores_DQAgent.rolling(window=100)
                .mean()
                .rename("Rolling Average")
                .plot(ax=ax[1]))
     =ax[1].legend()
     _ = ax[1].set_ylabel("DQN Score")
     _ = ax[1].set_xlabel("Episode Number")
```

/usr/local/lib/python3.10/dist-packages/ipykernel/ipkernel.py:283:
DeprecationWarning: `should_run_async` will not call `transform_cell` automatically in the future. Please pass the result to `transformed_cell` argument and any exception that happen during thetransform in `preprocessing_exc_tuple` in IPython 7.17 and above.

and should_run_async(code)



elddkd0vb

December 17, 2023

[1]: !pip install -r requirements_lab1.txt

```
Requirement already satisfied: numpy in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from -r
requirements lab1.txt (line 1)) (1.24.3)
Requirement already satisfied: gymnasium in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from -r
requirements_lab1.txt (line 2)) (0.29.1)
Requirement already satisfied: pygame in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from -r
requirements_lab1.txt (line 3)) (2.5.2)
Requirement already satisfied: jupyter in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from -r
requirements_lab1.txt (line 4)) (1.0.0)
Requirement already satisfied: pandas in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from -r
requirements_lab1.txt (line 5)) (1.5.3)
Requirement already satisfied: matplotlib in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from -r
requirements lab1.txt (line 6)) (3.7.1)
Requirement already satisfied: tqdm in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from -r
requirements_lab1.txt (line 7)) (4.65.0)
Requirement already satisfied: imageio in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from -r
requirements_lab1.txt (line 8)) (2.31.1)
Requirement already satisfied: cloudpickle>=1.2.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
gymnasium->-r requirements_lab1.txt (line 2)) (2.2.1)
Requirement already satisfied: typing-extensions>=4.3.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
gymnasium->-r requirements_lab1.txt (line 2)) (4.6.3)
Requirement already satisfied: farama-notifications>=0.0.1 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
gymnasium->-r requirements_lab1.txt (line 2)) (0.0.4)
Requirement already satisfied: notebook in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from jupyter->-r
requirements_lab1.txt (line 4)) (6.5.4)
```

```
Requirement already satisfied: qtconsole in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from jupyter->-r
requirements_lab1.txt (line 4)) (5.4.2)
Requirement already satisfied: jupyter-console in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from jupyter->-r
requirements_lab1.txt (line 4)) (6.6.3)
Requirement already satisfied: nbconvert in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from jupyter->-r
requirements lab1.txt (line 4)) (6.5.4)
Requirement already satisfied: ipykernel in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from jupyter->-r
requirements_lab1.txt (line 4)) (6.19.2)
Requirement already satisfied: ipywidgets in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from jupyter->-r
requirements_lab1.txt (line 4)) (8.0.4)
Requirement already satisfied: python-dateutil>=2.8.1 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from pandas->-r
requirements_lab1.txt (line 5)) (2.8.2)
Requirement already satisfied: pytz>=2020.1 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from pandas->-r
requirements_lab1.txt (line 5)) (2022.7)
Requirement already satisfied: contourpy>=1.0.1 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
matplotlib->-r requirements lab1.txt (line 6)) (1.0.5)
Requirement already satisfied: cycler>=0.10 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
matplotlib->-r requirements_lab1.txt (line 6)) (0.11.0)
Requirement already satisfied: fonttools>=4.22.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
matplotlib->-r requirements_lab1.txt (line 6)) (4.25.0)
Requirement already satisfied: kiwisolver>=1.0.1 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
matplotlib->-r requirements_lab1.txt (line 6)) (1.4.4)
Requirement already satisfied: packaging>=20.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
matplotlib->-r requirements_lab1.txt (line 6)) (23.0)
Requirement already satisfied: pillow>=6.2.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
matplotlib->-r requirements_lab1.txt (line 6)) (9.4.0)
Requirement already satisfied: pyparsing>=2.3.1 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
matplotlib->-r requirements_lab1.txt (line 6)) (3.0.9)
Requirement already satisfied: imageio-ffmpeg in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from imageio->-r
requirements_lab1.txt (line 8)) (0.4.9)
Requirement already satisfied: psutil in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from imageio->-r
requirements_lab1.txt (line 8)) (5.9.0)
```

```
Requirement already satisfied: av in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from imageio->-r
requirements_lab1.txt (line 8)) (10.0.0)
Requirement already satisfied: six>=1.5 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from python-
dateutil>=2.8.1->pandas->-r requirements_lab1.txt (line 5)) (1.16.0)
Requirement already satisfied: setuptools in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from imageio-
ffmpeg->imageio->-r requirements lab1.txt (line 8)) (67.8.0)
Requirement already satisfied: appnope in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
ipykernel->jupyter->-r requirements_lab1.txt (line 4)) (0.1.2)
Requirement already satisfied: comm>=0.1.1 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
ipykernel->jupyter->-r requirements_lab1.txt (line 4)) (0.1.2)
Requirement already satisfied: debugpy>=1.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
ipykernel->jupyter->-r requirements_lab1.txt (line 4)) (1.5.1)
Requirement already satisfied: ipython>=7.23.1 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
ipykernel->jupyter->-r requirements_lab1.txt (line 4)) (8.12.0)
Requirement already satisfied: jupyter-client>=6.1.12 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
ipykernel->jupyter->-r requirements_lab1.txt (line 4)) (8.1.0)
Requirement already satisfied: matplotlib-inline>=0.1 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
ipykernel->jupyter->-r requirements_lab1.txt (line 4)) (0.1.6)
Requirement already satisfied: nest-asyncio in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
ipykernel->jupyter->-r requirements_lab1.txt (line 4)) (1.5.6)
Requirement already satisfied: pyzmq>=17 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
ipykernel->jupyter->-r requirements_lab1.txt (line 4)) (25.1.0)
Requirement already satisfied: tornado>=6.1 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
ipykernel->jupyter->-r requirements lab1.txt (line 4)) (6.2)
Requirement already satisfied: traitlets>=5.4.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
ipykernel->jupyter->-r requirements_lab1.txt (line 4)) (5.7.1)
Requirement already satisfied: widgetsnbextension~=4.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
ipywidgets->jupyter->-r requirements_lab1.txt (line 4)) (4.0.5)
Requirement already satisfied: jupyterlab-widgets~=3.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
ipywidgets->jupyter->-r requirements_lab1.txt (line 4)) (3.0.5)
Requirement already satisfied: jupyter-core!=5.0.*,>=4.12 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from jupyter-
console->jupyter->-r requirements_lab1.txt (line 4)) (5.3.0)
```

```
Requirement already satisfied: prompt-toolkit>=3.0.30 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from jupyter-
console->jupyter->-r requirements_lab1.txt (line 4)) (3.0.36)
Requirement already satisfied: pygments in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from jupyter-
console->jupyter->-r requirements_lab1.txt (line 4)) (2.15.1)
Requirement already satisfied: lxml in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
nbconvert->jupyter->-r requirements lab1.txt (line 4)) (4.9.2)
Requirement already satisfied: beautifulsoup4 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
nbconvert->jupyter->-r requirements_lab1.txt (line 4)) (4.12.2)
Requirement already satisfied: bleach in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
nbconvert->jupyter->-r requirements_lab1.txt (line 4)) (4.1.0)
Requirement already satisfied: defusedxml in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
nbconvert->jupyter->-r requirements_lab1.txt (line 4)) (0.7.1)
Requirement already satisfied: entrypoints>=0.2.2 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
nbconvert->jupyter->-r requirements_lab1.txt (line 4)) (0.4)
Requirement already satisfied: jinja2>=3.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
nbconvert->jupyter->-r requirements_lab1.txt (line 4)) (3.1.2)
Requirement already satisfied: jupyterlab-pygments in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
nbconvert->jupyter->-r requirements_lab1.txt (line 4)) (0.1.2)
Requirement already satisfied: MarkupSafe>=2.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
nbconvert->jupyter->-r requirements_lab1.txt (line 4)) (2.1.1)
Requirement already satisfied: mistune<2,>=0.8.1 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
nbconvert->jupyter->-r requirements_lab1.txt (line 4)) (0.8.4)
Requirement already satisfied: nbclient>=0.5.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
nbconvert->jupyter->-r requirements lab1.txt (line 4)) (0.5.13)
Requirement already satisfied: nbformat>=5.1 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
nbconvert->jupyter->-r requirements_lab1.txt (line 4)) (5.7.0)
Requirement already satisfied: pandocfilters>=1.4.1 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
nbconvert->jupyter->-r requirements_lab1.txt (line 4)) (1.5.0)
Requirement already satisfied: tinycss2 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
nbconvert->jupyter->-r requirements_lab1.txt (line 4)) (1.2.1)
Requirement already satisfied: argon2-cffi in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
notebook->jupyter->-r requirements_lab1.txt (line 4)) (21.3.0)
```

```
Requirement already satisfied: ipython-genutils in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
notebook->jupyter->-r requirements lab1.txt (line 4)) (0.2.0)
Requirement already satisfied: Send2Trash>=1.8.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
notebook->jupyter->-r requirements_lab1.txt (line 4)) (1.8.0)
Requirement already satisfied: terminado>=0.8.3 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
notebook->jupyter->-r requirements lab1.txt (line 4)) (0.17.1)
Requirement already satisfied: prometheus-client in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
notebook->jupyter->-r requirements_lab1.txt (line 4)) (0.14.1)
Requirement already satisfied: nbclassic>=0.4.7 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
notebook->jupyter->-r requirements_lab1.txt (line 4)) (0.5.5)
Requirement already satisfied: qtpy>=2.0.1 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
qtconsole->jupyter->-r requirements_lab1.txt (line 4)) (2.2.0)
Requirement already satisfied: backcall in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
ipython>=7.23.1->ipykernel->jupyter->-r requirements_lab1.txt (line 4)) (0.2.0)
Requirement already satisfied: decorator in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
ipython>=7.23.1->ipykernel->jupyter->-r requirements_lab1.txt (line 4)) (5.1.1)
Requirement already satisfied: jedi>=0.16 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
ipython>=7.23.1->ipykernel->jupyter->-r requirements lab1.txt (line 4)) (0.18.1)
Requirement already satisfied: pickleshare in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
ipython>=7.23.1->ipykernel->jupyter->-r requirements_lab1.txt (line 4)) (0.7.5)
Requirement already satisfied: stack-data in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
ipython>=7.23.1->ipykernel->jupyter->-r requirements_lab1.txt (line 4)) (0.2.0)
Requirement already satisfied: pexpect>4.3 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
ipython>=7.23.1->ipykernel->jupyter->-r requirements lab1.txt (line 4)) (4.8.0)
Requirement already satisfied: platformdirs>=2.5 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from jupyter-
core!=5.0.*,>=4.12->jupyter-console->jupyter->-r requirements_lab1.txt (line 4))
(3.9.1)
Requirement already satisfied: jupyter-server>=1.8 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
nbclassic>=0.4.7->notebook->jupyter->-r requirements_lab1.txt (line 4)) (2.5.0)
Requirement already satisfied: notebook-shim>=0.1.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
nbclassic>=0.4.7->notebook->jupyter->-r requirements_lab1.txt (line 4)) (0.2.2)
Requirement already satisfied: fastjsonschema in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
```

```
nbformat>=5.1->nbconvert->jupyter->-r requirements_lab1.txt (line 4)) (2.16.2)
Requirement already satisfied: jsonschema>=2.6 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
nbformat>=5.1->nbconvert->jupyter->-r requirements_lab1.txt (line 4)) (4.17.3)
Requirement already satisfied: wcwidth in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from prompt-
toolkit>=3.0.30->jupyter-console->jupyter->-r requirements lab1.txt (line 4))
(0.2.5)
Requirement already satisfied: ptyprocess in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
terminado>=0.8.3->notebook->jupyter->-r requirements_lab1.txt (line 4)) (0.7.0)
Requirement already satisfied: argon2-cffi-bindings in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
argon2-cffi->notebook->jupyter->-r requirements_lab1.txt (line 4)) (21.2.0)
Requirement already satisfied: soupsieve>1.2 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
beautifulsoup4->nbconvert->jupyter->-r requirements_lab1.txt (line 4)) (2.4)
Requirement already satisfied: webencodings in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
bleach->nbconvert->jupyter->-r requirements lab1.txt (line 4)) (0.5.1)
Requirement already satisfied: parso<0.9.0,>=0.8.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
jedi>=0.16->ipython>=7.23.1->ipykernel->jupyter->-r requirements_lab1.txt (line
4)) (0.8.3)
Requirement already satisfied: attrs>=17.4.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
jsonschema>=2.6->nbformat>=5.1->nbconvert->jupyter->-r requirements_lab1.txt
(line 4)) (22.1.0)
Requirement already satisfied: pyrsistent!=0.17.0,!=0.17.1,!=0.17.2,>=0.14.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
jsonschema>=2.6->nbformat>=5.1->nbconvert->jupyter->-r requirements_lab1.txt
(line 4)) (0.18.0)
Requirement already satisfied: anyio>=3.1.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from jupyter-
server>=1.8->nbclassic>=0.4.7->notebook->jupyter->-r requirements lab1.txt (line
4)) (3.5.0)
Requirement already satisfied: jupyter-events>=0.4.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from jupyter-
server>=1.8->nbclassic>=0.4.7->notebook->jupyter->-r requirements_lab1.txt (line
4)) (0.6.3)
Requirement already satisfied: jupyter-server-terminals in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from jupyter-
server>=1.8->nbclassic>=0.4.7->notebook->jupyter->-r requirements_lab1.txt (line
4)) (0.4.4)
Requirement already satisfied: websocket-client in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from jupyter-
server>=1.8->nbclassic>=0.4.7->notebook->jupyter->-r requirements_lab1.txt (line
4)) (0.58.0)
```

```
Requirement already satisfied: cffi>=1.0.1 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
argon2-cffi-bindings->argon2-cffi->notebook->jupyter->-r requirements_lab1.txt
(line 4)) (1.15.1)
Requirement already satisfied: executing in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from stack-
data->ipython>=7.23.1->ipykernel->jupyter->-r requirements lab1.txt (line 4))
(0.8.3)
Requirement already satisfied: asttokens in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from stack-
data->ipython>=7.23.1->ipykernel->jupyter->-r requirements_lab1.txt (line 4))
Requirement already satisfied: pure-eval in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from stack-
data->ipython>=7.23.1->ipykernel->jupyter->-r requirements_lab1.txt (line 4))
(0.2.2)
Requirement already satisfied: idna>=2.8 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
anyio>=3.1.0->jupyter-server>=1.8->nbclassic>=0.4.7->notebook->jupyter->-r
requirements lab1.txt (line 4)) (3.4)
Requirement already satisfied: sniffio>=1.1 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
anyio>=3.1.0->jupyter-server>=1.8->nbclassic>=0.4.7->notebook->jupyter->-r
requirements_lab1.txt (line 4)) (1.2.0)
Requirement already satisfied: pycparser in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
cffi>=1.0.1->argon2-cffi-bindings->argon2-cffi->notebook->jupyter->-r
requirements_lab1.txt (line 4)) (2.21)
Requirement already satisfied: python-json-logger>=2.0.4 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from jupyter-
events>=0.4.0->jupyter-server>=1.8->nbclassic>=0.4.7->notebook->jupyter->-r
requirements_lab1.txt (line 4)) (2.0.7)
Requirement already satisfied: pyyaml>=5.3 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from jupyter-
events>=0.4.0->jupyter-server>=1.8->nbclassic>=0.4.7->notebook->jupyter->-r
requirements_lab1.txt (line 4)) (6.0)
Requirement already satisfied: rfc3339-validator in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from jupyter-
events>=0.4.0->jupyter-server>=1.8->nbclassic>=0.4.7->notebook->jupyter->-r
requirements_lab1.txt (line 4)) (0.1.4)
Requirement already satisfied: rfc3986-validator>=0.1.1 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from jupyter-
events>=0.4.0->jupyter-server>=1.8->nbclassic>=0.4.7->notebook->jupyter->-r
requirements_lab1.txt (line 4)) (0.1.1)
Requirement already satisfied: fqdn in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
jsonschema>=2.6->nbformat>=5.1->nbconvert->jupyter->-r requirements_lab1.txt
(line 4)) (1.5.1)
```

```
Requirement already satisfied: isoduration in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
jsonschema>=2.6->nbformat>=5.1->nbconvert->jupyter->-r requirements_lab1.txt
(line 4)) (20.11.0)
Requirement already satisfied: jsonpointer>1.13 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
jsonschema>=2.6->nbformat>=5.1->nbconvert->jupyter->-r requirements lab1.txt
(line 4)) (2.1)
Requirement already satisfied: uri-template in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
jsonschema>=2.6->nbformat>=5.1->nbconvert->jupyter->-r requirements_lab1.txt
(line 4)) (1.3.0)
Requirement already satisfied: webcolors>=1.11 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
jsonschema>=2.6->nbformat>=5.1->nbconvert->jupyter->-r requirements_lab1.txt
(line 4)) (1.13)
Requirement already satisfied: arrow>=0.15.0 in
/Users/abdelkrimzitouni/anaconda3/lib/python3.11/site-packages (from
isoduration->jsonschema>=2.6->nbformat>=5.1->nbconvert->jupyter->-r
requirements_lab1.txt (line 4)) (1.2.3)
```

Before you solve a Reinforcement Learning problem you need to define what are

- the environment
- the states
- the actions
- the rewards

We are using the Taxi-v3 environment from OpenAI's gym: https://gymnasium.farama.org/environments/toy_text/taxi/

Taxi-v3 is an easy environment because the action space is small, and the state space is large but finite.

Environments with a finite number of actions and states are called tabular

0.0.1 Import the Gymnasium Library

```
[2]: import gymnasium as gym
```

0.0.2 We create an environment with gym.make()

```
[3]: env=gym.make("Taxi-v3",render_mode="rgb_array")
```

0.0.3 We reset the environment to its initial state with state = env.reset()

[4]: state=env.reset()

State space There are 500 discrete states since there are 25 taxi positions, 5 possible locations of the passenger (including the case when the passenger is in the taxi), and 4 destination locations.

Destination on the map are represented with the first letter of the color.

Passenger locations:

- 0: Red
- 1: Green
- 2: Yellow
- 3: Blue
- 4: In taxi

Destinations:

- 0: Red
- 1: Green
- 2: Yellow
- 3: Blue

An observation is returned as an int() that encodes the corresponding state, calculated by $((\tan i \cos * 5 + \tan i \cot) * 5 + \operatorname{passenger location}) * 4 + \operatorname{destination})$

Note that there are 400 states that can actually be reached during an episode. The missing states correspond to situations in which the passenger is at the same location as their destination, as this typically signals the end of an episode. Four additional states can be observed right after a successful episodes, when both the passenger and the taxi are at the destination. This gives a total of 404 reachable discrete states.

[19]: print("State Space {}".format(env.observation_space.n))

State Space 500

Action space The action shape is (1,) in the range $\{0, 5\}$ indicating which direction to move the taxi or to pickup/drop off passengers.

- 0: Move south (down)
- 1: Move north (up)
- 2: Move east (right)
- 3: Move west (left)
- 4: Pickup passenger

5: Drop off passenger

```
[6]: print("Action Space {}".format(env.action_space.n))
```

Action Space 6

0.0.4 Rewards

- -1 per step unless other reward is triggered.
- +20 delivering passenger.
- -10 executing "pickup" and "drop-off" actions illegally.

An action that results a noop, like moving into a wall, will incur the time step penalty. Noops can be avoided by sampling the action_mask returned in info.

0.0.5 Episode End

The episode ends if the following happens:

- Termination: 1. The taxi drops off the passenger.
- Truncation (when using the time_limit wrapper): 1. The length of the episode is 200.

0.0.6 Information

step() and reset() return a dict with the following keys:

- p transition proability for the state.
- action mask if actions will cause a transition to a new state.

As taxi is not stochastic, the transition probability is always 1.0. Implementing a transitional probability in line with the Dietterich paper ('The fickle taxi task') is a TODO.

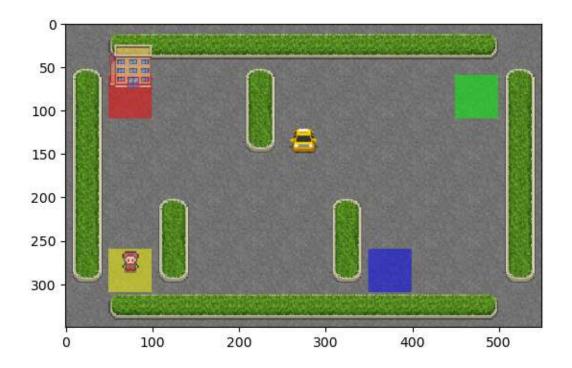
For some cases, taking an action will have no effect on the state of the episode. In v0.25.0, info["action_mask"] contains a np.ndarray for each of the actions specifying if the action will change the state.

To sample a modifying action, use action = env.action_space.sample(info["action_mask"]) Or with a Q-value based algorithm action = np.argmax(q_values[obs, np.where(info["action_mask"] == 1)[0]]).

```
[7]: import matplotlib.pyplot as plt
env.reset()

image=env.render()
plt.imshow(image)
```

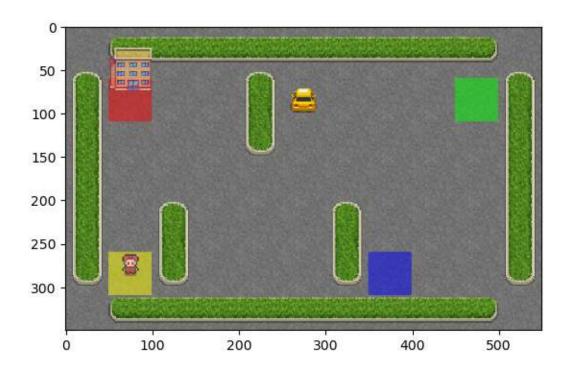
[7]: <matplotlib.image.AxesImage at 0x10a73e450>



```
[8]: next_state, reward, isTerminated, isTruncated, info=env.step(1)
    print(next_state, reward, isTerminated, isTruncated, info)
    image=env.render()
    plt.imshow(image)
```

48 -1 False False {'prob': 1.0, 'action_mask': array([1, 0, 1, 0, 0, 0], dtype=int8)}

[8]: <matplotlib.image.AxesImage at 0x146594210>



```
[9]: import imageio
import numpy as np
images=[]
env.step(1)
images.append(env.render())
env.step(1)
images.append(env.render())
env.step(1)
images.append(env.render())
env.step(2)
images.append(env.render())
env.step(2)
images.append(env.render())
env.step(2)
images.append(env.render())
env.step(2)
images.append(env.render())
env.step(2)
imageio.mimsave('./render.mp4', [np.array(img) for i, img in_u
enumerate(images)], fps=1)
```

IMAGEIO FFMPEG_WRITER WARNING: input image is not divisible by macro_block_size=16, resizing from (550, 350) to (560, 352) to ensure video compatibility with most codecs and players. To prevent resizing, make your input image divisible by the macro_block_size or set the macro_block_size to 1

```
(risking incompatibility). 
 [swscaler @ 0x7fb6cbf8e000] Warning: data is not aligned! This can lead to a speed loss
```

[10]: <IPython.core.display.HTML object>

xg0nx69i4

December 17, 2023

```
[]: pip install -r https://raw.githubusercontent.com/malkiAbdelhamid/

Advanced-Deep-Learning-2023-2024-esisba/master/lab1_QLearning/

requirements_lab1.txt
```

Before you solve a Reinforcement Learning problem you need to define what are

- the environment
- the states
- the actions
- the rewards

We are using the FrozenLake-v1 environment from OpenAI's gym: https://www.gymlibrary.dev/environments/toy_text/frozen_lake/

FrozenLake-v1 is an easy environment because the action space is small, and the state space is large but finite.

Environments with a finite number of actions and states are called tabular

0.0.1 Import the Gymnasium Library

```
[]: import gymnasium as gym from gymnasium.envs.toy_text.frozen_lake import generate_random_map
```

0.0.2 Create a FrozenLake-v1 environment with gym.make()

- default map=4x4
- In order to display the environment's current state you need to add the parameter==> render_mode="rgb_array"

```
[]: env=gym.make("FrozenLake-v1", is_slippery=False, render_mode="rgb_array")

#env=gym.make("FrozenLake-v1", desc=generate_random_map(size=8), map_name="8x8",

sis_slippery=False, render_mode="rgb_array")
```

0.0.3 We reset the environment to its initial state with state = env.reset()

```
[]: state=env.reset()
```

State space

- The state is a value representing the agent's current position as current_row * nrows + current_col (where both the row and col start at 0).
- For example, the goal position in the 8x8 map can be calculated as follows: 7 * 8 + 7 = 63. The number of possible observations is dependent on the size of the map. For example, the 8x8 map has 64 possible states.

```
[]: print("State Space {}".format(env.observation_space.n))
print(env.observation_space.sample())
```

Action space:

The agent takes a 1-element vector for actions. The action space is (dir), where dir decides direction to move in which can be:

- 0: LEFT
- 1: DOWN
- 2: RIGHT
- 3: UP

```
[]: print("Action Space {}".format(env.action_space.n))
print(env.action_space.sample())
```

env.render(): display the environment's current state

```
[]: import matplotlib.pyplot as plt
image=env.render()
plt.imshow(image)
```

env.step(n_action)-> next state, reward, terminated, truncated, info

Updates an environment with actions returning:

- the next agent state,
- the reward for taking that actions,
- if the environment has terminated or truncated due to the latest action
- and information from the environment about the step

```
[]: #apply the right action,
next_state, reward, isTerminated, isTruncated, _=env.step(2)
print(next_state, reward, isTerminated, isTruncated)
```

```
plt.imshow(env.render())
```

First, reset the environment, then define the trajectory which is a set of necessary actions required to achieve the goal

Finally, record the different steps through a video

```
[]: import imageio
     import numpy as np
     env.reset()
     images=[]
     images.append(env.render())
     #right, right, down, down, down, right
     env.step(2)
     images.append(env.render())
     env.step(2)
     images.append(env.render())
     env.step(1)
     images.append(env.render())
     env.step(1)
     images.append(env.render())
     env.step(1)
     images.append(env.render())
     env.step(2)
     images.append(env.render())
     imageio.mimsave('./render.mp4', [np.array(img) for i, img in_
      →enumerate(images)], fps=1)
```

0.1 vectorized environment gym

We create a vectorized environment (a method for stacking multiple independent environments into a single environment) of 16 environments, this way, we'll have more diverse experiences during the training. https://gymnasium.farama.org/api/vector/

```
[]: envs=gym.vector.make('FrozenLake-v1', num_envs=4)
    env2=gym.make('FrozenLake-v1')

[]: envs.reset(seed=42)

[]: env.reset()
```