

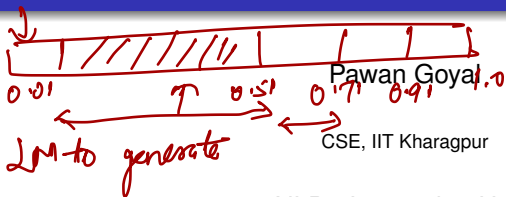
$$P(w | s7)$$

$\downarrow$   
M



10-dim  
prob. dist.

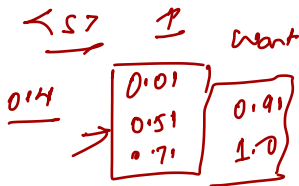
## Neural Language Model



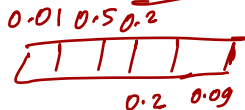
CSE, IIT Kharagpur

NLP - Interaction Hour

sample a word  
from this

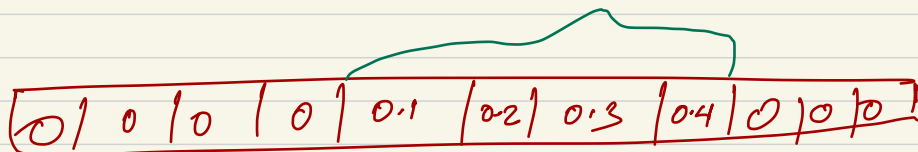


eat  
random pick  
a number bet<sup>n</sup> 0 & 1



food

denied the



$V$

↓ smoothing

$P(w | \text{denied the})$



Uniform-prior smoothing

$V_{oc}$

→

1 million



500,000

words with  
hyper-freq.

# Quiz on Transl(ite)ration

Sanskrit

SLP1

$\text{ṛ} \rightarrow \text{t}$   
 $\text{ṣ} \rightarrow \text{ṭ}$

There are names of some countries in South America, written in the Georgian language, together with their translations to English:

WX notation

Brazilia  
 ბრაზილია  
 პერუ  
 ურუგვაი

Brazil  
 Peru  
 Uruguay

ბრაზილია Japan  
 ჩინა China  
 რუსია Russia

→ არგენტინა  
 → კოლუმბია

→ Argentina  
 → Columbia

What are the names, in English, of the two untranslated countries?

कमल

Sanskrit

↓  
Kamala

कमल

कमल

Hindi  
 ↓  
 Kamal

i → n  
 y → 7  
 कमल → Kamalo

Speech and Language Processing, 3rd Edition (draft): Chapter 9.

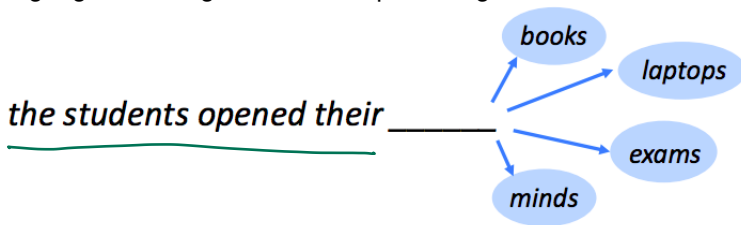
Sequence Processing with Recurrent Networks

<https://web.stanford.edu/~jurafsky/slp3/9.pdf>

*Many of these slides have been adapted from CS 224n. Some material is from the Deep Learning book.*

# Language Modeling

Language Modeling is the task of predicting what word comes next.

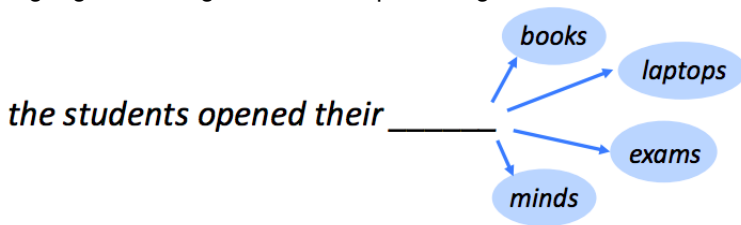


- **Goal:** Compute the probability of a sentence or sequence of words:

$$P(W) = P(w_1, w_2, w_3, \dots, w_n)$$

# Language Modeling

Language Modeling is the task of predicting what word comes next.



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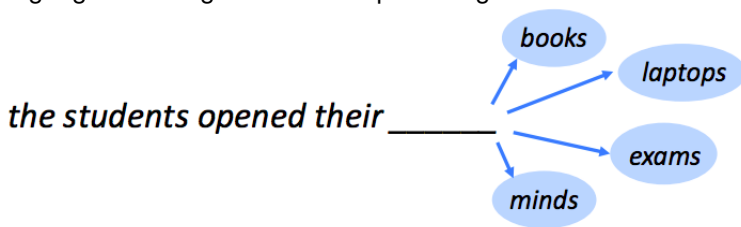
auto-completion

- **Related Task:** probability of an upcoming word:

$$P(w_4 | w_1, w_2, w_3)$$

# Language Modeling

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- **Goal:** Compute the probability of a sentence or sequence of words:

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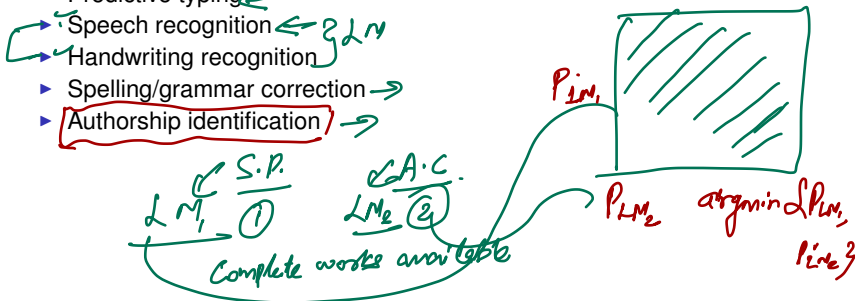
- **Related Task:** probability of an upcoming word:

$$P(w_4 | w_1, w_2, w_3)$$

- A model that computes either of these is called a **language model**

# Why should we care about language modeling?

- Language Modeling is a benchmark task that helps us measure our progress on understanding language
- Language Modeling is a subcomponent of many NLP tasks, especially those involving generating text or estimating the probability of text:
  - ▶ Predictive typing ←
  - ▶ Speech recognition ←  $\{LM$
  - ▶ Handwriting recognition ←
  - ▶ Spelling/grammar correction →
  - ▶ Authorship identification →





# *n*-gram language models

*the students opened their \_\_\_\_\_*

**Question:** How to learn a Language Model?

**Answer** (pre- Deep Learning): learn a *n*-gram Language Model!

**Definition:** A *n*-gram is a chunk of *n* consecutive words.

- **uni**grams: "the", "students", "opened", "their"
- **bi**grams: "the students", "students opened", "opened their"
- **tri**grams: "the students opened", "students opened their"
- **4**-grams: "the students opened their"

**Idea:** Collect statistics about how frequent different *n*-grams are, and use these to predict next word.

# *n*-gram language models

- First we make a **simplifying assumption**:  $x^{(t+1)}$  depends only on the preceding  $n-1$  words.

$$P(x^{(t+1)} | x^{(t)}, \dots, x^{(1)}) = P(x^{(t+1)} | \overbrace{x^{(t)}, \dots, x^{(t-n+2)}}^{n-1 \text{ words}}) \quad (\text{assumption})$$
  
$$= \frac{P(x^{(t+1)}, x^{(t)}, \dots, x^{(t-n+2)})}{P(x^{(t)}, \dots, x^{(t-n+2)})} \quad \left( \begin{array}{l} \text{prob of a } n\text{-gram} \\ \text{prob of a } (n-1)\text{-gram} \end{array} \right) \quad (\text{definition of conditional prob})$$

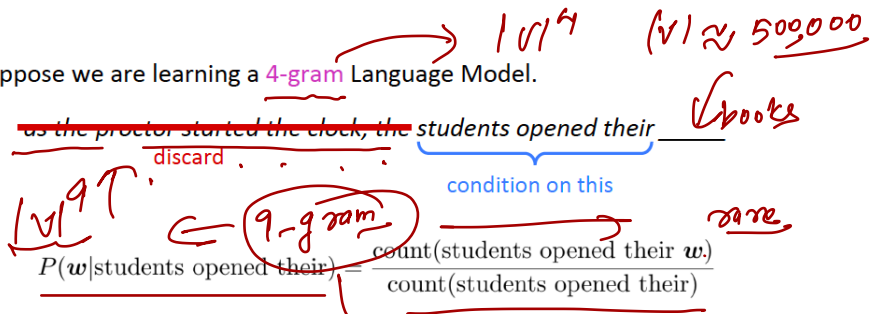
- Question:** How do we get these  $n$ -gram and  $(n-1)$ -gram probabilities?
- Answer:** By **counting** them in some large corpus of text!

$$P_i \quad P(x_2 | x_1) \approx \frac{\text{count}(x^{(t+1)}, x^{(t)}, \dots, x^{(t-n+2)})}{\text{count}(x^{(t)}, \dots, x^{(t-n+2)})} \quad (\text{statistical approximation})$$

$P(x_2 | x_1) / C(x_1)$

# *n*-gram language models: Example

Suppose we are learning a 4-gram Language Model.



For example, suppose that in the corpus:

- “students opened their” occurred 1000 times
- “students opened their books” occurred 400 times
  - $\rightarrow P(\text{books} | \text{students opened their}) = \underline{0.4}$
- “students opened their exams” occurred 100 times
  - $\rightarrow P(\text{exams} | \text{students opened their}) = \underline{0.1}$

How many-parameters

Should we have discarded the “proctor” context?

unigram LM :  $|V|$

bi-gram :  $|V|^2$

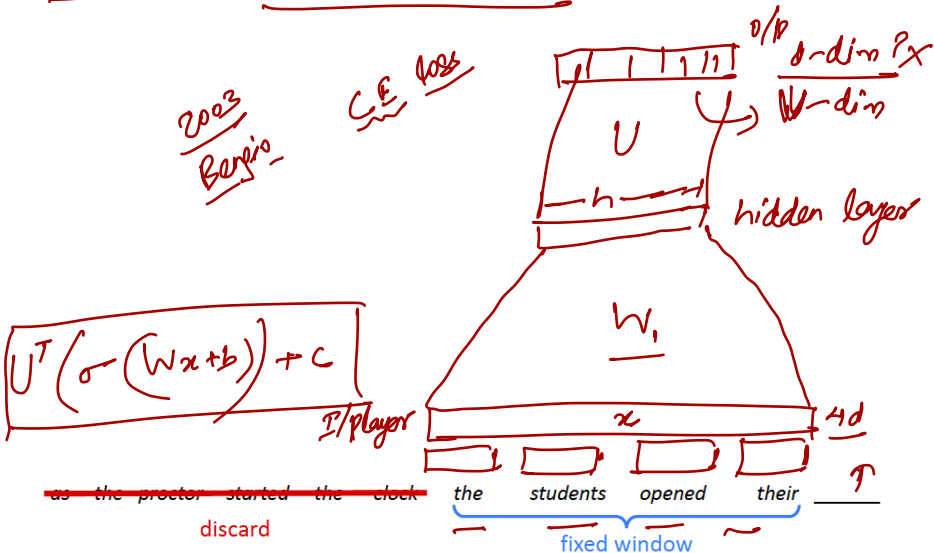
# Storage Problems with $n$ -gram Language Model

**Storage:** Need to store count for all  $n$ -grams you saw in the corpus.

$$P(\mathbf{w}|\text{students opened their}) = \frac{\text{count}(\text{students opened their } \mathbf{w})}{\text{count}(\text{students opened their})}$$

Increasing  $n$  or increasing corpus increases model size!

# A fixed-window neural language model

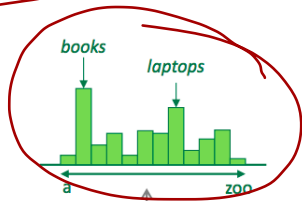


# A fixed-window neural language model

Adv. over ngram LM?

output distribution

$$\hat{y} = \text{softmax}(Uh + b_2) \in \mathbb{R}^{|V|}$$



$O(NI)$

hidden layer

$$h = f(We + b_1)$$

concatenated word embeddings

$$e = [e^{(1)}; e^{(2)}; e^{(3)}; e^{(4)}]$$

words / one-hot vectors

$$x^{(1)}, x^{(2)}, x^{(3)}, x^{(4)}$$

$U + hx$

$W \rightarrow H_d \times H_h$   
 $S_d$

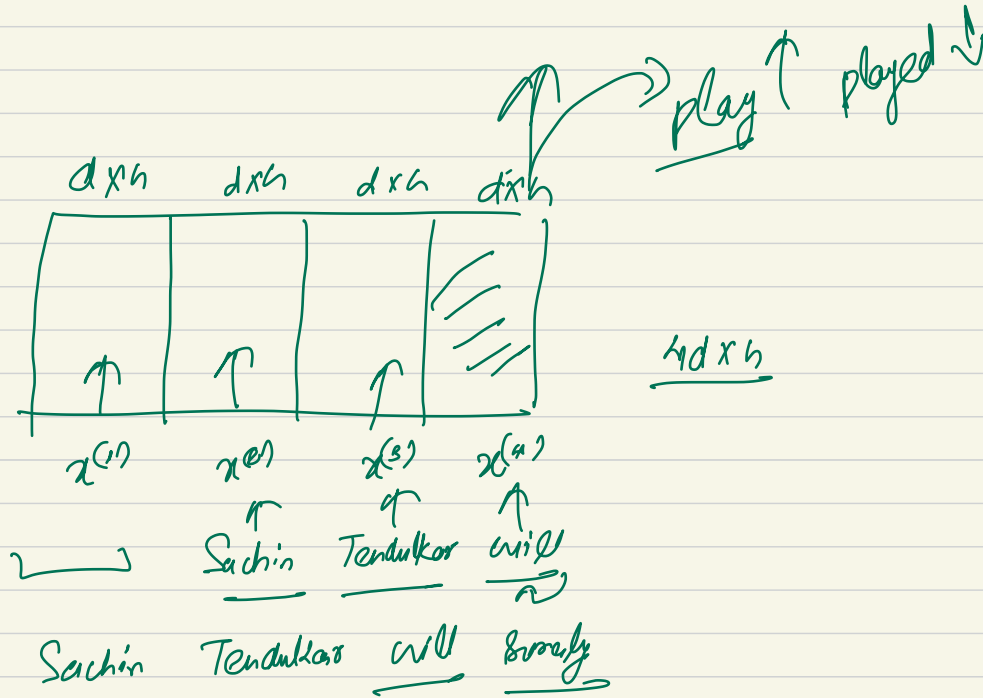
$h$

$e$

$x^{(1)}$   $x^{(2)}$   $x^{(3)}$   $x^{(4)}$

the students opened their

$x^{(1)}$   $x^{(2)}$   $x^{(3)}$   $x^{(4)}$



# A fixed-window neural language model

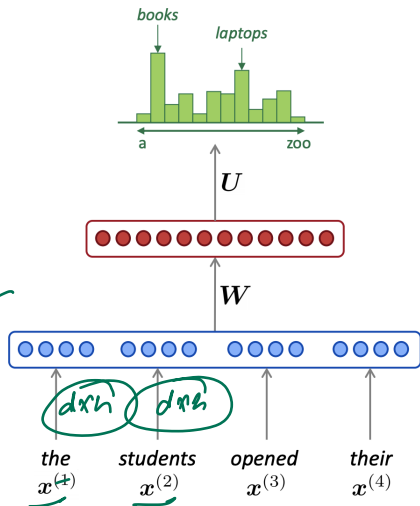
**Improvements** over  $n$ -gram LM:

- No sparsity problem
- Don't need to store all observed  $n$ -grams

Remaining **problems**:

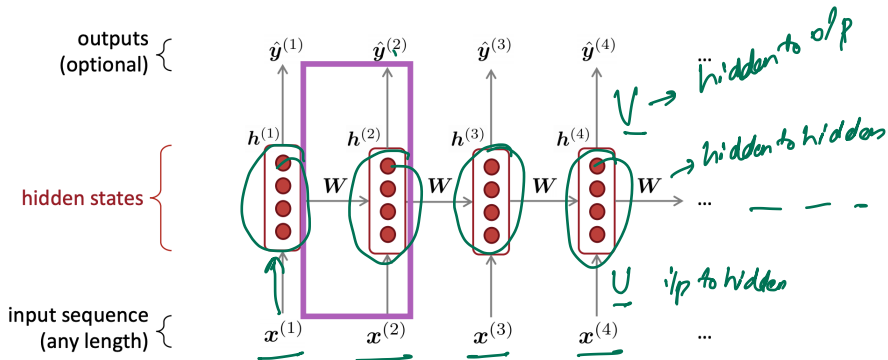
- Fixed window is **too small**
- Enlarging window enlarges  $W$
- Window can never be large enough!
- $x^{(1)}$  and  $x^{(2)}$  are multiplied by completely different weights in  $W$ .  
**No symmetry** in how the inputs are processed.

We need a neural architecture that can process *any length* input





# Recurrent Neural Networks



## Core Idea

Apply the same weights repeatedly!

We can process a sequence of vectors  $x$  by applying a recurrence formula at each step:

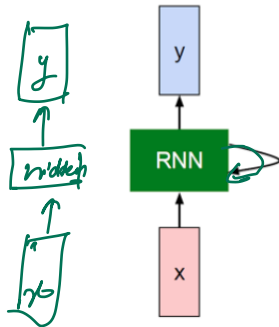
# Recurrent Neural Networks

We can process a sequence of vectors  $x$  by applying a recurrence formula at each step:

$$h_t = f_W(h_{t-1}, x_t)$$

new state      some function with parameters  $W$       old state      input vector at some time step

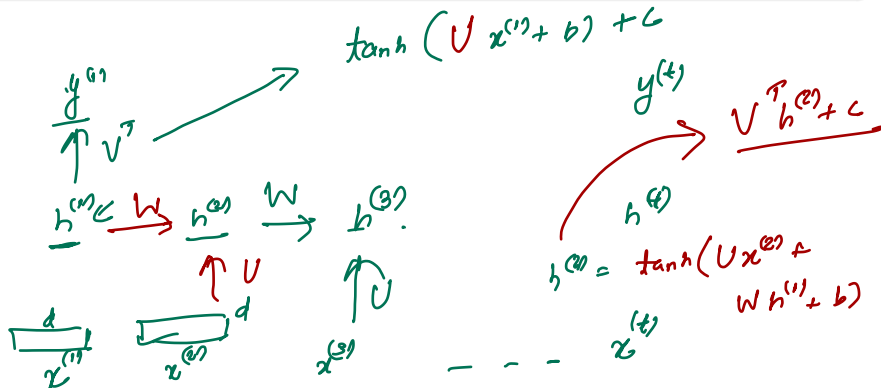
Notice: the same function and the same set of parameters are used at every time step.



# Forward propagation for the RNN: first model

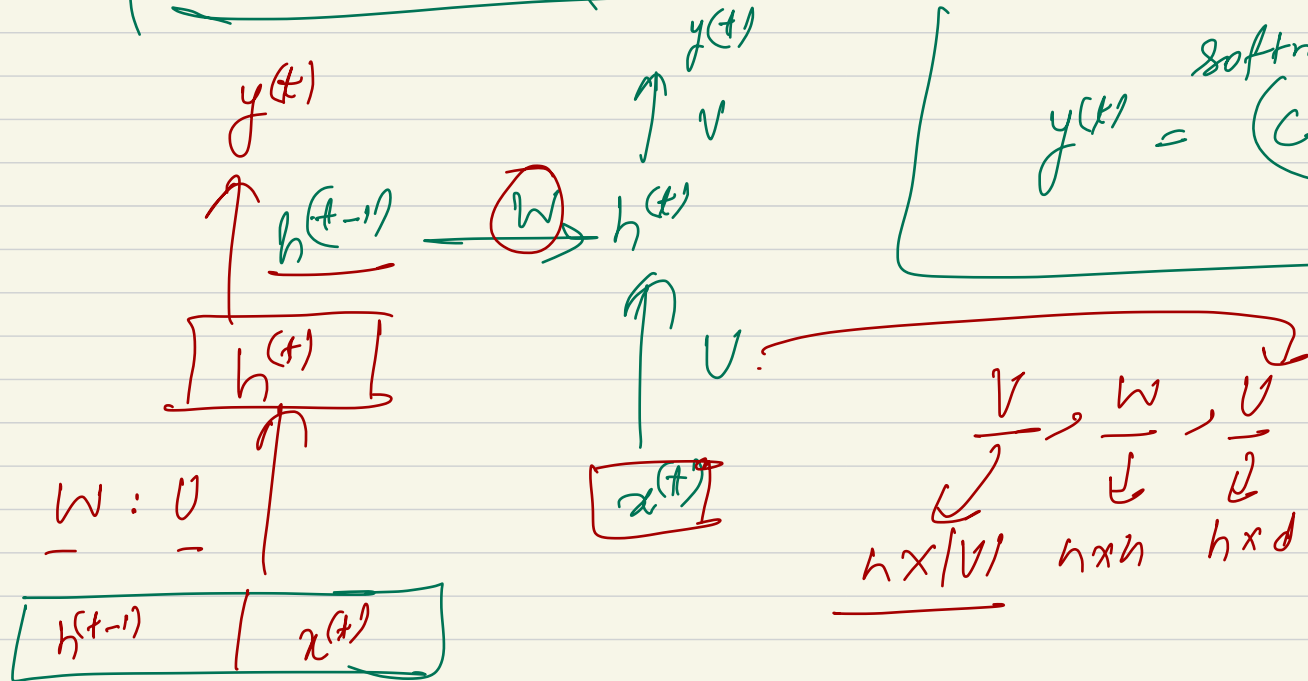
## Activation function for the hidden units

Assume the hyperbolic tangent activation function



$$h^{(t)} = \tanh(W h^{(t-1)} + U x^{(t)} + b)$$

$$y^{(t)} = \text{softmax}(C + V h^{(t)})$$



# Forward propagation for the RNN: first model

## *Activation function for the hidden units*

Assume the hyperbolic tangent activation function

## *Form of output and loss function*

Assume output is discrete - predicting words

We can obtain a vector normalized probabilities over the output -  $\hat{y}$ .

# Forward propagation for the RNN: first model

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## Update Equations

Initial state -  $h^{(0)}$

# Forward propagation for the RNN: first model

## Activation function for the hidden units

Assume the hyperbolic tangent activation function

## Form of output and loss function

Assume output is discrete - predicting words

We can obtain a vector normalized probabilities over the output -  $\hat{y}$ .

## Update Equations

Initial state -  $h^{(0)}$

From  $t = 1$  to  $t = \tau$ , the following update equation is applied:

$$a^{(t)} = b + Wh^{(t-1)} + Ux^{(t)}$$



# Forward Propagation

$$a^{(t)} = b + Wh^{(t-1)} + Ux^{(t)}$$

$$h^{(t)} = \tanh(a^{(t)})$$

$$o^{(t)} = c + Vh^{(t)}$$

$$\hat{y}^{(t)} = \text{softmax}(o^{(t)})$$

pred.

# Forward Propagation

$$a^{(t)} = b + Wh^{(t-1)} + Ux^{(t)}$$

$$h^{(t)} = \tanh(a^{(t)})$$

$$o^{(t)} = c + Vh^{(t)}$$

$$\hat{y}^{(t)} = \text{softmax}(o^{(t)})$$

This maps an input sequence to an output sequence of the same length.

# Loss Function

Total loss is sum of the losses over all the time steps.


So, if  $L^{(t)}$  is the negative log likelihood of  $y^{(t)}$  given  $x^{(1)}, \dots, x^{(\tau)}$ , then

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$$\begin{aligned} & L(\{x^{(1)}, \dots, x^{(\tau)}\}, \{y^{(1)}, \dots, y^{(\tau)}\}) \\ = & \sum_t L^{(t)} \\ = & - \sum_t \log p_{\text{model}}(y^{(t)} | \{x^{(1)}, \dots, x^{(\tau)}\}) \end{aligned}$$

  
correct class

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↙ CE

where  $p_{\text{model}}(y^{(t)} | \{x^{(1)}, \dots, x^{(\tau)}\})$  is given by reading the entry for  $y^{(t)}$  from the model's output vector  $\hat{y}^{(t)}$

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Back propagation - right to left - back propagation through time (BPTT)

## A RNN Language Model

output distribution

$$\hat{y}^{(t)} = \text{softmax}(\cancel{h^{(t)}} + b_2) \in \mathbb{R}^{|V|}$$

hidden states

$$h^{(t)} = \sigma(W_h h^{(t-1)} + W_e e^{(t)} + b_1)$$

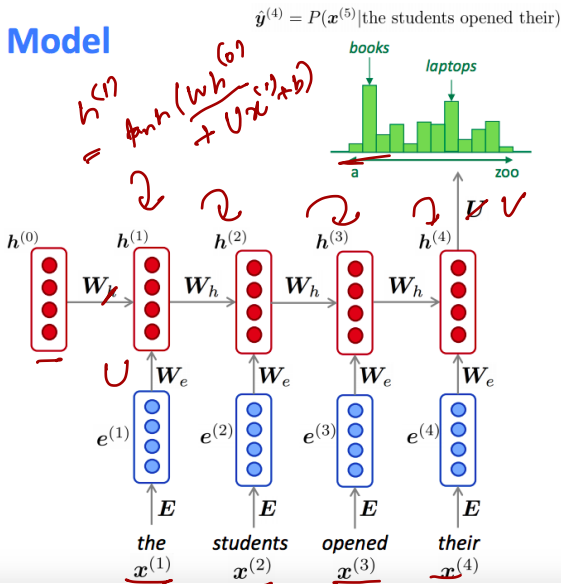
$h^{(0)}$  is the initial hidden state

word embeddings

$$e^{(t)} = E x^{(t)}$$

words / one-hot vectors

$$x^{(t)} \in \mathbb{R}^{|V|}$$



# Example RNN

predict by  
next char.  
4 char  
[h, e, l, l]  
hell ilp

