

Proof of Bloch's theorem

(1)

$$\hat{T}_n \quad \psi(\vec{r}) = \psi(\vec{r} + \vec{T}_n) \quad \leftarrow \text{translation operator action}$$

$$\vec{T}_n = n_1 \vec{a}_1 + n_2 \vec{a}_2 + n_3 \vec{a}_3 \quad \begin{array}{l} \vec{a}_i = \text{lattice vectors} \\ n_i = \text{integers} \end{array}$$

$$\hat{T}_n \quad \psi(\vec{r}) = \psi(\vec{r} + \hat{A} \vec{n})$$

$$\hat{A} = (\vec{a}_1, \vec{a}_2, \vec{a}_3) \quad \vec{n} = \begin{pmatrix} n_1 \\ n_2 \\ n_3 \end{pmatrix}$$

1) Hypothesis: mean periodic potential

$$U(\vec{r} + \vec{T}_n) = U(\vec{r})$$

2) Hypothesis: electron-electron interaction negligible

$$\hat{H} = \frac{\hat{p}^2}{2m} + U(\vec{r})$$

Translation invariance $\Rightarrow [\hat{H}, \hat{T}_n] = 0$

\hat{H} and \hat{T}_n have a common set of eigenfunctions.

$$\hat{T}_n \quad \psi(\vec{r}) = \lambda_{\vec{n}} \psi(\vec{r})$$

$$\hat{T}_n \text{ is additive} \Rightarrow \hat{T}_{n_1} \hat{T}_{n_2} \psi(\vec{r}) = \psi(\vec{r} + \hat{A} \vec{n}_1 + \hat{A} \vec{n}_2) = \hat{T}_{n_1 + n_2} \psi(\vec{r})$$

$$\Rightarrow \lambda_{\vec{n}_1} \lambda_{\vec{n}_2} = \lambda_{\vec{n}_1 + \vec{n}_2} \quad \text{which is true for } \lambda_{\vec{n}} = e^{i \vec{s} \cdot \hat{A} \vec{n}} \text{ with}$$

$$s_i \in \mathbb{C} \quad 1 = \int_V |\psi(\vec{r})|^2 d^3\vec{r} = \int_V |\hat{T}_n \psi(\vec{r})|^2 d^3\vec{r} = |\lambda_{\vec{n}}|^2 \int_V |\psi(\vec{r})|^2 d^3\vec{r}$$

$|\lambda_{\vec{n}}|^2 = 1 \Rightarrow$ normalization condition over a primitive cell of volume V (2)

$$S_i = i k_i \quad \text{with } k_i \in \mathbb{R}$$

$$\hat{T}_{\vec{n}} \psi(\vec{r}) = \psi(\vec{r} + \hat{A} \cdot \vec{n}) = e^{i \vec{k} \cdot \hat{A} \vec{n}} \psi(\vec{r}) = e^{i \vec{k} \cdot \vec{T}_{\vec{n}}} \psi(\vec{r})$$

This is true for a Bloch wave i.e.

$$\psi_{\vec{k}}(\vec{r}) = e^{i \vec{k} \cdot \vec{r}} u_{\vec{k}}(\vec{r}) \quad \text{with } u_{\vec{k}}(\vec{r}) = u_{\vec{k}}(\vec{r} + \hat{A} \vec{n})$$

In 1-dim

$$\begin{aligned} \hat{T}_n \psi(x) &= e^{i k \vec{n} \cdot \vec{a}} \psi(x) \\ &= e^{i k \vec{n} \cdot \vec{A}} \psi(x) \end{aligned}$$

where \vec{a}_1, \vec{a}_2 , and \vec{a}_3
have only 1 component
now $\Rightarrow (a_1, a_2, a_3) = \hat{A}$