

ESG Integration Questions for Asset Managers Please respond to the following questions.

if your firm has completed the PRI Due Diligence Questionnaire, that may serve as a substitute for responding to the questions below.

1. What is your portfolio's exposure to climate change risk and which holdings do you feel will be impacted over the long term? Short term?
2. Do you provide periodic reporting on your portfolio company efforts in ESG and/or positive impact investing?
3. Could you provide exposure or case study reporting on portfolio impact investments? 9. What qualities do you look for in a company's governance structure and process?
4. Are there any efforts to engage with portfolio companies around environmental or social issues? If yes, please elaborate. 11. How are proxies on ESG issues handled? Are there proxy voting guidelines? If yes, please provide.
5. Do you utilize third party data providers to help assess ESG factors? If so, what providers do you use and how is that information integrated into your investment process?
6. [For Private Capital] Do you make formal commitments relating to ESG integration in fund formation contracts, Limited Partnership Agreements or in side letters when requested by investors?