

$$j^0 = \bar{\psi} \gamma^0 \psi \quad \text{so} \quad \rho = j^0 = \bar{\psi} \gamma^0 \psi = \psi^\dagger \gamma^0 \gamma^0 \psi = \psi^\dagger \psi = (\psi_1^* \ \psi_2^* \ \psi_3^* \ \psi_4^*) \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix}$$

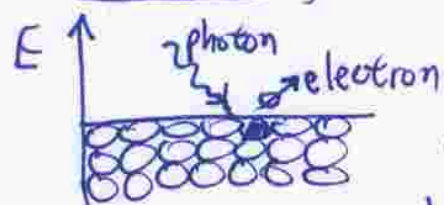
$$\Rightarrow \rho = |\psi_1|^2 + |\psi_2|^2 + |\psi_3|^2 + |\psi_4|^2 > 0$$

So the problem with negative probabilities is resolved.

However, the problem with negative energies remains  $E = \pm \sqrt{\vec{p}^2 c^2 + m^2 c^4}$

Two positive-energy solutions corresponding to the two states of a spin  $\frac{1}{2}$  particle but also two negative-energy solutions (electron)

### Hole theory - Dirac sea



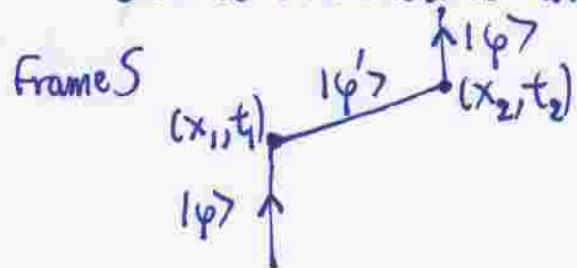
Dirac postulated that all negative-energy states are filled  $\rightarrow$  Dirac sea, and by the Pauli exclusion principle, electrons or other spin- $\frac{1}{2}$  particles, cannot occupy them.

However, a photon could impart enough energy to a negative-energy electron to give it positive energy. Then the hole left in the Dirac sea would act like a positive-charge particle, a positron, i.e. an antiparticle.

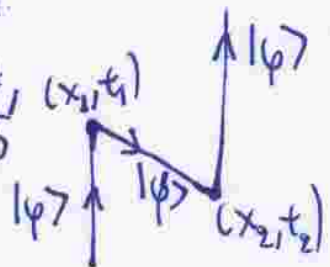
Prediction of antimatter. Positron was observed by Carl Anderson at Caltech in 1932.

The Dirac equation can be reinterpreted as a quantum field equation. The existence of antiparticles follows from combining quantum mechanics with relativity.

Consider a state  $|\varphi\rangle$  that changes to  $|\varphi'\rangle$  at  $(x_1, t_1)$  and back to  $|\varphi\rangle$  at  $(x_2, t_2)$  due to interactions with spacelike separation.



Then in a different reference frame  $S'$  this looks as:



So in  $S'$  it looks as if a particle and an antiparticle (backwards in time) are created at  $(x_2, t_2)$  and later at  $(x_1, t_1)$  the antiparticle annihilates with a particle.

# Plane-wave solutions of the Dirac equation

positive-energy  $\psi(x) = e^{-\frac{i}{\hbar} x^\mu p_\mu} u(p)$

two independent  
normalized solutions  
(particles)

with  $u^{(1)} = \sqrt{\frac{E+mc^2}{c}}$

$$\text{and } u^{(2)} = \sqrt{\frac{E+mc^2}{c}} \begin{pmatrix} 0 \\ 1 \\ c(p_x - ip_y)/(E+mc^2) \\ -\frac{cp_z}{E+mc^2} \end{pmatrix}$$

$$\begin{pmatrix} 1 \\ 0 \\ cp_z/(E+mc^2) \\ \frac{c(p_x + ip_y)}{E+mc^2} \end{pmatrix}$$

Normalization  $u^\dagger u = \frac{2E}{c}$

$$(\gamma^\mu p_\mu - mc)u = 0$$

negative-energy  
two independent  
normalized solutions  
(antiparticles)

$$\psi(x) = e^{+\frac{i}{\hbar} x^\mu p_\mu} v(p)$$

with  $v^{(1)} = \sqrt{\frac{E+mc^2}{c}}$

$$\text{and } v^{(2)} = \sqrt{\frac{E+mc^2}{c}} \begin{pmatrix} cp_z/(E+mc^2) \\ c(p_x + ip_y)/(E+mc^2) \\ 1 \\ 0 \end{pmatrix}$$

$$\begin{pmatrix} c(p_x - ip_y)/(E+mc^2) \\ -cp_z/(E+mc^2) \\ 0 \\ 1 \end{pmatrix}$$

Normalization  $v^\dagger v = \frac{2E}{c}$

$$(\gamma^\mu p_\mu + mc)v = 0$$



Weyl or chiral representation of the Dirac matrices

$$\gamma^0 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \text{ and } \gamma^i = \begin{pmatrix} 0 & -\sigma^i \\ \sigma^i & 0 \end{pmatrix} \text{ for } i=1,2,3$$

Write  $\psi = \begin{pmatrix} \psi_R \\ \psi_L \end{pmatrix}$  where  $\psi_R, \psi_L$  are two-component spinors

Under Lorentz transformations with  $\theta$  for rotation and  $\cosh \psi = \gamma = \frac{1}{\sqrt{1-v^2/c^2}}$  for boost

$$\psi = \begin{pmatrix} \psi_R \\ \psi_L \end{pmatrix} \rightarrow \psi' = \begin{pmatrix} e^{\frac{i}{2} \vec{\sigma} \cdot (\vec{\theta} - i\vec{\psi})} & 0 \\ 0 & e^{\frac{i}{2} \vec{\sigma} \cdot (\vec{\theta} + i\vec{\psi})} \end{pmatrix} \begin{pmatrix} \psi_R \\ \psi_L \end{pmatrix}$$

For massless particles, the Dirac equation decouples into two Weyl equations:

$$\text{for } m=0, \gamma^\mu p_\mu \psi = 0 \Rightarrow \left[ \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} p_0 + \begin{pmatrix} 0 & -\sigma^i \\ \sigma^i & 0 \end{pmatrix} p_i \right] \begin{pmatrix} \psi_R \\ \psi_L \end{pmatrix} = 0 \Rightarrow (p_0 - \sigma^i p_i) \psi_L = 0$$

and  $(p_0 + \sigma^i p_i) \psi_R = 0$

$$\Rightarrow (p_0 + \vec{\sigma} \cdot \vec{p}) \psi_L = 0 \quad \Rightarrow \vec{\sigma} \cdot \vec{p} \psi_L = -p_0 \psi_L \quad \Rightarrow \vec{\sigma} \cdot \hat{p} \psi_L = -\psi_L$$
$$\text{and } (p_0 - \vec{\sigma} \cdot \vec{p}) \psi_R = 0 \quad \Rightarrow \vec{\sigma} \cdot \vec{p} \psi_R = p_0 \psi_R \quad \Rightarrow \vec{\sigma} \cdot \hat{p} \psi_R = \psi_R$$

with  $\hat{p} = \frac{\vec{p}}{|\vec{p}|} = \frac{\vec{p}}{p_0}$

But  $\vec{\sigma} \cdot \hat{p}$  is the helicity  $\rightarrow$  component of spin in direction of momentum

So  $\psi_R$  has positive helicity  $\rightarrow$  right-handed

and  $\psi_L$  has negative helicity  $\rightarrow$  left-handed

Dirac equation with electromagnetic field:  $[\gamma^\mu (i\partial_\mu - qA_\mu) - m] \psi = 0$  with  $q$  the charge

## Relativistic quantum mechanics

We have discussed two attempts to find a relativistic version of the Schrodinger equation  $\hat{H}\psi = i\hbar \frac{\partial \psi}{\partial t}$  or  $-\frac{\hbar^2}{2m} \nabla^2 \psi + U\psi = i\hbar \frac{\partial \psi}{\partial t}$

The first was the Klein-Gordon eq,  $\partial^\mu \partial_\mu \psi + \frac{m^2 c^2}{\hbar^2} \psi = 0$  with  $\psi$  a scalar (spin 0)  
problems: negative probability density and negative-energy solutions

The second was the Dirac eq,  $i\hbar \gamma^\mu \partial_\mu \psi = mc \psi$  with  $\psi$  a spinor (spin  $\frac{1}{2}$ )  
problem: negative-energy solutions but turned into a triumph  $\rightarrow$  prediction of antimatter

But single-particle interpretation of a wavefunction is untenable since special relativity + quantum mechanics implies particle-antiparticle creation and annihilation, i.e. a multi-particle theory.

Furthermore, electromagnetic field so far also treated classically.

The introduction of scalar, vector, and spinor fields and their quantization resolved all the above issues

In non-relativistic QM  $\hat{x}$  and  $\hat{p}_x$  are operators ( $\hat{x} \rightarrow x$  and  $\hat{p}_x \rightarrow -i\hbar \frac{\partial}{\partial x}$ ) and satisfy the commutation relation  $[\hat{x}, \hat{p}_x] = i\hbar$  but  $t$  is a parameter

In Quantum Field Theory  $\vec{x}$  and  $t$  are parameters, but fields  $\varphi$  and conjugate momenta  $\pi$  are operators (second quantization) and satisfy the commutation relation (equal-time)  
$$[\varphi(\vec{x}, t), \pi(\vec{y}, t)] = i\hbar \delta^3(\vec{x} - \vec{y})$$