



Filing ID #10066821

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Neal Patrick MD, Facs Dunn  
**Status:** Member  
**State/District:** FL02

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2024  
**Filing Date:** 08/13/2025

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cadence Checking Account [BA]		\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
IRA #1 ⇒ BANK OF AMERICA SWEEP ACCOUNT [BA]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ iShares Biotechnology ETF (IBB) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ iShares Preferred and Income Securities ETF (PFF) [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ MicroStrategy Incorporated - Class A Common Stock (MSTR)	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
[ST]					
IRA #1 ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #1 ⇒ Vanguard Value ETF (VTV) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #2 ⇒ iShares U.S. Aerospace & Defense ETF (ITA) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #2 ⇒ J P Morgan Chase & Co Depositary Shares, each representing a 1/400th interest in a share of JPMorgan (JPM\$J) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #3 ⇒ Alerian MLP ETF (AMLP) [EF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #3 ⇒ Apple Inc. (AAPL) [ST]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ AT&T Inc. Depositary Shares, each representing a 1/1,000th interest in a share of 5.000% Perpetual P (T\$A) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #3 ⇒ Bank of America Sweep Account [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ Cadence Bank 5.50% Series A (CADE\$A) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #3 ⇒ Capital One Financial Corporation Depositary shares each representing a 1/40th interest in a share o (COF\$I) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #3 ⇒ Flaherty & Crumrine Dynamic Preferred and Income Fund (XDFPX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #3 ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]		\$500,001 - \$1,000,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #3 ⇒ iShares Biotechnology ETF (IBB) [EF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA #3 ⇒ iShares Preferred and Income Securities ETF (PFF) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #3 ⇒ iShares Russell 2000 ETF (IWM) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #3 ⇒ ISHARES US AEROSPACE [ITA] [EF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #3 ⇒ Johnson & Johnson (JNJ) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ JP Morgan Chase & Co Depositary Shares Preferred Series GG [OT]  DESCRIPTION: Preferred stock		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #3 ⇒ KeyCorp Depositary Shares each representing a 1/40th ownership interest in a share of Fixed Rate Per (KEY\$J) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #3 ⇒ Regions Financial Corporation Depositary Shares, Each Representing a 1/40th Interest in a Share of 4 (RF\$E) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #3 ⇒ SPDR Bloomberg 1-3 Month T-Bill ETF (BIL) [EF]		\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #3 ⇒ T ROWE CAPITAL APPRECIATION FUND INV CL [PRWCX] [MF]		\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #3 ⇒ Truist Financial Corporation Depositary Shares, each representing 1/1,000th interest in a share of S (TFC\$R) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #3 ⇒ U.S. Bancorp Depositary Shares each representing a 1/1,000th interest in a share of Series K Non-Cum (USB\$P) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #3 ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]		\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA #3 ⇒ Vanguard Value ETF (VTV) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA #3 ⇒ Wells Fargo & Company Depositary Shares, each representing a 1/1,000th interest in a share of Non-Cu (WFC\$Y) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ BANK OF AMERICA SWEEP ACCOUNT [BA]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ Invesco National AMT-Free Municipal Bond ETFo (PZA) [EF]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ iShares Biotechnology ETF (IBB) [EF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ iShares Core MSCI EAFE ETF (IEFA) [EF]		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ ISHARES CORE S&P 500 [IVV] [EF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ iShares Preferred and Income Securities ETF (PFF) [EF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ iShares Russell 2000 ETF (IWM) [EF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ iShares Short-Term National Muni Bond ETF (SUB) [EF]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ SPDR Nuveen ICE Short Term Municipal Bond ETF (SHM) [EF]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ VanEck ETF Trust VanEck High Yield Muni ETF (HYD) [EF]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ VanEck ETF Trust VanEck Intermediate Muni ETF (ITM) [EF]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
NP DUNN TLF PLEDGE ⇒ Vanguard Growth ETF (VUG) [EF]		None	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ Vanguard International High Dividend Yield ETF (VYMI) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ Vanguard Small-Cap Growth ETF (VBK) [EF]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ Vanguard Small-Cap Value ETF (VBR) [EF]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ Vanguard Value ETF (VTV) [EF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ Brokerage sweep account [BA]		\$1,001 - \$15,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ Invesco National AMT-Free Municipal Bond ETFo (PZA) [EF]		None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ iShares 20+ Year Treasury Bond ETF (TLT) [EF]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ iShares Biotechnology ETF (IBB) [EF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ iShares Core S&P 500 ETF (IVV) [EF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ iShares Preferred and Income Securities ETF (PFF) [EF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ iShares Russell 2000 ETF (IWM) [EF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ iShares Short-Term National Muni Bond ETF (SUB) [EF]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
NP Dunn Tst Cadence Pldg ⇒ iShares U.S. Aerospace & Defense ETF (ITA) [EF]		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ SPDR Bloomberg 1-3 Month T-Bill ETF (BIL) [EF]		None	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ SPDR Nuveen Bloomberg Short Term Municipal Bond ETF (SHM) [EF]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [EF]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ VanEck ETF Trust VanEck High Yield Muni ETF (HYD) [EF]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ VanEck ETF Trust VanEck Intermediate Muni ETF (ITM) [EF]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ Vanguard International High Dividend Yield ETF (VYMI) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ Vanguard Total International Bond ETF (BNDX) [EF]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ Vanguard Value ETF (VTV) [EF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

\* Investment Vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA #2 ⇒ J P Morgan Chase & Co Depository Shares, each representing a 1/400th interest in a share of JPMorgan Chase & Co. 4.75% Non-Cumulative Preferred Stock, (JPM\$J) [ST]		04/24/2024	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA #2 ⇒ Wells Fargo & Company Depositary Shares, each representing a 1/1,000th interest in a share of Non-Cumulative Perpetual Class A Preferred Stock, Series DD (WFC\$D) [ST]		04/24/2024	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA #3 ⇒ Cadence Bank 5.50% Series A (CADE\$A) [ST]		09/27/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ US Bancorp Preferred Series K [OT]  LOCATION: US DESCRIPTION: Publicly traded preferred stock		09/27/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ Truist Financial Corporation Depositary Shares, each representing 1/1,000th interest in a share of Series R Non-Cumulative Perpetual Preferred Stock (TFC\$R) [ST]		09/27/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ AT&T Inc. Depositary Shares, each representing a 1/1,000th interest in a share of 5.000% Perpetual Preferred Stock, Series A (T\$A) [ST]		09/27/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ Regions Financial Corporation Depositary Shares, Each Representing a 1/40th Interest in a Share of 4.45% Non-Cumulative Perpetual Preferred Stock, Series E (RF\$E) [ST]		09/27/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ KeyCorp Depositary Shares each representing a 1/40th ownership interest in a share of Fixed Rate Perpetual Non-Cumulative Preferred Stock, Series F (KEY\$J) [ST]		09/27/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ JP Morgan Chase & Co Depositary Shares Preferred Series GG [OT]  LOCATION: US DESCRIPTION: Publicly traded preferred shares		09/27/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ Capital One Financial Corporation Depositary shares each representing a 1/40th interest in a share of Fixed Rate Non-Cumulative Perpetual Preferred Stock, Series I of the Issuer (COF\$I) [ST]		09/27/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ JP Morgan Chase & Co Depositary Shares Preferred Series GG		11/06/2024	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
[OT]					
LOCATION: US					
DESCRIPTION: Publicly traded preferred shares					
IRA #3 ⇒ U.S. Bancorp Depositary Shares each representing a 1/1,000th interest in a share of Series K Non-Cumulative Perpetual Preferred Stock (USB\$P) [ST]		11/06/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ Cadence Bank 5.50% Series A (CADE\$A) [ST]		11/06/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ Regions Financial Corporation Depositary Shares, Each Representing a 1/40th Interest in a Share of 4.45% Non-Cumulative Perpetual Preferred Stock, Series E (RF\$E) [ST]		11/06/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ Capital One Financial Corporation Depositary shares each representing a 1/40th interest in a share of Fixed Rate Non-Cumulative Perpetual Preferred Stock, Series I of the Issuer (COF\$I) [ST]		11/06/2024	P	\$1,001 - \$15,000	
IRA #1 ⇒ MicroStrategy Incorporated - Class A Common Stock (MSTR) [ST]	SP	12/24/2024	P	\$15,001 - \$50,000	
IRA #3 ⇒ iShares Biotechnology ETF (IBB) [EF]		03/13/2024	P	\$50,001 - \$100,000	
IRA #3 ⇒ SPDR Bloomberg 1-3 Month T-Bill ETF (BIL) [EF]		03/13/2024	S	\$50,001 - \$100,000	<input type="checkbox"/>
IRA #3 ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [EF]		04/05/2024	S	\$100,001 - \$250,000	<input type="checkbox"/>
IRA #3 ⇒ Alerian MLP ETF (AMLP) [EF]		07/22/2024	P	\$15,001 - \$50,000	
IRA #3 ⇒ iShares Biotechnology ETF (IBB) [EF]		07/22/2024	P	\$15,001 - \$50,000	
IRA #3 ⇒ Vanguard Value ETF (VTV) [EF]		07/22/2024	P	\$15,001 - \$50,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA #3 ⇒ iShares U.S. Aerospace & Defense ETF (ITA) [EF]		07/22/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ iShares Preferred and Income Securities ETF (PFF) [EF]		07/22/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ iShares Biotechnology ETF (IBB) [EF]		08/14/2024	P	\$50,001 - \$100,000	
IRA #3 ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]		08/14/2024	P	\$50,001 - \$100,000	
IRA #3 ⇒ iShares U.S. Aerospace & Defense ETF (ITA) [EF]		08/14/2024	P	\$50,001 - \$100,000	
IRA #3 ⇒ Alerian MLP ETF (AMLP) [EF]		08/14/2024	P	\$15,001 - \$50,000	
IRA #3 ⇒ SPDR Bloomberg 1-3 Month T-Bill ETF (BIL) [EF]		09/06/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]		09/24/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ iShares U.S. Aerospace & Defense ETF (ITA) [EF]		09/27/2024	P	\$50,001 - \$100,000	
IRA #3 ⇒ T. Rowe Price Capital Appreciation Fund (PRWCX) [MF]		09/27/2024	P	\$15,001 - \$50,000	
IRA #3 ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]		09/27/2024	P	\$15,001 - \$50,000	
IRA #3 ⇒ Alerian MLP ETF (AMLP) [EF]		09/27/2024	P	\$15,001 - \$50,000	
IRA #3 ⇒ Flaherty & Crumrine Dynamic Preferred and Income Fund (XDFPX) [MF]		09/27/2024	P	\$15,001 - \$50,000	
IRA #3 ⇒ iShares Biotechnology ETF (IBB) [EF]		09/27/2024	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA #3 ⇒ SPDR Bloomberg 1-3 Month T-Bill ETF (BIL) [EF]		10/04/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ SPDR Bloomberg 1-3 Month T-Bill ETF (BIL) [EF]		11/06/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]		11/06/2024	P	\$100,001 - \$250,000	
IRA #3 ⇒ iShares Russell 2000 ETF (IWM) [EF]		11/06/2024	P	\$50,001 - \$100,000	
IRA #3 ⇒ T. Rowe Price Capital Appreciation Fund (PRWCX) [MF]		11/06/2024	P	\$50,001 - \$100,000	
IRA #3 ⇒ Vanguard Value ETF (VTV) [EF]		11/06/2024	P	\$15,001 - \$50,000	
IRA #3 ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]		11/06/2024	P	\$15,001 - \$50,000	
IRA #3 ⇒ Flaherty & Crumrine Dynamic Preferred and Income Fund (XDFPX) [MF]		11/06/2024	P	\$15,001 - \$50,000	
IRA #3 ⇒ SPDR Bloomberg 1-3 Month T-Bill ETF (BIL) [EF]		11/06/2024	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
IRA #3 ⇒ Alerian MLP ETF (AMLP) [EF]		11/18/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ SPDR Bloomberg 1-3 Month T-Bill ETF (BIL) [EF]		12/05/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ T. Rowe Price Capital Appreciation Fund (PRWCX) [MF]		12/20/2024	P	\$15,001 - \$50,000	
IRA #3 ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]		12/24/2024	P	\$1,001 - \$15,000	
IRA #3 ⇒ SPDR Bloomberg 1-3 Month T-Bill ETF (BIL) [EF]		12/24/2024	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA #3 ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]		12/31/2024	P	\$1,001 - \$15,000	
IRA #1 ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [EF]	SP	04/05/2024	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
IRA #1 ⇒ iShares Preferred and Income Securities ETF (PFF) [EF]	SP	09/11/2024	P	\$50,001 - \$100,000	
IRA #1 ⇒ Vanguard Value ETF (VTV) [EF]	SP	09/11/2024	P	\$50,001 - \$100,000	
IRA #1 ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	09/11/2024	P	\$50,001 - \$100,000	
IRA #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	09/11/2024	P	\$15,001 - \$50,000	
IRA #1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	SP	09/11/2024	P	\$15,001 - \$50,000	
IRA #1 ⇒ iShares Biotechnology ETF (IBB) [EF]	SP	09/11/2024	P	\$15,001 - \$50,000	
IRA #1 ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]	SP	09/11/2024	P	\$15,001 - \$50,000	
IRA #1 ⇒ Vanguard International High Dividend Yield ETF (VYMI) [EF]	SP	09/11/2024	P	\$15,001 - \$50,000	
IRA #1 ⇒ iShares U.S. Treasury Bond ETF (GOVT) [EF]	SP	09/11/2024	P	\$1,001 - \$15,000	
IRA #1 ⇒ Vanguard Mortgage-Backed Securities ETF (VMBS) [EF]	SP	09/11/2024	P	\$1,001 - \$15,000	
IRA #1 ⇒ iShares Broad USD Investment Grade Corporate Bond ETF (USIG) [EF]	SP	09/11/2024	P	\$1,001 - \$15,000	
IRA #1 ⇒ Invesco Preferred ETF (PGX) [EF]	SP	09/11/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA #1 ⇒ iShares TIPS Bond ETF (TIP) [EF]	SP	09/11/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #1 ⇒ Vanguard Total International Bond ETF (BNDX) [EF]	SP	09/11/2024	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #1 ⇒ Wells Fargo & Company Depositary Shares, each representing a 1/1,000th interest in a share of Non-Cumulative Perpetual Class A Preferred Stock, Series (WFC\$Y) [ST]	SP	09/11/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #1 ⇒ J P Morgan Chase & Co Depositary Shares, each representing a 1/400th interest in a share of JPMorgan Chase & Co. 4.75% Non-Cumulative Preferred Stock, (JPM\$J) [ST]	SP	09/11/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #1 ⇒ iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD) [EF]	SP	09/11/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #1 ⇒ iShares U.S. Aerospace & Defense ETF (ITA) [EF]	SP	09/11/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #1 ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH) [EF]	SP	09/11/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA #1 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [EF]	SP	09/11/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA #1 ⇒ Schwab Short-Term U.S. Treasury ETF (SCHO) [EF]	SP	09/11/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA #1 ⇒ iShares Core MSCI EAFE ETF (IEFA) [EF]	SP	09/11/2024	S	\$50,001 - \$100,000	<input type="checkbox"/>
IRA #1 ⇒ SPDR Bloomberg 1-3 Month T-Bill ETF (BIL) [EF]	SP	09/11/2024	S	\$50,001 - \$100,000	<input type="checkbox"/>
IRA #1 ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]	SP	11/06/2024	P	\$15,001 - \$50,000	
IRA #1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	SP	11/06/2024	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA #1 ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	11/06/2024	P	\$15,001 - \$50,000	
IRA #1 ⇒ Vanguard International High Dividend Yield ETF (VYMI) [EF]	SP	11/06/2024	P	\$1,001 - \$15,000	
IRA #1 ⇒ iShares Preferred and Income Securities ETF (PFF) [EF]	SP	11/06/2024	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #1 ⇒ Vanguard Total International Bond ETF (BNDX) [EF]	SP	11/06/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #1 ⇒ iShares Broad USD Investment Grade Corporate Bond ETF (USIG) [EF]	SP	11/06/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #1 ⇒ Vanguard Mortgage-Backed Securities ETF (VMBS) [EF]	SP	11/06/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #1 ⇒ iShares U.S. Treasury Bond ETF (GOVT) [EF]	SP	11/06/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #1 ⇒ Vanguard Value ETF (VTV) [EF]	SP	11/06/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA #1 ⇒ Vanguard International High Dividend Yield ETF (VYMI) [EF]	SP	12/24/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA #2 ⇒ Invesco Preferred ETF (PGX) [EF]		04/24/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #2 ⇒ iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD) [EF]		04/15/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #2 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [EF]		04/17/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA #2 ⇒ iShares MBS ETF (MBB) [EF]		04/15/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA #2 ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH) [EF]		04/24/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA #2 ⇒ iShares U.S. Aerospace & Defense ETF (ITA) [EF]		04/24/2024	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #2 ⇒ Vanguard Total International Bond ETF (BNDX) [EF]		04/24/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #2 ⇒ SPDR Bloomberg 1-3 Month T-Bill ETF (BIL) [EF]		04/24/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA #2 ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [EF]		04/24/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA #2 ⇒ Schwab Short-Term U.S. Treasury ETF (SCHO) [EF]		04/24/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA #2 ⇒ iShares U.S. Aerospace & Defense ETF (ITA) [EF]		08/12/2024	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ iShares Preferred and Income Securities ETF (PFF) [EF]		09/11/2024	P	\$100,001 - \$250,000	
NP DUNN TLF PLEDGE ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]		09/11/2024	P	\$100,001 - \$250,000	
NP DUNN TLF PLEDGE ⇒ iShares Core S&P 500 ETF (IVV) [EF]		09/11/2024	P	\$15,001 - \$50,000	
NP DUNN TLF PLEDGE ⇒ iShares Russell 2000 ETF (IWM) [EF]		09/11/2024	P	\$15,001 - \$50,000	
NP DUNN TLF PLEDGE ⇒ iShares Biotechnology ETF (IBB) [EF]		09/11/2024	P	\$15,001 - \$50,000	
NP DUNN TLF PLEDGE ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]		09/11/2024	P	\$15,001 - \$50,000	
NP DUNN TLF PLEDGE ⇒ Vanguard International High Dividend Yield ETF (VYMI) [EF]		09/11/2024	P	\$15,001 - \$50,000	
NP DUNN TLF PLEDGE ⇒ iShares U.S. Treasury Bond ETF (GOVT) [EF]		09/11/2024	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
NP DUNN TLF PLEDGE ⇒ Vanguard Value ETF (VTV) [EF]		09/11/2024	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ Vanguard Mortgage-Backed Securities ETF (VMBS) [EF]		09/11/2024	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ iShares Broad USD Investment Grade Corporate Bond ETF (USIG) [EF]		09/11/2024	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ iShares 20+ Year Treasury Bond ETF (TLT) [EF]		09/11/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ Vanguard Small-Cap Value ETF (VBR) [EF]		09/11/2024	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ Vanguard Small-Cap Growth ETF (VBK) [EF]		09/11/2024	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ VanEck ETF Trust VanEck High Yield Muni ETF (HYD) [EF]		09/11/2024	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF]		09/11/2024	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ SPDR Nuveen ICE Short Term Municipal Bond ETF (SHM) [EF]		09/11/2024	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ iShares Short-Term National Muni Bond ETF (SUB) [EF]		09/11/2024	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ VanEck ETF Trust VanEck Intermediate Muni ETF (ITM) [EF]		09/11/2024	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ Invesco National AMT-Free Municipal Bond ETFo (PZA) [EF]		09/11/2024	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ iShares Core MSCI EAFE ETF (IEFA) [EF]		09/11/2024	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ Vanguard Growth ETF (VUG) [EF]		09/11/2024	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
NP DUNN TLF PLEDGE ⇒ iShares Preferred and Income Securities ETF (PFF) [EF]		09/12/2024	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]		09/12/2024	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ iShares Core S&P 500 ETF (IVV) [EF]		09/12/2024	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ iShares Russell 2000 ETF (IWM) [EF]		09/12/2024	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ Vanguard International High Dividend Yield ETF (VYMI) [EF]		09/12/2024	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ iShares Biotechnology ETF (IBB) [EF]		09/12/2024	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]		09/12/2024	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]		11/06/2024	P	\$15,001 - \$50,000	
NP DUNN TLF PLEDGE ⇒ iShares Russell 2000 ETF (IWM) [EF]		11/06/2024	P	\$15,001 - \$50,000	
NP DUNN TLF PLEDGE ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]		11/06/2024	P	\$15,001 - \$50,000	
NP DUNN TLF PLEDGE ⇒ Vanguard International High Dividend Yield ETF (VYMI) [EF]		11/06/2024	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ iShares Biotechnology ETF (IBB) [EF]		11/06/2024	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ iShares Core S&P 500 ETF (IVV) [EF]		11/06/2024	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ Vanguard Total International Bond ETF (BNDX) [EF]		11/06/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
NP DUNN TLF PLEDGE ⇒ iShares Preferred and Income Securities ETF (PFF) [EF]		11/06/2024	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ iShares Broad USD Investment Grade Corporate Bond ETF (USIG) [EF]		11/06/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ Vanguard Mortgage-Backed Securities ETF (VMBS) [EF]		11/06/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ iShares U.S. Treasury Bond ETF (GOVT) [EF]		11/06/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ Vanguard Value ETF (VTV) [EF]		11/06/2024	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ Vanguard Value ETF (VTV) [EF]		11/06/2024	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ iShares Preferred and Income Securities ETF (PFF) [EF]		08/15/2024	P	\$100,001 - \$250,000	
NP Dunn Tst Cadence Pldg ⇒ Vanguard Value ETF (VTV) [EF]		08/15/2024	P	\$100,001 - \$250,000	
NP Dunn Tst Cadence Pldg ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]		08/15/2024	P	\$100,001 - \$250,000	
NP Dunn Tst Cadence Pldg ⇒ iShares Core S&P 500 ETF (IVV) [EF]		08/15/2024	P	\$50,001 - \$100,000	
NP Dunn Tst Cadence Pldg ⇒ iShares Russell 2000 ETF (IWM) [EF]		08/15/2024	P	\$15,001 - \$50,000	
NP Dunn Tst Cadence Pldg ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]		08/15/2024	P	\$15,001 - \$50,000	
NP Dunn Tst Cadence Pldg ⇒ iShares Biotechnology ETF (IBB) [EF]		08/15/2024	P	\$15,001 - \$50,000	
NP Dunn Tst Cadence Pldg ⇒ Vanguard International High Dividend Yield ETF (VYMI) [EF]		08/15/2024	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
NP Dunn Tst Cadence Pldg ⇒ iShares U.S. Treasury Bond ETF (GOVT) [EF]		08/15/2024	P	\$1,001 - \$15,000	
NP Dunn Tst Cadence Pldg ⇒ Vanguard Mortgage-Backed Securities ETF (VMBS) [EF]		08/15/2024	P	\$1,001 - \$15,000	
NP Dunn Tst Cadence Pldg ⇒ iShares Broad USD Investment Grade Corporate Bond ETF (USIG) [EF]		08/15/2024	P	\$1,001 - \$15,000	
NP Dunn Tst Cadence Pldg ⇒ Vanguard Total International Bond ETF (BNDX) [EF]		08/15/2024	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ iShares 20+ Year Treasury Bond ETF (TLT) [EF]		08/15/2024	S	\$15,001 - \$50,000	<input type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [EF]		08/15/2024	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ VanEck ETF Trust VanEck High Yield Muni ETF (HYD) [EF]		08/15/2024	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ iShares U.S. Aerospace & Defense ETF (ITA) [EF]		08/15/2024	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ SPDR Nuveen ICE Short Term Municipal Bond ETF (SHM) [EF]		08/15/2024	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ iShares Short-Term National Muni Bond ETF (SUB) [EF]		08/15/2024	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ VanEck ETF Trust VanEck Intermediate Muni ETF (ITM) [EF]		08/15/2024	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ Invesco National AMT-Free Municipal Bond ETFo (PZA) [EF]		08/15/2024	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ SPDR Bloomberg 1-3 Month T-Bill ETF (BIL) [EF]		08/15/2024	S	\$100,001 - \$250,000	<input type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ iShares Preferred and Income Securities ETF (PFF) [EF]		08/16/2024	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
NP Dunn Tst Cadence Pldg ⇒ Vanguard Value ETF (VTV) [EF]		08/16/2024	P	\$15,001 - \$50,000	
NP Dunn Tst Cadence Pldg ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]		08/16/2024	P	\$15,001 - \$50,000	
NP Dunn Tst Cadence Pldg ⇒ iShares Core S&P 500 ETF (IVV) [EF]		08/16/2024	P	\$15,001 - \$50,000	
NP Dunn Tst Cadence Pldg ⇒ iShares Russell 2000 ETF (IWM) [EF]		08/16/2024	P	\$1,001 - \$15,000	
NP Dunn Tst Cadence Pldg ⇒ iShares Biotechnology ETF (IBB) [EF]		08/16/2024	P	\$1,001 - \$15,000	
NP Dunn Tst Cadence Pldg ⇒ Vanguard International High Dividend Yield ETF (VYMI) [EF]		08/16/2024	P	\$1,001 - \$15,000	
NP Dunn Tst Cadence Pldg ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]		08/16/2024	P	\$1,001 - \$15,000	
NP Dunn Tst Cadence Pldg ⇒ iShares U.S. Treasury Bond ETF (GOVT) [EF]		08/16/2024	P	\$1,001 - \$15,000	
NP Dunn Tst Cadence Pldg ⇒ Vanguard Mortgage-Backed Securities ETF (VMBS) [EF]		08/16/2024	P	\$1,001 - \$15,000	
NP Dunn Tst Cadence Pldg ⇒ iShares Broad USD Investment Grade Corporate Bond ETF (USIG) [EF]		08/16/2024	P	\$1,001 - \$15,000	
NP Dunn Tst Cadence Pldg ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]		11/07/2024	P	\$15,001 - \$50,000	
NP Dunn Tst Cadence Pldg ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]		11/07/2024	P	\$15,001 - \$50,000	
NP Dunn Tst Cadence Pldg ⇒ iShares Russell 2000 ETF (IWM) [EF]		11/07/2024	P	\$15,001 - \$50,000	
NP Dunn Tst Cadence Pldg ⇒ iShares Biotechnology ETF (IBB) [EF]		11/07/2024	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
NP Dunn Tst Cadence Pldg ⇒ Vanguard International High Dividend Yield ETF (VYMI) [EF]		11/07/2024	P	\$1,001 - \$15,000	
NP Dunn Tst Cadence Pldg ⇒ iShares Core S&P 500 ETF (IVV) [EF]		11/07/2024	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ Vanguard Total International Bond ETF (BNDX) [EF]		11/07/2024	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ iShares Broad USD Investment Grade Corporate Bond ETF (USIG) [EF]		11/07/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ Vanguard Mortgage-Backed Securities ETF (VMBS) [EF]		11/07/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ iShares Preferred and Income Securities ETF (PFF) [EF]		11/07/2024	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ iShares U.S. Treasury Bond ETF (GOVT) [EF]		11/07/2024	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP Dunn Tst Cadence Pldg ⇒ Vanguard Value ETF (VTV) [EF]		11/07/2024	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>

\* Investment Vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount
Merrill Lynch	IRA Distribution	\$190,000.00

### SCHEDULE D: LIABILITIES

None disclosed.

### SCHEDULE E: POSITIONS

Position	Name of Organization
PARTNER	GULF RESOURCES, LLC
COMMENTS: UNPAID	

Position	Name of Organization
PRINCIPAL	NEAL P. DUNN CONSULTING
COMMENTS: UNPAID	
PARTNER	GLOBAL CT & PET IMAGING, LLC
COMMENTS: UNPAID	
PARTNER	PATIENT PRACTIONERS, LLC
PARTNER	WORLDWIDE EQUINE IMAGING, LLC

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The Aspen Institute, Inc.	04/01/2024	04/06/2024	Washington, DC - Bellagio, Italy - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

<ul style="list-style-type: none"> <li>IRA #1 (Owner: SP) DESCRIPTION: Held at Merrill Lynch</li> <li>IRA #2 DESCRIPTION: Held at Merrill Lynch</li> <li>IRA #3 DESCRIPTION: Held at Merrill Lynch</li> <li>NP DUNN TLF PLEDGE</li> <li>NP Dunn Tst Cadence Pldg LOCATION: US</li> </ul>
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Neal Patrick MD, Facs Dunn , 08/13/2025