

* Unit 1 :

Subtopics to study :

- 1) OS
- 2) Components of OS
- 3) Major goals of OS
- 4) Booting procedure
- 5) Understand OS role
- 6) General Structure

Multi pro

+ Sym

+ Asym.

Clustered
Systems

Sym

Asym.

7). Evolution of OS

- Serial
- Batch
- Buff & Spooling
- Multiprogram
- Multi processing.
- Time sharing
- Parallel systems
- Distributed & Real time.

8). System Calls

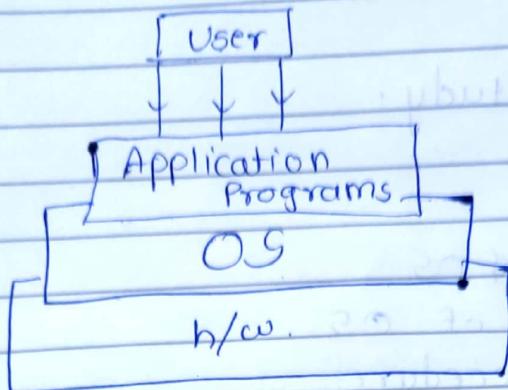
9). System Program

10). OS structure

(Simple, layered and Kernel approach)

11) Virtual M/Cs.

* OS definition



OS \Rightarrow to access h/w, interface.

When program is in execution \Rightarrow process

\downarrow
passive & secondary
storage

\rightarrow
main memory
execution.

Application prog. can't access H/W
alone or else confusion !!

Only OS can access it.

* Boot procedure

Power on Self Test (POST)

(to check if H/W works or not)



In ROM,

Firmware \Rightarrow activate software.



HDD activated



Bootstrap will load OS in MM.



Once OS in MM \Rightarrow GUI appears.

* Functionality of OS

(Services provided!)

- i). Process management.
- ii). Memory management.
- iii). Device management.
- iv). File Management.
- v). Security
- vi). Secondary storage management.

Basic goals of OS

Primary User friendly / convinient. Secondary Efficient.

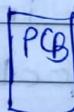
"Those who are habituated with something, will not change it."

1. Process management:

deletion / creation of process.

Scheduling, resuming, suspending and terminating stuff.

Process Control Block \Rightarrow



2. Memory management:

Partitions, types, sizes, free for allocation, deallocation.

3. Device management:

I/O device management.
(resources).

9/1.

* Evolution of OS:

* Earlier systems.

* Serial processing:

Operator executes the program, then operator executes the program one by one in a serial manner

* Batch processing:

Job with similar needs are grouped in a batch, that batch is executed together.
Maintain same environment.

Not serial, advantage: a little faster
disadvantage: If speed mismatch,
CPU will be idle for longer time 😔.

* Buffering & Spooling:

i/p, stored in buffer, so next i/p must be ready by the time CPU processes first input, hence a bit of performance ↑.

Spooling: Simultaneous Peripheral Operation Online. It will overlap i/p and o/p. Card reader: prog. stored in disk is read and stored directly and sent to processor.

* Throughput:

No. of tasks performed per unit time. Speed up time.

* Multiple Programming

Main memory stores multiple ready to run programs. Simultaneous operation.

Single processor executes multiple programs.

Here CPU won't be idle for long.

Memory utilization is efficient as progs. stored.

Throughput is high.

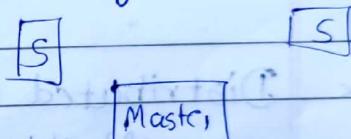
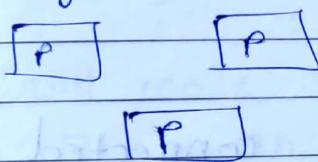
Hence better than serial, batch, buffer & spool

But more scheduling is required.

Good CPU scheduling is needed.

* Multiprocessing:

Multiple processors are involved. Can be Symmetric OR Asymmetric



All are peers (P)

Independent control.

System can't collapse

More scheduling

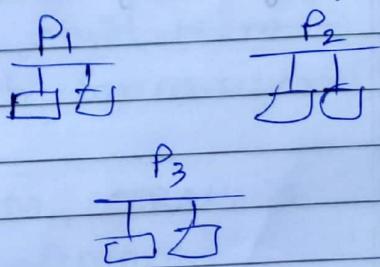
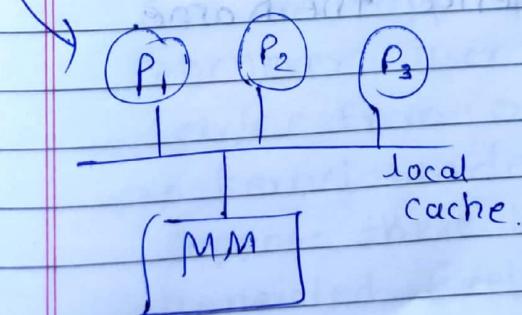
If Master fails

PCB x

Less scheduling

Multiprocessing is better than multiple single processor because cost & reliability

Multiple single proc



* Time sharing / Multitasking :

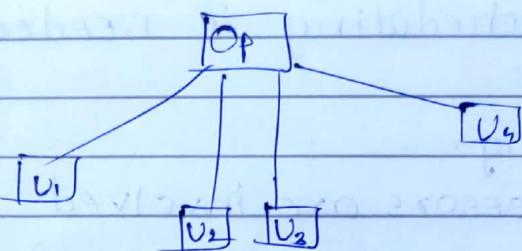
Giving turns to all users , all users are handled in round about fashion.

Every user will have a time slot.

Similar to concept of lazy loading .

(loading slowly ... because everyone is taken care of .. but switching is so fast

that users can feel as if they are using alone).



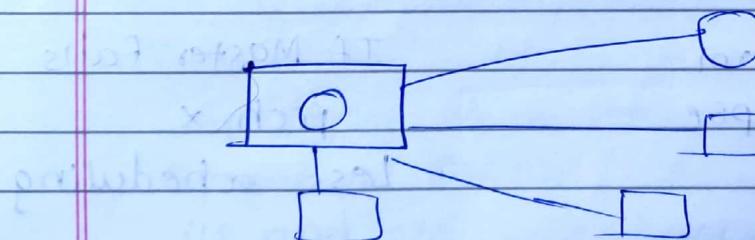
U_i = Users

Take turns

and simultaneously
time sharing

* Distributed Systems

14/1. Multiple computers are connected to a server kind of thing.



Like home branch and local branch of a bank. Data can be distributed, globally accessed, hence the name.

* Real time systems
like air traffic control, medical imagery, industrial controlling systems. Depends on deadline, real time to produce output.

eg: take off, landing timing.

eg: heart rate during operations.

Types:

Soft: Some delay is okk.

Hard: Deadline, timely manner, etc. strict.

* Dual Boot operation:

Operating System operation.

(Sorry wrong title)

* Dual mode operation:

If it is an OS operation. There are 2 modes (depends on who has control).

User Mode

User has control.

(1)

Single bit is attached to comp. hardware.

Once OS is loaded, \rightarrow Kernel mode

GUI and appl. prog. \rightarrow User mode

Whenever user wants to shift from

service from OS, switch from user

to kernel mode.

Suppose there is a problem, TRAP is generated (related to software),

then user \rightarrow kernel, message displayed.
 i. on monitor, os does this.
 This is a system call.

Whenever services from os are needed,
 system calls are involved.

* OS Services:

Friendly User handling

- User Interface
- I/O operations
- Prog. execution
- Error detection
- Communicator

Resource sharing
Accounting

Protection & Security

• Command line

• Batch interface

• GUI

* Types of prog:

- i). System prog: Compiler, provides environment for user, directly access H/W without user intervention.
- ii). Application prog: Specific tasks, user oriented.
 eg: VLC, spreadsheet, etc

System prog. with the help of os , can directly interact with H/W.

Assignment : "Hello" program system call .

16/1

* Operating System :

→ Traditional Systems

- MS DOS

- UNIX

- MS DOS - not layered.

- no well defined structure

- functionalities not segregated

- (ideally users should not have direct access to hardware)

- UNIX - not layered either

(slightly more than MS DOS)

- limited functionality case

UNIX

	Application Prog.
Kernel →	file CPU, mem, sys-sched, management
H/W →	terminal device mem.

Components in kernel call in one layer.

→ Layered Structure :

- not efficient .

- time consuming.

(need to access all layers to reach h/w).

- chain hierarchy.

Layer 5	User prog. I/O devices device driver memory manag.
Layer 0	CPU scheduling Hardware

* During booting \rightarrow OS loaded in RAM.

CLASSMATE

Date _____
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\rightarrow Kernel (Provides basic functionality of OS)

① Monolithic - Linux, UNIX

② Microkernel: MAC OS X

monolithic: everything combined together

microkernel: Only basic func. in kernel.

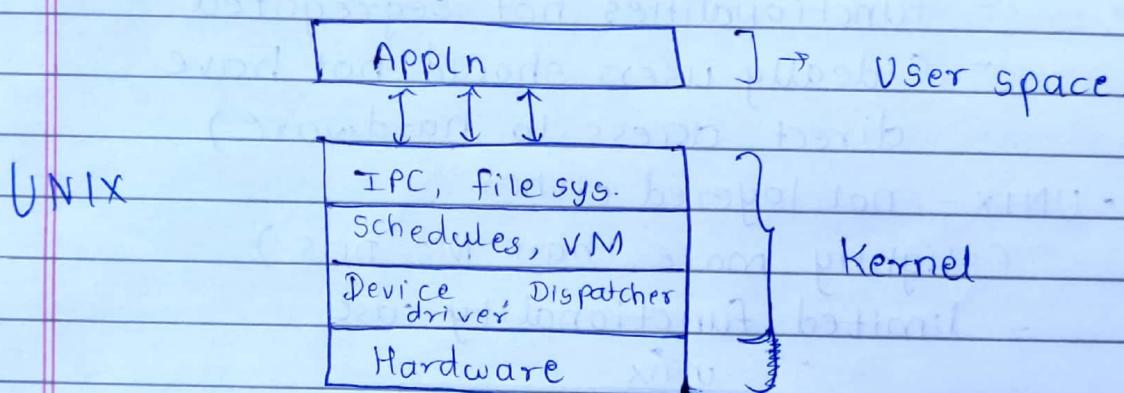
- Kernel compact.

- all other functionalities in.

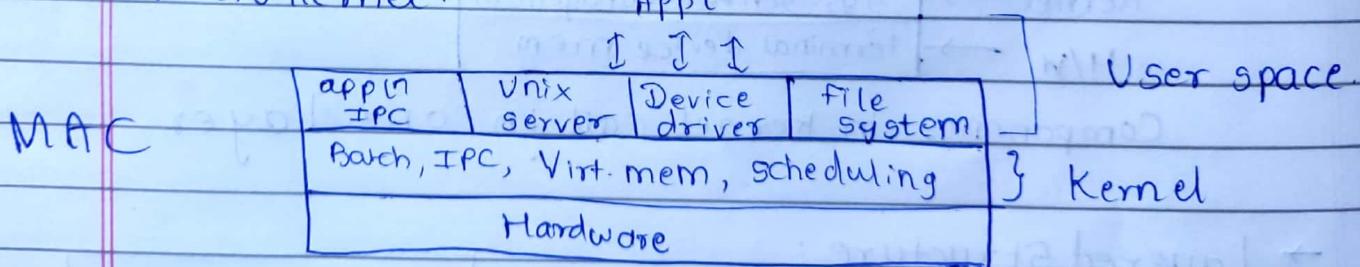
User space (via sys calls).

- can be easily modified unlike monolithic

Monolithic



Micro kernel:

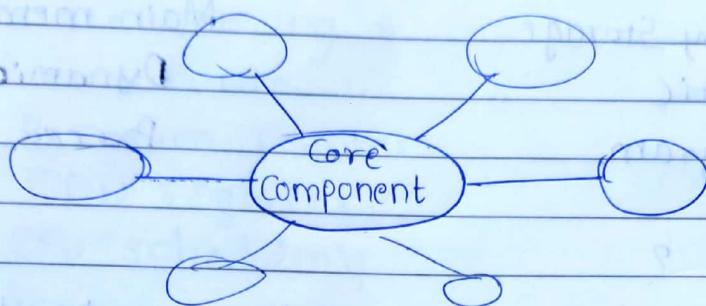


Dispatcher: which process from ready queue (scheduled) to be dispatched into CPU.



* Module based structure:

- Related to object oriented programming
eg: Solaris OS.
- Core component in kernel - all of them being dynamically loadable modules
- can be loaded as and when needed / called.

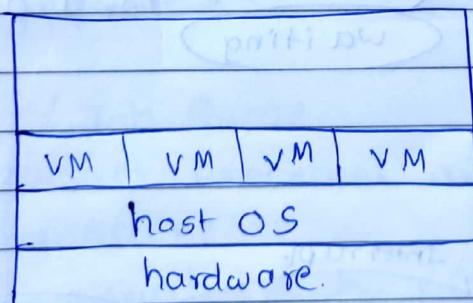


dynamically
loaded/
linked.

- After traditional system, all others are layered.

* Virtual Machine:

- VMWare virtual box
- can create multiple VMs on top of host OS - called guest operating systems



Separate processors & memory for the VM.

- can switch to any guest OS (virtual time multiplexing)
- diff. from dual boot - can run only one OS at a time.

29/1.

* Unit 2 : Process & Threads *

Process:

Program, currently executing.

Dynamic active entity

Secondary Storage

Static

Program.

Main memory

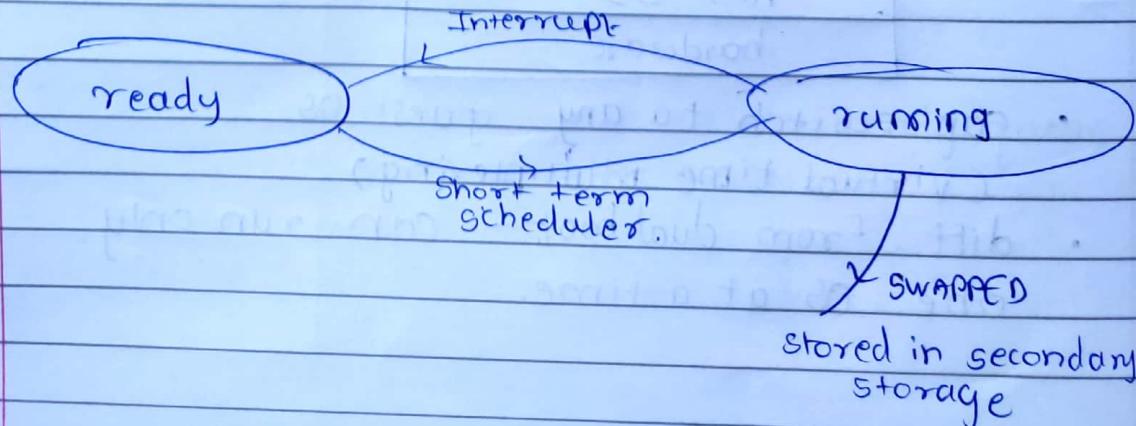
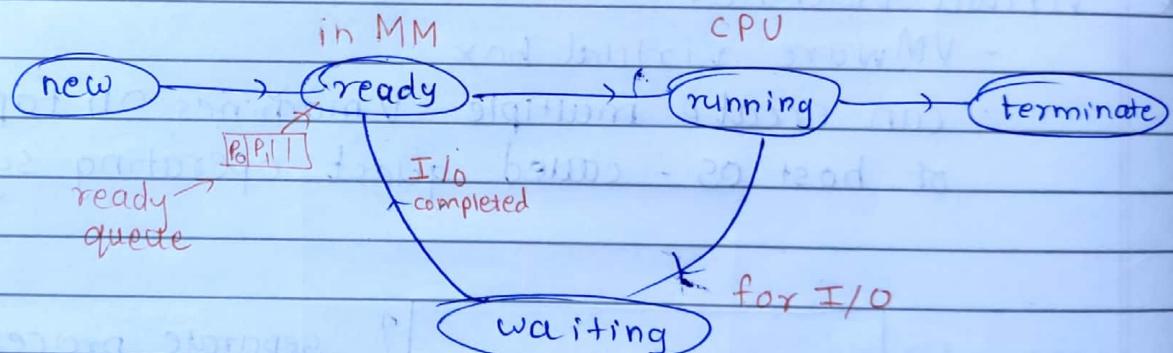
Dynamic

Process.

Threads: ?

A process can have single or multiple threads. (consisting of).

States of process.



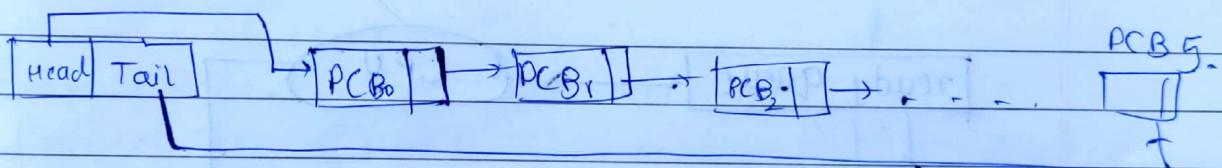
Whenever, process is executed, every state process will have Process Control Block (PCB). When process is over, PCB is released.

Process Control Block. (Task Ctrl. Block).

Tasks are storing of:

- i). Process State
- ii). Program Counter.
- iii). CPU register.
- iv). CPU scheduling
- v). Memory management (paging, seg.)
- vi). Accounting info: (ID, process duration, etc.)

Storage of PCBs in ready queue



Scheduling queue:

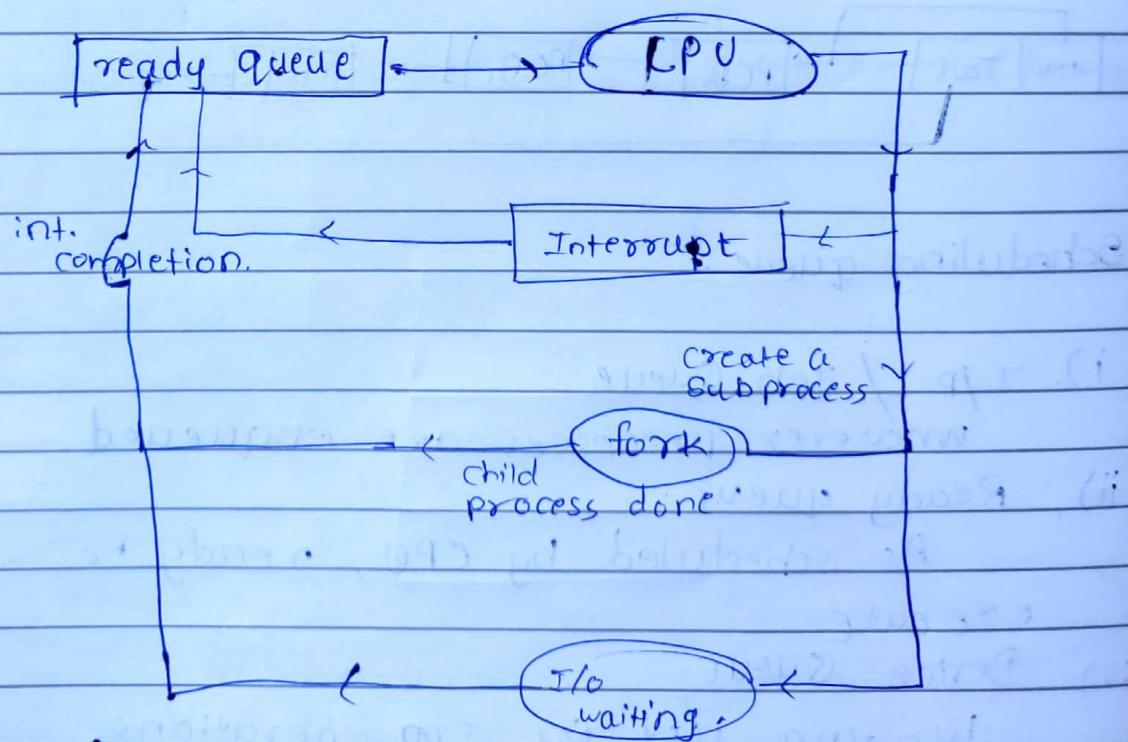
i). I/p / Job Queue.
whatever processes are enqueued.

ii). Ready queue:
As scheduled by CPU, ready to execute.

iv). Device Queue:
Waiting list for I/O operations.

- 1). Secondary I/P queue → ready queue
 Long term scheduler
- 2). ready → CPU
 Short term scheduler.
- 3). CPU MM → Secondary (Swapping)
 Medium term scheduler.

* Process in Execution:



* Context Switch:
(Dispatch latency).

Process to process switching.
Old PCB stored,
New PCB created.

Till tomorrow, whatever will be
taught \Rightarrow T-T portion.

30/1.

Process operation:

- + Creation
- + Termination.

CPU burst \rightarrow I/O wait cycle.

Scheduling Criteria.

Every process, has id, unique
Process ID (pid).

Parent process can create child process.

Sometimes,

- i) Parent has to wait for child.
- ii). Parent & child execute together.

Assignment 3

```
#include <sys/types.h> } header files
<stdio.h> } required to
<unistd.h> } deal with
} system calls
} stdin, stdout, stderr.
```

```
int main() {
```

```
    pid_t pid;
```

```
    pid = fork();
```

→ in order to create
a new process.

```
if (pid < 0) {
```

Generally parent & child
Proc. should have pid > 0

```
    fprintf(stderr,  
            "fork failed");
```

Parent is exec., creates
new child, wait for
child and then resume

```
    return 1
```

```
}
```

```
else if (pid == 0) {
```

```
    execvp("/bin/ls", {"ls", NULL},
```

exit

```
}
```

else

```
    wait(NULL)
```

```
    printf("child completed\n")
```

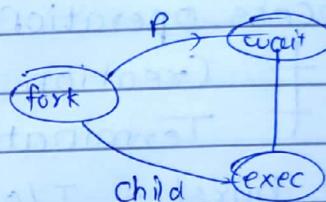
```
    return
```

```
}
```

Generally, parent terminates after

i) child is done

ii) child timer expires.



* CPU burst:

| CPU Burst

| I/O

| CPU Burst

| I/O

:

and so on ..

CPU → process terminated.

* Scheduling:

1). running → waiting (for I/O)

2). running → ready (interrupt)

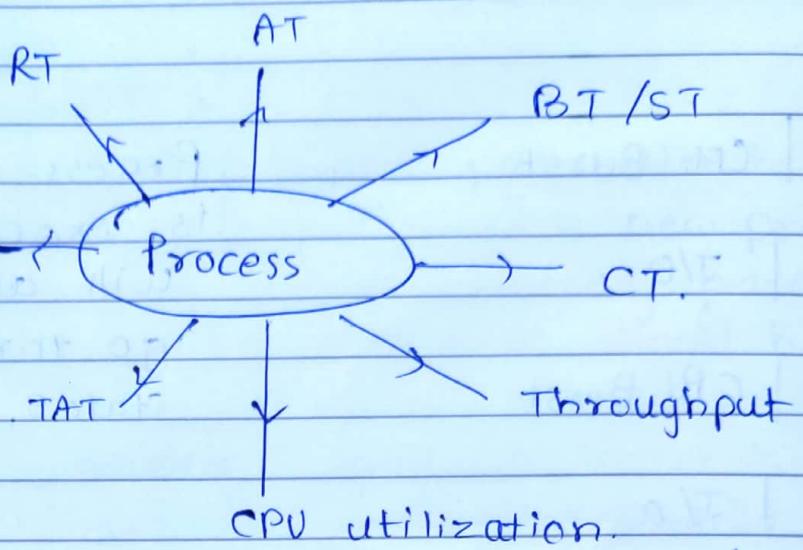
3). waiting → ready (I/O done)

4). Process termination

these four types require scheduling.

For conditions (1) and (4), processes are non preemptive (cooperative.
(Ziddi processes)

For conditions (2) and (3), it can wait, it gives chance to higher priority process / interrupt



WT : Waiting time, how much time it will wait in the ready queue.

TAT : Turn Around Time

RT : Response time : After submission, how long does it take to respond.

BT/ST : Burst time / Service Time.

CT : Completion Time

AT : Arrival Time.

Considered while scheduling.

* Scheduling Algo :

i). FCFS : First come, first service, AT, non preemptive, * Conroy effect.

ii). SJF : Shortest Job First.

Least BT, will be fed to processor,
AT + BT, non preemptive,
same BT, then use FCFS.

iii). SRTF : Shortest remaining Time first.

$[P_1 \quad P_2]$

AT: 0.01ms 0.9ms

BT: more less

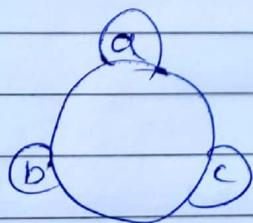
P_1 is 'understanding'

At m.g, P_1 removes,
goes to queue, P_2 served
first.

iv). Priority:

Based on priority.

v). Round Robin



Arrival of multiple
Each one gets a chance
in order (like timesharing).

SJF

* Few terms:

i) Schedule length = $\max(C_i) - \min(A_i)$

ii) Waited TAT = $\sum_{i=1}^n (C_i - A_i) - WB$

iii).

* Solve:

Pno.	AT	BT	CT	TAT	WT
1	0	4	0	4	0
2	1	5	4	8	4
3	2	2	9	9	9
4	3	3	11	11	11
5	4	6	20	16	14

→ Gantt Chart.

FCFS:

P ₁	P ₂	P ₃	P ₄	P ₅
0	4	9	11	14

(will be CT)

2. Pno. AT BT.

1 0 7

2 10 15

3 2 3

4 3 1

5 4 2

6 5 1

SJF	P ₄	P ₆	P ₅	P ₃	P ₂	P ₁
0	4	5	6	8	11	16

~~SRTF:~~

Corrected:

SJF

Make sure, don't keep idle.

P ₁	P ₄	P ₆	P ₅	P ₃	P ₂
0	7	8	9	11	14

(19)

✓ { same }

~~SRTF:~~

CPrem

P ₁	P ₂	P ₃	P ₄	P ₃	P ₃	P ₆	P ₅	P ₂	P ₁
0	1	2	3	4	5	7	9	13	

(19)

✓

Don't do more context switch
 if it is equal/
 already there.

T₁

4/2

* Priority Scheduling :

Preemptive
 (AT + priority.)

Non preemptive.

next page →

P.No. AT BT Priority.

1	0	4	4
2	1	5	5
3	2	2	6
4	3	1	8
5	3	3	2
6	4	6	7

Non Preemp.

P ₁	P ₄	P ₆	P ₃	P ₂	P ₅
0	4	5	11	13	18

Preemp.

P ₁	P ₂	P ₃	P ₄	P ₆	P ₃	P ₂	P ₁	P ₅
0	1	2	3	4	10	11	15	18

* Round Robin:

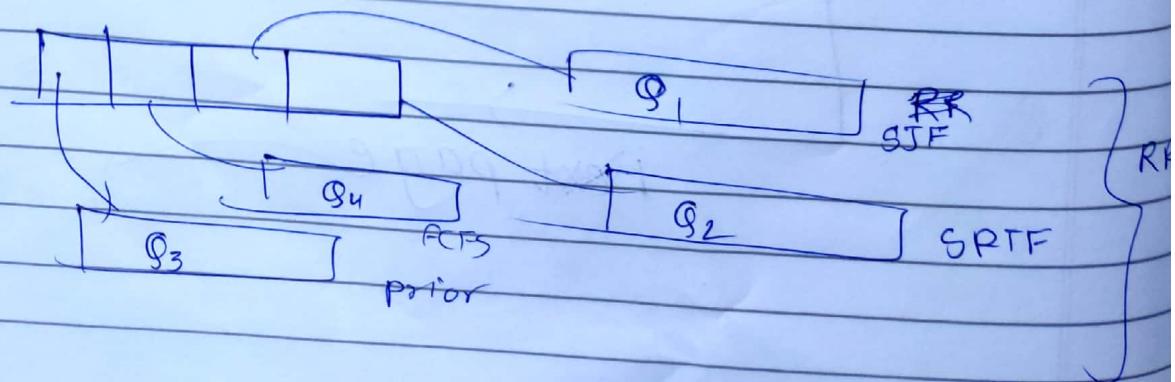
A.T + Time quantum / time slice

Same as above

P ₁	P ₂	P ₃	P ₄	P ₅	P ₆	P ₁	P ₂	P ₅	P ₆	P ₂	P ₆	
0	2	4	6	7	9	11	13	15	16	18	19	21

* Multilevel queue scheduling:

Ready queue is partitioned into different queues.



Here, every queue is scheduled using different algorithm.

Multilevel feed back queue:

Similar, just that, if there is priority considered Q_1 can take more time of CPU. If at all some process in the queue Q_2 is waiting for long, then it can be scheduled in another queue. Time slice per queue is variable.

Aging: High priority is given to a process of lower priority.

Generally

SJF = optimal algorithm.

Bcoz it calculates min. avg. waiting time.

min. avg waiting

$$T_{n+1} = \alpha t_p + (1-\alpha) T_n$$

\downarrow
 n^{th}
cpu burst.

→ Past CPU Burst.

Predicted
CPU burst.

$$0 \leq \alpha \leq 1.$$

If $\alpha = 0$, T_{n+1} = T_n

$$\leftarrow 1, \quad \boxed{T_{n+1} = t_n} .$$

Consider a system with SJF with exponential avg. technique. What could be the next CPU burst of proc. which has earliest completed burst of 5, 8, 3 & 5. Now for an initial value of $T_1 = 10$, $\alpha = 1/2$.

Calculate.

$$T_{1+1} = \alpha t_n + (1-\alpha) T_1$$

$$T_{1+1} = 0.5 * 5 + (1-0.5) * 10$$

$$T_2 = 2.5 + 5$$

$$= \underline{\underline{7.5}}$$

$$T_3 = (0.5 * 8) + (1-0.5) * 7.5$$

$$= 4 + 3.75 = \underline{\underline{7.75}}$$

$$T_4 = (0.5 * 3) + (1-0.5) * 7.75$$

$$= 1.5 + \underline{\underline{5.375}}$$

$$T_5 = \frac{(5 + 5.375)}{2} = \underline{\underline{5.1875}} .$$