



# CSE5014 CRYPTOGRAPHY AND NETWORK SECURITY

Dr. QI WANG

Department of Computer Science and Engineering

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# Course Information

- Instructor:

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Department of Computer Science and Engineering

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Email: [wangqi@sustech.edu.cn](mailto:wangqi@sustech.edu.cn)

CSE5014 密码学与网...

- TA:

Xuanwei Hu

- Course webpage:

[bb.sustech.edu.cn](http://bb.sustech.edu.cn)



# Course Information

- Lectures:

Room 305, Teaching Building 3

10:20 - 12:10 every Tuesday

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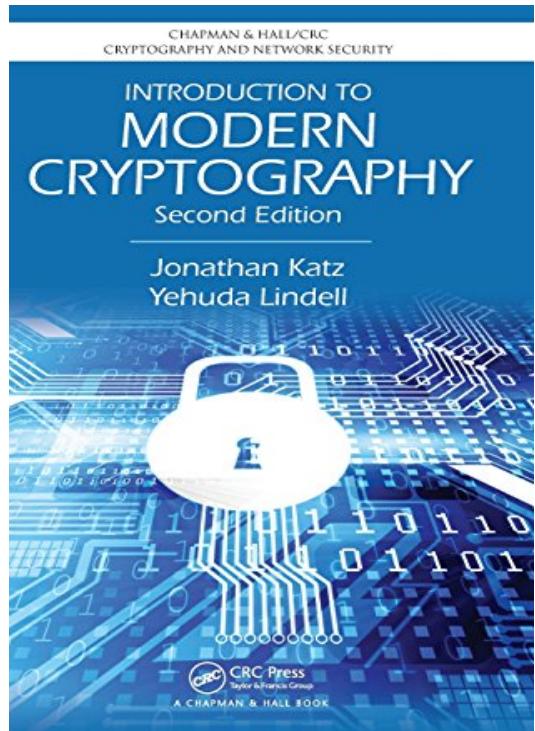
10:20 - 12:10 every Tuesday

- Office hours:

Tue. 16:20-18:20 or send email for appointment

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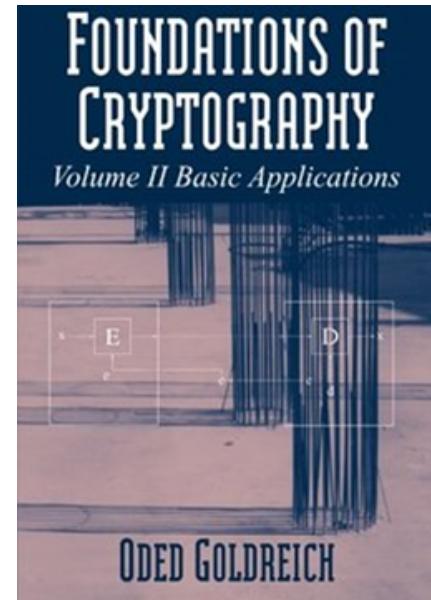
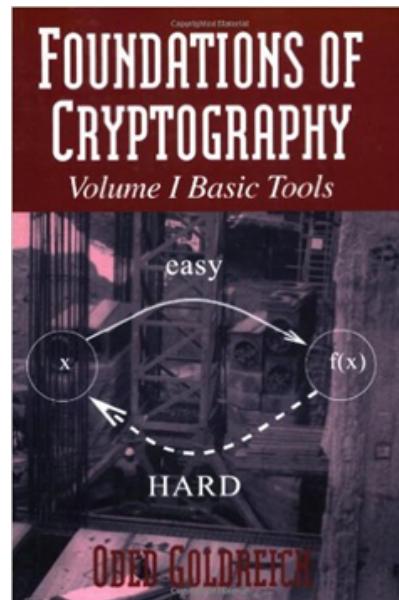
## ■ Reading resources



### A Graduate Course in Applied Cryptography

Dan Boneh and Victor Shoup

Version 0.4, September 2017



This course is part of the **Cybersecurity Specialization**

## Cryptography

★★★★★ 4.5 725 ratings



Jonathan Katz

# Course Information

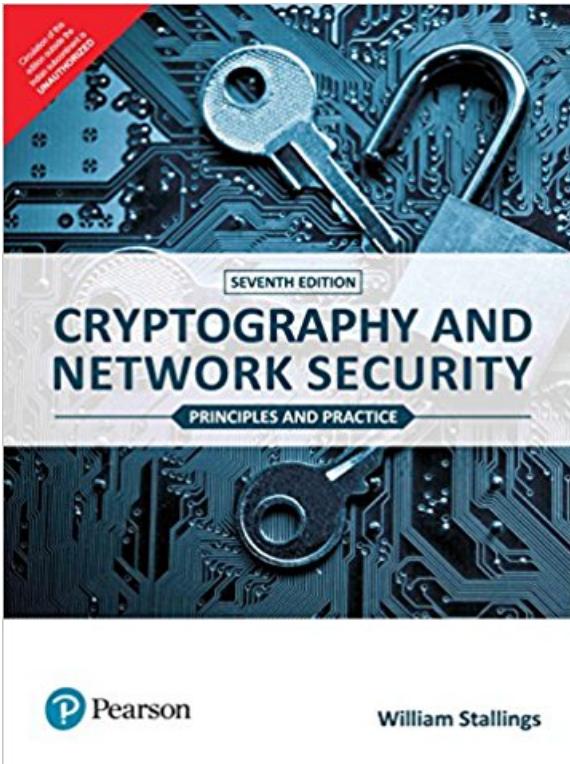
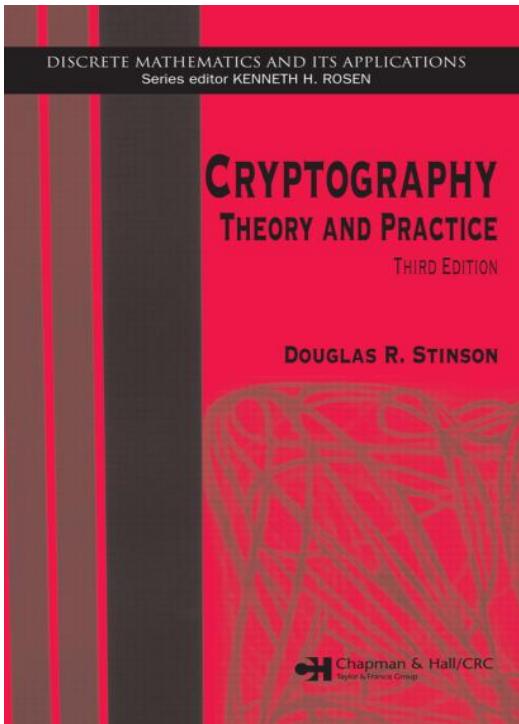
## ■ Reading resources

### Lecture Notes on Cryptography

SHAFI GOLDWASSER<sup>1</sup>

MIHIR BELLARE<sup>2</sup>

July 2008



# This Course

## ■ What you will learn:

- ◊ Foundations and principles of the science
- ◊ Basic primitives and components
- ◊ Definitions and proofs of security
- ◊ High-level applications

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- ◊ Buzzwords
- ◊ The most efficient and practical versions of components
- ◊ Designing secure systems
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But will help you avoid designing **insecure** systems

# Marking Scheme

- Quiz in class (10%)
- Homework assignments (40%)
  - ◊ assigned in class and posted on the course webpage
  - ◊ must be submitted **at the beginning of class** on due date
  - ◊ no extension policy with exceptions
- Final exam (50%)
  - ◊ covers the entire semester's material
- Project (optional, 5%)  
Presentation in class (optional, 5%)

# Important Messages to Students

- Main ideas will be covered in class but some details might be skipped. You are responsible for **all materials** in assigned sections of book, even if they are not taught in class.
- Homework assignments should be worked on and written up **individually**, though collaboration on homework with other students are **encouraged** (acknowledge this).
- Any unintellectual behavior and cheating on exams, homework assignments will be dealt with **severely**.

# What is OK and what is not OK?



南方科技大学  
SOUTHERN UNIVERSITY OF SCIENCE AND TECHNOLOGY

计算机科学与工程系  
Department of Computer Science and Engineering

## Undergraduate Students Assignment Declaration Form

This is \_\_\_\_\_ (student ID: \_\_\_\_\_), who has enrolled in \_\_\_\_\_ course, originated the Department of Computer Science and Engineering. I have read and understood the regulations on plagiarism in assignments and theses according to "Regulations on Academic Misconduct in Assignments for Undergraduate Students in the SUSTech Department of Computer Science and Engineering". I promise that I will follow these regulations during the study of this course.

Signature:

Date:



# Important Messages to Students

- Please ask questions in class



If you're having trouble understanding something, then at least 50% of the class is also having trouble: they'll *be happy* if you ask for more explanation.

- The lecture slides are still in progress



If you find mistakes or just think that something's confusing, please email me. Your classmates and future students will *thank you*.

# Prerequisites of This Course

## ■ Required:

1. Ability to read and write mathematical proofs and definitions
2. Familiarity with algorithms - proving correctness and analyzing complexity ( $O$  notation)
3. Familiarity with basic probability theory

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## ■ Helpful:

1. Complexity NP-Completeness, reductions, P, ...
2. Probabilistic algorithms primality testing, hashing, ...
3. Number theory modular arithmetic, prime numbers, ...

# More About This Course

- This course is **HARD!**
  - ◊ Emphasis on mathematical **proofs**
  - ◊ **Counter-intuitive** concepts
  - ◊ Extensive use of **quantifiers/probability**

# More About This Course

- This course is **HARD!**
  - ◊ Emphasis on mathematical **proofs**
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- There are some reasons!
  - ◊ Good coverage of crypto takes one year.
  - ◊ Simulation/experimentation cannot be used to show security.
  - ◊ Need to acquire “**crypto-intuition**”.
  - ◊ Quantifiers, proofs by contradiction, reductions, probability, etc. are inherent.

# More About This Course

- Mitigating hardness
  - ◊ Avoid excessive exercises
  - ◊ Try best to explain intuiation behind proofs
  - ◊ Learn to ask questions

# Cryptography

- History of almost 4000 years (from 1900 B.C.)

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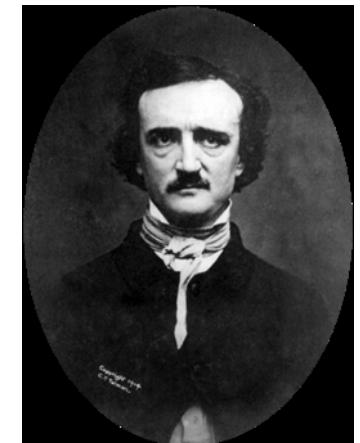
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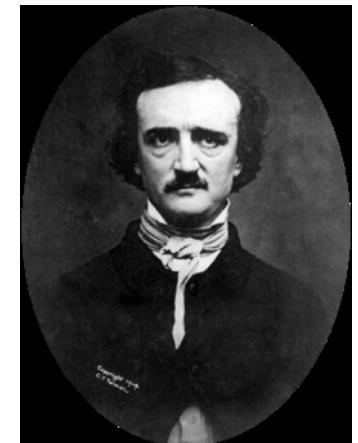
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“Human ingenuity cannot concoct a cipher which human ingenuity cannot resolve.” – 1941



# Cryptography

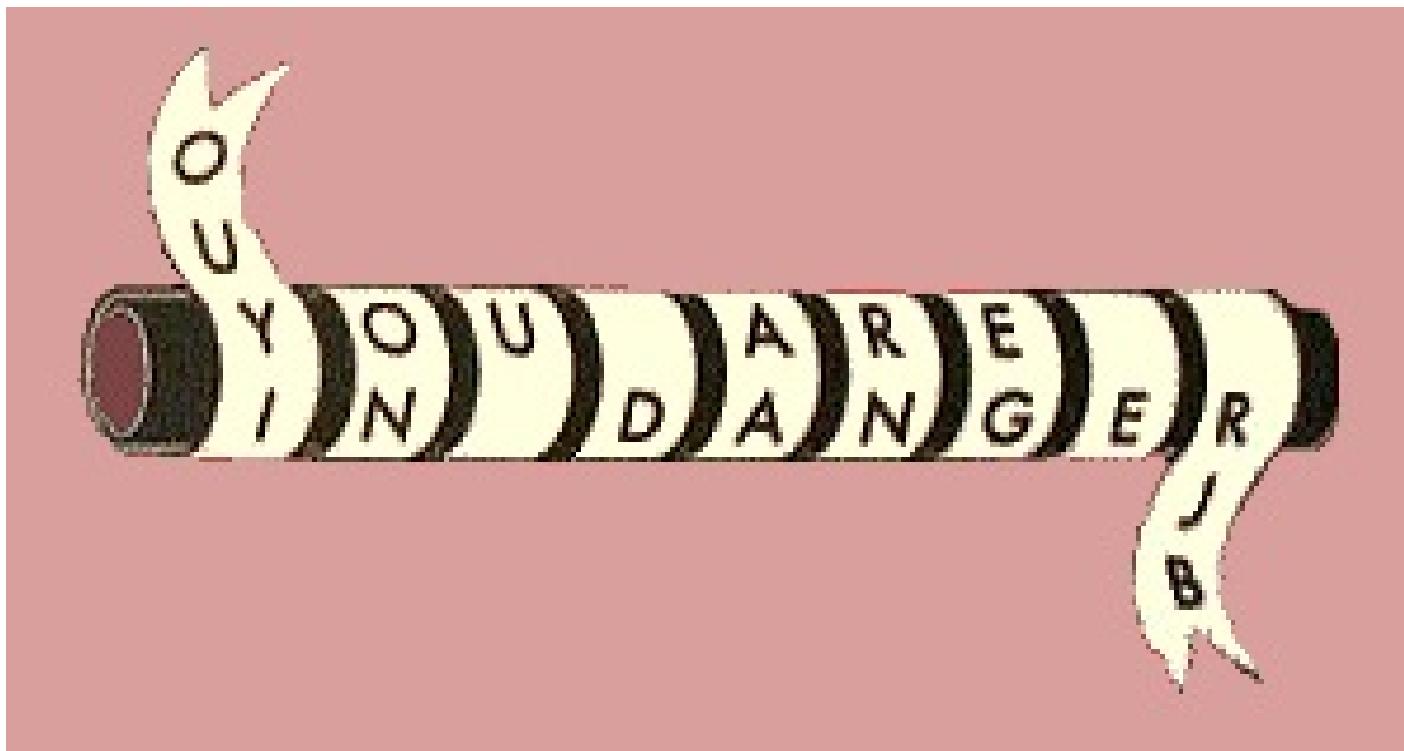
- In one sentence,

“Cryptography is the practice and study of techniques for secure communication in the presence of third parties called *adversaries.*” – *Ronald L. Rivest*



# Some Examples

- In 405 BC, the Greek general LYSANDER OF SPARTA was sent a coded message written on the inside of a servant's belt.



# Some Examples

- The Greeks also invented a cipher which changed **letters** to **numbers**. A form of this code was still being used during *World War I*.

	1	2	3	4	5
1	A	B	C	D	E
2	F	G	H	I/J	K
3	L	M	N	O	P
4	Q	R	S	T	U
5	V	W	X	Y	Z

# Some Examples

- Caesar Cipher (after the name of JULIUS CAESAR)



VENI, VIDI, VICI

YHQL YLGL YLFL

# Some Examples

- Morse Code: created by Samuel Morse in 1838

Morse Alphabet	
A	• -
B	- • • •
C	- • - •
D	- • •
E	•
F	• • - •
G	- - •
H	• • • •
I	• •
J	• - - -
K	- * -
L	* - • *
M	- -
N	- *
O	- - -
P	• - - - •
Q	- - - • -
R	• - - •
S	• • •
T	-
U	• • -
V	• • • -
W	• - -
X	- • • -
Y	- • - -
Z	- - • •
Full stop (.)	• - • - • -
Break signal or fresh line	- • • • -
Apostrophe (')	• - - - - •
Hyphen (-)	- • • • • -
Exclamation (!)	- - * • - -
Interrogation (?)	* • - - - • •
Underline (_____)	• • - - • -
Parenthesis ( )	- • - - - • -
Inverted commas (" ")	• - - • - - •

# Some Examples

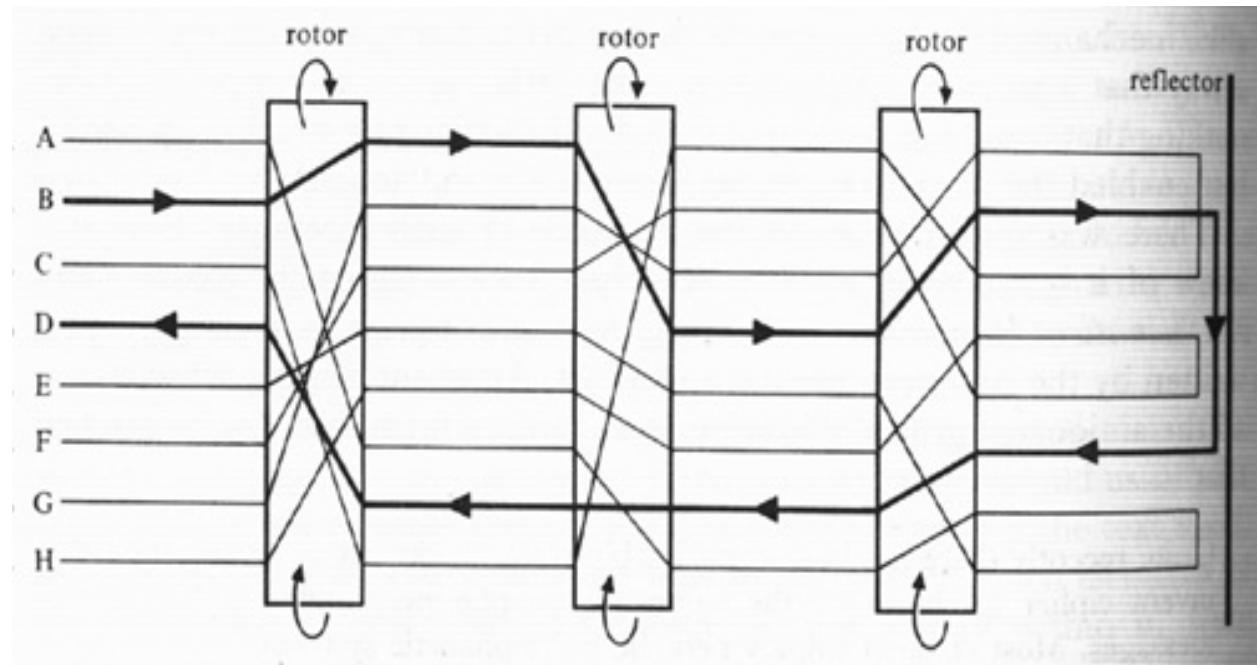
- Crytograms from the Chinese gold bars



<http://www.iacr.org/misc/china/china.html>

# Some Examples

- Enigma, Germany coding machine in *World War II*.



# Some Examples

- Sigaba, used by U.S. during *World War II*.



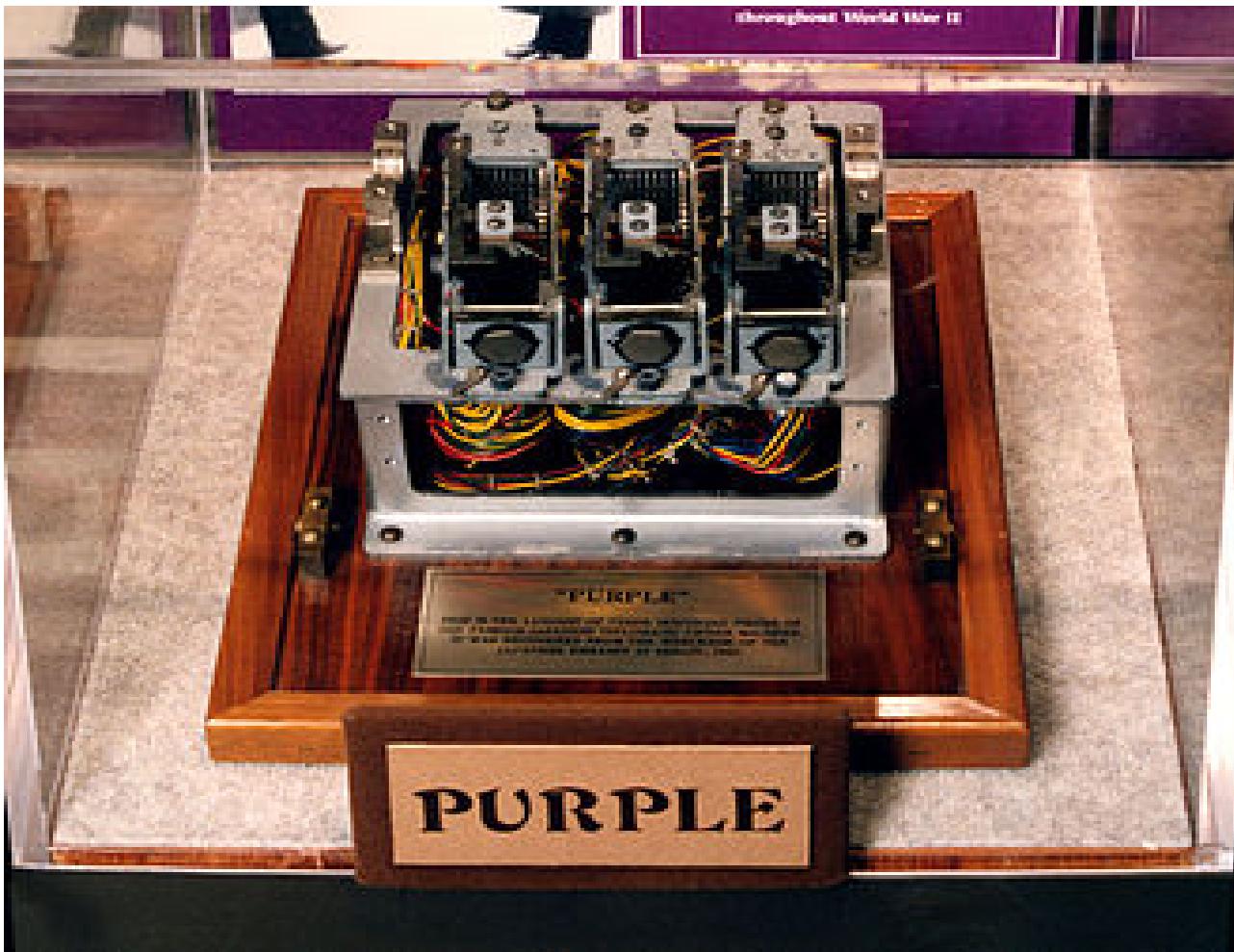
# Some Examples

- Japanese “Enigma” Rotor Cipher Machine



# Some Examples

- Japanese Purple Machine (97-shiki obun inji-ki)



# People Working in Breaking Codes

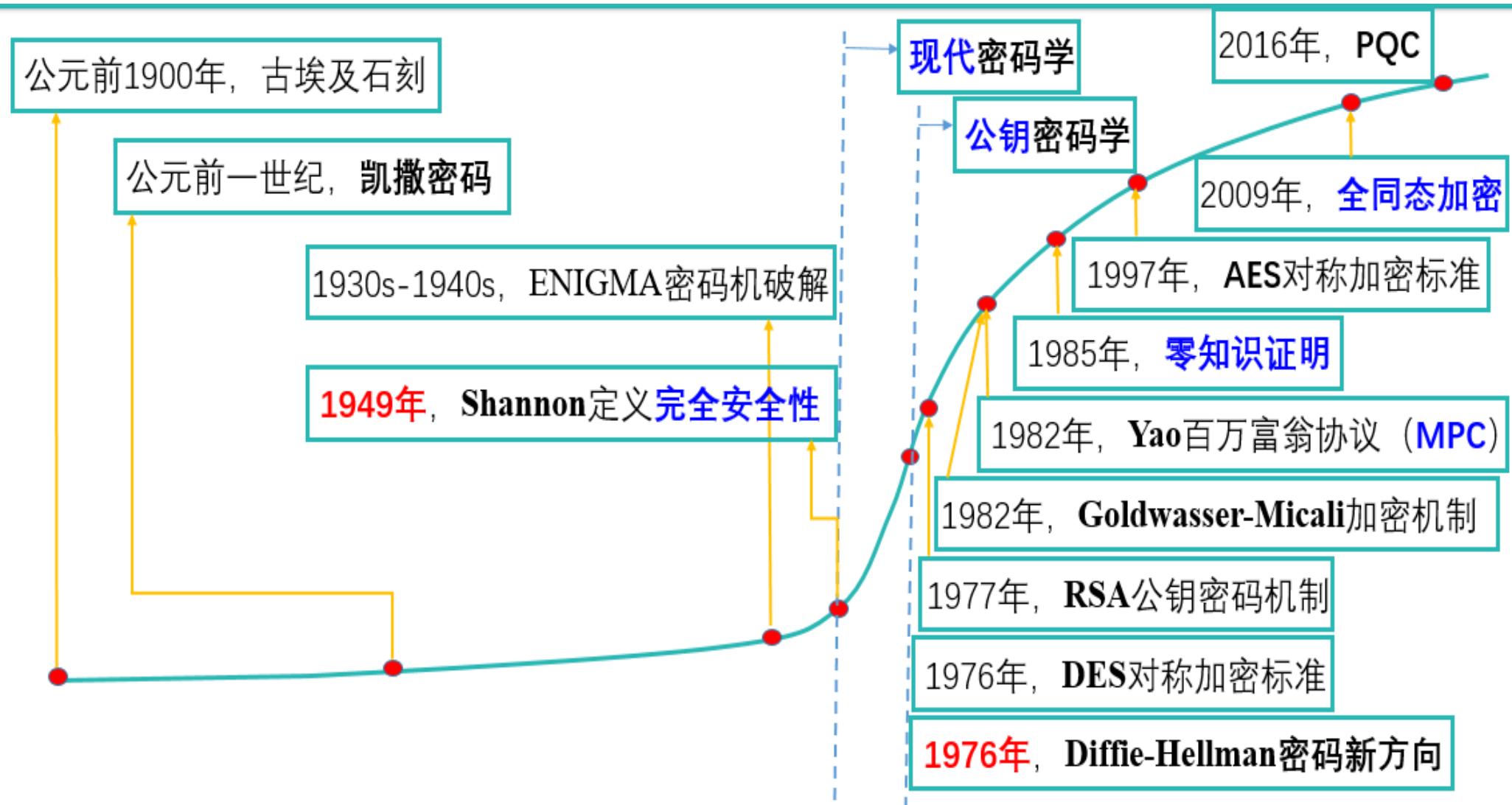


Alan Turing  
(1912-1954)



Claude E. Shannon  
(1916-2001)

# Cryptography



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- History (until 1970's)
  - ◊ Secret code invented
  - ◊ Typically claimed “unbreakable” by inventor
  - ◊ Used by spies, ambassadors, kings, generals for crucial tasks
  - ◊ Broken by adversaries using **cryptanalysis**

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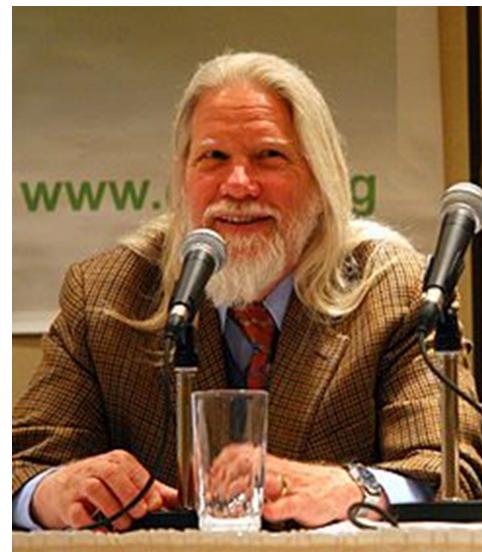
“*invent-break-tweak*” cycle

# Cryptography

## ■ History (from 1976)

- ◊ W. Diffie, M. Hellman, “New direction in cryptography”,  
*IEEE Transactions on Information Theory*, vol. 22, pp.  
644-654, 1976.

“We stand today on the  
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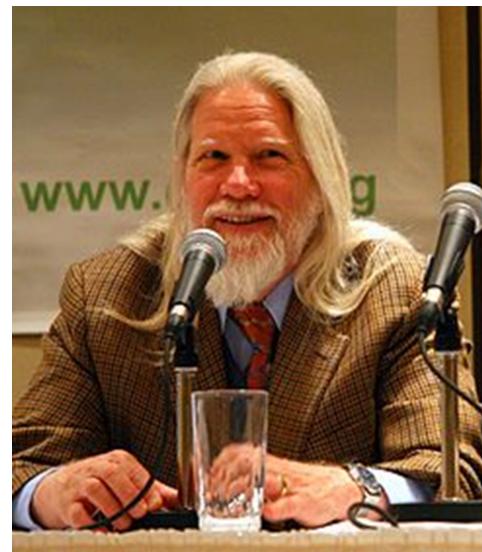


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Proposed new, more ambitious, notion of “*public-key cryptography*”  
based on simple to state, hard to solve, computational problem.

# Modern Cryptography (post 1970's)

## ■ Provable security

- ◊ Perfect security (Shannon) and its limitations
- ◊ Computational security
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- ◊ Public-key encryption based on factoring, RSA problem
- ◊ Digital signatures, hash functions
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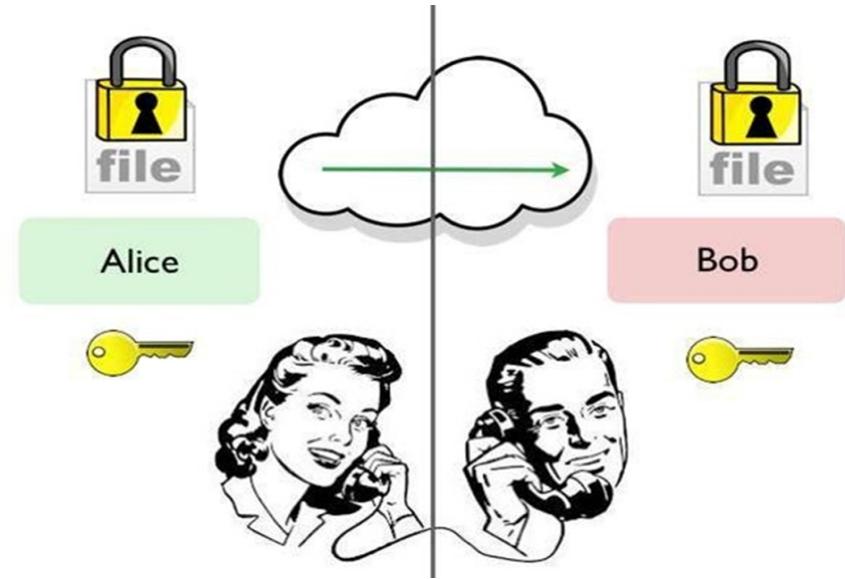
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## ■ Advanced topics\*

- ◊ The SSL protocol
- ◊ Multi-party secure computation
- ◊ Post-quantum cryptography
- ◊ Fully homomorphic encryption (Gentry 2009), ...

# Encryption Schemes

- Alice wants to send Bob a secret message

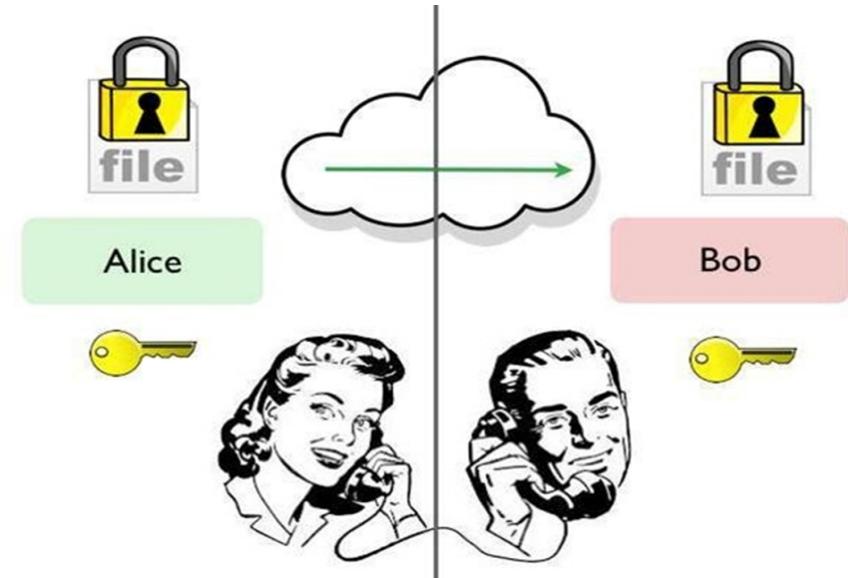


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- ◊ Encryption algo.: E
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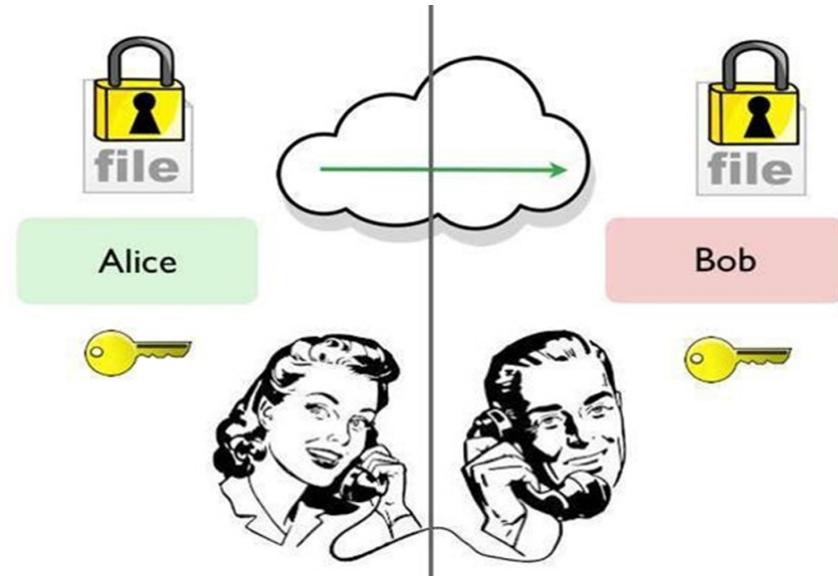


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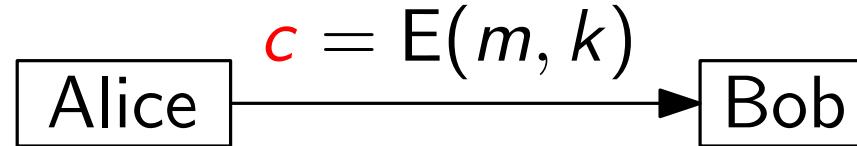
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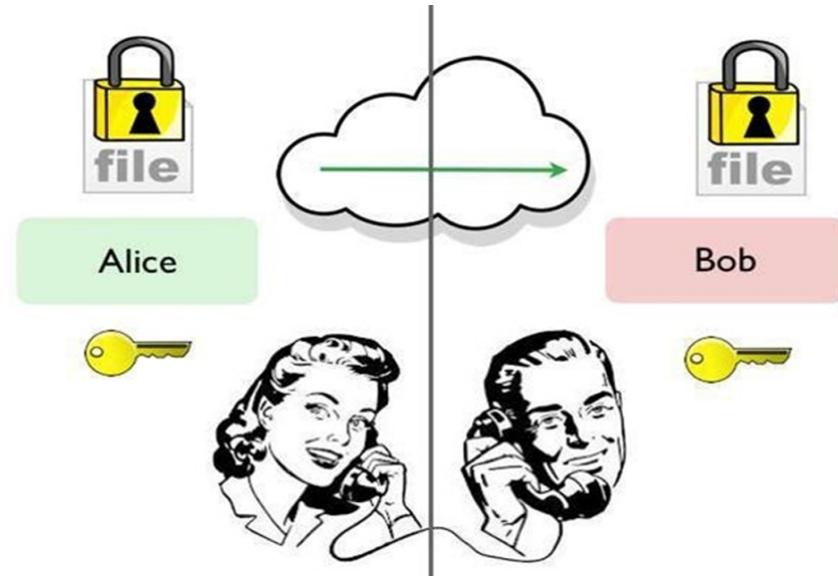
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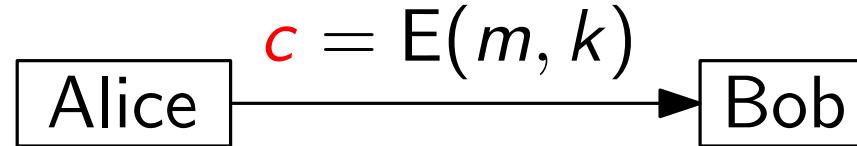
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A scheme is **valid** if  $m' = m$ .

Intuitively, a scheme is **secure** if an eavesdropper cannot learn  $m$  from  $c$ .

# Caesar Cipher

- Key:  $k = 0, 1, \dots, 25$

Encryption: encode  $i$  as  $(i + k) \bmod 26$

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Key: 2

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Kerchoff's Principle (1883): System should be secure even if algorithms are known, as long as key is secret.

# Substitution Cipher

- Key: table mapping each letter to another letter

A	B	C		Z
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However, substitution cipher is still **insecure!**

Key observation: can recover plaintext using *statistics* on *letter frequencies*.

# Substitution Cipher

■ Table 1: Relative frequencies of the letters of the English language

Letter	Relative Frequency (%)	Letter	Relative Frequency (%)
a	8.167	n	6.749
b	1.492	o	7.507
c	2.782	p	1.929
d	4.253	q	0.095
e	12.702	r	5.987
f	2.228	s	6.327
g	2.015	t	9.056
h	6.094	u	2.758
i	6.966	v	0.978
j	0.153	w	2.360
k	0.772	x	0.150
l	4.025	y	1.974
m	2.406	z	0.074

# Substitution Cipher

Table 2: Number of Diagraphs Expected in 2,000 Letters of English Text

th	-	50	at	-	25	st	-	20
er	-	40	en	-	25	io	-	18
on	-	39	es	-	25	le	-	18
an	-	38	of	-	25	is	-	17
re	-	36	or	-	25	ou	-	17
he	-	33	nt	-	24	ar	-	16
in	-	31	ea	-	22	as	-	16
ed	-	30	ti	-	22	de	-	16
ne	-	30	to	-	22	rt	-	16
ha	-	26	it	-	20	ve	-	16

Table 3: The 15 Most Common Trigraphs in the English Language

1	-	the	6	-	tio	11	-	edt
2	-	and	7	-	for	12	-	tis
3	-	tha	8	-	nde	13	-	oft
4	-	ent	9	-	has	14	-	sth
5	-	ion	10	-	nce	15	-	men

# Substitution Cipher

- LIVITCSWPIYVEWHEVSRIQMXXLEYVEOIEWHRXEXIPFE  
MVEWHKVSTYXLXZIXLIKIIXPPIJVSZEYPERRGERIMWQL  
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HereUpOnLeGrandAroseWithAGraveAndStatelyAirAndBroug  
MeTheBeetleFromAGlassCaselnWhichItWasEnclosedIt-  
WasABe

# Vigenere Cipher

- “*Multi-Caesar Cipher*” – stateful

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**Key:**  $\mathbf{k} = (k_1, k_2, \dots, k_m)$  – list of  $m$  numbers in  $[0..25]$

**Encryption:** encode  $i$  as  $(i + k_j) \bmod 26$ , if the location of  $i$  is  $j \bmod m$

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Because the same letter  $e$  may be mapped to  
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Considered as “**unbreakable**” for 300 years (broken by  
Babbage, Kasiski 1850’s)

# Vigenere Cipher

- Breaking Vigenere:

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Step 2: Group together positions

$$\{1, m + 1, 2m + 1, \dots\}, \{2, m + 2, 2m + 2, \dots\}, \dots, \\ \{m - 1, 2m - 1, 3m - 1, \dots\}$$

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Step 3: Frequency-analyze each group  
independently.

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[97, 138, 60, 140, 65, 130, 130, 134, 148, 63, 124, 69, 145, 135, 127, 65, 132, 138, 144, 97]
[142, 138, 146, 125, 135, 142, 134, 59, 140, 146, 136, 125, 140, 144, 2, 127, 65, 145, 130, 146]
[146, 56, 138, 125, 57, 140, 134, 126, 133, 133, 145, 123, 134, 129, 57, 127, 149, 59, 141, 146]
[142, 126, 131, 143, 140, 131, 144, 137, 139, 133, 139, 70, 62, 104, 126, 58, 131, 144, 145, 64]
[131, 125, 62, 127, 126, 142, 149, 128, 61, 148, 132, 123, 134, 138, 40, 136, 134, 144, 130, 134, 133]
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[132, 56, 131, 144, 57, 134, 134, 142, 61, 9, 147, 141, 130, 133, 122, 136, 149, 142, 73, 64]
[140, 121, 135, 143, 57, 123, 150, 142, 144, 137, 63, 132, 127, 60, 133, 123, 143, 130, 146, 133]
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[132, 56, 130, 67, 142, 142, 138, 135, 134, 147, 132, 138, 62, 128, 126, 141, 65, 136, 6, 148]
[135, 135, 130, 129, 140, 58, 134, 143, 61, 132, 132, 139, 62, 139, 142, 142, 138, 135, 144, 64]
[142, 134, 146, 60, 2, 142, 10, 59, 129, 9, 149, 125, 138, 139, 137, 138, 10, 142, 75, 64]
[107, 125, 145, 60, 2, 134, 9, 145, 130, 147, 63, 138, 131, 3, 136, 131, 151, 128, 139, 148]
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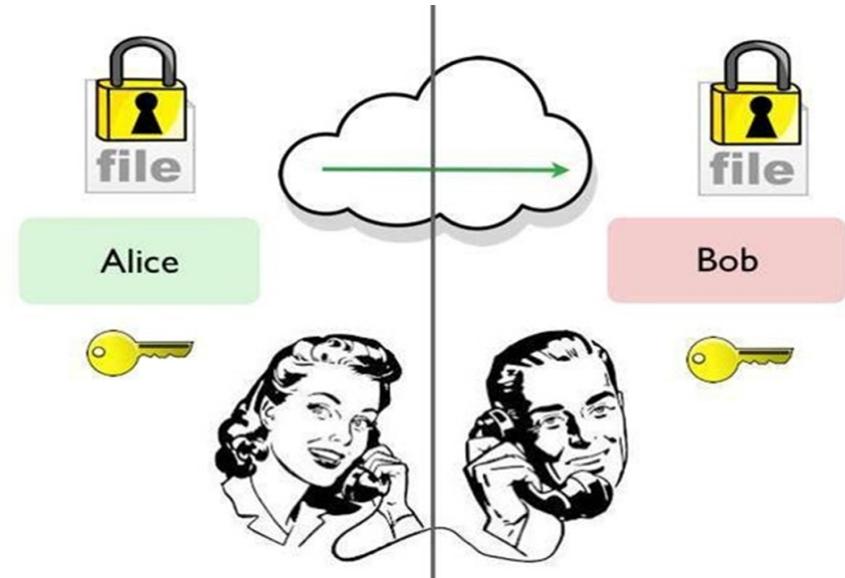
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# Review of Encryption Schemes

- Alice wants to send Bob a secret message

They agree in advance on 3 components:

- ◊ Encryption algo.: E
- ◊ Decryption algo.: D
- ◊ Secret key: k

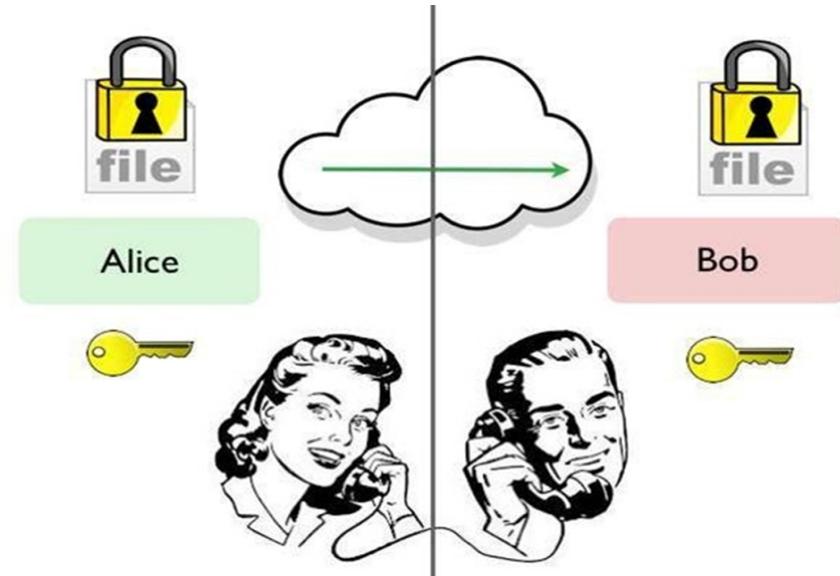


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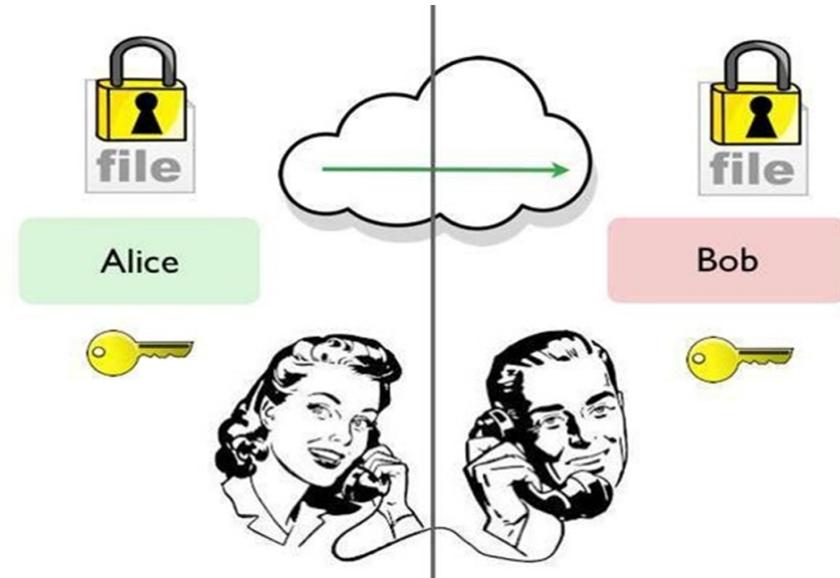
Q: Can Bob send Alice the secret key over the channel?

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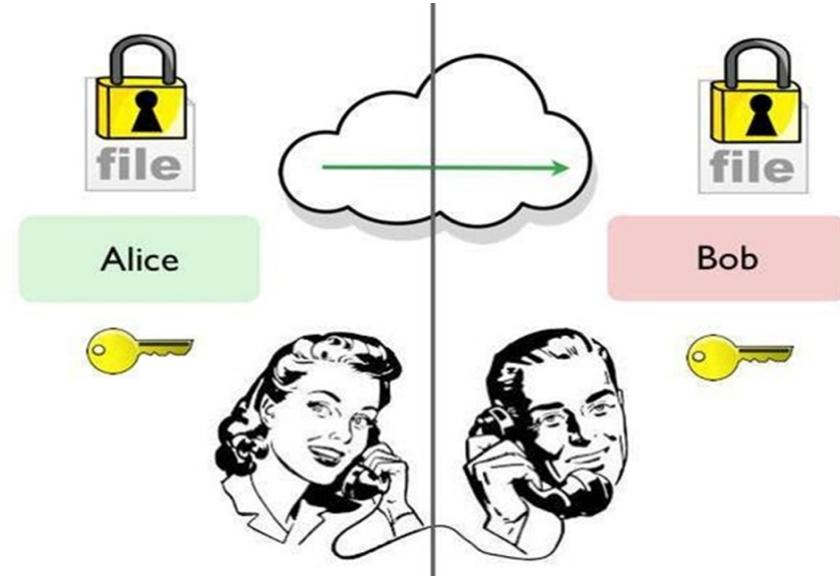
*A:* Of course not! Eve could decrypt *c*.

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*Q*: Can Bob send Alice the secret key over the channel?

*A*: Of course not! Eve could decrypt *c*.

*Q*: What if Bob could send Alice a “special key” useful only for **encryption** but no help for **decryption**?

# Pulick Key Cryptography [DH76, RSA77]

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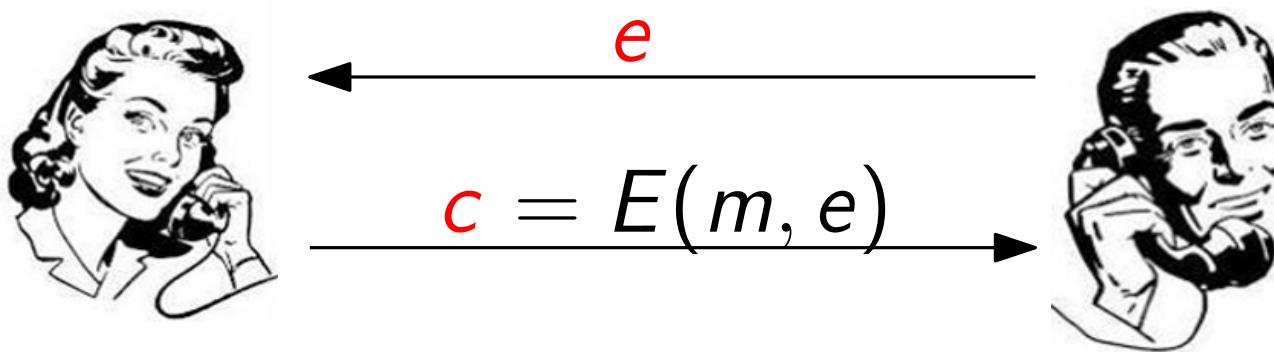
←  
 $e$

A horizontal line with a black arrow pointing left, labeled with the letter  $e$  in red, representing the public key.

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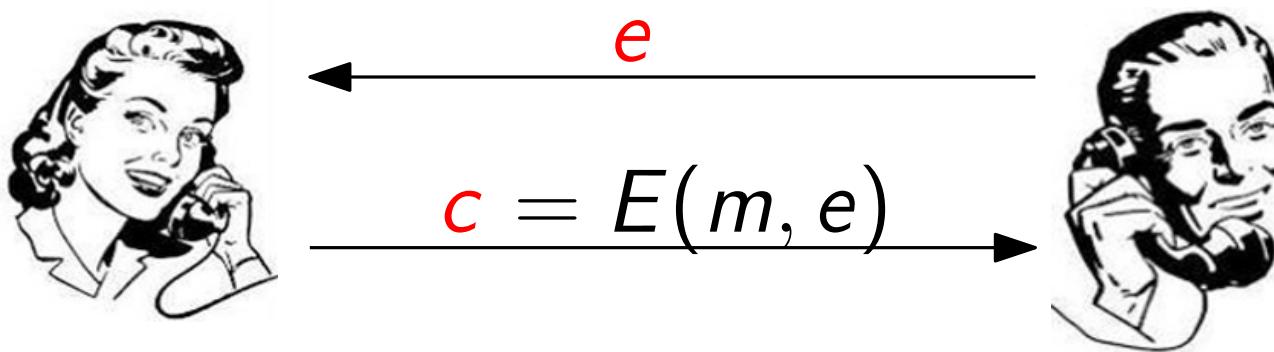
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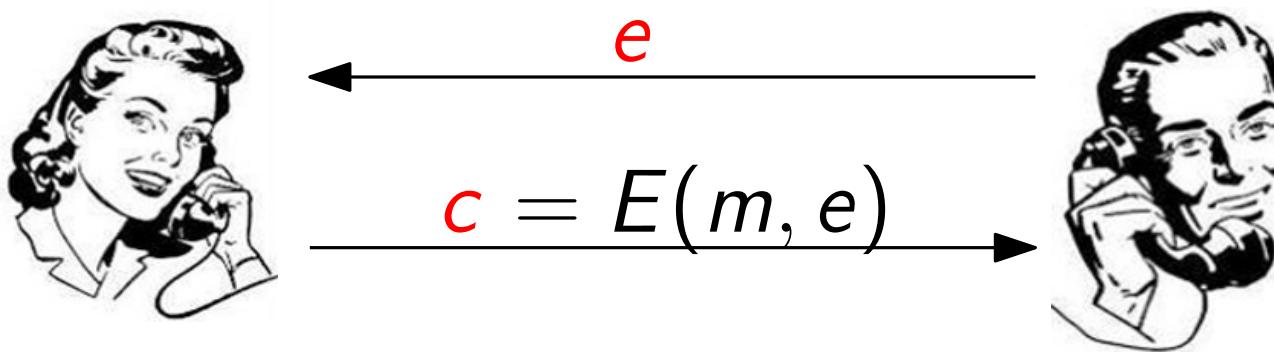


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Decryption: Bob computes  $m' = D(c, d)$

A scheme is **valid** if  $m' = m$ .

Intuitively, a scheme is **secure** if eavesdropper cannot learn  $m$  from  $c$  (even if Eve knows the key  $e$ ).

# Cryptography Wonders

- *Digital Signatures.* Electronically sign documents
- Zero-knowledge Proofs.* Alice proves to Bob that she earns < \$50k without Bob learning her income.
- Privacy-preserving data mining.* Bob holds DB. Alice gets answer to one query, without Bob knowing what she asked.
- Playing poker over the net.* Alice, Bob, Carol and David can play Poker over the net without trusting each other or any central server. (*E-Voting*)
- Electronic Auctions.* Can run auctions s.t. no one (even not seller) learns anything other than winning party and bid.
- Fully Homomorphic Encryption.* Encrypt  $E(m)$  in a way that allows to compute  $E(f(m))$ .

# Cryptography Wonders

WA

what is the most important research area in cryptography in the next 10 years?



Cryptography is a rapidly evolving field, and it is difficult to predict the most important research area in cryptography for the next 10 years with certainty. However, here are some areas that are likely to be of significant interest in the coming years:



1. Post-quantum cryptography: With the rapid progress in quantum computing, it is becoming increasingly likely that currently used public key algorithms such as RSA and Elliptic Curve Cryptography (ECC) will become vulnerable to quantum computer attacks. Hence, researchers are working on developing new cryptographic algorithms that are secure against quantum computers.
2. Secure multi-party computation: This area of research aims to develop methods for performing computations on sensitive data, while keeping the data secure and private. With the increasing amount of sensitive data being generated and stored, this research area will become increasingly important.
3. Privacy-preserving technologies: This area of research involves developing technologies that protect the privacy of users while still allowing the data to be used for useful purposes such as analytics and machine learning. This includes privacy-preserving machine learning, differential privacy, and homomorphic encryption.
4. Blockchain security: Blockchain technology has gained significant attention in recent years due to its potential to revolutionize various industries. However, ensuring the security of blockchain systems remains a major challenge, and researchers are actively working on developing secure blockchain solutions.

These are just a few examples of the many exciting research areas in cryptography. The field is rapidly evolving, and new challenges and opportunities are likely to emerge in the next 10 years.

# Applications of Cryptography

- Using the crypto mindset beyond crypto

One example: preventing **overfitting** in machine learning

RESEARCH | REPORTS

STATISTICS

## The reusable holdout: Preserving validity in adaptive data analysis

Cynthia Dwork,<sup>1\*</sup> Vitaly Feldman,<sup>2\*</sup> Moritz Hardt,<sup>3\*</sup> Toniann Pitassi,<sup>4\*</sup>  
Omer Reingold,<sup>5\*</sup> Aaron Roth<sup>6\*</sup>

Misapplication of statistical data analysis is a common cause of spurious discoveries in scientific research. Existing approaches to ensuring the validity of inferences drawn from data assume a fixed procedure to be performed, selected before the data are examined. In common practice, however, data analysis is an intrinsically adaptive process, with new analyses generated on the basis of data exploration, as well as the results of previous analyses on the same data. We demonstrate a new approach for addressing the challenges of adaptivity based on insights from privacy-preserving data analysis. As an application, we show how to safely reuse a holdout data set many times to validate the results of adaptively chosen analyses.

Idea: Treat training algorithm as **attacker** to prevent it learning “too much” about the particular sample.

# Principles of Modern Cryptography

- Principle 1 – *Formal Definitions*
- Principle 2 – *Precise Assumptions*
- Principle 3 – *Proofs of Security*

# Next Lecture

- perfect secrecy ...

