

# DBMS (Data Base Management System)

Collection of inter-related data + set of programs to access data

Applications - Banking

- Healthcare

- Tele-communications

- Sales

Book: {Silber Shatz  
Korth}

## Demerits of File System

Files + App programs

? Physical & Logical partitioning.

- ① Data redundancy and inconsistency.
- ② Difficult to access data.
- ③ Atomicity problems.
- ④ Data isolation.
- ⑤ Concurrent access.
- ⑥ Security.

## VIEW of DATA

→ Level of abstraction

1) Physical.

2) Logical.

3) View .

Increasing level of abstraction.



Logical

Physical

## DATA INDEPENDENCE

① Physical independence

Change in DB instance stored but DB schema remains unaffected

② Logical independence

Change in DB schema but DB instance remains unaffected

Data Base instance + Data Base Schema (Design)

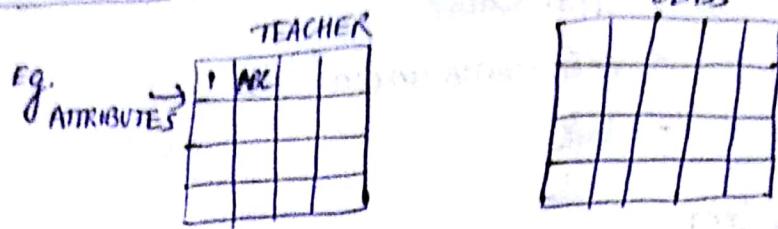
data stored in a given point of time in database

what type of variables, relation between it ...

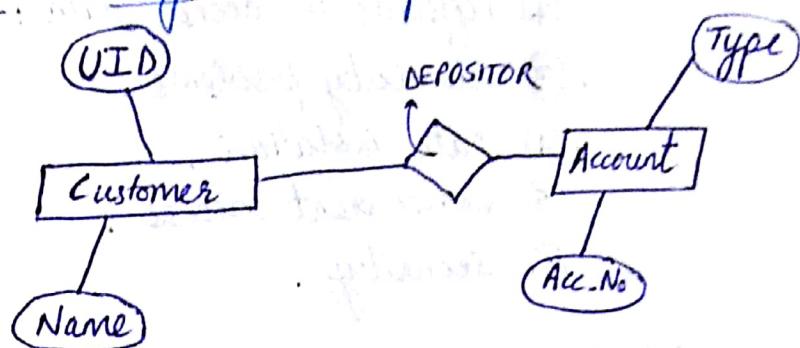
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## DATA MODELS

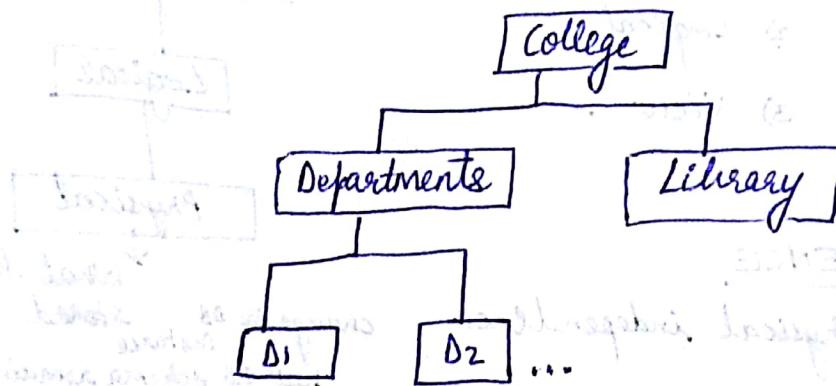
→ RELATIONAL



→ E R Model (Entity-Relationship)

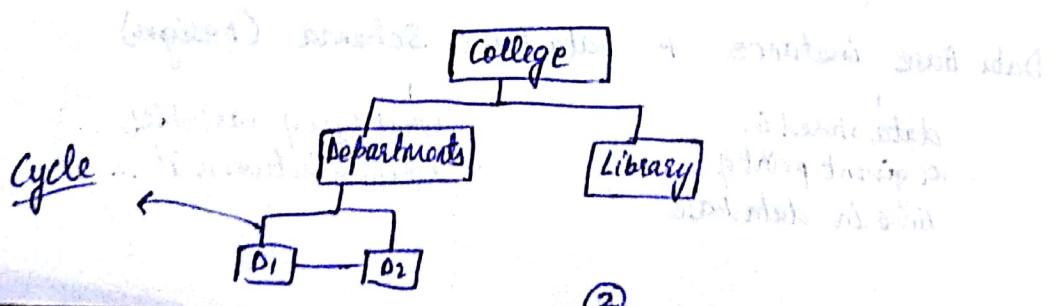


→ Heirarchical Model (Tree Based)



III  
Acyclic Connected graph

→ NETWORK MODEL (GRAPH Based)



## → OBJECT BASED MODEL

### DATABASE LANGUAGES

(i) Data Definition → schema

(ii) Data Manipulation ↘  
Query language <sup>(Sub-part)</sup> ~~only for information retrieval~~

### Relational Database

(Data stored in tabular format)

Keys :  $\downarrow$  (VID, Acc-No, Name)  
could be same foreg RAM, RAM  
- set of attributes used to records  
uniquely identify all the tuples

MULTIPLE {  
    1) Super key  
    2) Candidate key  
ONLY ONE ← 3) Primary key - set of attributes currently in  
use to uniquely identify  
all the tuples / record

### RELATIONAL ALGEBRA

① Select : (σ) (table name)

σ column-name = " "

LOAN			
Loan No.	Branch name	Amount	XYZ
L-1	AB	15,000	0
L-2	CD	20,000	0
L-3	EF	16,000	0

PRIMARY KEY

σ branch-name = "EF"

σ Amount  
Amount >= 15000

>  
≥  
<  
≤

(LOAN)

6/08/18

Select σ Row

Project (π) column

$\pi_B(T)$

Row/Rec

Column All

T

A	B	C

Customer

Cust-name	Cust-city
ABC	Delhi
DEF	Agra
GHI	Delhi

$\pi_{\text{cust-name}} (\sigma_{\text{cust-city} = "Delhi"}^{\text{SAME LEVEL}} \text{Customer})$ ;

TableNames  
employee (person-name, street, city); "represents primary key"

- works (person-name, company-name, sal);

- company (company-name, city);

- manager (person-name, manager-name);

Q1 name of employees who don't work for X Corporation (company)

$\pi_{\text{employee}} (\sigma_{\text{person-name} \neq \text{XCorporation}}^{\text{!}} \text{works})$ ;

Query 2 Name of employees having the highest pay in the given company. (AB)

H.W.

$\pi_{\text{person-name}} (\sigma_{\text{company-name} = "AB"}^{\text{!}} \text{works})$ ;

"Don't use MAX  
To be finished"

$\pi_{\text{person-name}} (\sigma_{\text{sal} > (\pi_{\text{sal}} \text{ works})} (\text{works}))$ ;

### UNION (U)

T<sub>1</sub>

CUST-NAME	

T<sub>2</sub>

CUST-NAME	

$\pi_{\text{cust-NAME}} (T_1) \cup \pi_{\text{cust-NAME}} (T_2)$

### INTERSECTION (n)

$\pi_{\text{cust-NAME}} (T_1) \cap \pi_{\text{cust-NAME}} (T_2)$

### SET DIFFERENCE (-)

$\pi_{\text{cust-NAME}} (T_1) - \pi_{\text{cust-NAME}} (T_2)$

to do to remove common → ~~good~~

(Common) ~~good~~ names ~~good~~ ~~good~~

09/08/18

## CARTESIAN PRODUCT

T<sub>1</sub>

A	B	C
1	2	3
4	5	6

T<sub>2</sub>

C	D
2	8
4	6

T<sub>1</sub> × T<sub>2</sub>

A	B	T <sub>1</sub> × C		D
		C	C	
1	2	3	2	8
1	2	3	4	6
4	5	6	2	8
4	5	6	4	6

LOAN

l-no	b-name	amt
L <sub>1</sub>	B <sub>1</sub>	900
L <sub>2</sub>	B <sub>2</sub>	800
L <sub>3</sub>	B <sub>3</sub>	1200
L <sub>4</sub>	B <sub>3</sub>	800

BORROWER

cust-name	l-no
Ram	L <sub>1</sub>
Shyam	L <sub>2</sub>
Snyam	L <sub>3</sub>
D	L <sub>4</sub>

Query → cust. who have loan at B<sub>3</sub>

H.W.  $\pi_{\text{cust-name}} (\sigma_{\text{b-name} = "B_3"} (\text{LOAN} \times \text{BORROWER}))$ ;

## Rename

$\rho$  (Table name);  
 col = column name

$\rho$  (LOAN);  
 b-name = bank

## DIVISION

→ for all

STUDENT	S-name	sub-subject	subject	SUBJECT
	A	DBMS	DBMS	
	A	C	C	
	C	DBMS		
	D	C		

Query: S-name who have failed in all subjects

$\pi_{\text{student-name}} (\text{STUDENT} \times \text{SUBJECT})$  ;  
 or

$\pi_{\text{student-name}} (\text{STUDENT} \div \text{SUBJECT})$  ;

How Cartesian product & JOIN.

10/08/18

## Foreign key

(Primary key of some other table)

### COURSE

c-id	c-name
1	A
2	B
3	C

### STUDENT

SID	Name	c-id
1	ABC	1
2	BCD	1
3	AD	2
4	ACD	3

Parent

Child

Foreign key may not be unique.

## Integrity Constraints

- ① Key based - Primary key should be unique (not)
- ② Domain - Range of values that a particular field can take
- ③ Referential - Based on foreign key

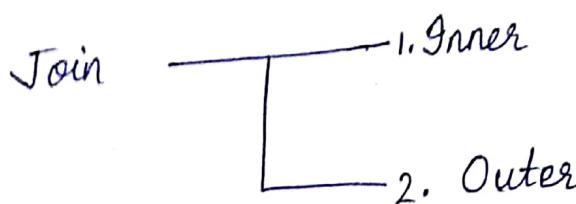
## Cascaded delete

checks for dependencies of foreign key before deleting a value from it as the primary key from the parent table.

## JOIN

LOAN		
l-no	b-name	amt.
L-1	A	100
L-2	B	200
L-3	C	300
L-4	D	400

BORROWER	
cust-name	l-no
AB	L-1
CD	L-2
EF	L-3



Natural JOIN (Inner)  
 $\pi_{\text{cust-name}} (\text{LOAN} \bowtie \text{BORROWER})$

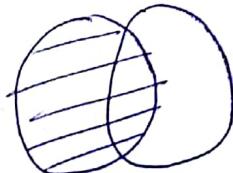
$\text{LOAN}.l\_no = \text{BORROWER}.l\_no$



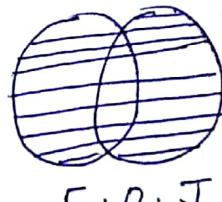
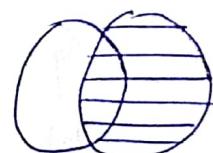
l-no	b-name	amt.	cust-name
L-1	A	100	AB
L-2	B	200	CD
L-3	C	300	EF

## Outer JOIN

LOJ



R.O.J



F.O.J

## L.O.J

id-no	b-name	amt.	cust-name
L-1	A	100	AB
L-2	B	200	CD
L-3	C	300	EF
L-4	D	400	-

L.O.J



R.O.J



F.O.J

Eg.

employee

emp-name	street	city
C	A	E
R	B	F
S	C	G
W	D	H

ft-works

emp-name	b-name	salary
C	P	1500
R	Q	1300
G	R	1200
W	S	1000

L.O.J	emp-name	street	city	b-name	salary
	C	A	E	P	1500
	R	B	F	Q	1300
	S	C	G	-	-
	W	D	H	S	100

Natural JOIN

	emp-name	street	city	b-name	salary
	C	A	E	P	1500
	R	B	F	Q	1300
	W	D	H	S	1000
R.O.J	emp-name	street	city	b-name	salary
	C	A	E	P	1500
	R	B	F	Q	1300
	G	-	-	R	1200
	W	D	H	S	1000

F.O.J

	emp-name	street	city	b-name	salary
	C	A	E	P	1500
	R	B	F	Q	1300
	S	C	G	-	-
	W	D	H	S	1000
	G	-	-	R	1200

just for  
maintaining  
the order in  
the table

Does not matter,  
but it matters which table is listed left.

16/08/18

\* CLASS TEST: 24<sup>th</sup> Aug 2018.  
FRIDAY (1, 2)  
2 UNITS

## SQl (Structured Query Languages)

CREATE TABLE t-name  
( field type primary key, ... ) ;

DROP TABLE student/t-name // Schema instance removed.

DELETE from t-name // Only data gets deleted

ALTER TABLE t-name

Relational SQL  
 $\sigma_{col}$  (TABLE) → SELECT col from TABLE;

SELECT \* from TABLE ; (All columns/columns selected)

SELECT distinct name from STUDENT;

SELECT name, age \* 100 from t-name;

SELECT \* from t-name  
where  $\frac{n = " "}{Condition}$  ;

T<sub>1</sub>

NAME	

T<sub>2</sub>

NAME	

SELECT T<sub>1</sub>.name, T<sub>2</sub>.name as n from T<sub>1</sub>, T<sub>2</sub>

where T<sub>1</sub>.name = " " .  $\rightarrow$  (n)

and n = " " .  $\rightarrow$

and n like "% am %";

\* For printing % use (\%) escape sequence.

Ques

Given:

### EMPLOYEE DATABASE

employee (employee-name, street, city)

works (employee-name, company-name, salary)

company (company-name, city)

manages (employee-name, manager-name)

underlined keys are primary keys.

(a) select employee-name, city from employee, company  
where company-name = "First Bank Corporation";

(b) Select employee-name, street, city from employee, works  
where company-name = "First Bank Corporation" and  
salary > 10000

20/08/18

Select \* from customer  
order by cust-name; By default ascending  
ASC/DESC

Union select cust-name from abc<sup>n</sup>; // Removes duplicates

Union all select cust-name from abc<sup>n</sup>; // Does not remove duplicates

- select cust-name from abc, n; // Set difference

- all select cust-name from abc, n; // Does not remove duplicates

select \* from  
emp  
avg(sal) > 10000;

? select \* from emp  
salary = max(salary);

## SCHEMA

branch ( b-name, b-city, assets);  
 customer ( cust-name, c-street, c-city );  
 loan ( loan-no, b-name, amount );  
 borrower ( cust-name, loan-no )  
 account ( a-no, b-name, balance )  
 depositor ( cust-name, a-no );

- (i) average balance for each customer who lives in Harrison city and has atleast 3 accounts

TRIAL  
~~select \* from account, customer, depositor  
 where c-city = "Harrison city" and count (acc-no) >= 3~~

depositor.

account.

// cause avoided  
as only account table  
has balance

SOLUTION:  
~~select cust-name, AVG(balance)  
 from account, customer, depositor  
 where c-city = "Harrison City" and  
 COUNT (acc-no) >= 3 ;~~

~~cust.c-name = dep.cust-name  
 and act.a-no = dep.a-no  
 group by cust-name;  
 having count (distinct (a-no) >= 3 );~~

Note \*  
 for joining, there  
ought to be  
common attributes

Join only provides  
a view and  
no original  
schema is  
changed.

25/10/18

→ group by → order by

Roll No.	Name	City	Marks
1	A	Delhi	80
2	B	Mumbai	85
3	C	Delhi	95
4	D	Delhi	90
5	E	Mumbai	90

CASE 1

select city, Avg(marks)

from student

case 2  
A( group by city ) / order by city ;  
having city = "Delhi";

CASE 2

JOIN :

Emp		
Emp-id	Name	Dept-id
1	A	1
2	B	1
3	C	2
4	D	2
5	E	3

Dept	
Dept-id	Dept-name
1	COE
2	IT
3	ECE

select emp.name, dept.dept-name  
from emp, dept  
where Emp.dept-id = Dept-id  
and Dept.dept-name = "COE";

\* common column  
is required to  
join two tables

Name	Dept-name
A	COE
B	COE

→ output

## CARTESIAN PRODUCT

$A \times B$

Rows =  $r_1 \times r_2$

Column =  $c_1 + c_2$

where,  $A (r_1, c_1)$

$B (r_2, c_2)$

same, done in Relational Algebra.

## NATURAL JOIN

select Emp.Name, Dept.Dept-name  
from Emp natural join Dept;

→ same output as  
in previous page.

## EQUI JOIN

select Emp.Name, Dept.Dept-name  
from Emp equi join Dept;

29/1/08/18

create view as  
select A,B  
from table;

A	B	C

update table-name  
set bal=bal\*0.5;      OR

update table-name  
set bal=case  
when bal=100 then bal\*0.5  
else      bal\*0.7  
end;

A inner join B  
on A.id=B.id;

- END of SQL  
byt Advanced SQL  
(3 TOPICS)  
till 4.3 [Do it  
yourself]

### III Database Design

- Data requirements
  - Program
  - Design

2. Attribute eg. (1., 2., and 3.)

3. Relationship employee works for university

## ATTRIBUTES : A) Simple vs Composite

Complex

Employee

Name

Age

FN MN

B) Single- vs Multi-valued  
e.g. phone numbers

c) Stored vs Derived

PRACTICE :

student (s-id, name)  
 registered (s-id, c-id)

Required: s-id, name along with total No. of registered courses  
 as, No of registered courses

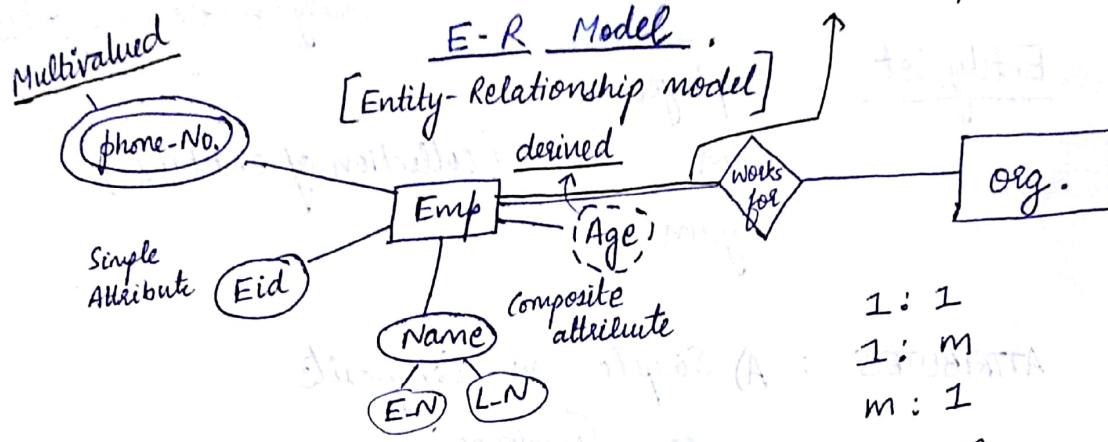
Query → select s-id, name, COUNT(c-id) from student inner join on registered.s-id = student.s-id group by s-id;

06/09/18

### Mid-Term Syllabus

→ First three units

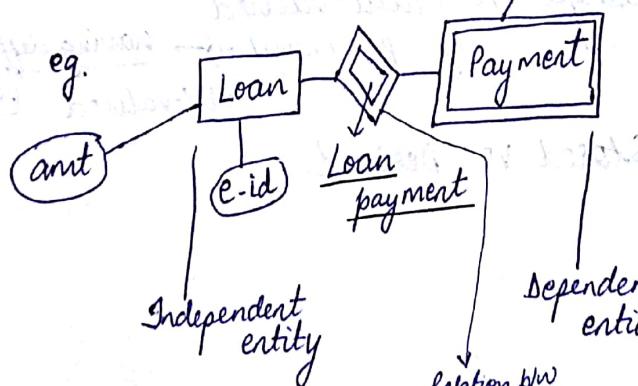
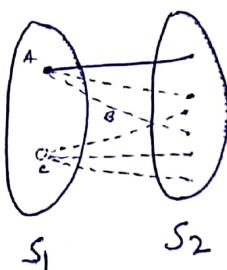
\* For complete participation



- participation constraints

- Weak Entity

eg.



\* payment is totally dependent on loan.

- primary key
- weak-id

ny 3  
192

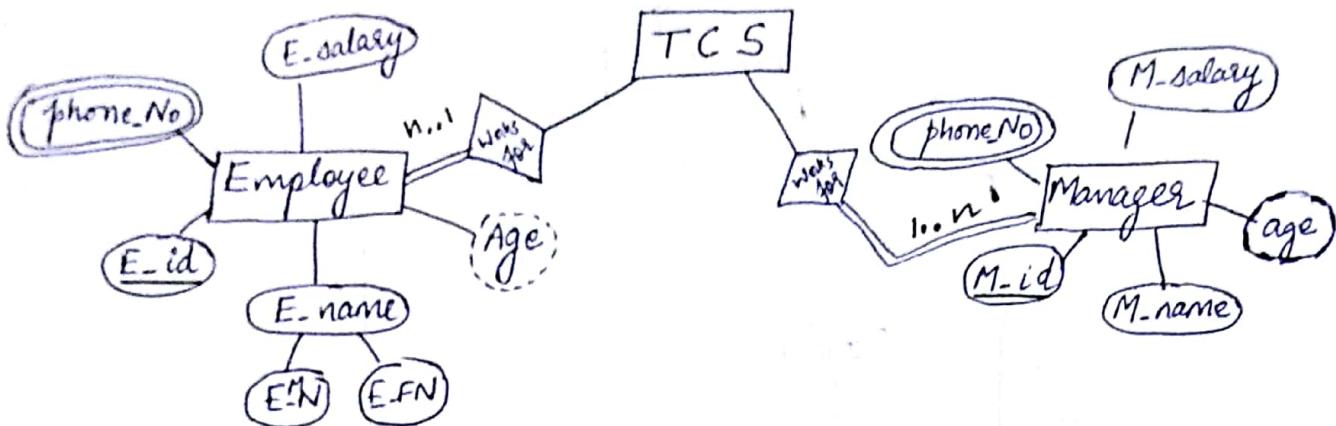
p-key + weak-id  
↓  
Independent

used for uniquely identify each payment.

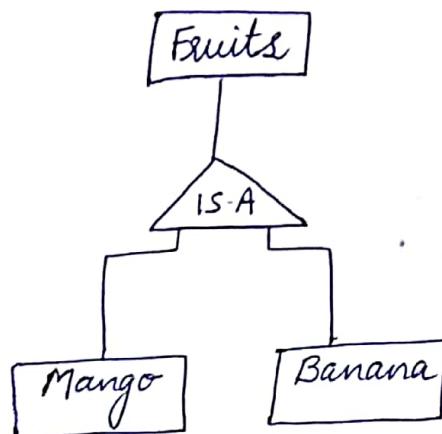
Eg.

TCS

↓  
Employee, Managers, Salary management module



### SPECIALIZATION



↓ Top to Bottom approach

\* Handwritten file of 901 & 902  
to be submitted on practical period

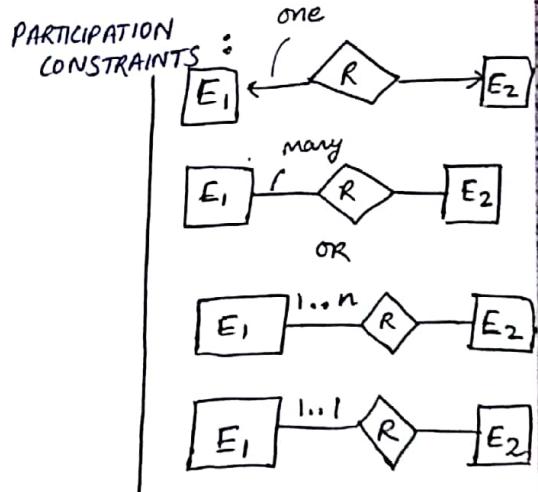
### H.W./Assignment

→ E-R diagram of Bank database

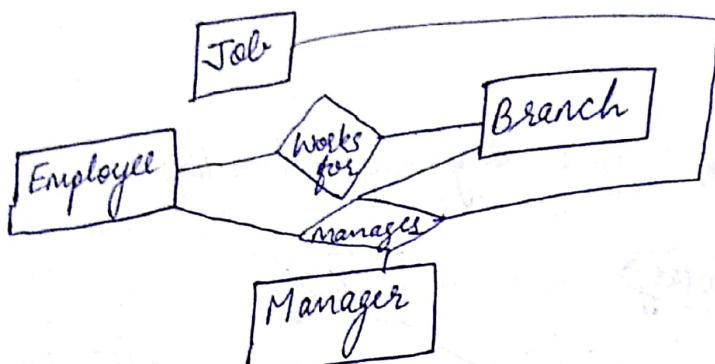
\* eg. BANK from book

\* Draw using pencil neatly during examination

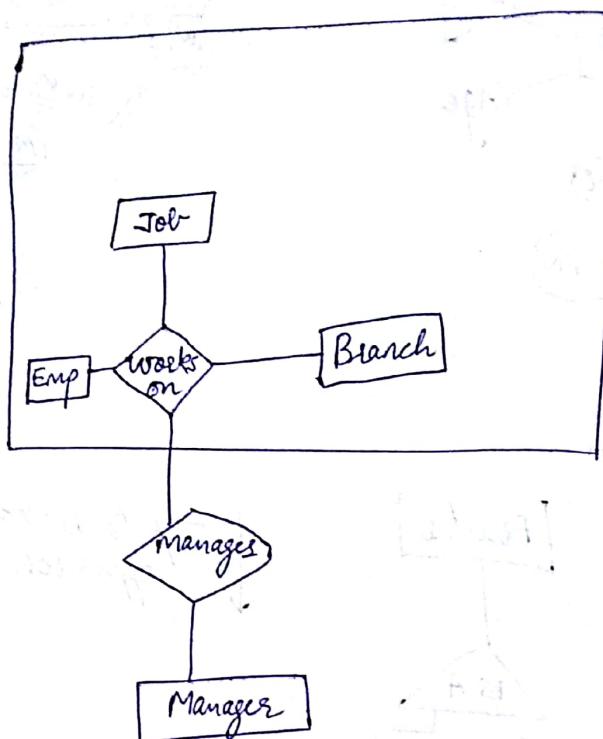
\* Generalization is opposite of specialization  
↑ Bottom to up approach.



## Aggregation



using aggregation



07/09/10

## Mid-Sem Syllabus

### III DBdesign

- Top-Down Approach (E-R Model)
- Participation constraints
- Specialization
- Generalization
- Aggregation
- Normalization

Normal forms based on primary key  
 till (BCNF)

- Transformation of ER diagrams to Relational model

### II Relational Data model

- Relational DB
- Relational algebra
- Integrity constraints
- Enforcing " "
- Rel algebra & calculus
- SQL

### I Intro of DB system

- File vs DBMS system
- Level of Abst
- Data models & comparison
- Heirarchical, network

→ 100 from each unit  
subdivided into 2 parts each

10/18

## Functional Dependencies

$\alpha$	$\beta$
a	1
b	2
c	3
d	4

\* one to many : Not a function

$$\text{if } (n_1 \neq n_2) \\ \Rightarrow f(n_1) \neq f(n_2)$$

$$fd: \alpha \rightarrow \beta$$

$$f(\alpha) \rightarrow \beta$$

if  $(t_1[\alpha] = t_2[\alpha] \text{ tuple!})$  then functional dependency does not exist.

$$\begin{cases} t_1[\alpha] = t_2[\alpha] \\ t_1[\beta] \neq t_2[\beta] \end{cases}$$

eg.

$\alpha$	$\beta$
a	1
b	1
c	2

(many to 1) ✓ VALID

### Types of Functional Dependencies

#### A) Trivial Dependency

$$\alpha \rightarrow \beta$$

$$\beta \subseteq \alpha$$

$$AB \rightarrow A$$

$$\beta \not\subseteq \alpha$$

$$A \rightarrow B$$

#### B) Non-Trivial Dependency

#### Functional Dependency

a)  $A \rightarrow BC$  ✓

b)  $DE \rightarrow C$  ✓

c)  $C \rightarrow DE$   $\begin{pmatrix} 3,4 \\ 3,6 \end{pmatrix}$  X

d)  $BC \rightarrow A$  ✓

eg.

A	B	C	D	E
a	2	3	4	5
2	a	3	4	5
a	2	3	6	5
a	2	3	6	6

## Closure

$$A \rightarrow B$$

$$B \rightarrow C$$

Symbol:  $\{A\}^+$  is  $\{ABC\}$   
Notation

$$A \rightarrow C$$

Q.  $R(A B C D E F)$

$$A \rightarrow B$$

$$C \rightarrow DE$$

$$AC \rightarrow F$$

$$D \rightarrow AF$$

$$E \rightarrow CF$$

Find  $\{AC\}^+$  ie closure of AC

Ans:  $\{B D E F A F C\}$

Hence, AC is the primary key

[candidate key to become the]

\* Minimal superkey  
is a candidate key

AXIOM Any statement that is said/considered to be always true.

Primary

① Transitivity

$$\begin{array}{l} X \rightarrow Y \\ Y \rightarrow Z \\ X \rightarrow Z \end{array}$$

Secondary

\* ① Pseudo Transitivity

$$\begin{array}{c} X \rightarrow Y \\ WY \rightarrow Z \\ \hline \Rightarrow WX \rightarrow Z \end{array}$$

② Reflexive

$$\begin{array}{l} Y \subseteq X \\ X \rightarrow Y \end{array}$$

② UNION

$$\begin{array}{l} X \rightarrow Y \\ X \rightarrow Z \\ X \rightarrow YZ \end{array}$$

③ Augmentation

$$\begin{array}{l} X \rightarrow Y \\ XZ \rightarrow YZ \end{array}$$

③ Decomposition

$$\begin{array}{l} X \rightarrow YZ \\ X \rightarrow Y \\ X \rightarrow Z \end{array}$$

④ Composition

$$\begin{array}{l} X \rightarrow Y \\ Z \rightarrow U \\ XZ \rightarrow YU \end{array}$$

e.g. for pseudo-transitivity

X	Y	W	Z
1	1	a	1
2	2	b	b
3	3	c	q
4	4	d	r

10/09/18

## NORMALIZATION

STUDENT

NAME	S-ID	D-ID	HOD-NAME	ADDRESS
A	1	1	AB	-
B	2	1	AB	-

\* process of removing redundancy from a table.

two tables:

STUDENT

## DEPARTMENT ✓

## NORMAL FORMS:

① FIRST NORMAL FORM: ~~Tuples~~  
1 ~~values~~ \* ATOMIC<sup>n</sup> (only one valued attribute)  
(1NF)  Multivalued attributes

S-ID	NAME	COURSE-OPTED
1	A	A, B, C
2	B	A, B

S-ID	NAME	COURSE_OPTEL
1	A	A
1	A	B
1	A	C
2	B	A
2	B	B

Attributes are of two types:

A) PRIME



\* Part of Candidate key

B) NON-PRIME

(which are not prime)

eg.

$R(A B C)$

$AB \rightarrow C$

$$\{AB\}^+ = \{A, B, C\}$$

Prime = A, B

N-Prime = C

### PARTIAL DEPENDENCY

eg.

$R(A B C)$

$AB \rightarrow C$

$B \rightarrow C$

[Partial Dependency]

eg.

$R(A B C D)$

1:→

$AB \rightarrow$  Candidate key

P=A, B

N-P=C, D

$AB \rightarrow C$

$B \rightarrow C$

partial dependency

$C \rightarrow D$

(N-Prime N-Prime)

### 2<sup>nd</sup> SECOND - NORMAL FORM (2NF)

\* does not allow even the presence of partial dependency.

eg. for 1: →

A	B	C	D

A	D

B	C

③ THIRD-NORMAL FORM (3NF)

eg. R (A B C D)

$\Rightarrow AB \rightarrow C$   
 $C \rightarrow D$

INF ✓  
 2NF ✓

but Third Normal Form X

- \* Transitive dependency should not exist
- \* Partial dependency should also not exist

A	B	C	D
1	2	3	4
2	3	4	6
1	3	5	7

→ Primary Key  
 should be NOT NULL

For 2:  $\Rightarrow R_1 (A B C)$

$R_2 (C D)$

④ BCNF (BINARY-CODED-NORMAL-FORM)

$$(\alpha) \xrightarrow{P} \beta$$

N.P

Earlier conditions were applied only  
 on  $\alpha$

These cases should not exist  $\times$   $(\alpha \xrightarrow{P/N \cdot P} \beta_{\text{prime}})$

$$\begin{array}{c} AB \rightarrow C \\ D \rightarrow B \\ (N \cdot P) (P) \end{array}$$

3NF

This should not exist for BCNF

Solution

$R(ABC)$

$R(D, B)$

Q1  $R(ABCDEF)$

$AB \rightarrow C$

$DC \rightarrow AE$

$E \rightarrow F$

1) Find all possible candidate keys

(i)  $ABD$

(ii)  $BDC$

2) Prime and Non-Prime Segregation

Prime  $\rightarrow A, B, C$  and  $D$

Non-prime  $\rightarrow E, F$

3) Determine Normal Forms

1NF ✓

2NF X

:  $CD \rightarrow A$   
 $(CD \rightarrow E)$ , not allowed  
↓  
N-Prime

$R_1(A, B, C, E, F)$

$R_2(A, C, D, E)$

partial key  $\rightarrow$  prime is allowed in 2NF  
 but partial key  $\rightarrow$  N-Prime is not allowed in 2NF

also, NP  $\rightarrow$  NP is allowed in 2NF

Q.2

R (A B C D)

A B → CD

D → A

BC → DE

1) Find all possible candidate keys

AB      BD

(BC), complete key

2) Prime and Non-prime Segregation

Prime → A, B, C, D

Non-Prime → DE

INF ✓

2NF ✓

(BC) → E ✓ allowed.

\* not a partial  
key

3NF ✓

[AK] BC is not a  
partial key,  
rather it  
is a full fledged  
key]

BCNF X

(D → A)

↓  
PRIME

[NP → P X]

partial key, NP X  
Transitivity X

X → Y prime  
↑  
super key

[Exception for BCNF]

(36) A

Q3

Emp

(emp-id, emp-nationality, emp-dept, dept-type, dept-no-of-<sup>dept-no-of-</sup><sub>emp</sub>)

FD

(i) ( $\text{emp-id} \rightarrow \{\text{emp-nationality}\}$ )

(ii) ( $\text{emp-dept} \rightarrow \{\text{dept-type}, \text{dept-no-of-emp}\}$ )

1)  $\{\text{emp-id}, \text{emp-dept}\}$  CANDIDATE KEY

2) Prime - emp-id, emp-dept

Non Prime - emp-nationality, dept-type, dept-no-of-emp

3)  $\begin{matrix} \text{INF} \\ \text{2NF} \end{matrix} \checkmark \because [\text{partial key} \rightarrow \text{Non-prime}]$

4) Conversion to BCNF

R<sub>1</sub> (emp-id, emp-nationality)

} data loss

R<sub>2</sub> (emp-dept, dept-type, dept-no-of-emp)

\*  $R_3 (\text{emp-id}, \text{emp-dept})$

→ Conversion of ER diagrams to  
Relational tables

→ Read yourself  
→ NOT in exam  
coming