

Week 4: Related Rates, Maxima, Derivative Tests

October 11, 2021

- 1 Related rates
- 2 Maxima and Minima
 - Definition
 - Critical Number
 - Mean Value Theorem
 - Rolle's Theorem
- 3 Derivatives and Shapes of Graphs
 - Increasing/Decreasing Test
 - First Derivative Test
 - Concavity Test
 - Second Derivative Test

1 Related rates

2 Maxima and Minima

- Definition
- Critical Number
- Mean Value Theorem
- Rolle's Theorem

3 Derivatives and Shapes of Graphs

- Increasing/Decreasing Test
- First Derivative Test
- Concavity Test
- Second Derivative Test

Related rates

Related rates concern **real-world problems** related to **derivatives**.

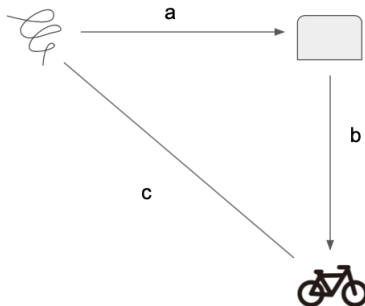
Strategy for solving related rates problems.

- 1 Draw a diagram
- 2 Assign variables
- 3 Express the problem in terms of derivatives

They are not easy, but the key is at least know that derivatives can be used to solve such problem.

Example

A tornado is 20 km west of us, heading due east towards your house at a rate of 40 km/h. You take your bicycle and ride due south at a speed of 12 km/h. How fast is the distance between you and the tornado changing after 15 minutes?



Example

A tornado is 20 km west of us, heading due east towards your house at a rate of 40 km/h. You take your bicycle and ride due south at a speed of 12 km/h. How fast is the distance between you and the tornado changing after 15 minutes?

$$a^2 + b^2 = c^2$$

$$\frac{d}{dt}(a^2 + b^2) = \frac{d}{dt}(c^2)$$

$$2a * \frac{da}{dt} + 2b * \frac{db}{dt} = 2c * \frac{dc}{dt}$$

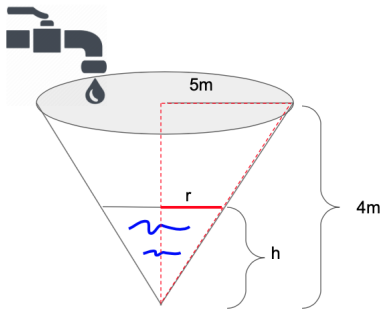
$$\frac{da}{dt} = -40; \frac{db}{dt} = 12, \text{ when } t = 0.25 \text{ hrs, } a = 10, b = 3, c^2 = 10^2 + 3^2 = \sqrt{109}$$

$$2(10) * (-40) + 2(3) * 2 = 2 * \sqrt{109} * \frac{dc}{dt}$$

$$\frac{dc}{dt} = -35 \text{ km/h}$$

Example

Water flows into a tank at a rate of 3 cubic meters per minute. The tank is shaped like a cone with a height of 4 meters and a radius of 5 meters at the top. Find the rate at which the water level is rising in the tank when the water height is 2 meters. Find $\frac{dh}{dt}$.



Example

Water flows into a tank at a rate of 3 cubic meters per minute. The tank is shaped like a cone with a height of 4 meters and a radius of 5 meters at the top. Find the rate at which the water level is rising in the tank when the water height is 2 meters. Find $\frac{dh}{dt}$.

$$V = \frac{1}{3}(\text{area})(\text{height}) = \frac{1}{3}\pi r^2 h$$

$$= \frac{1}{3}\pi \frac{25}{16} h^3 = \frac{25}{48}\pi h^3 \quad \left(\frac{r}{h} = \frac{5}{4} : \text{Properties of similar triangles}\right)$$

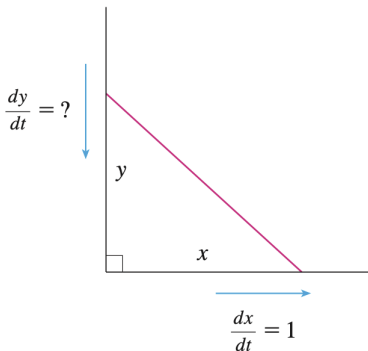
$$\frac{dV}{dt} = \frac{25}{48}\pi 3h^2 \frac{dh}{dt}$$

$$3 = \frac{25}{48}\pi 3(2)^2 \frac{dh}{dt}$$

$$\frac{12}{25}\pi = \frac{dh}{dt} \quad (\text{meter per minute})$$

Self-Exercise

A ladder 10 ft long rests against a vertical wall. If the bottom of the ladder slides away from the wall at a rate of 1 ft/s, how fast is the top of the ladder sliding down the wall when the bottom of the ladder is 6 ft from the wall?



Self-Exercise

A ladder 10 ft long rests against a vertical wall. If the bottom of the ladder slides away from the wall at a rate of 1 ft/s, how fast is the top of the ladder sliding down the wall when the bottom of the ladder is 6 ft from the wall?

$$x^2 + y^2 = 100$$

$$2x \frac{dx}{dt} = 2y \frac{dy}{dt} = 0$$

$$\frac{dy}{dt} = -\frac{x}{y} \frac{dx}{dt}$$

When $x = 6$, $y = 8$. Also, we know $\frac{dx}{dt} = 1$, thus

$$\frac{dy}{dt} = -\frac{6}{8} * 1 = -\frac{3}{4} \text{ ft/s}$$

1 Related rates

2 Maxima and Minima

- Definition
- Critical Number
- Mean Value Theorem
- Rolle's Theorem

3 Derivatives and Shapes of Graphs

- Increasing/Decreasing Test
- First Derivative Test
- Concavity Test
- Second Derivative Test

Definition

A function $f(x)$ has an **absolute maximum** at $x = c$ if $f(c) \geq f(x)$ for all x in the **domain** of f .

Definition

A function $f(x)$ has an **absolute minimum** at $x = c$ if $f(c) \leq f(x)$ for all x in the **domain** of f .

Definition

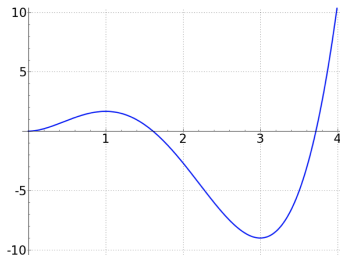
A function $f(x)$ has an **local (relative) maximum** at $x = c$ if $f(c) \geq f(x)$ for all x **near** c .

Definition

A function $f(x)$ has an **local (relative) minimum** at $x = c$ if $f(c) \leq f(x)$ for all x **near** c .

Example

Where is the absolute minimum, maximum; local minimum, maximum?



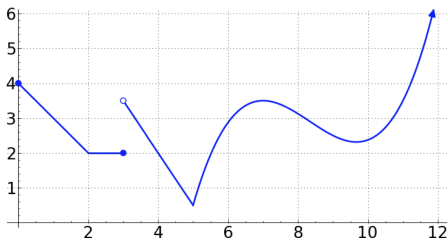
Abs. min: $(3, -8)$ Abs. max: $(4, 10)$

Local min: $(3, -8)$ Local max: $(1, 2)$

Note: $(4, 10)$ is NOT a local max because $f(x)$ is not defined on an open interval around 4

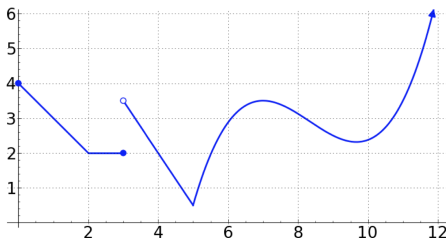
Exercise

Where is the absolute minimum, maximum; local minimum, maximum?



Exercise

Where is the absolute minimum, maximum; local minimum, maximum?



Abs. min: $(5, 0.5)$

Abs. max: None because the graph keeps going up

Local min: $(2, 2)$ to $(3, 2)$; $(5, 0.5)$; $(9.8, 2.2)$

Local max: $(7, 3.5)$

Note: $(0, 4)$ is NOT a local max because $f(x)$ is not defined on an open interval

Note: $(3, 3.5)$ is NOT a local max because it is not defined, and also, there is always a higher value if you keep close in on $(3, 3.5)$

Critical Number

Definition

A number c is critical number for a function c if $f'(c)$ DNE or $f'(c) = 0$.

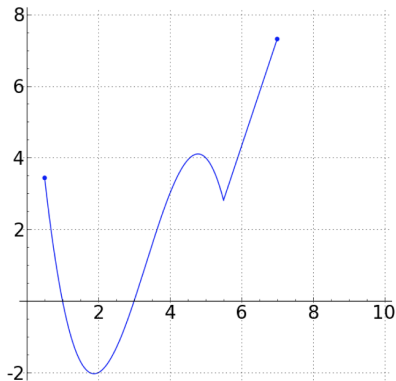
Proposition

If f has a local max or min at $x = c$, then c must be a critical number for f .

If c is a critical number, $f(c)$ may or may not be a local max or min (e.g. $f(x) = x^3$ at $c = 0$).

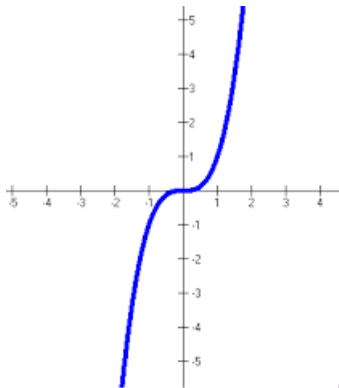
Example

If f has a local max or min at $x = c$, then c must be a critical number for f .



Example

If c is a critical number, $f(c)$ may or may not be a local max or min (e.g. $f(x) = x^3$ at $c = 0$).



Example

Find critical numbers of $f(x) = x^{3/5}(4 - x)$

Example

Find critical numbers of $f(x) = x^{3/5}(4 - x)$

$$f'(x) = \frac{12 - 8x}{5x^{2/5}}$$

Find $f'(x) = 0$ or $f'(x) = \text{DNE}$.

$$f'(x) = 0 \text{ when } x = \frac{3}{2}$$

$$f'(x) = \text{DNE} \text{ when } x = 0$$

Exercise

Find critical numbers of $g(t) = |3t - 4|$

Exercise

Find critical numbers of $g(t) = |3t - 4|$

$$g(t) = \begin{cases} 3t - 4 & \text{if } 3t - 4 \geq 0 \\ -(3t - 4) & \text{if } 3t - 4 < 0 \end{cases}$$

$$g(t) = \begin{cases} 3t - 4 & \text{if } t \geq \frac{4}{3} \\ -(3t - 4) & \text{if } t < \frac{4}{3} \end{cases}$$

$$g'(t) = \begin{cases} 3 & \text{if } t \geq \frac{4}{3} \\ -3 & \text{if } t < \frac{4}{3} \end{cases}$$

Since the derivative is a sharp corner at $\frac{4}{3}$, thus $f'(\frac{4}{3}) = \text{DNE}$, thus $\frac{4}{3}$ is the critical number.

Absolute Maximum/Minimum

Proposition (The Closed Interval Method)

To find the absolute maximum and minimum values (also called extreme values) of a continuous function f on a closed interval $[a, b]$:

- 1 Find the values of f at the critical numbers of f in $[a, b]$.
- 2 Find the values of f at the endpoints of the interval.
- 3 The largest of the values from Steps 1 and 2 is the absolute maximum value; the smallest of these values is the absolute minimum value.

Example

Find the absolute minimum and maximum of $f(x) = x^3 - 3x^2 + 1$
in $[-\frac{1}{2}, 4]$

Example

Find the absolute minimum and maximum of $f(x) = x^3 - 3x^2 + 1$
in $[-\frac{1}{2}, 4]$

- $f'(x) = 3x(x - 2)$
- The critical numbers are 0 and 2
- The values at critical numbers *inside the interval* are $f(0) = 1$ and $f(2) = -3$
- The values at endpoints are $f(-\frac{1}{2}) = \frac{1}{8}$ and $f(4) = 17$
- Comparing these four numbers, $f(4) = 17$ is the absolute max, and $f(2) = -3$ is the absolute min.

Exercise

Find the absolute minimum and maximum of $f(x) = \frac{x-1}{x^2+x+2}$ in $[0, 4]$

Exercise

Find the absolute minimum and maximum of $f(x) = \frac{x-1}{x^2+x+2}$ in $[0, 4]$

- $f'(x) = \frac{-x^2 + 2x + 3}{(x^2 + x + 2)^2}$
- The critical numbers are 3 and -1
- The values at critical numbers *inside the interval* is $f(3) = \frac{1}{7}$
- The values at endpoints are $f(0) = -\frac{1}{2}$ and $f(4) = \frac{3}{22}$
- Comparing these three numbers, $f(3) = \frac{1}{7}$ is the absolute max, and $f(0) = -\frac{1}{2}$ is the absolute min.

The Mean Value Theorem

Theorem (The Mean Value Theorem)

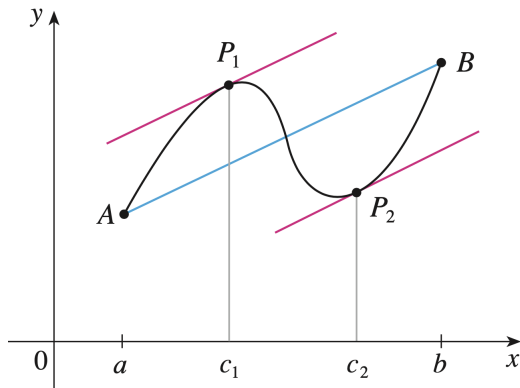
Let f be a function that satisfies the following hypotheses:

- 1 f is continuous on the closed interval $[a, b]$.
- 2 f is differentiable on the open interval (a, b) .

Then there is a number c in (a, b) such that

$$f'(c) = \frac{f(b) - f(a)}{b - a}, \quad \text{equivalently} \quad f(b) - f(a) = f'(c)(b - a).$$

Example



Example

Verify the mean value theorem for $f(x) = 2x^3 - 8x + 1$ on the interval $[1, 3]$.

Example

Verify the mean value theorem for $f(x) = 2x^3 - 8x + 1$ on the interval $[1, 3]$.

- f is cont on $[1, 3]$
- f is differentiable on $(1, 3)$

Find c in $[1, 3]$ such that $f'(c) = \frac{f(3) - f(1)}{3 - 1}$.

$$f'(c) = \frac{f(3) - f(1)}{3 - 1}$$

$$6c^2 - 8 = \frac{31 - (-5)}{2}$$

$$6c^2 - 8 = 18$$

$$6c^2 = 24$$

$$c^2 = 4 = \pm 2$$

$c = 2$ is the answer because it falls inside the interval $[1, 3]$.

Example

If f is a differentiable function and $f(1) = 7$ and $-3 \leq f'(x) \leq -2$ on the interval $[1, 6]$, then what is the biggest and smallest values that are possible for $f(6)$?

Example

If f is a differentiable function and $f(1) = 7$ and $-3 \leq f'(x) \leq -2$ on the interval $[1, 6]$, then what is the biggest and smallest values that are possible for $f(6)$?

The MVT tells us that $\frac{f(6) - f(1)}{6 - 1} = f'(c)$ for some c in $[1, 6]$.

Thus we know that $-3 \leq \frac{f(6) - f(1)}{6 - 1} \leq -2$.

We can replace $f(1) = 7$ and get $-3 \leq \frac{f(6) - 7}{6 - 1} \leq -2$.

Solving this, we find that $-8 \leq f(6) \leq -3$.

Rolle's Theorem

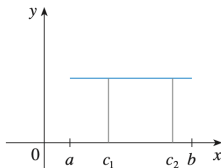
Theorem (Rolle's Theorem)

Let f be a function that satisfies the following hypotheses:

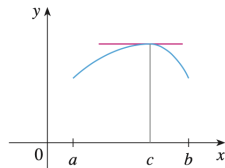
- ❶ *f is continuous on the closed interval $[a, b]$.*
- ❷ *f is differentiable on the open interval (a, b) .*
- ❸ *$f(a) = f(b)$.*

Then there is a number c in $[a, b]$ such that $f'(c) = 0$

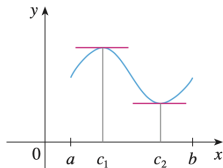
Example



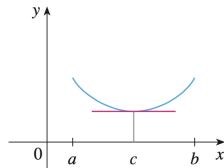
(a)



(b)



(c)



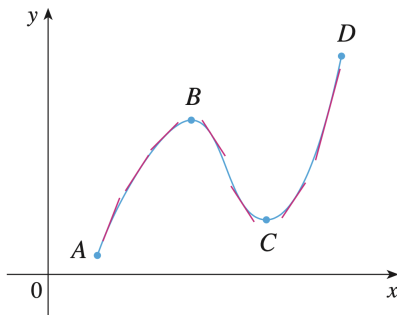
(d)

- 1 Related rates
- 2 Maxima and Minima
 - Definition
 - Critical Number
 - Mean Value Theorem
 - Rolle's Theorem
- 3 **Derivatives and Shapes of Graphs**
 - Increasing/Decreasing Test
 - First Derivative Test
 - Concavity Test
 - Second Derivative Test

Increasing/Decreasing Test

Test (Increasing/Decreasing Test)

- If $f'(x) > 0$ on an interval, then f is increasing on that interval.
- If $f'(x) < 0$ on an interval, then f is decreasing on that interval.

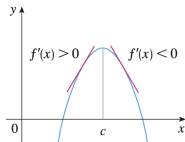


First Derivative Test

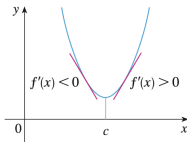
Test (First Derivative Test)

Suppose that c is a critical number of a continuous function f .

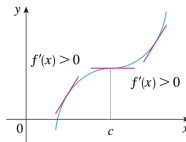
- If f' changes from positive to negative at c , then f has a local max at c .
- If f' changes from negative to positive at c , then f has a local min at c .
- If f' is positive or negative to the left and right of c , f has no local max or min at c .



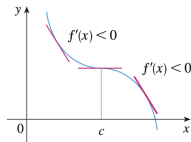
(a) Local maximum



(b) Local minimum



(c) No maximum or minimum

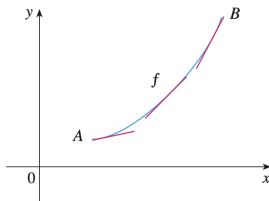


(d) No maximum or minimum

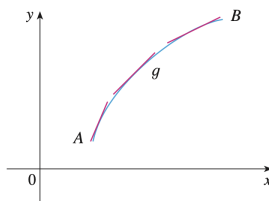
Concavity Test

Test (Concavity Test)

- If $f''(x) > 0$ for all x in an interval, then the graph of f is concave upward on the interval
- If $f''(x) < 0$ for all x in an interval, then the graph of f is concave downward on the interval



(a) Concave upward



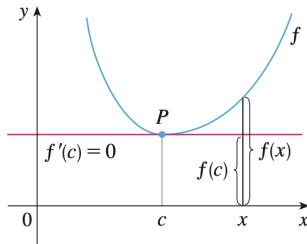
(b) Concave downward

Second Derivative Test

Test (Second Derivative Test)

Suppose f'' is continuous near c

- If $f'(c) = 0$ and $f''(c) > 0$, then f has a local min at c .
- If $f'(c) = 0$ and $f''(c) < 0$, then f has a local max at c .



Example

Given $f(x) = x^3 - 3x^2 - 9x + 4$, (1) Find intervals on which f is increasing or decreasing. (2) Find the local max and min. (3) Find the intervals of concavity.

Example

Given $f(x) = x^3 - 3x^2 - 9x + 4$, (1) Find intervals on which f is increasing or decreasing. (2) Find the local max and min. (3) Find the intervals of concavity.

$$f'(x) = 3(x + 1)(x - 3) \text{ and } f''(x) = 6(x - 1)$$

- ① After trying some values on $f'(x)$ in the interval $(-\infty, -1)$, $(-1, 3)$, $(3, \infty)$, we will find that f is increasing on $(-\infty, -1)$, $(3, \infty)$ and decreasing on $(-1, 3)$
- ② f changes from increasing to decreasing at $x = -1$, and from decreasing to increasing at $x = 3$. Thus $f(-1) = 9$ is a local max, and $f(3) = -23$ is a local min.
- ③ Since $f''(x) > 0$ when $x > 1$ and $f''(x) < 0$ when $x < 1$, thus f is concave upward on $(1, \infty)$ and downward on $(-\infty, 1)$.

Exercise

Given $f(x) = 2x^3 - 9x^2 + 12x - 3$, (1) Find intervals on which f is increasing or decreasing. (2) Find the local max and min. (3) Find the intervals of concavity.

Exercise

Given $f(x) = 2x^3 - 9x^2 + 12x - 3$, (1) Find intervals on which f is increasing or decreasing. (2) Find the local max and min. (3) Find the intervals of concavity.

$$f'(x) = 6(x - 1)(x - 2) \text{ and } f''(x) = 12\left(x - \frac{3}{2}\right)$$

- ① f is increasing on $(-\infty, 1)$, $(2, \infty)$ and decreasing on $(1, 2)$
- ② f changes from increasing to decreasing at $x = 1$, and from decreasing to increasing at $x = 2$. Thus $f(1) = 2$ is a local max, and $f(2) = 1$ is a local min.
- ③ Since $f''(x) > 0$ when $x > \frac{3}{2}$ and $f''(x) < 0$ when $x < \frac{3}{2}$, thus f is concave upward on $(\frac{3}{2}, \infty)$ and downward on $(-\infty, \frac{3}{2})$.

Example

Given $f(x) = 1 + 3x^2 - 2x^3$, find local max and min using (1) First Derivative Test, and (2) Second Derivative Test.

Example

Given $f(x) = 1 + 3x^2 - 2x^3$, find local max and min using (1) First Derivative Test, and (2) Second Derivative Test.

$$f'(x) = 6x(1 - x) \text{ and } f''(x) = 6 - 12x$$

- ① $f'(x) > 0$ when $0 < x < 1$ and $f'(x) < 0$ when $x < 0$ or $x > 1$. Since f' changes from negative to positive at $x = 0$, $f(0) = 1$ is a local min. Since f' changes from positive to negative at $x = 1$, $f(1) = 2$ is a local max.
- ② $f'(x) = 0$ when $x = 0, 1$. Since $f''(0) = 6 > 0$, $f(0) = 1$ is a local min. Since $f''(1) = -6 < 0$, $f(1) = 2$ is a local max.

Exercise

Given $f(x) = \frac{x^2}{x-1}$, find local max and min using (1) First Derivative Test, and (2) Second Derivative Test.

Exercise

Given $f(x) = \frac{x^2}{x-1}$, find local max and min using (1) First Derivative Test, and (2) Second Derivative Test.

$$f'(x) = \frac{x(x-2)}{(x-1)^2} \text{ and } f''(x) = \frac{2}{(x-1)^3}$$

- ① $f'(x) > 0$ when $x < 0$ or $x > 2$ and $f'(x) < 0$ when $0 < x < 1$ or $1 < x < 2$. Since f' changes from positive to negative at $x = 0$, $f(0) = 0$ is a local max. Since f' changes from negative to positive at $x = 2$, $f(2) = 4$ is a local min.
- ② $f'(x) = 0$ when $x = 0, 2$. Since $f''(0) = -2 < 0$, $f(0) = 0$ is a local max. Since $f''(2) = 2 > 0$, $f(2) = 4$ is a local min.